COL333/671: Introduction to AI

Semester I, 2024-25

Solving Problems by Searching Uninformed Search

Rohan Paul

This Class

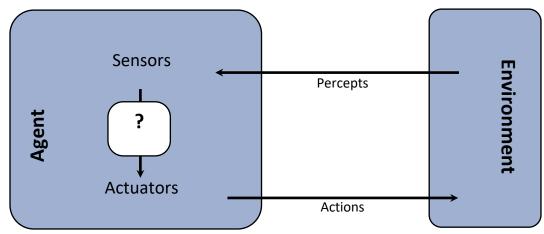
- Reflex Agents
- Problem Solving as search
 - Uninformed Search
- Reference Material
 - AIMA Ch. 3

Acknowledgement

These slides are intended for teaching purposes only. Some material has been used/adapted from web sources and from slides by Doina Precup, Dorsa Sadigh, Percy Liang, Mausam, Dan Klein, Nicholas Roy and others.

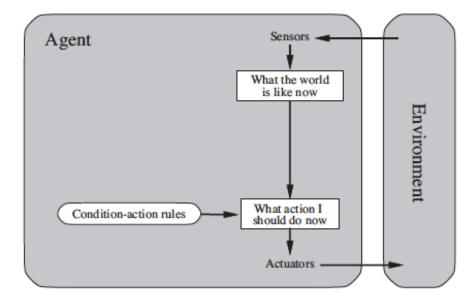
Last time: Agent View of Al

- An agent is anything that can be viewed as perceiving its environment through sensors and acting upon that environment through actuators.
- Examples
 - Alexa
 - Robotic system
 - Refinery controller
 - Question answering system
 - Crossword puzzle solver
 - •



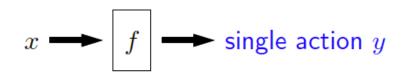
Simple Reflex Agents

- A Reflex Agent
 - Selects action based on the current percept.
 - Directly map states to actions.
- Operate using condition-action rules.
 - If (condition) then (action)
- Example:
 - An autonomous car that is avoiding obstacles.
 - If (car-in-front-is-braking) then (initiate-braking)
- Problem: no notion of goals
 - The autonomous car cannot take actions that will lead to an intended destination.



From Reflex to Problem Solving Agents

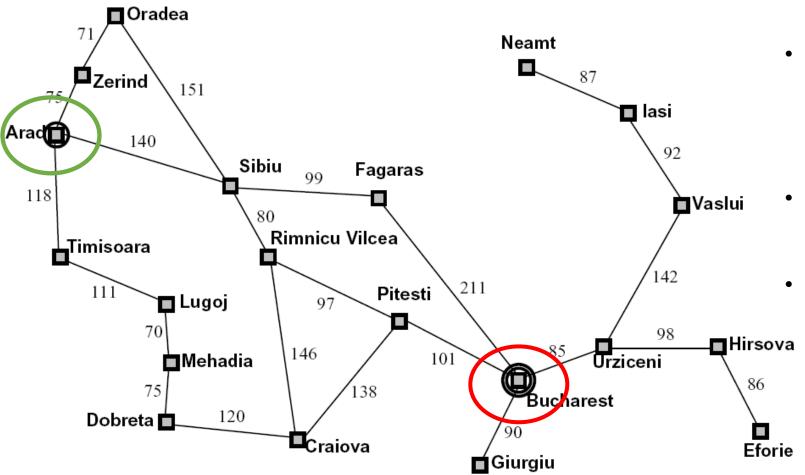
- Reflex agents
 - Directly map states to actions.
 - No notion of goals. Do not consider action consequences.



- Problem Solving Agents
 - Adopt a goal
 - Consider <u>future actions</u> and the desirability of their outcomes
 - Solution: a sequence of actions that the agent can execute leading to the goal.
 - Today's focus.



Example – Route Finding



Problem:

 Find a solution i.e., a sequence of actions (road traversals) that can take the agent to the destination in minimum time.

Search

- Process of looking for a sequence of actions that reaches the goal
- Note: as we will see search problems are more general than path finding.

Search Problem Formulation

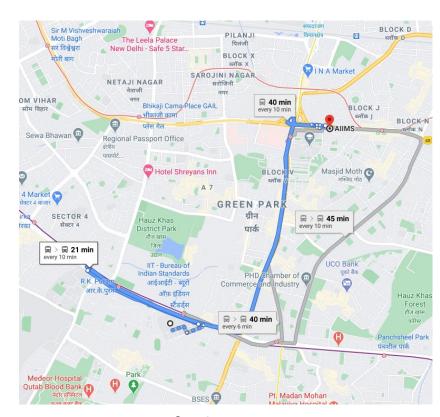
Many problems in AI can be modeled as search problems.

- State space S: all possible configurations of the domain of interest
- An initial (start) state $s_0 \in S$
- Goal states $G \subset S$: the set of end states
 - Often defined by a goal test rather than enumerating a set of states
- Operators A: the actions available
 - Often defined in terms of a mapping from a state to its successor

Transition model or successor function

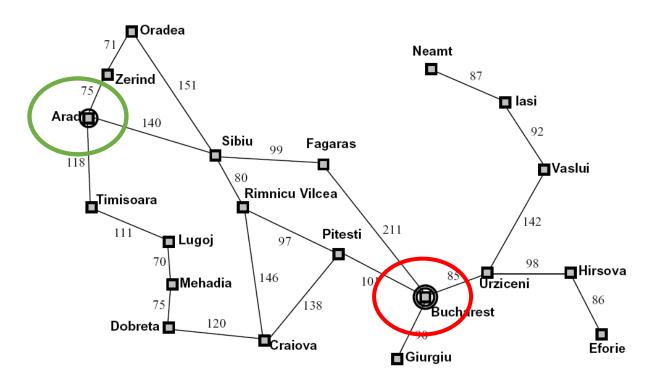
Formulating a Search Problem

- Path: a sequence of states and operators
- Path cost: a number associated with any path
 - Measures the quality of the path
 - Usually the smaller, the better
- Find a solution which is a sequence of actions that transforms the start state to a goal state.
- Search is the process of looking for a sequence of actions that reaches the goal.



Route finding in a map.

Example – Route Finding

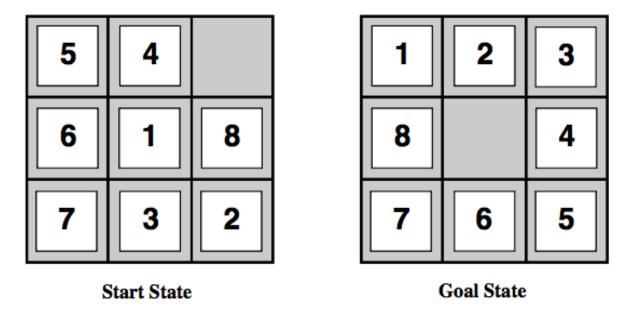


- State space:
 - All the cities on the map.
- Actions:
 - Traversing a road: Going to an adjacent city.
- Cost:
 - Distance along the road
- Start state:
 - Arad
- Goal test:
 - Is state == Bucharest?

Modeling Assumptions

- Environment is observable
 - The agent always knows it current state.
- Discrete states and actions
 - Finite number of cities.
 - At any given state there are a finite number of actions.
- Known and Deterministic action outcomes
 - The agent knows which sates are reached by each action.
 - Action has exactly one outcome when applied to a state.

