

# Database System

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# Chapter 3-Contents

**3.1 Introduction to SQL**

**3.2 Data Definition Statements**

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# **Chapter 3-2 - Objectives**

**How to retrieve data from database using SELECT and:**

- Use compound WHERE conditions.**
- Sort query results using ORDER BY.**
- Use aggregate functions.**
- Group data using GROUP BY and HAVING.**
- Use subqueries.**
- Join tables together.**
- Perform set operations (UNION, INTERSECT, EXCEPT).**

## 3.3 Data Query Statements

### 3.3.1 Select statement

- **SELECT** [DISTINCT | ALL]
- { \* | [columnExpression [AS newName]] [,...] }
- **FROM**           TableName [alias] [, ...]
- [**WHERE**       condition]
- [**GROUP BY**       columnList]
- [**HAVING**     condition]
- [**ORDER BY** columnList]

# **SELECT Statement**

**FROM** Specifies table(s) to be used.

**WHERE** Filters rows.

**GROUP BY** Forms groups of rows with same column value.

**HAVING** Filters groups subject to some condition.

**SELECT** Specifies which columns are to appear in output.

**ORDER BY** Specifies the order of the output.

# SELECT Statement

- **Order** of the clauses **cannot** be **changed**.
- Only **SELECT** and **FROM** are **mandatory**.

# Case

## Estates Agency-Dream of Home

- ◆ **Branch** (branchNo, street, city, postcode)
- ◆ **Staff** (staffNo, fName, lName, position, sex, DOB, salary, branchNo)
- ◆ **Property** (propertyNo, street, city, postcode, type, rooms, rent, ownerNo, staffNo, branchNo)
- ◆ **Client** (clientNo, fName, lName, telNo, prefType, maxRent)
- ◆ **PrivateOwner** (ownerNo, fName, lName, address, telNo)
- ◆ **Viewing** (clientNo, propertyNo, viewDate, comment)

## Example 3.8 All Columns, All Rows

List full details of all staff.

```
SELECT staffNo, fName, lName, position,  
       sex, DOB, salary, branchNo  
FROM Staff;
```

- Can use \* as an abbreviation for 'all columns':

```
SELECT *  
FROM Staff;
```



# Example All Columns, All Rows

**Table 5.1** Result table for Example 5.1.

| staffNo | fName | lName | position   | sex | DOB       | salary   | branchNo |
|---------|-------|-------|------------|-----|-----------|----------|----------|
| SL21    | John  | White | Manager    | M   | 1-Oct-45  | 30000.00 | B005     |
| SG37    | Ann   | Beech | Assistant  | F   | 10-Nov-60 | 12000.00 | B003     |
| SG14    | David | Ford  | Supervisor | M   | 24-Mar-58 | 18000.00 | B003     |
| SA9     | Mary  | Howe  | Assistant  | F   | 19-Feb-70 | 9000.00  | B007     |
| SG5     | Susan | Brand | Manager    | F   | 3-Jun-40  | 24000.00 | B003     |
| SL41    | Julie | Lee   | Assistant  | F   | 13-Jun-65 | 9000.00  | B005     |

## Example 3.9 Specific Columns, All Rows

检索指定的列或属性

Produce a list of **salaries** for all staff, showing only the **staff number**, **first** and **last names**, and **salary**.

**SELECT** staffNo, fName, lName, salary

**FROM** Staff;

**Result table**

| staffNo | fName | lName | salary   |
|---------|-------|-------|----------|
| SL21    | John  | White | 30000.00 |
| SG37    | Ann   | Beech | 12000.00 |
| SG14    | David | Ford  | 18000.00 |
| SA9     | Mary  | Howe  | 9000.00  |
| SG5     | Susan | Brand | 24000.00 |
| SL41    | Julie | Lee   | 9000.00  |

## Example 3.10 Not Use of **DISTINCT**

It can be used to eliminate the duplicates.

e.g. List the property numbers of all properties that have been viewed.

**SELECT propertyNo  
FROM Viewing;**

| propertyNo |
|------------|
| PA14       |
| PG4        |
| PG4        |
| PA14       |
| PG36       |

# Example 3.11 Use of **DISTINCT**

- Use **DISTINCT** to eliminate duplicates:

**SELECT DISTINCT propertyNo**  
**FROM Viewing;**

| propertyNo |
|------------|
| PA14       |
| PG4        |
| PG36       |

若select 后面有多个列:

select **distinct sno, cno** from sc  
= distinct (sno,cno)

select **cno, distinct sno** from sc ?

关键字 'distinct' 附近有语法错误。

## Example 3.12 Calculated Fields

Produce a list of monthly salaries for all staff, showing staff number, first and last names, and salary details.

```
SELECT staffNo, fName, IName, salary/12  
FROM Staff;
```

**+, -, \*, /, aggregate functions can be used in SELECT clause.**

| staffNo | fName | IName | col4    |
|---------|-------|-------|---------|
| SL21    | John  | White | 2500.00 |
| SG37    | Ann   | Beech | 1000.00 |
| SG14    | David | Ford  | 1500.00 |
| SA9     | Mary  | Howe  | 750.00  |
| SG5     | Susan | Brand | 2000.00 |
| SL41    | Julie | Lee   | 750.00  |

“虚”列

# Example 3.12 Calculated Fields

- To name column, use AS clause:

```
SELECT staffNo, fName, lName,  
        salary/12 AS monthlySalary  
FROM Staff;
```

| staffNo | fName | lName | monthlySalary |
|---------|-------|-------|---------------|
| SL21    | John  | White | 2500.00       |
| SG37    | Ann   | Beech | 1000.00       |
| SG14    | David | Ford  | 1500.00       |
| SA9     | Mary  | Howe  | 750.00        |
| SG5     | Susan | Brand | 2000.00       |
| SL41    | Julie | Lee   | 750.00        |

# Row selection (WHERE clause)

|   | Predicates(谓词)                             | Function (功能)                          | Notes (说明)  |
|---|--|--|---|
| 1 | =,<>(!=),<,<=,>,>=                         | Comparison search                      |   |
|   | AND, OR, NOT                               | Compound Comparison search<br>(复合比较查询) | From left to right,<br>NOT>AND>OR<br>( ) has the highest priority |
| 2 | BETWEEN...AND;<br><br>NOT<br>BETWEEN...AND | Range test<br>(范围查找)                   | includes the endpoints;<br>Not include the endpoints              |
| 3 | IN, NOT IN                                 | Set membership                         |   |
| 4 | LIKE, NOT LIKE                             | Pattern match                          |   |
| 5 | NULL, IS NULL                              | NULL test                              | IS cannot be replaced by '='                                      |

## Example 3.13 Comparison Search Condition

List all staff with a salary greater than 10,000.

```
SELECT staffNo, fName, lName, position, salary
FROM Staff
WHERE salary > 10000;
```

| staffNo | fName | lName | position   | salary   |
|---------|-------|-------|------------|----------|
| SL21    | John  | White | Manager    | 30000.00 |
| SG37    | Ann   | Beech | Assistant  | 12000.00 |
| SG14    | David | Ford  | Supervisor | 18000.00 |
| SG5     | Susan | Brand | Manager    | 24000.00 |



## Example 3.13

### Compound Comparison Search Condition

List addresses of all branch offices in London or Glasgow.

**SELECT \***

**FROM Branch**

**WHERE city = 'London' OR city = 'Glasgow';**

| branchNo | street       | city    | postcode |
|----------|--------------|---------|----------|
| B005     | 22 Deer Rd   | London  | SW1 4EH  |
| B003     | 163 Main St  | Glasgow | G11 9QX  |
| B002     | 56 Clover Dr | London  | NW10 6EU |

## Example 3.14 **Range** Search Condition

List all staff with a salary between 20,000 and 30,000.

```
SELECT staffNo, fName, lName, position, salary  
FROM Staff  
WHERE salary BETWEEN 20000 AND 30000;
```

- **BETWEEN** test includes the endpoints of range.

| staffNo | fName | lName | position | salary   |
|---------|-------|-------|----------|----------|
| SL21    | John  | White | Manager  | 30000.00 |
| SG5     | Susan | Brand | Manager  | 24000.00 |

## Example 3.14 **Range** Search Condition

- **BETWEEN** does not add much to SQL's expressive power. Could also write:

```
SELECT staffNo, fName, lName, position, salary  
FROM Staff  
WHERE salary >= 20000 AND salary <= 30000;
```

- Useful, though, for a range of values.

