

# CS 245 Personal Notes

by Sam Gunter

Instructors: Jonathan Buss, Collin Roberts

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Course Notes by: Collin Roberts

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## Natural Deduction

1. Reflexivity:  $A \vdash A$  is a theorem
2. (+): If  $\Sigma \vdash A$ , then  $\Sigma, \Sigma' \vdash A$  is a theorem
3. ( $\neg$ -): If  $\Sigma, \neg A \vdash B$  and  $\Sigma, \neg A \vdash \neg B$ , then  $\Sigma \vdash A$  is a theorem
4. ( $\rightarrow$  -): If  $\Sigma \vdash A \rightarrow B$  and  $\Sigma \vdash A$ , then  $\Sigma \vdash B$  is a theorem
5. ( $\rightarrow$  +): If  $\Sigma, A \vdash B$ , then  $\Sigma \vdash A \rightarrow B$  is a theorem
6. ( $\wedge$  -): If  $\Sigma \vdash A \wedge B$ , then  $\Sigma \vdash A$  and  $\Sigma \vdash B$  are theorems
7. ( $\wedge$  +): If  $\Sigma \vdash A$  and  $\Sigma \vdash B$ , then  $\Sigma \vdash A \wedge B$  is a theorem
8. ( $\vee$  -): If  $\Sigma, A \vdash C$  and  $\Sigma, B \vdash C$ , then  $\Sigma, A \vee B \vdash C$  is a theorem
9. ( $\vee$  +): If  $\Sigma \vdash A$ , then  $\Sigma \vdash A \vee B$  and  $\Sigma \vdash B \vee A$  are theorems
10. ( $\leftrightarrow$  -): If  $\Sigma \vdash A \leftrightarrow B$  and  $\Sigma \vdash B$ , then  $\Sigma \vdash A$  is a theorem
11. ( $\leftrightarrow$  +): If  $\Sigma, A \vdash B$  and  $\Sigma, B \vdash A$ , then  $\Sigma \vdash A \leftrightarrow B$  is a theorem
12. ( $\forall$  -): If  $\Sigma \vdash \forall x A(x)$ , then for a term  $t$ ,  $\Sigma \vdash A(t)$  is a theorem
13. ( $\forall$  +): If  $\Sigma \vdash A(u)$  and  $u \notin \Sigma$ , then  $\Sigma \vdash \forall x A(x)$  is a theorem
14. ( $\exists$  -): If  $\Sigma, A(u) \vdash B$  and  $u \notin \Sigma \cup B$ , then  $\Sigma, \exists x A(x) \vdash B$  is a theorem
15. ( $\exists$  +): If  $\Sigma \vdash A(t)$ , then for  $A'(x)$  such that some occurrences of  $t$  in  $A(t)$  are replaced by  $x$ ,  $\Sigma \vdash \exists x A'(x)$  is a theorem
16. ( $\approx$  -): If  $\Sigma \vdash A(t_1)$  and  $\Sigma \vdash t_1 \approx t_2$ , then for  $A'(t_2)$  such that some occurrences of  $t_1$  in  $A(t_1)$  are replaced by  $t_2$ ,  $\Sigma \vdash A'(t_2)$  is a theorem
17. ( $\approx$  +):  $\emptyset \models u \approx u$  is a theorem
18. Membership Rule ( $\in$ ): If  $A \in \Sigma$ , then  $\Sigma \vdash A$

## Peano's Axioms

- PA1:  $\forall x (s(x) \not\approx 0)$
- PA2:  $\forall x \forall y ((s(x) \approx s(y)) \rightarrow (x \approx y))$
- PA3:  $\forall x (x + 0 \approx x)$
- PA4:  $\forall x \forall y ((x + s(y)) \approx s(x + y))$
- PA5:  $\forall x (s(x) \cdot 0 = 0)$
- PA6:  $\forall x \forall y (x \cdot s(y) = x \cdot y + x)$
- PA7: Axiom Schema: For a formula  $A(u)$  where  $u$  is a free variable,
- $$(A(0) \wedge \forall x (A(x) \rightarrow A(s(x)))) \rightarrow \forall x A(x)$$

# 1 Introduction

**Def Logic:** From the Greek word Logykos, reasoning. The science of proof, the fundamental science of thought, the art of applying knowledge, the analysis of arguments

- Plato (429-327BC) studies “What is Truth”
- Aristotle (384-322BC) lays down the first systemic treatment of valid reasoning “syllogisms”
- Rene Descartes (1596-1650) introduces algebraic symbols into geometry
- George Boole (1815-1864) proposes the use of algebra for logic
- Kurt Goel (1906-1978) demonstrates that any theory must have limitations
- Alan Turing (1912-1954) gives the first definition of computer programming

**Def Syllogism:** A kind of logical argument where a proposition is inferred from two others (premises)

Note: Based on form, not content

## **Applications:**

1. Electronic digital circuits formed from logic gates to minimize components
2. Artificial Intelligence uses knowledge base and an inference engine
  - (a) DENDRAL to identify unknown organic molecules
  - (b) MYCIN to treat blood infections to the same degree as a specialist in blood infections
  - (c) MISTRAL to monitor dam safety
3. Automated Proof Verifiers
4. Databases such as SQL use first order logic
5. Software Design/Programming Languages
6. DNA Computing
7. Synthetic Biology

**Def Truth-Preserving:** If the premises are true, then the conclusion must be true

**Def Logic:** The analysis and appraisal of arguments

**Def Argument:** A set of statements including premise(s) and a conclusion

**Def Valid:** An argument is valid, correct, or sound when if its premises are true its conclusion is true

**Def Compound Statements:** Consists of several parts, each of which is its own statement

**Def Hypothetical Syllogism:** If p then q, if q then r, therefore if p then r

**Def Disjunctive Syllogism:** p or q, not q, therefore p

**Def Modus Ponens:** If p then q, p, therefore q

**Def Proposition:** A statement with a true or false value

Variable: any variable (p, q, r)

Constants: 1 or true and 0 or false

**Def Atomic Propositions:** Cannot be further divided, a single variable

**Def Compound Propositions:** Combination of several variables

**Def Logical Connectives:** or, and, not, if, then, equivalence

Unary Connective: not or negation

Binary Connective: or, and, if, then, equivalence

Symmetric: or, and, equivalence

**Def Negation:** Not “reverses” the truth value of the proposition

$$\neg p$$

**Def Conjunction:** True if both are true, false otherwise

$$p \wedge q$$

**Def Disjunction:** False if both are false, true otherwise

$$p \vee q$$

**Def Implication:** If a proposition (antecedent) implies another (consequent)

$$p \rightarrow q$$

Vacuously True: If  $p \rightarrow q$  but  $\neg p$ , then always true

**Def Equivalence:** Or biconditional, a double implication

$$p \leftrightarrow q$$

## 2 Propositional Language: Syntax

**Def** Propositional Language  $\mathcal{L}^p$ : The formal language of propositional logic which is expressions from a set of symbols

- Proposition Symbols:  $p, q, r, \dots$
- Connective Symbols:  $\neg, \vee, \wedge, \rightarrow, \leftrightarrow$
- Punctuation Symbols:  $(, )$

**Def** Expressions: Finite strings of the allowed symbols where the length is the number of occurrences of symbols, often defined by  $U, V, \text{etc}$  (Meta-symbols, along with  $=, \neq$ )

Empty Expression:  $\varepsilon$

**Def** Concatenation: An expression followed by another expression  $UV$

Note:  $U\varepsilon = U$

**Def** Segment: If  $U = W_1VW_2$  then  $V$  is a segment of  $U$

if  $V \neq U$ , then a Proper Segment

if  $U = VW$ , then  $V$  is an Initial Segment

if  $U = WV$ , then  $V$  is a Terminal Segment

**Def** Atomic Formula: An expression with length 1 consisting of a variable

$$Atom(\mathcal{L}^p)$$

**Def** Formulas: The set  $Form(\mathcal{L}^p)$  that meet the formation rules

- Every  $Atom(\mathcal{L}^p)$  is in  $Form(\mathcal{L}^p)$
- For  $A, B \in Form(\mathcal{L}^p)$ 
  - $(\neg A) \in Form(\mathcal{L}^p)$
  - $(A \wedge B) \in Form(\mathcal{L}^p)$
  - $(A \vee B) \in Form(\mathcal{L}^p)$
  - $(A \rightarrow B) \in Form(\mathcal{L}^p)$
  - $(A \leftrightarrow B) \in Form(\mathcal{L}^p)$

