



Universiteit Utrecht

[Faculty of Science
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Annotated Type Systems

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Type and effect systems - Introduction

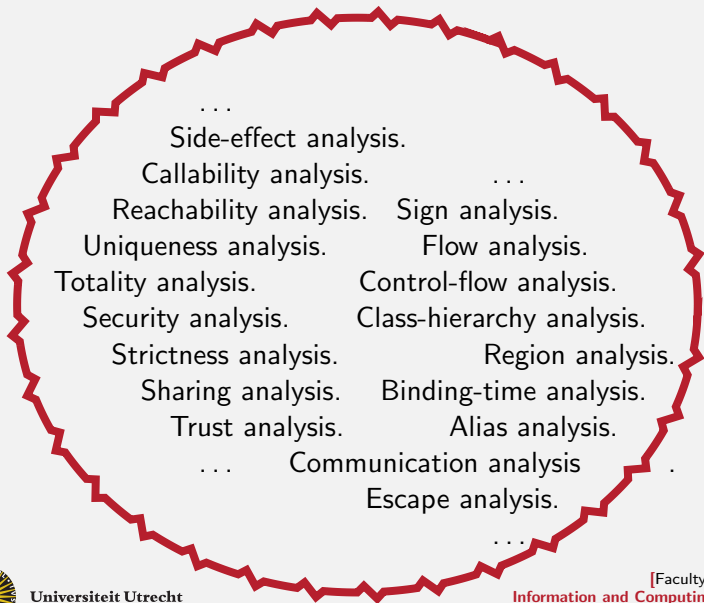


Type-based approaches to static program analysis

- ▶ Static program analysis: **compile-time** techniques for **approximating** the set of values or behaviours that arise at run-time when a program is executed.
- ▶ Applications: **verification**, **optimization**.
- ▶ Different approaches: data-flow analysis, constraint-based analysis, abstract interpretation, **type-based analysis**.
- ▶ Type-based analysis: equipping a programming language with a **nonstandard type system** that keeps track of some properties of interest.
- ▶ Advantages: reuse of **tools**, **techniques**, and **infrastructure** (polymorphism, subtyping, type inference, ...).
- ▶ Focus: **accuracy** vs. **modularity**.

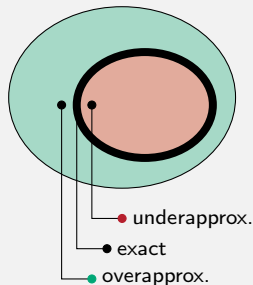


Examples



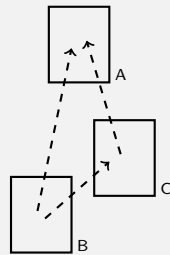
Accuracy

- ▶ Establishing nontrivial properties of programs is in general **undecidable** (halting problem, Rice's theorem).
- ▶ In static analysis we have to settle for “useful” **approximations** of properties.
- ▶ “Useful” means: **sound** (“erring at the safe side”) and **accurate** (as precise as possible).



Modularity

- ▶ Breaking up a (large) program in smaller units or **modules** is generally considered good programming style.
- ▶ **Separate compilation**: compile each module in isolation.
- ▶ Advantage: only modules that have been edited need to be **recompiled**.
- ▶ To facilitate separate compilation, each unit of compilation needs to be analysed in isolation, i.e., without knowledge of how it's **used** from within the rest of the program.



☞ Tension between **accuracy** and **modularity**: whole-program analysis typically yields more precise results.



Hindley-Milner and Algorithm W



A simple functional language

$f, x \in \mathbf{Var}$ variables

$t \in \mathbf{Tm}$ terms

$t ::=$ $\mid x \mid \lambda x. t_1$
 $\mid t_1 t_2$
 \mid



A simple functional language

$f, x \in \mathbf{Var}$ variables

$\pi \in \mathbf{Pnt}$ program points

$t \in \mathbf{Tm}$ terms

$t ::=$ $| x | \lambda_{\pi} x. t_1$
 $| t_1 t_2$
 $|$



A simple functional language

$f, x \in \mathbf{Var}$ variables

$\pi \in \mathbf{Pnt}$ program points

$t \in \mathbf{Tm}$ terms

$$\begin{array}{l} t ::= \\ \quad | \quad x \quad | \quad \lambda_{\pi} x. t_1 \\ \quad | \quad t_1 \ t_2 \quad | \quad \mathbf{let} \ x = t_1 \ \mathbf{in} \ t_2 \\ \quad | \end{array}$$


A simple functional language

$f, x \in \mathbf{Var}$ variables

$\pi \in \mathbf{Pnt}$ program points

$t \in \mathbf{Tm}$ terms

$t ::=$ $\mid x \mid \lambda_{\pi} x. t_1 \mid \mu f. \lambda_{\pi} x. t_1$
 $\mid t_1 t_2 \mid \mathbf{let} \ x = t_1 \ \mathbf{in} \ t_2$
 \mid



A simple functional language

n	\in	Num = \mathbb{N}	numerals
f, x	\in	Var	variables
π	\in	Pnt	program points
t	\in	Tm	terms

t	$::=$	n	$ $	x	$ $	$\lambda_{\pi} x. t_1$	$ $	$\mu f. \lambda_{\pi} x. t_1$
		$t_1 t_2$				$ $	let $x = t_1$ in t_2	
						$ $		



A simple functional language

n	\in	Num = \mathbb{N}	numerals
f, x	\in	Var	variables
π	\in	Pnt	program points
t	\in	Tm	terms

$$t ::= n \mid \text{false} \mid \text{true} \mid x \mid \lambda_{\pi} x. t_1 \mid \mu f. \lambda_{\pi} x. t_1$$
$$| t_1 t_2 \mid \text{if } t_1 \text{ then } t_2 \text{ else } t_3 \mid \text{let } x = t_1 \text{ in } t_2$$
$$|$$


A simple functional language

n	\in	Num = \mathbb{N}	numerals
f, x	\in	Var	variables
\oplus	\in	Op	binary operators
π	\in	Pnt	program points
t	\in	Tm	terms

$$\begin{aligned} t ::= & n \mid \text{false} \mid \text{true} \mid x \mid \lambda_{\pi} x. t_1 \mid \mu f. \lambda_{\pi} x. t_1 \\ & \mid t_1 t_2 \mid \text{if } t_1 \text{ then } t_2 \text{ else } t_3 \mid \text{let } x = t_1 \text{ in } t_2 \\ & \mid t_1 \oplus t_2 \end{aligned}$$


A simple functional language

n	\in	Num = \mathbb{N}	numerals
f, x	\in	Var	variables
\oplus	\in	Op	binary operators
π	\in	Pnt	program points
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$$t ::= n \mid \text{false} \mid \text{true} \mid x \mid \lambda_{\pi} x. t_1 \mid \mu f. \lambda_{\pi} x. t_1 \\ \mid t_1 t_2 \mid \text{if } t_1 \text{ then } t_2 \text{ else } t_3 \mid \text{let } x = t_1 \text{ in } t_2 \\ \mid t_1 \oplus t_2$$

