



# COL333/671: Introduction to AI

Semester I, 2024-25

## Local Search Algorithms

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# Outline

- Last Class
  - Informed Search
- This Class
  - Local Search Algorithms
- Reference Material
  - AIMA Ch. 4.1

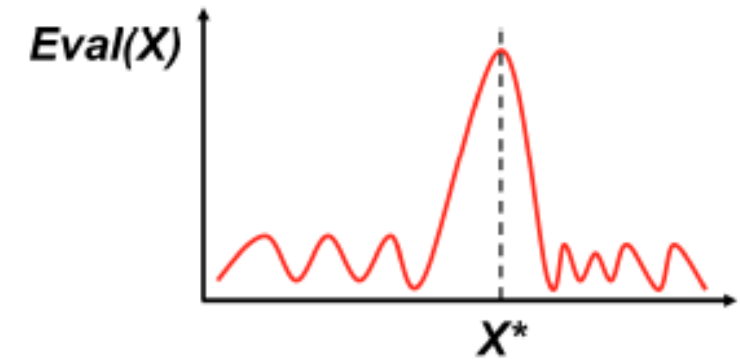
# Acknowledgement

**These slides are intended for teaching purposes only. Some material has been used/adapted from web sources and from slides by Doina Precup, Dorsa Sadigh, Percy Liang, Mausam, Dan Klein, Nicholas Roy and others.**

# Search Methods for Discrete Optimization

- **Setting**

- A set of discrete states,  $X$ .
- An objective/evaluation function assigns a “goodness” value to a state,  $Eval(X)$
- Problem is to search the state space for the state,  $X^*$  that maximizes the objective.



- **Searching for the optimal solution can be challenging. Why?**

- The number of states is very large.
  - Cannot simply enumerate all states and find the optimal.
- We can only evaluate the function.
  - Cannot write it down analytically and optimize it directly.

## Key Idea

- Searching for “the optimal” solution is very difficult.
- Question is whether we can search for a reasonably good solution.

# Example – Windmill Placements

## Problem: Optimizing the locations of windmills in a wind farm

- An area to place windmills.
- Location of windmills affects the others. Reduced efficiency for those in the wake of others.
- Grid the area into bins.
- A large number of configurations of windmills possible.
- Given a configuration we can evaluate the total efficiency of the farm.
- Can neither enumerate all configurations nor optimize the power efficiency function analytically.
- **Goal is to search for the configuration that maximizes the efficiency.**

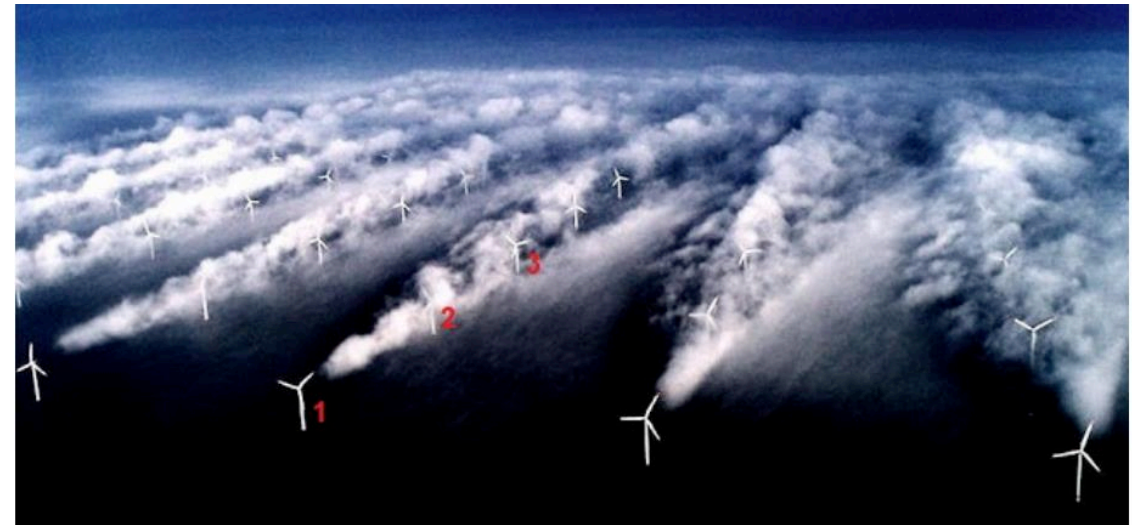
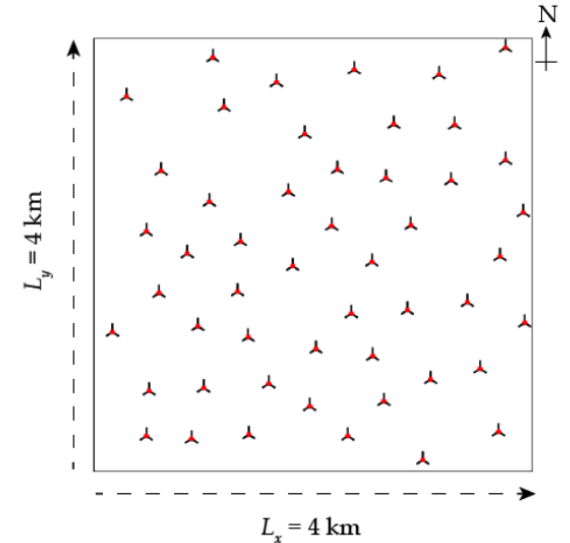


Figure 5: Turbines experiencing multiple wakes. As an example, turbine 3 is experiencing wake effects from both turbine 1 and 2. Image adopted from [4].

# Example: Conference Scheduling

ICRA 2024 Technical Program Tuesday May 14, 2024 [Tuesday](#) [Wednesday](#) [Thursday](#) [Next](#) [Top](#)  
Click on the day's program and use the arrow keys for easy horizontal and vertical scrolling

Keynote 1	Award Session 1	Keynote 2	Award Session 2	Keynote 3	Technical Session 1	Technical Session 2	Technical Session 3	Technical Session 4	Technical Session 5	Technical Session 6	Technical Session 7
	10:30-12:00 CC-Main Hall Award Session TuAA1-CC  <a href="#">Automation</a>		10:30-12:00 CC-301 Award Session TuAA2-CC  <a href="#">Cognitive Robotics</a>		10:30-12:00 CC-303 Oral Session TuAT1-CC  <a href="#">Planning under Uncertainty I</a>	10:30-12:00 CC-311 Oral Session TuAT2-CC  <a href="#">Mechanism Design I</a>	10:30-12:00 CC-313 Oral Session TuAT3-CC  <a href="#">Formal Methods in Robotics and Automation I</a>	10:30-12:00 CC-315 Oral Session TuAT4-CC  <a href="#">Multi-Robot Systems I</a>	10:30-12:00 CC-411 Oral Session TuAT5-CC  <a href="#">Sensors and Audition</a>	10:30-12:00 CC-414 Oral Session TuAT6-CC  <a href="#">2D/3D Visual Perception</a>	
	13:30-15:00 CC-Main Hall Award Session TuBA1-CC  <a href="#">Human-Robot Interaction</a>		13:30-15:00 CC-301 Award Session TuBA2-CC  <a href="#">Mechanisms and Design</a>		13:30-15:00 CC-303 Oral Session TuBT1-CC  <a href="#">Planning under Uncertainty II</a>	13:30-15:00 CC-311 Oral Session TuBT2-CC  <a href="#">Mechanism Design II</a>	13:30-15:00 CC-313 Oral Session TuBT3-CC  <a href="#">Formal Methods in Robotics and Automation II</a>	13:30-15:00 CC-315 Oral Session TuBT4-CC  <a href="#">Multi-Robot Systems II</a>	13:30-15:00 CC-411 Oral Session TuBT5-CC  <a href="#">Vision Systems</a>	13:30-15:00 CC-414 Oral Session TuBT6-CC  <a href="#">RGB-D Sensing and Perception I</a>	
15:30-16:00 National Convention Hall Keynote Session TuKN1-CC		15:30-16:00 CC-Main Hall Keynote Session TuKN2-CC		15:30-16:00 CC-301 Keynote Session TuKN3-CC							

