# NEURAL NETWORKS AND GENETIC ALGORITHMS

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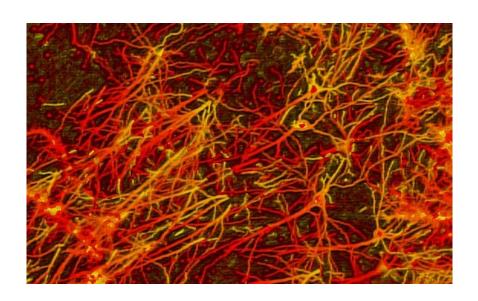
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# Today's outline

- Neural Networks
  - The Neuroscience: introduction to biological neural networks
  - From biological to artificial neural networks
  - Different kinds of networks
- Genetic Algorithms
  - A "natural" selection
  - Methods for creating a new generation
- A little project...

#### The Neuroscience

- Our brain is, substantially, a Parallel Information Processing System.
- It contains about 10 BILLION nerve cells, called neurons, each one connected to other ones by synapses.



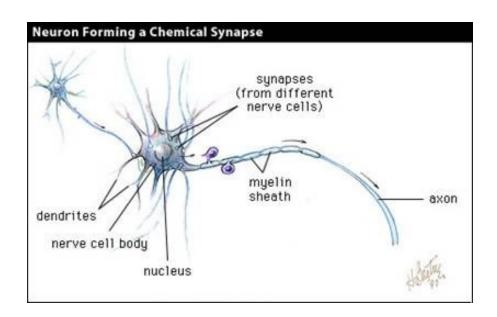
#### The Neuroscience

 A biological neural network is an interconnection of neurons whose sequential or parallel activation is defined with a precise logic.

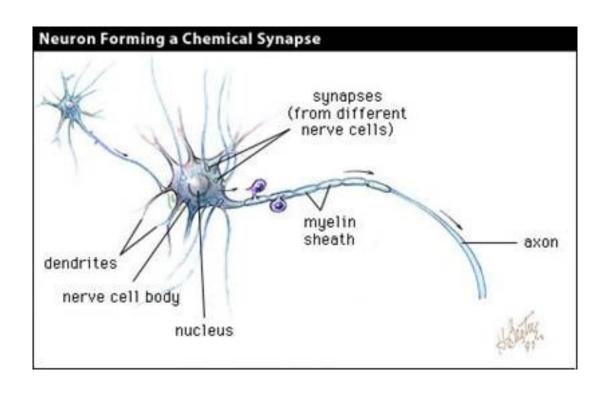
# BUT WHAT IS AN "ACTIVATION"?

# The Neuroscience

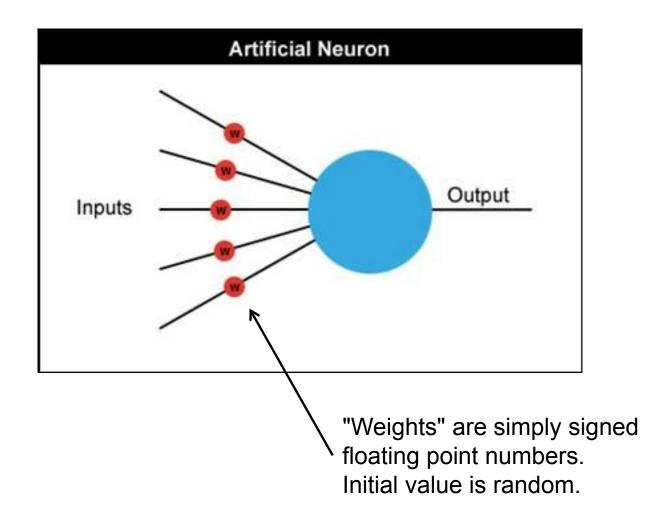
- The neuron continuously receives signals from these inputs and transmits informations using a little bit of... magic.
- For our purposes, we can simplify this action in a way such that a neuron outputs a value that is strongly influenced by those inputs that have a higher "importance factor", called weight.