Example:

$$\text{let } fac = \mu f. \lambda_{\mathbf{F}} x. \text{if } x \equiv 0 \text{ then } 1 \text{ else } x * f (x - 1) \\ \text{in } fac \ 6$$


Monomorphic types

$\tau \in \mathbf{Ty}$ types

$\tau ::= \mathit{Nat} \mid \mathit{Bool} \mid \tau_1 \rightarrow \tau_2$



Monomorphic types

$\tau \in \mathbf{Ty}$ types
 $\Gamma \in \mathbf{TyEnv}$ type environments

$\tau ::= \text{Nat} \mid \text{Bool} \mid \tau_1 \rightarrow \tau_2$
 $\Gamma ::= [] \mid \Gamma_1[x \mapsto \tau]$



Monomorphic types

$$\begin{array}{ll} \tau \in \mathbf{Ty} & \text{types} \\ \Gamma \in \mathbf{TyEnv} & \text{type environments} \end{array}$$
$$\begin{array}{ll} \tau ::= \text{Nat} \mid \text{Bool} \mid \tau_1 \rightarrow \tau_2 \\ \Gamma ::= [] \mid \Gamma_1[x \mapsto \tau] \end{array}$$

Typing judgements:

$$\Gamma \vdash_{\text{UL}} t : \tau \quad \text{typing}$$

“Term t has type τ assuming that any of its free variables has the type given by Γ .”



Monomorphic type system: constants

$$\frac{}{\Gamma \vdash_{\text{UL}} n : \textit{Nat}} [t\text{-}num]$$



Monomorphic type system: constants

$$\frac{}{\Gamma \vdash_{\text{UL}} n : \text{Nat}} [t\text{-num}]$$

$$\frac{}{\Gamma \vdash_{\text{UL}} \text{false} : \text{Bool}} [t\text{-false}]$$

$$\frac{}{\Gamma \vdash_{\text{UL}} \text{true} : \text{Bool}} [t\text{-true}]$$



Monomorphic type system: variables

$$\frac{\Gamma(x) = \tau}{\Gamma \vdash_{\text{UL}} x : \tau} [t\text{-var}]$$



Monomorphic type system: functions

$$\frac{\Gamma[x \mapsto \tau_1] \vdash_{\text{UL}} t_1 : \tau_2}{\Gamma \vdash_{\text{UL}} \lambda_{\pi} x. t_1 : \tau_1 \rightarrow \tau_2} [t\text{-lam}]$$



Monomorphic type system: functions

$$\frac{\Gamma[x \mapsto \tau_1] \vdash_{\text{UL}} t_1 : \tau_2}{\Gamma \vdash_{\text{UL}} \lambda_{\pi} x. t_1 : \tau_1 \rightarrow \tau_2} [t\text{-lam}]$$

$$\frac{\Gamma[f \mapsto (\tau_1 \rightarrow \tau_2)][x \mapsto \tau_1] \vdash_{\text{UL}} t_1 : \tau_2}{\Gamma \vdash_{\text{UL}} \mu f. \lambda_{\pi} x. t_1 : \tau_1 \rightarrow \tau_2} [t\text{-mu}]$$



Monomorphic type system: functions

$$\frac{\Gamma[x \mapsto \tau_1] \vdash_{\text{UL}} t_1 : \tau_2}{\Gamma \vdash_{\text{UL}} \lambda_{\pi} x. t_1 : \tau_1 \rightarrow \tau_2} [t\text{-lam}]$$

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$$\frac{\Gamma \vdash_{\text{UL}} t_1 : \tau_2 \rightarrow \tau \quad \Gamma \vdash_{\text{UL}} t_2 : \tau_2}{\Gamma \vdash_{\text{UL}} t_1 t_2 : \tau} [t\text{-app}]$$



Monomorphic type system: conditionals

$$\frac{\Gamma \vdash_{\text{UL}} t_1 : \textit{Bool} \quad \Gamma \vdash_{\text{UL}} t_2 : \tau \quad \Gamma \vdash_{\text{UL}} t_3 : \tau}{\Gamma \vdash_{\text{UL}} \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : \tau} [t\text{-if}]$$



Monomorphic type system: local definitions

$$\frac{\Gamma \vdash_{\text{UL}} t_1 : \tau_1 \quad \Gamma[x \mapsto \tau_1] \vdash_{\text{UL}} t_2 : \tau}{\Gamma \vdash_{\text{UL}} \text{let } x = t_1 \text{ in } t_2 : \tau} [t\text{-let}]$$



Monomorphic type system: binary operators

$$\frac{\Gamma \vdash_{\text{UL}} t_1 : \tau_{\oplus}^1 \quad \Gamma \vdash_{\text{UL}} t_2 : \tau_{\oplus}^2}{\Gamma \vdash_{\text{UL}} t_1 \oplus t_2 : \tau_{\oplus}} [t\text{-op}]$$



Monomorphic type system: example

$$\Gamma \vdash_{\text{UL}} \mu f. \lambda_{\text{F}} x. \text{if } x \equiv 0 \text{ then } 1 \text{ else } x * f (x - 1) : \text{Nat} \rightarrow \text{Nat}$$


Monomorphic type system: example

$$\frac{
 \begin{array}{c}
 \vdots \\
 \hline
 \Gamma_F \vdash_{UL} x \equiv 0 : \textit{Bool} \quad \Gamma_F \vdash_{UL} 1 : \textit{Nat} \quad \Gamma_F \vdash_{UL} x * f (x - 1) : \textit{Nat}
 \end{array}
 }{
 \Gamma_F \vdash_{UL} \textbf{if } x \equiv 0 \textbf{ then } 1 \textbf{ else } x * f (x - 1) : \textit{Nat}
 }$$

$$\frac{
 \Gamma_F \vdash_{UL} \textbf{if } x \equiv 0 \textbf{ then } 1 \textbf{ else } x * f (x - 1) : \textit{Nat}
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 }$$

$$\Gamma_F = \Gamma[f \mapsto (\textit{Nat} \rightarrow \textit{Nat})][x \mapsto \textit{Nat}]$$



Polymorphic functions



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Polymorphic functions

$$\lambda_{\mathbf{F}} x. x$$


Polymorphic functions

$$\lambda_{\mathbf{F}}x. x$$
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Polymorphic functions

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Polymorphic types

$\tau \in \mathbf{Ty}$ types

$\Gamma \in \mathbf{TyEnv}$ type environments

$\tau ::= \quad \mid \textit{Nat} \mid \textit{Bool} \mid \tau_1 \rightarrow \tau_2$

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$\Gamma \vdash_{\text{UL}} t : \tau$ typing



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Polymorphic types

α	\in	TyVar	type variables
τ	\in	Ty	types
σ	\in	TyScheme	type schemes
Γ	\in	TyEnv	type environments

τ	$::=$	$\alpha \mid Nat \mid Bool \mid \tau_1 \rightarrow \tau_2$
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$\Gamma \vdash_{UL} t : \sigma$ typing