**Theorem** Unique Readability: Every formula of  $Form(\mathcal{L}^p)$  is of exactly one of the six forms in exactly one way

**Lemma** Every Formula in  $Form(\mathcal{L}^p)$  has an equal number of left and right parentheses

**Theorem** Every Formula in  $Form(\mathcal{L}^p)$  is an atom or one of the six forms

**Def Precedence Rules:** To aid in removing brackets, the connective symbols should be read in order of

- $\neg$
- $\wedge$
- $\vee$
- $\rightarrow$
- $\leftrightarrow$

**Def Scopes:** For  $(\neg A)$ ,  $A$  is the scope of this negation. For  $(A *' B)$ ,  $A$  is the left scope and  $B$  is the right scope

### 3 Propositional Language: Semantics

**Def Semantics:** The meaning of logic (where syntax is the formation)

**Def Truth Value:** A function that maps to true or false,  $\{0, 1\}$

$$t : Atom(\mathcal{L}^p) \mapsto \{0, 1\}$$

**Def Truth Table:** Lists all possible truth valuations

Truth valuation: A single row

**Def Value:** The value of  $A$  with respect to  $t$  is

$$A^t = \begin{cases} \text{if } Atom(A), & t(p) \\ \text{if } A = (\neg B)^t, & \begin{cases} 1 & \text{if } B^t = 0 \\ 0 & \text{if } B^t = 1 \end{cases} \\ \text{if } A = (B \wedge C)^t, & \begin{cases} 1 & \text{if } B^t = C^t = 1 \\ 0 & \text{else} \end{cases} \\ \text{if } A = (B \vee C)^t, & \begin{cases} 1 & \text{if } B^t \neq 0 \neq C^t \\ 0 & \text{else} \end{cases} \\ \text{if } A = (B \rightarrow C)^t, & \begin{cases} 1 & \text{if } B^t = 0 \text{ or } C^t = 1 \\ 0 & \text{else} \end{cases} \\ \text{if } A = (B \leftrightarrow C)^t, & \begin{cases} 1 & \text{if } B^t = C^t \\ 0 & \text{else} \end{cases} \end{cases}$$

**Def Satisfiable:** For given formulas  $\Sigma \in Form(\mathcal{L}^p)$ , if and only if there exists a  $t$  such that for all formulas  $\Sigma^t = 1$  is satisfied ( $\Sigma^t = 0$  if at least 1 formula does not satisfy)

Note:  $t$  satisfies,  $\Sigma$  is satisfied

**Def Tautology:** A formula which is true under all truth valuations

$$A^t = 1, \forall t$$

**Def Contradiction:** A formula which is false under all truth valuations

$$A^t = 0, \forall t$$

**Def Contingent:** A formula which may be true or false depending on truth valuation

**Def Law of the Excluded Middle:**  $p \vee \neg p$  is a tautology

**Theorem** Let  $A$  be a tautology with proposition symbols  $p_1, p_2, \dots, p_n$ , then replacing  $p_i$  with arbitrary formula  $B$  is also a tautology

**Def Law of the Contradiction:**  $p \wedge \neg p$  is a contradiction

**Def Law of identity:** The final of Plato's essential thoughts,  $p = p$

**Def Tautological Consequence:** For  $\Sigma, A \in Form(\mathcal{L}^p)$ ,  $\Sigma$  tautologically entails  $A$  if and only if

$$\Sigma \models A : \forall t, \Sigma^t = 1 \rightarrow A^t = 1$$

$\emptyset \models A$  is true for all  $A \in Form(\mathcal{L}^p)$  is  $A$  is a tautology

**Def Valid:**

- The argument with premises  $A_1, A_2, \dots, A_n$  and conclusion  $C$  is valid
- $(A_1 \wedge A_2 \wedge \dots \wedge A_n) \rightarrow C$  is a tautology
- $(A_1 \wedge A_2 \wedge \dots \wedge A_n \wedge \neg C)$  is a contradiction
- $(A_1 \wedge A_2 \wedge \dots \wedge A_n \wedge \neg C)$  is not satisfiable
- $\{A_1, A_2, \dots, A_n, \neg C\}$  is not satisfiable
- $\{A_1, A_2, \dots, A_n\} \models C$

**Def Tautologically Equivalent:** If  $A \models B$  and  $B \models A$  then

$$A \models B$$

Note: Equality implies equivalence but not vice versa

**Def Proof by Contradiction:** Assume the contrary, reach a contradiction

**Def De Morgan's Law:**

$$\neg(p \wedge q) \models (\neg p \vee \neg q)$$

**Def Dual De Morgan's Law:**

$$\neg(p \vee q) \models (\neg p \wedge \neg q)$$

**Def** Contrapositives:

$$(p \rightarrow q) \models (\neg q \rightarrow \neg p)$$

**Def** Bi-Conditional:

$$p \leftrightarrow q \models (p \rightarrow q) \wedge (q \rightarrow p)$$

**Lemma** Tautological Equivalences: Let  $A \models A'$  and  $B \models B'$ , then

1.  $\neg A \models \neg A'$
2.  $A \wedge B \models A' \wedge B'$
3.  $A \vee B \models A' \vee B'$
4.  $A \rightarrow B \models A' \rightarrow B'$
5.  $A \leftrightarrow B \models A' \leftrightarrow B'$

**Theorem** Replaceability of Tautologically Equivalent Formulas: Let  $B \models B'$ , and  $A'$  be  $A$  with some instances of  $B$  replaced by  $B'$ , then  $A' \models A$

**Theorem** Duality: Let  $A$  be composed of atoms,  $\neg, \wedge, \vee$ , then for  $\Delta(A)$  made by replacing all atoms with their negation and all  $\vee$  with  $\wedge$  (and vice versa),  $\neg A \models \Delta(A)$

**Def** Fuzzy Logic: Uses real values within  $[0, 1]$  to denote partial truth where the restriction to  $\{0, 1\}$  coincides with classical logic, now

- $\text{And}(x, y) = \min\{x, y\}$
- $\text{Or}(x, y) = \max\{x, y\}$
- $\text{Not}(x) = 1 - x$

## 4 Propositional Calculus: Essential Laws, Normal Forms

**Def** Tautological Equivalences:

- $(A \wedge B) \wedge C \models A \wedge (B \wedge C)$
- $1 \wedge \neg A \models 0$
- $1 \wedge 0 \models 0$

**Def** Removing the Connectives: Connectives are definable (or reducible) in terms of  $\neg, \wedge, \vee$

- $A \rightarrow B \models \neg A \vee B$
- $A \leftrightarrow B \models (A \wedge B) \vee (\neg A \wedge \neg B) \models (\neg A \vee B) \wedge (\neg B \vee A)$