## Example 3.14 Range Search Condition

- Also a negated version **NOT BETWEEN**.  
**SELECT** staffNo, fName, lName, position, salary  
**FROM** Staff  
**WHERE** salary **NOT BETWEEN** 20000 **AND** 30000;
- **NOT BETWEEN** also does not add much to SQL's expressive power. Could also write:  
**SELECT** staffNo, fName, lName, position, salary  
**FROM** Staff  
**WHERE** salary < 20000 **OR** salary > 30000;
- **NOT BETWEEN** test does not include the endpoints of range.

## Example 3.15 Set Membership

List all managers and supervisors.

```
SELECT staffNo, fName, lName, position  
FROM Staff  
WHERE position IN ('Manager', 'Supervisor');
```

| staffNo | fName | lName | position   |
|---------|-------|-------|------------|
| SL21    | John  | White | Manager    |
| SG14    | David | Ford  | Supervisor |
| SG5     | Susan | Brand | Manager    |

## Example 3.15 Set Membership

- **IN** does not add much to SQL's expressive power.
- Could have expressed this as:

```
SELECT staffNo, fName, IName, position
FROM Staff
WHERE position='Manager' OR
       position='Supervisor';
```

- **IN** is more efficient when a set contains many values.

## Example 3.15 Set Membership

- There is a negated version (**NOT IN**).

```
SELECT staffNo, fName, IName, position
FROM Staff
WHERE position NOT IN
      ('Manager', 'Supervisor');
```

- **NOT IN** also does not add much to SQL's expressive power. Could have expressed this as:

```
SELECT staffNo, fName, IName, position
FROM Staff
WHERE position<>'Manager' AND
      position<>'Supervisor';
```

## Example 3.16 Pattern Matching

Find all owners with the string 'Glasgow' in their address.

```
SELECT ownerNo, fName, lName, address, telNo
FROM PrivateOwner
WHERE address LIKE '%Glasgow%';
```

| ownerNo | fName | lName  | address                      | telNo         |
|---------|-------|--------|------------------------------|---------------|
| CO87    | Carol | Farrel | 6 Achray St, Glasgow G32 9DX | 0141-357-7419 |
| CO40    | Tina  | Murphy | 63 Well St, Glasgow G42      | 0141-943-1728 |
| CO93    | Tony  | Shaw   | 12 Park Pl, Glasgow G4 0QR   | 0141-225-7025 |



# Example 3.16 Pattern Matching

◆ SQL has two special **pattern matching symbols**:

- **%**: sequence of **zero** or more characters;
- **\_** (underscore): any single **(0 or 1)** character.

◆ **LIKE** '%Glasgow%' means a sequence of characters of any length containing '*Glasgow*'.

# Example 3.16 Pattern Matching

- **Sno LIKE '20131678'**  
i.e. **Sno = '20131678'**

**If no Pattern-Matching symbol (% , \_) :**

- **Sno NOT LIKE '20131678'**  
i.e. **Sno <> '20131678'**

## Example 3.16 Pattern Matching

```
SELECT Sname, Sno, Ssex  
FROM Student  
WHERE Sname LIKE '王%'; (姓王的)
```

```
SELECT Sname  
FROM Student  
WHERE Sname LIKE '夏侯__';  
(two underscores, 三个汉字)
```

## Example 3.16 Pattern Matching

```
SELECT Sname, Sno  
FROM Student  
WHERE Sname LIKE '___丽%'; (第2个字为“丽“)
```

```
SELECT Sname  
FROM Student  
WHERE Sname NOT LIKE '王%'; (不姓王)
```

# Example 3.16 Pattern Matching

◆ Cname LIKE 'DB\\_Design' **ESCAPE '\'**

“\_” itself

◆ Search for the course named 'DB\_Design'

查找课程名为“DB\_Design”的课程

```
SELECT Cno, credit
```

```
FROM C
```

```
WHERE Cname LIKE 'DB\_Design' ESCAPE '\';
```

```
select * from c
```

```
where cname like 'DB!_%' ESCAPE '!' ,
```

**OK!**

在**SQL Server** 中，单引号里 “大小写不敏感”。

转义符只对紧随其后的通配符起作用！

如：'\%%' 其中，第1个%是其本身，第2个%是仍通配符

# Example 3.16 Pattern Matching

Search for the course whose name begins with “DB\_” and the last letter but two is “i”.

查找以“DB\_”开头，且倒数第3个字符为i的课程的具体情况。

```
SELECT *
```

```
FROM C
```

```
WHERE Cname LIKE 'DB\_%i__' ESCAPE '\';
```

此处的%仍然是通配符

此结果是正确的  
因为 ‘\_’ 可以匹配一个或0个字符

|   | CNO | CName     | CREDIT |
|---|-----|-----------|--------|
| 1 | c3  | DB_Design | 2      |
| 2 | c4  | DB_%esi   | 2      |
| 3 | c6  | db_%si    | 3      |

## Example 3.16 Pattern Matching

- LIKE '15\%' **ESCAPE '\'** i.e. = '15%'
- Search for the course whose name begins with "DB\_" and the last letter but two is "i".

查找以"DB\_"开头，且倒数第3个字符为i的课程的具体情况。

SELECT \*

FROM C

WHERE Cname LIKE 'DB\\_\_%i\_\_' ESCAPE '\';

其中只有\_被转义为其本身，%不转义，仍然表示通配符，若要将%也转义，需写成：

LIKE 'DB\\_\\\_%i\_\_' ESCAPE '\'

## Example 3.17 **NULL** Search Condition

**List details of all viewings on property PG4 where a comment has not been supplied.**

- There are 2 viewings for property PG4, one with and one without a comment.
- Have to test for null explicitly using special keyword **IS NULL**:

```
SELECT  clientNo, viewDate  
FROM    Viewing  
WHERE   propertyNo = 'PG4'  
        AND comment IS NULL;
```



## Example 3.17 **NULL** Search Condition

| clientNo | viewDate  |
|----------|-----------|
| CR56     | 26-May-01 |

- Negated version (IS **NOT NULL**) can test for non-null values.
- **IS** can not be replaced by “=”

## Example 3.18 Single Column **Ordering**

- ◆ **ORDER BY** can make the result sort according to one or more columns.
- ◆ Ascending (**ASC, default**) or descending (**DESC**)
- ◆ When sorting, the order of **null** value is determined by the chosen **DBMS**.

**List the salaries for all staff, arranged in descending order of salary.**

```
SELECT staffNo, fName, lName, salary  
FROM Staff  
ORDER BY salary DESC;
```

## Example 3.18 Single Column Ordering

```
SELECT staffNo, fName, lName, salary  
FROM Staff  
ORDER BY salary DESC;
```

| staffNo | fName | lName | salary   |
|---------|-------|-------|----------|
| SL21    | John  | White | 30000.00 |
| SG5     | Susan | Brand | 24000.00 |
| SG14    | David | Ford  | 18000.00 |
| SG37    | Ann   | Beech | 12000.00 |
| SA9     | Mary  | Howe  | 9000.00  |
| SL41    | Julie | Lee   | 9000.00  |

## Example 3.19 Multiple Column **Ordering**

Produce abbreviated list ( 简 表 ) of properties in order of **property type**.

```
SELECT propertyNo, type, rooms, rent  
FROM Property  
ORDER BY type;
```

## Example 3.19 Multiple Column Ordering

Result table with only one Sort key “type”

| propertyNo | type  | rooms | rent |
|------------|-------|-------|------|
| PL94       | Flat  | 4     | 400  |
| PG4        | Flat  | 3     | 350  |
| PG36       | Flat  | 3     | 375  |
| PG16       | Flat  | 4     | 450  |
| PA14       | House | 6     | 650  |
| PG21       | House | 5     | 600  |

**Note:** Four flats in this list - as no minor sort key specified, system arranges these rows in any order it chooses.

## Example 3.19 Multiple Column Ordering

To arrange in order of rent, specify **minor order**:

```
SELECT propertyNo, type, rooms, rent
FROM Property
ORDER BY type, rent DESC;
```

Result table with two Sort keys “**type**” & “**rent**”

| propertyNo | type  | rooms | rent |
|------------|-------|-------|------|
| PG16       | Flat  | 4     | 450  |
| PL94       | Flat  | 4     | 400  |
| PG36       | Flat  | 3     | 375  |
| PG4        | Flat  | 3     | 350  |
| PA14       | House | 6     | 650  |
| PG21       | House | 5     | 600  |

# **SELECT Statement - Aggregates**

- **ISO standard defines five aggregate functions:**

**COUNT** returns the number of values in a specified column.

**SUM** returns the sum of values in a specified column.

**AVG** returns the average of values in a specified column.

**MIN** returns the smallest value in a specified column.

**MAX** returns the largest value in a specified column.

# SELECT Statement - Aggregates

- Each operates on a single column of a table and returns a single value.
- **COUNT**, **MIN**, and **MAX** apply to **numeric** and **non-numeric** fields, but **SUM** and **AVG** may be used on **numeric** fields only.
- Can use **DISTINCT** before column name to eliminate duplicates.
- **DISTINCT** has no effect with **MIN/MAX**, but may have with **SUM/AVG**.