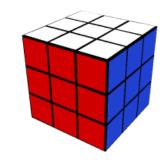
Example – The Eight Puzzle

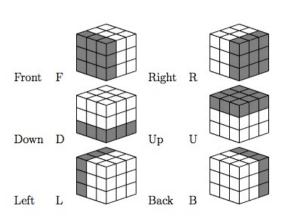


- States: configurations of the puzzle
- Goals: target configuration
- Operators: swap the blank with an adjacent tile
- Path cost: number of moves

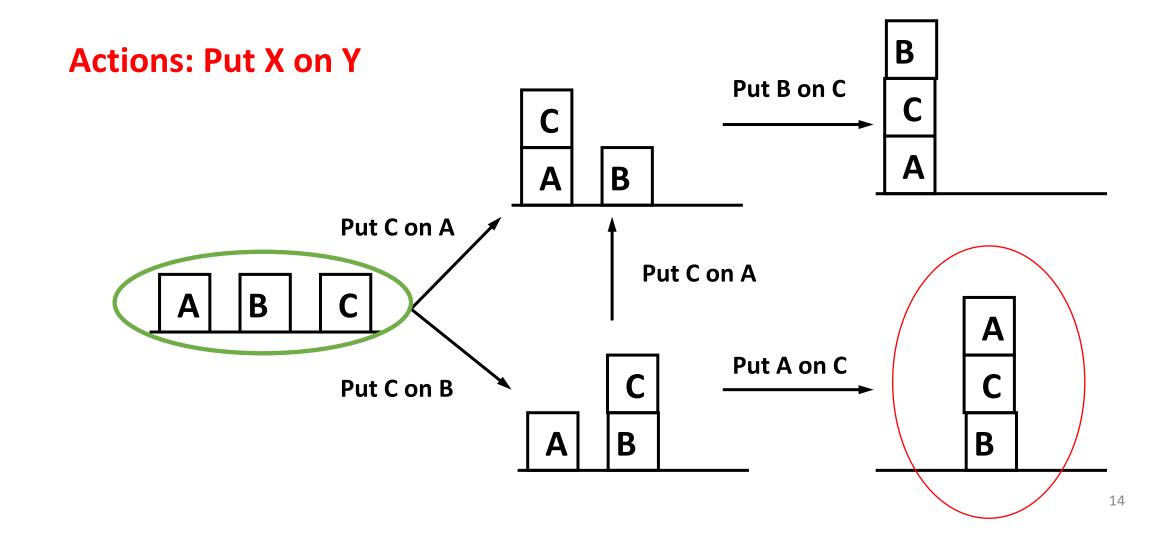
Example: Rubric's Cube

- Setup
 - Single goal state (correction)
 - Large number of possible states (4.3 x 10^19 states).
 - Randomly exploring is unlikely to give a solution.
 - Different ways to represent actions.
 - https://solvethecube.com/notation
 - Modern attempts at solving (advanced techniques)
 - https://www.nature.com/articles/s42256-019-0070-z
 - Combination of classical search and learning based approaches.
 - There is fundamental symmetries and structure



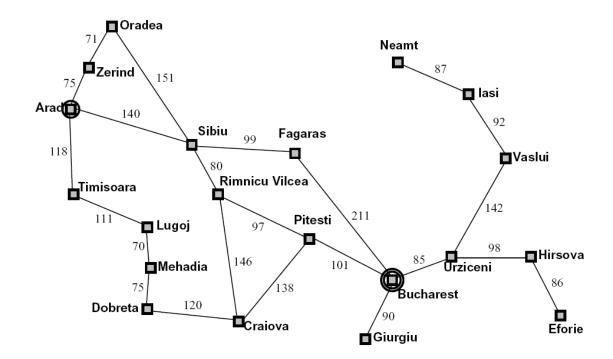


Example – Block Manipulation



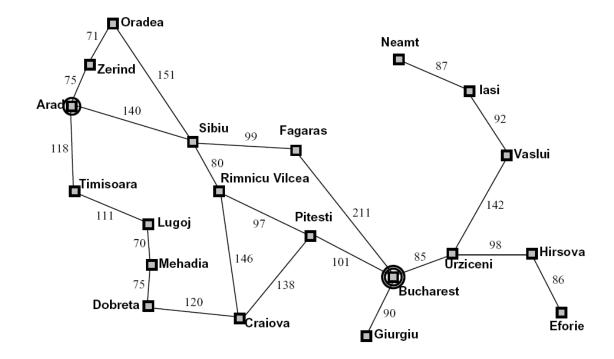
State Space Graphs

- A representation of a search problem
 - Nodes are (abstracted) world configurations
 - Arcs represent successors (action results)
 - The goal test is a set of goal nodes (maybe only one)
 - Each state occurs only once
- The full graph is usually too large.
- The graph is built and explored implicitly by applying actions on states.

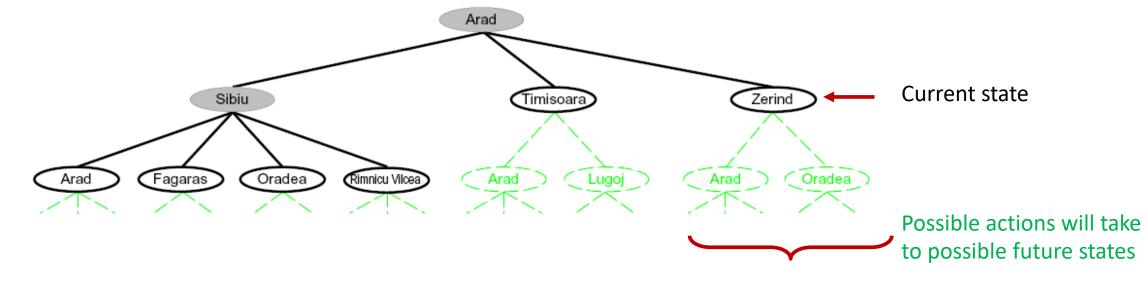


Searching for a solution

- Once the problem is formulated, need to solve it.
- Solution action sequences. Search algorithms work by considering various possible action sequences.



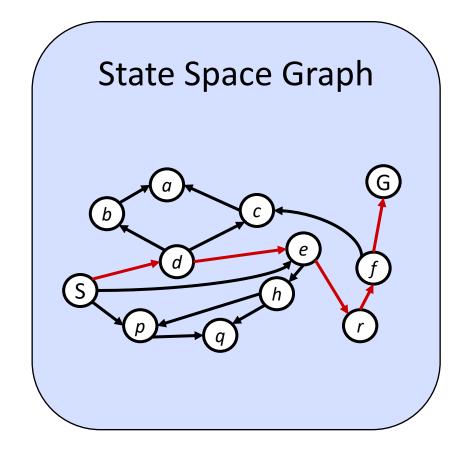
Search Trees

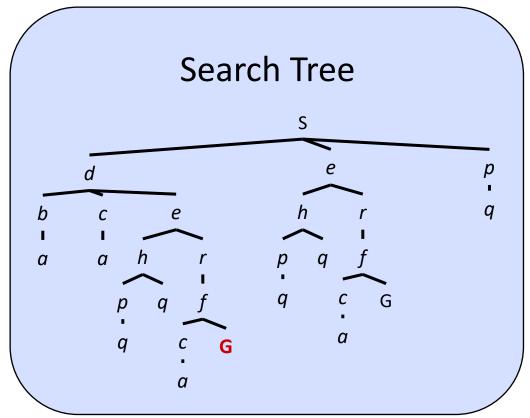


- A search tree: A "what if" tree of plans and their outcomes
 - The start state is the root node
 - Check if the node contains the goal.
 - Other wise, "expand" the node
 - Apply legal actions on the current state to generate new set of states.
 - Frontier
 - All the nodes available for expansion.
 - In a Search Tree, nodes show states, but correspond to PLANS that achieve those states

State Space Graph vs. Search Tree

- Each NODE in in the search tree is an entire PATH in the state space graph.
- Construct both on demand and construct as little as possible.





