Data Cleaning CITS4009 Computational Data Analysis

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Domain-Specific Data Cleaning

Take the custdata (Version 2) for example,

custdata_v2 <- readRDS('../../data_v2/Custdata/custdata.RDS')

- The variable gas_usage mixes numeric and symbolic data: values greater than 3 are monthly gas_bills, but values from 1 to 3 are special codes. In addition, gas_usage has some missing values.
- The variable age has the problematic value 0, which probably means that the age is unknown. In addition, there are a few customers with ages older than 100, which may also be an error.
- The variable income has negative values. We'll assume for this discussion that those values are invalid.

Section 1

Dealing with invalid values

Converting Invalid Values to NA

A quick way to treat the problem in the age and income variables is to convert the invalid values to NA, as if they were missing values.

We can then treat the NAs using the automatic missing-value treatment to be discussed a bit later.

We can use the mutate() and na_if() functions from the dplyr package:

- mutate() adds columns to a data frame or modifies existing columns.
- na_if() turns a specific problematic value into NA.

Example Code

The code above creates a new data frame customer_data from custdata_v2, with

- zero values in the age column converted to NAs; and
- negative values in the income column converted to NAs.

Section 2

Dealing with sentinel values

Sentinel Values in gas_usage

The values 1, 2, and 3 of the gas_usage variable are not numeric values, but sentinel values, i.e., special codes, where:

- the value 1 means "Gas bill included in rent or condo fee."
- the value 2 means "Gas bill included in electricity payment."
- the value 3 means "No charge or gas not used."

One way to treat the gas_usage variable is to

- convert all the special codes (1, 2, 3) to NA, and
- add three new indicator variables, one for each code. The three new indicator variables can be gas_with_rent, gas_with_electricity, and no_gas_bill.

as done in the code on the next slide.

Example Code

%>% is like the Unix pipe |: The data frame customer_data is passed to
the first mutate() function, whose output is passed to the second
mutate() function. The final output is stored back to customer data.

Section 3

Dealing with Outliers

Outliers

If we suspect that there are outliers in a variable (column) in our dataset, we should consider dealing with them in the data cleaning process. For example, in the smaller customer dataset in custdata.tsv, the income variable has a negative value and some zero values.

```
count.zero <- sum(custdata$income == 0)
count.neg <- sum(custdata$income < 0)
cat("Number of customers having 0 incomes: ", count.zero)
## Number of customers having 0 incomes: 78

cat("Number of customers having negative incomes: ", count.neg)
## Number of customers having negative incomes: 1</pre>
```

We could treat

- income = 0 as an indication that the customer was not working when the data was collected and
- negative incomes as outliers.

Outliers (cont.)

We could use boxplot.stats() to help us identify the outliers.

```
summary(custdata$income)
## Min. 1st Qu. Median Mean 3rd Qu. Max.
## -8700 14600 35000 53505 67000 615000
stat <- boxplot.stats(custdata$income)</pre>
stat$n # number of non-NAs
## [1] 1000
stat$stats # quartiles
## [1] -8700 14600 35000 67000 145000
length(stat$out) # number of outliers
## [1] 69
cat("min, max outlying incomes are: ", min(stat$out), max(stat$out))
## min. max outlying incomes are: 148000 615000
```

We can see that boxplot.stats() picks up many high income values as outliers. Unfortunately, it is not perfect. it doesn't recognize that these are income values and should not be negative.

Outliers (cont.)

We could fix the problem by turning all the negative incomes to NA and run boxplot.stats() again:

```
# create a new variable called income.mod with negative incomes set to NA
custdata$income.mod <- ifelse(custdata$income < 0, NA, custdata$income)</pre>
stat <- boxplot.stats(custdata$income.mod)</pre>
stat$n
                 # number of non-NAs
## [1] 999
g <- stat$stats # quartiles</pre>
q
## [1] 0 14700 35000 67000 145000
length(stat$out) # number of outliers
## [1] 69
cat("min, max outlying incomes are: ", min(stat$out), max(stat$out))
## min, max outlying incomes are: 148000 615000
```

Outliers (cont.)

Like the missing values issue that we will look at later on, we need to justify what to do with the high income values that are identified as outliers.