# SELECT Statement - Aggregates

- Apart from **COUNT(\*)**, each function eliminates **nulls** first and operates only on remaining **non-null** values.
- **COUNT(\*)** counts all rows of a table, regardless of whether **nulls or duplicate values** occur.

# SELECT Statement - Aggregates

- Examples of COUNT

| sno | sname | age  |
|-----|-------|------|
| s1  | ss1   | 18   |
| s2  | ss2   | 17   |
| s3  | ss3   | null |
| s4  | ss4   | 18   |

Select COUNT(age) as num\_age  
from s

| col1 | num_age |
|------|---------|
| 4    | 4       |

Select Count (\*)  
from s

| col1 | col1 |
|------|------|
| 3    | 4    |

Select COUNT(sname)  
from s

| col1 |
|------|
| 4    |

# SELECT Statement - Aggregates

- Examples of AVG (sum)

| sno | sname | age  |
|-----|-------|------|
| s1  | ss1   | 19   |
| s2  | ss2   | 17   |
| s3  | ss3   | null |
| s4  | ss4   | 18   |

Select AVG(age) as avg\_age  
from s

| col1 | avg_age | col1 |
|------|---------|------|
| 17   | 17      | 18   |

AVG (age)=17.75 → floor(17.75) =17

Select AVG(sno)  
from s

Wrong!

Select AVG(distinct age)  
from s

| col1 |
|------|
| 18   |

# SELECT Statement - Aggregates

- Examples of MIN (MAX)

| sno | sname | age  |
|-----|-------|------|
| s1  | ss1   | 18   |
| s2  | ss2   | 17   |
| s3  | ss3   | null |
| s4  | ss4   | 18   |

Select MIN(age)  
from s

as min\_age

| col1 |
|------|
| 17   |

| min_age |
|---------|
| 17      |

Select MIN(sno)  
from s

| col1 |
|------|
| s1   |

Select MIN(distinct age)  
from s

| col1 |
|------|
| 17   |

**DISTINCT has no effect with MIN/MAX**

# **SELECT Statement - Aggregates**

- **Aggregate** functions can be used only in **SELECT** list and in **HAVING** clause.
- **Aggregate** functions cannot be used in **WHERE** clause.
- The following sentence is wrong!

```
SELECT Sno, AVG(Grade)  
FROM SC  
WHERE AVG(Grade)>=90
```

# SELECT Statement - Aggregates

- If **SELECT** list includes an aggregate function and there is no **GROUP BY** clause, **SELECT** list cannot reference a column out of an aggregate function. For example, the following is illegal:

```
SELECT staffNo, COUNT(salary)  
FROM Staff;
```

# SELECT Statement - Aggregates

```
SELECT staffNo, COUNT(salary)  
FROM Staff;
```

staff

| staffNo | ... | salary |
|---------|-----|--------|
| s1      |     | 8000   |
| s2      |     | 6500   |
| s3      |     | 5670   |
| s4      |     | 9200   |

Result table

| staffNo | col1 |
|---------|------|
| s1      | 4    |
| s2      | 4    |
| s3      | 4    |
| s4      | 4    |

**Such table has no semantic meaning!**

## Example 3.20 Use of COUNT(\*)

**How many properties cost more than £350 per month to rent?**

```
SELECT COUNT(*) AS count1  
FROM Property  
WHERE rent > 350;
```

| propertyNo | type  | rooms | rent |
|------------|-------|-------|------|
| PL94       | Flat  | 4     | 400  |
| PG4        | Flat  | 3     | 350  |
| PG36       | Flat  | 3     | 375  |
| PG16       | Flat  | 4     | 450  |
| PA14       | House | 6     | 650  |
| PG21       | House | 5     | 600  |

| count1 |
|--------|
| 5      |



## Example 3.21 Use of COUNT (DISTINCT)

How many different properties viewed in May '19 (2019)?

```
SELECT COUNT (DISTINCT propertyNo)  
      AS count1
```

```
FROM Viewing
```

```
WHERE viewDate BETWEEN '1-May-2019'  
      AND '31-May-2019' ;
```

|        |
|--------|
| count1 |
| 2      |

## Example 3.22 Use of COUNT and SUM

**Find the number of Managers and the sum of their salaries.**

```
SELECT COUNT(staffNo) AS mycount,  
        SUM(salary) AS mysum  
FROM Staff  
WHERE position = 'Manager';
```

| mycount | mysm     |
|---------|----------|
| 2       | 54000.00 |

## Example 3.23 Use of MIN, MAX, AVG

Find the minimum, the maximum, and the average staff salary.

```
SELECT MIN(salary) AS mymin,  
       MAX(salary) AS mymax,  
       AVG(salary) AS myavg  
FROM Staff;
```

| mymin   | mymax    | myavg    |
|---------|----------|----------|
| 9000.00 | 30000.00 | 17000.00 |

# SELECT Statement – Grouping

- ◆ Use **GROUP BY** clause to get sub-totals.
- ◆ **SELECT** and **GROUP BY** closely integrated: each item in **SELECT** list must be *single-valued per group*, and **SELECT** clause may only contain:
  - **column names**
  - **aggregate functions**
  - **constants**
  - **expression involving combinations of the above.**

# SELECT Statement - Grouping

- ◆ All column names in SELECT list must appear in GROUP BY clause unless the name is used only in an aggregate function.

```
select c.cno, cname, avg(grade)
from sc, course c
where sc.cno=c.cno
group by c.cno
```

***Column 'course.cname' is invalid in the select list because it is not contained in either an aggregate function or the GROUP BY clause.***

# SELECT Statement - Grouping

- ◆ If WHERE is used with GROUP BY, **WHERE is applied first**, then groups are formed from the remaining rows satisfying predicate.
- ◆ ISO considers **two nulls to be equal** for purposes of GROUP BY.

## Example 3.24 Use of GROUP BY

Find the number of staff in each branch and their total salaries.

| staffNo | name | salary | branchNo | .... |
|---------|------|--------|----------|------|
| s1      | John | 14000  | B003     |      |
| s2      | Jane | 15000  | B003     |      |
| s3      | Lily | 28000  | B005     |      |
| s4      | Mary | 25000  | B003     |      |
| s5      | Holy | 11000  | B005     |      |
| s6      | Kane | 9000   | B007     |      |

# Example 3.24 Use of GROUP BY

| staffNo | name | salary | branchNo | .... |
|---------|------|--------|----------|------|
| s1      | John | 14000  | B003     |      |
| s2      | Jane | 15000  | B003     |      |
| s3      | Lily | 28000  | B005     |      |
| s4      | Mary | 25000  | B003     |      |
| s5      | Holy | 11000  | B005     |      |
| s6      | Kane | 9000   | B007     |      |

Result table for Example 3.24

| branchNo | count1 | sum1     |
|----------|--------|----------|
| B003     | 3      | 54000.00 |
| B005     | 2      | 39000.00 |
| B007     | 1      | 9000.00  |

```
SELECT  branchNo,  
        COUNT(staffNo) AS count1,  
        SUM(salary) AS sum1  
FROM Staff  
GROUP BY branchNo  
ORDER BY branchNo;
```



## Restricted Groupings – **HAVING** clause

- ◆ **HAVING** clause is designed for use with **GROUP BY** to restrict groups that appear in final result table.
- ◆ Similar to **WHERE**, but **WHERE** filters individual **rows** whereas **HAVING** filters **groups**.
- ◆ Column names in **HAVING** clause must also appear in the **GROUP BY** list or be contained within an aggregate function.