Tree Search

```
function TREE-SEARCH( problem, strategy) returns a solution, or failure initialize the search tree using the initial state of problem loop do

if there are no candidates for expansion then return failure choose a leaf node for expansion according to strategy

if the node contains a goal state then return the corresponding solution else expand the node and add the resulting nodes to the search tree end
```

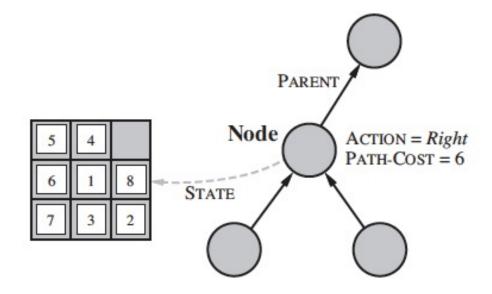
Infrastructure for Search Algorithms

Defining a search node:

- Each node contains a state
- Node also contains additional information, e.g.:
 - * The parent state and the operator used to generate it
 - * Cost of the path so far
 - * Depth of the node

Expanding a node:

- Applying all legal operators to the state contained in the node
- Generating nodes for all the corresponding successor states.



function CHILD-NODE(problem, parent, action) returns a node return a node with

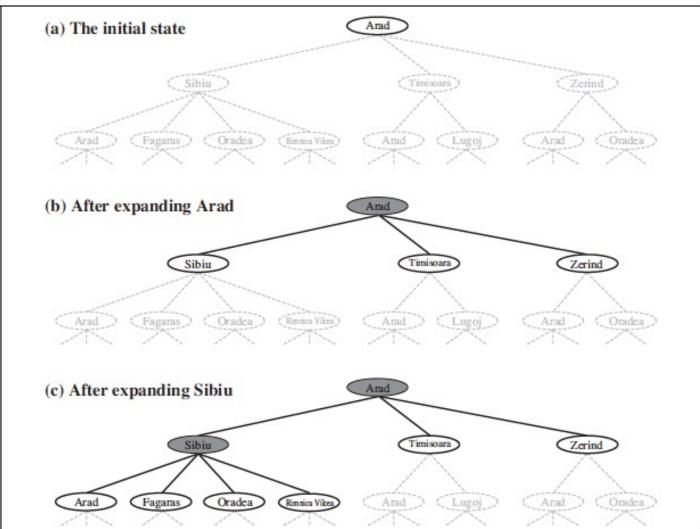
STATE = problem.RESULT(parent.STATE, action),

PARENT = parent, ACTION = action,

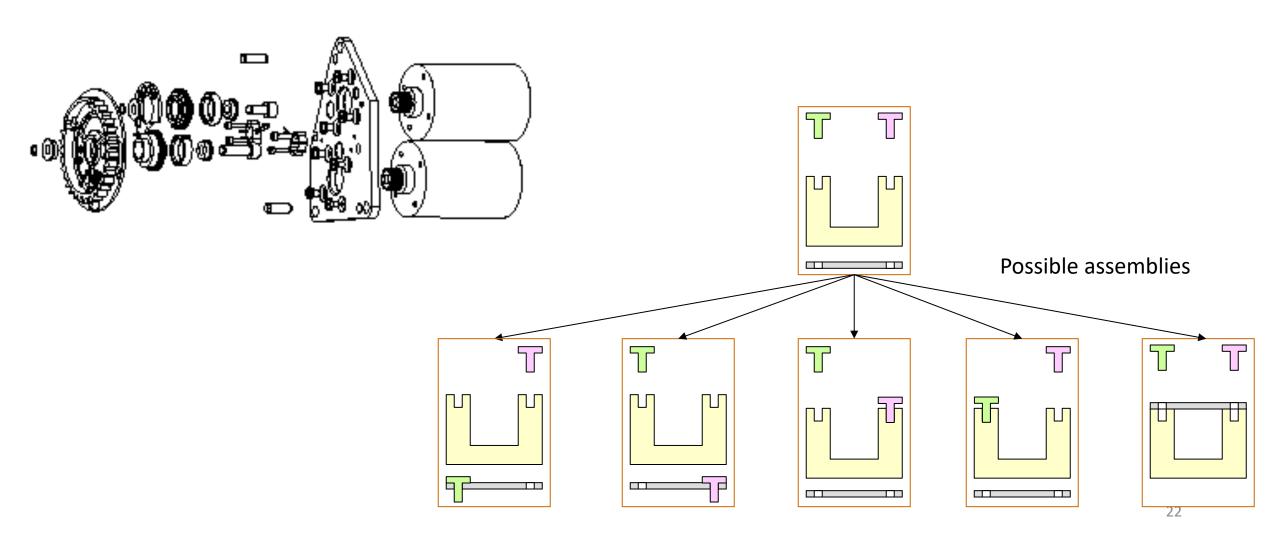
PATH-COST = parent.PATH-COST + problem.STEP-COST(parent.STATE, action)

Search Tree

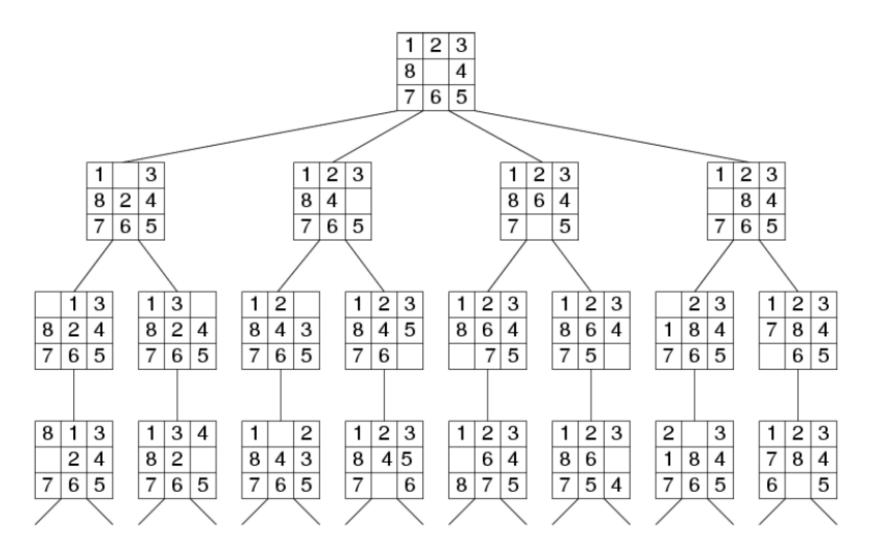
- The process of expansion while constructing the search tree.
 - Note that Arad appears again.
- Loops lead to redundancy
 - Why? Path costs are additive.
- Can we remember which nodes were expanded?



Examples – Assembly Planning

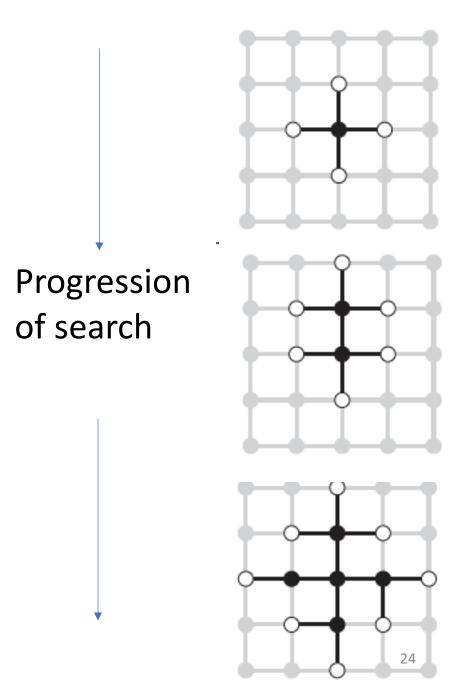


The Eight Puzzle – State Space (Fragment)



Notion of a Frontier

- How to manage generated nodes?
 - Need a data structure for managing nodes as they are generated.
 - Queue (characterized by the order win which they store the inserted nodes).
- Frontier
 - Separates the explored and unexplored nodes.
 - Also called open list
- Search Strategy
 - Search algorithms vary in their "strategy" to decide which nodes to explore?
 - We will see examples soon.



