

# **Richards Tests**

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# 1 Introduction

The Richards' equation describes slow fluid flow through a porous medium. This document describes the test suite associated with the Richards MOOSE code: both brief unit-style tests, and more complicated benchmark verifications. Many of the tests are run automatically every time the code is updated. Some of the tests are marked 'heavy' since they are more lengthy (they take over 2 seconds to run) and these must be run manually. There are two other accompanying documents: (1) The theoretical and numerical foundations of the code, which also describes the notation used throughout this document; (2) Examples of input syntax that users can utilise when building models.

The test suite does not *prove* that the Richards' equation is correctly implemented in MOOSE, as the expected results might be obtained by pure luck. The tests are ordered approximately by complexity, so that by the end I hope that all readers will agree that the implementation is highly likely to be correct. The tests are as follows.

- Chapter 2 tests the so-called UserObjects that define the Richards' nonlinear functions: relative permeability, density, and effective saturation. These functions are independent of the Darcy/Richards flow, but of course they must be correctly implemented in order that the code give correct solutions of flow problems.
- Chapter 3 contains many tests the Jacobian of Richards' flow. The Jacobian actually has little effect on the final numerical solution of a flow problem, but if it is incorrectly implemented then MOOSE will display poor convergence characteristics. Therefore it is extremely important to check the Jacobian.
- Chapter 4 checks that the fluid mass is calculated correctly.
- Chapter 5 contains many tests of the long-time behaviour in simple problems, which should just be hydrostatic pressure head, with the caveat that  $S \geq S_{\text{imm}}$ .
- Chapter 6 checks that the piecewise-linear sink and the half-Gaussian sink are implemented correctly.
- Chapter 7 checks that the piecewise-linear stream-type sink (the PolyLineSink) is implemented correctly.
- Chapter 8 checks that pressure pulses diffuse correctly through a fully-saturated medium. For readers experienced in the groundwater, this is very similar to the Theis solution.
- Chapter 9 checks that MOOSE behaves correctly when the system contains a sink flux that is a function of porepressure. A particular function is used so that an analytic solution exists.

- Chapter 10 demonstrates that boreholes are correctly implemented by comparing with the desired expression for the flux to the borehole, and by comparing with the known 2D analytic solution.
- Chapter 11 demonstrates that flow in the unsaturated region along with source fluxes is correctly implemented by comparing with the analytic solution of Broadbridge and White for constant infiltration.
- Chapter 12 demonstrates that flow in the unsaturated region is correctly implemented by comparing with the analytic solution of Warrick, Lomen and Islas for drainage of a medium under the action of gravity.
- Chapter 13 compares MOOSE with numerical results from HYDRUS for infiltration and drainage from a large caisson. In reality, this is very similar to Chapters 11 and 12: the only essential differences are the capillary and relative permeability functions.
- Chapter 14 compares MOOSE with the analytic solution of the Buckley-Leverett problem.

## 2 UserObject tests

The Richards' UserObjects define the nonlinear functions that form the core of all models. The tests of these UserObjects involve checking whether the functions and their derivatives are correctly coded. This is done by comparing the values of the UserObjects with ParsedFunctions that are coded into a MOOSE input file, and the values of the UserObject derivatives with finite-differences of the same ParsedFunction. These are simple tests and are part of the automatic test suite. The following tests are performed.

- That the 'power' form of the relative permeability:

$$\kappa_{\text{rel}}(S) = (n+1)S^n - nS^{n+1}, \quad (2.1)$$

is correctly coded, and also that its first and second derivatives with respect to  $S$  are correctly coded.

- That the 'van Genuchten' form of the relative permeability:

$$\kappa_{\text{rel}}(S) = \sqrt{S} \left( 1 - \left( 1 - S^{1/m} \right)^m \right)^2, \quad (2.2)$$

is correctly coded, and also that its first and second derivatives with respect to  $S$  are correctly coded.

- That the 'modified van Genuchten' form of the relative permeability:

$$\kappa_{\text{rel}}(S) = \begin{cases} \sqrt{S} \left( 1 - \left( 1 - S^{1/m} \right)^m \right)^2 & \text{for } S < S_{\text{cut}} \\ \text{cubic} & \text{for } S \geq S_{\text{cut}} \end{cases} \quad (2.3)$$

is correctly coded, and also that its first and second derivatives with respect to  $S$  are correctly coded.

- That the 'Broadbridge-White' form of the relative permeability:

$$\kappa_{\text{rel}}(S) = \kappa_n + (\kappa_s - \kappa_n) \frac{\Theta^2(C-1)}{C-\Theta}, \quad (2.4)$$

where  $\Theta = (S - S_n)/(S_s - S_n)$ , is correctly coded, and also that its first and second derivatives with respect to  $S$  are correctly coded. Broadbridge and White assume that saturation is bounded  $S_n \leq S \leq S_s$ , so for most MOOSE models it is appropriate to treat  $S_n$  as the immobile saturation with  $\kappa_n = 0$ , and  $S_s = 1$  with  $\kappa_s = 1$ .

- That the ‘constant bulk modulus’ form of the density

$$\rho(P) = \rho_0 e^{P/B} , \quad (2.5)$$

is correctly coded, and also that its first and second derivatives with respect to  $P$  are correctly coded.

- That the ‘ideal gas’ form of the density

$$\rho(P) = s(P - P_0) , \quad (2.6)$$

is correctly coded, and also that its first and second derivatives with respect to  $P$  are correctly coded.

- That the ‘van Genuchten’ effective saturation

$$S_{\text{eff}} = \left( 1 + (\alpha P_c)^{\frac{1}{1-m}} \right)^{-m} , \quad (2.7)$$

is correctly coded, and also that its first and second derivatives with respect to  $P_c$  are correctly coded.

- That the ‘Broadbridge-White’ effective saturation valid for small  $\kappa_n$ , defined by

$$\frac{P_c}{\lambda_s} = \frac{1 - \Theta}{\Theta} - \frac{1}{C} \log \left( \frac{C - \Theta}{(C - 1)\Theta} \right) , \quad (2.8)$$

with  $\Theta = (S_{\text{eff}} - S_n)/(S_s - S_n)$ , is correctly coded, and also that the first and second derivatives of  $S_{\text{eff}}$  with respect to  $P_c$  are correctly coded. Note that Broadbridge and White assume that  $S_{\text{eff}} = S$  (no residual saturations) and  $S_n \leq S \leq S_s$ , so in most MOOSE models  $S_n$  is the immobile saturation.

### 3 Jacobian tests

The UserObjects and their derivatives need to be combined to form a residual and a Jacobian during the solution process. Correctly coding the Jacobian leads to rapid convergence to the correct solution, so tests of the Jacobian are important. These are simple tests and are part of the automatic test suite.

In MOOSE parlance, the Jacobian consists of ‘Diagonal’ and ‘OffDiagonal’ terms. The former consist of the derivative of a variable’s residual with respect to the same variable (at the same quadrature point or a different one); while the latter consist of the derivatives with respect to another variable (at the same quadrature point or a different one).

The ‘Diagonal’ terms are tested using a single-phase single-element model with random initial conditions. Sixteen different tests are performed which are all possible combinations of:

- Fully-saturated or unsaturated initial conditions
- With or without gravity
- With or without SUPG
- With or without time derivatives

Of course, the unsaturated case with gravity, SUPG and time derivatives is the most complicated, but the other cases are useful for debugging.

The ‘OffDiagonal’ terms only appear if there is more than one pressure variable, that is for multi-phase problems. No tests have been performed yet.

In addition to these tests, the Jacobians for the following sinks/sources are tested:

- Piecewise linear sink
- Half Gaussian sink
- Piecewise linear stream sink

## 4 Fluid mass

The total fluid mass within a volume  $V$  is

$$\int_V \phi \rho S. \quad (4.1)$$

It must be checked that MOOSE calculates this correctly in order that mass-balances be correct, and also because this quantity is used in a number of tests below.

A 1D model with  $-1 \leq x \leq 1$ , and with two elements of size 1 is created with the following properties:

Constant fluid bulk modulus	1 Pa
Fluid density at zero pressure	1 kg.m <sup>-3</sup>
Residual fluid saturation	0.1
Residual air saturation	0.1
Van Genuchten $m$	0.5
Van Genuchten $\alpha$	1 Pa <sup>-1</sup>
Porosity	0.1

The porepressure is set at  $P = x$ .

For linear-lagrange elements, MOOSE calculates the integral over  $-1 \leq x \leq 1$  by performing a sum over quadrature points at  $x = \pm 0.788675$  and  $x = \pm 0.211325$ . Using the properties given above, this yields:

$x$	$p$	Density	$S_{\text{eff}}$	Saturation	Mass
-0.788675	-0.788675	0.454447	0.785188	0.72815	0.016545
-0.211325	-0.211325	0.809511	0.978392	0.882714	0.035728
0.211325	0.211325	1.235314	1	0.9	0.055589
0.788675	0.788675	2.200479	1	0.9	0.099022
Total					0.206884

MOOSE also gives the total mass as 0.206884 kg. This test is part of the automatic test suite that is run every time the code is updated.



## 5 Long-time behaviour

These tests concern the steadystate pressure distribution obtained either by running a transient model for a long time, or by running a steady-state analysis, both of which should lead to the same result. Without fluxes, the steadystate pressure distribution is just

$$P(x) = P_0 + \rho_0 g x , \quad (5.1)$$

if the fluid bulk modulus is large enough compared with  $P$ . Here  $\rho_0$  is the constant reference fluid density,  $g$  is the acceleration due to gravity (a vector), and  $x$  is position. These are simple tests and are part of the automatic test suite.

