

COL 352 Introduction to Automata and Theory of Computation

Nikhil Balaji

Bharti 420
Indian Institute of Technology, Delhi
nbalaji@cse.iitd.ac.in

March 1, 2023

Lecture 18: Limitations of Context-Free Grammars

Chomsky normal form

Definition

A context-free grammar is said to be in Chomsky normal form if every rule is of the form

$$A \rightarrow BC$$

$$A \rightarrow a$$

where $a \in T$, $A, B, C \in V$, neither B nor C is the start variable, i.e. start variable does not appear on the right of any rule. Moreover, epsilon does not appear on the right of any rule except as $S \rightarrow \epsilon$.

Lemma

Any context-free grammar G can be converted into another context-free grammar G' such that $L(G) = L(G')$ and G' is in the Chomsky normal form.

Chomsky normal form

Definition

A context-free grammar is said to be in Chomsky normal form if every rule is of the form

$$A \rightarrow BC$$

$$A \rightarrow a$$

where $a \in T$, $A, B, C \in V$. Moreover, epsilon does not appear on the right of any rule except as $S \rightarrow \epsilon$.

Lemma

Any context-free grammar G can be converted into another context-free grammar G' such that $L(G) = L(G')$ and G' is in the Chomsky normal form.

Weakness of CFGs

Let us start by considering CFG in CNF for $L_{a,b} = \{a^n b^n \mid n \geq 1\}$:

Weakness of CFGs

Let us start by considering CFG in CNF for $L_{a,b} = \{a^n b^n \mid n \geq 1\}$:

$$S \rightarrow AC|AB, C \rightarrow SB, A \rightarrow a, B \rightarrow b$$

Weakness of CFGs

Let us start by considering CFG in CNF for $L_{a,b} = \{a^n b^n \mid n \geq 1\}$:

$$S \rightarrow AC|AB, C \rightarrow SB, A \rightarrow a, B \rightarrow b$$

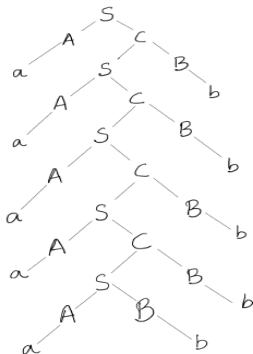
Consider a parse tree for $a^5 b^5 \in L_{a,b}$

Weakness of CFGs

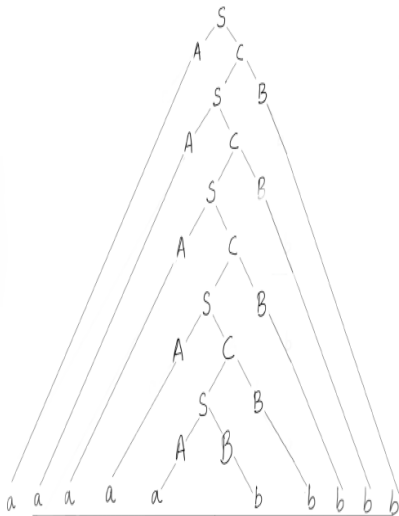
Let us start by considering CFG in CNF for $L_{a,b} = \{a^n b^n \mid n \geq 1\}$:

$$S \rightarrow AC \mid AB, C \rightarrow SB, A \rightarrow a, B \rightarrow b$$

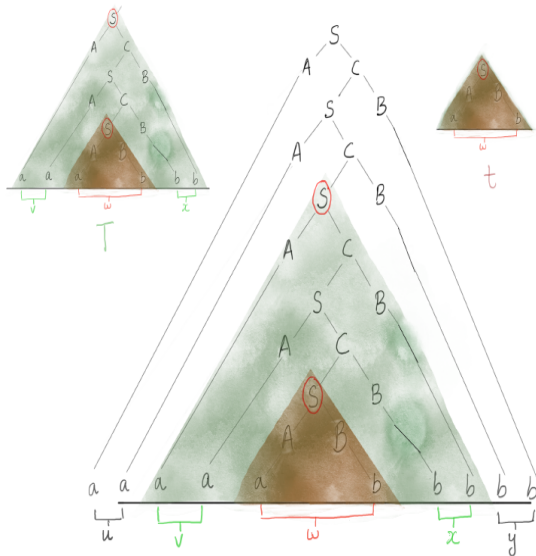
Consider a parse tree for $a^5 b^5 \in L_{a,b}$



Weakness of CFGs



Pumping Lemma for CFLs



Pumping Lemma for CFLs

Pumping Lemma for CFLs

Theorem

For every context-free language L there exists a constant k (that depends on L) such that for every string $z \in L$ of length greater or equal to k , there is an infinite family of strings belonging to L .

Pumping Lemma for CFLs

Theorem

For every context-free language L there exists a constant k (that depends on L) such that for every string $z \in L$ of length greater or equal to k , there is an infinite family of strings belonging to L .