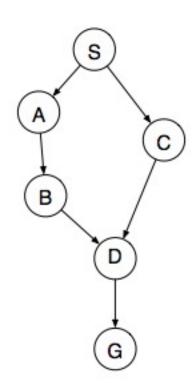
Repeated States

- Reversible actions can lead to repeated states.
 - Reversible actions, e.g., the 8 puzzle, tower of hanoi or route finding.
- Lead to loopy or redundant* paths in the tree search.

Towers of Hanoi problem

Revisiting States

- What if we revisit a state that was already expanded? Redundancy.
- Maintain an explored set (or closed list) to store every expanded node
 - Worst-case time and space requirements are O(|S|) where |S| is the number of states.



Graph Search

function GRAPH-SEARCH(problem) returns a solution, or failure initialize the frontier using the initial state of problem

initialize the explored set to be empty

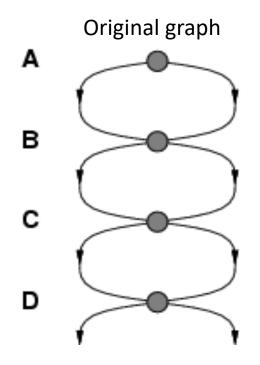
loop do

if the frontier is empty then return failure choose a leaf node and remove it from the frontier

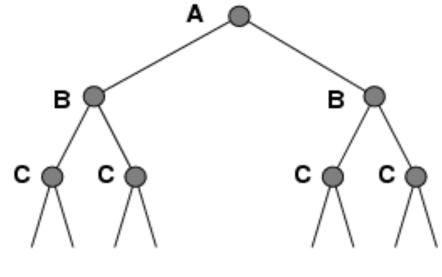
if the node contains a goal state then return the corresponding solution add the node to the explored set expand the chosen node, adding the resulting nodes to the frontier only if not in the frontier or explored set

Newly generated nodes that match the frontier nodes or expanded nodes are discarded.

Importance of detecting repeated states



Search tree without checking revisited states.



If we did not check for duplicate states, then the tree size is exponential in the number of states. If we do check for repeated states, then our tree is much smaller (linear).

Handling Repeated States – Remember all the visited nodes

- Never generate states that have already been generated before.
- Maintain an explored list (Graph search)
- Optimal approach
- Memory inefficient, why?
 - Exponential number of nodes in the tree
 - E.g., 8-puzzle problem, we have 9! = 362,880 states.
 - Duplicate checking of states also adds time.

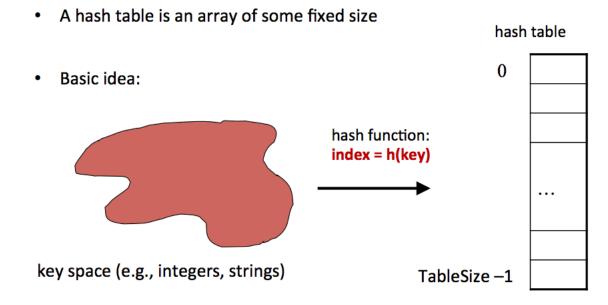
function GRAPH-SEARCH(problem) returns a solution, or failure initialize the frontier using the initial state of problem initialize the explored set to be empty loop do

if the frontier is empty then return failure choose a leaf node and remove it from the frontier if the node contains a goal state then return the corresponding solution add the node to the explored set expand the chosen node, adding the resulting nodes to the frontier only if not in the frontier or explored set

Handling Repeated States — Use efficient data structures

- Use efficient data structures to keep the explored nodes.
- Hash Tables
 - Insertion and look up in constant time.
- Duplicate checking
 - Canonical form, sorted list or other efficient methods.

- Aim for constant-time (i.e., O(1)) find, insert, and delete
 - "On average" under some often-reasonable assumptions

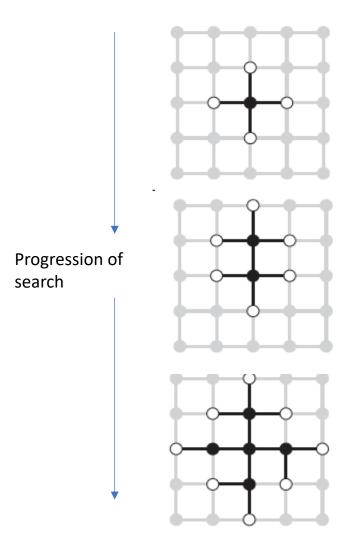


Handling Repeated States – Check for some of the cases

- Never return to the state you have just come from
 - Prevent the node expansion function from generating any node successor that is the same state as the node's parent.
- Never create search paths with cycles in them
 - The node expansion function must be prevented from generating any node successor that is the same state as any of the node's ancestors
- Practical techniques but sub-optimal

Search Algorithms

- The strategy for exploration of nodes leads to a variety of search algorithms
- Uninformed Search
 - Only use information about the state in the problem definition.
 - Generate successors and distinguish goal states from no-goal states.
- Informed Search
 - Use problem-specific knowledge beyond the problem definition
 - Heuristics for more efficient search



Properties of Search Algorithms

Completeness

- Is the search algorithm guaranteed to find a solution when there is one?
- Should not happen that there is a solution but the algorithm does not find it (e.g., infinite loop in a part of the state space)

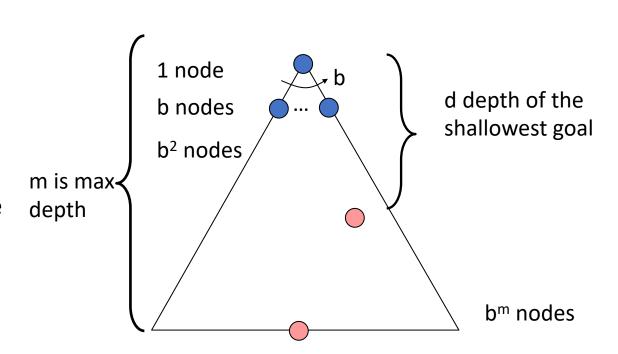
Optimality

- Is the plan returned by the search algorithm the optimal?
- Time Complexity
 - The number of nodes generated during search.
- Space Complexity
 - The maximum number of nodes stored in memory during search.

