Incremental Learning of Ad Hoc Data Formats

ABSTRACT

An ad hoc data source is any semi-structured, non-standard data sources. The format of such data sources is often evolving and frequently lacking documentation. As a consequence, off-the-shelf tools for processing such data often do not exist, forcing analysts to develop their own tools, a costly and time-consuming process. In this paper, we present an incremental algorithm that automatically infers the format of large-scale data sources. From the resulting format descriptions, we can generate a suite of data processing tools automatically. The system can handle large-scale or streaming data sources whose formats evolve over time. Furthermore, it allows analysts to modify inferred descriptions as desired and incorporates those changes in future revisions.

1. INTRODUCTION

Ad hoc data is any *non-standard*, *semi-structured* data source for which processing tools and libraries are not readily available. HTML, XML, and data in relational databases are not ad hoc because many tools exist to manage such data. Despite efforts to standardize data formats, ad hoc data persists in many domains ranging from computer system administration to financial transactions to health care to computational biology. Figure 1 shows fragments of two ad hoc data sources. The first example comes from Microsoft's distributed computing infrastructure Cosmos. The second example is excerpted from the file /var/log/messages on a CRAY supercomputer.

People continue to produce and use ad hoc data because such formats are expedient and compact. Typical uses of these data sources include system fault monitoring by tracking vital system health parameters in the system logs, intrusion detection by matching access patterns to intrusion models and data mining of scientific and financial data.

Despite the expediency of producing ad hoc data, these data formats become very difficult to deal with because of missing documentation, the lack of tools, and corruptions caused by repeated redesign and re-engineering over time. In the past, ad hoc data analysis usually involved writing a shell script or one-off wrapper program to parse each data format, a practice which is expensive,

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error-prone and brittle.

The PADS project [16] aims to solve the above problems. The central technology is a declarative, type-based, data description language that allows the user to specify the physical layout of data sources as well as semantic properties of the data. PADS specifications can be compiled into a suite of processing tools such as a statistical reporting tool, an XML converter and a query engine, and programming libraries including parser, printer and traversal functions.

An impediment to using PADS is the need to learn the description language and the effort required to write PADS descriptions, which can be time consuming if the data format is large and complex. Evolving data formats present an additional challenge because the descriptions must be updated. For example, when analyzing Cisco router logs at AT&T, we noticed that the format changes after the first 2GB, and then again after another few GBs. The sudden change in format is the result of router firmware upgrades.

The large scale as well as the streaming and evolving nature of many ad hoc sources led us to believe that a system which automatically *learns* a PADS description of a given data source and incrementally updates that description as the source evolves could significantly improve the productivity of ad hoc data users. As a first step, we developed an unsupervised algorithm LEARNPADS [9, 10] that automatically infers a PADS description of a data source by computing frequency statistics for the *tokens* in the data and using an information theoretic score to guide description optimization. This algorithm, however, requires all data fit into main memory and contains procedures that are quadratic to the size of data, and therefore cannot scale to very large sources.

In this paper, we propose a new algorithm that automatically infers descriptions of large scale or continuous ad hoc data sources *incrementally*. The system takes as input an initial description and a new batch of data. It returns a modified description that extends the initial description and covers the new data. The initial description may be supplied by the user or automatically generated using the original LEARNPADS system. This iterative architecture enables the learning of a very large data source by partitioning it into smaller batches and updating the description from one batch to the next. It also allows the user to take the description output at the end of an iteration, make changes to it (*e.g.*, renaming the automatically generated variable names), and insert the revised description back into the loop.

The main contributions of this paper are:

The design of a new system for generation of data descriptions and end-to-end ad hoc data processing tools from example data. The system is incremental and interactive, allowing it to process streaming data a chunk at a time, and allowing users to intercede to correct, adapt or modify intermediate

Cosmos event streams:

EventName=CosmosPerfCounter_1, CurrentTimeStamp=2010-04-10T02:07:54.950Z, Machine=msradb001, name=\Cosmos\MessageSent,instanceName=Total,counterType=rate,currentValue=1,minValue=1, maxValue=1,average=0,rate=1.18217E-006,runningTotalCount=0,runningTotal=0,timeIntervalMs=845901093,CsProcessId=NONE

Messages.sdb:

```
Jun 4 10:42:56 nid00004 sshd[5405]: Accepted publickey for root from 193.168.0.1 port 43484 ssh2
Jun 4 10:57:49 nid00003 syslog-ng[3504]: syslog-ng version 1.6.8 starting
Jun 4 10:57:53 nid00003 sshd[4069]: Server listening on :: port 22.
```

Figure 1: Example ad hoc data sources

results.

- The engineering and optimization of algorithms that allow the system to handle large, industrial data sources of 30GB or more in a matter of a few hours.
- 3. The evaluation and analysis of the system on 16 different examples drawn from various industrial data sources.

We presented an earlier version of this material in an informal workshop in 2009 [21]. Unlike the earlier paper, this paper presents a detailed empirical analysis of the correctness and scalability of the system, as well as describing the algorithm in more detail.

In the rest of the paper, we give a brief overview of PADS and the original LEARNPADS inference algorithm (Section 2). We then decribe the new incremental inference algorithm (Section 3) and give a comprehensive experimental evaluation of the system (Section 4). Finally, we compare this system with some related work (Section 5) and conclude the paper (Section 6).

2. REVIEW OF PADS AND LEARNPADS

The data in Figure 2 is a fragment of a simple web server log. We use this format, which we call w1, to illustrate the principal features of the PADS data description language. The data is made up of a sequence of records, separated by newlines. Each record contains a number of fields delimited by white spaces. For example, the first record starts with an IP address, then has two dashes, a time stamp enclosed in square brackets, a quoted HTTP message, and finally two integers. The second record shows some variation: the IP address becomes a hostname and the second dash becomes an identifier.

PADS uses a type-based metaphor to describe ad hoc data. Each PADS type plays a dual role: it specifies a grammar by which to parse the data and a data-specific data structure in which to store the results of the parse. PADS/C is the variant of PADS that uses C as its host language, and therefore is syntactically similar to C. When compiled, the generated data structures and parsing code are in C.

Figure 3 shows a PADS/C specification that describes the records in Figure 2. The specification consists of a series of declarations. Types must be declared before they are used, so the last declaration <code>entry_t</code> describes the entirety of a record, while the earlier declarations describe fragments of the record. Type <code>entry_t</code> is a <code>Precord</code>, meaning it comprises a full line in the input, and is a <code>Pstruct</code>, meaning it consists of a sequence of named fields, each with its own type. For convenience, <code>Pstruct</code>s can also contain anonymous literal fields, such as " [", which denote constants in the input source. The generated representation for <code>entry_t</code> will be a C struct with one field for each of the named fields in the declaration. The type <code>client_t</code> is a <code>Punion</code>, meaning the described data matches <code>one</code> of its branches, by analogy with C unions.

```
Punion client_t {
                      // 207.136.97.49
  Pip
           ip;
  Phostname host;
                      // ks38.kms.com
};
Punion auth_id_t {
  Pchar unauthorized : unauthorized == '-';
  Pstring(:' ':) id;
Pstruct request_t {
   "GET ";
              Ppath
                        path;
   " HTTP/"; Pfloat
                       http_ver;
Precord Pstruct entry_t {
         client_t
                         client:
   ' '; auth_id_t
                         remoteID;
        auth_id_t
                         auth:
   " ["; Pdate
                         date;
   ':'; Ptime
                         time:
   "] "; request_t
                         request:
    ';
        Pint
                         response;
                         length:
        Pint
};
```

Figure 3: PADS/C description for the wl format

In particular, a client_t is either an IP address (Pip) or a host name (Phostname), where Pip and Phostname are PADS/C base types describing IP addresses and hostnames, respectively.

In general, base types describe atomic pieces of data such as integers (Pint) and floats (Pfloat), characters (Pchar) and strings (Pstring (:' ':)), dates (Pdate) and times (Ptime), paths (Ppath), etc. Strings represent an interesting case as in theory they could go on forever, so Pstring takes a parameter which specifies when the string stops: in this case, when it reaches a space character. To account for more general stopping conditions, a programmer may use the base type Pstring_ME, which takes a regular expression as a parameter. With this type, the corresponding string is the longest that matches the regular expression. The first branch of the Punion auth_id_t illustrates the use of a constraint. It specifies that the unauthorized character must be equal to '-'. If the constraint fails to hold, the next branch of the union will be considered.

In addition to the features illustrated in Figure 3, PADS provides arrays, which describe sequences of data all of the same type; options, which describe data that *may* be present; and switched unions, which describe unions where a value earlier in the data determines which branch to take. Such unions illustrate that PADS supports *dependencies*: earlier portions of the data can determine how to parse later portions.

From a description like the one in Figure 3, the PADS compiler can automatically produce a suite of data processing tools such as XML translator that converts the raw data into XML and statistical

```
207.136.97.49 - - [05/May/2009:16:37:20 -0400] "GET /README.txt HTTP/1.1" 404 216 ks38.kms.com - kim [10/May/2009:18:38:35 -0400] "GET /doc/prev.gif HTTP/1.1" 304 576
```

Figure 2: A Fragment of a Simple Web Server Log wl

```
<entry_t>
  <client>
    <ip>
      -
<elt><val>207</val></elt>
      <elt><val>136</val></elt>
      <elt><val>97</val></elt>
      <elt><val>49</val></elt>
      <length>4</length>
    </ip>
  </client>
  <remoteID>
    <unauthorized><val>-</val></unauthorized>
  </remoteID>
  <auth>
    <unauthorized><val>-</val></unauthorized>
  </aiith>
  <date><val>2009-05-05</val></date>
  <time><val>16:37:20</val></time>
  <timezone><val>-0400</val></timezone>
  <request> ... </request> <response> ... </response>
  <length> ... </length>
</entry_t>
```

Figure 4: XML translator output from one record of wl format

reporter that computes the various statistics of each types in the data source. Figure 4 presents the output of such an XML translator when applied to the first data records in Figure 2. Note that every data field has been tagged with a corresponding PADS data type. Figure 5 shows a snipet of the statistical report on a wl data source.

The goal of the LEARNPADS format inference engine is to infer PADS descriptions like the one in Figure 3 from raw data. From such a description, the PADS compiler can produce end-to-end processing tools fully automatically. A full description of the LEARNPADS algorithm appears in an earlier paper [9]. We give only a brief summary here.

LEARNPADS assumes that the input data is a sequence of newline-terminated records and that each record is an instance of the desired description. From such an input, it uses a three-phase algorithm to produce a description. In the *tokenization* phase, LEARNPADS converts each input line into a sequences of tokens, where each token type is defined by a regular expression. Intuitively, these tokens correspond to PADS base types. For example, the sequences of tokens (shown in brackets) converted from the two data lines in Figure 2 are:

```
[ip] [ ] [-] [ ] [-] [ ] [[] [date] [:]
  [time] []] [ ] ["] [str] [ ] [path] [ ]
  [str] [/] [float] ["] [ ] [int] [ ] [int]

[host] [ ] [-] [ ] [str] [ ] [[] [date] [:]
  [time] []] [ ] ["] [str] [ ] [path] [ ]
  [str] [/] [float] ["] [ ] [int] [ ] [int]
```

In the *structure discovery* phase, LEARNPADS computes a frequency distribution for each token type and then uses that information to determine if the top-level structure of the data source is a base type, **Pstruct**, **Parray**, or **Punion**. Based on that determination, the algorithm partitions the data into new contexts and recursively analyzes each of those contexts, constructing the corre-

```
***********
<top> : struct entry_t
good vals: 3000 bad vals: 0 pcnt-bad: 0.0
[Describing each field of <top>]
______
<top>.client : union client_t
____
good vals: 3000 bad vals: 0 pcnt-bad: 0.0
 min ip 1 max host 2
 distribution:
             count: 1704 pcnt-of-good: 56.800
  val: ip (1)
  val: host (2) count: 1296 pcnt-of-good: 43.200
             count: 3000 pcnt-of-good: 100.000
 SUMMING
______
<top>.length.len : uint32
______
good vals: 2651 bad vals: 0 pcnt-bad: 0.000
 min 35 max 37947 avg 3896.320
 distribution:
                  pcnt-of-good: 3.018
   val: 3082 count: 80
   val: 178 count: 55
                  pcnt-of-good: 2.075
  val: 170 count: 54 pcnt-of-good: 2.037
                  pcnt-of-good: 1.886
  val:
       518 count: 50
       43 count: 49 pcnt-of-good: 1.848
  val:
  val: 9372 count: 49 pcnt-of-good: 1.848
  val: 1277 count: 45 pcnt-of-good: 1.697
  val: 1425 count: 45
                   pcnt-of-good: 1.697
  val: 536 count: 43
                  pcnt-of-good: 1.622
  val: 1027 count: 42 pcnt-of-good: 1.584
          count: 512 pcnt-of-good: 19.313
   SUMMING
```

Figure 5: Fragment of statistical report of a wl data source

sponding description as it recurses. This phase terminates with a candidate description.