This is verified by running sixteen single-phase single-element models with random initial conditions. The sixteen cases are all possible combinations of:

- Fully-saturated or unsaturated initial conditions
- With or without gravity
- With or without SUPG
- Transient or Steadystate

In addition to these cases, a number of more complicated scenarios are also part of the automatic test suite:

1. A single-phase situation with nonzero immobile saturation. There are 5 elements in the  $x$  direction along which gravity acts. The  $x$  direction has length 20 m, and the initial condition is sufficiently unsaturated so that after some time the saturation at the ‘top’ of the model ( $x \sim 20$ ) would reduce below immobile saturation if the relative permeability weren’t preventing it. Two cases are studied: with SUPG and without SUPG. The results are shown in the top two pictures of Figure 5.1 and show that SUPG reduces oscillations and prevents  $S < S_{\text{imm}}$  in this example at least.
2. The same situation is in item 1, but with 50 elements in the  $x$  direction. Figure 5.1 shows once again the stabilising nature of SUPG is seen, as well as the hydrostatic pressure head for  $S > S_{\text{imm}}$ .

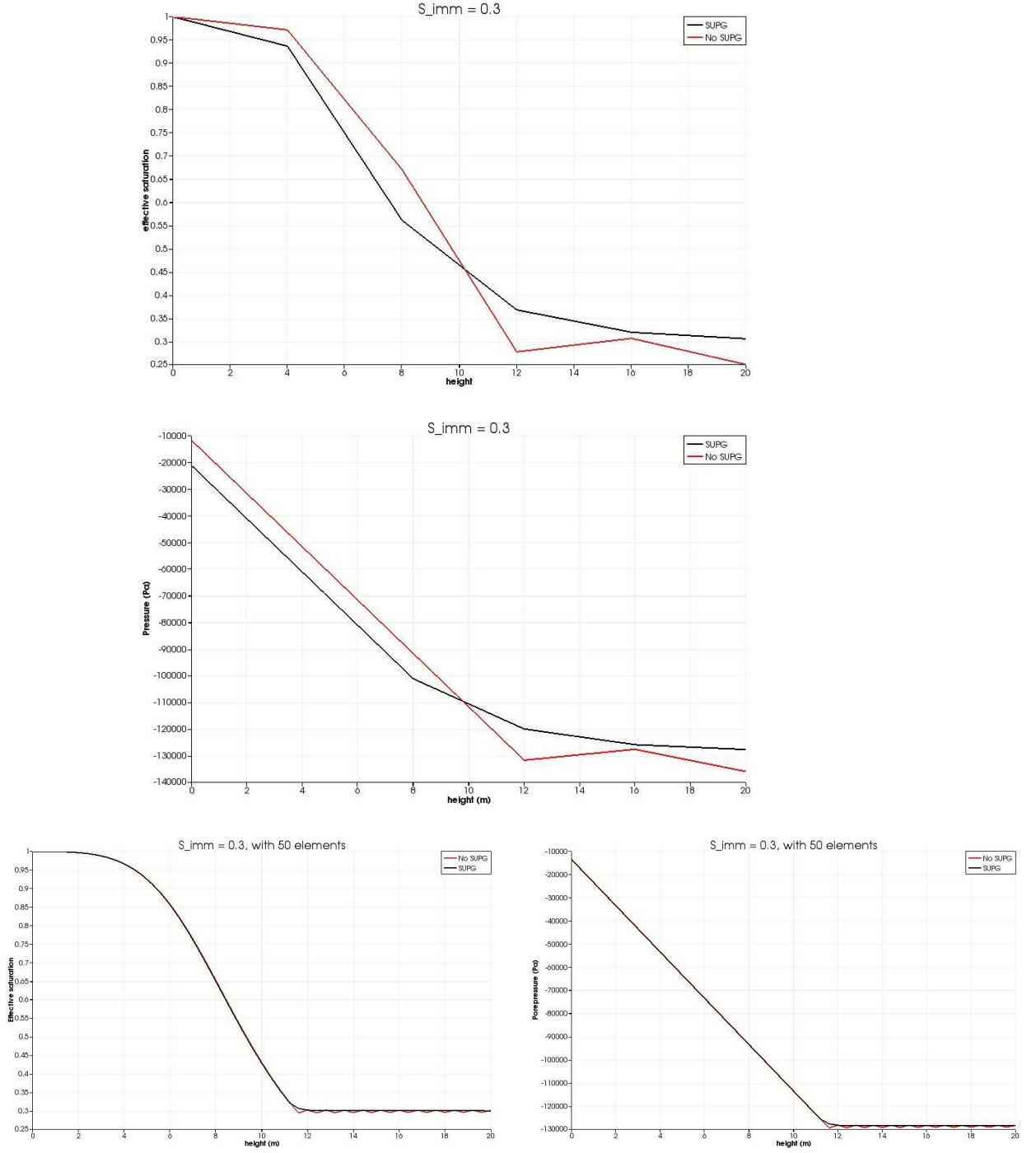


Figure 5.1: Results for  $S_{imm} = 0.3$ . Gravity points to the left. Top picture: Effective saturation. Middle picture: Pore pressure. Bottom pictures: The situation with 50 elements in the  $x$  direction instead of just 5. In each picture the red line is without SUPG, and oscillatory results can be observed in addition to  $S_{eff} < S_{imm}$ .

## 6 Piecewise linear and half-Gaussian sinks

The MOOSE implementation allows users to specify sinks and sources acting at boundaries that are functions of porepressure. This chapter tests that these sinks and sources act as specified. These tests are part of the automatic test suite that is run every time the code is updated.

A 2D model with  $0 \leq x \leq 1$  and  $0 \leq y \leq 1$  with just a single element is subjected to sink fluxes from its left and right boundaries. Because it is a single element no fluid flow within the element occurs. The following fluid properties are used:

Constant fluid bulk modulus	1 Pa
Fluid density at zero pressure	1 kg.m <sup>-3</sup>
Residual fluid saturation	0.1
Residual air saturation	0.1
Van Genuchten $m$	0.5
Van Genuchten $\alpha$	1 Pa <sup>-1</sup>
Porosity	0.1

In the first test a piecewise-linear sink is applied with strength:

$$\text{sink flux (kg.m}^{-1}\text{.s}^{-1}\text{)} = \begin{cases} 1 & \text{for } p \leq 0 \\ p & \text{for } 0 < p < 1 \\ 2 & \text{for } p \geq 1 \end{cases} \quad (6.1)$$

The sink flux is recorded by into a Postprocessor by MOOSE. It is remotely possible that the MOOSE implementation *applies* the sink flux incorrectly, but *records* it as a Postprocessor correctly as specified by Eqn (6.1). Therefore the simulation also records the fluid mass and mass-balance error in order to check that the fluid mass is indeed being reduced correctly by the sink flux. The initial condition is  $p = 2$  Pa, and the simulation is run for 0.2 s with 100 time steps. The expected behaviour is demonstrated in Figure 6.1.

In the second test a piecewise-linear sink is applied with strength:

$$\text{sink flux (kg.m}^{-1}\text{.s}^{-1}\text{)} = \begin{cases} 2 \exp(-0.5(P-1)^2) & \text{for } p < 1 \\ 2 & \text{for } p \geq 1 \end{cases} \quad (6.2)$$

This is called a half-Gaussian sink flux and may be used to model evapotranspiration. The sink flux is recorded by into a Postprocessor by MOOSE. It is remotely possible that the MOOSE implementation *applies* the sink flux incorrectly, but *records* it as a Postprocessor correctly as specified by Eqn (6.2). Therefore the simulation also records the fluid mass and mass-balance error in order to check that the fluid mass is indeed being reduced correctly by the sink flux. The initial condition is  $p = 2$  Pa, and the simulation is run for 0.4 s with 100 time steps. The expected behaviour is demonstrated in Figure 6.2.

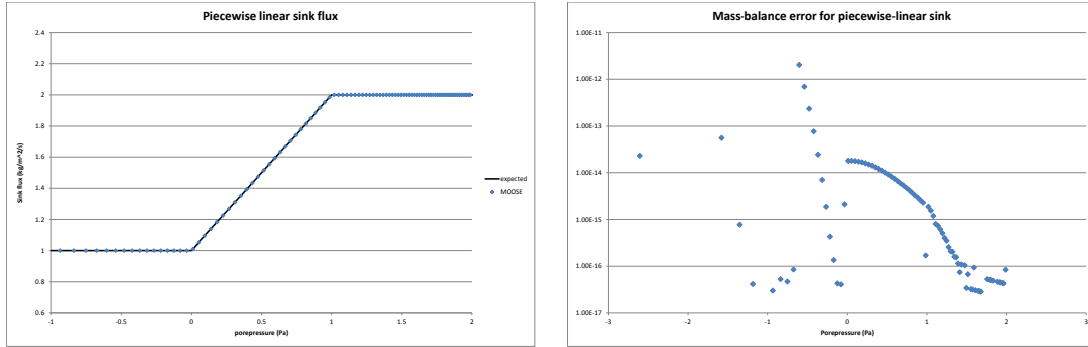


Figure 6.1: Left: Comparison between the MOOSE result (in dots), and the expected behaviour of the sink flux given by Eqn (6.1). Right: The mass-balance error is small for the simulation described demonstrating that the recorded sink flux is truly reducing the mass in the correct way.