# Example 3.25 Use of HAVING

For each branch with **more than 1** member of staff, find the number of staff in each branch and sum of their salaries.

| staffNo | name | salary | branchNo | .... |
|---------|------|--------|----------|------|
| s1      | John | 14000  | B003     |      |
| s2      | Jane | 15000  | B003     |      |
| s3      | Lily | 28000  | B005     |      |
| s4      | Mary | 25000  | B003     |      |
| s5      | Holy | 11000  | B005     |      |
| s6      | Kane | 9000   | B007     |      |

Result table for Example 3.25

| branchNo | count1 | sum1     |
|----------|--------|----------|
| B003     | 3      | 54000.00 |
| B005     | 2      | 39000.00 |

# Example 3.25 Use of HAVING

| staffNo | name | salary | branchNo | .... |
|---------|------|--------|----------|------|
| s1      | John | 14000  | B003     |      |
| s2      | Jane | 15000  | B003     |      |
| s3      | Lily | 28000  | B005     |      |
| s4      | Mary | 25000  | B003     |      |
| s5      | Holy | 11000  | B005     |      |
| s6      | Kane | 9000   | B007     |      |

```

SELECT branchNo,
       COUNT(staffNo) AS count1,
       SUM(salary) AS sum1
FROM Staff
GROUP BY branchNo
HAVING COUNT(staffNo) > 1
ORDER BY branchNo;
    
```

**HAVING COUNT1 > 1 ? No !**

| branchNo | count1 | sum1     |
|----------|--------|----------|
| B003     | 3      | 54000.00 |
| B005     | 2      | 39000.00 |
| B007     | 1      | 9000.00  |

Result table for Example 3.25

| branchNo | count1 | sum1     |
|----------|--------|----------|
| B003     | 3      | 54000.00 |
| B005     | 2      | 39000.00 |

## 3.3.2 Subqueries

- ◆ Some SQL statements can have a **search block** of 'SELECT-FROM-WHERE' **embedded** within them.
- ◆ A **subselect** can be used in **WHERE** and **HAVING** clauses of an outer **SELECT**, where it is called a **subquery** or **nested query**(子查询或嵌套查询).
- ◆ Subselects may also appear in **INSERT**, **UPDATE**, and **DELETE** statements.

## Example 3.26 Subquery with **Equality**

List staff who work in branch at '163 Main St'.

Outer SELECT

```
SELECT staffNo, fName, lName, position  
FROM Staff  
WHERE branchNo = use IN?
```

```
( SELECT branchNo  
  FROM Branch  
  WHERE street = '163 Main St');
```

Inner SELECT

## Example 3.26 Subquery with **Equality**

- ◆ **Inner SELECT** finds branch number for branch at '163 Main St' ('B003').
- ◆ **Outer SELECT** then retrieves details of all staff who work at this branch.
- ◆ **Outer SELECT** then becomes:

```
SELECT staffNo, fName, lName, position  
FROM Staff  
WHERE branchNo = 'B003';
```

## Example 3.26 Subquery with Equality

### Result table for Example 3.26

| staffNo | fName | lName | position   |
|---------|-------|-------|------------|
| SG37    | Ann   | Beech | Assistant  |
| SG14    | David | Ford  | Supervisor |
| SG5     | Susan | Brand | Manager    |

## Example 3.27 Subquery with Aggregate

List all staff whose salary is greater than the average salary, and show by how much.

```
SELECT staffNo, fName, lName, position,  
       salary – (SELECT AVG(salary) FROM Staff) As SalDiff  
FROM Staff  
WHERE salary > ( SELECT AVG(salary) FROM Staff );
```

| staffNo | fName | lName | position   | salDiff  |
|---------|-------|-------|------------|----------|
| SL21    | John  | White | Manager    | 13000.00 |
| SG14    | David | Ford  | Supervisor | 1000.00  |
| SG5     | Susan | Brand | Manager    | 7000.00  |



## Example 3.27 Subquery with Aggregate

- ◆ **Cannot write 'WHERE salary > AVG(salary)'**
- ◆ **Instead, use subquery to find the average salary (17000), and then use outer SELECT to find those staff with salary greater than this:**

```
SELECT staffNo, fName, lName, position,  
        salary – 17000 As salDiff  
FROM Staff  
WHERE salary > 17000;
```

```
SELECT AVG(salary)  
FROM Staff
```

## Example 3.27 Subquery with Aggregate

```
SELECT staffNo, fName, lName, position,  
       salary – AVG(salary) As SalDiff  
FROM Staff  
WHERE salary > AVG(salary)
```

**Wrong!**

# Subquery Rules

- ◆ **ORDER BY** clause may not be used in a subquery (although it may be used in **outermost SELECT**).
- ◆ Subquery **SELECT** list must consist of a **single column name** or expression, except for subqueries that use **EXISTS**.
- ◆ By default, column names refer to table name in **FROM** clause of the **same level** subquery. It can refer to a table in **FROM** using an *alias*.
- ◆ When subquery is an operand in a comparison, subquery must appear on **right-hand** side.

# Alias

If you specify an *alias* for a table in FROM clause, you must use it, not its original name.

```
select sno, cname, grade  
from sc, course c  
where sc.cno = c.cno
```

**Correct !**

```
select sno, cname, grade  
from sc, course c  
where sc.cno = course.cno
```

**wrong !**

无法绑定由多个部分组成的标识符 "course.cno"。

## Example 3.28 Nested subquery: use of **IN**

List the properties handled by the staff at '163 Main St'.

```
SELECT propertyNo, street, city, postcode, type, rooms, rent
FROM Property
WHERE staffNo IN
  (SELECT staffNo
   FROM Staff
   WHERE branchNo =
     (SELECT branchNo
      FROM Branch
      WHERE street = '163 Main St'));
```

| propertyNo | street     | city    | postcode | type  | rooms | rent |
|------------|------------|---------|----------|-------|-------|------|
| PG16       | 5 Novar Dr | Glasgow | G12 9AX  | Flat  | 4     | 450  |
| PG36       | 2 Manor Rd | Glasgow | G32 4QX  | Flat  | 3     | 375  |
| PG21       | 18 Dale Rd | Glasgow | G12      | House | 5     | 600  |

## ALL and ANY

### universal quantifier & existing quantifier

- ◆ ANY and ALL may be used with subqueries that produce a single column, **not** ' \* ' .
- ◆ With **ALL**, condition will only be **true** if it is satisfied by **all** values produced by subquery.
- ◆ With **ANY**, condition will be **true** if it is satisfied by **any** values produced by subquery.
- ◆ If subquery is **empty**, **ALL** returns **true**, **ANY** returns **false**.
- ◆ **SOME** may be used in place of **ANY** in ISO standard.

# ANY/SOME and ALL

|                     | =                | <> or<br>!=      | <    | <=    | >    | >=    |
|---------------------|------------------|------------------|------|-------|------|-------|
| ANY/<br><b>SOME</b> | IN               | Meaning-<br>less | <MAX | <=MAX | >MIN | >=MIN |
| <b>ALL</b>          | Meaning-<br>less | NOT IN           | <MIN | <=MIN | >MAX | >=MAX |

★ <>all ≡ not in

★ =some ≡ in

★ =all、 <>some **meaningless**

**IF A=10**

**A<>all (12,10,34) F**

**A<>all (12,16,34) T**

**A=all (12,10,34) meaningless**

**A>=all (12,10,34) F**

**A<=all (12,10,34) T**

**A=any (12,10,34) T**

**A<any (8,16,34) T**

**A>any (6,16,34) T**

**A=any (6,16,34) F**

**A<>any (12,16,34) meaningless**



## Example 3.29 Use of **ANY/SOME**

Find the staff whose salary is larger than the salary of at least one member of staff at branch B003.

```
SELECT staffNo, fName, lName, position, salary
FROM Staff
WHERE salary > SOME
    (SELECT salary FROM Staff
     WHERE branchNo = 'B003');
```

```
SELECT staffNo, fName, lName, position, salary
FROM Staff
WHERE salary > ( SELECT min (salary)
                  FROM Staff
                  WHERE branchNo = 'B003');
```

## Example 3.29 Use of **ANY/SOME**

- ◆ Inner query :  
**SELECT salary FROM Staff**  
**WHERE branchNo = 'B003';**
- ◆ produces set {**12000**, **18000**, **24000**} and outer query selects those staff whose salaries are greater than any of the values in this set.