The LEARNPADS system decides the current top-level structure must be a Punion only if the frequency distribution does not look like a base type, a Pstruct or a Parray. Having decided for a Punion, the algorithm must partition the records of the data into buckets; each bucket corresponds to one branch of the new **Punion**. The original LEARNPADS partitioned the records based on the first token of each record: all records starting with Pint into one bucket, **Pdate** into a second, etc. Although this approach is very effective for some formats, for others it fails to capture useful structure. To address this lack, we added a second mechansism for partitioning records in a **Punion** context: edit distance. With this approach, two records are placed in the same bucket if the number of token "edits" (insertions and deletions) required to morph the token sequence of one record into the other is less than a threshold percentage of the length of the sequences. This second approach is also very successful in many, but not all formats. As a result, the current LEARNPADS system provides both options; the user selects the desired mechanism using a command-line switch.

In the *format refinement* phase, the algorithm uses an informationtheoretic scoring function to guide the application of rewriting rules. These rules seek to minimize the size of the description while improving its precision by performing structural transformations (such as merging adjacent **Pstructs**), adding data dependencies, and constraining the range of base types, *e.g.*, converting a general integer to a 32-bit integer.

The scoring function, which is based on the *minimum description length principle* [12], measures how well a description describes data by calculating the number of bits necessary to transmit both the description and the data *given the description*. We use the terms *type complexity* and *data complexity* to refer to the number of bits necessary to encode the description and the data given the description, respectively. This function penalizes overly general descriptions, such as <code>Pstring</code>, which have a low type complexity but a very high data complexity. It also penalizes overly specific descriptions that are extremely verbose. Such descriptions have a low data complexity but a high type complexity.

This algorithm produces good results for the small log files that we have experimented with, but it has two limitations: performance and adaptability. In terms of performance, the algorithm requires space quadratic in the input file size to perform the data dependency analysis, so it cannot be used on data sources larger than the square of the size of usable memory. In terms of adaptability, the algorithm only learns a description from a fixed amount of data. If the data changes over time, the algorithm cannot modify the existing description; it must start from scratch. This prevents the user from adapting descriptions to manage evolving data sources.

3. MAIN ALGORITHM

To address these problems, we extended LEARNPADS to work incrementally. Figure 6 illustrates the overall process. Given a candidate description D, the new algorithm uses D to parse the records in the data source. It discards records that parse successfully, since these records are already covered by D, but it collects records that fail to parse. Specifically, if a portion of a record fails to parse, that failure will be detected at a particular node in D. These failed portions are collected in an aggregation data structure A that mirrors the the structure of ${\tt D}.$ When the algorithm accumulates M such records, where M is a parameter of the algorithm, it transforms D to accommodate the places where differences were found (i.e., by introducing options where a piece of data was missing or unions where a new type of data was discovered). It then uses the original LEARNPADS algorithm to infer descriptions for the aggregated portions of bad data, and merge these new sub-descriptions into the transformed description to produce a new, refined description D' . This refined description subsumes D and describes the M new records. In addition, the algorithm attempts to preserve as much of the structure of D as possible, so users supplying initial descriptions can recognize the resulting descriptions. The algorithm then makes D' the new candidate description and repeats the process until it has consumed all the input data. We call the main loop in Figure 6 the incremental learning step. The initial description D can either be supplied by a user or it can be inferred automatically by applying the original algorithm to N records selected from the data source, where N is another parameter.

In the following, we present the algorithm in more detail.

3.1 Preliminaries

Figure 7 defines the data structures for descriptions \mathbb{D} , data representations \mathbb{R} , and aggregate structures \mathbb{R} . In these definitions, variable \mathtt{re} ranges over regular expressions, \mathtt{e} over host language expressions, \mathtt{s} and \mathtt{t} over strings, and \mathtt{i} over integers. For simplicity of presentation, we assume just three base types: integers, strings that match a regular expression and strings with a fixed width specified by an expression. Synchronizing tokens, or *sync tokens* for short, correspond to string literals in PADS descriptions. Such to-

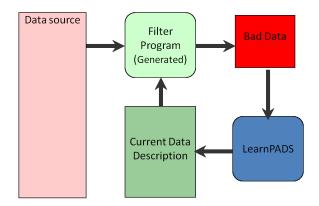


Figure 6: An Overview of the Incremental Learning Framework

kens, which are often white spaces or punctuation, serve as delimiters in the data and are useful for detecting errors. The binary dependent pairs Pair (x:D1, D2) are a simplicification of PADS more general Pstructs. The variable x refers to the data parsed by D1 and may be used in D2. The union Union (D1, D2) provides a choice between descriptions D1 and D2. An array description Array (D, s, t) has an element type described by D, a separator string s that appears between array elements, and a terminator string t. Finally, Option D indicates D is optional. To resolve ambiguities, unions are biased towards their first element, arrays are biased towards a longest match semantics and options are biased towards matching as opposed to not matching.

A term R is a parse tree obtained from parsing data using a description D. Parsing a base type can result in a string, an integer or an error. Parsing a sync token Sync s can give three different results: Good, meaning the parser found s at the beginning of the input; Fail, meaning s is not a substring of the current input; or Recovered s', meaning s is not found at the beginning of the input, but can be recovered after "skipping" string s'. The parse of a pair is a pair of representations, and the parse of a union is either the parse of the first branch or the parse of the second branch. The parse of an option is either the parse of its body or empty. The parse of an array includes a list of parses for the element type, a list of parses for the separator and a parse for the terminator which appears at the end of the array.

An aggregation structure accumulates the set of currently unparseable data fragments whose form must be learned for inclusion in the grammar. The aggregation structure mirrors the structure of the description D with two additional nodes: an Opt node and a Learn node. The Learn nodes accumulate extra data whose structure must be learned. The Opt nodes do the opposite: they mark were data were missing. An invariant of the aggregation structure is that newly inserted Opt nodes always wrap either a BaseA or a SyncA node.