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$\Gamma \vdash_{UL} t : \sigma$ typing

 $\mathbf{Ty} \subseteq \mathbf{Tyscheme}$



Polymorphic type system: generalisation and instantiation

Introduction:

$$\frac{\Gamma \vdash_{\text{UL}} t : \sigma_1 \quad \alpha \notin \text{ftv}(\Gamma)}{\Gamma \vdash_{\text{UL}} t : \forall \alpha. \sigma_1} \quad [t\text{-gen}]$$



Polymorphic type system: generalisation and instantiation

Introduction:

$$\frac{\Gamma \vdash_{\text{UL}} t : \sigma_1 \quad \alpha \notin \text{ftv}(\Gamma)}{\Gamma \vdash_{\text{UL}} t : \forall \alpha. \sigma_1} \quad [t\text{-gen}]$$

Elimination:

$$\frac{\Gamma \vdash_{\text{UL}} t : \forall \alpha. \sigma_1}{\Gamma \vdash_{\text{UL}} t : [\alpha \mapsto \tau_0] \sigma_1} \quad [t\text{-inst}]$$



Polymorphic type system: variables and local definitions

$$\frac{\Gamma(x) = \sigma}{\Gamma \vdash_{\text{UL}} x : \sigma} [t\text{-var}]$$



Polymorphic type system: variables and local definitions

$$\frac{\Gamma(x) = \sigma}{\Gamma \vdash_{\text{UL}} x : \sigma} [t\text{-var}]$$

$$\frac{\Gamma \vdash_{\text{UL}} t_1 : \sigma_1 \quad \Gamma[x \mapsto \sigma_1] \vdash_{\text{UL}} t_2 : \tau}{\Gamma \vdash_{\text{UL}} \text{let } x = t_1 \text{ in } t_2 : \tau} [t\text{-let}]$$



Polymorphic types: example

$$\lambda_{\mathbf{F}}x. x : \forall \alpha. \alpha \rightarrow \alpha$$

$$\lambda_{\mathbf{F}}x. \lambda_{\mathbf{G}}y. x : \forall \alpha_1. \forall \alpha_2. \alpha_1 \rightarrow \alpha_2 \rightarrow \alpha_1$$

$$\lambda_{\mathbf{F}}f. \lambda_{\mathbf{G}}x. f\ x : \forall \alpha_1. \forall \alpha_2. (\alpha_1 \rightarrow \alpha_2) \rightarrow \alpha_1 \rightarrow \alpha_2$$

$$\begin{aligned} \mu f. \lambda_{\mathbf{F}}g. \lambda_{\mathbf{G}}x. \lambda_{\mathbf{H}}y. \text{if } x \equiv 0 \text{ then } y \text{ else } f\ g\ (x - 1)\ (g\ y) \\ : \forall \alpha. (\alpha \rightarrow \alpha) \rightarrow \text{Nat} \rightarrow \alpha \rightarrow \alpha \end{aligned}$$



Inference algorithm

$\theta \in \mathbf{TySubst} = \mathbf{TyVar} \rightarrow_{\text{fin}} \mathbf{Ty}$ type substitution

$generalise_{UL} : \mathbf{TyEnv} \times \mathbf{Ty} \rightarrow \mathbf{TyScheme}$

$instantiate_{UL} : \mathbf{TyScheme} \rightarrow \mathbf{Ty}$

$\mathcal{U}_{UL} : \mathbf{Ty} \times \mathbf{Ty} \rightarrow \mathbf{TySubst}$

$\mathcal{W}_{UL} : \mathbf{TyEnv} \times \mathbf{Tm} \rightarrow \mathbf{Ty} \times \mathbf{TySubst}$



Inference algorithm: constants

$$\mathcal{W}_{\text{UL}}(\Gamma, n) = (\text{Nat}, \text{id})$$



Inference algorithm: constants

$$\mathcal{W}_{\text{UL}}(\Gamma, n) = (\text{Nat}, \text{id})$$

$$\mathcal{W}_{\text{UL}}(\Gamma, \text{false}) = (\text{Bool}, \text{id})$$

$$\mathcal{W}_{\text{UL}}(\Gamma, \text{true}) = (\text{Bool}, \text{id})$$



Inference algorithm: variables

$$\mathcal{W}_{\text{UL}}(\Gamma, x) = (\textit{instantiate}_{\text{UL}}(\Gamma(x)), \textit{id})$$

- ▶ The instantiation rule is built into the case for variables.
- ▶ By choosing fresh type variables, we commit to nothing,
- ▶ and let the actual types be determined by future unifications.



Inference algorithm: functions

$$\begin{aligned}\mathcal{W}_{\text{UL}}(\Gamma, \lambda_{\pi} x. t_1) = & \text{let } \alpha_1 \text{ be fresh} \\ & (\tau_2, \theta) = \mathcal{W}_{\text{UL}}(\Gamma[x \mapsto \alpha_1], t_1) \\ & \text{in } ((\theta \alpha_1) \rightarrow \tau_2, \theta)\end{aligned}$$



Inference algorithm: functions

$$\begin{aligned}\mathcal{W}_{\text{UL}}(\Gamma, \lambda_{\pi} x. t_1) &= \text{let } \alpha_1 \text{ be fresh} \\ &\quad (\tau_2, \theta) = \mathcal{W}_{\text{UL}}(\Gamma[x \mapsto \alpha_1], t_1) \\ &\quad \text{in } ((\theta \alpha_1) \rightarrow \tau_2, \theta)\end{aligned}$$

$$\begin{aligned}\mathcal{W}_{\text{UL}}(\Gamma, \mu f. \lambda_{\pi} x. t_1) &= \\ &\quad \text{let } \alpha_1, \alpha_2 \text{ be fresh} \\ &\quad (\tau_2, \theta_1) = \mathcal{W}_{\text{UL}}(\Gamma[f \mapsto (\alpha_1 \rightarrow \alpha_2)][x \mapsto \alpha_1], t_1) \\ &\quad \theta_2 = \mathcal{U}_{\text{UL}}(\tau_2, \theta_1 \alpha_2) \\ &\quad \text{in } (\theta_2 (\theta_1 \alpha_1) \rightarrow \theta_2 \tau_2, \theta_2 \circ \theta_1)\end{aligned}$$