Measuring problem-solving performance

Cartoon of search tree:

- b is the branching factor
- m is the maximum depth
- solutions at various depths
- d is the depth of the shallowest goal node
- Number of nodes in entire tree?
 - $1 + b + b^2 + b^m = O(b^m)$
 - Each node can generate the b new nodes



Search Algorithms

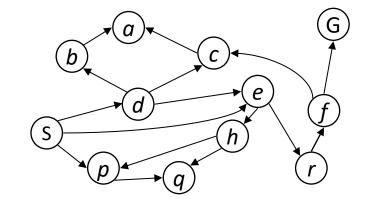
- The strategy for exploration of nodes leads to a variety of search algorithms
- Uninformed Search
 - Only use information about the state in the problem definition.
 - Generate successors and distinguish goal states from no-goal states.
- Informed Search
 - Use problem-specific knowledge beyond the problem definition
 - Heuristics for more efficient search

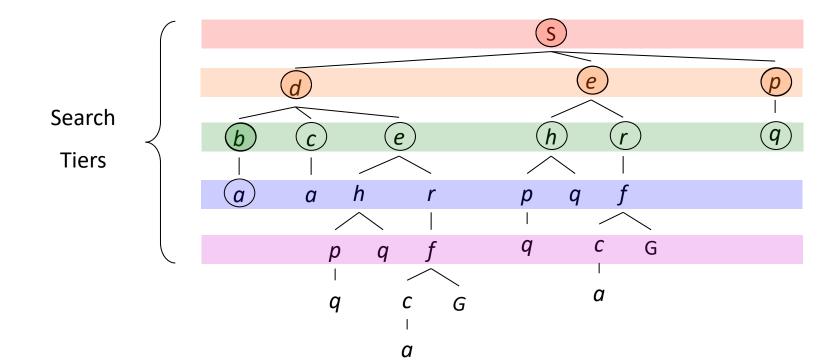
Breadth-First Search (BFS)

Strategy: expand a shallowest unexplored node first.

All the successors of a node are expanded, then their successors and so on.

Implementation: Frontier is a FIFO queue





Breadth First Search (BFS) Properties

Expansion Strategy

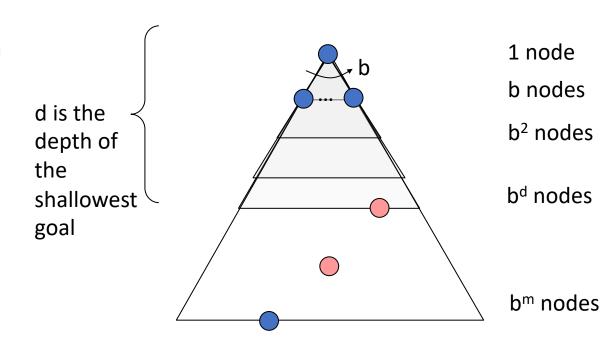
• Expands the **shallowest** unexplored node in the frontier of the search tree.

Time Complexity

Search takes time O(b^d)

Space Complexity

- Frontier nodes O(b^d)
- Explored nodes O(bd-1)
- Memory requirement is a problem.



Breadth First Search (BFS) Properties

Depth	Nodes	Time		Memory	
0	1	1	millisecond	100	bytes
2	111	.1	seconds	11	kilobytes
4	11,111	11	seconds	1	megabyte
6	10 ⁶	18	minutes	111	megabytes
8	108	31	hours	11	gigabytes
10	1010	128	days	1	terabyte
12	1012	35	years	111	terabytes
14	1014	3500	years	11,111	terabytes

Time and memory requirements for BFS. Branching factor b = 10. 1 million nodes per second and 100 bytes per node.

Take away - Memory requirement is a big problem for BFS.

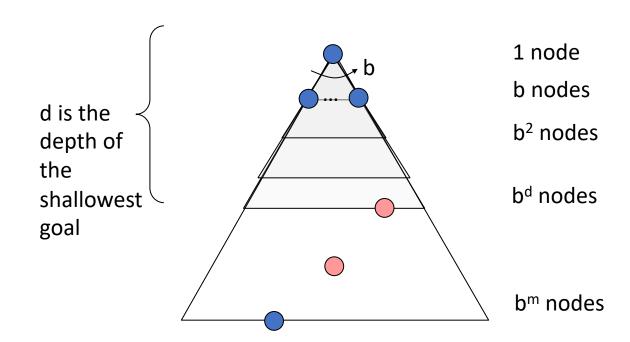
Breadth First Search (BFS) Properties

• Is it complete?

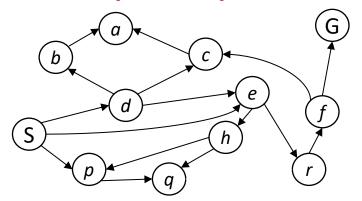
- Yes.
- The shallowest goal is at a finite depth, d
- If the branching factor, b, is finite then BFS will find it.

Is it optimal?

- **Yes.** If the path cost is a non-decreasing function of depth.
 - For example, if all edge costs are equal.



Depth-First Search (DFS)

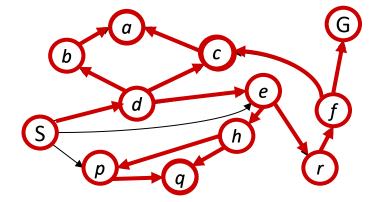


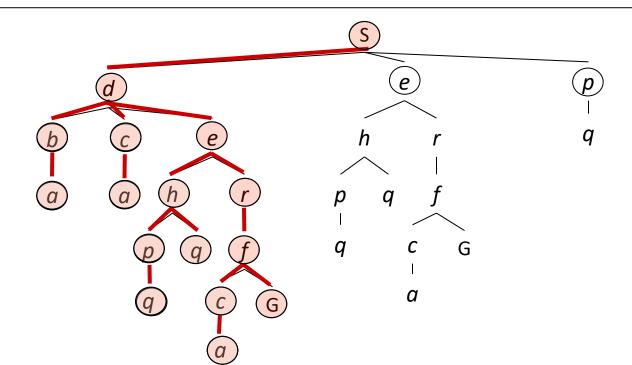
Depth-First Search (DFS)

Strategy: expand a deepest node first

Implementation: Frontier is a LIFO

stack





Depth First Search (DFS) Properties

Expansion Strategy

 Expands the deepest unexplored node in the frontier of the search tree

Time Complexity

- Worst case: processes the whole tree.
- If m is finite, takes time O(b^m)

Space Complexity

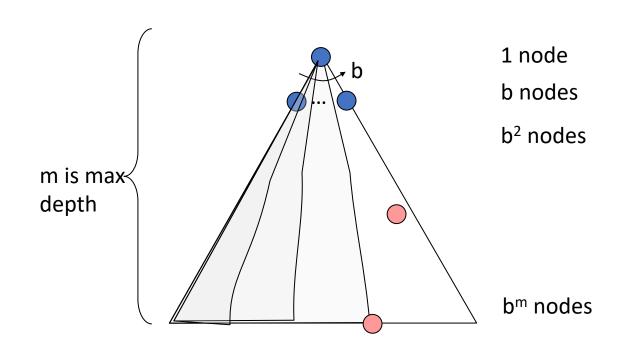
- Frontier stores:
 - Single path from the root to the leaf node.
 - Sibling nodes on the path that are unexplored.
- Memory requirement is low O(bm)

Is it complete?

• Yes, if m is finite. Eventually, finds the path.

• Is it optimal?

 No, it finds the "leftmost" solution, regardless of depth or cost



Note: Variant of graph search where the goal test is applied at node generation time. Space saving.

Reducing DFS memory requirements

- Backtracking search
 - Only one successor is generated at a time rather than all successors O(1)
 - Each partially expanded node remembers which successor to generate next.
 - Memory saving by *modifying* the current state description directly rather than copying.

Depth-Limited Search

Problem

 Depth First Search fails when the maximum goal depth is not known ahead of time for a domain

Solution

Depth Limited Search

- Restrict the depth of search (supply a depth limit, I)
- Search depth-first, but terminate a path either if a goal state is found or if the maximum allowed depth is reached.
- Equivalently, nodes at level I have no successors.

Depth Limited Search (DLS) Properties

Termination

Always terminates.