3.2 Incremental Learning Step

Figure 8 gives pseudo-code for the *incremental learning step*. The input is the current description D and a batch of data records xs. The <code>init_aggregate</code> function initializes an empty aggregate according to description D. During parsing, the algorithm iteratively updates a list of possible aggregates As, seeded with the initial aggregate of D. For each data record x, the algorithm uses the <code>parse</code> function to produce a list Rs of possible parses. It then calls the <code>aggregate</code> function to merge each parse R in the current list of parses with each aggregate A in the current list of aggregates. (We use '::' to denote prepending an element onto the front of a

Basic notation:

Descriptions: Base ::= Pint | PstringME(re) | PstringFW(e)

```
D::=

Base (Base token)
| Sync s (Synchronizing token)
| Pair (x:D1, D2) (Pair with dependency)
| Union (D1, D2) (Union)
| Array(D, s, t) (Array)
| Option D (Option)
```

Data representation: BaseR ::= Str s | Int i | Error

```
SyncR ::= Good | Fail | Recovered s

R ::=
BaseR
| SyncR
| PairR (R1, R2)
| Union1R R | Union2R R
| ArrayR (R list, SyncR list, SyncR)
| OptionR (R option)
```

Aggregation structure:

```
A:: =
BaseA Base
| SyncA s
| PairA(A1, A2)
| UnionA(A1, Ar)
| ArrayA (A_elem, A_sep, A_term)
| OptionA A
| Opt A
| Learn [s]
```

Figure 7: Preliminary data structures used in incremental inference

list.) When the system finishes parsing all the input data, the algorithm uses the select_best function to select the best aggregate from the list of candidate aggregates As. The select_best function counts the total number of Opt and Learn nodes in each of the aggregates, and returns the one with the smallest number. The idea is that the aggregate with the smallest number of added nodes is more likely to represent a description that is the close to the original description. Finally, the update_desc function uses the structure of the best aggregate to update the previous description D to produce the new current description D'. The update_desc function works by doing two things. First, it converts the aggregate structure back to a PADS description with Opt nodes translated to Poption types. In addition, it invokes the LEARNPADS format inference algorithm to learn a sub-description for the data collected at each of the Learn nodes and replaces these Learn nodes with these new sub-descriptions. Second, it uses rewriting rules to improve the overall description.

3.3 Parsing

Our parser is a top-down recursive descent parser that performs error detection and recovery using synchronizing tokens. Figure 9 describes the most important elements of the parsing algorithm. For simplicity and brevity, we describe the algorithm abstractly using a

```
incremental_step(D, xs) =
  As = [init_aggregate(D)];
  foreach x in xs {
    Rs = parse(D, x);
    As' = [];
    foreach R in Rs {
        foreach A in As {
            A' = aggregate(A, R);
            As' = A :: As'
        }
    }
    As = As'
}
best_a = select_best(As);
D' = update_desc(D, best_A);
return D'
```

Figure 8: Pseudo-code for the incremental learning step

relation of the form $(R,m) \in L(D,E,s,s')$. This relation may be read "using description D and operating within the environment E, parsing the input I = s.s' will consume input prefix s and leave s' as the residual input, returning the parse tree R and correctness metric m." The environment E is a mapping from variable names x to parse trees R. This environment stores the binding of variables to parse trees that the PADS dependent pair construct introduces. We use the symbol ' ϵ ' to denote the empty environment.

The parse metric m measures the quality of a parse. It is a 4-tuple: (e, g, s, c), where the e is the number of tokens with parse errors, g is the number of tokens parsed correctly, s is the number of characters skipped during Sync token recovery, and c is the number of characters correctly parsed. To sum two parse metrics, we sum their components: $(e_1, g_1, s_1, c_1) + (e_2, g_2, s_2, c_2) = (e_1 + e_2, g_1 + g_2, s_1 + s_2, c_1 + c_2)$. We compare parse metrics by comparing the ratios of correctly parsed characters against erroneous tokens and the estimated number of skipped tokens. We estimate the number of skipped characters over the estimated token length:

$$\begin{array}{ccc} \left(e_1,g_1,s_1,c_1\right) & \geq & \left(e_2,g_2,s_2,c_2\right) \text{ iff} \\ \frac{c_1}{e_1 + \frac{s_1}{\max((s_1+c_1)/(e_1+g_1),1)}} & \geq & \frac{c_2}{e_2 + \frac{s_2}{\max((s_2+c_2)/(e_2+g_2),1)}} \end{array}$$

3.4 An Example of Parsing and Aggregation

To illustrate the parsing and aggregation phases of the algorithm, we introduce a simple example. Suppose we have a description d, comprised of a pair of an integer and a sync token " \star ", and we are given the following three lines of new input: " $5\star$ " and " $abc\star$ " and " $8\star$ ". Figure 10 shows the three data representations that result from parsing the lines, which we call R1, R2 and R3, respectively. Notice the first line parsed without errors, the second line contains an error for Pint and some unparsable data "abc", and the third contains a Fail node because the sync token \star was missing. Figure 11 shows the aggregation of R1 to R3 starting from an empty aggregate. In general, Error and Fail nodes in the data representation trigger the creation of Opt nodes in the aggregate, while unparsable data is collected in Learn nodes.

3.5 Description Rewriting

Once we have successfully parsed, aggregated and relearned a new chunk of data, we optimize the new description using rewriting rules. Our original non-incremental algorithm already had such an optimization phase; we have modified and tuned the algorithm for use in the incremental system.

Description rewriting is based on optimizing an information-theoretic Minimum Description Length (MDL) score [12], which is defined

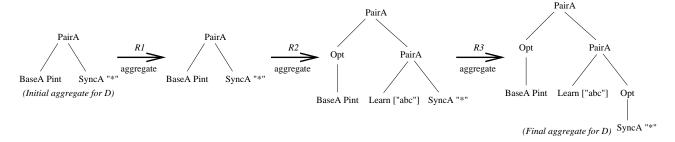


Figure 11: Aggregation of three parses

over descriptions D as:

$$MDL(D) = TC(D) + w \times ADC(x_1, ..., x_k \mid D),$$

where TC(D) is called the *type complexity* of D and $ADC(x_1, \ldots, x_k \mid D)$ is called the *atomic data complexity*. The type complexity is a measure of the size of the abstract syntax of D. The atomic data complexity of data records x_1, \ldots, x_k relative to D is the number of bits required to transmit an *average* data record given the description D. The MDL score of D is the weighted sum of these two components. Our experiments indicate a weight w of approximately 10 is effective in our domain.

Given a rewriting rule that rewrites D to D', the rule fires if and only if $MDL(D) \leq MDL(D')$. Rewriting continues until no further rule can fire. Hence, our rewriting strategy is a greedy local search.