# Biological neural networks



## Artificial neural networks



## Artificial neural networks

The activation is made by checking this equation:

$$x_1 W_1 + x_2 W_2 + x_3 W_3 \dots + x_n W_n \ge t$$

- $x_i$  is the i<sup>th</sup> input for  $1 \le i \le n$
- w<sub>i</sub> is the weight of the i<sup>th</sup> input
- t is a threshold value
- So, the activation depends upon whether or not the left sum exceeds the threshold value. How big is it? We can do this way...

$$x_1 W_1 + x_2 W_2 + x_3 W_3 \dots + x_n W_n - t \ge 0$$

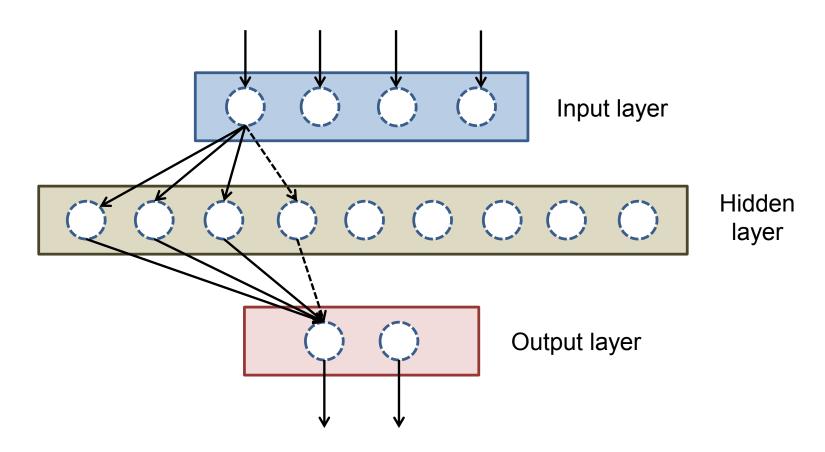
That is equal to

$$x_1 w_1 + x_2 w_2 + x_3 w_3 \dots + x_n w_n + (-1)t \ge 0$$

Threshold is now a further weight multiplied by a constant called bias

## We made a neuron! ... but how to build the network?

 For most of the problems, an artificial neural network is a data structure composed by 3 layers:

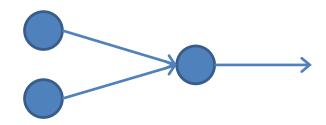


## Artificial neural network

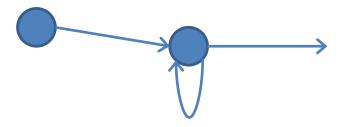
- Input layer holds the input data coming from the problem, for example, the current state of an environment or the input obtained from the agent's sensors, properly discretized.
- Output layer contains the solution to the problem, that can be more or less complex:
  - From one resulting number (there will be only one neuron)
  - To a set of n numbers ("do action X with properties Y, W, and Z")
- How about the Hidden layer? Works as a mapper between inputs and outputs. The number of internal neurons specifies the precision of the outputted data.

#### Different kinds of neural networks

- Based on the data flow:
  - Feed-forward: there is no need to synchronize the inputs of a neuron.



Recurrent: there is loops inside the neural network.



## Different kinds of neural networks

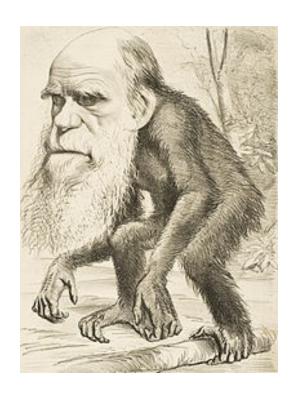
- Based on learning algorithm:
  - Supervised learning: the expected output is known, the neural network is trained to map the input values to the right output.
  - Unsupervised learning: the expected output is unknown, the task of the neural network is to adjust the weights itself to obtain a correct output.