Time Complexity

- Worst case: processes the whole tree till I.
- Time O(b^l)

Space Complexity

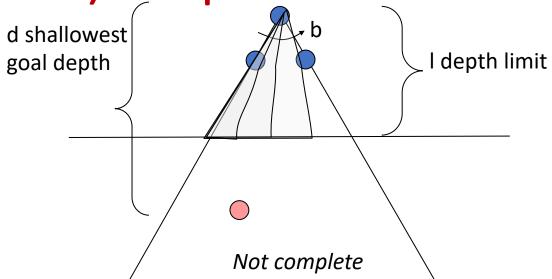
- Frontier is managed like Depth First Search.
- Memory O(bl).

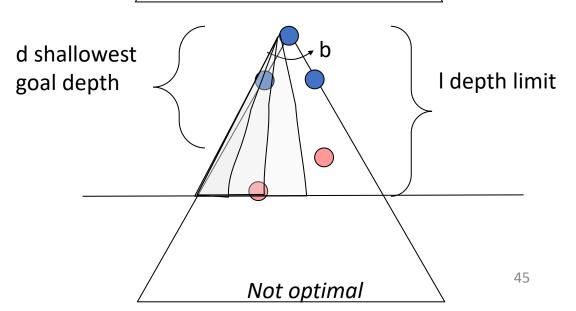
Is it complete?

 Not complete when goal depth is greater than the limit (d>l)

Is it optimal?

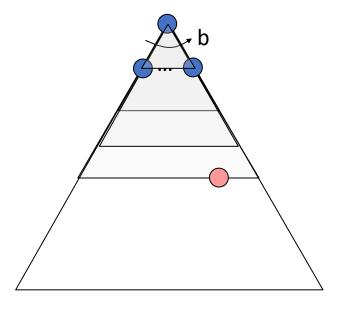
 Not optimal when the limit is greater than the goal depth (I > d)





Iterative Deepening Search

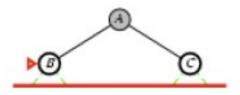
- Combine DFS's space advantage with BFS's shallow-solution advantages
 - Run a DLS with depth limit 1. If no solution...
 - Run a DLS with depth limit 2. If no solution...
 - Run a DLS with depth limit 3.

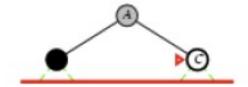


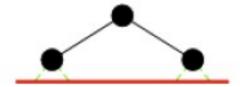
```
\begin{aligned} &\textbf{function} \ \ \textbf{ITERATIVE-DEEPENING-SEARCH}(\textit{problem}) \ \textbf{returns} \ a \ solution, or \ failure \\ &\textbf{for} \ \textit{depth} = 0 \ \textbf{to} \ \infty \ \textbf{do} \\ &\textit{result} \leftarrow \textbf{DEPTH-LIMITED-SEARCH}(\textit{problem}, \textit{depth}) \\ &\textbf{if} \ \textit{result} \neq \textbf{cutoff} \ \textbf{then} \ \textbf{return} \ \textit{result} \end{aligned}
```

Iterative Deepening: Example

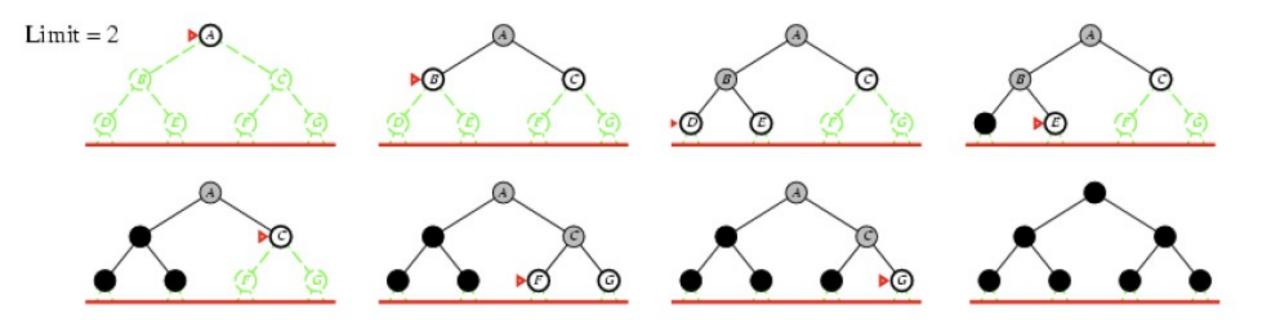




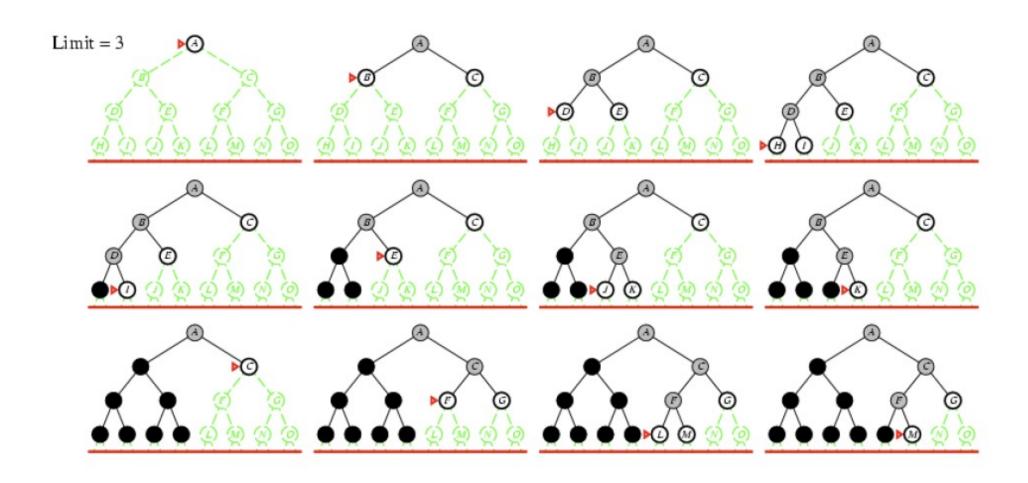




Iterative Deepening: Example



Iterative Deepening: Example



Iterative Deepening: Pseudo-code

```
function ITERATIVE-DEEPENING-SEARCH(problem) returns a solution node or failure
  for depth = 0 to \infty do
    result \leftarrow Depth-Limited-Search(problem, depth)
    if result \neq cutoff then return result
function DEPTH-LIMITED-SEARCH(problem, \ell) returns a node or failure or cutoff
  frontier \leftarrow a LIFO queue (stack) with NODE(problem.INITIAL) as an element
  result \leftarrow failure
  while not Is-EMPTY(frontier) do
    node \leftarrow Pop(frontier)
    if problem.IS-GOAL(node.STATE) then return node
    if DEPTH(node) > \ell then
       result \leftarrow cutoff
    else if not IS-CYCLE(node) do
       for each child in EXPAND(problem, node) do
         add child to frontier
  return result
```

Figure 3.12 Iterative deepening and depth-limited tree-like search. Iterative deepening repeatedly applies depth-limited search with increasing limits. It returns one of three different types of values: either a solution node; or failure, when it has exhausted all nodes and proved there is no solution at any depth; or cutoff, to mean there might be a solution at a deeper depth than ℓ . This is a tree-like search algorithm that does not keep track of reached states, and thus uses much less memory than best-first search, but runs the risk of visiting the same state multiple times on different paths. Also, if the Is-CYCLE check does not check all cycles, then the algorithm may get caught in a loop.

Pseudo-code from AIMAO4th edition. Pseduo-code is online.