- How many outliers are there?
- If only a few, then we can omit them, e.g., set them to NA. In our case, there are 69 customers (or 6.9%)
- However, there are 78 customers having zero income. They should perhaps be treated differently and excluded.

The income column in our data has a skew distribution. We will keep the original values for this column and take an alternative outlier treatment if needed in the future.

Note that outliers are not necessarily bad/incorrect values that must be removed.

(See Practical Data Science with R, Section 3.1.1, page 56)

Section 4

Dealing with Missing Values

Missing Values

- An important feature of R is that it allows for NA ("not available").
- NA represents an unknown value.
- Missing values are "contagious": almost any operation involving an unknown value will also be unknown.

```
NA > 5
## [1] NA

10 == NA
## [1] NA
```

Missing Values (cont.)

This one below is a bit hard to understand, but imagine if the first NA represent customer John's income, the second is Mary's income, both are unknown. Then certainly we don't know whether John and Mary have the same income or not.

```
NA == NA
## [1] NA
```

Use is .na() to check if a value is NA or not.

Treating missing values (NAs)

Strategies for treating missing values vary depending on the answers to the following two questions:

- How many?
- Why they are missing?

Fundamentally, there are two things you can do with these variables:

- Drop the rows with missing values, or
- Convert the missing values to a meaningful value.

Counting the missing values in each variable

```
count_missing <- function(df) {</pre>
  sapply(df, FUN = function(col) sum(is.na(col)) )
}
nacounts <- count_missing(customer_data)</pre>
hasNA = which(nacounts > 0)
nacounts[hasNA]
##
             is_employed
                                                          housing_type
                                         income
                   25774
                                                                   1720
##
                                              45
##
                                                         gas_with_rent gas_with
                      age
                                      gas_usage
##
                       77
                                          35702
                                                                   1720
```

Recall that customer_data was created on slide 5 and three extra variables (columns) were added on slide 8. It has 73,262 observations and 15 variables. Ten of these variables (shown above) have NAs.

When it is safe to drop rows?

```
count_missing = function(df) {
                                                             Defines a function that counts
   sapply(df, FUN=function(col) sum(is.na(col)) )
                                                             the number of NAs in each
                                                             column of a data frame
nacounts <- count_missing(customer_data)</pre>
                                                    Applies the function to customer data,
hasNA = which(nacounts > 0)
                                                    identifies which columns have missing
nacounts[hasNA]
                                                    values, and prints the columns and counts
##
             is_employed
                                            income
                                                             housing_type
##
                    25774
                                                                       1720
                                                45
##
                                     num vehicles
             recent move
                                                                        age
##
                     1721
                                              1720
                                                                         77
##
                                    gas with rent gas with electricity
                das usade
##
                    35702
                                              1720
                                                                       1720
##
             no gas bill
##
                     1720
```

customer_data has 73,262 rows, safe to drop rows with NAs in the income and age variables, but not the is_employed or gas_usage variable.

Checking locations of missing data

In this example, let's look at the smaller custdata.tsv dataset:

```
## is.employed housing.type recent.move num.vehicles
## 328 56 56 56
```

Checking locations of missing data (cont.)

These variables are only missing a few values. It's probably safe to just drop the rows that are missing values—especially if the missing values are all in the same 56 rows.

```
housing.type/recent.move
                                                             num.vehicles
Homeowner free and clear
                                 :157
                                         Mode :loaical
                                                           Min.
                                                                    :0.000
Homeowner with mortgage/loan:412
                                         FALSE:820
                                                            1st Qu.:1.000
Occupied with no rent
                                  11
                                         TRUE : 124
                                                            Median :2.000
Rented
                                 :364
                                         NA's :56
                                                                    :1.916
                                                            Mean
NA's
                                                            3rd Ou.:2.000
                                 : 56
                                                            Max.
                                                                    :6.000
                                                            NA's
                                                                    :56
                             The is.employed variable is missing many values.
 is.employed
                                  Why? Is employment status unknown?
                             Did the company only start collecting employment
 Mode :loaical
                                         information recently?
 FALSE:73
                                Does NA mean "not in the active workforce"
 TRUE :599
                             (for example, students or stay-at-home parents)?
```

NA's :328

Missing values – are they from the same rows?

In an extremely unlikely case, all missing data may come from the same rows. In custdata, some missing data indeed come from the same rows!

```
summary(custdata[is.na(custdata$housing.type),
               c("recent.move","num.vehicles")])
               num.vehicles
##
   recent.move
##
   Mode:logical Min. : NA
##
   NA's:56 1st Qu.: NA
##
                 Median : NA
##
                  Mean : NaN
##
                  3rd Qu.: NA
##
                  Max. : NA
                  NA's :56
##
```

The summary above shows that the 56 customers whose housing.type values are missing also have their recent.move and num.vehicles missing — they are the same 56 customers!

Missing Values – removing all rows with NAs

```
Use na.omit() to remove incomplete observations
```

```
newdata <- na.omit(custdata)
nrow(custdata)
## [1] 1000
nrow(newdata)</pre>
```

This removes all the rows that contain NAs.

[1] 664

Missing Values – removing selective rows

Another option is to use <u>subsetting</u> to remove rows that have <u>NAs</u> for certain variables only:

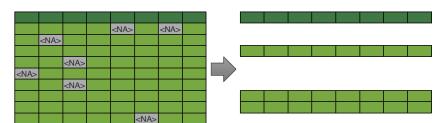
```
newdata <-
  custdata[!is.na(custdata$housing.type),]
nrow(custdata)

## [1] 1000
nrow(newdata)</pre>
```

[1] 944

Should I drop rows if only a few values are missing?

Even for a few missing values, you can lose almost all your data!

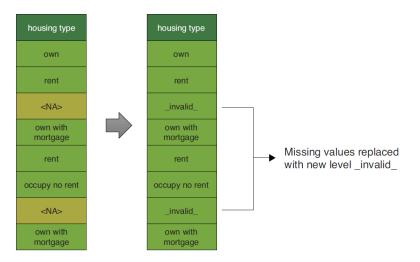


Missing values – To Drop or Not to Drop?

- If only a small proportion of values are missing and they tend to be for the same data points, then consider dropping those rows from your analysis, this is called listwise deletion.
- If you are missing data for a particular variable from a large portion of the observations or NAs spread throughout the data, then consider:
 - If the variable is categorical, then create a new category (e.g., *missing*) for the variable.
 - 2 If the variable is numerical,
 - when values are missing randomly, replace them with the mean value or an appropriate estimate, a.k.a. imputing missing values;
 - when values are missing systematically, convert them to categorical and add a new category, or replace them with zero and add a masking variable.

Missing values – categorical variables

Create a new category for the missing values, e.g., missing or _invalid_.



Example Code

In the code below, a masking variable is.employed.fix is created. It is the same as is.employed except that the NAs are mapped to a new category missing.

Why having a masking variable?

• Better to have the original variable on hand, in case we second-guess our data cleaning and want to redo it.

Missing values - Investigate a bit further

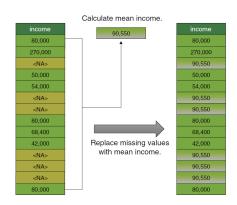
The fix will get the job done, but as a data scientist, one ought to be interested in why so many records are missing certain information.

In the case of the above example, the NAs might actually encode that the customer is not in the active workforce: they are a homemaker, a student, retired, or otherwise not seeking paid employment.

So the category "missing" can be better named as "not in active workforce".

Missing values - Numerical variables

 One might believe that the data collection failed at random so the missing values are independent of other variables. In this case, the missing values can be replaced by the mean or an appropriate estimate (e.g., the median).



Missing values – imputing with better estimate

The estimate can be improved (potentially better than *mean*) if other variables that relate to it are used for prediction.

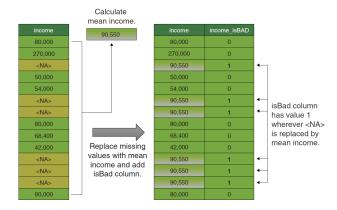
- For instance, from data exploration we know *income* is related to *age*, state of residence and marital status.
- We can use a regression model to predict the missing income if other variables for that data point are available.
- Other models such as *clustering* are also applicable.

How to best impute missing values is still under active research.