### The Result Set

| staffNo | fName | lName | position   | salary   |
|---------|-------|-------|------------|----------|
| SL21    | John  | White | Manager    | 30000.00 |
| SG14    | David | Ford  | Supervisor | 18000.00 |
| SG5     | Susan | Brand | Manager    | 24000.00 |

## Example 3.30 Use of ALL

Find the staff whose salary is larger than salary of every member of staff at branch B003.

```
SELECT staffNo, fName, lName, position, salary  
FROM Staff
```

```
WHERE salary > ALL
```

```
(SELECT salary  
FROM Staff
```

```
WHERE branchNo = 'B003');
```

{12000, 18000, 24000}

---

```
SELECT staffNo, fName, lName, position, salary  
FROM Staff
```

```
WHERE salary > (SELECT max (salary)
```

```
FROM Staff
```

```
WHERE branchNo = 'B003');
```

| staffNo | fName | lName | position | salary   |
|---------|-------|-------|----------|----------|
| SL21    | John  | White | Manager  | 30000.00 |

```
select * from sc
```

```
where sno > all ( select sno Not a numeric value,  
                  Is it correct?  
                  from sc  
                  group by sno  
                  having count(cno)>3)
```

### Result of subquery

|   | sno      |
|---|----------|
| 1 | 08300010 |
| 2 | 08300012 |
| 3 | 08300015 |
| 4 | 08300020 |
| 5 | 08300030 |
| 6 | 08300050 |

### Result of the above query

|   | sno      | cno | grade | year |
|---|----------|-----|-------|------|
| 1 | 08300067 | 802 | 82    | 2015 |
| 2 | 08300067 | 803 | 76    | 2015 |
| 3 | 08300067 | 804 | 90    | 2016 |
| 4 | 08300075 | 803 | 79    | 2015 |
| 5 | 08300075 | 806 | 68    | 2016 |

# Non-Correlation subquery

不相关子查询

It has the following features:

1. The non-Correlation subquery can be executed **independently**.
2. The **innermost** subquery is executed **first**, and then its outer query is executed until going to the **outermost** query one by one level.

## 3.3.3 Multi-Table Queries

- ◆ A major limitation of all previous examples is that the **columns** of the result table must all come **from a single table**.
- ◆ If we need to obtain **information** from more than one table, we can use **subquery** or use a **join**.
- ◆ If the **result columns** come from more than one table, we must use a **join**.

### 3.3.3 Multi-Table Queries

- ◆ To perform join, include **more than one table** in FROM clause, using **comma** as separator, and typically including a WHERE clause to **specify join column(s)** of **join conditions**.
- ◆ Also possible to use an **alias** for a table named in FROM clause.
- ◆ **Alias** is separated from table name with a **space or 'as'**.
- ◆ Alias can be used to qualify column names when there is ambiguity (歧义).

# Alias

If you specify an *alias* for a table in FROM clause, you must use it, not its original name.

```
select sno, cname, grade  
from sc, course c  
where sc.cno = c.cno
```

**Correct!**

```
select sno, cname, grade  
from sc, course c  
where sc.cno = course.cno
```

**wrong!**

无法绑定由多个部分组成的标识符  
"course.cno"。

**Unable to bind the identifier made of multiple parts**



# Example 3.31 Simple Join

List the names of all clients who have viewed a property along with any comment supplied.

```
SELECT c.clientNo, fName, lName,  
       propertyNo, comment  
FROM Client c, Viewing v  
WHERE c.clientNo = v.clientNo;
```

# Example 3.31 Simple Join

```
SELECT c.clientNo, fName, lName, propertyNo, comment
FROM Client c, Viewing v
WHERE c.clientNo = v.clientNo;
```

**Client**

| <b>clientNo</b> | fName | lName | ..... |
|-----------------|-------|-------|-------|
| <b>c1</b>       | cc1   | ccc1  |       |
| <b>c2</b>       | cc2   | ccc2  |       |
| <b>c3</b>       | cc3   | ccc3  |       |
| <b>c4</b>       | cc4   | ccc4  |       |
| <b>c5</b>       | cc5   | ccc5  |       |

**Viewing**

| pNo | <b>clientNo</b> | staffNo | comment   | ... |
|-----|-----------------|---------|-----------|-----|
| p1  | <b>c1</b>       | s1      | good      | ... |
| p3  | <b>c2</b>       | s1      | Not bad   |     |
| p5  | <b>c1</b>       | s1      | OK        |     |
| p2  | <b>c3</b>       | s2      |           |     |
| p4  | <b>c2</b>       | s2      | OK        |     |
| p6  | <b>c2</b>       | s3      |           |     |
| p9  | <b>c1</b>       | s4      | Very good |     |
| p7  | <b>c3</b>       | s4      |           |     |
| p8  |                 |         |           |     |

# Example 3.31 Simple Join

**Joined Table**

**Result table for Example 3.31**

| <b>clientNo</b> | <b>fName</b> | <b>lName</b> | <b>pno</b> | <b>comment</b> |
|-----------------|--------------|--------------|------------|----------------|
| <b>c1</b>       | cc1          | ccc1         | p1         | good           |
| <b>c2</b>       | cc2          | ccc2         | p3         | Not bad        |
| <b>c1</b>       | cc1          | ccc1         | p5         | OK             |
| <b>c3</b>       | cc3          | ccc3         | p2         |                |
| <b>c2</b>       | cc2          | ccc2         | p4         | OK             |
| <b>c2</b>       | cc2          | ccc2         | p6         |                |
| <b>c1</b>       | cc1          | ccc1         | p9         | Very good      |
| <b>c3</b>       | cc3          | ccc3         | p7         |                |

## Example 3.31 Simple Join

```
SELECT c.clientNo, fName, lName,  
       propertyNo, comment  
FROM Client c, Viewing v  
WHERE c.clientNo = v.clientNo;
```

The SQL standard provides the following alternative ways to specify this join:

```
FROM Client c JOIN Viewing v  
      ON c.clientNo = v.clientNo
```

```
FROM Client JOIN Viewing USING clientNo
```

```
FROM Client NATURAL JOIN Viewing
```

# Example 3.32 Sorting a join

## 排序连接结果

For each branch, list the **numbers** and **names** of staff who manage properties, and the **properties** they manage.

```
SELECT s.branchNo, s.staffNo, fName,  
       lName, propertyNo  
FROM Staff s, Property p  
WHERE s.staffNo = p.staffNo  
ORDER BY s.branchNo, s.staffNo, propertyNo;
```

Major sort key

Minor sort key

Minor sort key

# Example 3.32 Sorting a join

## Result table for Example 3.32

| branchNo | staffNo | fName | lName | propertyNo |
|----------|---------|-------|-------|------------|
| B003     | SG14    | David | Ford  | PG16       |
| B003     | SG37    | Ann   | Beech | PG21       |
| B003     | SG37    | Ann   | Beech | PG36       |
| B005     | SL41    | Julie | Lee   | PL94       |
| B007     | SA9     | Mary  | Howe  | PA14       |

**ORDER BY s.branchNo, s.staffNo, propertyNo**

## Example 3.33 Three-Table Join

For each branch, list **staff** who manage properties, including **city** in which branch is located and **properties** they manage.

```
SELECT b.branchNo, b.city, s.staffNo, fName,  
       lName, propertyNo  
FROM Branch b, Staff s, Property p  
WHERE b.branchNo = s.branchNo  
      AND s.staffNo = p.staffNo  
ORDER BY b.branchNo, s.staffNo, propertyNo;
```