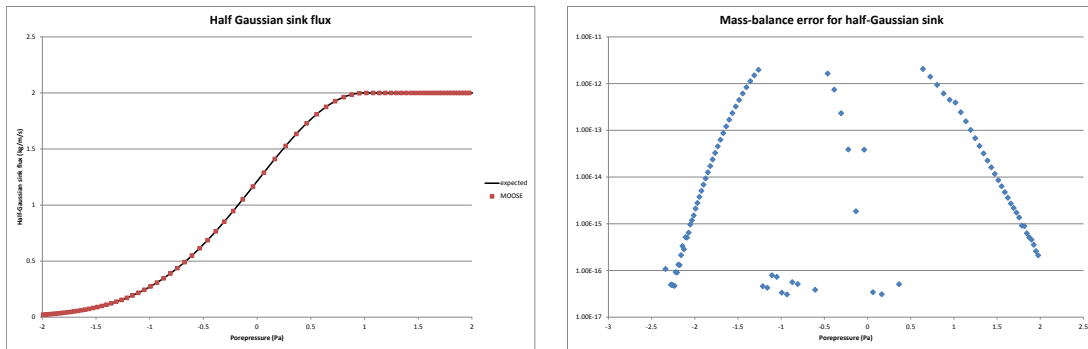


Figure 6.2: Left: Comparison between the MOOSE result (in dots), and the expected behaviour of the sink flux given by Eqn (6.2). Right: The mass-balance error is small for the simulation described demonstrating that the recorded sink flux is truly reducing the mass in the correct way.

## 7 PolyLine sink

The MOOSE implementation allows users to specify sinks and sources acting along polylines that are functions of porepressure. This is primarily designed to model stream sources/sinks. This chapter tests that these sinks and sources act as specified. The test is part of the automatic test suite that is run every time the code is updated.

A 3D model with  $-1 \leq x \leq 1$ ,  $-1 \leq y \leq 1$  and  $-1 \leq z \leq 1$  with just a single element is subjected to polyline sink flux acting at its centre point. Because it is a single element with the polyline sitting at its centre, no fluid flow within the element occurs. The following fluid properties are used:

Constant fluid bulk modulus	2 GPa
Fluid density at zero pressure	1000 kg.m <sup>-3</sup>

The test is fully-saturated, so the van Genuchten parameters, etc, are irrelevant. The initial porepressure is set to 10 MPa, and the polyline sink flux is applied with strength:

$$\text{sink flux (kg.s}^{-1}\text{)} = \begin{cases} 1 & \text{for } p \leq 2 \text{ MPa} \\ 1 + (p - 2)/6 & \text{for } 2 < p < 8 \text{ MPa} \\ 2 & \text{for } p \geq 8 \text{ MPa} \end{cases} \quad (7.1)$$

The sink flux is recorded by into a Postprocessor by MOOSE. It is remotely possible that the MOOSE implementation *applies* the sink flux incorrectly, but *records* it as a Postprocessor correctly as specified by Eqn (7.1). Therefore the simulation also records the fluid mass and mass-balance error in order to check that the fluid mass is indeed being reduced correctly by the sink flux. The simulation is run for 2.5 s using 25 time steps.

The expected behaviour is demonstrated in Figure 7.1.

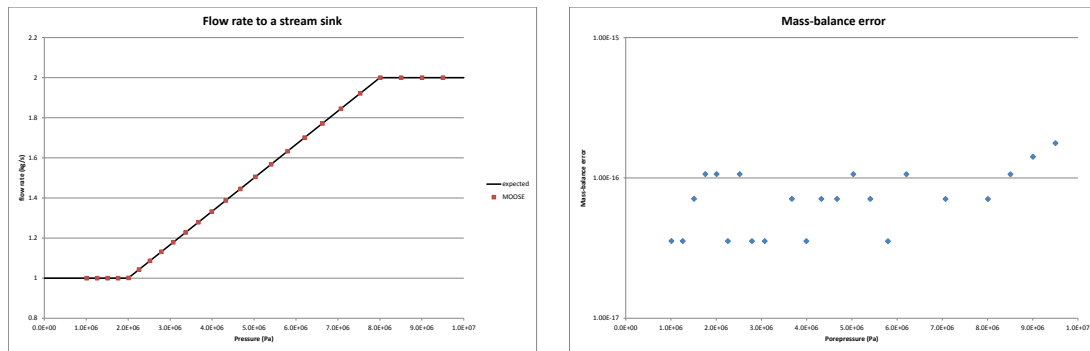


Figure 7.1: Left: Comparison between the MOOSE result (in dots), and the expected behaviour of the sink flux given by Eqn (7.1). Right: The mass-balance error is small for the simulation described demonstrating that the recorded sink flux is truly reducing the mass in the correct way.

## 8 A pressure pulse in the fully saturated situation

Richards' equation for flow through a fully saturated medium without gravity and without sources is just Darcy's equation

$$\frac{\partial}{\partial t}\phi\rho = \nabla_i \left( \frac{\rho\kappa_{ij}}{\mu} \nabla_j P \right) , \quad (8.1)$$

with notation described in the Theory Manual. Using  $\rho \propto \exp(P/K)$ , where  $K$  is the fluid bulk modulus, Darcy's equation becomes

$$\frac{\partial}{\partial t}\rho = \nabla_i \alpha_{ij} \nabla_j \rho , \quad (8.2)$$

with

$$\alpha_{ij} = \frac{\kappa_{ij}B}{\mu\phi} . \quad (8.3)$$

Here I've assumed the porosity and bulk modulus are constant in space and time.

Consider the one-dimensional case where the spatial dimension is the semi-infinite line  $x \geq 0$ . Suppose that initially the pressure is constant, so that

$$\rho(x, t = 0) = \rho_0 \quad \text{for } x \geq 0 . \quad (8.4)$$

Then apply a fixed-pressure Dirichlet boundary condition at  $x = 0$  so that

$$\rho(x = 0, t > 0) = \rho_\infty \quad (8.5)$$

The solution of the above differential equation is well known to be

$$\rho(x, t) = \rho_\infty + (\rho_0 - \rho_\infty) \text{Erf} \left( \frac{x}{\sqrt{4\alpha t}} \right) , \quad (8.6)$$

where Erf is the error function.

This is verified by using the following two tests on a line of 10 elements.

1. Steady state analysis to demonstrate that the steady-state of  $\rho = \rho_\infty$  is achieved.
2. Transient analysis

An example verification is shown in Figure 8.1. These tests run rapidly and are part of the automatic test suite.

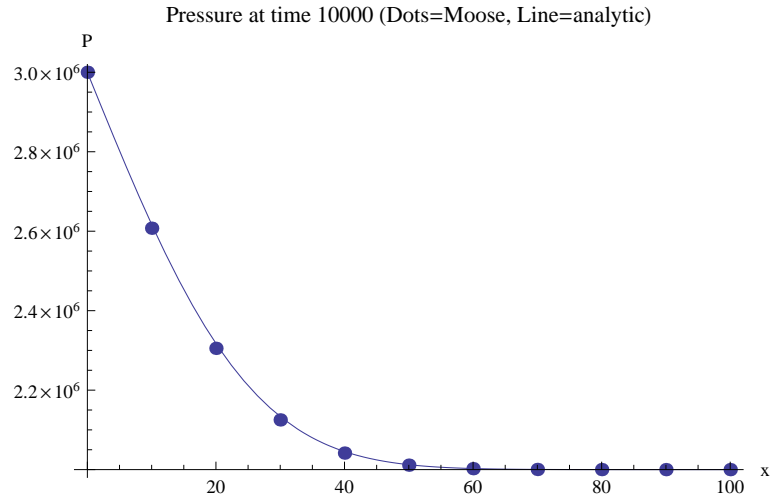


Figure 8.1: Comparison between the MOOSE result (in dots), and the exact analytic expression given by Eqn (8.6). This test had 10 elements in the  $x$  direction, with  $0 \leq x \leq 100$  m, and ran for a total of  $10^4$  seconds with 10 timesteps. The parameters were  $B = 2$  GPa,  $\kappa_{xx} = 10^{-15}$  m<sup>2</sup>,  $\mu = 10^{-3}$  Pa.s,  $\phi = 0.1$ , with initial pressure  $P = 2$  MPa, and applied pressure  $P = 3$  MPa at  $x = 0$ . For greater spatial resolution and smaller timesteps the agreement increases.



## 9 Newton cooling from a bar

This test demonstrates that MOOSE behaves correctly when a simulation contains a sink. The sink is a piecewise linear function of pressure.

Darcy's equation for flow through a fully saturated medium without gravity and without sources is

$$\frac{\partial}{\partial t} \phi \rho = \nabla_i \left( \frac{\rho \kappa_{ij}}{\mu} \nabla_j P \right), \quad (9.1)$$

with notation described in the Theory Manual. Using  $\rho \propto \exp(P/B)$ , where  $B$  is the fluid bulk modulus, Darcy's equation becomes

$$\frac{\partial}{\partial t} \rho = \nabla_i \alpha_{ij} \nabla_j \rho, \quad (9.2)$$

with

$$\alpha_{ij} = \frac{\kappa_{ij} B}{\mu \phi}. \quad (9.3)$$

Here I've assumed the porosity and bulk modulus are constant in space and time.

Consider the one-dimensional case where a bar sits between  $x = 0$  and  $x = L$  with initial pressure distribution so  $\rho(x, t = 0) = \rho_0(x)$ . Maintain the end  $x = 0$  at constant pressure, so that  $\rho(x = 0, t) = \rho_0(0)$ . At the end  $x = L$ , prescribe a sink flux

$$\left. \frac{\partial \rho}{\partial x} \right|_{x=L} = -C(\rho - \rho_e)_{x=L}, \quad (9.4)$$

where  $\rho_e$  is a fixed quantity ("e" stands for "external"), and  $C$  is a constant conductance. This corresponds to the flux

$$\left. \frac{\partial P}{\partial x} \right|_{x=L} = -CB \left( 1 - e^{(P_e - P)/B} \right)_{x=L}, \quad (9.5)$$

which can easily be coded into a MOOSE input file: the flux is  $\rho \kappa \nabla P / \mu = -CB \kappa (e^{P/B} - e^{P_e/B}) / \mu$ , and this may be represented by a piecewise linear function of pressure.