**Propositional Calculus Laws** By dual pairs,

- Excluded Middle Law:  $A \vee \neg A \models 1$
- Contradiction Law:  $A \wedge \neg A \models 0$
- Identity Laws:  $A \vee 0 \models A$ ,  $A \wedge 1 \models A$
- Domination Laws:  $A \vee 1 \models 1$ ,  $A \wedge 0 \models 0$
- Idempotent Laws:  $A \vee A \models A$ ,  $A \wedge A \models A$
- Double-Negation Laws:  $\neg(\neg A) \models A$
- Conductivity Laws:  $A \vee B \models B \vee A$ ,  $A \wedge B \models B \wedge A$
- Associativity Laws:  $(A \vee B) \vee C \models A \vee (B \vee C)$ ,  $(A \wedge B) \wedge C \models A \wedge (B \wedge C)$
- Distributivity Laws:  $A \vee (B \wedge C) \models (A \vee B) \wedge (A \vee C)$ ,  $A \wedge (B \vee C) \models (A \wedge B) \vee (A \wedge C)$
- De Morgan's Laws:  $\neg(A \wedge B) \models \neg A \vee \neg B$ ,  $\neg(A \vee B) \models \neg A \wedge \neg B$
- Absorption Laws:  $A \vee (A \wedge B) \models A$ ,  $A \wedge (A \vee B) \models A$
- Another Important Law (lec 4, pg 9):  $(A \wedge B) \vee (\neg A \wedge B) \models B$ ,  $(A \vee B) \wedge (\neg A \vee B) \models B$

**Def Literal:** Complementary literals are of the form  $p$ ,  $\neg p$

**Def Disjunctive Clause:** A disjunction with literals as disjuncts

$$(p \vee \neg q \vee s)$$

**Def Conjunctive Clause:** A conjunction with literals as conjuncts

$$(p \wedge \neg q \wedge s)$$

**Def Disjunctive Normal Form:** A disjunction with conjunctive clauses

$$p \vee (q \wedge r)$$

**Def Conjunctive Normal Form:** A conjunction with disjunctive clauses

$$p \wedge (q \vee r) \wedge (\neg q \vee r)$$

**Theorem (lec 4 pg 23)** Any formula  $A \in \text{Form}(\mathcal{L}^p)$  is tautologically equivalent to some formula in disjunctive normal form

**Theorem (lec 4 pg 24)** Any formula  $A \in \text{Form}(\mathcal{L}^p)$  is tautologically equivalent to some formula in conjunctive normal form

## 5 Adequate Set of Connectives

**Def  $n$ -ary Connectives:** A connective  $f(A_1, A_2, \dots A_n)$  is defined by its truth-table

Note: There are 4 distinct unary connectives

Note: There are 16 distinct binary connectives

p	q	$\top$	$\vee$	$\leftarrow$	$\rightarrow$	$ $	p	q	XOR	$\leftrightarrow$	$\neg q$	$\neg p$	$\wedge$	$f$	$f$	$\downarrow$	$\perp$
1	1	1	1	1	1	0	1	1	0	1	0	0	1	0	0	0	0
1	0	1	1	1	0	1	1	0	1	0	1	0	0	1	0	0	0
0	1	1	1	0	1	1	0	1	1	0	0	1	0	0	1	0	0
0	0	1	0	1	1	1	0	0	0	1	1	1	0	0	0	1	0

**Def Adequate:** A set of connectives is adequate if they can express every truth table

Note: The standard connectives are adequate  $\{\neg, \wedge, \vee, \rightarrow, \leftrightarrow\}$

**Theorem (Logic 5, pg 8):** The set  $\{\neg, \wedge, \vee\}$  is adequate

**Corollary (Logic 5, pg 10):** The sets  $\{\neg, \wedge\}$ ,  $\{\neg, \vee\}$ ,  $\{\neg, \rightarrow\}$  are adequate

**Def NOR:** The Peirce arrow connective

p	q	$p \downarrow q$
1	1	0
1	0	0
0	1	0
0	0	1

**Def NAND:** The Sheffer stroke connective

p	q	$p   q$
1	1	0
1	0	1
0	1	1
0	0	1

**Def Ternary Connective:** The if, then, else connective

p	q	r	$\tau(p, q, r)$
1	1	1	1
1	1	0	1
1	0	1	0
1	0	0	0
0	1	1	1
0	1	0	0
0	0	1	1
0	0	0	0

## 6 Propositional Logic: Formal Deduction

**Def** Formal Deduction: A relation between a set of premises and conclusion formulas

$$\{A_1, A_2, \dots\} = \Sigma \vdash A \text{ where } \Sigma \cup A = \Sigma, A$$

**Def** Proof: A finite sequence of sequents  $(\Sigma_i \vdash A_i)$

Note: A Theorem is the final sequent in a valid proof

**Def** Natural Deduction: A set of eleven rules (or schema)

1. Reflexivity:  $A \vdash A$  is a theorem
2. Addition of Premises: If  $\Sigma \vdash A$ , then  $\Sigma, \Sigma' \vdash A$  is a theorem
3.  $\neg$  Elimination: If  $\Sigma, \neg A \vdash B$  and  $\Sigma, \neg A \vdash \neg B$ , then  $\Sigma \vdash A$  is a theorem
4.  $\rightarrow$  Elimination: If  $\Sigma \vdash A \rightarrow B$  and  $\Sigma \vdash A$ , then  $\Sigma \vdash B$  is a theorem
5.  $\rightarrow$  Introduction: If  $\Sigma, A \vdash B$ , then  $\Sigma \vdash A \rightarrow B$  is a theorem
6.  $\wedge$  Elimination: If  $\Sigma \vdash A \wedge B$ , then  $\Sigma \vdash A$  and  $\Sigma \vdash B$  are theorems
7.  $\wedge$  Introduction: If  $\Sigma \vdash A$  and  $\Sigma \vdash B$ , then  $\Sigma \vdash A \wedge B$  is a theorem
8.  $\vee$  Elimination: If  $\Sigma, A \vdash C$  and  $\Sigma, B \vdash C$ , then  $\Sigma, A \vee B \vdash C$  is a theorem
9.  $\vee$  Introduction: If  $\Sigma \vdash A$ , then  $\Sigma \vdash A \vee B$  and  $\Sigma \vdash B \vee A$  are theorems
10.  $\leftrightarrow$  Elimination: If  $\Sigma \vdash A \leftrightarrow B$  and  $\Sigma \vdash B$ , then  $\Sigma \vdash A$  is a theorem
11.  $\leftrightarrow$  Introduction: If  $\Sigma, A \vdash B$  and  $\Sigma, B \vdash A$ , then  $\Sigma \vdash A \leftrightarrow B$  are theorems
12. Membership Rule  $\in$ : If  $A \in \Sigma$ , then  $\Sigma \vdash A$

**Def** Formal Proof: Formula  $A$  is formally deducible from  $\Sigma$  if and only if  $\Sigma \vdash A$  is generate by a finite applications of the rules of formal deduction

**Def** Semantics: Considers what is true or false

$$A \models B \text{ iff } A \rightarrow B \text{ is a tautology}$$

**Def** Syntax: Formulas are merely a sequence of abstract symbols

$$A \vdash B \text{ iff } \emptyset \vdash A \rightarrow B$$

**Lemma** Double-Negation Elimination ( $\neg\neg$ ): For every  $A$ ,

$$\neg\neg A \vdash A$$

**Theorem** Reductio Ad Absurdum ( $\neg+$ ): If  $\Sigma, A \vdash B$  and  $\Sigma, A \vdash \neg B$ , then

$$\Sigma \vdash \neg A$$

**Lemma** Contrapositive: For every  $A, B$ ,

$$A \rightarrow B \vdash \neg B \rightarrow \neg A$$

**Theorem** Transitivity of Deducibility (Tr): Let  $\Sigma \subseteq \text{Form}(\mathcal{L}^p)$ ,  $A_1, A_2, \dots, A_n \in \text{Form}(\mathcal{L}^p)$ . If  $\Sigma \vdash A_i$  for all  $i \in \{1, 2, \dots, n\}$  and  $A_1, A_2, \dots, A_n \vdash A$ , then

$$\Sigma \vdash A$$

**Def** Syntactically Equivalent: When  $A \vdash B$  holds

**Lemma** Syntactic Equivalence: If  $A \vdash A'$  and  $B \vdash B'$ , then

- i)  $\neg A \vdash \neg A'$
- ii)  $A \wedge B \vdash A' \wedge B'$
- iii)  $A \vee B \vdash A' \vee B'$
- iv)  $A \rightarrow B \vdash A' \rightarrow B'$
- v)  $A \leftrightarrow B \vdash A' \leftrightarrow B'$