Iterative Deepening: Properties

- Is it wasteful to generate nodes again and again?
 - Not really!
 - The lowest level contributes the maximum. Overhead is not significant in practice.
- Asymptotic time complexity is same as BFS: O(b^d)

 Number of nodes generated in a depth-limited search to depth d with branching factor b:

•
$$N_{DIS} = b^0 + b^1 + b^2 + ... + b^{d-2} + b^{d-1} + b^d$$

 Number of nodes generated in an iterative deepening search to depth d with branching factor b:

•
$$N_{IDS} = (d+1)b^0 + db^{-1} + (d-1)b^{-2} + ... + 3b^{d-2} + 2b^{d-1} + 1b^d$$

• Asymptotic ratio: (b+1)/(b-1)

No. of times generated.

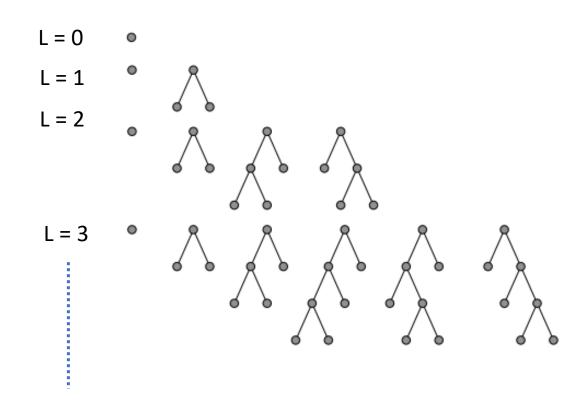
- For b = 10, d = 5,
 - N_{DLS} = 1 + 10 + 100 + 1,000 + 10,000 + 100,000 = 111,111

$$-$$
 N_{IDS} = 6 + 50 + 400 + 3,000 + 20,000 + 100,000 = 123,456

• Overhead = (123,456 - 111,111)/111,111 = 11%

Iterative Deepening Properties

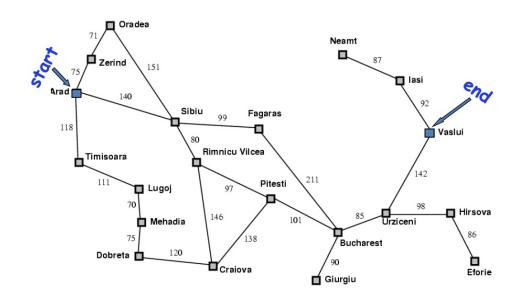
- Time Complexity
 - Time O(b^d)
- Space Complexity
 - Memory O(bd)
 - Linear memory requirement like DFS
- Is it complete?
 - Yes. Complete like BFS
- Is it optimal?
 - Yes. Optimal like BFS (if costs are nondecreasing function of path length)
- Relevance
 - Preferred method for large state spaces where maximum depth of a solution is unknown

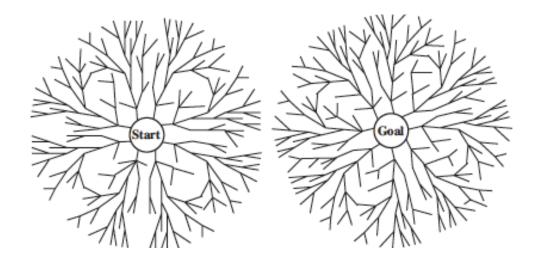


Two small search trees instead of one large?

Bi-directional Search

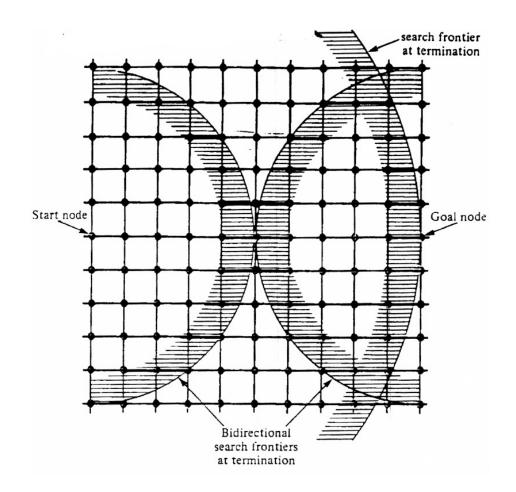
- Run one search forward from the initial state.
- Run another search backward from the goal.
- Stop when the two searches meet in the middle.





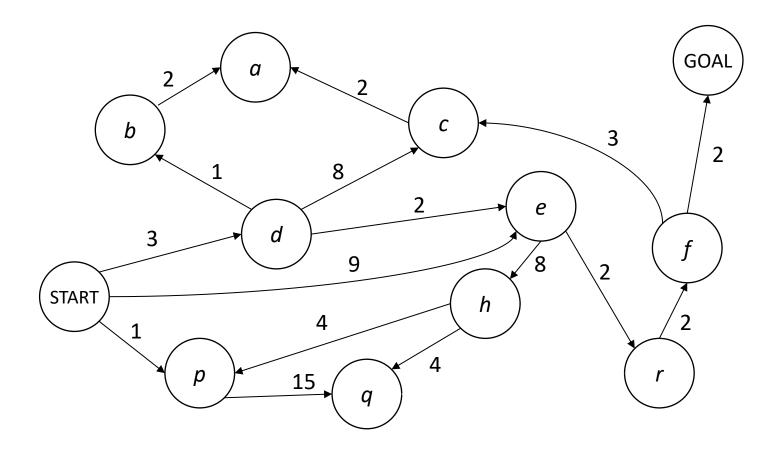
Bi-directional Search

- Space and time complexity
 - O(b^{d/2})
 - b^{d/2} + b^{d/2} is smaller than b^d
 - $10^8 + 10^8 = 2.10^8 << 10^{16}$
- Needs an efficiently computable *Predecessor()* function
 - Difficult: e.g., predecessors of checkmate in chess?
- What if there are several goal states?
 - Create a new dummy goal state whose predecessors are the actual goal states.



Guiding search by costs instead of depth

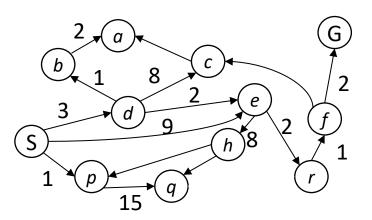
- Till now, the cost on the edges was not considered
 - Worked with solution depths.
- Solution was found in terms of number of actions.
 - Did not find the least-cost path.
 - BFS, DFS
- Next
 - Cost-sensitive search



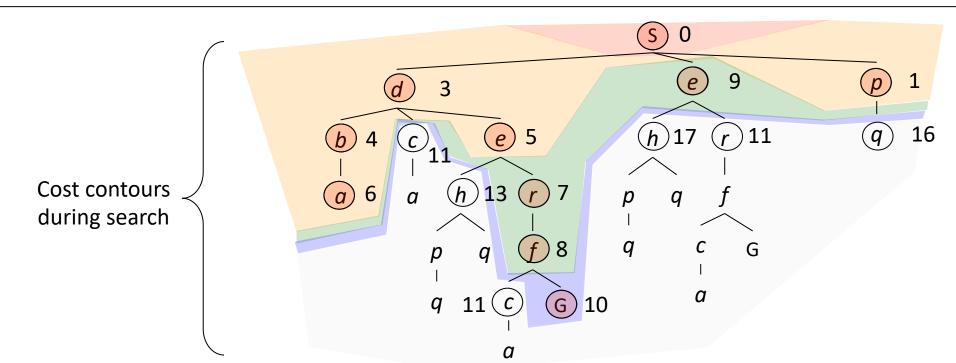
Uniform Cost Search (UCS)

Strategy: expand a "cheapest" cost node first:

Frontier is a Priority Queue (Priority: cumulative cost so far)



Intuition, the low-cost plans should be pursued first.