The solution of this problem is well known and is

$$\rho(x, t) = \rho_0(0) - \frac{\rho_0(0) - \rho_e}{1 + LC} Cx + \sum_{n=1}^{\infty} a_n \sin \frac{k_n x}{L} e^{-k_n^2 \alpha t / L^2}, \quad (9.6)$$

where  $k_n$  is the  $n^{\text{th}}$  positive root of the equation  $LC \tan k + k = 0$  ( $k_n$  is a little bigger than  $(2n - 1)\pi/2$ ), and  $a_n$  is determined from

$$a_n \int_0^L \sin^2 \frac{k_n x}{L} dx = \int_0^L \left( \rho_0(x) - \rho_0(0) + \frac{\rho_0(0) - \rho_e}{1 + LC} Cx \right) \sin \frac{k_n x}{L} dx, \quad (9.7)$$

which may be solved numerically (I have used Mathematica to generate the solution in Figure 9.1).

The problem is solved in MOOSE using the following parameters:

Bar length	100 m
Bar porosity	0.1
Bar permeability	$10^{-15} \text{ m}^2$
Gravity	0
Water density	$1000 \text{ kg.m}^{-3}$
Water viscosity	0.001 Pa.s
Water bulk modulus	1 MPa
Initial porepressure $P_0$	2 MPa
Environmental pressure $P_e$	0
Conductance $C$	$0.05389 \text{ m}^{-1}$

This conductance is chosen so at steadystate  $\rho(x = L) = 2000 \text{ kg.m}^{-3}$ .

The problem is solved using 1000 elements along the  $x$  direction ( $L = 100 \text{ m}$ ), and using 100 time-steps of size  $10^6 \text{ s}$ . Using fewer elements or fewer timesteps means the agreement with the theory is marginally poorer. The problem is also solved using the steadystate solver. In this case the initial condition is  $P = 2 - x/L \text{ MPa}$ , since the uniform  $P = 2 \text{ MPa}$  does not converge. The results are shown in Figure 9.1.

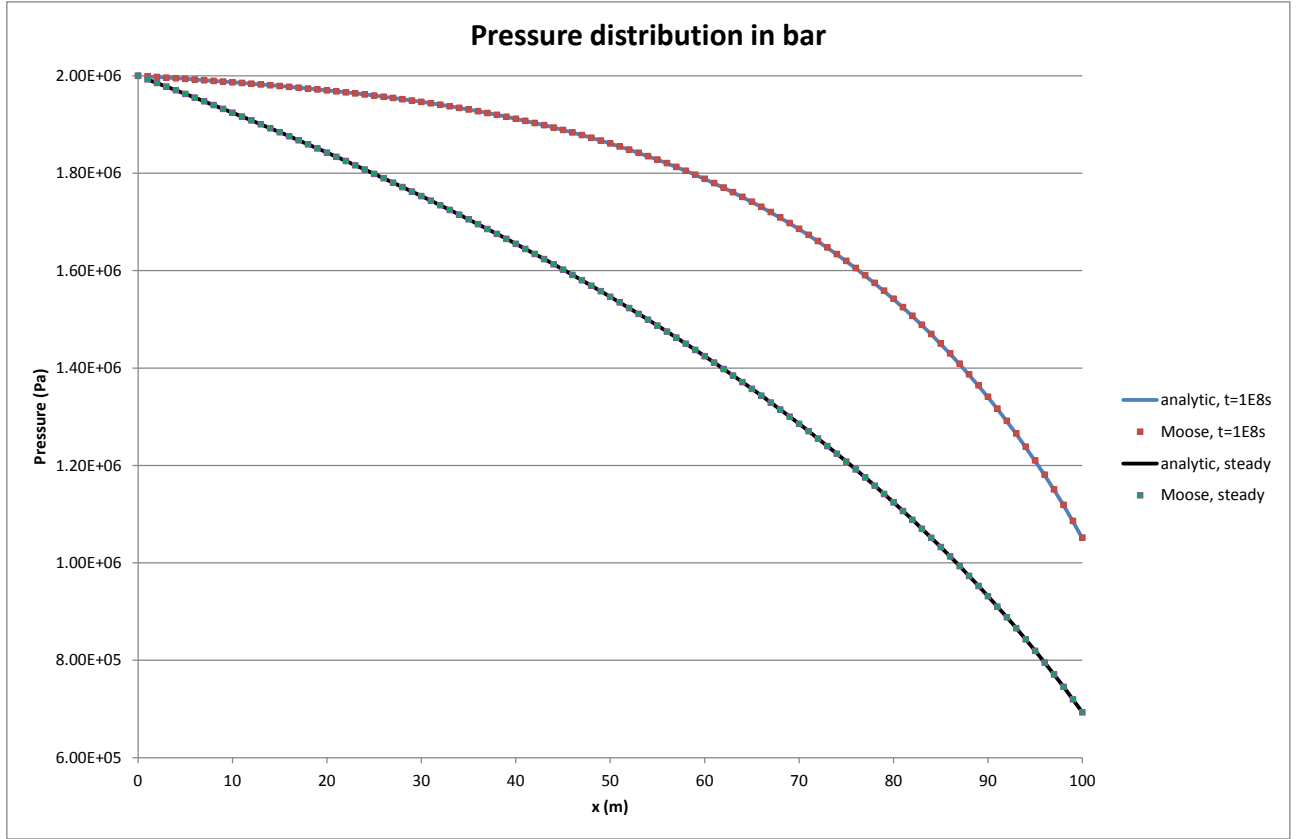


Figure 9.1: The porepressure in the bar at  $t = 10^8$  s, and at steadystate. The pressure at  $x = 0$  is held fixed, while the sink is applied at  $x = 100$  m. MOOSE agrees well with theory demonstrating that piecewise-linear sinks/sources are correctly implemented in MOOSE.

## 10 Boreholes

As detailed in the Theory Manual, a borehole defined by a curve (or, most often, a straight line segment) in 3D space is modelled by a sequence of Dirac points. At each point, a flux is prescribed:

$$f = W|C(P - P_{bh})|\frac{\rho\kappa_{rel}}{\mu}(P - P_{bh}) , \quad (10.1)$$

where  $P$  is the porepressure at the Dirac point, and  $P_{bh}$  is the borehole pressure at that point (an input parameter). The other parameters are:  $C$ , the character of the borehole (eg, “production” has  $C = 1$  for  $P > P_{bh}$  and zero otherwise);  $\rho$  is the fluid density;  $\kappa_{rel}$  is the relative permeability; and  $\mu$  is the fluid viscosity. The coefficient of proportionality,  $W$  in this expression is a complicated function of the geometry of the finite element (or the neighbouring elements if the Dirac point is on an elemental boundary) and the rock permeability, and the borehole radius at that point.

### 10.1 Rotation matrices

The well constant  $W$  in Eqn (10.1) involves the rotation matrices that transforms between Cartesian  $(x, y, z)$  coordinates and coordinates in which the  $z$  axis lies along the local direction of the borehole.

- The first borehole test checks that these rotation matrices are formed correctly for random alignments of boreholes.

This test is very fast to run (it does not involve any fluid flow) and is part of the automatic test suite.

### 10.2 Fluxes

The automatic test suite also contains four tests that check that Eqn (10.1) is correctly implemented for given  $W$  (the Peaceman formulation is used) by placing a vertical borehole through the centre of a single element, and measuring the fluid flow to the borehole as a function of porepressure  $P$ . These four tests are:

- A production borehole with  $P_{bh} = 0$ , with a fully-saturated medium
- An injection borehole with  $P_{bh} = 10$  MPa, with a fully-saturated medium
- A production borehole with  $P_{bh} = -1$  MPa, with an unsaturated medium

- An injection borehole with  $P_{bh} = 0$ , with an unsaturated medium

The parameters common to these four tests are:

Element size	$2 \times 2 \times 2 \text{ m}^3$
Borehole radius	0.1 m
Bar permeability	$10^{-12} \text{ m}^2$
Gravity	0
Unit fluid weight	0
Fluid reference density	$1000 \text{ kg.m}^{-3}$
Fluid bulk modulus	2 GPa
Fluid viscosity	$10^{-3} \text{ Pa.s}$
Van Genuchten $\alpha$	$10^{-5} \text{ Pa}$
Van Genuchten $m$	0.8
Immobile saturation	0
Relative permeability $n$	2

It is remotely possible that the MOOSE implementation *applies* the borehole flux incorrectly, but *records* it as a Postprocessor correct as specified by Eqn (10.1). Therefore, these four simulations also record the fluid mass and mass-balance error in order to check that the fluid mass is indeed being correctly changed by the borehole. Figure 10.1 demonstrates that Eqn (10.1) is indeed correctly implemented in MOOSE.