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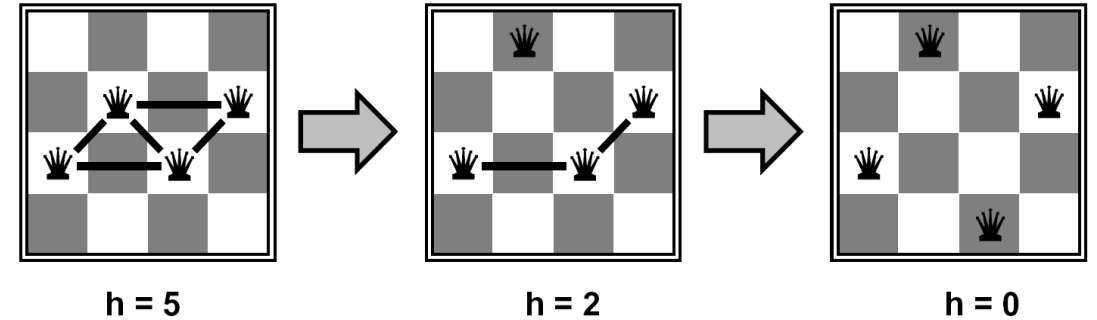
MON 6 MAY	TUE 7 MAY	WED 8 MAY	THU 9 MAY	FRI 10 MAY	SAT 11 MAY
10 p.m. <a href="#">Registration Desk</a> (ends 10:00 AM)	12:30 a.m. Break: <a href="#">Coffee Break</a> (ends 1:00 AM)	12:30 a.m. Break: <a href="#">Coffee Break</a> (ends 1:00 AM)	12:30 a.m. Break: <a href="#">Coffee Break</a> (ends 1:00 AM)	12:30 a.m. Break: <a href="#">Coffee Break</a> (ends 1:00 AM)	midnight <a href="#">Workshop: Machine Learning for Genomics Explorations (MLGenX)</a> (ends 8:00 AM)
11:15 p.m. Remarks: <a href="#">Opening Remark</a> (ends 11:30 PM)	1 a.m. <a href="#">Oral 1A</a> • Predictive auxiliary objectives in deep RL mimic learning in the brain • ClimODE: Climate and Weather Forecasting with Physics-informed Neural ODEs • Protein Discovery with Discrete Walk-Jump Sampling (ends 1:45 AM)	1 a.m. <a href="#">Oral 3A</a> • LoFTQ: LoRA-Fine-Tuning-aware Quantization for Large Language Models • Phenomenal Yet Puzzling: Testing Inductive Reasoning Capabilities of Language Models with Hypothesis Refinement • ReLU Strikes Back: Exploiting Activation Sparsity in Large Language Models (ends 1:45 AM)	1 a.m. <a href="#">Oral 5A</a> • Generalization in diffusion models arises from geometry-adaptive harmonic representations • Diffusion Model for Dense Matching • Generative Modeling with Phase Stochastic Bridge (ends 1:45 AM)	1 a.m. <a href="#">Oral 7A</a> • Small-scale proxies for large-scale Transformer training instabilities • An Analytical Solution to Gauss-Newton Loss for Direct Image Alignment • Statistically Optimal $K$ -means Clustering via Nonnegative Low-rank Semidefinite Programming (ends 1:45 AM)	<a href="#">Workshop: Bridging the Gap Between Practice and Theory in Deep Learning</a> (ends 8:00 AM)
Remarks: <a href="#">Opening Remark</a> (ends 11:30 PM)	<a href="#">Oral 1B</a> • A Real-World WebAgent with Planning, Long Context Understanding, and Reasoning Feedback (ends 1:45 AM)	<a href="#">Oral 3B</a> • Unified Generative Models of 2D and 3D (ends 1:45 AM)	<a href="#">Oral 5B</a> • Fine-tuning Aligned Language Models Compromises Safety, Even When Users Do Not Intend To (ends 1:45 AM)	<a href="#">Oral 7B</a> • DreamGaussian: Generative Gaussian Splatting for Efficient 3D Reconstruction (ends 1:45 AM)	<a href="#">Workshop: Global AI Cultures</a> (ends 8:00 AM)
Remarks: <a href="#">Opening Remark</a> (ends 11:30 PM)					<a href="#">Workshop: Secure and Trustworthy Large Language Models</a> (ends 8:00 AM)

Assign papers that are similar in a session. Avoid conflicts between sessions.

# Example

## 4-Queens Problem

- Discrete set of states: 4 queens in 4 columns ( $4^4 = 256$  states)
- Goal is to find a configuration such that there are no attacks.
  - Moving a piece will change the configuration.
- Any configuration can be evaluated using a function
  - $h(x)$  = number of attacks (number of violated binary constraints)
- Search for the configuration that is optimal such that  $h = 0$ .



# Example

## Formally

*Variables:*  $x_0, x_1, x_2, x_3$  where  $x_i$  is the row position of the queen in column  $i$ , where  $i \in \{0, 1, 2, 3\}$ . Assume that there is one queen per column.

*Domains:*  $x_i \in \{0, 1, 2, 3\} \forall i$ .

*Initial state:* 4 queens on the board in random row positions.

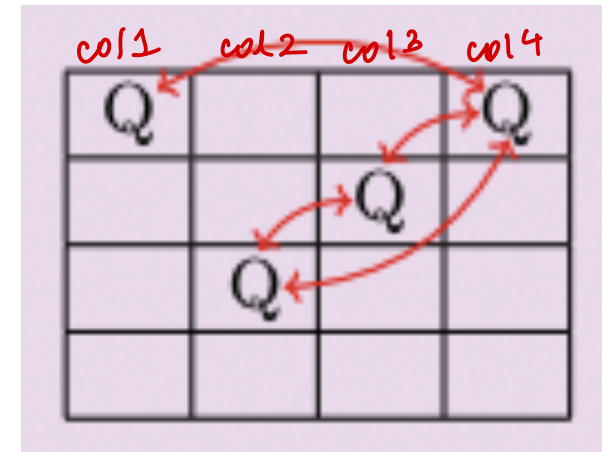
*Goal state:* 4 queens on the board with no pair of queens attacking each other.