# Example 3.33 Three-Table Join

## Branch

| branchNo | Street | City    | Postcode |
|----------|--------|---------|----------|
| b1       | Rd11   | London  | ...      |
| b2       | St20   | Glasgow | ...      |
| b3       | Rd 234 | Glasgow | ...      |
| b4       | St33   | Bristol | ...      |

## Staff

| staffNo | branchNo | ..... |
|---------|----------|-------|
| s1      | b1       |       |
| s2      | b1       |       |
| s3      | b2       |       |
| s4      | b3       |       |
| s5      | b4       |       |

## Property

| pno | staffNo | ..... |
|-----|---------|-------|
| p1  | s1      |       |
| p3  | s1      |       |
| p5  | s1      |       |
| p2  | s2      |       |
| p4  | s2      |       |
| p6  | s3      |       |
| p7  | s4      |       |
| p9  | s4      |       |
| p8  |         |       |

**b.branchNo = s.branchNo**

**AND s.staffNo = p.staffNo**



## Example 3.33 Three-Table Join

**Joined table**

**Result table for Example 3.33**

| branchNo | City    | staffNo | name  | pno |
|----------|---------|---------|-------|-----|
| b1       | London  | s1      | Ann   | p1  |
| b1       | London  | s1      | Ann   | p3  |
| b1       | London  | s1      | Ann   | p5  |
| b1       | London  | s2      | Jane  | p2  |
| b1       | London  | s2      | Jane  | p4  |
| b2       | Glasgow | s3      | Mark  | p6  |
| b3       | Glasgow | s4      | David | p7  |
| b3       | Glasgow | s4      | David | p9  |

## Example 3.34 Multiple Grouping Columns 按多个列分组

Find the number of properties handled by each staff member.

```
SELECT staffNo, COUNT(*) AS count2
FROM   Property
GROUP BY staffNo
ORDER BY staffNo;
```

Desired result table

| staffNo | count2 |
|---------|--------|
| s1      | 3      |
| s2      | 2      |
| s3      | 1      |
| s4      | 2      |
| s5      | 1      |

## Example 3.34 Multiple Grouping Columns

Find the number of properties handled by each staff member **in each branch**.

### Staff

| staffNo | branchNo | ..... |
|---------|----------|-------|
| s1      | b1       |       |
| s2      | b1       |       |
| s3      | b2       |       |
| s4      | b3       |       |
| s5      | b4       |       |

### Property

| pno | staffNo | ..... |
|-----|---------|-------|
| p1  | s1      |       |
| p3  | s1      |       |
| p5  | s1      |       |
| p2  | s2      |       |
| p4  | s2      |       |
| p6  | s3      |       |
| p7  | s4      |       |
| p9  | s4      |       |
| p8  | s5      |       |

## Example 3.34 Multiple Grouping Columns

Join **property** and **staff** table.

| branchNo | staffNo | pno |
|----------|---------|-----|
| b1       | s1      | p1  |
| b1       | s1      | p3  |
| b1       | s1      | p5  |
| b1       | s2      | p2  |
| b1       | s2      | p4  |
| b2       | s3      | p6  |
| b3       | s4      | p7  |
| b3       | s4      | p9  |
| b4       | s5      | p8  |

## Example 3.34 Multiple Grouping Columns

```
SELECT s.branchNo, s.staffNo, COUNT(*) AS count1  
FROM Staff s, Property p  
WHERE s.staffNo = p.staffNo
```

```
GROUP BY s.branchNo, s.staffNo == GROUP BY s.staffNo  
ORDER BY s.branchNo, s.staffNo;
```

| branchNo | staffNo | count1 |
|----------|---------|--------|
| b1       | s1      | 3      |
| b1       | s2      | 2      |
| b2       | s3      | 1      |
| b3       | s4      | 2      |
| b4       | s5      | 1      |

# Computing a Join

The **procedure** for generating results of a join are:

1. Form **Cartesian product** of the tables named in FROM clause.
2. If there is a **WHERE** clause, apply the search condition to each row of the product table, **retaining those rows that satisfy the condition**.
3. For each remaining row, determine the value of each item in **SELECT** list to produce a single row in the result table.
4. If **DISTINCT** has been specified, eliminate any duplicate rows from the result table.
5. If there is an **ORDER BY** clause, sort the result table as required.

e.g.  $R \times S$

Number of tuples(rows)  
in  $R \times S$  is called

Cardinality (基数), is  
equal to the product of two  
numbers of rows.

Number of attributes  
(columns) is called

Degree (元数), is equal to  
the sum of two numbers of  
columns.

**R**

| A | B | C |
|---|---|---|
| a | b | c |
| b | c | e |
| e | d | c |

**S**

| C | D |
|---|---|
| c | d |
| e | f |

**$R \times S$**

| A | B | R.C | S.C | D |
|---|---|-----|-----|---|
| a | b | c   | c   | d |
| a | b | c   | e   | f |
| b | c | e   | c   | d |
| b | c | e   | e   | f |
| e | d | c   | c   | d |
| e | d | c   | e   | f |

# Outer joins

- ◆ The join operation combines data from two tables by forming pairs of related rows where the **matching columns** in each table have the same value.
- ◆ If one row of a table is **unmatched**, the row is omitted from the result table. This has been the case for the joins we examined above.
- ◆ The ISO standard provides another set of join operators called **outer joins** (see Chapter 2).
- ◆ The **Outer join retains rows that do not satisfy the join condition.**



# Example of Outer joins

◆ The (Inner) join of these two tables:

**SELECT** b.\*, p.\*

**FROM** Branch1 b, PropertyForRent1 p

**WHERE** b.bCity = p.pCity;

Branch1

| branchNo | bCity   |
|----------|---------|
| B003     | Glasgow |
| B004     | Bristol |
| B002     | London  |

PropertyForRent1

| propertyNo | pCity    |
|------------|----------|
| PA14       | Aberdeen |
| PL94       | London   |
| PG4        | Glasgow  |

result table

| branchNo | bCity   | propertyNo | pCity   |
|----------|---------|------------|---------|
| B003     | Glasgow | PG4        | Glasgow |
| B002     | London  | PL94       | London  |

# Example of Outer joins

◆ The (Inner) join of these two tables:

**SELECT** b.\*, p.\*

**FROM** Branch1 b, PropertyForRent1 p

**WHERE** b.bCity = p.pCity;

Branch1

| branchNo | bCity   |
|----------|---------|
| B003     | Glasgow |
| B004     | Bristol |
| B002     | London  |

PropertyForRent1

| propertyNo | pCity    |
|------------|----------|
| PA14       | Aberdeen |
| PL94       | London   |
| PG4        | Glasgow  |

result table

| branchNo | bCity   | propertyNo | pCity   |
|----------|---------|------------|---------|
| B003     | Glasgow | PG4        | Glasgow |
| B002     | London  | PL94       | London  |

# Example of Left Outer join

*List all branch offices and any properties that are in the same city.*

The **Left Outer join** of these two tables:

**SELECT** b.\*, p.\*

**FROM** Branch1 b **LEFT JOIN** PropertyForRent1 p

**ON** b.bCity = p.pCity;

Branch1

| branchNo | bCity   |
|----------|---------|
| B003     | Glasgow |
| B004     | Bristol |
| B002     | London  |

PropertyForRent1

| propertyNo | pCity    |
|------------|----------|
| PA14       | Aberdeen |
| PL94       | London   |
| PG4        | Glasgow  |

**result table**

| branchNo | bCity   | propertyNo | pCity   |
|----------|---------|------------|---------|
| B003     | Glasgow | PG4        | Glasgow |
| B004     | Bristol | NULL       | NULL    |
| B002     | London  | PL94       | London  |

# Example of Right Outer join

List all properties offices and any branch offices that are in the same city. The Right Outer join of these two tables:

**SELECT** b.\*, p.\*

**FROM** Branch1 b **RIGHT JOIN** PropertyForRent1 p

**ON** b.bCity = p.pCity;

Branch1

| branchNo | bCity   |
|----------|---------|
| B003     | Glasgow |
| B004     | Bristol |
| B002     | London  |

PropertyForRent1

| propertyNo | pCity    |
|------------|----------|
| PA14       | Aberdeen |
| PL94       | London   |
| PG4        | Glasgow  |

result table

| branchNo | bCity   | propertyNo | pCity    |
|----------|---------|------------|----------|
| NULL     | NULL    | PA14       | Aberdeen |
| B003     | Glasgow | PG4        | Glasgow  |
| B002     | London  | PL94       | London   |

# Example of Full Outer join

List the branch offices and properties that are in the same city along with any unmatched branches or properties.