The original learning system contains many MDL-based rewriting rules, for example, to flatten nested structs and unions and to refine ranged types. *BlobFinding* is an important new rewriting rule. This rule takes a given sub-description D and uses a heuristic to determine if the type complexity of D is too high relative to the amount of data it covers. The heuristic is that if the height of D is larger than minBlobHeight (which is empirically determined), and there is an identifiable constant string or pattern re that immediately follows D, then we rewrite D to Pstring_SE(:re:). Description Pstring_SE is a PADS base type that is similar to Pstring, except it uses a stopping regular pattern as opposed to a stopping character to indicate termination. This rule is tremendously helpful in controlling the size and complexity of learned descriptions. Without it, descriptions can grow in complexity to the point where parsing is slow and the algorithm fails to scale.

We also introduced a new *data dependent* rewriting rule called *MergeOpts* to optimize a pattern that occurs frequently in descriptions during incremental learning. Recall that the aggregate function introduces Opt nodes above a BaseA or SyncA node whenever the corresponding Base or Sync token in the description failed to parse. When faced with an entirely new form of data, the algorithm is likely to introduce a series of Opt nodes as each type in the original description fails in succession. The *MergeOpts* rule collapses these consecutive Opt nodes if they are correlated, *i.e.*, either they are all always present or all always absent. To verify this correlation, the algorithm maintains a table that records the branching decisions when parsing each data line. It uses this table to determine whether to merge adjacent Opt nodes during rewriting. Figure 12 illustrates the effect of this rule. In the figure, *S* denotes a struct and *B* a base token.

3.6 Optimizations

The pseudo-code in Figure 8 suggests the number of aggregates is of the order $O(m^n)$, where m is the maximum number of parses for a line of input and n is the number of lines to aggregate. Clearly,

this algorithm will not scale unless m and n are bounded. To deal with this problem, we have implemented several optimizations to limit the number of parses and aggregates.

One key optimization culls parses based on the parse metric m. To be more precise, we instrument the implementation of the **parse** function to return a list of *parse triples* (r, m, j), where r is the data representation of the parse, m is the metric associated with r, and j is the position in the input after the parse rather than just representations. We define a **clean** function that first partitions the triples into groups that share the same *span*, *i.e.*, the substring of the input consumed by the parse. For each group, **clean** retains all perfect parses. If none exist, it retains the best k non-perfect parses in the group. We justify discarding the other triples because given a description p and a fixed span, we always prefer the parse with the best metric. This idea is similar to the dynamic programming techniques used in Earley Parsers [7].

To understand the impact that different values of k can have, consider k=1, which causes the system to discard all erroneous parses except the one with the best parse metric. This strategy significantly reduces the cost of the parsing and aggregation operations, but we have found in practice that it usually results in sub-optimal overall parse structures. For example, suppose the description in Figure 13 is the current top-level description $\mathbb D$ when learning the w1 format. Recall that constants in descriptions, such as ' ' and ' - ', are used as sync tokens during parsing. Now, suppose we use $\mathbb D$ to parse the second record in Figure 2. $\mathbb D$ parses the data without error until it reaches the second dash in $\mathbb D$. The description then expects a sync token '-', but instead sees a string amnesty. According to the semantics of sync tokens, given in Figure 9, there can be two possible parses:

(Recovered "kim [10/May/2009:18:38:35 ", (1, 26, 1))

or:
(Fail, (1, 0, 0))

In the first parse, the parser skips 26 characters before finding a '-' token. In the second, the parser returns failure and consumes no characters from the input. According to our ranking function on parse metrics, the first parse is better and therefore the second one is discarded. Unfortunately, this strategy causes the parsing of the remaining types up to "] " to fail, thereby giving a bad overall parse. If we keep the second parse and continue with it, the next sync token " [" will be able to recover after skipping kim and succeed in parsing the rest of the types, hence giving a better overall parse. Therefore, in the implementation, we have chosen k to be small (for speed) but larger than one (for quality).

A second optimization, the *parse cut-off* optimization, terminates a candidate parse when parsing a struct with multiple fields f_1 , f_2 , ..., f_n if the algorithm encounters a threshold number of errors in

Base: (Int (atoi s), m) \in L(Pint,E,s,s') if re = (+|-)?[0-9]+and $s \in I_1(re)$ and $s'' \in prefix(s')$ and $s.s'' \notin L(re)$ and m = (0, 1, 0, len(s)) $(Error, (1,0,0,0)) \in L(Pint,E,"",s'),$ if $x \in \operatorname{prefix}(s')$ then $x \notin L((+|-)?[0-9]+)$ $(Str s, m) \in L(PstringME(re), E, s, s'),$ if $s \in L(re)$ and $s'' \in prefix(s')$ and $s.s'' \notin L(re)$ and m = (0, 1, 0, len(s))(Error, (1,0,0,0)) $\in L(PstringME(re),E,"",s')$, if $x \in prefix(s')$ then $x \notin L(re)$ (Str s, m) \in L(PstringFW(e),E,s,s') if E(e) = Int k and k >= 0and s = c1...ck and m = (0,1,0,k)(Error, (1,0,0,0)) \in L(PstringFW(e),E,"",s') if $E(e) \neq Int k for any k > 0$ $(Error, (1,0,0,0)) \in L(PstringFW(e),E,"",s')$ if E(e) = Int k and k > 0 and len(s') < k $(0,1,0,\operatorname{len}(s))) \in L(\operatorname{Sync}(s),E,s,s')$ (Good. (Recovered s1, m) \in L(Sync(s2), E, s, s') if s = s1.s2and $s3.s2 \notin sprefix(s1.s2)$ for any s3and m = (1, 0, len(s1), len(s2)) $(Fail, (1,0,0,0)) \in L(Sync(s),E,"",s')$ if s ∉ prefix(s') Pair. (PairR (R1,R2), (m1 + m2))∈ L(Pair(x:D1, D2), E, s1.s2, s') i f $(R1, m1) \in L(D1, E, s1, s2.s')$ and (R2, m2) \in L(D2,E[x \rightarrow R1],s2,s') Main parse function: parse(D, s) = {r | (R, m) \in L(D, ϵ ,s,"")}

Figure 9: Definition of parse function (excerpts)

succession. This may result in no possible parses for the top-level description, in which case we restart the process with this optimization turned off.

A third optimization is memoization. The program keeps a global memo table indexed by the pair of a description $\mathbb D$ and the beginning position for parsing $\mathbb D$. This table stores the result for parsing according to $\mathbb D$ at the specific position.