**Theorem** Replacement of Syntactically Equivalent Formulas (Repl): Let  $B \vdash C$ , for a given  $A$ , Let  $A'$  be constructed from the replacement of some occurrences of  $B$  with  $C$ , then

$$A \vdash A'$$

**Theorem** Finiteness of Premise Set: If  $\Sigma \vdash A$ , then  $\exists \Sigma_0 \subseteq \Sigma$  such that  $\Sigma_0 \vdash A$

**Def** Soundness: Every statement that one proves should be actually correct

**Def** Completeness: One should be able to prove, within the system, every correct statement

**Theorem** Soundness and Completeness: Natural Deduction is both sound and complete for propositional logic, that is

$$\forall \Sigma, A, \text{ if } \Sigma \vdash A, \text{ then } \Sigma \models A$$

$$\forall \Sigma, A, \text{ if } \Sigma \models A, \text{ then } \Sigma \vdash A$$

**Fallacy** Denying the Antecedent:  $p \rightarrow q, \neg p \vdash \neg q$

**Fallacy** Affirming the Consequent:  $p \rightarrow q, q \vdash p$

**Def Inconsistent:** When there is a formula  $A$  such that for the set  $\Sigma$ ,  $\Sigma \vdash A$  and  $\Sigma \vdash \neg A$

**Lemma Inconsistent Sets:** Let  $\Sigma$  be a set of formulas,  $\Sigma$  is inconsistent if and only if for every  $B$ ,  $\Sigma \vdash B$

**Def Consistent:** There is no formula  $A$  such that  $\Sigma \vdash A$  and  $\Sigma \vdash \neg A$

**Lemma Consistent Sets:** Let  $\Sigma$  be a set of formulas,  $\Sigma$  is consistent if and only if there exists a  $B$  such that  $\Sigma \not\vdash B$

**Lemma Proof and Inconsistency:** Let  $\Sigma$  be a set of formulas, and  $A$  is a formula, then

$\Sigma \vdash A$  if and only if  $\Sigma \cup \{\neg A\}$  is inconsistent

$\Sigma \not\vdash A$  if and only if  $\Sigma \cup \{\neg A\}$  is consistent

**Lemma Proof and Inconsistency Semantic Analogue:** Let  $\Sigma$  be a set of formulas, and  $A$  is a formula, then

$\Sigma \models A$  if and only if  $\Sigma \cup \{\neg A\}$  is unsatisfiable

$\Sigma \not\models A$  if and only if  $\Sigma \cup \{\neg A\}$  is satisfiable

**Def Maximal:** A consistent set such that for every formula  $A$ , either  $A \in \Sigma$  or  $\neg A \in \Sigma$

**Lemma Maximal Consistent Sets:** Let  $\Sigma$  be a maximal consistent set, then for every  $A$ ,

$$\Sigma \vdash A \iff A \in \Sigma$$

**Theorem Soundness of Propositional Formal Deduction:** For all  $\Sigma, C$ , if  $\Sigma \vdash C$ , then  $\Sigma \models C$

**Theorem Soundness of Propositional Formal Deduction 2:** If  $\Sigma$  is satisfiable, then  $\Sigma$  is consistent

**Theorem Completeness of Propositional Formal Deduction:** For all  $\Sigma, A$ , if  $\Sigma \models A$ , then  $\Sigma \vdash A$

**Lemma Maximal Consistent:** Let  $M$  be a maximal consistent set  $M = \bigcup_{i=0}^{\infty} \Sigma_i$  for proposition formulas such that  $\Sigma_{i+1} = \Sigma_i \cup \{A_i\}$  if  $\Sigma_i \cup \{A_i\}$  is consistent

MC1: For every  $A$ , either  $A \in M$  or  $\neg A \in M$  but not both

MC2: If  $M \vdash A$ , then  $A \in M$

MC3: For every  $A, B$ , if  $A \in M$  and  $A \rightarrow B \in M$ , then  $B \in M$

MC4: For every  $B \in M$ , for every  $A$ ,  $A \rightarrow B \in M$

MC5: For every  $A \notin M$ , for every  $B$ ,  $A \rightarrow B \in M$

## 7 Propositional Logic: Resolution

**Def Resolution:** A method to to prove a disjunctive clause by proving that  $\{A_1, A_2, \dots A_n, \neg C\}$  is not satisfiable (leads to a contradiction) using the formal deduction rule with parents clauses  $(C \vee p, D \vee \neg p)$  and the resolvent  $(C \vee D)$ . Two clauses can be resolved if and only if they contain complementary literals  $(p, \neg p)$  in which case they resolve over  $p$

$$C \vee p, D \vee \neg p \vdash_r C \vee D$$

**Empty Clause:** The resolvent of  $p, \neg p \vdash_r \{\}$

**Def Resolution Derivation:** From a set of clauses  $S$ , the finite set of clauses such that each clause is in  $S$  or results from  $S$

**Def Resolution Procedure:** For  $S = \{D_1, D_2, \dots D_m\}$ , choose two clauses that contain  $p, \neg p$  and add their resolvent to  $S$

**Theorem Soundness of Resolution:** The resolvent is tautologically implied by its parent clauses, thus it is sound

**Def Set-of-Support Strategy:** The set is partitioned into a non-contradictory auxiliary set and a set of support, thus each resolution takes at least one clause from the set of support

**Theorem Completeness of the Set-of-Support:** resolution with the set-of-support strategy is complete

**Theorem Pigeonhole Principle  $P_n$ :** One cannot put  $n+1$  objects into  $n$  slots with distinct objects in distinct slots

**Def Davis-Putnam Procedure:** Treats clauses as sets, which allows the resolvent to be the union of two clauses with  $p, \neg p$  omitted. A empty clause indicates a contradiction, where an empty set of clauses indicates the theorem is not valid.

- $S'_i$  is all  $S_i$  after discarding those in which a literal and its complement appear
- $T_i$  are parent clauses in which  $p_i, \neg p_i$  appear
- $U_i$  are resolvent clauses by resolving every pair of clauses in  $T_i$
- $S'_{i+1}$  is  $(S'_i \setminus T_i) \cup U_i$

**Theorem Soundness and Completeness of DPP:** For a finite set of clauses  $S$ ,  $S$  is unsatisfiable if and only if the output of DPP is the empty clause  $\{\}$

## 10 First-Order-Logic

**Def** First-Order-Logic: An extension of propositional logic to allow identification of individuals and their properties

**Def** Domain: The domain, or universe of discourse, is the non-empty collection of all individuals/objects that are under consideration

**Def** Relations: Relations, or predicates, are the properties of individuals in an argument list

**Def** Arity: The number of elements in the argument list of a  $n$ -ary relation

Property: A  $n = 1$  relation

**Def** Atomic Formula: A relation name followed by an argument list that may take true/false values

$$\text{Human}(u) = \begin{cases} 1, & \text{if } u \text{ is a human} \\ 0, & \text{otherwise} \end{cases}$$

**Def** Quantifiers: For a quantifier (universal  $\forall x$  or existential  $\exists x$ ) and scope ( $A(x)$ ), the variable  $x$  is bound to the quantifier (local to the scope)

**Def** Free Variable: A non-bound variable

**Def** Quantification Over a Subset: For the universal quantifier, define an implication. For the existential quantifier, define a conjunction.

## 11 First-Order-Logic: Syntax

**Def** Language: Specifies the basic elements

**Def** Terms: The syntactic expressions to denote objects

**Def** Atomic Formulas: Combine terms into propositions

**Def** Formulas: Built from atomic formulas through the use of connectives and quantifiers

**Def** Logical Symbols: Have a fixed syntactic use and semantic meaning

Parameters: Designated syntax, but undefined semantic meaning

**Def** Formal Language: The formula language of first-order logic, or  $\mathcal{L}$ , consists of expressions using logical symbols and parameters

- Connectives:  $\neg, \rightarrow, \wedge, \vee, \leftrightarrow$
- Free Variable Symbols:  $u, v, w, u_1, \dots$
- Bound Variable Symbols:  $x, y, z, x_1, \dots$

- Quantifiers:  $\exists, \forall$
- Punctuation Symbols:  $()$ ,
- Individual Symbols (constant symbols):  $a, b, c, a_1, \dots$
- Relation Symbols (predicate symbols):  $F, G, H, F_1, \dots$
- Function Symbols:  $f, g, h, f_1, \dots$

**Def Equality Symbol:** A special binary relation which may be contained in  $\mathcal{L}$ ,

$\approx$

**Def Terms:** Expressions to denote objects and their properties, such that  $Term(\mathcal{L})$  is closed under the following rules

1. Closed Terms: Every individual symbol is a term of  $\mathcal{L}$
2. Every free-variable symbol is a term of  $\mathcal{L}$
3. For terms of  $\mathcal{L}$   $t_1, t_2, \dots, t_n$ , the  $n$ -ary function  $f(t_1, t_2, \dots, t_n)$  is a term of  $\mathcal{L}$

**Def Atoms:** An expressions is in  $Atom(\mathcal{L})$  if it follows one of the forms

1. If  $F$  is an  $n$ -ary formula symbol and  $t_1, t_2, \dots, t_n$  are terms in  $Term(\mathcal{L})$ , then  $F(t_1, \dots, t_n)$  is an atom
2. If  $t_1, t_n$  are terms in  $Term(\mathcal{L})$ , then  $\approx(t_1, t_2)$  is an atom

**Def Formulas:** An expressions in  $Form(\mathcal{L})$  is closed under the following rules

1. Every atom in  $Atom(\mathcal{L})$  is in  $Form(\mathcal{L})$
2. If  $A$  is in  $Form(\mathcal{L})$ , then  $(\neg A)$  is in  $Form(\mathcal{L})$
3. If  $A, B$  are in  $Form(\mathcal{L})$ , then  $(A \vee B), (A \wedge B), (A \rightarrow B), (A \leftrightarrow B)$  are in  $Form(\mathcal{L})$
4. If  $A(u)$  is in  $Form(\mathcal{L})$  with  $u$  as a free-variable and  $x$  as a bound variable not in  $A(u)$ , then  $\forall x A(x)$  and  $\exists x A(x)$  are in  $Form(\mathcal{L})$

**Theorem:** Any term is exactly one of an individual symbol, a free variable symbol, or  $f(t_1, \dots, t_n)$  where  $f$  is a  $n$ -ary function symbol

**Theorem:** Any formula in  $Form(\mathcal{L})$ , is exactly one of  $(\neg A), (A \vee B), (A \wedge B), (A \rightarrow B), (A \leftrightarrow B), \forall x A(x), \exists x A(x)$

**Def Closed Formula:** A sentence of  $Form(\mathcal{L})$  has no free-variable symbols, denoted by  $Sent(\mathcal{L})$



## 12 First-Order-Logic: Semantics

**Def Assignment:** A function which assigns a value in the domain for each free variable

**Def Interpretation:** For the language  $\mathcal{L}$ , contains a non-empty domain  $D$  and a specification of each individual symbol, each relation symbol, each function symbol

**Def Valuation:** An interpretation and an assignment, for valuation  $v$ , the value of each term  $t$  under  $v$  is

$$t^v = \begin{cases} c^v & \text{if } t \text{ is a constant } c \\ u^v & \text{if } t \text{ is a free variable } u \\ f^v(r_1^v, \dots, r_n^v) & \text{if } t \text{ is } f(r_1, \dots, r_n) \end{cases}$$

**Def Value:** The value of a formula  $A$  under valuation  $v$  is

- If  $A$  is an atom, then  $A^v = 1$  if and only if  $\langle t_1^v, \dots, t_n^v \rangle \in F^v$
- If  $A$  is a connective, then  $A^v$  is the same as in propositional logic
- If  $A$  is a quantifier, then  $\forall x$  must hold for every value in the domain, and  $\exists x$  must hold for a value within the domain

**Def Re-Assigned Valuation:** The valuation  $v$  with free variable  $u$  re-assigned to domain element  $d$  is

$$w^{v(u/d)} = \begin{cases} d & \text{if } w \text{ is } u \\ w^v & \text{if } w \text{ is not } u \end{cases}$$

**Def Quantified Formulas:** The valuation  $v$  with domain  $D$  of quantified formulas is

$$(\forall x A(x))^v = \begin{cases} 1 & \text{if } A(u)^{v(u/d)} = 1 \text{ for every } d \text{ in } D \\ 0 & \text{otherwise} \end{cases}$$

$$(\exists x A(x))^v = \begin{cases} 1 & \text{if } A(u)^{v(u/d)} = 1 \text{ for some } d \text{ in } D \\ 0 & \text{otherwise} \end{cases}$$

**Def Valid:** A formula  $A$  is valid if every interpretation and valuation satisfy  $A$  ( $A^v = 1$ ), a set of formulas in  $\mathcal{L}$ ,  $\Sigma$ , is valid iff for every valuation  $v$  and interpretation  $\mathcal{I}$ ,  $\Sigma^v = 1$

**Def Satisfiable:** A formula  $A$  is satisfiable if some interpretation and valuation satisfy  $A$ , a set of formulas in  $\mathcal{L}$ ,  $\Sigma$ , is satisfiable iff there is some interpretation  $\mathcal{I}$  such that  $\Sigma^v = 1$

**Lemma Relevance Lemma:** Let  $A$  be a formula with valuations  $v_1, v_2$  such that  $u^{v_1} = u^{v_2}$  for every free  $u$  in  $A$ , then

$$A^{v_1} = 1 \text{ if and only if } A^{v_2} = 1$$

**Def** Logical Consequence: The set of formulas  $\Sigma$  entails the formula  $A$  if for every valuation  $v$ ,  $\Sigma^v = 1$  implies  $A^v = 1$   
 $\emptyset \models A$  means  $A$  is valid

**Theorem** There is no algorithm for deciding the validity or satisfiability of formulas in  $\mathcal{L}$

## 14 First-Order-Logic: Formal Deduction

**Def** Quasi-Formula: A formula  $A(u)$  where the free variable  $u$  is replaced by the bound variable  $x$

**Def** Substitution: The process of replacing a free variable  $u$  by a term  $t$

**Def** Natural Deduction for Predicate Logic: For a set of formulas  $\Sigma \in \mathcal{L}$  with  $A \in \mathcal{L}$ ,  $A$  is formally deducible from  $\Sigma$  if and only if the sequent  $\Sigma \vdash A$  can be generated from

1. Reflexivity:  $A \vdash A$  is a theorem
2. (+): If  $\Sigma \vdash A$ , then  $\Sigma, \Sigma' \vdash A$  is a theorem
3. ( $\neg$ –): If  $\Sigma, \neg A \vdash B$  and  $\Sigma, \neg A \vdash \neg B$ , then  $\Sigma \vdash A$  is a theorem
4. ( $\rightarrow$  –): If  $\Sigma \vdash A \rightarrow B$  and  $\Sigma \vdash A$ , then  $\Sigma \vdash B$  is a theorem
5. ( $\rightarrow$  +): If  $\Sigma, A \vdash B$ , then  $\Sigma \vdash A \rightarrow B$  is a theorem
6. ( $\wedge$ –): If  $\Sigma \vdash A \wedge B$ , then  $\Sigma \vdash A$  and  $\Sigma \vdash B$  are theorems
7. ( $\wedge$  +): If  $\Sigma \vdash A$  and  $\Sigma \vdash B$ , then  $\Sigma \vdash A \wedge B$  is a theorem
8. ( $\vee$ –): If  $\Sigma, A \vdash C$  and  $\Sigma, B \vdash C$ , then  $\Sigma, A \vee B \vdash C$  is a theorem
9. ( $\vee$  +): If  $\Sigma \vdash A$ , then  $\Sigma \vdash A \vee B$  and  $\Sigma \vdash B \vee A$  are theorems
10. ( $\leftrightarrow$  –): If  $\Sigma \vdash A \leftrightarrow B$  and  $\Sigma \vdash B$ , then  $\Sigma \vdash A$  is a theorem
11. ( $\leftrightarrow$  +): If  $\Sigma, A \vdash B$  and  $\Sigma, B \vdash A$ , then  $\Sigma \vdash A \leftrightarrow B$  is a theorem
12. ( $\forall$ –): If  $\Sigma \vdash \forall x A(x)$ , then for a term  $t$ ,  $\Sigma \vdash A(t)$  is a theorem
13. ( $\forall$  +): If  $\Sigma \vdash A(u)$  and  $u \notin \Sigma$ , then  $\Sigma \vdash \forall x A(x)$  is a theorem
14. ( $\exists$ –): If  $\Sigma, A(u) \vdash B$  and  $u \notin \Sigma \cup B$ , then  $\Sigma, \exists x A(x) \vdash B$  is a theorem
15. ( $\exists$  +): If  $\Sigma \vdash A(t)$ , then for  $A'(x)$  such that some occurrences of  $t$  in  $A(t)$  are replaced by  $x$ ,  $\Sigma \vdash \exists x A'(x)$  is a theorem
16. ( $\approx$  –): If  $\Sigma \vdash A(t_1)$  and  $\Sigma \vdash t_1 \approx t_2$ , then for  $A'(t_2)$  such that some occurrences of  $t_1$  in  $A(t_1)$  are replaced by  $t_2$ ,  $\Sigma \vdash A'(t_2)$  is a theorem
17. ( $\approx$  +):  $\emptyset \models u \approx u$  is a theorem
18. Membership Rule ( $\in$ ): If  $A \in \Sigma$ , then  $\Sigma \vdash A$

**Lemma** From Logic 14 page 30: Let  $A \vdash A', B \vdash B', C(u) \vdash C'(u)$ , then

1.  $\neg A \vdash \neg A'$
2.  $A \wedge B \vdash A' \wedge B'$
3.  $A \vee B \vdash A' \vee B'$
4.  $A \rightarrow B \vdash A' \rightarrow B'$
5.  $A \leftrightarrow B \vdash A' \leftrightarrow B'$
6.  $\forall x C(X) \vdash \forall x C'(x)$
7.  $\exists x C(X) \vdash \exists x C'(x)$

**Theorem** Replacement of Equivalent Formulas: Let  $A, B, C \in \text{Form}(\mathcal{L})$  with  $B \vdash C$  and  $A'$  be the result of substituting some occurrences of  $B$  with  $C$ , then  $A' \vdash A$

**Theorem** Complementation: Let  $A$  be composed of atoms of  $\mathcal{L}^p$ ,  $\vee, \wedge, \neg, \forall, \exists$ , if  $A'$  is the result of exchanging  $\vee$  with  $\wedge$ ,  $\forall$  with  $\exists$ , and negating all atoms, then  $A' \vdash \neg A$

**Theorem** Soundness and Completeness: Let  $\Sigma \subseteq \text{Form}(\mathcal{L})$  and  $A \in \text{Form}(\mathcal{L})$ , then  $\Sigma \models A$  if and only if  $\Sigma \vdash A$ .

- since  $\Sigma \vdash A \rightarrow \Sigma \models A$ , formal natural deduction for predicate logic is sound
- since  $\Sigma \models A \rightarrow \Sigma \vdash A$ , formal natural deduction for predicate logic is complete

## 14 First-Order-Logic: Soundness and Completeness

**Theorem:** The formal deductive system of Natural Deduction is sound and complete for First-Order Logic, that is for a set of formulas  $\Sigma$  with formula  $A$

$$\Sigma \vdash A \text{ if and only if } \Sigma \models A$$

**Lemma** For a terms  $t, s(u)$ , and formula  $A(u)$  with free variable  $u$  and valuation  $v$ ,

$$s(t)^v = s(u)^{v(u/t^v)}$$

and

$$A(t)^v = A(u)^{v(u/t^v)}$$

**Def** Herbrand Universe: The set of terms in the language  $\mathcal{L}$  ( $\text{Term}(\mathcal{L})$ )

$$\mathcal{H} = \{\ulcorner t \urcorner : t \text{ is a term}\}$$

Note:  $\ulcorner \urcorner$  is referring to the element, not the expression

## 15 First-Order-Logic: Resolution and Automated Theorem Provers

**Def** Prenex Normal Form: A formula such that all quantifiers are in the prefix and the expression B is the matrix

$$Q_1x_1Q_2x_2\ldots Q_nx_nB \text{ where } Q \text{ is } \forall, \exists$$

### Process

1. Eliminate all occurrences of  $\rightarrow$  and  $\leftrightarrow$ 
  - $A \rightarrow B \models \neg A \vee B$
  - $A \leftrightarrow B \models (\neg A \vee B) \wedge (A \vee \neg B)$
  - $A \leftrightarrow B \models (A \wedge B) \vee (\neg A \wedge \neg B)$
2. Move all negations such that they apply to only literals
  - De Morgan's Laws  $\neg(A \vee B) \models \neg A \wedge \neg B$ ,  $\neg(A \wedge B) \models \neg A \vee \neg B$
  - Double negation  $\neg\neg A \models A$
  - $\neg\exists xA(x) \models \forall x\neg A(x)$
  - $\neg\forall xA(x) \models \exists x\neg A(x)$
3. Standardize variables with the Replaceability of Bound Variable Symbols Theorem
4. Now move quantifiers to the front
  - $A \wedge \exists xB(x) \models \exists x(A \wedge B(x))$  when  $x \notin A$
  - $A \wedge \forall xB(x) \models \forall x(A \wedge B(x))$  when  $x \notin A$
  - $A \vee \exists xB(x) \models \exists x(A \vee B(x))$  when  $x \notin A$
  - $A \vee \forall xB(x) \models \forall x(A \vee B(x))$  when  $x \notin A$

**Theorem** Replaceability of Bound Variable Symbols: For a formula  $A \in \text{Form}(\mathcal{L})$ , if  $A'$  results from replacing some occurrences of  $QxB(x)$  with  $QyB(y)$  then  $A \models A'$  and  $A \vdash A'$

**Def**  $\exists$ -free Prenex Normal Form: A sentence  $A \in \text{Sent}(\mathcal{L})$  that is in prenex normal form and contains no existential quantifier symbols.

**Def** Skolem Function: The function  $f(x_1, x_2, \dots, x_n)$  that expresses the individual generated by  $\exists yA$  in  $\forall x_1, \forall x_2, \dots, \forall x_n \exists yA$  for given  $x_1, x_2, \dots, x_n$ . For a  $A \in \text{Sent}(\mathcal{L})$  with  $A = \forall x_1 \forall x_2 \dots \forall x_n \exists yA$ , define  $A'$  to be  $A$  with all instances of  $y$  replaced by the Skolem function  $f(x_1, x_2, \dots, x_n)$ , then the sentence  $A$  skolemized is

$$\forall x_1 \forall x_2 \dots \forall x_n A' \text{ (often wrote as } A' \text{ without quantifiers)}$$

**Theorem** Given a  $A \in \text{Sent}(\mathcal{L})$ , there is an efficient procedure for finding a  $\exists$ -free prenex normal form  $A'$  such that  $A$  is satisfiable if and only if  $A'$  is satisfiable

**Theorem** Given a  $A \in \exists$ -free prenex normal form, there is an efficient procedure for finding a finite set  $C_A$  of disjunctive clauses such that  $A$  is satisfiable if and only if  $C_A$  is satisfiable

**Theorem** For a set  $\Sigma \subseteq \text{Sent}(\mathcal{L})$  with  $A \in \text{Sent}(\mathcal{L})$ , then  $\Sigma \models A$  is valid if and only if the set

$$C_{\neg A} \cup \left[ \bigcup_{B \in \Sigma} C_B \right]$$

is not satisfiable

**Def** Instantiation: An assignment of a quasi-term  $t'_i$  to a variable  $x_i$

$$x_i := t'_i$$

**Def** Unification: Two formulas unify if there is a unifier instantiation such that the formulas are identical

**Process** Automated Theorem Proving:

1. Convert  $A \in \text{Sent}(\mathcal{L})$  to prenex normal form
2. Remove existential quantifiers and replace with Skolem functions
3. Drop the universal quantifiers
4. Convert into conjunctive normal form clauses
5. Attempt to find  $\{\}$  (not satisfiable, thus valid) by resolving with unification

**Theorem** A set  $S$  of clauses is not satisfiable if and only if there is a resolution of the empty clause  $\{\}$

- Soundness: If the resolution of  $S$  outputs the empty clause, then  $S$  is not satisfiable
- Completeness: If  $S$  is not satisfiable, then the resolution of  $S$  outputs the empty clause

**Def** Automated Proof Verification: Checks that a formal proof is correct, CASC is a yearly competition for first-order provers to compete

- Isabelle: higher order theorem prover using resolution and unification
- Coq: Proof checker including proving tactics and various decision procedures
- E or SPASS: Theorem prover for first order logic with equality
- Vampire: Theorem prover for first order logic

## 16 Computing with Logical Formulas

**Def Algorithm:** A finite sequence of well-defined computer-implementable instructions that solve a problem (give the correct output for every input)

- Analytical Engine: Babbage's met almost none of the requirements, it failed
- $\lambda$ -Calculus: Church's had mathematical qualifications, but was not (clearly) general or implementable
- Turing Machine: An analogy using a tape (stack) of cells (paper) that could be edited (pencil) moved between (flip page) and operated on (read)

**Def Turing Machine (TM):** A  $M = (Q, \Sigma, \Gamma, \delta, q_0, B, F)$  such that

- $Q$  is the finite set of states of the control
- $\Sigma$  is the finite set (alphabet) of input symbols
- $\Gamma$  is the finite set of tape symbols such that  $\Sigma \in \Gamma$
- $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$  is the transition function with left and right

$\delta(q, a) = (r, b, d)$  where  $a$  at state  $q$  becomes  $b$ , then steps R/L ( $d$ ) to set state  $r$

- $q_0 \in Q$  is the start (initial) state
- $B$  is the blank symbol such that  $B \in \Gamma, B \notin \Sigma$
- $F \subseteq Q$  is the set of final (accepting) states

**Def TM Outcomes:** For a TM  $M$  starting at string  $x$

- If  $M$  reaches state  $q$  and symbol  $a$  such that  $\delta(q, a)$  is undefined

$$M \text{ halts on } x, \begin{cases} \text{If } q \in F, \text{ then } M \text{ accepts } x \\ \text{If } q \notin F, \text{ then } M \text{ rejects } x \end{cases}$$

- If  $M$  attempts to move left from the leftmost cell,

$M$  crashes on  $x$

- If  $M$  continues making transitions forever,

$M$  runs forever (loops) on  $x$

**Def Decide:** A TM  $M$  with alphabet  $\Sigma$  such that for all  $x \in \Sigma$ ,  $M$  with  $x$  halts. Then  $M$  decides the language of strings over  $\Sigma$  that lead  $M$  to accept, that is

$$\{x \in \Sigma^* \mid M \text{ accepts input } x\}$$

**Def Decidability:** If there exists an algorithm (TM) that solves (decides) it

**Def Turing Capabilities:**

- Store finite information: In control or in the cells
- Make space on the tape: Using shift to free room
- Control structures: We can impose loops, recursion, subroutines, etc
- Copy a string
- Compare strings
- Lookup: An association list implements a dictionary

**Def Universal Turing Machine:** A TM, that given a description of another TM  $M$ , and an input  $x$ , performs  $M$  on  $x$

**Def Code:** A code of a TM  $M$ , denoted  $\langle M \rangle$  is the concatenate of all  $(i, j, i', j', d)$  tuples such that  $\delta(q_i, s_j) = (i', j', d)$

**Def Halting Problem:** Given a  $\langle M \rangle$  and  $x$ , does  $M$  with input  $x$  halt

$$\text{HALT} = \{\langle M \rangle, x \mid M \text{ halts on } x\}$$

**Theorem Turing:** The Halting Problem is undecidable

**Def Reduction:** A reduction from  $P_1$  to  $P_2$  is an always halting algorithm that given an instance  $x_1$  of  $P_1$ , produces a  $x_2$  of  $P_2$  such that in every case the answer to  $x_1$  is equal to the answer to  $x_2$ . For a reduction  $R$ ,

$$\forall x_1, x_1 \in P_1 \text{ if and only if } R(x_1) \in P_2$$

Thus if  $P_2$  is decidable, then  $P_1$  is decidable and if  $P_1$  is undecidable, then  $P_2$  is undecidable

**Def Post Correspondence Problem (PCP):** Given a finite sequence  $(s_1, t_1), (s_2, t_2) \dots (s_k, t_k)$  such that all strings are positive length, is there a sequence of indices  $i_1, i_2, \dots i_n$  with  $n \geq 1$  such that the concatenation of  $s_{i_1} s_{i_2} \dots s_{i_n} = t_{i_1} t_{i_2} \dots t_{i_n}$  [undecidable]

**Def Hilbert's Tenth Problem (IntegerRoot):** Given a polynomial  $q(x_1, x_2, \dots x_n)$  with integers coefficients, does  $q$  have an integral root ( $q(a_1, a_2, \dots a_n) = 0$ ) [undecidable]

**Lemma** There is a Turing Machine that given  $A$  of  $Form(\mathcal{L})$ , outputs a proof of  $A$  if such a proof exists, otherwise it may run forever

**Theorem Godel's Incompleteness Theorem:** For a set of formulas  $\Gamma$  such that membership is decidable, then  $PA \cup \Gamma$  is inconsistent or there is a formula  $A$  such that  $PA \cup \Gamma \not\vdash A$  and  $PA \cup \Gamma \not\vdash \neg A$

## 17 Peano Arithmetic

**Def Equality:** Formal deduction rules concerning equality lead to reflexivity, symmetry, and transitivity

- $(\approx +)$ :  $\emptyset \vdash u \approx u$
- $(\approx -)$ : If  $\Sigma \vdash A(t_1)$  and  $t_1 \approx t_2$ , then  $\Sigma \vdash A(t_2)$  where  $A(t_2)$  is  $A(t_1)$  with some instances of  $t_1$  replaced with  $t_2$

**Def Reflexivity:**  $\forall x(x \approx x)$

**Def Symmetry:**  $\forall x \forall y((x \approx y) \rightarrow (y \approx x))$

**Def Transitivity:**  $\forall x \forall y \forall z(((x \approx y) \wedge (y \approx z)) \rightarrow (x \approx z))$

**Theorem EQSubs:** For variable  $u$  and terms  $r, t_1, t_2$  where  $r(t_i)$  is  $r$  with all instances of  $u$  replaced with  $t_i$ . If  $\Sigma \vdash t_1 \approx t_2$ , then

$$\Sigma \vdash r(t_1) \approx r(t_2)$$

**Theorem EQtrans(k):** For all  $\Sigma$  with terms  $t_1, t_2, \dots, t_{k+1}$ , if  $\Sigma \vdash t_i \approx t_{i+1}$  for each  $1 \leq i \leq k$ , then

$$\Sigma \vdash t_1 \approx t_{k+1}$$

**Def Domain Axioms:** The set  $A$  of formulas that are accepted/assumed to be true within the class of domains

- Decidable: The set  $A$  should have an algorithm to determine which formulas are domain axioms
- Sound with Respect to Domain: The set  $A$  should guarantee that if  $A \vdash B$ , then  $B$  holds in the domain
- Complete: The set  $A$  should allow the formal proof of all true formulas in the domain