### 10.3 Comparison with analytic solution

The Richards' equation for a fully-saturated medium with  $\rho \propto \exp(P/B)$  and constant bulk modulus  $B$  becomes Darcy's equation

$$\frac{\partial}{\partial t} \rho \nabla_i \alpha_{ij} \nabla_j \rho \quad (10.2)$$

where  $\alpha_{ij} = \kappa_{ij} B / (\mu \phi)$ , with notation described in the Theory Manual. In the isotropic case (where  $\kappa_{ij} = \kappa \delta_{ij}$ ), the steadystate equation is just Laplace's equation

$$\nabla^2 \rho = 0, \quad (10.3)$$

Place a borehole of radius  $r_{bh}$  and infinite length oriented along the  $z$  axis. Then the situation becomes 2D and can be solved in cylindrical coordinates, with  $\rho = \rho(r, \theta)$  and independent of  $z$ . If the pressure at the borehole wall  $r = r_{bh}$  is  $P_{bh}$ , then the fluid density is  $\rho_{bh} \propto \exp(P_{bh}/B)$ . Assume that at  $r = R$  the fluid pressure is held fixed at  $P_R$ , or equivalently the density is held fixed at  $\rho_R$ . Then the solution of Laplace's equation is well-known to be

$$\rho = \rho_{bh} + (\rho_R - \rho_{bh}) \frac{\log(r/r_{bh})}{\log(R/r_{bh})}. \quad (10.4)$$

This is the fundamental solution used by Peaceman and others to derive expressions for  $W$  by comparing with numerical expressions resulting from Eqn (10.1) (see Theory Manual for more details).

Chen and Zhang (see Theory manual) have derived an expression for  $W$  in the case where this borehole is placed at a node in a square mesh. The following test is part of the automatic test suite:

- The steadystate solution with a single borehole with  $W$  defined by Chen and Zhang's formula is compared with Eqn (10.4) to illustrate that the MOOSE implementation of a borehole is correct.

Figure 10.3 shows this comparison. Most parameters in this study are identical to those given in the above table with the following exceptions: the mesh is shown in Fig 10.2; the permeability is  $10^{-11} \text{ m}^2$ ; the borehole radius is 1 m; the borehole pressure is  $P_{\text{bh}} = 0$ ; the outer radius is  $r = 300 \text{ m}$ ; and the outer pressure is  $P_R = 10 \text{ MPa}$ .

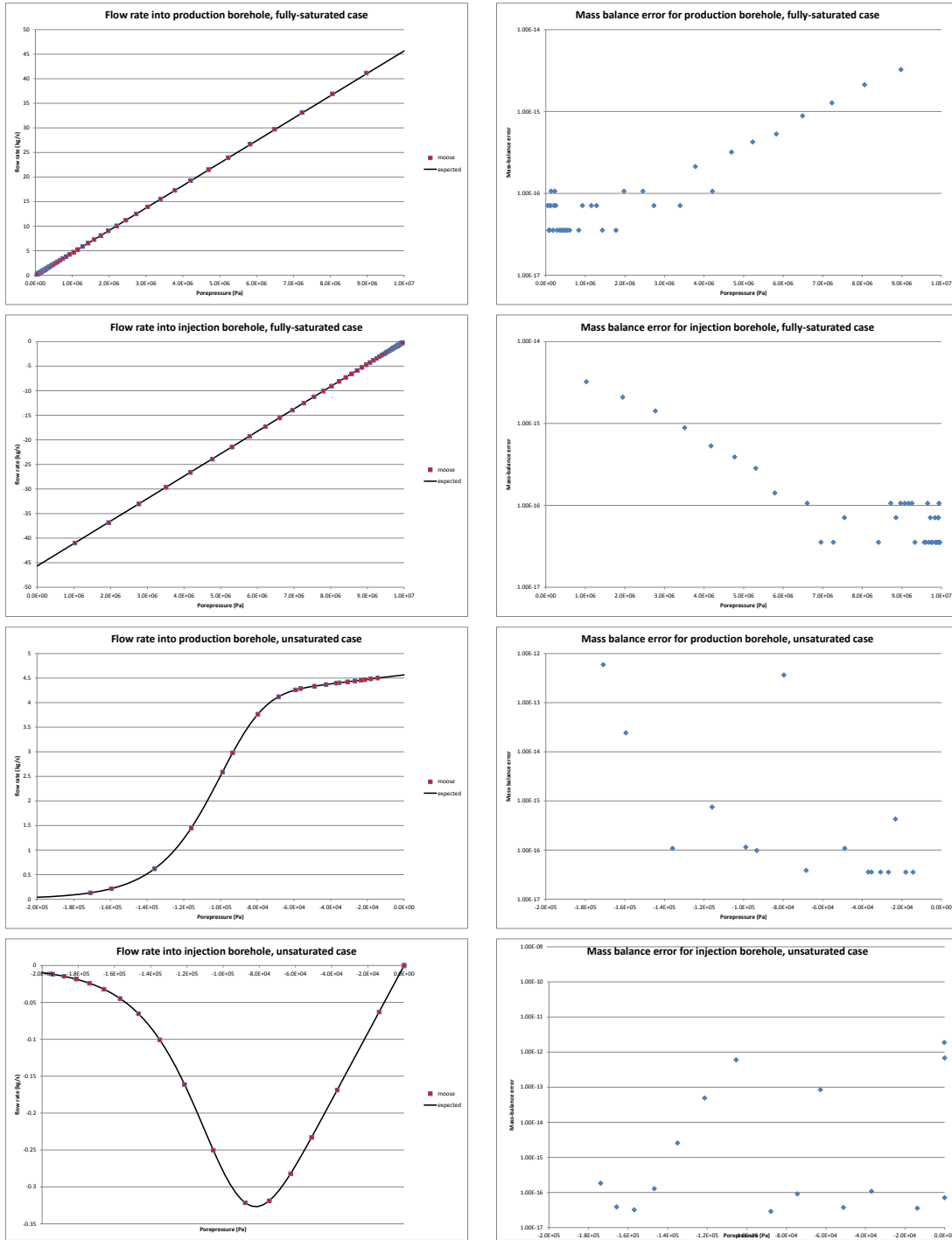


Figure 10.1: Left figures: Comparison between the MOOSE result (in dots), and the expected behaviour of the borehole flux given by Eqn (10.1) (as a line) for the cases listed in the text. Right figures: The mass balances, which are all small.

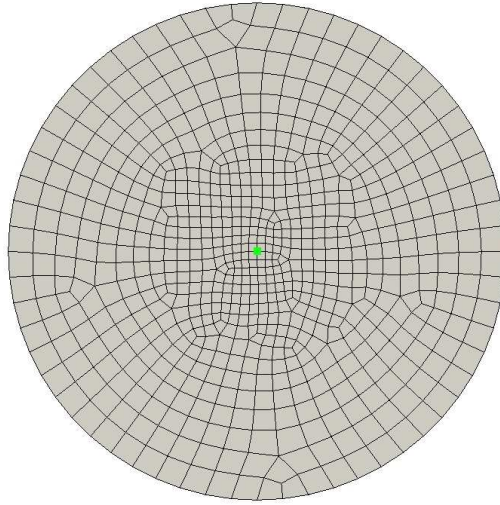


Figure 10.2: The mesh used in the comparison with Eqn (10.4), with the green dot indicating the position of the borehole. The central elements are  $10 \times 10 \text{ m}^2$ , and the outer boundary is at  $r = 300 \text{ m}$ .

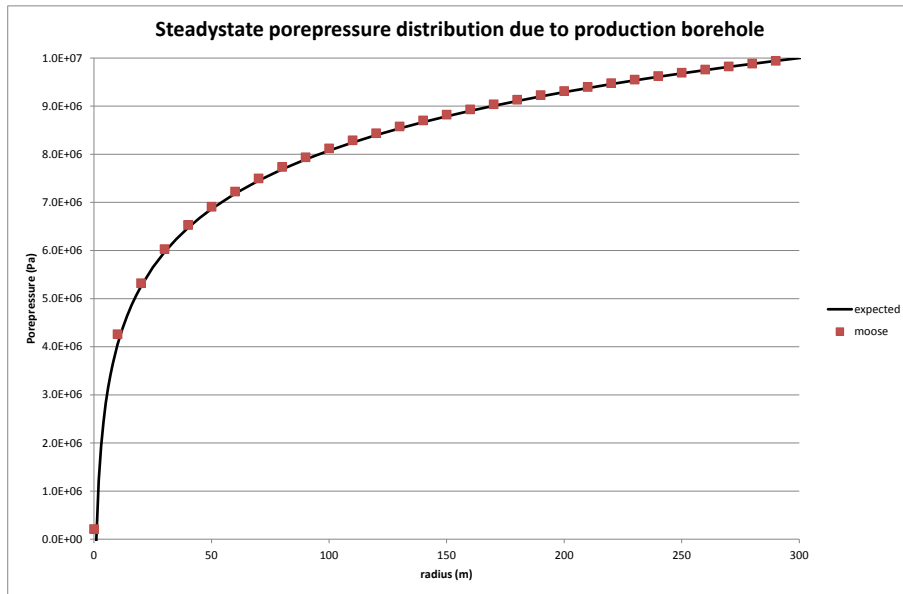


Figure 10.3: Comparison of the MOOSE results (dots) with the analytical solution Eqn (10.4) for the steadystate porepressure distribution surrounding single borehole.



# 11 The analytic infiltration solution

The Richards' equation for an incompressible fluid reads in one spatial dimension ( $z$ ) reads

$$\dot{S} = \nabla (D \nabla S) - \nabla K, \quad (11.1)$$

where

$$D(S) = -\frac{\kappa \kappa_{rel}}{\mu \phi} P'_c, \quad (11.2)$$

$$K(S) = \frac{\rho g \kappa \kappa_{rel}}{\mu \phi}. \quad (11.3)$$

Here  $P_c = -P$  which is the capillary pressure, and recall that  $P'_c(S) < 0$ .