4. EXPERIMENTAL RESULTS

To evaluate the performance of our prototype system and to understand the trade-offs in setting the various parameters in the algorithm, we ran a number of experiments using 16 data sources. These sources are divided into two groups: six *large files*, each more than 1GB, and ten *smaller files*, each under 1GB. Table 1 lists the names of these data sources, the file sizes, the number of lines, and brief descriptions. We conducted our experiments on a 2.4GHz machine with 24 GBs of memory and two 64-bit quad-core Intel Xeon Processors running Linux version 2.6.18. Our system is single-threaded, so we effectively used only one of the eight available cores

We are interested in two kinds of performance measures:

- 1. time to learn a description
- 2. quality of the learned description

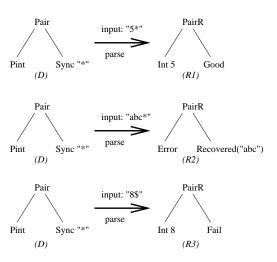


Figure 10: Result of parsing three input lines

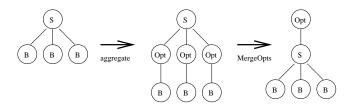


Figure 12: MergeOpts rewriting rule

The time to learn can be further broken down into two components: time to learn the initial description, and the time to incrementally learn a description for the rest of the data.

The quality of the description can be measured in three ways: the *MDL score* [12] of the description, the *edit distance* [5] between the learned description and a "gold description" written by human expert, and the *accuracy* of the learned description. The MDL score provides a fully automated way to quantify both the precision and the compactness of a description, with smaller MDL scores corresponding to better descriptions. However, while MDL is useful, it is best seen as a proxy measure, since humans may prefer a description with a higher MDL score if that description better captures the human being's intuitions.

To address this concern, we use edit distance to measure how close the learned description is to something a human being might write. This metric counts the number of edits necessary to convert the learned description into a "gold description" written by a human being, where an edit can be either an insertion or deletion of a

```
Precord Pstruct entry t
          Phostname
                           host;
   '-';
          /* the first dash */
   ′′;
                   /\star the second dash \star/
         Pdat.e
                            date;
          Ptime
                            time;
          request_t
                            request;
   , · ,
          Pint
                            response;
          Pint
                            length;
};
```

Figure 13: Example description D

Name (Large) Size Lines Description								
redstorm	34.18 GB	219096168	Supercomputer log from Sandia National Lab					
liberty	30.833 GB	265569231	Supercomputer log from Sandia National Lab					
dalpiv.dat	15.41 GB	25867260	Yellow pages web server log					
vshkap2.log	10.33 GB	89662433	Syslog format					
cosmosLog_csm.exe.log	6.09 GB	22143288	Microsoft Cosmos service manager log					
free_impression.dat	2.60 GB	27644006	Impression data of yellow pages for Free users					
Name (Small)	Size	Lines	Description					
free_clickthroughs.dat	24 MB	285332	Yellow pages click through stream data					
thirdpartycontent.log	40 MB	281519	Third party content stream data					
eventstream.current	500 MB	1579920	Event streams on Cosmos					
strace_jaccn.dat	80 MB	896490	NERSC application traces					
LA-UR-EVENTS.csv	30 MB	433490	Comma separated LANL disk replacement data					
messages.sdb	520 MB	5047341	/var/log/messages from CRAY					
HALO_have2impression.log	360 MB	210034	Server side impression records of iPhone applications					
LA-UR-NODE-NOZ.TXT	32 MB	1630479	Space separated LANL disk replacement data					
searchevents.dat	90 MB	2035348	Yellow pages search event log					
4046.xls	7 MB	24193	DNA Microarray data					

node in the description. More precisely, the distance measure is a *normalized edit distance* score:

$$normal_dist(D) = \frac{edit_dist(D, D_{gold})}{|D_{gold}|}$$

where |D| denotes the total number of nodes in D. Of course, the edit distance measure may also be imperfect as there can be a number of different but equally "good" ways to craft a gold description. Nevertheless, we have found this measure adds information to what we gain from the MDL score alone.

Finally, our system would not be very useful if the learned description did not describe the original data correctly. Therefore, we also use an accuracy measure, which reports the percentage of original data source that the learned description parses without errors.

4.1 Large data sources

Our first experiment learns a description for each of the six large data sources in the benchmark. We set the initial batch size Nto be 2000 and the incremental batch size M to be 100. Table 2 reports the MDL and distance scores, the accuracy, and the total learning time. In addition, it report various times to parse the data. The parse time is the time it takes the algorithm's parse function to parse the source data using the learned description. The PADS time is the time it takes the generated PADS parser to parse the same data. To put these parsing times in perspective, we list the time to count the total number of lines using the Unix wc -1 command and the time to parse the data using the simple PADS type Pstring (:Peor:), which parses each line as a newlineterminated string. The result shows that the incremental learning algorithm can learn the format of a 30GB file in a few hours. Importantly, the learned descriptions are all correct with respect to their original raw data.

4.2 Scaling performance

In the next experiment, we evaluate how the algorithm scales with increasing data size by running the system on increasingly large fractions of each of the small data files, starting with 20% and ending with 100%. For a given data source, we empirically determined which values of the batch-size parameters N and M give the best result when learning the entire source, and then used those values for this experiment. Figure 14 plots the resulting total

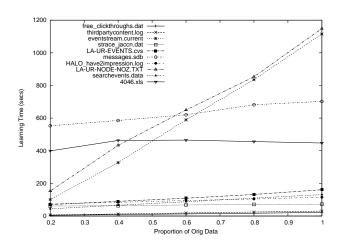


Figure 14: Learning time vs percentage of data sources

learning time versus the percentage of the data file used in learning. The graph shows the algorithm enjoys near linear scale-up for all sources except 4046.xls, which flattens after 40% of data. The BlobFinding rule is the cause of this anomaly: learning the initial description takes a relatively long time, but after the algorithm sees the first 40% of the data, the BlobFinding rule simplifies the description to one that parses much more quickly and correctly parses the rest of the data.

