Legal Framework

Guidance, Templates and Policy Statements

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ADGM and its three authorities have issued relevant guidance to communicate and explain the principles that underpin the legal framework, which is based on English Common Law.

RA

FSRA

ADGM Courts

The guidance for applicants provides information on completing the application to register an entity in ADGM and should be read in conjunction with the relevant legislation. The Guidance Notes do not cover other requirements as set out in the relevant legislation such as contact with the court and other internal obligations that a company will need to consider and carry out.

- Branch Financial and Non-Financial Services
- Guidance on Continuance into ADGM
- Limited Liability Partnership Financial and Non-Financial
- Limited Partnership Financial and Non-Financial Services
- Private Company Limited by Shares Non-Financial Services
- Private Company Limited by Shares (RSC) Non-Financial Services Link Button
- Private Company Limited by Shares Retail

Supplementary Guidance on Whistleblowing

In line with global movements favouring transparency, accountability and integrity, ADGM believes a culture that supports speaking up with confidence forms part of any progressive business environment. With that in mind, ADGM has implemented its regulatory framework for whistleblowing (the "Framework"). The Framework includes the WB Regulations; amendments to ER 2019, GEN, MIR and GPM; and this Guidance. This Guidance is issued jointly by the RA under section 3(3) of the WB Regulations and the FSRA under section 15(2) of FSMR. In November 2022, ADGM published its Guiding Principles on Whistleblowing. Those Principles have been incorporated into this Guidance to the extent appropriate, and accordingly the Principles themselves no longer represent current guidance.

The following guidance notes provide information and interpretation on ADGM's commercial legislation for existing registered entities.

- Environmental Social and Governance Disclosures Guidance
- Guidance on Revising Defective Accounts and Reports
- Annual Accounts Guidance
- Unaudited Small Companies Regime Balance Sheet Template
- Beneficial Ownership and Control
- Commercial License Renewal
- Consent letter to share office
- Guidance on Exemptions from the requirement to appoint a CSP
- Resolution Change of Registered office Address
- Guidance on Exemptions form the requirement to appoint a CSP
- Guidance on Applications for Accounts Filing Extensions
- Guidance on Client Money
- Hotels and Tourism Establishments in ADGM
- Registration of Charges
- Service of Alcohol Guidance
- Trade Names
- Voluntary Liquidation Guidance

required to obtain an Abu Dhabi Government Authorities approval prior to operating the businesses.

The list of authorities and the applicable activities

Resolution Templates

Find below a variety of Resolutions templates that can be used to support your application. Please note that the Resolutions templates are drafted in the proposed format but can be modified according to your particular requirements. You may choose to use the Resolutions templates in their present form or modify them according to your particular requirements. The Resolutions templates do not constitute legal advice or opinion of any kind.

- Approval of Accounting Reference Date
- Change of Accounting Reference Date
- Auditor Appointment
- Auditor Removal & Replacement
- Approval of Alternative location of company's record and transfer of records to alternative location
- Move of company's record to the alternative location of company's records
- Move of company's record back to the registered office address
- **Authorised Signatory Appointment**
- Authorised Signatory Resignation
- Authorised Signatory Removal & Replacement
- Director Appointment

- En Director Removar a Replacement
- Consent to act as Director
- Director's Resignation
- Director's Statement of Solvency
- Change of Company Name
- Secretary Appointment
- Secretary Resignation
- Secretary Removal & Replacement
- Consent to act as Secretary
- Secretary's Resignation Letter
- Share Transfer
- Registration of Branch
- Registration of Branch by Management Board
- Registration of Branch by Board of Directors
- Resolution Incorporation LTD Corporate Shareholder
- Resolution Incorporation LTG Corporate Shareholder
- Resolution Incorporation LTD Multiple Shareholders
- Resolution Incorporation LTG Multiple/Individual Founding Members
- Resolution Incorporation LTD Single Individual Shareholder
- Resolution Incorporation LTG Single/Individual Founding Member
- Incorporation by Individual

Below are a variety of Registry Templates. You may choose to use these templates in their present form or modify them according to your particular requirements.

Kindly note that these have been issued by the Registration Authority as guidance only and we do not take any responsibility for any losses or liabilities that may arise from the use of these templates.

- Register of Directors (Individuals)
- Register of Charges
- Register of Directors Residential Addresses
- Register of Members
- Register of Members of Company Limited by Guarantee
- Register of Directors Corporate
- Register of Secretaries (Corporate)
- Share Certificate
- Source of Wealth Declaration
- Record of Beneficial Ownership & Control

Decision Procedures, Disqualification and Enforcement Manual

Decision Procedures, Disqualification and Enforcement Manual

Forms –

DOCK	Statement of Affairs
DOCK	Statement of Concurrence
DOCK	Nominee Arrangement Confirmation - Role Holder Nominee Company
DOCK TO THE PROPERTY OF THE PR	Nominee Arrangement Confirmation - Role Holder Nominee Foundation
	Nominee Arrangement Confirmation - Role Holder Nominee Shareholders/Beneficial Owners



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