Inference algorithm: functions

$$\begin{aligned}\mathcal{W}_{\text{UL}}(\Gamma, \lambda_{\pi} x. t_1) &= \text{let } \alpha_1 \text{ be fresh} \\ &\quad (\tau_2, \theta) = \mathcal{W}_{\text{UL}}(\Gamma[x \mapsto \alpha_1], t_1) \\ &\quad \text{in } ((\theta \alpha_1) \rightarrow \tau_2, \theta)\end{aligned}$$

$$\begin{aligned}\mathcal{W}_{\text{UL}}(\Gamma, \mu f. \lambda_{\pi} x. t_1) &= \\ &\quad \text{let } \alpha_1, \alpha_2 \text{ be fresh} \\ &\quad (\tau_2, \theta_1) = \mathcal{W}_{\text{UL}}(\Gamma[f \mapsto (\alpha_1 \rightarrow \alpha_2)][x \mapsto \alpha_1], t_1) \\ &\quad \theta_2 = \mathcal{U}_{\text{UL}}(\tau_2, \theta_1 \alpha_2) \\ &\quad \text{in } (\theta_2 (\theta_1 \alpha_1) \rightarrow \theta_2 \tau_2, \theta_2 \circ \theta_1)\end{aligned}$$

$$\begin{aligned}\mathcal{W}_{\text{UL}}(\Gamma, t_1 t_2) &= \text{let } (\tau_1, \theta_1) = \mathcal{W}_{\text{UL}}(\Gamma, t_1) \\ &\quad (\tau_2, \theta_2) = \mathcal{W}_{\text{UL}}(\theta_1 \Gamma, t_2) \\ &\quad \alpha \text{ be fresh} \\ &\quad \theta_3 = \mathcal{U}_{\text{UL}}(\theta_2 \tau_1, \tau_2 \rightarrow \alpha) \\ &\quad \text{in } (\theta_3 \alpha, \theta_3 \circ \theta_2 \circ \theta_1)\end{aligned}$$



Unification

- ▶ To combine (join) two given types we apply **unification**
- ▶ I.e., in case rule for applications, $\mathcal{U}_{UL}(\theta_2 \tau_1, \tau_2 \rightarrow \alpha)$
- ▶ Unification computes a substitution from two types:
 $\mathcal{U}_{UL} : \mathbf{Ty} \times \mathbf{Ty} \rightarrow \mathbf{TySubst}$
- ▶ If $\mathcal{U}_{UL}(t_1, t_2) = \theta$ then $\theta t_1 = \theta t_2$
 - ▶ And θ is the least such substitution
- ▶ Ex. $\mathcal{U}_{UL}(\alpha_1 \rightarrow Nat \rightarrow Bool, Nat \rightarrow Nat \rightarrow \alpha_2)$ equals θ with $\theta(\alpha_1) = Nat$ and $\theta(\alpha_2) = Bool$
- ▶ Note: unification is basically the \sqcup in the lattice of monotypes



Unification Algorithm

$$\begin{aligned}\mathcal{U}_{\text{UL}}(\text{Nat}, \text{Nat}) &= \text{id} \\ \mathcal{U}_{\text{UL}}(\text{Bool}, \text{Bool}) &= \text{id} \\ \mathcal{U}_{\text{UL}}(\tau_1 \rightarrow \tau_2, \tau_3 \rightarrow \tau_4) &= \theta_2 \circ \theta_1\end{aligned}$$

where

$$\begin{aligned}\theta_1 &= \mathcal{U}_{\text{UL}}(\tau_1, \tau_3) \\ \theta_2 &= \mathcal{U}_{\text{UL}}(\theta_1 \tau_2, \theta_1 \tau_4) \\ \mathcal{U}_{\text{UL}}(\alpha, \tau) &= [\alpha \mapsto \tau] \text{ if } \text{chk}(\alpha, \tau) \\ \mathcal{U}_{\text{UL}}(\tau, \alpha) &= [\alpha \mapsto \tau] \text{ if } \text{chk}(\alpha, \tau) \\ \mathcal{U}_{\text{UL}}(-, -) &= \text{fail}\end{aligned}$$

Here, $\text{chk}(\alpha, \tau)$ returns true if $\tau = \alpha$ or α is not a free variable in τ .



Inference algorithm: conditionals

$$\begin{aligned} &\mathcal{W}_{\text{UL}}(\Gamma, \text{if } t_1 \text{ then } t_2 \text{ else } t_3) = \\ &\quad \text{let } (\tau_1, \theta_1) = \mathcal{W}_{\text{UL}}(\Gamma, t_1) \\ &\quad \quad (\tau_2, \theta_2) = \mathcal{W}_{\text{UL}}(\theta_1 \Gamma, t_2) \\ &\quad \quad (\tau_3, \theta_3) = \mathcal{W}_{\text{UL}}(\theta_2 (\theta_1 \Gamma), t_3) \\ &\quad \quad \theta_4 = \mathcal{U}_{\text{UL}}(\theta_3 (\theta_2 \tau_1), \text{Bool}) \\ &\quad \quad \theta_5 = \mathcal{U}_{\text{UL}}(\theta_4 (\theta_3 \tau_2), \theta_4 \tau_3) \\ &\quad \text{in } (\theta_5 (\theta_4 \tau_3), \quad \theta_5 \circ \theta_4 \circ \theta_3 \circ \theta_2 \circ \theta_1) \end{aligned}$$

- ▶ Substitutions are applied as soon as possible.
- ▶ Error prone process of putting the right composition of substitutions everywhere.
- ▶ Substitutions are **idempotent**: blindly applying all of them all the time can only influence efficiency.



Inference algorithm: local definitions

$$\begin{aligned}\mathcal{W}_{\text{UL}}(\Gamma, \text{let } x = t_1 \text{ in } t_2) = \\ \text{let } (\tau_1, \theta_1) = \mathcal{W}_{\text{UL}}(\Gamma, t_1) \\ (\tau, \theta_2) = \mathcal{W}_{\text{UL}}((\theta_1 \Gamma)[x \mapsto \text{generalise}_{\text{UL}}(\theta_1 \Gamma, \tau_1)], t_2) \\ \text{in } (\tau, \theta_2 \circ \theta_1)\end{aligned}$$



Inference algorithm: binary operators

$$\begin{aligned} & \mathcal{W}_{\text{UL}}(\Gamma, t_1 \oplus t_2) = \\ & \quad \text{let } (\tau_1, \theta_1) = \mathcal{W}_{\text{UL}}(\Gamma, t_1) \\ & \quad \quad (\tau_2, \theta_2) = \mathcal{W}_{\text{UL}}(\theta_1 \Gamma, t_2) \\ & \quad \quad \theta_3 = \mathcal{U}_{\text{UL}}(\theta_2 \tau_1, \tau_{\oplus}^1) \\ & \quad \quad \theta_4 = \mathcal{U}_{\text{UL}}(\theta_3 \tau_2, \tau_{\oplus}^2) \\ & \quad \text{in } (\tau_{\oplus}, \quad \theta_4 \circ \theta_3 \circ \theta_2 \circ \theta_1) \end{aligned}$$


Control-flow analysis

Control-flow analysis (or closure analysis) determines:

For each function application, which functions may be applied.



Control-flow Analysis with Annotated Types



Annotated types

$\varphi \in \mathbf{Ann}$ annotations

$\varphi ::= \emptyset \mid \{\pi\} \mid \varphi_1 \cup \varphi_2$



Annotated types

$\varphi \in \mathbf{Ann}$

annotations

$\hat{\tau} \in \widehat{\mathbf{Ty}}$

annotated types

$\varphi ::= \emptyset \mid \{\pi\} \mid \varphi_1 \cup \varphi_2$

$\hat{\tau} ::= \alpha \mid \mathit{Nat} \mid \mathit{Bool} \mid \hat{\tau}_1 \xrightarrow{\varphi} \hat{\tau}_2$



Annotated types

φ	\in	\mathbf{Ann}	annotations
$\hat{\tau}$	\in	$\widehat{\mathbf{Ty}}$	annotated types
$\hat{\sigma}$	\in	$\mathbf{TyScheme}$	annotated type schemes

φ	$::=$	$\emptyset \mid \{\pi\} \mid \varphi_1 \cup \varphi_2$
$\hat{\tau}$	$::=$	$\alpha \mid \mathit{Nat} \mid \mathit{Bool} \mid \hat{\tau}_1 \xrightarrow{\varphi} \hat{\tau}_2$
$\hat{\sigma}$	$::=$	$\hat{\tau} \mid \forall \alpha. \hat{\sigma}_1$



Annotated types

φ	\in	\mathbf{Ann}	annotations
$\hat{\tau}$	\in	$\widehat{\mathbf{Ty}}$	annotated types
$\hat{\sigma}$	\in	$\widehat{\mathbf{TyScheme}}$	annotated type schemes
$\hat{\Gamma}$	\in	$\widehat{\mathbf{TyEnv}}$	annotated type environments

φ	$::=$	$\emptyset \mid \{\pi\} \mid \varphi_1 \cup \varphi_2$
$\hat{\tau}$	$::=$	$\alpha \mid \mathit{Nat} \mid \mathit{Bool} \mid \hat{\tau}_1 \xrightarrow{\varphi} \hat{\tau}_2$
$\hat{\sigma}$	$::=$	$\hat{\tau} \mid \forall \alpha. \hat{\sigma}_1$
$\hat{\Gamma}$	$::=$	$[] \mid \hat{\Gamma}_1[x \mapsto \hat{\sigma}]$



Annotated types

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φ	$::=$	$\emptyset \mid \{\pi\} \mid \varphi_1 \cup \varphi_2$
$\hat{\tau}$	$::=$	$\alpha \mid Nat \mid Bool \mid \hat{\tau}_1 \xrightarrow{\varphi} \hat{\tau}_2$
$\hat{\sigma}$	$::=$	$\hat{\tau} \mid \forall \alpha. \hat{\sigma}_1$
$\hat{\Gamma}$	$::=$	$[] \mid \hat{\Gamma}_1[x \mapsto \hat{\sigma}]$

$\hat{\Gamma} \vdash_{\text{CFA}} t : \hat{\sigma}$ control-flow analysis



Control-flow analysis: constants

$$\frac{}{\widehat{\Gamma} \vdash_{\text{CFA}} n : \text{Nat}} \quad [\text{cfa-num}]$$



Control-flow analysis: constants

$$\frac{}{\widehat{\Gamma} \vdash_{\text{CFA}} n : \textit{Nat}} \text{ [cfa-num]}$$

$$\frac{}{\widehat{\Gamma} \vdash_{\text{CFA}} \text{false} : \textit{Bool}} \text{ [cfa-false]}$$

$$\frac{}{\widehat{\Gamma} \vdash_{\text{CFA}} \text{true} : \textit{Bool}} \text{ [cfa-true]}$$



Control-flow analysis: variables

$$\frac{\hat{\Gamma}(x) = \hat{\sigma}}{\hat{\Gamma} \vdash_{\text{CFA}} x : \hat{\sigma}} \text{ [cfa-var]}$$



Control-flow analysis: functions

$$\frac{\hat{\Gamma}[x \mapsto \hat{\tau}_1] \vdash_{\text{CFA}} t_1 : \hat{\tau}_2}{\hat{\Gamma} \vdash_{\text{CFA}} \lambda_{\pi} x. t_1 : \hat{\tau}_1 \xrightarrow{\{\pi\}} \hat{\tau}_2} [cfa-lam]$$



Control-flow analysis: functions

$$\frac{\hat{\Gamma}[x \mapsto \hat{\tau}_1] \vdash_{\text{CFA}} t_1 : \hat{\tau}_2}{\hat{\Gamma} \vdash_{\text{CFA}} \lambda_{\pi} x. t_1 : \hat{\tau}_1 \xrightarrow{\{\pi\}} \hat{\tau}_2} \text{ [cfa-lam]}$$

$$\frac{\hat{\Gamma}[f \mapsto (\hat{\tau}_1 \xrightarrow{\{\pi\}} \hat{\tau}_2)][x \mapsto \hat{\tau}_1] \vdash_{\text{CFA}} t_1 : \hat{\tau}_2}{\hat{\Gamma} \vdash_{\text{CFA}} \mu f. \lambda_{\pi} x. t_1 : \hat{\tau}_1 \xrightarrow{\{\pi\}} \hat{\tau}_2} \text{ [cfa-mu]}$$



Control-flow analysis: functions

$$\frac{\hat{\Gamma}[x \mapsto \hat{\tau}_1] \vdash_{\text{CFA}} t_1 : \hat{\tau}_2}{\hat{\Gamma} \vdash_{\text{CFA}} \lambda_{\pi} x. t_1 : \hat{\tau}_1 \xrightarrow{\{\pi\}} \hat{\tau}_2} \text{ [cfa-lam]}$$

$$\frac{\hat{\Gamma}[f \mapsto (\hat{\tau}_1 \xrightarrow{\{\pi\}} \hat{\tau}_2)][x \mapsto \hat{\tau}_1] \vdash_{\text{CFA}} t_1 : \hat{\tau}_2}{\hat{\Gamma} \vdash_{\text{CFA}} \mu f. \lambda_{\pi} x. t_1 : \hat{\tau}_1 \xrightarrow{\{\pi\}} \hat{\tau}_2} \text{ [cfa-mu]}$$

$$\frac{\hat{\Gamma} \vdash_{\text{CFA}} t_1 : \hat{\tau}_2 \xrightarrow{\varphi} \hat{\tau} \quad \hat{\Gamma} \vdash_{\text{CFA}} t_2 : \hat{\tau}_2}{\hat{\Gamma} \vdash_{\text{CFA}} t_1 t_2 : \hat{\tau}} \text{ [cfa-app]}$$

► φ describes what may be applied!