4.3 Initial and incremental batch size

To understand the interplay of parameters N and M, we did the following experiment. For each of the 10 small files, we repeatedly doubled N from 500 to 32000. For each N, we repeatedly quadrupled M from 25 to 6400. For each resulting pair of N and M, we ran the learning system on each data file and recorded the learning time, the MDL score and the normalized distance score. All the learned descriptions parse the original data without error and therefore achieve 100% accuracy. Because of space constraints, we show only the results for eventstream.current and messages.sdb in Table 3 and Table 4, respectively. The results for the remaining files are available on the web (http://www.padsproj.org/incremental-learning.html). Each table represents a two-

Table 2: Large data sources

Data	MDL	Dist	Accuracy	Learn Time (s)	parse time (s)	PADS time (s)	wc time (s)	Pstring time (s)	
cosmosLog_csm.exe.log	21301.34	0.805	100%	1040	1225	430	34	89	
dalpiv.dat	45785.72	0.865	100%	4012	2196	767	82	278	
free_impressions.dat	6062.39	0.89	100%	2701	4032	493	15	46	
liberty	8790.85	0.722	100%	21144	20851	8036	175	677	
redstorm	13837.73	0.707	100%	55548	24736	9791	191	719	
vshkap2.log	10063.71	1.750	100%	23337	14651	2163	57	174	

Table 3: N vs. M - eventstream.current Table 4: N vs. M - messages.sdb

$N\backslash M$	25	100	400	1600	6400	$N\backslash M$	25	100	400	1600	6400
	3.37	3.00	3.00	3.00	3.00		0.62	0.62	0.62	0.52	0.52
Manual	10199.04	9763.39	9763.39	9763.39	9742.43	Manual	8316.77	8355.71	8313.52	8297.47	8297.04
(3600)	442.18	827.66	897.30	910.00	868.01	(3600)	337.44	438.29	292.88	295.68	292.05
	5.05	5.05	5.05	5.05	5.05		0.67	0.67	0.67	0.67	0.67
500	16119.06	15184.53	15184.53	15184.53	15184.53	500	8098.46	8098.46	8098.46	8098.46	8098.46
(0.30)	927.84	1286.60	1117.60	1147.43	1118.18	(2.13)	123.88	127.76	130.17	125.52	124.45
	5.05	5.05	5.05	5.05	5.05		1.10	1.10	1.24	1.24	1.24
1000	16118.88	15184.35	15184.35	15184.35	15184.35	1000	9346.35	8443.28	8549.67	8544.63	8541.95
(0.89)	962.74	1088.59	1086.97	1113.33	1115.03	(5.75)	432.61	418.64	425.35	442.23	444.56
	5.05	5.05	5.05	5.05	5.05		2.48	2.48	2.48	2.48	2.48
2000	16118.52	15183.99	15183.99	15183.99	15183.99	2000	10881.17	10881.17	10881.17	10881.17	10881.17
(2.24)	934.40	1117.25	1120.37	1100.55	1114.62	(6.55)	3935.54	3640.04	3983.46	3695.27	3643.84
	5.37	5.37	5.37	5.37	5.37		0.57	0.57	0.57	0.57	0.57
4000	16577.07	15642.54	15642.54	15642.54	15642.54	4000	7936.66	7936.66	7936.66	7936.66	7936.66
(9.34)	1393.08	1089.47	1198.06	1127.42	1091.39	(16.26)	868.20	881.52	885.64	910.99	925.19
	5.37	5.37	5.37	5.37	5.37		0.48	0.48	0.48	0.48	0.48
8000	16575.84	15641.31	15641.31	15641.31	15641.31	8000	7932.71	7932.71	7932.71	7932.71	7932.71
(36.63)	1404.10	1115.77	1115.64	1186.75	1250.11	(74.20)	245.05	242.79	249.90	244.78	248.62
	5.37	5.37	5.37	5.37	5.37		0.57	0.48	0.48	0.48	0.48
16000	16573.39	15638.87	15638.87	15638.87	15638.87	16000	7995.88	7932.65	7932.65	7932.65	7932.65
(164.38)	1545.75	1457.71	1443.14	1252.26	1331.52	(585.03)	717.57	758.57	696.82	760.00	698.15

dimensional array, in which the N increases downward and the Mincreases to the right. Each table cell contains three numbers: the distance score, the MDL score and the total learning time in seconds. The number in parenthesis in the first column is the time to learn the initial batch in seconds, which is the same across all M's. As a baseline, we add a "Manual" row. A human expert was given only the first 500 records of the data and was asked to write a PADS description that correctly parses the 500 records. The timings in the manual row are the time it took the expert to produce the initial description (which was estimated to be an hour), and the time to learn the entire data source beginning with that description. We highlight the best result in each table. For example, the best description for message.sdb is learned with N=16000 and M=400 which are the parameters used for the scaling test of this source. In the rest of this section, we summarize some of the findings from analyzing these results.

In general, as M goes up, the total learning time increases. With smaller batch sizes, the system updates descriptions more frequently, often simplifying them. These simplified descriptions parse more efficiently and hence require less time. When N is large, this phenomenon is not as prominent because the initial description learned from large initial batches is often good enough to cover most of the remaining data, and thus no incremental updates are needed.

After studying the results of varying the initial batch size N, the main conclusion that we draw is that the end results of our algorithm are sensitive to the quality of the initial description, and that

the quality of the initial description is dependent upon the initial batch of data. This is to be expected since our rewriting system is an incomplete, greedy local search, and therefore is sensitive to the initial candidate grammar it starts with. Hence, given this fact, an effective way to use the system is to have it infer an initial candidate grammar automatically, based on the first batch of data, to examine the initial candidate manually, make any necessary adjustments, and then to invoke the system on the rest of the data.

To determine the effects of the weight w in the MDL score on the quality of the learning descriptions, we pick four smaller sources and learn descriptions for them with w set at 1, 5, 10, 100 and 1000, while keeping the other parameters the same. The results in Figure 15 show that setting w to a very small or very large number either results in bad descriptions with high edit distance score, or timeouts at runtime (description was too complex to parse in reasonable time). Therefore, we choose w = 10 for practicality.

To illustrate the quality of learned description and the difference between it and the gold description, we show the gold description and the best learned description of messages.sdb in Figure 16 and Figure 17. The learned description maintains a top-level structure almost identical to the gold description, except the gold description has slightly more refined details about the message_t type, which was represented by Popt Struct_6113 and the blob at the end. The gold and learned descriptions for the other files are available on the web (http://www.padsproj.org/incremental-learning.html).

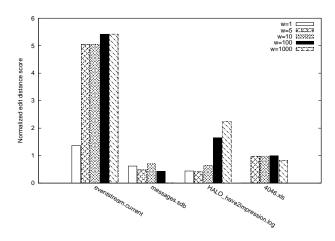


Figure 15: Effects of w on description quality

5. RELATED WORK

There is a long history of research in *grammar induction*, the process of discovering grammars from example data. Vidal [20] and De La Higuera [13] both give surveys of research in the area. One way research in this area may be categorized is by analyzing what kinds of inputs the various algorithms require. Some algorithms require positive and negative examples to discover a grammar, some algorithms require manual labeling of example data, some algorithms require answers to various kinds of queries. Our system only requires positive examples (negative examples are not available in practice), does not ask users to answer queries, and does not require labeling.