Uniform Cost Search (UCS)

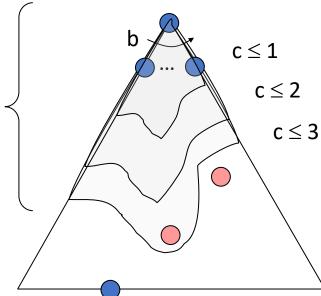
- The first goal node generated may be on the sub-optimal path.
- If the new path is better than the old path, then discard the old one.

Uniform Cost Search (UCS) Properties

- What nodes does UCS expand?
 - Guided by costs and not the depth.
 - If that solution costs C^* and action cost at least ε , then the "effective depth" is roughly C^*/ε
 - Takes time $O(b^{C*/\epsilon})$ (exponential in effective depth)

 C^*/ε "tiers"

- How much space does the frontier take?
 - O(b^{C*/ε})
- Is it complete?
 - **Yes.** Assuming best solution has a finite cost and minimum arc cost is positive, yes!
- Is it optimal?
 - Yes. (Proof via contradiction)



What if we bound the frontier size?



- Keep a maximum size of the frontier.
 - Only keep the k best candidates for expansion, discard the rest.
- Advantage:
 - More space efficient
- Disadvantage
 - May throw away a node that is on the solution path
- Complete? No.
- Optimal? No.
- Very popular in practice

Summary Table

Criterion	Breadth-	Uniform-	Depth-	Depth-	Iterative
	First	Cost	First	Limited	Deepening
Complete?	Yes	Yes	No	No	Yes
Time	$O(b^{d+1})$	$O(b^{\lceil C^*/\epsilon ceil})$	$O(b^m)$	$O(b^l)$	$O(b^d)$
Space	$O(b^{d+1})$	$O(b^{\lceil C^*/\epsilon ceil})$	O(bm)	O(bl)	O(bd)
Optimal?	Yes	Yes	No	No	Yes

- The comparison is for the tree-search version of the algorithms.
- For graph searches, DFS is complete for finite state spaces and that the space time complexities are bounded by the size of the state space.
- Source: AIMA

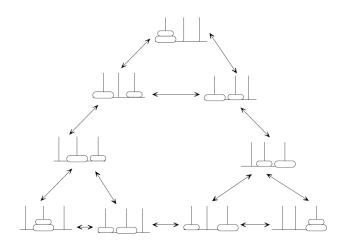
Search Algorithms

- The strategy for exploration of nodes leads to a variety of search algorithms
- Uninformed Search
 - Only use information about the state in the problem definition.
 - Generate successors and distinguish goal states from no-goal states.
- Informed Search
 - Use problem-specific knowledge beyond the problem definition
 - Heuristics for more efficient search

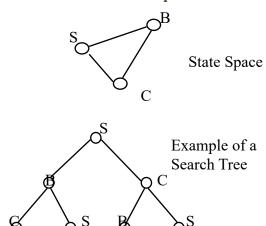
Repeated States Burden Search Algorithms

- What causes states to appear again during search?
 - Reversible actions can lead to repeated states.
 - Reversible actions, e.g., the 8 puzzle, tower of hanoi or route finding.
 - Genuine multiple paths to the goal.
- Lead to more than one way to arrive at a node. Adds additional plans to explore within the search process.
- However, they are longer and hence redundant hence not useful.
- How to not consider redundant paths in the tree search.
 - Remember all the nodes that have been explored. In addition to these nodes, also check nodes on the frontier before adding a new solution path for consideration.
- Let's think: What else can be done to either not generate solution candidates that we know will be longer or in general make the process of checking for loops faster?

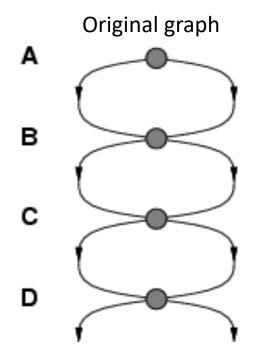
Towers of Hanoi problem



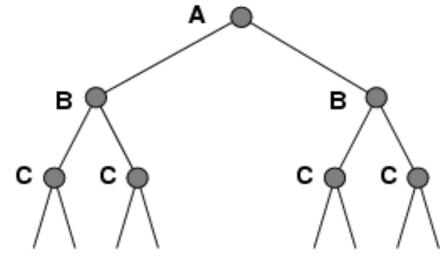
Route traversal problem



Revisited states can significant increase search complexity



Search tree without checking revisited states.



If we did not check for duplicate states, then the tree size is exponential in the number of states. If we do check for repeated states, then our tree is much smaller (linear).

Handling Repeated States – Remember all the visited nodes

- Never generate states that have already been generated before.
- Maintain an explored list (Graph search)
- Optimal approach
- Memory inefficient, why?
 - Exponential number of nodes in the tree
 - E.g., 8-puzzle problem, we have 9! = 362,880 states.
 - Duplicate checking of states also adds time.

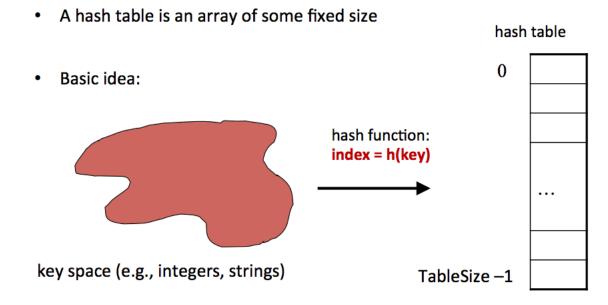
function GRAPH-SEARCH(problem) returns a solution, or failure initialize the frontier using the initial state of problem initialize the explored set to be empty loop do

if the frontier is empty then return failure choose a leaf node and remove it from the frontier if the node contains a goal state then return the corresponding solution add the node to the explored set expand the chosen node, adding the resulting nodes to the frontier only if not in the frontier or explored set

Handling Repeated States — Use efficient data structures

- Use efficient data structures to keep the explored nodes.
- Hash Tables
 - Insertion and look up in constant time.
- Duplicate checking
 - Canonical form, sorted list or other efficient methods.

- Aim for constant-time (i.e., O(1)) find, insert, and delete
 - "On average" under some often-reasonable assumptions

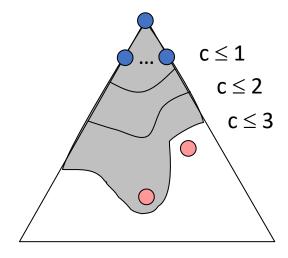


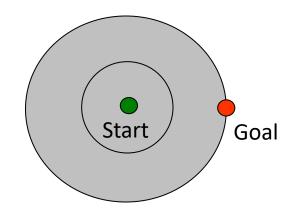
Handling Repeated States – Check for some of the cases

- Never return to the state you have just come from
 - Prevent the node expansion function from generating any node successor that is the same state as the node's parent.
- Never create search paths with cycles in them
 - The node expansion function must be prevented from generating any node successor that is the same state as any of the node's ancestors
- Practical techniques but sub-optimal

Problem with uninformed search

- Uninformed search explores options in every "direction"
 - For example, UCS explores increasing cost contours
- Does not make use the goal information.





Summary

- Algorithms that are given no information about the problem other than its definition.
 - No additional information about the state beyond that provided in the problem definition.
 - Generate successors and distinguish goal from non-goal states.
 - Hence, all we can do is move systematically between states until we stumble on a goal
 - Search methods are distinguished by the order in which the nodes are expanded.
- Next time: Informed (heuristic) search uses a guess on how close to the goal a state might be.