*Neighbour relation:*

- Version A: move a single queen to a different row in the same column.
- Version B: swap the row positions of two queens.

*Cost function:* the number of pairs of queens attacking each other, directly or indirectly.

Number of attacks are 4.





# Local Search Methods

- **Keep track of a single "current" state**

- We need a principled way to search/explore the state space hoping to find the state with the optimal evaluation.
- Do not maintain a search tree as we need the solution not the path that led to the solution.
- Only maintain a single current state.

*What if we get stuck in a loop??*

- **Perform local improvements**

- Look for alternatives in the vicinity of that solution
- Try to move towards more better solutions.

# Hill-climbing Search



*Hill climbing*

Let  $S$  be the start node and let  $G$  be the goal node.

Let  $h(c)$  be a heuristic function giving the value of a node

Let  $c$  be the start node

Loop

Let  $c' =$  the highest valued neighbor of  $c$

If  $h(c) \geq h(c')$  then return  $c$

$c = c'$

Start at a configuration. Evaluate the neighbors. Move to the highest valued neighbor if its value is higher than the current state. Else stay.

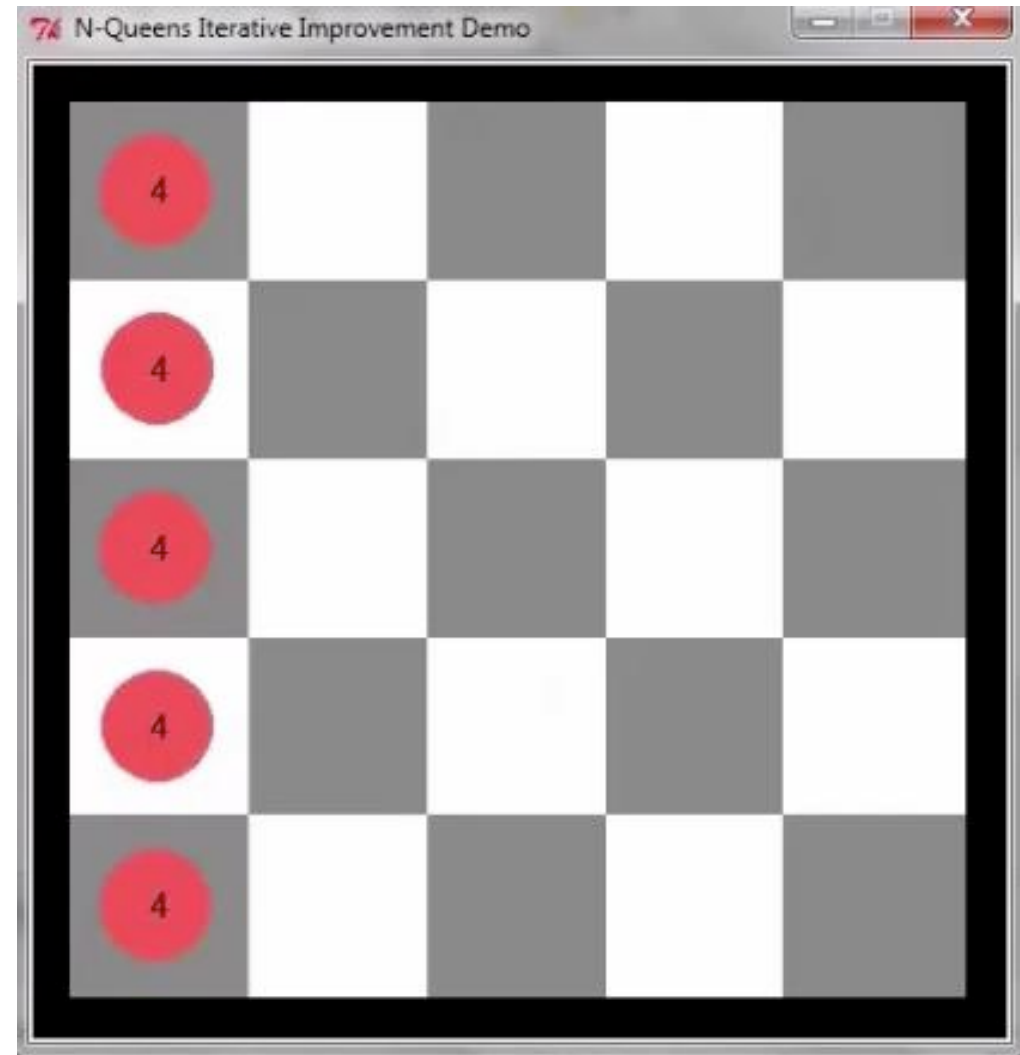
“Like climbing Everest in thick fog with amnesia”

```
function HILL-CLIMBING(problem) returns a state that is a local maximum
  inputs: problem, a problem
  local variables: current, a node
                  neighbor, a node

  current ← MAKE-NODE(INITIAL-STATE[problem])
  loop do
    neighbor ← a highest-valued successor of current
    if VALUE[neighbor] ≤ VALUE[current] then return STATE[current]
    current ← neighbor
  end
```