The analytic solution of this nonlinear diffusion-advection relevant to constant infiltration to groundwater has been derived Broadbridge and White<sup>1</sup> for certain functions  $D$  and  $K$ . The setup is shown in “Experiment 1” of Figure 13.1 (ignore the specified infiltration rate, initial saturation and height of sample). Broadbridge and White assume the hydraulic conductivity is

$$K(S) = K_n + (K_s - K_n) \frac{\Theta^2(C-1)}{C-\Theta}, \quad (11.4)$$

where

$$\Theta = \frac{S - S_n}{S_s - S_s}, \quad (11.5)$$

and the parameters obey  $0 \leq K_n < K_s$ ,  $0 \leq S_n \leq S \leq S_s \leq 1$ , and  $C > 1$ . The diffusivity is of the form  $a(b-S)^{-2}$ . This leads to very complicated relationships between the capillary pressure,  $P_c$ , and the saturation, except in the case where  $K_n$  is small, when they are related through

$$\frac{P_c}{\lambda_s} = \frac{1-\Theta}{\Theta} - \frac{1}{C} \log \left( \frac{C-\Theta}{(C-1)\Theta} \right), \quad (11.6)$$

with  $\lambda_s > 0$  being the final parameter.

Broadbridge and White derive time-dependent solutions for constant recharge to one end of a semi-infinite line. This represents constant rainfall recharge to an initially unsaturated soil block, for instance. Their solutions are quite lengthy, so I will not write them here. To compare with MOOSE, I use the following parameters — the hydraulic parameters are those used in Figure 3 of Broadbridge and White:

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<sup>1</sup>P Broadbridge, I White “Constant rate rainfall infiltration: A versatile nonlinear model, 1 Analytical solution”. Water Resources Research 24 (1988) 145–154.

Bar length	20 m
Bar porosity	0.25
Bar permeability	1
Gravity	$0.1 \text{ m.s}^{-2}$
Fluid density	$10 \text{ kg.m}^{-3}$
Fluid viscosity	4 Pa.s
$S_n$	$0 \text{ m.s}^{-1}$
$S_s$	$1 \text{ m.s}^{-1}$
$K_n$	$0 \text{ m.s}^{-1}$
$K_s$	$1 \text{ m.s}^{-1}$
$C$	1.5
$\lambda_s$	2 Pa
Recharge rate $R_*$	0.5

Broadbridge and white consider the case where the initial condition is  $S = S_s$ , but this yields  $P = -\infty$ , which is impossible to use in a MOOSE model. Therefore the initial condition  $P = -900 \text{ Pa}$  is used which avoids any underflow problems. The recharge rate of  $R_* = 0.5$  corresponds in the MOOSE model to a recharge rate of  $0.5\rho\phi(\kappa_s - \kappa_n) = 1.25 \text{ kg.m}^{-2}.\text{s}^{-1}$ . Note that I've chosen  $\frac{\rho g \kappa}{\mu \phi} = 1 \text{ m.s}^{-1}$ , so that the  $K_n$  and  $K_s$  may be encoded as  $\kappa_n = 0$  and  $\kappa_s = 1$  in the relative permeability function Eqn (2.4) in a straightforward way.

Figure 11.1 shows good agreement between the analytic solution of Broadbridge and White and the MOOSE implementation. There are minor discrepancies for small values of saturation: these get smaller as the temporal and spatial resolution is increased, but never totally disappear due to the initial condition of  $P = -900 \text{ Pa}$ .

This test is part of the automatic test suite that is run every time the code is updated.

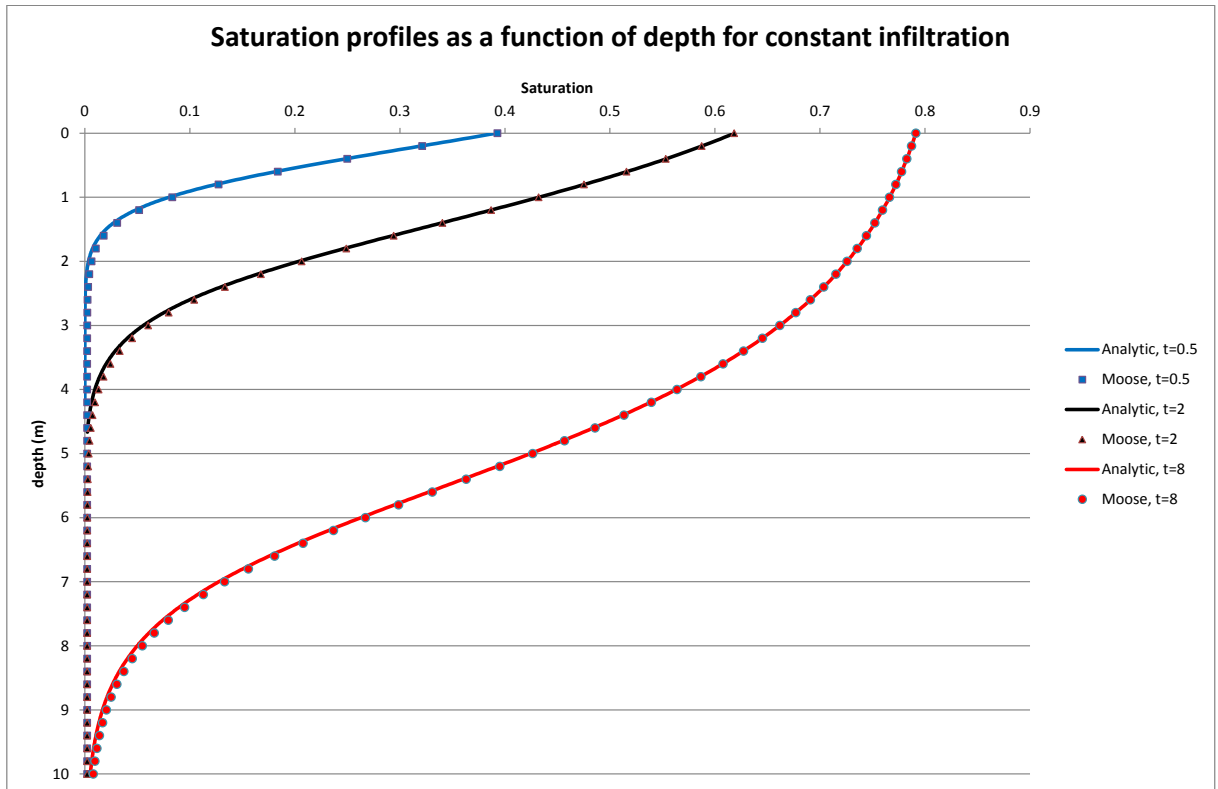


Figure 11.1: Comparison of the Broadbridge and White analytical solution with the MOOSE solution for 3 times. This figure is shown in the standard format used in the Broadbridge-White paper: the constant recharge is applied to the depth = 0 surface, and gravity acts downwards in this figure.

## 12 The analytic drainage solution

Warrick, Lomen and Islas<sup>1</sup> extended the analysis of Broadbridge and White (Chapter 11) to include the case of drainage from a medium. The setup is in “Experiment 2” of Figure 13.1. To obtain their analytical solutions, Warrick, Lomen and Islas make the same assumptions as Broadbridge and White concerning the diffusivity and conductivity of the medium. Their solutions are quite lengthy, so I will not write them here.

A MOOSE model with the parameters almost identical to those listed in Chapter 11 is compared with the analytical solutions. The only differences are that the “bar” length is 10000 m (to avoid any interference from the lower Dirichlet boundary condition), and  $R_* = 0$  since there is no recharge. The initial condition is  $P = 10^{-4}$  Pa: the choice  $P = 0$  leads to poor convergence since by construction the Broadbridge-White capillary function is only designed to simulate the unsaturated zone  $P < 0$  and a sensible extension to  $P \geq 0$  is discontinuous at  $P = 0$ .

Figure 12.1 shows good agreement between the analytic solution and the MOOSE implementation. Any minor discrepancies get smaller as the temporal and spatial resolution increase.

This test is part of the automatic test suite that is run every time the code is updated.

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<sup>1</sup>AW Warrick, DO Lomen and A Islas, “An analytical solution to Richards’ Equation for a Draining Soil Profile”, Water Resources Research 26 (1990) 253–258.