Note: imputing a missing value of an input variable based on the other input variables can be applied to categorical data as well.

Missingness Indicator

A trick that has worked well is to not only replace the NAs with the mean, but also add an additional indicator variable (e.g., isBAD) to keep track of which data points have been altered.



Why Missingness Indicators can be useful

The idea is that at the modelling step, you give all the variables — income, income_isBAD, gas_usage, no_gas_bill, and so on — to the modelling algorithm, and it can determine how to best use the information to make predictions.

- If the missing values really are missing randomly, then the indicator variables are uninformative, and the model should ignore them.
- If the missing values are missing systematically, then the indicator variables provide useful additional information to the modelling algorithm.
- In many situations, the isBAD variables are sometimes even *more* informative and useful than the original variables!

Take home messages

- Strategies for dealing with missing values depend on how many missing values there are, and whether they are missing randomly or systematically.
- When in doubt, assume that missing values are missing systematically.

The vtreat package

Missing values are such a common problem with data, it's useful to have an automatic and repeatable process for dealing with them.

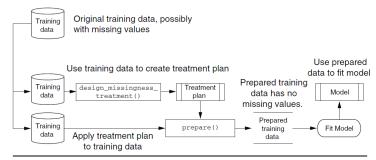
vtreat is a package for automatically treating missing values. It creates a treatment plan that records all the information needed so that the data treatment process can be repeated. You then use this treatment plan

- to "prepare" or treat your training data before you fit a model, and
- then again to treat new data before feeding it into the model.

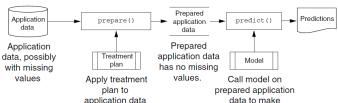
The idea is that treated data is "safe", with no missing or unexpected values, and should not ruin the model.

Creating and Applying a Simple Treatment Plan

Model Training



Model Application



Sample Code

```
library(vtreat)
varlist <- setdiff(colnames(customer data),</pre>
                     c("custid", "health ins"))
treatment_plan <- design_missingness_treatment(</pre>
  customer data, varlist = varlist)
training_prepared <- prepare(treatment_plan,</pre>
                                customer data)
nacounts <- count missing(training prepared)</pre>
sum(nacounts)
```

[1] 0

Examining the data treatment

```
missing.ht <- which(
  is.na(customer data$housing type))
columns to look at <-
  c("custid", "is employed", "num vehicles",
    "housing type", "health ins")
customer_data[missing.ht, columns_to_look_at] %>% head()
##
              custid is_employed num_vehicles housing_type heal
## 80 000082691 01
                            TRUE
                                             NA
                                                        \langle NA \rangle
## 100 000116191_01
                            TRUE
                                            NA
                                                        <NA>
## 237 000269295 01
                               NA
                                            NA
                                                        <NA>
## 299 000349708 01
                               NA
                                            NA
                                                        <NA>
## 311 000362630 01
                               NA
                                            NA
                                                        <NA>
## 413 000443953 01
                              NA
                                            NA
                                                        <NA>
```

Examining the data treatment (cont.)

```
columns to look at = c("custid",
   "is_employed", "is_employed_isBAD", "num_vehicles",
   "num_vehicles_isBAD", "housing_type", "health_ins")
training_prepared[missing.ht, columns_to_look_at] %>% head()
##
            custid is_employed is_employed_isBAD num_vehicles num_vehicles_isBAD ho
## 80 000082691 01 1.0000000
                                                       2.0655
## 100 000116191 01 1.0000000
                                                       2.0655
  237 000269295_01 0.9504928
                                                       2.0655
## 299 000349708 01 0.9504928
                                                       2.0655
## 311 000362630 01 0.9504928
                                                      2.0655
## 413 000443953 01 0.9504928
                                                       2.0655
```

References

- Practical Data Science with R, Nina Zumel, John Mount, Manning, 2nd Ed., 2020 (Chapters 3 & 4)
- R in Action, Robert I. Kabacoff, Manning, 2011 (Chapter 4)

Converting Continuous Variables to Categorical CITS4009 Computational Data Analysis

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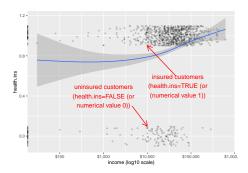
Section 1

Recoding Variables

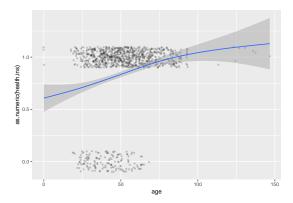
Recoding variables

- Change a continuous variable into a set of categories
- Create a pass/fail variable based on a set of cutoff scores
- Replace miscoded values with correct values

Discretizing continuous variables – Motivation



Discretizing continuous variables – Motivation (cont.)