The **Full Outer join** of these two tables:

**SELECT** b.\*, p.\*

**FROM** Branch1 b **FULL JOIN**

PropertyForRent1 p

**ON** b.bCity = p.pCity;

Branch1

| branchNo | bCity   |
|----------|---------|
| B003     | Glasgow |
| B004     | Bristol |
| B002     | London  |

PropertyForRent1

| propertyNo | pCity    |
|------------|----------|
| PA14       | Aberdeen |
| PL94       | London   |
| PG4        | Glasgow  |

result table

| branchNo | bCity   | propertyNo | pCity    |
|----------|---------|------------|----------|
| NULL     | NULL    | PA14       | Aberdeen |
| B003     | Glasgow | PG4        | Glasgow  |
| B004     | Bristol | NULL       | NULL     |
| B002     | London  | PL94       | London   |

## 3.3.4 EXISTS and NOT EXISTS

- ◆ **EXISTS** and **NOT EXISTS** are designed for use **only** with **subqueries**.
- ◆ They produce a simple **true/false** result.
- ◆ **True** if and only if there exists at least **one row** in the result table returned by the subquery.
- ◆ **False** if the subquery returns an **empty** result table.
- ◆ **NOT EXISTS** is the opposite of **EXISTS**.

# EXISTS and NOT EXISTS

(the third person singular)

- Since (NOT) EXISTS check only for **existence** or **non-existence** of rows in the subquery result table, the column name of subquery is meaningless.
- It is common for subqueries following the form:  
**(NOT) EXISTS (SELECT \* FROM ...)**

## 带有 EXISTS 谓词的子查询

带有 EXISTS 谓词的子查询不返回任何数据，只产生逻辑真值 “true” 或假值 “false”。

若子查询结果为非空，则父查询的 WHERE 子句返回真值，否则，返回假值。

由 EXISTS 引出的子查询（相关子查询），其目标列表表达式通常都用 \*，因为带 EXISTS 的子查询只返回真值或假值，给出列名无实际意义。

与 EXISTS 对应的是 NOT EXISTS 谓词。



## Example 3.35 Query using EXISTS

**Find all staff who work in a London branch office.**

```
SELECT staffNo, fName, lName, position  
FROM Staff s  
WHERE EXISTS  
    (SELECT *  
     FROM Branch b  
     WHERE s.branchNo = b.branchNo  
           AND city = 'London');
```

**s.branchNo = b.branchNo AND city = 'London**

## Staff

|   | staffNo | fName | lName | branchNo | position |
|---|---------|-------|-------|----------|----------|
| → | SL19    | 丁岩    | Crane | B001     | .....    |
| → | SL20    | 王爽    | Kite  | B003     | .....    |
| → | SL21    | John  | White | B002     | Manager  |
| → | SL23    | 赵立    | Lee   | B001     | .....    |

## Branch

|   | branchNo | city    | ..... |  | staffNo | fName | lName | position |
|---|----------|---------|-------|--|---------|-------|-------|----------|
| → | B001     | Paris   |       |  |         |       |       |          |
| → | B002     | London  |       |  | SL21    | John  | White | Manager  |
| → | B003     | Bristol |       |  |         |       |       |          |

# Correlation subquery

It has the following features:

1. The Correlation subquery can **not** be executed **independently**.
2. The execution procedure is similar to that of **loop** statement in high-level programming language. The **outermost** subquery is executed **first**, then **go inside** the second level outer query until going to the innermost query **one by one level**. (in the opposite direction to Non-Correlation subquery)

## Example 3.35 Query using EXISTS

```
( SELECT * FROM Branch b  
  WHERE s.branchNo = b.branchNo AND city = 'London');
```

- ◆ Note, the first search condition **s.branchNo = b.branchNo** is necessary.
- ◆ If omitted, we would get all staff records listed out because subquery:

```
SELECT * FROM Branch  
WHERE city='London'
```

would always be true and query would be:

```
SELECT staffNo, fName, lName, position  
FROM Staff  
WHERE true;
```

## Example 3.35 Query using EXISTS

**We could also write this query using join construct:**

```
SELECT staffNo, fName, lName, position  
FROM Staff s, Branch b  
WHERE s.branchNo = b.branchNo AND  
       city = 'London';
```

**Example 3.36: Find the students who take all the courses and list their names.** (相当于查询这样的学生，没有一门课是他不选的)

```
select sn    from s
where not exists
    ( select *    from c
      where not exists
          (select *    from sc
           where s.sno=sc.sno
               and sc.cno=c.cno) ) ;
```

## S (1<sup>st</sup> level)

| sno | sn | age | sex |
|-----|----|-----|-----|
| s1  | 丁岩 | 19  | M   |
| s2  | 王爽 | 17  | F   |
| s3  | 李红 | 18  | F   |
| s4  | 赵立 | 21  | M   |



## C (2<sup>nd</sup> level)

| cno | cn    | T     |
|-----|-------|-------|
| c1  | DB    | li    |
| c2  | Maths | liu   |
| c3  | DS    | zhang |

## SC (3<sup>rd</sup> level)

| sno | cno | G  |
|-----|-----|----|
| s1  | c1  | 79 |
| s1  | c3  | 85 |
| s2  | c1  | 60 |
| s2  | c2  | 83 |
| s2  | c3  | 90 |
| s3  | c1  | 95 |
| s3  | c2  | 80 |
| s4  | c1  | 75 |
| s4  | c2  | 85 |

## Result set is:

| sno | sn |
|-----|----|
| s2  | 王爽 |

Another solution?

Select s.sno, sname

From s, sc

Where s.sno=sc.sno

Group by s.sno, sname

Having count(cno) =

(select count(\*) from c)



**Example 3.37 :** Find the students who take all the courses which S3 takes, and list their student numbers.  
(相当于查询学号X, 对所有课程Y, 只要S3选修了课程Y, 则学生X也选修了Y)

```
select distinct x.sno
from sc as x (Here the alias is necessary)
where not exists
( select *
  from sc as y
  where y.sno='s3' and not exists
    (select *   from sc as z
     where x.sno=z.sno
       and z.cno=y.cno));
```

**x (1<sup>st</sup> level)**

| sno | cno | G  |
|-----|-----|----|
| s1  | c1  | 79 |
| s1  | c3  | 85 |
| s2  | c1  | 60 |
| s2  | c2  | 83 |
| s2  | c3  | 90 |
| s3  | c1  | 95 |
| s3  | c2  | 80 |
| s4  | c1  | 75 |
| s4  | c2  | 85 |

**y (2<sup>nd</sup> level)**

| sno | cno | G  |
|-----|-----|----|
| s1  | c1  | 79 |
| s1  | c3  | 85 |
| s2  | c1  | 60 |
| s2  | c2  | 83 |
| s2  | c3  | 90 |
| s3  | c1  | 95 |
| s3  | c2  | 80 |
| s4  | c1  | 75 |
| s4  | c2  | 85 |

**z (3<sup>rd</sup> level)**

| sno | cno | G  |
|-----|-----|----|
| s1  | c1  | 79 |
| s1  | c3  | 85 |
| s2  | c1  | 60 |
| s2  | c2  | 83 |
| s2  | c3  | 90 |
| s3  | c1  | 95 |
| s3  | c2  | 80 |
| s4  | c1  | 75 |
| s4  | c2  | 85 |

# Another solution?

select sno

from sc

where cno in

( select cno from sc  
where sno='s3')

group by sno

having count(cno)= (**> does not work**)

( select count(cno)  
from sc  
where sno='s3');

Result set is:

| sno |
|-----|
| s2  |
| s3  |
| s4  |

where cno in

( select cno from sc  
where sno='s3')

**sc**

| sno | cno | G  |
|-----|-----|----|
| s1  | c1  | 79 |
| s1  | c3  | 85 |
| s2  | c1  | 60 |
| s2  | c2  | 83 |
| s2  | c3  | 90 |
| s3  | c1  | 95 |
| s3  | c2  | 80 |
| s4  | c1  | 75 |
| s4  | c2  | 85 |

**After the above  
where clause**



**Filtered out**



**Filtered out**



| sno | cno | G  |
|-----|-----|----|
| s1  | c1  | 79 |
|     |     |    |
| s2  | c1  | 60 |
| s2  | c2  | 83 |
|     |     |    |
| s3  | c1  | 95 |
| s3  | c2  | 80 |
| s4  | c1  | 75 |
| s4  | c2  | 85 |

### 3.3.5 Union, Intersect, and Difference (Except)

- ◆ SQL can use normal set operations of Union, Intersection, and Difference to combine results of two or more queries into a single result table.
- ◆ **Union** of two tables, A and B, is a table containing all rows in either A or B or both.
- ◆ **Intersection** is a table containing all rows common to both A and B.
- ◆ **Difference** is a table containing all rows in A but not in B.