Control-flow analysis: conditionals

$$\frac{\hat{\Gamma} \vdash_{\text{CFA}} t_1 : \textit{Bool} \quad \hat{\Gamma} \vdash_{\text{CFA}} t_2 : \hat{\tau} \quad \hat{\Gamma} \vdash_{\text{CFA}} t_3 : \hat{\tau}}{\hat{\Gamma} \vdash_{\text{CFA}} \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : \hat{\tau}} \text{ [cfa-if]}$$



Control-flow analysis: local definitions

$$\frac{\hat{\Gamma} \vdash_{\text{CFA}} t_1 : \hat{\sigma}_1 \quad \hat{\Gamma}[x \mapsto \hat{\sigma}_1] \vdash_{\text{CFA}} t_2 : \hat{\tau}}{\hat{\Gamma} \vdash_{\text{CFA}} \text{let } x = t_1 \text{ in } t_2 : \hat{\tau}} \quad [\text{cfa-let}]$$



Control-flow analysis: binary operators

$$\frac{\hat{\Gamma} \vdash_{\text{CFA}} t_1 : \tau_{\oplus}^1 \quad \hat{\Gamma} \vdash_{\text{CFA}} t_2 : \tau_{\oplus}^2}{\hat{\Gamma} \vdash_{\text{CFA}} t_1 \oplus t_2 : \tau_{\oplus}} \text{ [cfa-op]}$$



Control-flow analysis: example

$$(\lambda_{\text{F}} x. x) (\lambda_{\text{G}} y. y)$$


Control-flow analysis: example

$$(\lambda_{\text{F}} x. x) (\lambda_{\text{G}} y. y)$$

$$\hat{\Gamma} \vdash_{\text{CFA}} (\lambda_{\text{F}} x. x) (\lambda_{\text{G}} y. y) : \forall \alpha. \alpha \xrightarrow{\{\text{G}\}} \alpha$$



Control-flow analysis: example

$(\lambda_{\mathbf{F}}x. x) (\lambda_{\mathbf{G}}y. y)$

$$\begin{array}{c}
 \vdots \qquad \qquad \qquad \vdots \\
 \hline
 \widehat{\Gamma}[x \mapsto \widehat{\tau}_{\mathbf{G}}] \vdash_{\text{CFA}} x : \widehat{\tau}_{\mathbf{G}} \qquad \widehat{\Gamma}[y \mapsto \alpha] \vdash_{\text{CFA}} y : \alpha \\
 \hline
 \widehat{\Gamma} \vdash_{\text{CFA}} \lambda_{\mathbf{F}}x. x : \widehat{\tau}_{\mathbf{G}} \xrightarrow{\{\mathbf{F}\}} \widehat{\tau}_{\mathbf{G}} \qquad \widehat{\Gamma} \vdash_{\text{CFA}} \lambda_{\mathbf{G}}y. y : \widehat{\tau}_{\mathbf{G}} \\
 \hline
 \widehat{\Gamma} \vdash_{\text{CFA}} (\lambda_{\mathbf{F}}x. x) (\lambda_{\mathbf{G}}y. y) : \widehat{\tau}_{\mathbf{G}} \\
 \hline
 \widehat{\Gamma} \vdash_{\text{CFA}} (\lambda_{\mathbf{F}}x. x) (\lambda_{\mathbf{G}}y. y) : \forall \alpha. \alpha \xrightarrow{\{\mathbf{G}\}} \alpha
 \end{array}$$

$$\widehat{\tau}_{\mathbf{G}} = \alpha \xrightarrow{\{\mathbf{G}\}} \alpha$$



Higher-order functions

```
let  $f = \lambda_{\text{F}}x. x + 1$  in  
let  $g = \lambda_{\text{G}}y. y * 2$  in  
let  $h = \lambda_{\text{H}}z. z\ 3$  in  
 $h\ g + h\ f$ 
```



Higher-order functions

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. z \ 3$  in  
 $h \ g + h \ f$ 
```

```
 $f \quad : \quad \text{Nat} \xrightarrow{\{\mathbf{F}\}} \text{Nat}$   
 $g \quad : \quad \text{Nat} \xrightarrow{\{\mathbf{G}\}} \text{Nat}$ 
```



Higher-order functions

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. z\ 3$  in  
 $h\ g + h\ f$ 
```

```
 $f$    :    $Nat \xrightarrow{\{\mathbf{F}\}} Nat$   
 $g$    :    $Nat \xrightarrow{\{\mathbf{G}\}} Nat$   
 $h$    :    $(Nat \xrightarrow{??} Nat) \xrightarrow{\{\mathbf{H}\}} Nat$ 
```



Higher-order functions

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. z \ 3$  in  
 $h \ g + h \ f$ 
```

```
 $f \quad : \quad Nat \xrightarrow{\{\mathbf{F}\}} Nat$   
 $g \quad : \quad Nat \xrightarrow{\{\mathbf{G}\}} Nat$   
 $h \quad : \quad (Nat \xrightarrow{??} Nat) \xrightarrow{\{\mathbf{H}\}} Nat$ 
```

Should we have $h : (Nat \xrightarrow{\{\mathbf{F}\}} Nat) \xrightarrow{\{\mathbf{H}\}} Nat$ or
 $h : (Nat \xrightarrow{\{\mathbf{G}\}} Nat) \xrightarrow{\{\mathbf{H}\}} Nat$?



Conditionals

```
 $\lambda_{\mathbf{H}}z. \mathbf{if} \quad z \equiv 0$   
   $\mathbf{then} \lambda_{\mathbf{F}}x. x + 1$   
   $\mathbf{else} \lambda_{\mathbf{G}}y. y * 2$ 
```



Conditionals

```
 $\lambda_{\mathbf{H}}z. \mathbf{if} \quad z \equiv 0$   
 $\quad \mathbf{then} \lambda_{\mathbf{F}}x. x + 1$   
 $\quad \mathbf{else} \lambda_{\mathbf{G}}y. y * 2$ 
```

Should we have $\mathit{Nat} \xrightarrow{\{\mathbf{H}\}} (\mathit{Nat} \xrightarrow{\{\mathbf{F}\}} \mathit{Nat})$ or
 $\mathit{Nat} \xrightarrow{\{\mathbf{H}\}} (\mathit{Nat} \xrightarrow{\{\mathbf{G}\}} \mathit{Nat})$?



Subeffecting

$$\frac{\hat{\Gamma}[x \mapsto \hat{\tau}_1] \vdash_{\text{CFA}} t_1 : \hat{\tau}_2}{\hat{\Gamma} \vdash_{\text{CFA}} \lambda_{\pi} x. t_1 : \hat{\tau}_1 \xrightarrow{\{\pi\} \cup \varphi} \hat{\tau}_2} \text{ [cfa-lam]}$$



Subeffecting

$$\frac{\hat{\Gamma}[x \mapsto \hat{\tau}_1] \vdash_{\text{CFA}} t_1 : \hat{\tau}_2}{\hat{\Gamma} \vdash_{\text{CFA}} \lambda_{\pi} x. t_1 : \hat{\tau}_1 \xrightarrow{\{\pi\} \cup \varphi} \hat{\tau}_2} \text{ [cfa-lam]}$$

$$\frac{\hat{\Gamma}[f \mapsto (\hat{\tau}_1 \xrightarrow{\{\pi\} \cup \varphi} \hat{\tau}_2)][x \mapsto \hat{\tau}_1] \vdash_{\text{CFA}} t_1 : \hat{\tau}_2}{\hat{\Gamma} \vdash_{\text{CFA}} \mu f. \lambda_{\pi} x. t_1 : \hat{\tau}_1 \xrightarrow{\{\pi\} \cup \varphi} \hat{\tau}_2} \text{ [cfa-mu]}$$



Subeffecting: example

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. z \ 3$  in  
 $h \ g + h \ f$ 
```

$$\begin{aligned} f &: \text{Nat} \xrightarrow{\{\mathbf{F}, \mathbf{G}\}} \text{Nat} \\ g &: \text{Nat} \xrightarrow{\{\mathbf{F}, \mathbf{G}\}} \text{Nat} \\ h &: (\text{Nat} \xrightarrow{\{\mathbf{F}, \mathbf{G}\}} \text{Nat}) \xrightarrow{\{\mathbf{H}\}} \text{Nat} \end{aligned}$$