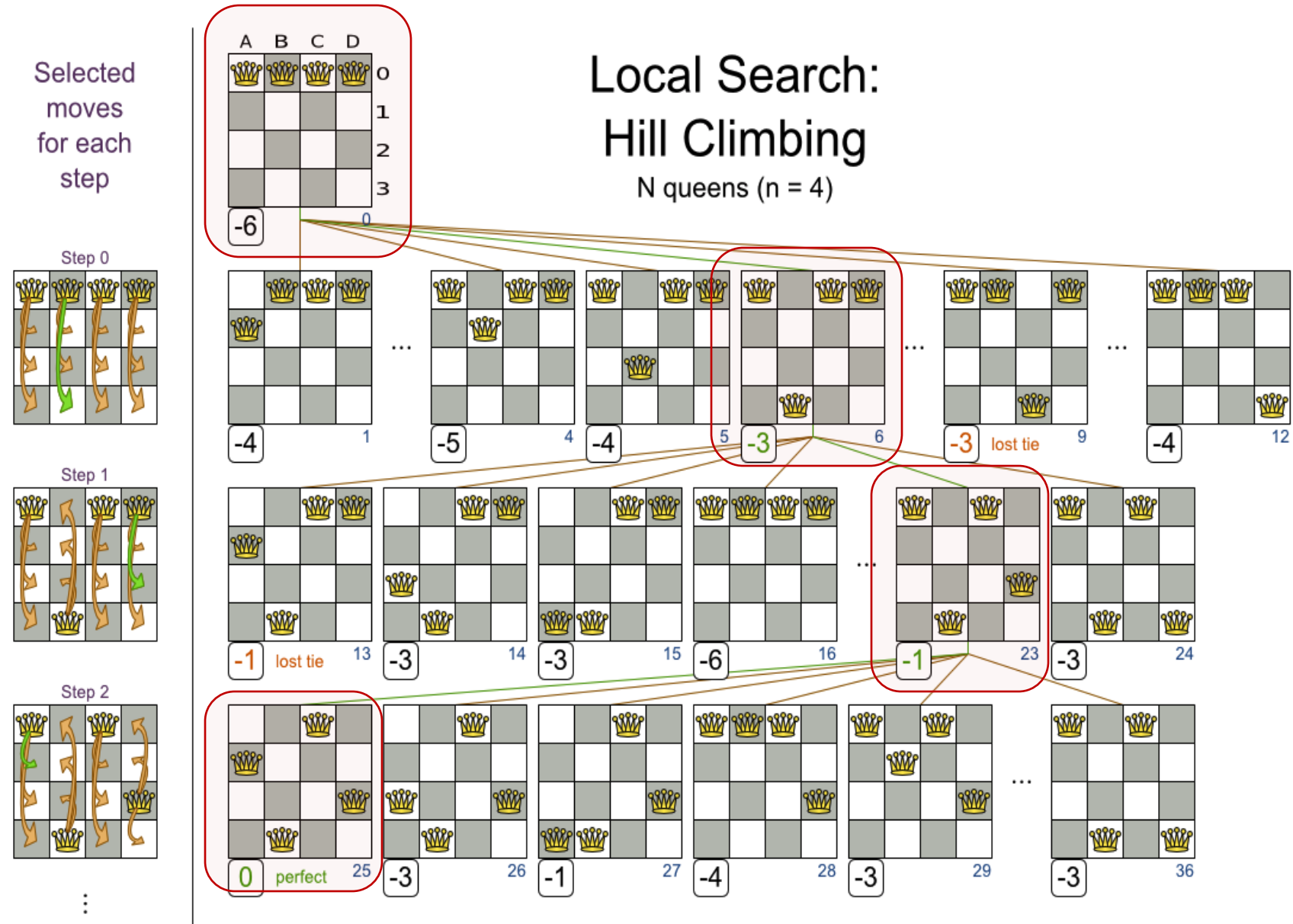
# Hill climbing for 4 -queens

- Select a column and move the queen to the square with the fewest conflicts.
- Perform local modifications to the state by changing the position of one piece till the evaluation is minimum.
- Evaluate the possibilities from a state and then jump to that state.



# Example

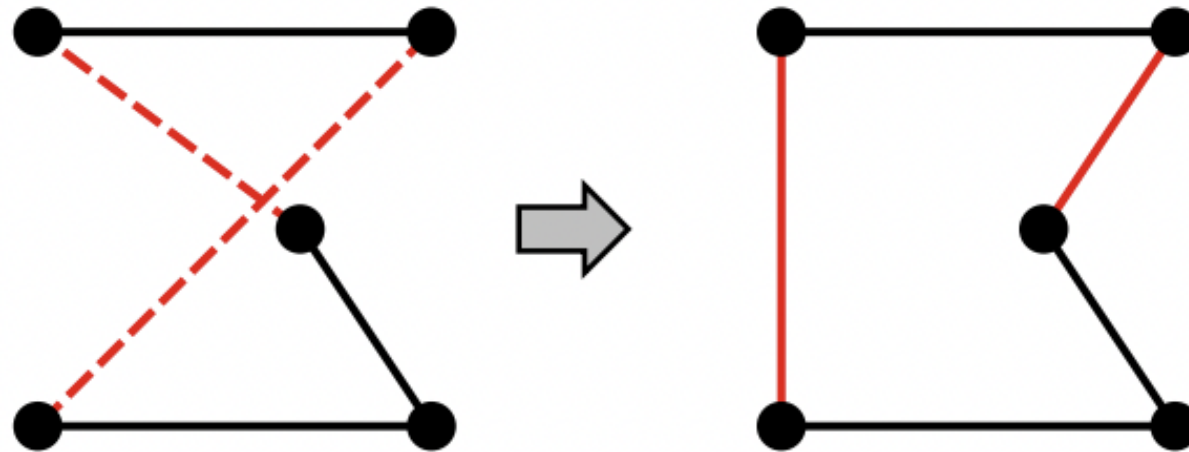
- Local search looks at a state and its local neighborhood.
- Not constructing the entire search tree.
- Consider local modifications to the state. Immediately jump to the next promising neighbor state. Then start again.
- *Highly scalable.*



# Example: Idea of local improvements

Locally improving a solution for a Travelling Salesperson Problem.

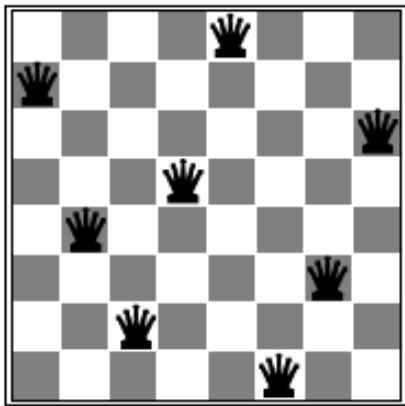
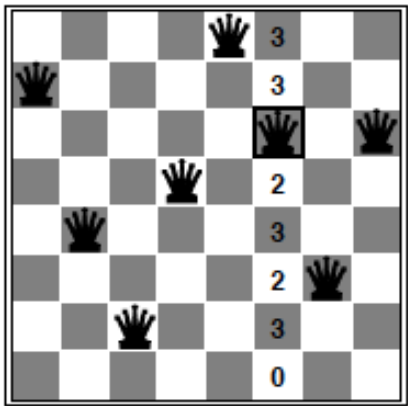
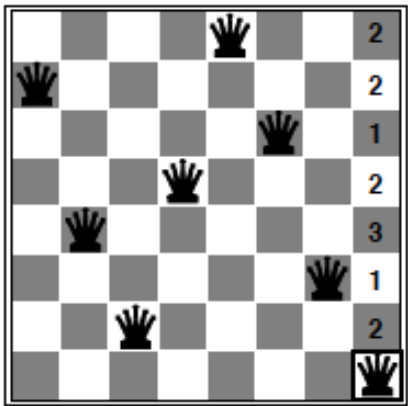
Start with any complete tour, perform pairwise exchanges



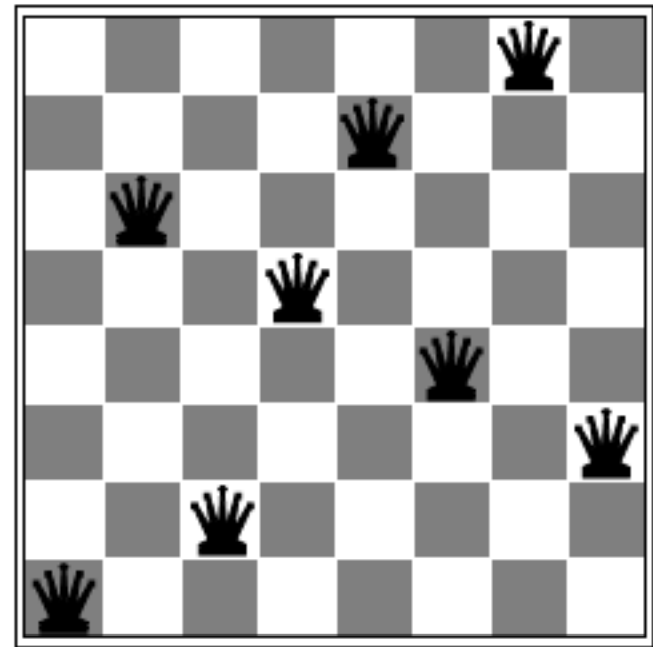
Variants of this approach get within 1% of optimal very quickly with thousands of cities