### 3.3.5 Union, Intersect, and Difference (Except)

◆ Two tables must be *union compatible*.

- The **numbers** of columns in two tables should be the same.
- The corresponding **columns** should get values from the same domain.

S1 ( sno: **char(4)**, sname:char (10), age: smallint)      **No!**  
S2 ( sno: **char(5)**, sname:char (10), age: smallint)

S1 ( sno: char(4), sname:char (10), age: smallint, **sex: char**)  
S2 ( sno: char(4), sname:char (10), age: smallint)      **No!**

S1 ( sno: char(4), sname:char (10), age: smallint)  
S2 ( snum: char(4), name:char (10), s\_age: smallint)      **Yes!**

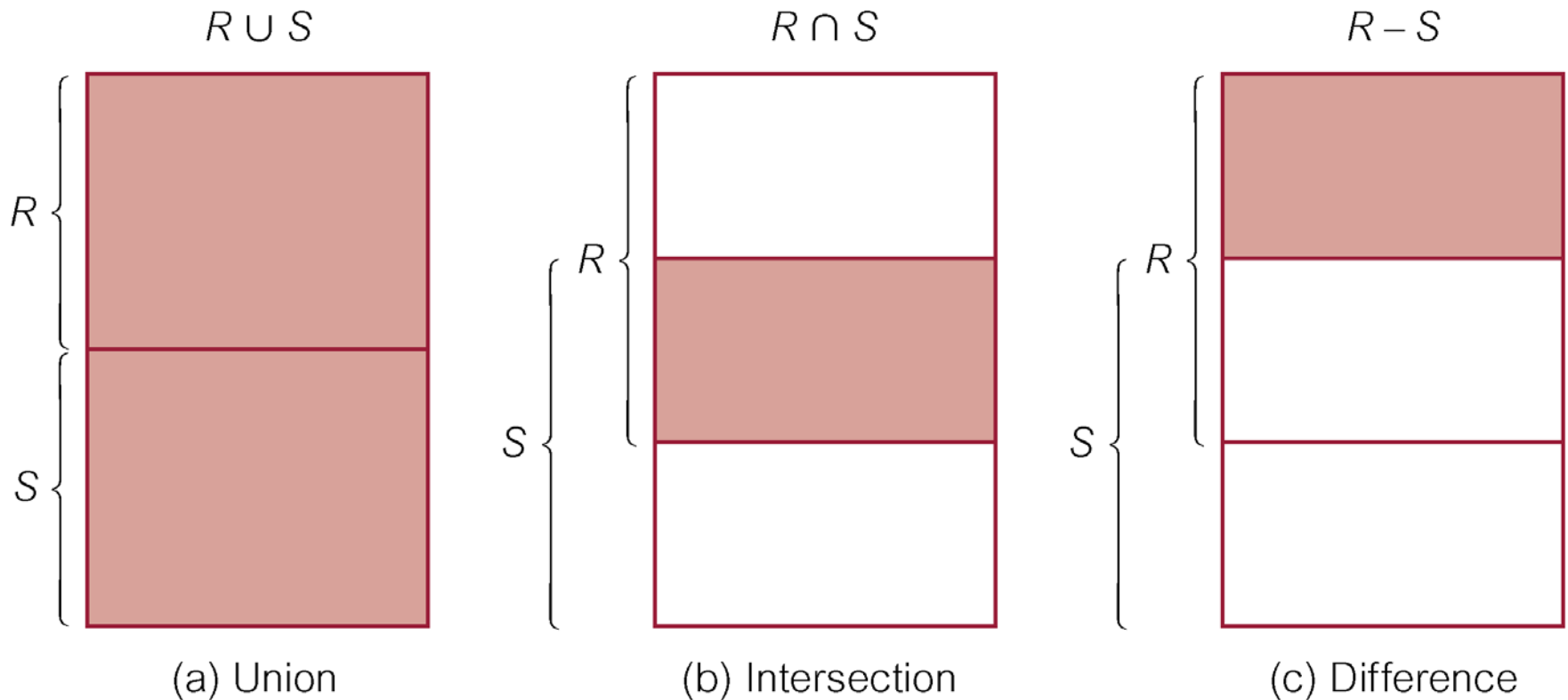
## Union, Intersect, and Difference (Except)

◆ Format of set operator clause in each case is:

*op* [ALL] [CORRESPONDING [BY {column1  
[, ...]}]]

- ◆ If **CORRESPONDING BY** specified, set operation performed on the named column(s).
- ◆ If **CORRESPONDING** specified but **not BY** clause, operation performed on **common columns**.
- ◆ If **ALL** specified, result can include **duplicate rows**.

# Union, Intersect, and Difference (Except)





## Example 3.38 Use of UNION

List all cities where there is either a branch office or a property.

```
(SELECT city  
FROM Branch  
WHERE city IS NOT NULL)  
UNION  
(SELECT city  
FROM Property  
WHERE city IS NOT NULL);
```

# Example 3.38 Use of UNION

– Or

```
(SELECT *  
FROM Branch  
WHERE city IS NOT NULL)  
UNION CORRESPONDING BY city  
(SELECT *  
FROM Property  
WHERE city IS NOT NULL);
```

# Example 3.38 Use of UNION

- Produces result tables from both queries and merges both tables together.

**Table 5.32** Result table for Example 5.32.

| city     |
|----------|
| London   |
| Glasgow  |
| Aberdeen |
| Bristol  |

## **Example 3.39 Use of INTERSECT**

**(SQL Server 2000 does not support,  
but version 2008 does. DB2 dose.)**

**List all cities where there is both a  
branch office and a property.**

```
(SELECT city FROM Branch)  
INTERSECT  
(SELECT city FROM Property);
```

## Example 3.39 Use of INTERSECT

- Or

(SELECT \* FROM Branch)

**INTERSECT** CORRESPONDING BY city

(SELECT \* FROM Property);

| city     |
|----------|
| Aberdeen |
| Glasgow  |
| London   |

## Example 3.39 Use of INTERSECT

- Could rewrite this query without INTERSECT operator:

```
SELECT b.city  
FROM Branch b, Property p  
WHERE b.city = p.city;
```

- Or:

```
SELECT DISTINCT city FROM Branch b  
WHERE EXISTS  
( SELECT * FROM Property p  
  WHERE p.city = b.city );
```

# Example 3.39 Use of INTERSECT

- Or

```
SELECT DISTINCT city  
FROM Branch  
WHERE city IN  
(SELECT city FROM Property );
```

## Example 3.40 Use of EXCEPT

(SQL Server 2000 does not support ,  
but version 2008 does.  
DB2 supports the first format.)

List of all cities where there is a branch office but no  
properties.

(SELECT city FROM Branch)

**EXCEPT**

(SELECT city FROM Property );

- Or

(SELECT \* FROM Branch)

**EXCEPT CORRESPONDING BY city**

(SELECT \* FROM Property );

| city    |
|---------|
| Bristol |



# Example 3.40 Use of EXCEPT

- Could rewrite this query without EXCEPT:

```
SELECT DISTINCT city FROM Branch  
WHERE city NOT IN  
  (SELECT city FROM Property );
```

- Or

```
SELECT DISTINCT city FROM Branch b  
WHERE NOT EXISTS  
  ( SELECT * FROM Property p  
    WHERE p.city = b.city );
```