Subeffecting: example

$$\lambda_{\mathbf{H}}z. \text{if } z \equiv 0 \\ \text{then } \lambda_{\mathbf{F}}x. x + 1 \\ \text{else } \lambda_{\mathbf{G}}y. y * 2$$
$$Nat \xrightarrow{\{\mathbf{H}\}} (Nat \xrightarrow{\{\mathbf{F}, \mathbf{G}\}} Nat)$$


Inference algorithm: simple types

β	\in	$\widehat{\text{AnnVar}}$	annotation variables
$\hat{\tau}$	\in	$\widehat{\text{SimpleTy}}$	simple types
$\hat{\sigma}$	\in	$\widehat{\text{SimpleTyScheme}}$	simple type schemes
$\hat{\Gamma}$	\in	$\widehat{\text{SimpleTyEnv}}$	simple type environments
$\hat{\theta}$	\in	$\widehat{\text{TySubst}}$	hybrid type substitution
C	\in	$\widehat{\text{Constr}}$	constraint

$\hat{\tau}$	$::=$	$\alpha \mid \text{Nat} \mid \text{Bool} \mid \hat{\tau}_1 \xrightarrow{\beta} \hat{\tau}_2$
$\hat{\sigma}$	$::=$	$\hat{\tau} \mid \forall \alpha. \hat{\sigma}_1$
$\hat{\Gamma}$	$::=$	$[] \mid \hat{\Gamma}_1[x \mapsto \hat{\sigma}]$
C	$::=$	$\emptyset \mid \{\beta \supseteq \varphi\} \mid C_1 \cup C_2$



Inference algorithm

$$\begin{aligned} \text{generalise}_{\text{CFA}} &: \widehat{\text{SimpleTyEnv}} \times \widehat{\text{SimpleTy}} \rightarrow \widehat{\text{SimpleTyScheme}} \\ \text{instantiate}_{\text{CFA}} &: \widehat{\text{SimpleTyScheme}} \rightarrow \widehat{\text{Ty}} \\ \mathcal{U}_{\text{CFA}} &: \widehat{\text{SimpleTy}} \times \widehat{\text{SimpleTy}} \rightarrow \widehat{\text{TySubst}} \\ \mathcal{W}_{\text{CFA}} &: \widehat{\text{SimpleTyEnv}} \times \text{Tm} \rightarrow \widehat{\text{SimpleTy}} \times \widehat{\text{TySubst}} \times \text{Constr} \end{aligned}$$



Inference algorithm: constants

$$\mathcal{W}_{\text{CFA}}(\hat{\Gamma}, n) = (\textit{Nat}, \textit{id}, \emptyset)$$

$$\mathcal{W}_{\text{CFA}}(\hat{\Gamma}, \textit{false}) = (\textit{Bool}, \textit{id}, \emptyset)$$

$$\mathcal{W}_{\text{CFA}}(\hat{\Gamma}, \textit{true}) = (\textit{Bool}, \textit{id}, \emptyset)$$



Inference algorithm: variables

$$\mathcal{W}_{\text{CFA}}(\hat{\Gamma}, x) = (\text{instantiate}_{\text{CFA}}(\hat{\Gamma}(x)), \text{ id}, \emptyset)$$



Inference algorithm: functions

$$\begin{aligned} \mathcal{W}_{\text{CFA}}(\hat{\Gamma}, \lambda_{\pi} x. t_1) = & \text{let } \alpha_1 \text{ be fresh} \\ & (\hat{\tau}_2, \hat{\theta}, C_1) = \mathcal{W}_{\text{CFA}}(\hat{\Gamma}[x \mapsto \alpha_1], t_1) \\ & \beta \text{ be fresh} \\ \text{in } ((\hat{\theta} \alpha_1) \xrightarrow{\beta} \hat{\tau}_2, \quad & \hat{\theta}, C_1 \cup \{\beta \supseteq \{\pi\}\}) \end{aligned}$$

- ▶ Introduce fresh variables for annotations.
- ▶ Invariant: only variables as annotations in types.
- ▶ Put concrete information about the variable into C .
- ▶ Solve constraints later to obtain actual sets.
- ▶ Simplifies unification substantially.



Changes to unification

Only the case for function changes:

$$\dots$$
$$\mathcal{U}_{\text{UL}} (\tau_1 \xrightarrow{\beta_1} \tau_2, \tau_3 \xrightarrow{\beta_2} \tau_4) = \theta_2 \circ \theta_1 \circ \theta_0$$

where

$$\theta_0 = [\beta_1 \mapsto \beta_2]$$

$$\theta_1 = \mathcal{U}_{\text{UL}} (\theta_0 \tau_1, \theta_0 \tau_3)$$

$$\theta_2 = \mathcal{U}_{\text{UL}} (\theta_1 (\theta_0 \tau_2), \theta_1 (\theta_0 \tau_4))$$

...

No need to recurse on annotations: just map one variable to the other.



Inference algorithm: recursive functions

$$\begin{aligned}
 & \mathcal{W}_{\text{CFA}}(\widehat{\Gamma}, \mu f. \lambda_{\pi} x. t_1) = \\
 & \quad \text{let } \alpha_1, \alpha_2, \beta \text{ be fresh} \\
 & \quad (\widehat{\tau}_2, \widehat{\theta}_1, C_1) = \mathcal{W}_{\text{CFA}}(\widehat{\Gamma}[f \mapsto (\alpha_1 \xrightarrow{\beta} \alpha_2)][x \mapsto \alpha_1], t_1) \\
 & \quad \widehat{\theta}_2 = \mathcal{U}_{\text{CFA}}(\widehat{\tau}_2, \widehat{\theta}_1 \alpha_2) \\
 & \quad \text{in } (\widehat{\theta}_2(\widehat{\theta}_1 \alpha_1) \xrightarrow{\widehat{\theta}_2(\widehat{\theta}_1 \beta)} \widehat{\theta}_2 \widehat{\tau}_2, \quad \widehat{\theta}_2 \circ \widehat{\theta}_1, \\
 & \quad (\widehat{\theta}_2 C_1) \cup \{\widehat{\theta}_2(\widehat{\theta}_1 \beta) \supseteq \{\pi\}\})
 \end{aligned}$$



Constraints: example

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. z \ 3$  in  
 $h \ g + h \ f$ 
```



Constraints: example

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. z \ 3$  in  
 $h \ g + h \ f$ 
```