**The idea of making local improvements to a candidate solution is a general and widely applicable technique.**

# 8-Queens Problem



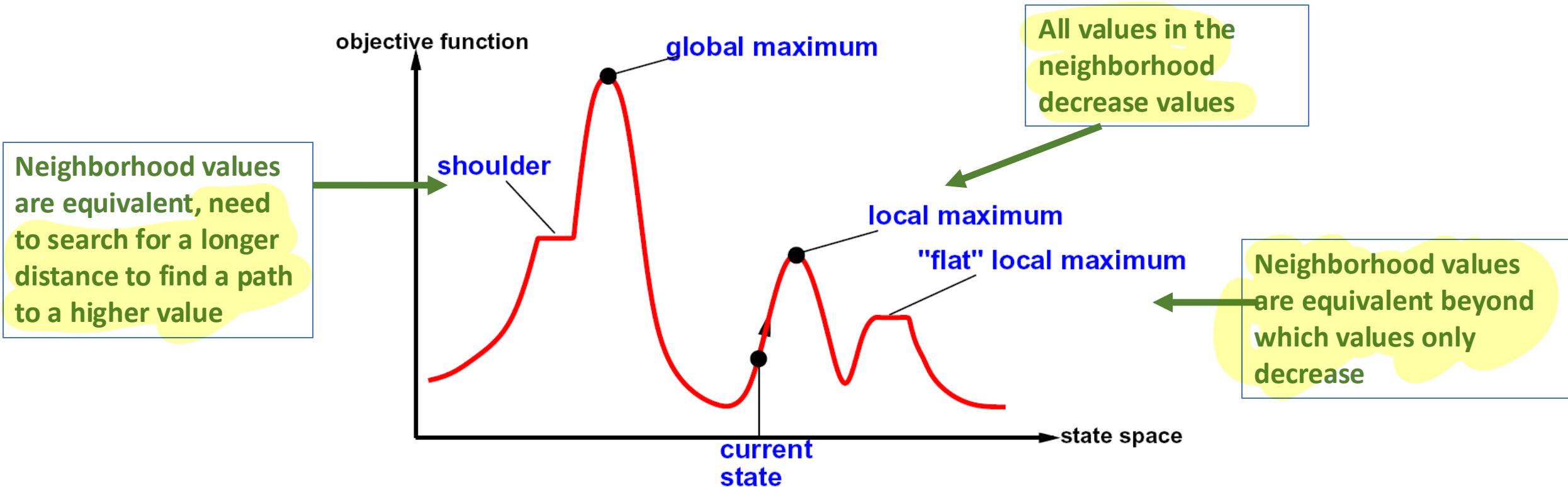
Is this an optimal state?



Issue: search reaches a solution where it cannot be improved - a local minimum.

Local minima ( $h = 1$ ). Every successor has a higher cost.

# Core Problem in Local Search



- Hill climbing prone to local maxima. Neighbors may not be of higher value. Search will stop at a sub-optimal solution
- Locally optimal actions may not lead to the globally optimal solution

# Escaping local minima: Adding randomness

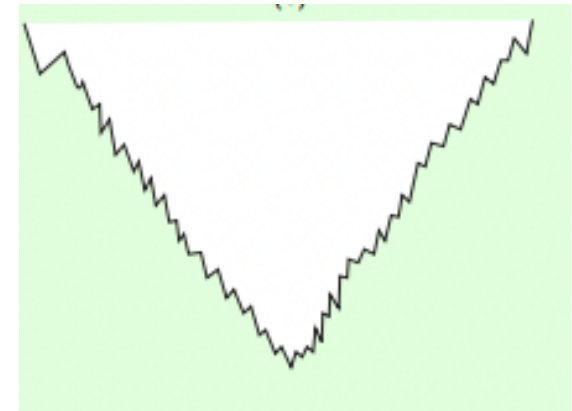
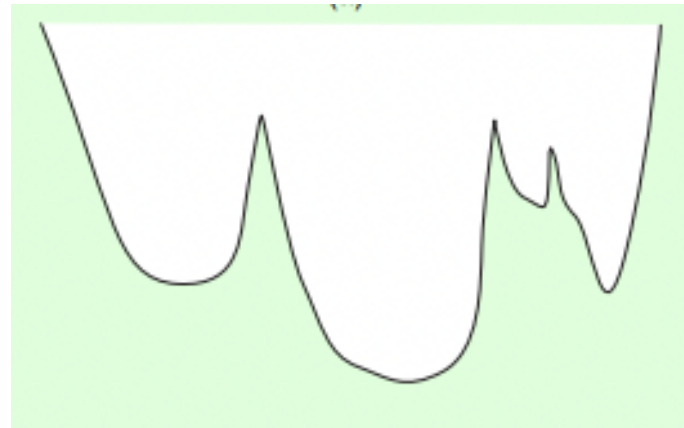
- **Random Re-starts**

- A series of searches from randomly generated initial states.

- **Random Walk**

- Pick "any" candidate move (whether improves the solution or not).

Q: Which method to use for the following cost surfaces?  
Random re-starts or random walk?





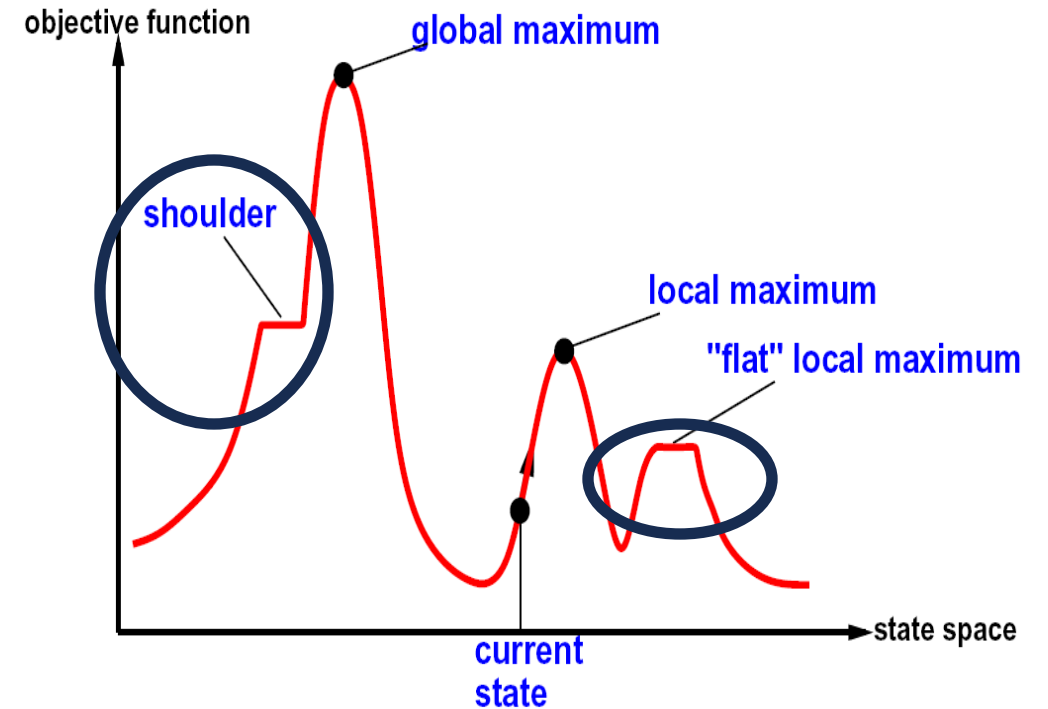
# Escaping local minima: Adding randomness