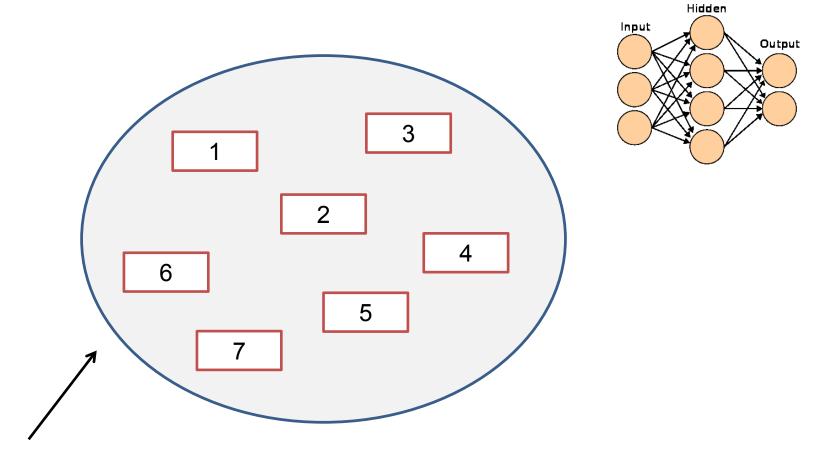
# Weights

- Weights are the core elements of a traditional neural network. Setting weights in the right way will led to a good (expected) result set.
- But our goal is to automate the process of weights calculation trought a method based on the just passed experience!

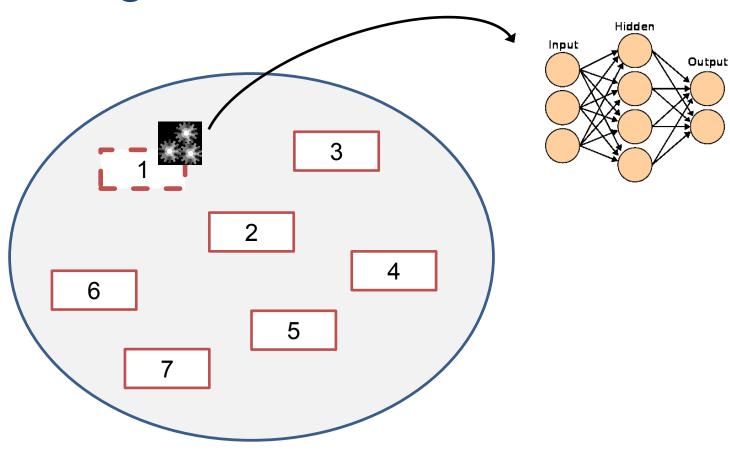
# WHO DOES THE DIRTY JOB?

- Genetic algorithms are a family of search heuristics that mimics the processes of natural evolution, such as inheritance, mutation, selection, and crossover.
- With such methods, we can literally give birth to and raise a population of chromosomes, each one containing a candidate solution to the problem.
- In our case, each chromosome will contain a possible weight set for the neural network.
- ... the <u>best</u> will survive...