Let L be a CFL. Then there exists a constant k such that if z is a string in L of length at least k , then we can write $z = uvwxy$ such that

- ▶ $|vwx| \leq k$
- ▶ $vx \neq \epsilon$,
- ▶ For all $i \geq 0$ the string $uv^iwx^iy \in L$.

Proof of pumping lemma for CFLs

Theorem

*Let L be a CFL. Then there exists a constant k such that if z is a string in L of length at least k , then we can write $z = uvwxy$ such that i) $|vwx| \leq k$
ii) $vx \neq \epsilon$, iii) For all $i \geq 0$ the string $uv^iwx^iy \in L$.*

Proof of pumping lemma for CFLs

Theorem

Let L be a CFL. Then there exists a constant k such that if z is a string in L of length at least k , then we can write $z = uvwxy$ such that i) $|vwx| \leq k$ ii) $vx \neq \epsilon$, iii) For all $i \geq 0$ the string $uv^iwx^iy \in L$.

Proof.

Let G be a CFG accepting L . Let b be an upper bound on the size of the RHS of any production rule of G .

Proof of pumping lemma for CFLs

Theorem

Let L be a CFL. Then there exists a constant k such that if z is a string in L of length at least k , then we can write $z = uvwxy$ such that i) $|vwx| \leq k$ ii) $vx \neq \epsilon$, iii) For all $i \geq 0$ the string $uv^iwx^iy \in L$.

Proof.

Let G be a CFG accepting L . Let b be an upper bound on the size of the RHS of any production rule of G .

- ▶ Upper bound on the length of strings in L with parse-tree of height $\ell + 1 = b^\ell$

Proof of pumping lemma for CFLs

Theorem

Let L be a CFL. Then there exists a constant k such that if z is a string in L of length at least k , then we can write $z = uvwxy$ such that i) $|vwx| \leq k$ ii) $vx \neq \epsilon$, iii) For all $i \geq 0$ the string $uv^iwx^iy \in L$.

Proof.

Let G be a CFG accepting L . Let b be an upper bound on the size of the RHS of any production rule of G .

- ▶ Upper bound on the length of strings in L with parse-tree of height $\ell + 1 = b^\ell$
- ▶ Let $N = |V|$ be the number of variables in G . What can we say about the strings z in L of size greater than b^N ?

Proof of pumping lemma for CFLs

Theorem

Let L be a CFL. Then there exists a constant k such that if z is a string in L of length at least k , then we can write $z = uvwxy$ such that i) $|vwx| \leq k$ ii) $vx \neq \epsilon$, iii) For all $i \geq 0$ the string $uv^iwx^iy \in L$.

Proof.

Let G be a CFG accepting L . Let b be an upper bound on the size of the RHS of any production rule of G .

- ▶ Upper bound on the length of strings in L with parse-tree of height $\ell + 1 = b^\ell$
- ▶ Let $N = |V|$ be the number of variables in G . What can we say about the strings z in L of size greater than b^N ?
- ▶ In every parse tree of z , there is a path where a variable repeats.

Proof of pumping lemma for CFLs

Theorem

Let L be a CFL. Then there exists a constant k such that if z is a string in L of length at least k , then we can write $z = uvwxy$ such that i) $|vwx| \leq k$ ii) $vx \neq \epsilon$, iii) For all $i \geq 0$ the string $uv^iwx^iy \in L$.

Proof.

Let G be a CFG accepting L . Let b be an upper bound on the size of the RHS of any production rule of G .

- ▶ Upper bound on the length of strings in L with parse-tree of height $\ell + 1 = b^\ell$
- ▶ Let $N = |V|$ be the number of variables in G . What can we say about the strings z in L of size greater than b^N ?
- ▶ In every parse tree of z , there is a path where a variable repeats.
- ▶ Consider a minimum size parse-tree generating z , and consider a path where at least a variable repeats, and consider the last such variable.

Proof of pumping lemma for CFLs

Pumping Lemma for CFLs

Theorem (Pumping Lemma for CFLs)

$L \in \Sigma^*$ is a context-free language \implies

there exists $k \geq 1$ such that

for all strings $z \in L$ with $|z| \geq k$ we have that

there exists $u, v, w, x, y \in \Sigma^*$ with $z = uvwxy$, $|vx| > 0$, $|vwx| \leq k$ such that

for all $i \geq 0$ we have that $uv^iwx^iy \in L$.

Theorem (Contrapositive of Pumping Lemma for CFLs)

For all $k \geq 1$ we have that

there exists strings $z \in L$ with $|z| \geq k$ such that

for all $u, v, w, x, y \in \Sigma^*$ with $z = uvwxy$, $|vx| > 0$, $|vwx| \leq k$ we have that

there exists $i \geq 0$ such that $uv^iwx^iy \notin L \implies$

$L \in \Sigma^*$ is not a context-free language.