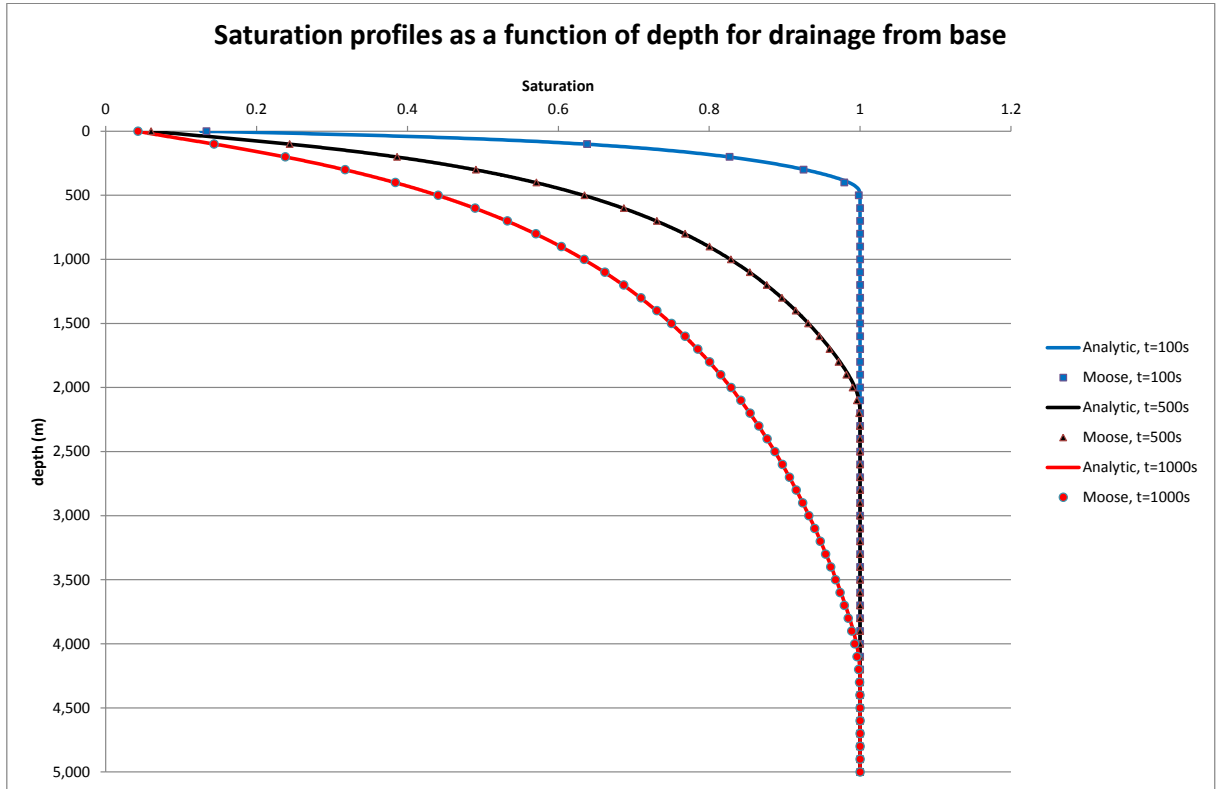


Figure 12.1: Comparison of the Warrick, Lomen and Islas analytical solution with the MOOSE solution for 3 times. This figure is shown in the standard format used in the literature: the top of the model is depth = 0 surface, and gravity acts downwards in this figure, with fluid draining from depth =  $\infty$ .

## 13 Infiltration and drainage

Forsyth, Wu and Pruess<sup>1</sup> describe a HYDRUS simulation of an experiment involving infiltration (experiment 1) and subsequent drainage (experiment 2) in a large caisson. The simulation is effectively one dimensional, and is shown in Figure 13.1.

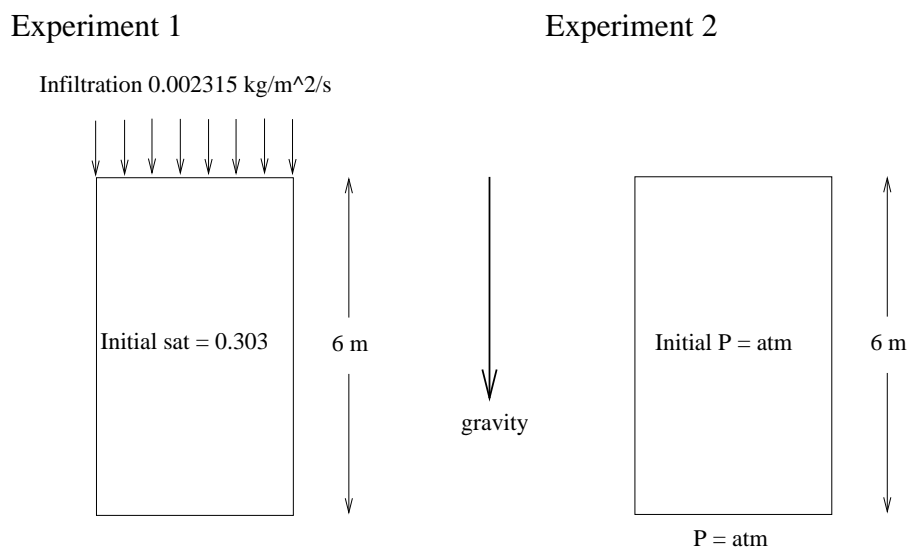


Figure 13.1: Two experimental setups from Forsyth, Wu and Pruess. Experiment 1 involves infiltration of water into an initially unsaturated caisson. Experiment 2 involves drainage of water from an initially saturated caisson.

The properties common to each experiment are:

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<sup>1</sup>PA Forsyth, YS Wu and K Pruess, "Robust numerical methods for saturated-unsaturated flow with dry initial conditions in heterogeneous media", *Advances in Water Resources* 18 (1995) 25–38

Caisson	0.33
Caisson permeability	$2.95 \times 10^{-13} \text{ m}^2$
Gravity	$10 \text{ m.s}^{-2}$
Water density	$1000 \text{ kg.m}^{-3}$
Water viscosity	$0.00101 \text{ Pa.s}$
Water bulk modulus	$20 \text{ MPa}$
Water immobile saturation	0.0
Water residual saturation	0.0
Air residual saturation	0.0
Air pressure	0.0
van Genuchten $\alpha$	$1.43 \times 10^{-4} \text{ Pa}^{-1}$
van Genuchten $a$	0.336
van Genuchten $n$ cutoff	0.99

In each experiment 120 finite elements were used along the length of the Caisson. The modified van-Genuchten relative permeability curve was employed in order to improve convergence significantly. Hydrus also uses a modified van-Genuchten curve, although I couldn't find any details on the modification.

In experiment 1, the caisson is initially at saturation 0.303 ( $P = -72620.4 \text{ Pa}$ ), and water is pumped into the top with a rate  $0.002315 \text{ kg.m}^{-2}.\text{s}^{-1}$ . This causes a front of water to advance down the caisson. Figure 13.2 shows the agreement between MOOSE and the published result (this result was obtained by extracting data by hand from online graphics).

In experiment 2, the caisson is initially fully saturated at  $P = 0$ , and the bottom is held at  $P = 0$  to cause water to drain via the action of gravity. Figure 13.2 shows the agreement between MOOSE and the published result.

Experiment 1 and the first 4 simulation days of experiment 2 are marked as “heavy” in the Richards' test suite since the simulations take around 3 seconds to complete. This means they are not run by default every time the code is updated, and must be run manually. However, the final 96 days of experiment 2 run quickly and are part of the automatic test suite.

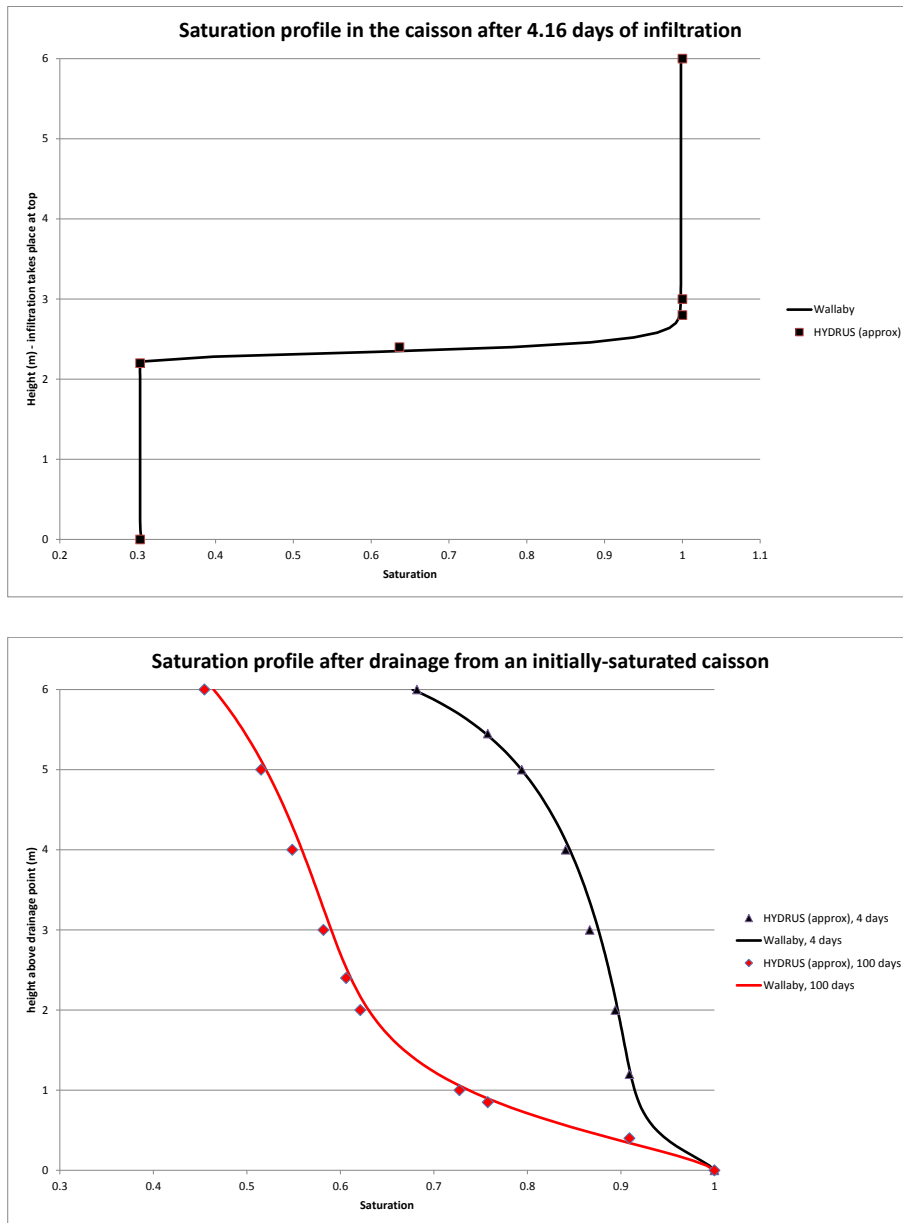


Figure 13.2: Saturation profiles in the caisson. Top: After 4.16 days of infiltration. Bottom: After drainage from an initially-saturated simulation (4 days and 100 days profiles). Note that the HYDRUS results are only approximate as I extrated the data by hand from online graphics.