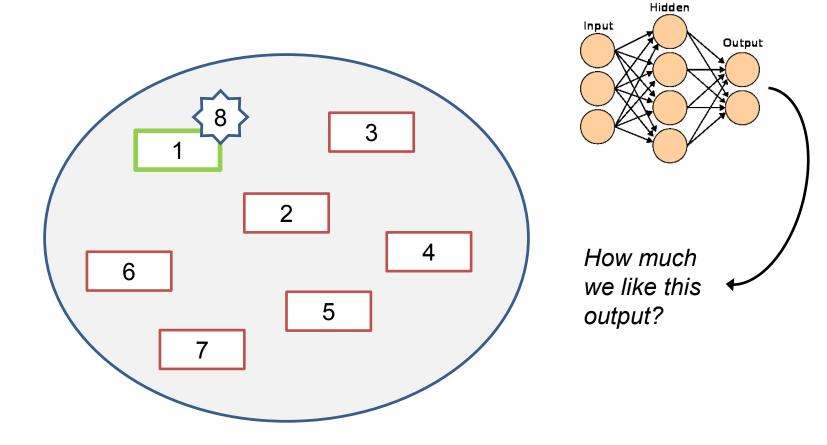




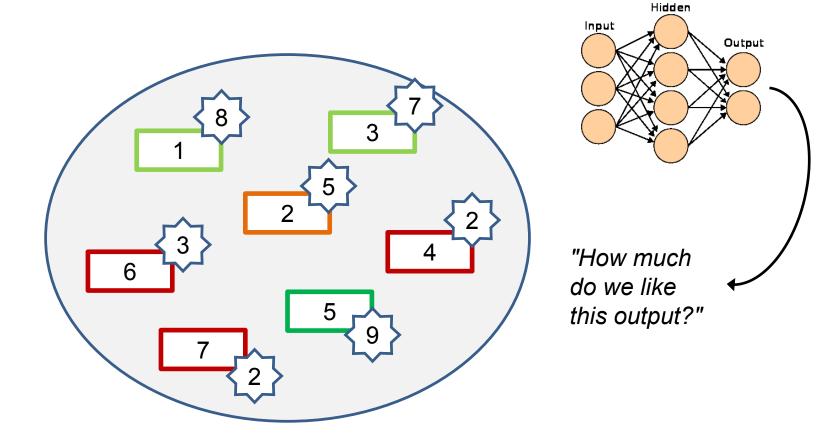
This is a **generation** of chromosomes



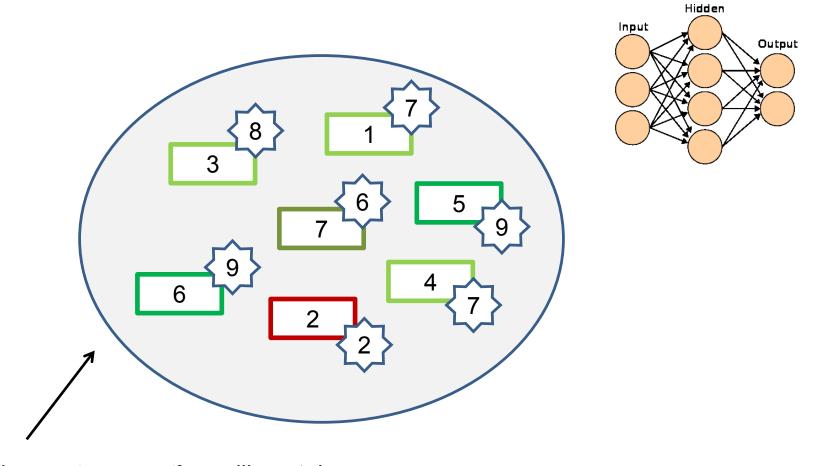
We test each chromosome's effectiveness and give a **score** to it



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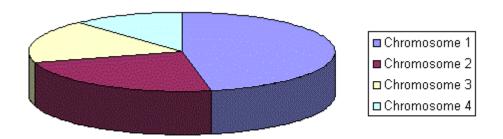
The **next generation** will contain better chromosomes!

#### The fitness value

- We talked about a score gived to each chromosome. A better known name for this number is *fitness*.
- In each generation, the fitness of every individual is evaluated. Then, multiple individuals are selected, recombined and mutated to form a new population.
- The new population is then used in the next iteration of the algorithm. Commonly, the algorithm terminates when either a maximum number of generations has been produced, or a satisfactory fitness level has been reached.

## Selection

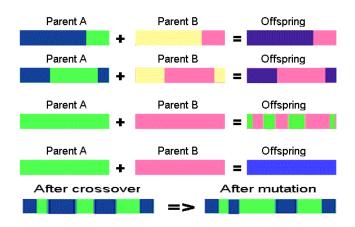
 The practice of select the best candidates can be done in various ways. A widely used method is called "Roulette Wheel".