Games with the Demon

Games with the Demon

Theorem (Contrapositive of Pumping Lemma for CFLs)

For all $k \geq 1$ we have that

there exists strings $z \in L$ with $|z| \geq k$ such that

for all $u, v, w, x, y \in \Sigma^$ with $z = uvwxy$, $|vx| > 0$, $|vwx| \leq k$ we have that*

there exists $i \geq 0$ such that $uv^iwx^iy \notin L \implies$

$L \in \Sigma^$ is not a context-free language.*

Games with the Demon

Theorem (Contrapositive of Pumping Lemma for CFLs)

For all $k \geq 1$ we have that

there exists strings $z \in L$ with $|z| \geq k$ such that

for all $u, v, w, x, y \in \Sigma^$ with $z = uvwxy$, $|vx| > 0$, $|vwx| \leq k$ we have that*

there exists $i \geq 0$ such that $uv^iwx^iy \notin L \implies$

$L \in \Sigma^$ is not a context-free language.*

- ▶ Demon picks $k \geq 0$.
- ▶ You pick $z \in L$ of length at least k .
- ▶ The demon picks strings u, v, w, x, y such that $z = uvwxy$, $|vx| > 0$, and $|vwx| \leq k$.
- ▶ You pick $i \geq 0$. If $uv^iwx^iy \notin L$ you win

A few examples

Prove!

Prove that the following languages are not context-free:

- ❶ $L = \{0^n 1^n 2^n : n \geq 0\}$
- ❷ $L = \{0^i 1^j 2^k : 0 \leq i \leq j \leq k\}$

A few examples

Prove!

Prove that the following languages are not context-free:

- ❶ $L = \{0^n 1^n 2^n : n \geq 0\}$
- ❷ $L = \{0^i 1^j 2^k : 0 \leq i \leq j \leq k\}$
- ❸ $L = \{ww : w \in \{0, 1\}^*\}$

A few examples

Prove!

Prove that the following languages are not context-free:

- ❶ $L = \{0^n 1^n 2^n : n \geq 0\}$
- ❷ $L = \{0^i 1^j 2^k : 0 \leq i \leq j \leq k\}$
- ❸ $L = \{ww : w \in \{0, 1\}^*\}$
- ❹ $L = \{0^n : n \text{ is a prime number}\}$
- ❺ $L = \{0^n : n \text{ is a perfect square}\}$
- ❻ $L = \{0^n : n \text{ is a perfect cube}\}$

A few examples

Prove!

Prove that the following languages are not context-free:

- ❶ $L = \{0^n 1^n 2^n : n \geq 0\}$
- ❷ $L = \{0^i 1^j 2^k : 0 \leq i \leq j \leq k\}$

A few examples

Prove!

Prove that the following languages are not context-free:

- ❶ $L = \{0^n 1^n 2^n : n \geq 0\}$
- ❷ $L = \{0^i 1^j 2^k : 0 \leq i \leq j \leq k\}$
- ❸ $L = \{ww : w \in \{0, 1\}^*\}$

A few examples

Prove!

Prove that the following languages are not context-free:

- ❶ $L = \{0^n 1^n 2^n : n \geq 0\}$
- ❷ $L = \{0^i 1^j 2^k : 0 \leq i \leq j \leq k\}$
- ❸ $L = \{ww : w \in \{0, 1\}^*\}$
- ❹ $L = \{0^n : n \text{ is a prime number}\}$
- ❺ $L = \{0^n : n \text{ is a perfect square}\}$
- ❻ $L = \{0^n : n \text{ is a perfect cube}\}$

Example 1

$$L = \{0^n 1^n 2^n \mid n \in \mathbb{N}\}$$

- .
- ▶ For each n , choose $z = uvwxy = 0^n 1^n 2^n \in L$.

Example 1

$$L = \{0^n 1^n 2^n \mid n \in \mathbb{N}\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 2^n \in L$.
- ▶ Consider all subwords vwx of $0^n 1^n 2^n$ such that $|vwx| \leq n$.

Example 1

$$L = \{0^n 1^n 2^n \mid n \in \mathbb{N}\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 2^n \in L$.
- ▶ Consider all subwords vwx of $0^n 1^n 2^n$ such that $|vwx| \leq n$.
- ▶ vwx cannot have both 0 and 2_{why?}. WLOG, assume vwx has no 2.

Example 1

$$L = \{0^n 1^n 2^n \mid n \in \mathbb{N}\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 2^n \in L$.
- ▶ Consider all subwords vwx of $0^n 1^n 2^n$ such that $|vwx| \leq n$.
- ▶ vwx cannot have both 0 and 2_{why?}. WLOG, assume vwx has no 2.

$$\underbrace{0 \dots \dots 0}_u \underbrace{1 \dots \dots 1}_{vwx} \underbrace{2 \dots 2}_y$$

Example 1

$$L = \{0^n 1^n 2^n \mid n \in \mathbb{N}\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 2^n \in L$.
- ▶ Consider all subwords vwx of $0^n 1^n 2^n$ such that $|vwx| \leq n$.
- ