Principles of Database Systems



Formal Relational Query Languages



Formal Relational Query Languages



● Relational Algebra (关系代数)

- Tuple Relational Calculus
- Domain Relational Calculus



Relational Algebra

- The relational algebra is procedural query language
 - a set of operations, take one or two limited relations as input and produce a new limited relation as output

- Three types of operations/operators on relations
 - fundamental operations (基本运算)
 - additive operations (附加运算)
 - extended operations (扩展运算)



Fundamental Operations



Six basic operators

select: σ
 project: Π
 union: ∪
 set difference: –
 Cartesian product: x

Unary operations
Binary operations

• rename: ρ — \rightarrow Unary operations



Select Operation



• The select operation σ on r (R) selects **tuples** that satisfy a given predicate, resulting in a subset of r

Relation r

| A | В | C | D |
|---|---|----|----|
| α | α | 1 | 7 |
| α | β | 5 | 7 |
| β | β | 12 | 3 |
| β | β | 23 | 10 |

• $\sigma_{A=B \land D > 5}(r)$

| A | В | C | D |
|---|---|----|----|
| α | α | 1 | 7 |
| β | β | 23 | 10 |



Select Operation

- Notation: $\sigma_p(r)$
 - p is called the **selection predicate**
- Defined as:
 - $\sigma_p(r) = \{t \mid t \in r \text{ and } p(t)\}$

Where p is a formula in **propositional calculus** (命题演算) consisting of **terms** connected by : \land

(and), \vee (or), \neg (not)

Each **term** is one of:

<attribute> op <attribute> or <constant> where op is one of: =, \neq , >, \geq . <. \leq



Try...

• Find the instructors in Physics with a salary greater than \$90,000

 $\sigma_{dept_name = "Physics" \land salary > 90000} (instructor)$

| ID | name | dept_name | salary |
|-------|------------|------------|--------|
| 10101 | Srinivasan | Comp. Sci. | 65000 |
| 12121 | Wu | Finance | 90000 |
| 15151 | Mozart | Music | 40000 |
| 22222 | Einstein | Physics | 95000 |
| 32343 | El Said | History | 60000 |
| 33456 | Gold | Physics | 87000 |
| 45565 | Katz | Comp. Sci. | 75000 |
| 58583 | Califieri | History | 62000 |
| 76543 | Singh | Finance | 80000 |
| 76766 | Crick | Biology | 72000 |
| 83821 | Brandt | Comp. Sci. | 92000 |
| 98345 | Kim | Elec. Eng. | 80000 |

| 1 | ID | name | dept_name | salary |
|---|-------|----------|-----------|--------|
| ì | 22222 | Einstein | Physics | 95000 |

Note: The term select corresponds to what we refer to in SQL as where



Project Operation



- The project operation(投影运算) on *r* list some attributes and their values in relation *r*
 - the duplicate rows are **removed** from the result, since relations are sets

Relation *r*:

| 4 + | - | |
|----------|----|---|
| α | 10 | 1 |
| α | 20 | 1 |
| β | 30 | 1 |
| β | 40 | 2 |
| | | |

$$\Pi_{A,C}(r) \qquad \begin{array}{c|c} A & C \\ \hline \alpha & 1 \\ \alpha & 1 \\ \beta & 1 \\ \hline \beta & 2 \end{array} = \begin{array}{c|c} A & C \\ \hline \alpha & 1 \\ \beta & 1 \\ \hline \beta & 2 \end{array}$$



Project Operation



• Notation:

$$\prod_{A1, A2, ..., Ak} (\mathbf{r})$$
:

- where A₁, A₂ are attribute names and r is a relation name
- The result is defined as the relation of k columns obtained by erasing the columns that are not listed.



Try...

salary

 To eliminate the dept_name attribute of instructor.

