KYC, Financial Data and Goal Confirmation Report

Dear [Consultant.FirstName],

Greetings from 29k Investment Advisers!

Please be informed that [Client.FirstName] [Client.LastName]'s financial analysis is due this month. As part of this, we have prepared an initial assessment of the KYC, financial goal and data available to us. On confirmation of the below, we will initiate the financial analysis for the year.

Please refer the index below for the contents of this report.

I. KYC

In order to comply with the KYC norms for Investment Advisers, we would require a duly filled in CKYC registration along with the self attested PAN, and latest address proof of the client, and the group members.

II. Risk Profile

We have initiated a risk profile test since the previous test date was <date>.

III. Advisory Services Agreement

We have initiated the new Advisory Services Agreement for your signature. This is in order to comply with the SEBI Investment Advisers 2013 regulations and further amendments.

IV. Financial Data

The goals, assets, liabilities, cash flow statement, and insurance policy details as per our record is provided as annexure in this report. Kindly confirm whether there is any change to the data provided.

V. Priority Implementation

Please upload here the orders to be executed online with immediate effect after your discussion with [Client.FirstName] [Client.LastName]. This is in case you wish to implement the plan on an immediate basis before the final financial plan is prepared. The format is provided to you.

Should you have any comments/ queries/ concerns, please feel free to post the same in the comment box in this document.

Kind Regards,

Investment Advisory

Consultant
I have updated the latest financial data into our records and handed over the CKYC forms along with the required documents to the investment advisory team. Request to initiate the personal financial analysis report. [Consultant.FirstName] [Consultant.LastName]

VI. Annexures

Goals

Assets

Liabilities

Cash Flow

Insurance Policies