- **Escaping flat local minima (shoulders)**

- When local search reaches a flat area, that is, when all the neighbours have the same cost as the current state, it terminates right away
- **Keep moving - strategy**
  - Make sideways moves for a few steps.

- **Stochastic Hill Climbing**

- Instead of picking the *best move*, pick *any* move that produces an *improvement*.



# Looking for Solution from Multiple Points

- **Local Beam Search**

- Algorithm

- Track k states (rather than 1).
- Begin with k randomly sampled states.
- Loop
  - Generate successors of each of the k-states
  - If anyone has the goal, the algorithm halts
  - Otherwise, select only the k-best successors from the list and repeat.

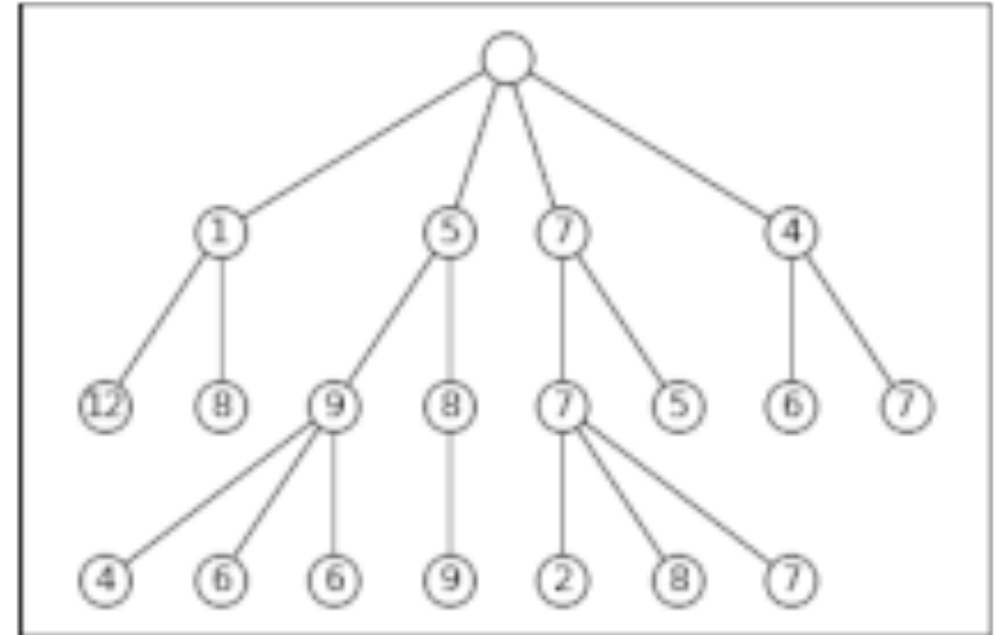
*one state can give more than  
1 good successor.*

- Note:

- Each run is not independent, information is passed between parallel search threads.
- Promising states are propagated. Less promising states are not propagated.
- Problem: states become concentrated in a small region of space.

# Beam Search is a General Search Technique

- Beam search is a general idea (see right figure).
- Instead of considering all solutions at a level, consider only the top-k.
- Note: usually our memory is finite in size, there is an upper bound on the number of states that can be kept.
- In general, it is an approximate search method.



Beam search is a general idea. Here, shown in the context of a tree search. Beam size is 3. For local search we don't construct the full tree.

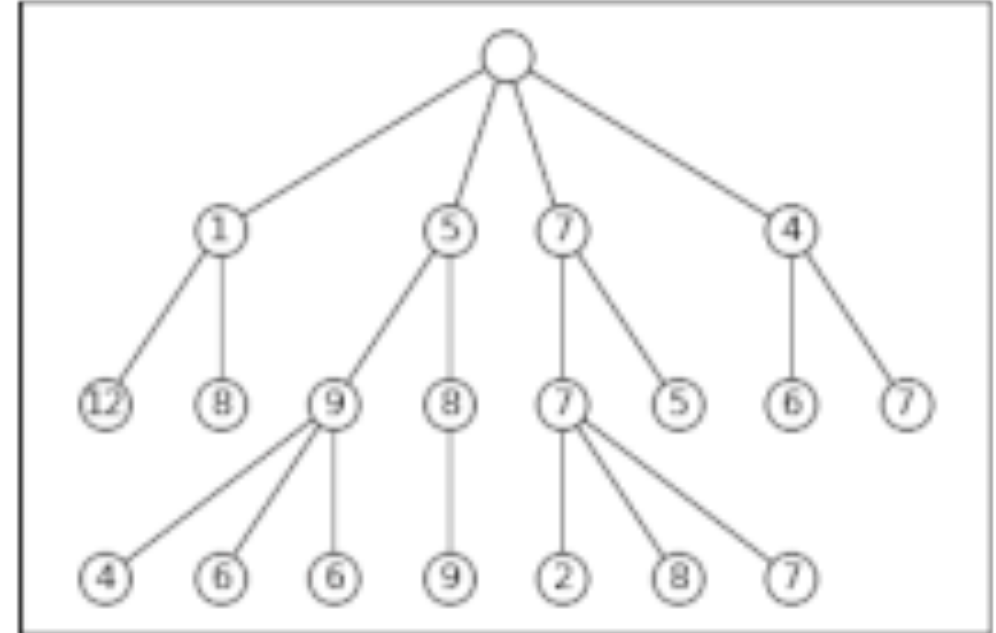
# “Stochastic” Beam Search

- **Local beam search**

- Problem: states become concentrated in a small region of space
- Search degenerates to hill climbing

- **Stochastic beam search**

- Instead of taking the best k states
- Sample k states from a distribution
- Probability of selecting a state *increases* as the *value* of the state.



Instead of top k, sample k given a probability.

# Simulated Annealing

- In case of an improving move – move there.
- But allow some apparently **bad moves** - to escape local maxima.
- **Decrease** the size and the frequency of bad moves over time.