**Def Axioms of Geometry:** Euclid's Postulates appeared in Elements

1. A straight line may be drawn between any two points
2. Every straight line can be extended infinitely
3. A circle may be drawn with any center point, and any radius
4. All right angles are equal
5. Parallel Postulate: For every given point not on a given line, there is exactly one line through the point that does not meet the given line



**Def Theory:** The set of all formulas that can be proven by a set of axioms. For a given theory  $T$  with axioms  $X_T$ ,  $\Sigma \vdash_T C$  denotes  $\Sigma, X_T \vdash C$

**Def Number Theory Arithmetic:** With the domain of  $\mathbb{N}$ , addition, multiplication, and ordering, we attempt to have a base set of axioms that derive all theorems

**Def Peano's Axioms:** Constant is 0, functions are  $+$ ,  $\cdot$ ,  $s$ , equality is  $\approx$ , then PA is

PA1:  $\forall x(s(x) \not\approx 0)$

PA2:  $\forall x \forall y((s(x) \approx s(y)) \rightarrow (x \approx y))$

PA3:  $\forall x(x + 0 \approx x)$

PA4:  $\forall x \forall y((x + s(y)) \approx s(x + y))$

PA5:  $\forall x(s(x) \cdot 0 = 0)$

PA6:  $\forall x \forall y(x \cdot s(y) = x \cdot y + x)$

PA7: Axiom Schema: For a formula  $A(u)$  where  $u$  is a free variable,

$$(A(0) \wedge \forall x(A(x) \rightarrow A(s(x)))) \rightarrow \forall x A(x)$$

**Def  $\leq$ :**  $u \leq v$  if and only if  $\exists z(u + z = v)$

**Def  $<$ :**  $u < v$  if and only if  $\exists z(u + s(z) = v)$

**Def Even:**  $Even(u)$  if and only if  $\exists y(u = y + y)$

**Def Prime:**  $Prime(u)$  if and only if  $(1 < u) \wedge \neg \exists y \exists z((u = y \cdot z) \wedge (1 < y) \wedge (1 < z))$

**Def Godel's Incompleteness Theorem:** In any consistent formal theory  $T$  with a decidable set of axioms that expresses elementary arithmetic, there exists a statement that is true but cannot be proven

**Def Paris-Harrington Theorem:** One such theorem. Certain large sets exists but they are so large that Peano's Axioms cannot prove that they exist

## 18 Program Verification

**Def Program Correctness:** By inspection, testing and formal verification, does a program satisfy its specifications

**Def Formal Program Verification:**

1. Convert specifications  $R$  into a formula  $A_R$  of symbolic logic
2. Write program  $P$  which should realize  $A_R$  in a given programming environment
3. Prove that  $P$  satisfies  $A_R$

**Def Imperative Programming:** Defines control flow as statements that change a programs state variables (C, C++, Java, Python, FORTRAN, Pascal)

**Def Declarative Programming:** Focuses on what should be accomplished with less how it should be (query such as SQL, logic such as PROLOG, functional such as scheme)

**Def Sequential:** No concurrency

**Def Transformational:** given inputs, compute outputs and terminate

**Def Core Programming Language:**

- Integer and Boolean expressions
- Assignment
- Sequence
- if-then-else conditional statements
- while-loops
- for-loops (omitted)
- arrays
- functions and procedures (omitted)

**Def Hoare Triple:** Precondition, code, postcondition  $\langle P \rangle C \langle Q \rangle$  where the precondition can be set to true if no constraints

**Def Partial Correctness:** For every state  $s$  such that  $P$  is true, if the execution of  $C$  terminates in  $s'$  and  $s'$  satisfies  $Q$  if and only if

$$\models_{par} \langle P \rangle C \langle Q \rangle$$

**Def Complete Correctness:** For every state  $s$  such that  $P$  is true, the execution of  $C$  terminates to  $s'$  and  $s'$  satisfies  $Q$  if and only if

$$\models_{tot} \langle P \rangle C \langle Q \rangle$$

**Def** First-Order Logic Conditions:

- Relation State( $s$ ):  $s$  is a program state
- Relation Condit( $P$ ):  $P$  is a condition
- Relation Code( $C$ ):  $C$  is a program
- Relation Inv( $I$ ):  $I$  is an invariant
- Relation Satisfies( $s, P$ ): State  $s$  satisfies condition  $P$
- Relation Terminates( $C, s$ ): Program  $C$  terminates when execution begins in state  $s$
- Function result( $C, s$ ): the state that results from executing code  $C$  on state  $s$  (if it terminates)

- **Partial correctness** of Hoare triple  $\{P\} C \{Q\}$ :

$$\forall s \forall P \forall C \forall Q [\text{State}(s) \wedge \text{Condit}(P) \wedge \text{Code}(C) \wedge \text{Condit}(Q) \rightarrow (\text{Satisfies}(s, P) \wedge \text{Terminates}(C, s) \rightarrow \text{Satisfies}(\text{result}(C, s), Q))]$$

- **Total correctness** of Hoare triple  $\{P\} C \{Q\}$ :

$$\forall s \forall P \forall C \forall Q [\text{State}(s) \wedge \text{Condit}(P) \wedge \text{Code}(C) \wedge \text{Condit}(Q) \rightarrow (\text{Satisfies}(s, P) \rightarrow \text{Terminates}(C, s) \wedge \text{Satisfies}(\text{result}(C, s), Q))]$$

**Def** Partial Correctness Proof: Annotated program where each statement has a Hoare triple with justification

**Def** Logical: After implied rules have been used, ordinary logic proofs must be used to justify

**Def** Total Correctness Proof: For each while-loop, identify a strictly-decreasing non-negative integer through the loop (variant)

**Def** Array Assignment:  $A\{i \leftarrow e\}$  is the array with entries given by

$$A\{i \leftarrow e\}[j] = \begin{cases} e, & \text{if } j = i \\ A[j], & \text{if } j \neq i \end{cases}$$

**Theorem** The Total Correctness Problem is undecidable

**Theorem** The Partial Correctness Problem is undecidable

**Def** Inference Rules:

- Assignment Rule:  $Q[E/x]$  is read as  $Q$  with  $E$  in place of  $x$ ,

$$\overline{\langle Q[E/x] \rangle x = E \langle Q \rangle}$$

- Precondition Strengthening:  $\emptyset \vdash P \rightarrow P'$ ,

$$\frac{P \rightarrow P', \langle P' \rangle C \langle Q \rangle}{\langle P \rangle C \langle Q \rangle}$$

- Postcondition Weakening:  $\emptyset \vdash Q' \rightarrow Q$ ,

$$\frac{\langle P \rangle C \langle Q' \rangle, Q' \rightarrow Q}{\langle P \rangle C \langle Q \rangle}$$

- Composition: Implicit,

$$\frac{\langle P \rangle C_1 \langle Q \rangle, \langle Q \rangle C_2 \langle R \rangle}{\langle P \rangle C_1, C_2 \langle R \rangle}$$

- If-Then-Else:

$$\frac{\langle P \wedge B \rangle C_1 \langle Q \rangle, \langle P \wedge \neg B \rangle C_2 \langle Q \rangle}{\langle P \rangle \text{ if } (B), C_1 \text{ else } C_2 \langle Q \rangle}$$

- If-Then:

$$\frac{\langle P \wedge B \rangle C \langle Q \rangle, (P \wedge \neg B) \rightarrow Q}{\langle P \rangle \text{ if } (B), C \langle Q \rangle}$$

- Partial-While: With loop invariant  $I$ ,

$$\frac{\langle I \wedge B \rangle C \langle I \rangle}{\langle I \rangle \text{ while } (B), C \langle I \wedge \neg B \rangle}$$

- Array-Assignment: With  $A\{i \leftarrow e\}[j] = \begin{cases} e, & \text{if } j = i \\ A[j], & \text{if } j \neq i \end{cases}$ ,

$$\overline{\langle Q[A\{e_1 \leftarrow e_2\}/A] \rangle A[e_1] = e_2 \langle Q \rangle}$$