Discretizing continuous variables – Motivation (cont.)

For some continuous variables, their exact values matter less than whether they fall into a certain range. For example,

- Customers with incomes less than \$20,000 have different health insurance patterns than customers with higher incomes.
- Customers younger than 25 and older than 65 have high probabilities
 of insurance coverage, because they tend to be on their parents'
 coverage or on a retirement plan, respectively, whereas customers
 between those ages have a different pattern.

In these cases, you might want to convert the continuous age and income variables into ranges, or discrete variables. Discretizing continuous variables is useful when the relationship between input and output isn't linear.

Converting income into range

```
custdata$income.lt.20K <- custdata$income < 20000
summary(custdata$income.lt.20K)</pre>
```

```
## Mode FALSE TRUE
## logical 678 322
```

Converting age into range

```
## [0,25] (25,65] (65,Inf]
## 56 732 212
```

The code above creates a new categorical variable age.range, which has 3 categories.

Infinite age – immortal?

Age values extending to Inf is beyond reality for mortals. :-) In fact, age values over 120 might even be data entry errors. We can treat them as missing or unknown values.

Explicit categorisation

We could also define the categorization explicitly.

```
custdata$agecat[custdata$age > 120] <- NA
custdata$agecat[custdata$age > 65
          & custdata$age <= 120] <- "Elder"
custdata$agecat[custdata$age > 25
          & custdata$age <= 65] <- "Middle Aged"
custdata$agecat[custdata$age <= 25] <- "Young"</pre>
```

More explicit categorisation

The code can be written more compactly using the within() function. We

- first create a variable agecat, and set to missing (NA) for each row of the data.
- then execute the remaining statements in the curly braces in order.

```
custdata <- within(custdata, {
  agecat <- NA
  agecat[age > 120] <- NA
  agecat[age > 65 & age <= 120] <- "Elder"
  agecat[age > 25 & age <= 65] <- "Middle Aged"
  agecat[age <= 25] <- "Young" })</pre>
```

Note: agecat is of string type. It needs to be converted to factor.

```
custdata$agecat <- factor(custdata$agecat)</pre>
```

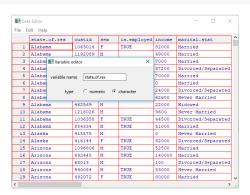
Section 2

Renaming Variables

Renaming variables Manually via the Interactive Editor

• Use fix() to invoke the interactive editor.

fix(custdata)



Rename variables programmatically

The dplyr package has a rename() function that's useful for altering the names of variables.

```
rename(dataframe, newname=oldname, newname=oldname, ...)
```

For example,

References

- Practical Data Science with R, Nina Zumel, John Mount, Manning, 2nd Ed., 2020 (Chapter 4)
- R in Action, Robert I. Kabacoff, Manning, 2011 (Chapter 4)

Dealing with Date and Time CITS4009 Computational Data Analysis

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Date and time can get complicated

The more you learn about dates and times, the more complicated they seem to get.

- Does every year have 365 days?
- Does every day have 24 hours?
- Does every minute have 60 seconds?

Not every year has 365 days, but do you know the full rule for determining if a year is a leap year?

Many parts of the world use daylight savings time (DST), so that some days have 23 hours, and others have 25.

Some minutes have 61 seconds because every now and then leap seconds are added because the Earth's rotation is gradually slowing down.

The 100/400 exclusion rule of leap years

In the Gregorian calendar, a normal year consists of 365 days.

- The actual length of a sidereal year (the time required for the Earth to revolve once about the Sun) is actually 365.2425 days.
 - A "leap year" of 366 days is used once every four years to eliminate the error caused by three normal (but short) years.
- However, there is still a small error that must be accounted for.
 - To eliminate this error, the Gregorian calendar stipulates that a year that is evenly divisible by 100 (for example, 1900) is a leap year only if it is also evenly divisible by 400.

https://docs.microsoft.com/en-us/office/troubleshoot/excel/determine-a-leap-year

Number of days in a month or a year

```
library(Hmisc)
monthDays(as.Date('2020-02-01'))
## [1] 29
monthDays(as.Date('2022-02-01'))
## [1] 28
monthDays(as.Date('2000-02-01'))
## [1] 29
monthDays(as.Date('1900-02-01'))
## [1] 28
yearDays(as.Date('1900-02-01'))
## [1] 365
```

Calendar dates and times

There are three types of date/time data that refer to an instant in time:

- A date.
- A time within a day.
- A date-time is a date plus a time: it uniquely identifies an instant in time (typically to the nearest second)

Section 1

Parsing Dates

Parsing dates

- Dates are typically entered into R as character strings and then translated into date variables that are stored numerically.
- The function as.Date() is used to make this translation.
- The syntax is as.Date(x, "input_format"), where x is the character string and input_format gives the appropriate format for reading the date.

Symbol	Meaning	Example
 %d	Day as a number	(1-31) 01-31
%a	Abbreviated weekday	Mon
%A	Unabbreviated weekday	Monday
%m	Month	(1-12) 01-12
%Ъ	Abbreviated month	Jan
% B	Unabbreviated month	January
% y	2-digit year	18
%Y	4-digit year	2018

Date Example

The default format for inputting dates is yyyy-mm-dd.

This statement converts the character string vector using default format.

```
mydates <- as.Date(c("2007-06-22", "2004-02-13"))
class(mydates)
## [1] "Date"</pre>
```

In contrast, the following reads the data using the mm/dd/yyyy format.

```
strDates <- c("01/05/1965", "08/16/1975")
dates <- as.Date(strDates, "%m/%d/%Y")
```

Current dates

```
Sys.Date() returns today's date, of class type Date.
Sys.Date()
## [1] "2024-07-18"
date() returns the current date and time, of class type character.
date()
## [1] "Thu Jul 18 10:31:18 2024"
Sys.time() contains timezone, of class c("POSIXct" "POSIXt").
Sys.time()
## [1] "2024-07-18 10:31:18 AWST"
```

Section 2

Formatting dates

Formatting dates

We can use format(x, format="output_format") to format a date variable:

```
today <- Sys.Date()
format(today, format="%B %d %Y")

## [1] "July 18 2024"
format(today, format="%A")</pre>
```

Extracting information from date/time

weekdays() and months()
locale in use.

```
weekdays(Sys.time())
## [1] "Thursday"

quarters() returns a character vector of "Q1" to "Q4".
quarters(Sys.time())
## [1] "03"
```

Section 3

Extracting information from dates

Extracting information from date/time

julian() returns the number of days (possibly fractional) since the origin
day (1970 Jan 1st), which can be changed by the origin argument.

All of the time calculations in R are done ignoring leap-seconds.

```
julian(Sys.time())
## Time difference of 19922.11 days
julian(Sys.time(), origin = as.Date("2022-08-01"))
## Time difference of 717.1051 days
```

Section 4

Using dates in calculation

Dates used for calculation

When R stores dates internally, they're represented as the number of days since **January 1, 1970**, with negative values for earlier dates.

We can check the number of days using as.double()

```
dob <- as.Date("1956-10-12")
dob_num_days <- as.double(dob)
dob_num_days
## [1] -4829</pre>
```

We can also convert this number back to a date object:

```
as.Date(dob_num_days, origin=as.Date("1970-01-01"))
## [1] "1956-10-12"
```

Finding Time Difference

```
today <- Sys.Date()

d <- difftime(today, dob, units="weeks")
class(d)
## [1] "difftime"

d
## Time difference of 3535.857 weeks</pre>
```

Use Time Difference in Calculation (class difftime)

To get the number weeks for calculation, we can use as.double(x), where x is of class difftime.

```
age <- as.double(d)/52
age
## [1] 67.99725
```

Take home messages

- Parsing dates
- Formatting dates
- Extracting information out of date/time values
- Use date/time for calculation