$f \quad : \quad \text{Nat} \xrightarrow{\beta_1} \text{Nat}$
 $g \quad : \quad \text{Nat} \xrightarrow{\beta_2} \text{Nat}$
 $h \quad : \quad (\text{Nat} \xrightarrow{\beta_3} \text{Nat}) \xrightarrow{\{\mathbf{H}\}} \text{Nat}$



Constraints: example

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. z \ 3$  in  
 $h \ g + h \ f$ 
```

$f \quad : \quad \text{Nat} \xrightarrow{\beta_1} \text{Nat}$
 $g \quad : \quad \text{Nat} \xrightarrow{\beta_2} \text{Nat}$
 $h \quad : \quad (\text{Nat} \xrightarrow{\beta_3} \text{Nat}) \xrightarrow{\{\mathbf{H}\}} \text{Nat}$

$$\widehat{\theta}(\beta_1) = \beta_3$$

$$\widehat{\theta}(\beta_2) = \beta_3$$



Constraints: example

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. z \ 3$  in  
 $h \ g + h \ f$ 
```

$f \quad : \quad \text{Nat} \xrightarrow{\beta_1} \text{Nat}$
 $g \quad : \quad \text{Nat} \xrightarrow{\beta_2} \text{Nat}$
 $h \quad : \quad (\text{Nat} \xrightarrow{\beta_3} \text{Nat}) \xrightarrow{\{\mathbf{H}\}} \text{Nat}$

$$\widehat{\theta}(\beta_1) = \beta_3$$

$$\widehat{\theta}(\beta_2) = \beta_3$$

$$C = \{\beta_1 \supseteq \{\mathbf{F}\}, \beta_2 \supseteq \{\mathbf{G}\}\}$$



Constraints: example

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. z \ 3$  in  
 $h \ g + h \ f$ 
```

$f \quad : \quad \text{Nat} \xrightarrow{\beta_1} \text{Nat}$
 $g \quad : \quad \text{Nat} \xrightarrow{\beta_2} \text{Nat}$
 $h \quad : \quad (\text{Nat} \xrightarrow{\beta_3} \text{Nat}) \xrightarrow{\{\mathbf{H}\}} \text{Nat}$

$$\hat{\theta}(\beta_1) = \beta_3$$

$$\hat{\theta}(\beta_2) = \beta_3$$

$$C = \{\beta_1 \supseteq \{\mathbf{F}\}, \beta_2 \supseteq \{\mathbf{G}\}\}$$

$$\hat{\theta} C = \{\beta_3 \supseteq \{\mathbf{F}\}, \beta_3 \supseteq \{\mathbf{G}\}\}$$



Constraints: example

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. z \ 3$  in  
 $h \ g + h \ f$ 
```

$f \quad : \quad \text{Nat} \xrightarrow{\beta_1} \text{Nat}$
 $g \quad : \quad \text{Nat} \xrightarrow{\beta_2} \text{Nat}$
 $h \quad : \quad (\text{Nat} \xrightarrow{\beta_3} \text{Nat}) \xrightarrow{\{\mathbf{H}\}} \text{Nat}$

$$\hat{\theta}(\beta_1) = \beta_3$$

$$\hat{\theta}(\beta_2) = \beta_3$$

$$C = \{\beta_1 \supseteq \{\mathbf{F}\}, \beta_2 \supseteq \{\mathbf{G}\}\}$$

$$\hat{\theta} C = \{\beta_3 \supseteq \{\mathbf{F}\}, \beta_3 \supseteq \{\mathbf{G}\}\}$$

Least solution: $\beta_3 = \{\mathbf{F}, \mathbf{G}\}$.

Universiteit Utrecht



[Faculty of Science
Information and Computing Sciences]

Poisoning

Naive use of subeffecting is fatal for the precision of your analysis:

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. \text{if } z \equiv 0 \text{ then } f \text{ else } g$  in  
 $f$ 
```

$$Nat \xrightarrow{\{\mathbf{F}, \mathbf{G}\}} Nat$$


Separate rule for subeffecting

$$\frac{\hat{\Gamma} \vdash_{\text{CFA}} t : \hat{\tau}_1 \xrightarrow{\varphi} \hat{\tau}_2}{\hat{\Gamma} \vdash_{\text{CFA}} t : \hat{\tau}_1 \xrightarrow{\varphi \cup \varphi'} \hat{\tau}_1} \text{ [cfa-sub]}$$



Separate rule for subeffecting

$$\frac{\hat{\Gamma} \vdash_{\text{CFA}} t : \hat{\tau}_1 \xrightarrow{\varphi} \hat{\tau}_2}{\hat{\Gamma} \vdash_{\text{CFA}} t : \hat{\tau}_1 \xrightarrow{\varphi \cup \varphi'} \hat{\tau}_1} \text{ [cfa-sub]}$$

We can remove the subeffecting from the lambda rule:

$$\frac{\hat{\Gamma}[x \mapsto \hat{\tau}_1] \vdash_{\text{CFA}} t_1 : \hat{\tau}_2}{\hat{\Gamma} \vdash_{\text{CFA}} \lambda_{\pi} x. t_1 : \hat{\tau}_1 \xrightarrow{\{\pi\}} \hat{\tau}_2} \text{ [cfa-lam]}$$



Separate compilation?

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. z \ 3$  in  
 $h \ g + h \ f$ 
```

```
 $f$  :  $Nat \xrightarrow{\{\mathbf{F}\}} Nat$   
 $g$  :  $Nat \xrightarrow{\{\mathbf{G}\}} Nat$   
 $h$  :  $(Nat \xrightarrow{\{\mathbf{F}, \mathbf{G}\}} Nat) \xrightarrow{\{\mathbf{H}\}} Nat$ 
```



Separate compilation?

```
let  $f = \lambda_{\mathbf{F}}x. x + 1$  in  
let  $g = \lambda_{\mathbf{G}}y. y * 2$  in  
let  $h = \lambda_{\mathbf{H}}z. z \ 3$  in  
 $h \ g + h \ f$ 
```

$$\begin{aligned} f &: \text{Nat} \xrightarrow{\{\mathbf{F}\}} \text{Nat} \\ g &: \text{Nat} \xrightarrow{\{\mathbf{G}\}} \text{Nat} \\ h &: (\text{Nat} \xrightarrow{\{\mathbf{F}, \mathbf{G}\}} \text{Nat}) \xrightarrow{\{\mathbf{H}\}} \text{Nat} \end{aligned}$$

☞ We need to analyse the whole program to accurately determine the domain of h .



Subeffecting and subtyping

- ▶ We have now seen subeffecting at work.
- ▶ The main ideas of all of these are:
 - ▶ compute types and annotations independent of context,
 - ▶ allow to weaken the outcomes whenever convenient.
- ▶ Weakening provides a form of context-sensitiveness.
- ▶ In (shape conformant) subtyping we may also weaken annotations deeper in the type.