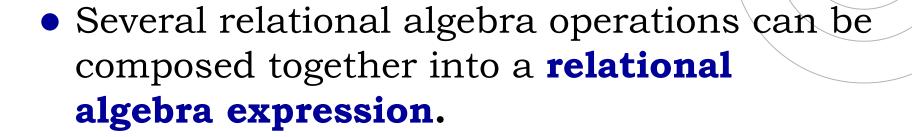
 $\Pi_{\text{ID, name, salary}}$ (instructor)

| 88 | | | , | , | I | ID | name |
|----|-------|------------|------------|--------|----|-------------|------------------|
| | ID | name | dept_name | salary | | 10101 | Srinivasan |
| | 10101 | Srinivasan | Comp. Sci. | 65000 | | 12121 | Wu |
| | 12121 | Wu | Finance | 90000 | | 700 000 000 | A17 KA 1890/SHOP |
| | 15151 | Mozart | Music | 40000 | | 15151 | Mozart |
| | 22222 | Einstein | Physics | 95000 | | 22222 | Einstein |
| | 32343 | El Said | History | 60000 | | 32343 | El Said |
| | 33456 | Gold | Physics | 87000 | | 33456 | Gold |
| | 45565 | Katz | Comp. Sci. | 75000 | | 45565 | Katz |
| | | | | | | 58583 | Califieri |
| | 58583 | Califieri | History | 62000 | | 76543 | Singh |
| | 76543 | Singh | Finance | 80000 | | 76766 | Crick |
| | 76766 | Crick | Biology | 72000 | | 83821 | Brandt |
| | 83821 | Brandt | Comp. Sci. | 92000 | | 98345 | Kim |
| | 98345 | Kim | Elec. Eng. | 80000 | si | 70343 | KIIII |

Note: The term **project** corresponds to what we refer to in SQL as **select**



Composition of Relational Operations



• Explain the meaning of following statement: Π_{name} ($\sigma_{\text{dept_name}=\text{"Physics"}}$ (instructor))

Find the name of all instructors in the Physics department



Union Operation



• Union operation on relation r and s is defined as

$$r \cup s = \{t \mid t \in r \text{ or } t \in s\}$$

• Relations r, s:

| A | В | | A | В |
|---|---|----|---|---|
| α | 1 | | α | 2 |
| α | 2 | | β | 3 |
| β | 1 | 12 | ļ | S |
| 1 | | • | | |

 $r \cup s$:



Union Operation



- For $r \cup s$ to be valid.
 - r, s must have the **same arity** (same number of attributes)
 - The attribute domains must be **compatible**(兼容的) (example: 2nd column of r deals with the same type of values as does the 2nd column of s)
- SQL statement
 - r **Union** s



Try...

or in both.

• Find all courses taught in the Fall 2009 semester, or in the Spring 2010 semester,

$$\Pi_{course_id}$$
 ($\sigma_{semester="Fall" \ \Lambda \ year=2009}$ (section)) \cup Π_{course_id} ($\sigma_{semester="Spring" \ \Lambda \ year=2010}$ (section))



Set-Difference Operation



Set difference operation on relation r and
 s is defined as

$$r-s = \{t \mid t \in r \text{ and } t \notin s \}$$

• Relations r, s:

| \boldsymbol{A} | В |
|------------------|------------|
| α | 1 |
| α | 2 |
| β | 1 |
| 1 | 5733 F8 |

$$egin{array}{c|c} A & B \\ \hline $lpha$ & 2 \\ eta & 3 \\ \hline s & \end{array}$$

r - s:



Set-Difference Operation

- Set differences must be taken between **compatible** relations.
 - R and s must have the **same** arity
 - attribute domains of r and s must be compatible

- SQL statement
 - r **Except** s



Try...

• Find all courses taught in the Fall 2009 semester, but not in the Spring 2010 semester.

$$\Pi_{course_id}$$
 ($\sigma_{semester="Fall" \ \Lambda \ year=2009}$ (section)) - Π_{course_id} ($\sigma_{semester="Spring" \ \Lambda \ year=2010}$ (section))



Cartesian-Product Operation



• Cartesian-product operation on relation **r** and **s** is defined as:

$$\mathbf{r} \times \mathbf{s} = \{\mathbf{t} \mid \mathbf{t} = \mathbf{t}_1 \ \mathbf{t}_2 \ \mathbf{and} \ \mathbf{t}_1 \in \mathbf{r} \ \mathbf{and} \ \mathbf{t}_2 \in \mathbf{s} \}$$

| \boldsymbol{A} | В |
|------------------|---|
| α | 1 |
| β | 2 |
| 1 | 7 |

| C | D | E |
|---|----|---|
| α | 10 | a |
| β | 10 | a |
| β | 20 | b |
| γ | 10 | b |

| A | В | C | D | Ε |
|---|---|---|----|---|
| α | 1 | α | 10 | a |
| α | 1 | β | 10 | a |
| α | 1 | β | 20 | b |
| α | 1 | γ | 10 | b |
| β | 2 | α | 10 | a |
| β | 2 | β | 10 | a |
| β | 2 | β | 20 | b |
| β | 2 | γ | 10 | b |

$$r \times s$$



Cartesian-Product Operation



• For relation **r(R)** and **s(S)**, **r** ×**s** is a relation whose schema is **R'**, which is the **concatenation**(串联) of R and S

- Assume that attributes of r(R) and s(S) are disjoint. (That is, $R \cap S = \emptyset$).
- If attributes of r(R) and s(S) are not disjoint, then renaming must be used.
- SQL Statement:

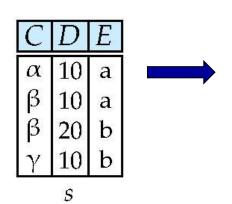
```
Select *
From R,S
```



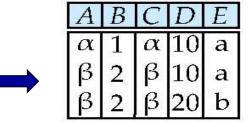
Composition of Operations

- Can build expressions using multiple operations.
- Example: $\sigma_{A=C}(r \times s)$

| 4 | B |
|---|---|
| χ | 1 |
| 3 | 2 |
| 3 | 2 |



| A | В | C | D | E |
|-------------|---|---|----|---|
| α | 1 | α | 10 | a |
| α | 1 | β | 10 | a |
| α | 1 | β | 20 | b |
| α | 1 | γ | 10 | b |
| β | 2 | α | 10 | a |
| β | 2 | β | 10 | a |
| β β β | 2 | β | 20 | b |
| β | 2 | γ | 10 | b |



$$\sigma_{A=C}(r \times s)$$

 $r \times s$



Composition of Operations



A typical SQL query has the form

select
$$A_1, A_2, ..., A_n$$

from $r_1, r_2, ..., r_m$
where P

 Equivalent to the relational algebra expression

$$\Pi_{A1, A2, ..., An}(\sigma_P(r_1 \times r_2 \times ... \times r_m))$$



Try...



• Find the names of all instructors in the Physics department together with the course id of all courses they taught.

```
classroom(building, <u>room_number</u>, capacity)
department(dept_name, building, budget)
course(course_id, title, dept_name, credits)
instructor(ID, name, dept_name, salary)
section(<u>course_id</u>, <u>sec_id</u>, <u>semester</u>, year, building, room_number, time_slot_id)
teaches(ID, course_id, sec_id, semester, year)
student(ID, name, dept_name, tot_cred)
takes(<u>ID</u>, <u>course_id</u>, <u>sec_id</u>, <u>semester</u>, year, grade)
advisor(s\_ID, i\_ID)
time_slot(<u>time_slot_id</u>, day, <u>start_time</u>, end_time)
prereq(<u>course_id</u>, prereq_id)
```



Try...



• Find the names of all instructors in the Physics department together with the course id of all courses they taught.

•
$$\Pi_{\text{name, course_id}}$$
 ($\sigma_{\text{instructor.ID = teaches.ID}}$ ($\sigma_{\text{dept_name = "Physics"}}$ (instructor \times teaches)))

• $\Pi_{\text{name, course_id}}$ ($\sigma_{\text{instructor.ID = teaches.ID}}$ (($\sigma_{\text{dept_name = "Physics"}}$ (instructor)) \times teaches))



Rename Operation



- Allows us to name, and therefore to **refer to**, the results of relational-algebra expressions.
- Allows us to refer to a relation by more than one name.
- Given a relational-algebra expression E, the expression

$$\rho_{x}$$
 (E)

returns the result of expression E under the name x.



Rename Operation



• If a relational-algebra expression E has arity n, then

$$\rho_{x(A_1,A_2,...,A_n)}(E)$$

returns the result of expression E under the name x, and with the attributes renamed to A_1 , A_2 ,, A_n .