Given that we work only with positive examples, there are still a number of possible approaches we could take. Our work on the core learning algorithm borrows key ideas from two different places. First, the structure discovery phase of our algorithm, which generates an initial candidate grammar from a set of examples, is a variant of Arasu and Garcia-Molina's algorithm for inferring the structure of web pages for the purpose of information extraction [2]. Second, our system makes use of various grammar rewriting algorithms that seek to optimize an information-theoretic Minimum Description Length (MDL) score. MDL rewriting has been used many times before; we recommend Grünwald's book [12] as a starting point for reading about this topic. Given this background of basic algorithms, the key contribution of the work is more applied: we have borrowed basic algorithms to get started, modified them so they work effectively on an important, understudied domain (ad hoc system logs), proven it is possible to scale the algorithms up to the point that they may be applied to massive industrial data sets, and empirically evaluated the results.

The adaptations of our algorithm to incremental processing are partly inspired by traditional compiler error detection and correction techniques. In particular, the idea of using synchronizing tokens as a means for accumulating chunks of unknown/unparseable data has long been used in parsers from programming languages (see Appel's text [1] for an introduction to such techniques). This heuristic appears to work well in our domain of systems logs as these logs are usually structured around punctuation symbols (commas, semi-colons, verticle bars, parens, newlines, *etc.*) that act as field-terminators and hence work well as synchronizing tokens.

Other incremental algorithms for learning grammars from example data has been developed in the past. For example, Parekh and Honavar [17] have developed and proven correct an incremental interactive algorithm for inferring regular grammars from positive ex-

```
Pstruct proc_id_t {
         '[';
        Puint32 id;
        ′]′;
};
Pstruct daemon_t {
        Pstring_SE (:"/[:\[]/":) name;
        Popt proc_id_t v_proc_id;
};
Pstruct msq_body_t {
        daemon_t v_daemon_pri;
        Pwhite v_space;
        Pstring_SE(:Peor:) v_msg;
};
Punion message_t {
        msq_body_t v_normal_msq;
        Pstring_SE(:Peor:) v_other_msg;
};
Precord Pstruct entry_t {
        Pdate v date;
        Ptime v_time;
        Pstring(:' ':) v_id;
        message t v message;
};
Psource Parray entries_t {
        entry_t[];
};
```

Figure 16: Gold description of messages.sdb

amples and membership queries. This algorithm works quite differently than ours: it operates over automata and it uses membership queries, which ours does not. More broadly speaking, Parekh and Honavar and many other related algorithms provide beautiful theoretical guarantees. In contrast, we have focused on implementation, empirical evaluation and scaling to support massive data sets.

Another place in which grammar induction is used is in information extraction from web pages. One example (amongst many, many others) is work by Chidlovskii et al. [6], which seeks to learn wrappers (i.e., data extraction functions) by using a modified edit distance algorithm. Our algorithm also uses edit distance in its guts to measure similarity between chunks of data. However, the edit distance metric we use is just one element of a larger induction algorithm related to Arasu and Garcina-Molina's recursive descent algorithm mentioned above. Chidlovskii's algorithm is also incremental - it integrates one new record of data at a time into a grammar. Our algorithm integrates batches of new data at a time. One reason we chose a batch-oriented approach is that processing data in batches helps disambiguate between various possibilities for both token definitions and tree structure. The tagged tree-structure of XML or HTML documents eliminates many of the ambiguities that appear in log files where the separators or tags are not known a priori. Our ad hoc data sets also appear different from the web-based data studied by Chidlovskii in terms of their scale: Chidlovskii's algorithms take up to 30 seconds on up to 30 kilobytes of data; our algorithms take hundreds of times longer on data a million times larger.

Other related information-extraction efforts are those that attempt to identify tabular data either from free-form text [15, 18] or from web pages [14]. These approaches typically use hand-labeled examples to train machine learning systems to identify the tables. They then use heuristics specific to tabular data to extract the tu-

Figure 17: Best learned description of messages.sdb

ples contained within those tables.

Many researchers have studied the problem of learning a schema such as a DTD or XSchema from a collection of XML documents [3, 4, 8, 11]. At a high level, this task is similar to the kind of format inference we are attempting to do, but the details differ because, as mentioned above in reference to Chidlovskii's work, XML has different characteristics from ad hoc data: XML documents have a well-nested tree shape, with obvious delimiters defining the structure and the XML tags help with tokenization. As a result of these differences, XML inference algorithms cannot be used "off-theshelf" for understanding the structure of ad hoc data. They must be modified, tuned and empirically evaluated on this new task. Having made this point, one of the more closely related XML schema inference systems is XTRACT [11]. It operates in three phases: generalization, factoring and MDL optimization. The first phase plays a role similar to our structure discovery phase in that it generates a collection of candidate structures from a series of XML examples. This generalization phase searches for patterns in XML data; it is tuned using the authors' knowledge of common DTD structures. Factoring decreases the size of generated candidate DTDs; some of the factoring rules resemble our rewriting rules. Finally, they tackle the MDL optimization problem by mapping the problem into an instance of the NP-complete Facility Location Problem, which they solve using a quadratic approximation algorithm. Our MDLguided rewriting problem considers a more general set of rewriting rules and hence we cannot reuse this particular technique.

Finally, Potter's Wheel [19] is a system that attempts to help users find and purge errors from relational data sources. It does so through the use of a spread-sheet style interface, but in the background, a grammar inference algorithm infers the structure of the input data, which may be "ad hoc," somewhat like ours. This inference algorithm operates by enumerating all possible sequences of base types that appear in the training data. Like our work, Potter's Wheel is interested in large-scale data processing problems and is designed to process data incrementally and interactively. Since Potter's Wheel is aimed at processing relational data, they only infer struct types as opposed to enumerations, arrays, switches or unions.

6. CONCLUSION

Ad hoc data files such as systems logs are extremely difficult to manage because of their large size, evolving format, and lack of documentation. In this paper, we have presented the design, implementation and evaluation of a system for learning the structure of large industrial ad hoc data files. The system not only produces documentation for the files in the form of a PADS description, but it can also be used to generate end-to-end data processing tools such as a statistical analysis or an XML translator. We have shown that the system can scale to the point where it can process and learn the structure of logs on the order of up to 30GB or more in a few hours.

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