- 1. Calculate sum S of all chromosome fitnesses in population
- 2. Generate random number R from 0 to S
- 3. While S' < R</p>
  Go through the population and sum fitnesses in S'
- 4. Return the chromosome where you are

#### Recombination

- By recombining two chromosomes it is possible to obtain a couple of better chromosomes (offspring). This operation is also called crossover.
- Different kinds of crossover:
  - One point
  - Two point
  - Uniform
  - Arithmetic
  - Bit inversion



 It's suggested to set the probability to have a crossover for each new generation between 80% and 95%

## **Mutation**

 Mutation is used to avoid local minimum of the fitness function. It is performed by changing elements of a chromosome of a small amount.

```
(1.29 \ 5.68 \ 2.86 \ 4.11 \ 5.55) => (1.29 \ 5.68 \ 2.73 \ 4.22 \ 5.55)
```

• It is suggested to perform a mutation before any new generation with probability between 0,5% and 1,0%.

#### References

- For Neural Networks:
  - R. Rojas: Neural Networks A systematic introduction
    - Springer-Verlag, Berlin, New-York, 1996.
    - Free online PDF: <a href="http://page.mi.fu-berlin.de/rojas/neural/index.html.html">http://page.mi.fu-berlin.de/rojas/neural/index.html.html</a>
  - Al Junkie Neural Networks in plain english
    - http://www.ai-junkie.com/ann/evolved/nnt1.html
- For genetic algorithms:
  - Introduction to Genetic Algorithms
    - http://www.obitko.com/tutorials/genetic-algorithms/index.php
  - D. Whitley: Genetic Algorithms and Neural Networks (1995)

# **CARWIN**

A self-driving car implemented with a neural network and a genetic algorithm (Al Course Project)

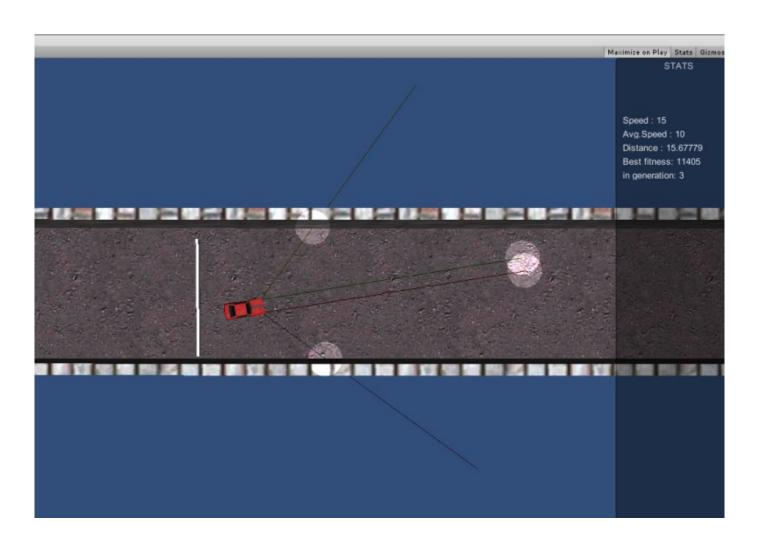
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## Carwin

- Carwin is a car driven by a neural network which is trained by a genetic algorithm.
- The neural network inputs are:
  - Values returned by 4 sensors using raycast method:
    - Angle of a imminent turn, in degrees (from -90 to 90)
    - Distances from front and side walls
  - Current speed of the car
- The neural network outputs:
  - An acceleration factor (from 0.0 to 1.0)
  - A steering force (from -1.0 to 1.0)



# Carwin



#### **Facts**

- Fitness value = distanceMade x avgSpeed
- Our neural network is composed by
  - 1. Input layer with 5 neurons (one for each input)
  - 2. 1 hidden layer with 24 neurons (heuristically chosen)
  - 3. Output layer with 2 neurons (one for each output)
- The genetic population is a set of 14 chromosomes
- Remember that each neuron receives the total of weights from the upper level plus one "fake" weight for the bias!

How many weights in each chromosome?

$$(5 \times 24) + (24 \times 2) + 24 + 2 = 194!$$

# **DEMO**



This project is free and open-source. Feel free to test and hack it!

https://github.com/alessandrofrancesconi/carwin