- Algorithm sketch

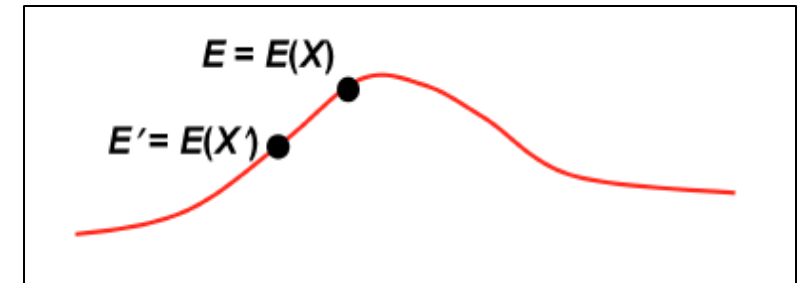
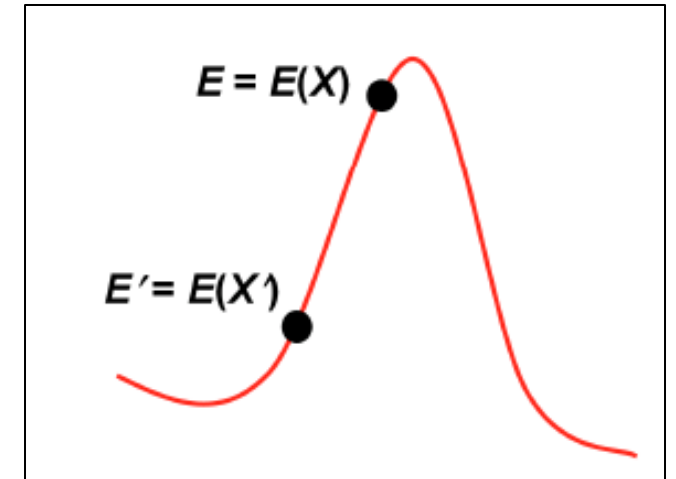
1. Start at initial configuration  $X$  of value  $E$  (high is good)
2. Repeat:
  - (a) Let  $X_i$  be a *random neighbor* of  $X$  and  $E_i$  be its value
  - (b) If  $E < E_i$  then let  $X \leftarrow X_i$  and  $E \leftarrow E_i$
  - (c) Else, *with some probability  $p$* , still accept the move:  $X \leftarrow X_i$  and  $E \leftarrow E_i$

- Best solution ever found is always remembered

A form of Monte-Carlo Search. Move around the environment to explore it instead of systematically sweeping. Powerful technique for large domains.

# Simulated Annealing: How to decide $p$ ?

- Considering a move from state of value  $E$  to a lower valued state of  $E'$ . *That is considering a sub-optimal move ( $E$  is higher than  $E'$ ).*
- If  $(E - E')$  is large:
  - Likely to be close to a promising maximum.
  - Less inclined to go downhill.
- If  $(E - E')$  is small:
  - The closest maximum may be shallow
  - More inclined to go downhill is not as bad.



# Simulated Annealing: Selecting Moves

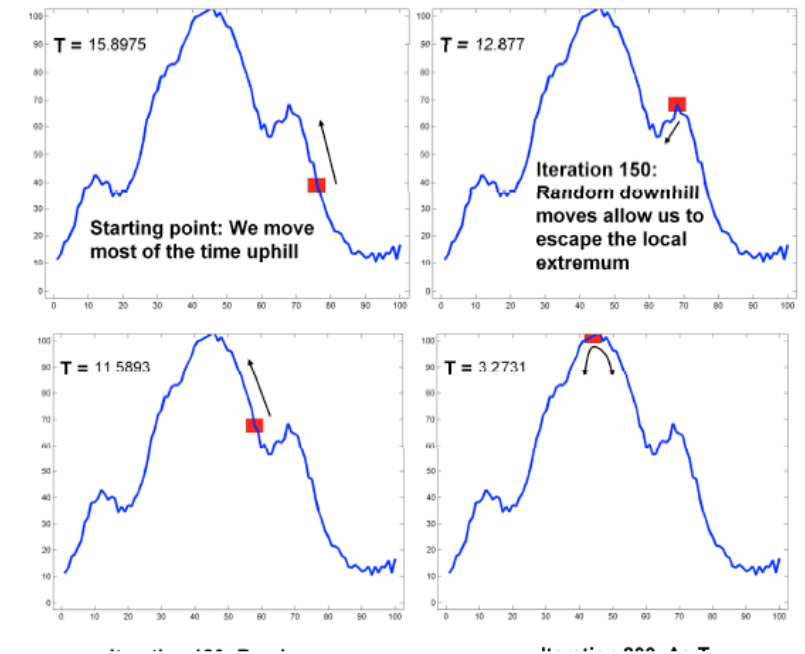
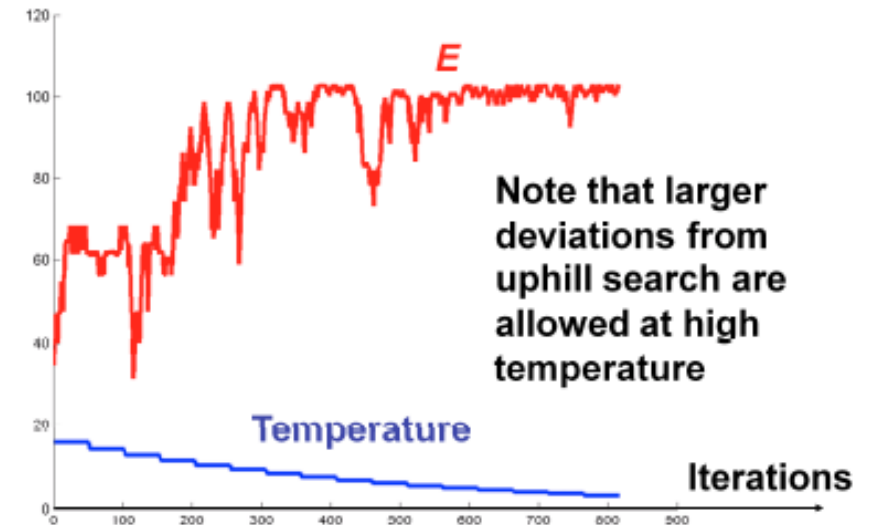
- If the new value  $E_i$  is **better** than the old value  $E$ , move to  $X_i$
- If the new value is **worse** ( $E_i > E$ ) then move to the neighboring solution as per *Boltzmann* distribution.

$$\exp\left(-\frac{E - E_i}{T}\right)$$

- Temperature ( $T > 0$ )
  - **T is high**,  $\exp$  is  $\sim 0$ , acceptance probability is  $\sim 1$ , high probability of acceptance of a worse solution.
  - **T is low**, the probability of moving to a worse solution is  $\sim 0$ , low probability of acceptance of a worse solution.
  - Schedule  $T$  to reduce over time.

# Simulated Annealing

- **T is high**
  - The algorithm is in an *exploratory* phase
  - Even bad moves have a high chance of being picked
- **T is low**
  - The algorithm is in an *exploitation* phase
  - The “bad” moves have very low probability
- **If T is decreased slowly enough**
  - Simulated annealing is guaranteed to reach the best solution in the limit.