An Example



- Find the largest salary in the university
 - Step 1: find instructor salaries that are less than some other instructor salary (i.e. not maximum)
 - using a copy of instructor under a new name d

• Step 2: Find the largest salary

```
\begin{array}{c} \prod_{salary} \text{(instructor)} - \\ \prod_{instructor.salary} \left( \sigma_{instructor.salary < d.salary} \right. \\ \left. \text{(instructor} \times \rho_d \text{ (instructor)))} \end{array}
```



Positional Notation



- The rename operation is not strictly required, since it is possible to use a **positional notation**(位置标记) for attributes.
- Use \$1, \$2, . . . refer to the first attribute, the second attribute, and so on.
- E.g.

```
\Pi_{\$4} (\sigma_{\$4 < \$8} (instructor × instructor ))
```

instructor(*ID*, *name*, *dept_name*, *salary*)



Formal Definition



- A basic **expression in the relational algebra** consists of either one of the following:
 - A relation in the database
 - A constant relation
- Let E_1 and E_2 be relational-algebra expressions; the following are all relational-algebra expressions:
 - \bullet $E_1 \cup E_2$
 - $E_1 E_2$
 - \bullet $E_1 \times E_2$
 - σ_p (E₁), P is a predicate on attributes in E₁
 - $\prod_s(E_1)$, S is a list consisting of some of the attributes in E_1
 - ρ_x (E₁), x is the new name for the result of E₁



Additional Operations

- We define additional operations that do not add any power to the relational algebra, but that **simplify common queries**.
 - Set Intersection
 - Natural Join
 - Division(除)
 - Assignment(赋值)
 - Outer Join
- Note: An additional operation can be replaced/rerepresented by basic operations.



Set-Intersection Operation



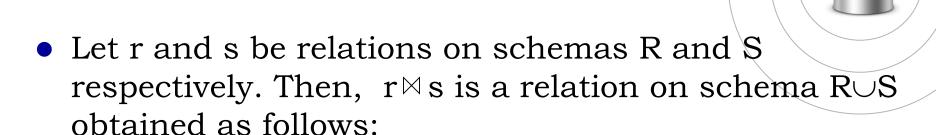
 For relation r and s, set-intersection operation on r and s is defined as

$$r \cap s = \{t \mid t \in r \text{ and } t \in s\}$$

- **r**, **s** have the same arity
- attributes of r and s are compatible
- Note: $r \cap s = r (r s)$
- SQL statement
 - intersect



Natural-Join Operation



- Consider each pair of tuples t_r from r and t_s from s.
- If t_r and t_s have the same value on each of the attributes in $R \cap S$, add a tuple t to the result, where
 - t has the same value as t_r on r
 - t has the same value as t_s on s

• Assuming R
$$\cap$$
S = {A₁, A₂, ..., A_n}

$$\mathbf{r} \bowtie \mathbf{s} = \prod_{R \cup S} (\sigma_{r.A1=s.A1 \land r.A2=s.A2 \land ... \land r.An=s.An} (r \times s))$$



Natural-Join Operation

• Relations r, s:

| \boldsymbol{A} | В | C | D |
|------------------|---|---|---|
| α | 1 | α | a |
| β | 2 | γ | a |
| γ | 4 | β | b |
| α | 1 | γ | a |
| δ | 2 | β | b |

| В | D | E |
|---|---|---|
| 1 | a | α |
| 3 | a | β |
| 1 | a | γ |
| 2 | b | δ |
| 3 | b | 3 |

r⋈s:

| A | В | C | D | E |
|---|---|---|---|---|
| α | 1 | α | a | α |
| α | 1 | α | a | γ |
| α | 1 | γ | a | α |
| α | 1 | γ | a | γ |
| δ | 2 | β | b | δ |

• first the attributes of both relations, second those unique to the first relation, finally, the second relation.

Natural-Join Operation

- Natural join is associative
 - (instructor ⋈ teaches)⋈ course
 - instructor ⋈ (teaches⋈ course)
- Natural join is commutative
 - instructor \bowtie teaches
 - teaches minstructor



Natural Join and Theta Join



- The **theta join** operation $r \bowtie_{\theta} s$ is defined as
 - $r \bowtie_{\theta} s = \sigma_{\theta} (r \times s)$
 - where θ is a predicate on attributes in $R \cup S$



An Example

- Find the largest salary in the university
 - Step 1: find instructor salaries that are less than some other instructor salary (i.e. not maximum)
 - using a copy of instructor under a new name
 d

• Step 2: Find the largest salary

```
\Pi_{salary} (instructor) – \Pi_{instructor.salary} (instructor) \bowtie_{instructor.salary} < d.salary \rho_d (instructor))
```



The Division Operation



- Let r (R) and s(S) be relations, and let S ⊆ R; that is, every attribute of schema S is also in schema R. Then r ÷ s is a relation on schema R S (that is, on the schema containing all attributes of schema R that are not in schema S). A tuple t is in r ÷ s if and only if both of two conditions hold:
 - t is in $\Pi_{R-S}(r)$
 - For every tuple t_s in s, there is a tuple t_r in r satisfying both of the following:
 - $\bullet t_r[S] = t_s[S]$
 - $\bullet t_r[R-S] = t$



The Division Operation



r(R)和s(S)是两个关系,并且S⊆R;也就是说S中的每个属性都在R中,关系r÷s是模式R-S上的关系。也就是说,这个模式中包含所有在R中而不在S中的属性。如果以下两个条件同时成立,那么元组t就属于r÷s

- t 在∏_{R-S}(r)中
- 对s里的每个元组t_s,在r中都有元组t_r同时满足
 - $\bullet t_r [S] = t_s [S]$
 - $\bullet t_r[R-S] = t$



The Division Operation



• 被除数中独有属性对应的集合是否包含除数

• E.g.

| A | В | C | D | |
|---|---|---|---|--|
| а | b | С | d | |
| а | b | е | f | |
| b | С | e | f | |
| e | d | С | d | |
| е | d | е | f | |
| a | b | d | е | |
| R | | | | |

| C | D |
|---|---|
| С | d |
| e | f |

S

| A | В |
|---|---|
| a | b |
| e | d |

 $R \div S$



The Division Operation

- R ÷ S = \prod_{R-S} (r) $-\prod_{R-S}$ ($(\prod_{R-S}$ (r) × s) $-\prod_{R-S,S}$ (r))
 - $\prod_{R-S, S}(r)$ simply reorders attributes of r
 - $\prod_{R-S}((\prod_{R-S}(r) \times s) \prod_{R-S,S}(r))$ gives those tuples t in $\prod_{R-S}(r)$ such that for **some tuple t**_s \in **s**, **t** $\mathbf{t}_s \notin \mathbf{r}$.
- How to use division:
 - fit for queries that include the phrase "for all"



Try...

• Find all students who have taken all courses offered in the Biology department.

```
classroom(building, <u>room_number</u>, capacity)
department(dept_name, building, budget)
course(course_id, title, dept_name, credits)
instructor(ID, name, dept_name, salary)
section(<u>course_id</u>, <u>sec_id</u>, <u>semester</u>, year, building, room_number, time_slot_id)
teaches(ID, course_id, sec_id, semester, year)
student(<u>ID</u>, name, dept_name, tot_cred)
takes(<u>ID</u>, <u>course_id</u>, <u>sec_id</u>, <u>semester</u>, year, grade)
advisor(s_ID, i_ID)
time_slot(<u>time_slot_id</u>, day, <u>start_time</u>, end_time)
prereq(<u>course_id</u>, prereq_id)
```



Try...



• Step1. obtain **r**₁={all courses offered in Biology department}

$$\prod_{course_id} (\sigma_{department = "Biology"} (course))$$

- Step2. find all pairs $\mathbf{r_2}$ = (ID,name, course_id), by $\Pi_{\text{ID, name, course_id}}$ (student) takes)
- Step3. find students who appear in $\mathbf{r_2}$ with **every course_id** in $\mathbf{r_1}$, by using division operations.

$$\prod_{\text{ID, name,course_id}} (\text{student}) \forall \text{ takes}$$

$$\div \prod_{\text{course id}} (\sigma_{\text{department = "Biology"}} (\text{course}))$$



Assignment Operation



- **Assign**(赋值) (←) relation algebra expression E to a relational variable var_r, i.e. denoting E as var_r.
- The assignment operation (←) provides a convenient way to express complex queries.
- E.g. Write $r \div s$ as

```
\begin{split} temp1 \leftarrow \prod_{R\text{-S}} (r) \\ temp2 \leftarrow \prod_{R\text{-S}} ((temp1 \times s) - \prod_{R\text{-S},S} (r)) \\ result = temp1 - temp2 \end{split}
```



Assignment Operation

- For relational-algebra queries, assignment must always be made to a temporary relation variable.
- Assignments to permanent relations constitute a database modification

```
emp \leftarrow emp \cup (12345, 'a', null,null,null)
emp \leftarrow emp - \sigma_{\text{sal} \times 1.500} (emp)
emp \leftarrow \Pi , , , sal\times 1.1 (emp)
```



Outer Join



- **Outer join** is an extension of the join operation that avoids loss of information.
- Computes the join and then adds tuples form one relation that does not match tuples in the other relation to the result of the join **with NULL**.
- Three types:
 - Left Outer Join
 - Right Outer Join 🖂
 - Full Outer Join _____



Outer Join – Example



Relation instructor

| ID | name | dept_name |
|-------|------------|------------|
| 10101 | Srinivasan | Comp. Sci. |
| 12121 | Wu | Finance |
| 15151 | Mozart | Music |

• Relation teaches

| ID | course_id | |
|-------|-----------|--|
| 10101 | CS-101 | |
| 12121 | FIN-201 | |
| 76766 | BIO-101 | |



Outer Join – Example

Join instructor ⋈ teaches

| ID | name | dept_name | course_id |
|-------|------------|------------|-----------|
| 10101 | Srinivasan | Comp. Sci. | CS-101 |
| 12121 | Wu | Finance | FIN-201 |

| ID | name | dept_name | course_id |
|-------|------------|------------|-----------|
| 10101 | Srinivasan | Comp. Sci. | CS-101 |
| 12121 | Wu | Finance | FIN-201 |
| 15151 | Mozart | Music | null |



Outer Join – Example

Right Outer Join instructor ⋉ teaches

| ID | name | dept_name | course_id |
|-------|------------|------------|-----------|
| 10101 | Srinivasan | Comp. Sci. | CS-101 |
| 12121 | Wu | Finance | FIN-201 |
| 76766 | null | null | BIO-101 |

| ID | name | dept_name | course_id |
|-------|------------|------------|-----------|
| 10101 | Srinivasan | Comp. Sci. | CS-101 |
| 12121 | Wu | Finance | FIN-201 |
| 15151 | Mozart | Music | null |
| 76766 | null | null | BIO-101 |



Outer Join



- Outer join can be expressed using basic operations
 - $r \implies$ s can be written as

$$(r \bowtie s) \cup (r - \Pi_R(r \bowtie s)) \times \{(null, ..., null)\}$$

• r 🖂 s can be written as

$$(r \bowtie s) \cup \{(null, ..., null)\} \times (s - \Pi_S(r \bowtie s))$$



Extended Relational-Algebra-Operations

- We define extended operations that add power to the relational algebra
 - Generalized Projection(广义投影)
 - Aggregation(聚集)

• Note: An extended operation cannot be expressed using the basic relational-algebra operations.



Generalized Projection



• Generalized project(广义投影) extends the projection operation by allowing arithmetic functions to be used in the projection list.

$$\prod_{F_1, F_2}, ..., F_n(E)$$

where

- E is any relational-algebra expression
- each of F₁, F₂, ..., F_n are arithmetic
 expressions involving constants and attributes in the schema of E.



Generalized Projection



• E.g. Given relation instructor(ID, name, dept_name, salary) where salary is annual salary, get the same information but with monthly salary.

 $\Pi_{\text{ID, name, dept_name, salary/12}}$ (instructor)



Aggregation



● Aggregation functions(聚集函数)

 mapping of a collection of attribute values in a relation r into a single value, i.e. attribute domains → domain

avg: average value

min: minimum value

max: maximum value

sum: sum of values

count: number of values

• In SQL Select statement, aggregation functions are embedded in select and having subclause.



Aggregation



• Aggregate operation(聚集运算) in relational algebra

$$G_1, G_2, ..., G_n \in F_1(A_1), F_2(A_2, ..., F_n(A_n))$$

E is any relational-algebra expression

- G_1 , G_2 ..., G_n is a list of attributes on which to group (can be empty)
 - if $G_1, G_2, ..., G_n$ do not appears, aggregations are conducted on all tuples in E, that is, E are not classified into groups
- Each F_i is an aggregate function
- Each A_i is an attribute name



Try...

• Find the average salary in each department $g_{\text{dept_name}} \mathcal{G}_{\text{avg(salary)}}$ (instructor)

| ID | name | dept_name | salary |
|-------|------------|------------|--------|
| 76766 | Crick | Biology | 72000 |
| 45565 | Katz | Comp. Sci. | 75000 |
| 10101 | Srinivasan | Comp. Sci. | 65000 |
| 83821 | Brandt | Comp. Sci. | 92000 |
| 98345 | Kim | Elec. Eng. | 80000 |
| 12121 | Wu | Finance | 90000 |
| 76543 | Singh | Finance | 80000 |
| 32343 | El Said | History | 60000 |
| 58583 | Califieri | History | 62000 |
| 15151 | Mozart | Music | 40000 |
| 33456 | Gold | Physics | 87000 |
| 22222 | Einstein | Physics | 95000 |

| dept_name | salary |
|------------|--------|
| Biology | 72000 |
| Comp. Sci. | 77333 |
| Elec. Eng. | 80000 |
| Finance | 85000 |
| History | 61000 |
| Music | 40000 |
| Physics | 91000 |



Aggregation



- Result of aggregation does not have a name
 - Can use rename operation to give it a name
 - For convenience, we permit renaming as part of aggregate operation

 $_{\text{dept_nam}\epsilon} \mathcal{G}_{\text{avg(salary)}}$ as $_{\text{avg_sal}}$ (instructor)



Multiset Relational Algebra

- Pure relational algebra removes all duplicates
 - e.g. after projection
- **Multiset**(多重集) relational algebra retains duplicates, to match SQL semantics
 - SQL duplicate retention(保留) was initially for efficiency, but is now a feature



Multiset Relational Algebra

- Multiset relational algebra defined as follows
 - selection: has as many duplicates of a tuple as in the input, if the tuple satisfies the selection
 - projection: one tuple per input tuple, even if it is a duplicate
 - cross product: If there are m copies of t1 in r, and n copies of t2 in s, there are m × n copies of t1.t2 in r × s
 - Other operators similarly defined
 - E.g. union: m + n copies, intersection: min(m, n) copies, difference: max(0, m - n) copies



Multiset Relational Algebra – Example

• Find out the sum of salaries of all instructors.

$$\mathcal{G}_{\mathbf{sum}(\text{salary})}$$
 (instructor)

• Find the total number of instructors who teach a course in the Spring 2010 semester.

$$\mathcal{G}_{\text{count_distinct(ID)}}(\sigma_{\text{semester="Spring"}, \text{year = 2010}}(\text{teaches}))$$



SQL and Relational Algebra



• select $A_1, A_2, ... A_n$ from $r_1, r_2, ..., r_m$ where P

> is equivalent to the following expression in multiset relational algebra:

$$\Pi_{A1,...An}$$
 ($\sigma_P (r_1 \times r_2 \times ... \times r_m)$)



SQL and Relational Algebra



• select A_1 , A_2 , sum (A_3) from r_1 , r_2 , ..., r_m where P group by A_1 , A_2

is equivalent to the following expression in multiset relational algebra

$$_{A1, A2}G_{sum(A3)}(\sigma_{P}(r_{1}\times r_{2}\times...\times r_{m})))$$



SQL and Relational Algebra



• More generally, the non-aggregated attributes in the **select** clause may be a subset of the **group by** attributes, in which case the equivalence is as follows:

select
$$A_1$$
, sum (A_3)
from $r_1, r_2, ..., r_m$
where P
group by A_1, A_2

is equivalent to the following expression in multiset relational algebra

$$\Pi_{A1,sumA3}(r_{A1,A2}G_{sum(A3)} = sumA3}(\sigma_{P}(r_1 \times r_2 \times ... \times r_m)))$$





```
classroom(building, room_number, capacity)
department(dept_name, building, budget)
course(course_id, title, dept_name, credits)
instructor(ID, name, dept_name, salary)
section(<u>course_id</u>, <u>sec_id</u>, <u>semester</u>, <u>year</u>, <u>building</u>, <u>room_number</u>, <u>time_slot_id</u>)
teaches(<u>ID</u>, <u>course_id</u>, <u>sec_id</u>, <u>semester</u>, year)
student(<u>ID</u>, name, dept_name, tot_cred)
takes(ID, course_id, sec_id, semester, year, grade)
advisor(s_ID, i_ID)
time_slot(<u>time_slot_id</u>, day, <u>start_time</u>, end_time)
prereq(course_id, prereq_id)
```





Thanks