## 14 Buckley-Leverett

MOOSE compared with a simple one dimensional Buckley-Leverett problem<sup>1</sup>. The single-phase fluid moves in a region  $0 \leq x \leq 15$  m. A fully-saturated front initially sits at position  $x = 5$ , while the region  $x > 5$  is initially unsaturated. With zero suction function  $P_c = 0$ , there is no diffusion of the sharp front, and it progresses towards the right. This is a difficult problem to simulate numerically as maintaining the sharp front is hard. The front's speed is independent of the relative permeability, since the fluid is flowing from a fully-saturated region (where  $\kappa_{\text{rel}} = 1$ ). This problem is therefore a good test of the upwinding.

In the simulation below, the pressure at the left boundary is kept fixed at  $P_0 = 0.98$  MPa, while the right boundary is kept fixed at  $P_{15} = -20000$  Pa, so the difference is 1 MPa. The medium's permeability is set to  $\kappa = 10^{-10}$  m<sup>2</sup> and its porosity is  $\phi = 0.15$ . It is not possible to use a zero suction function in the MOOSE implementation, but using the van Genuchten parameters  $\alpha = 10^{-3}$  Pa<sup>-1</sup> and  $m = 0.8$  approximates it. The fluid viscosity is  $\mu = 10^{-3}$  Pa.s.

The initial condition is

$$P(t=0) = \begin{cases} P_0 - (P_0 - P_{15})x/5 & \text{for } x < 5 \\ P_{15} & \text{for } x \geq 5 \end{cases}, \quad (14.1)$$

which is shown in Figure 14.1. With the suction function defined above this gives

$$S(t=0) = \begin{cases} 1 & \text{for } x \leq 4.9 \\ 0.061 & \text{for } x \geq 5 \end{cases} \quad (14.2)$$

Good approximations for the pressure  $P(x, t)$  and the front position  $f(t)$  may be determined from

$$\begin{aligned} \frac{df}{dt} &= -\frac{\kappa}{\phi\mu} \left. \frac{\partial P}{\partial x} \right|_{x=f}, \\ P(x, t) &= \begin{cases} P_0 - (P_0 - P_{15})x/f & \text{for } x \leq f \\ P_{15} & \text{for } x > f \end{cases}, \end{aligned} \quad (14.3)$$

which has solution

$$f(t) = \sqrt{f(0)^2 + \frac{2\kappa}{\phi\mu}(P_0 - P_{15})t}. \quad (14.4)$$

For the parameters listed above, the front will be at position  $f = 9.6$  m at  $t = 50$  s. This solution is only valid for zero capillary suction. A nonzero suction function will tend to diffuse the sharp front.

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<sup>1</sup>SE Buckley and MC Leverett (1942) "Mechanism of fluid displacements in sands". Transactions of the AIME **146** 107–116

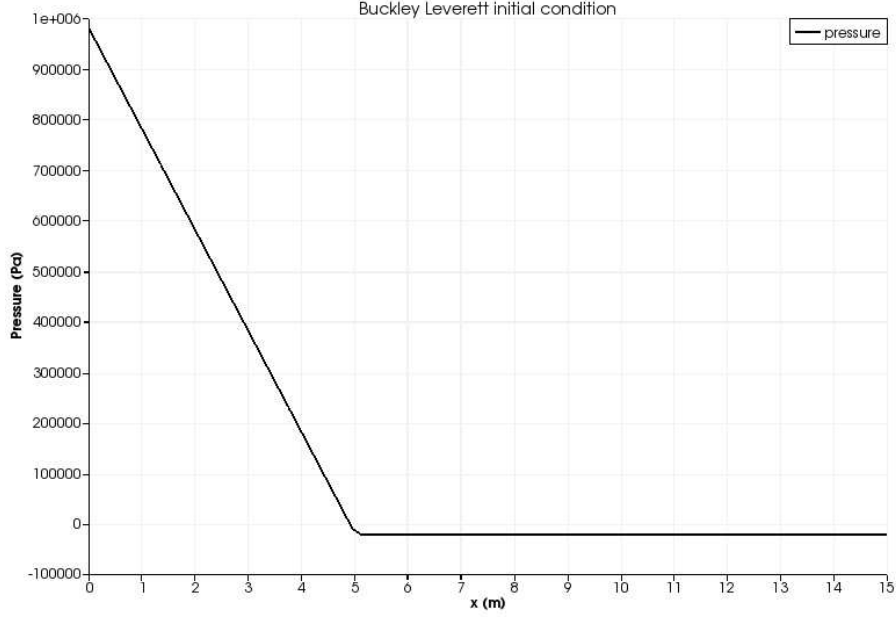


Figure 14.1: Initial setup of the Buckley-Leverett problem where porepressure is a piecewise linear function. The region  $x \leq 4.9$  is fully saturated, while the region  $x > 5$  has saturation 0.061. During simulation the value  $P(x = 0) = 0.98 \times 10^6$  MPa is held fixed.

With coarse meshes it is impossible to simulate a sharp front, of course, since the front is spread over at least one element. It can be therefore quite advantageous to use mesh adaptivity in this test, since the mesh can be fine around the front where all the interesting dynamics occurs, and coarse elsewhere.

Figure 14.2 shows the results from a MOOSE simulation with a uniform mesh of size 0.1 m. At  $t = 50$  s the front in this simulation sits at  $x = 9.6$  m as desired. However, the simulation takes 3 minutes to complete due to the very low values of saturation obtained for van Genuchten  $\alpha = 10^{-3} \text{ Pa}^{-1}$ . Other simulations give similar results but run much faster. For instance, great speedups can be obtained by setting the van-Genuchten parameter  $\alpha = 10^{-4} \text{ Pa}^{-1}$ , but the front diffuses a little into the unsaturated region. Using an initial mesh of element size 1 m, and a minimum size of 0.125 m, with a maximum timestep of 0.3 s (to give the mesh time to adapt around the front), the front at  $t = 50$  s sits between between  $x = 9.9$  m and  $x = 10.35$  m, and the simulation takes only 3 seconds. The automatic test suite contains a simulation with elements of size 0.1 m using a timestep of 2 s, which gives results very similar to these, and takes less than 2 seconds to run.

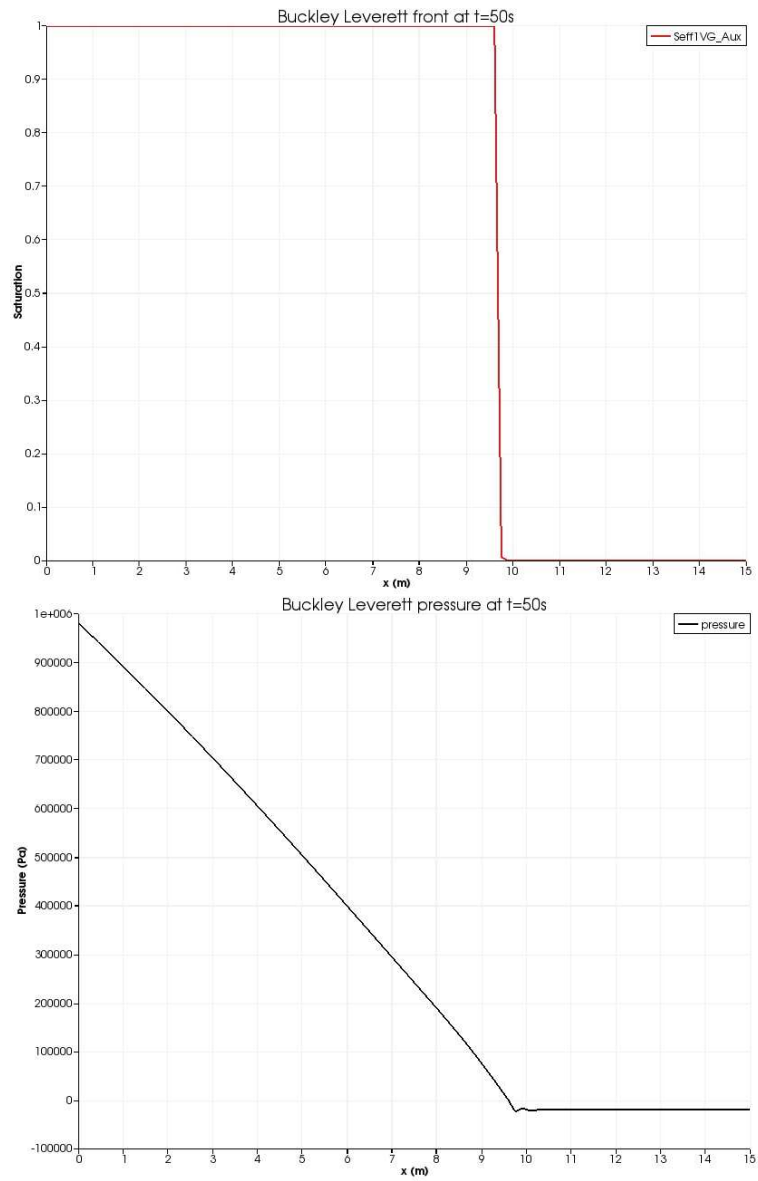


Figure 14.2: The MOOSE solution of the Buckley-Leverett problem at  $t = 50$  s. Top: saturation. Bottom: porepressure. The front sits between  $x = 9.6$  m as expected from the analytical solution.

## 15 Future tests

See "Benchmarking of Richards Model" Yanlian Du, Wenqing Wang and Olaf Kolditz for a summary of the usual suspects.

See Forsyth, Wu and Pruess for more tests.