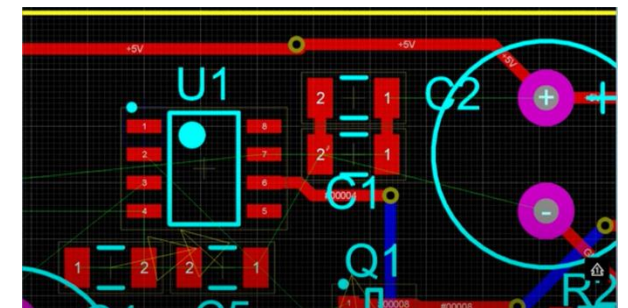
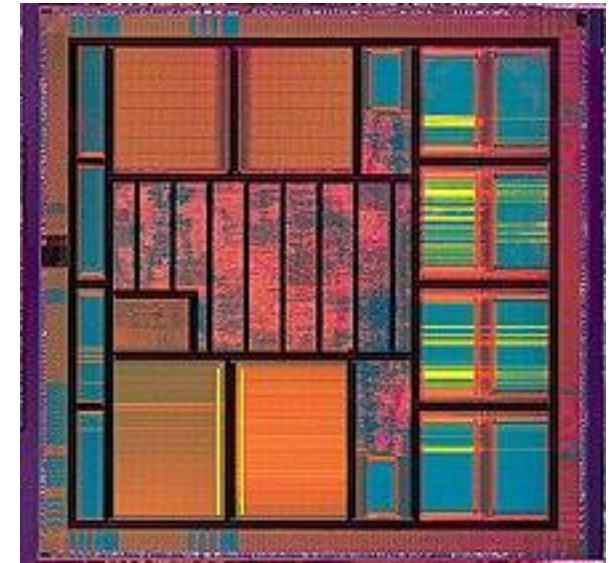
Able to escape local maxima.



# Adding (some) memory: Tabu Search

- Local search loses track of the global cost landscape.
  - May frequently come back to the same state
- Introduce “memory” to prevent re-visits.
  - Maintain a finite-sized “tabu” list which remembers recently visited states so that one does not go towards them.
  - If a state proposed in the neighbourhood is in the tabu list do not go.

Motivating example: PCB layout with lower wire overlaps.



# Search with Memory

- Tabu Search
  - Maintain a tabu list of the  $k$  last assignments.
  - Don't allow an assignment that is already on the tabu list.
  - If  $k = 1$ , we don't allow an assignment of to the same value to the variable chosen.
  - Maintain a finite-sized tabu list (a form of local memory) which remembers recently visited states so that one does not go towards them.
  - Note: Tabu search allows for sub-optimal moves.
- Types of memory rules
  - Short-term: immediate states visited in the past.
  - Longer-term: guide the search towards certain regions of the search – where all have we explored in the past.
- Generalise to – searching locally by growing a tree for a short horizon and then picking a move (combining local and tree search).

# Genetic Algorithms

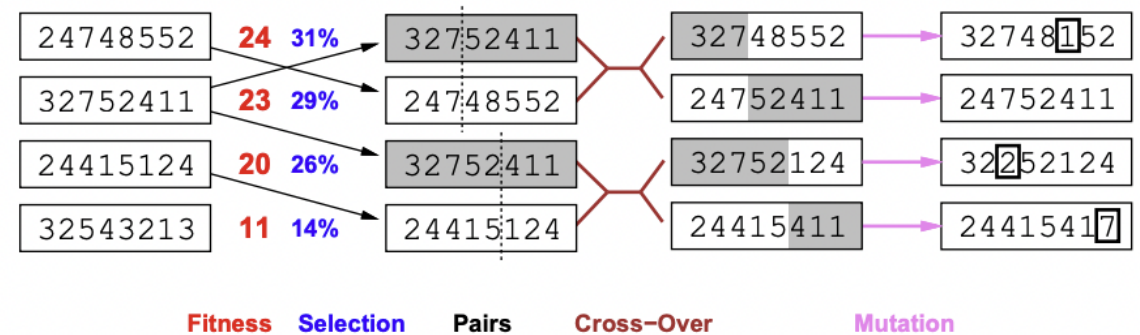
- Idea

- Variant of stochastic beam search: progression is by modifying a state.
- Combine two states to generate the successor.
- A mechanism to propose next moves in a different way.

- Ingredients

- Coding of a solution into a string of symbols or bit-string
- A fitness function to judge the worth of a state (or configuration)
- A population of states (or configurations)

= stochastic local beam search + generate successors from **pairs** of states



Many variations:

how selection will be applied, what type of cross-over operators will be used, etc.

Selection of individuals according to a fitness function and pairing

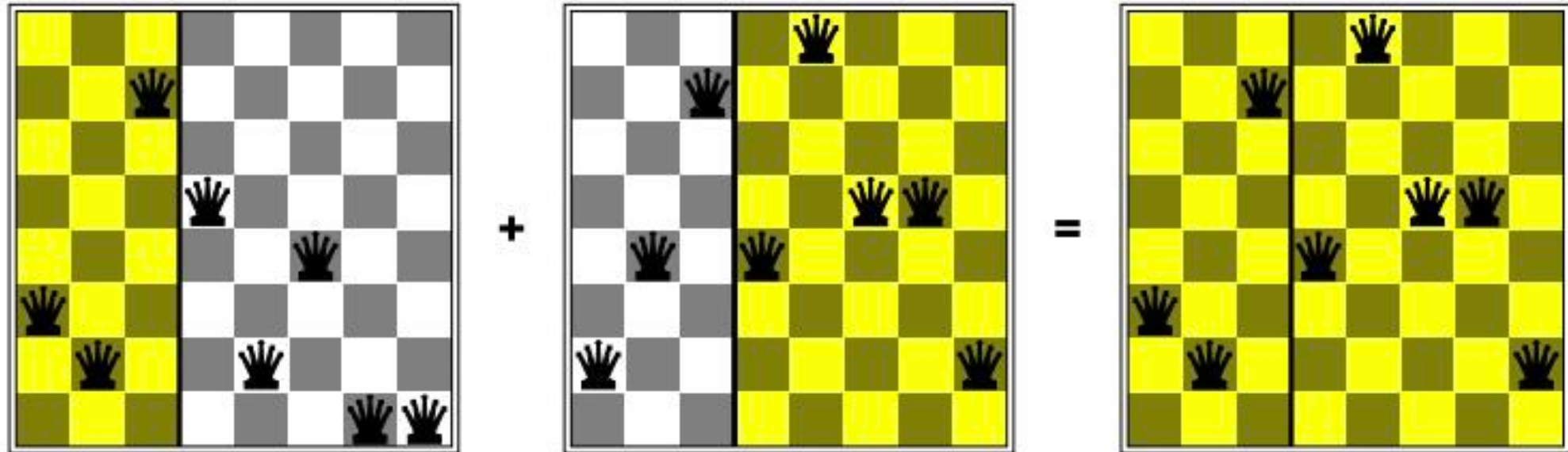
Calculation of the breaking points and recombination

According to a given probability elements in the string are modified.

# Genetic Algorithms

[https://rednuht.org/genetic\\_cars\\_2/](https://rednuht.org/genetic_cars_2/)

View as a way to propose moves – in an evolutionary way.



**Advantage:** ability to combine large blocks that evolved independently, impact the granularity of search.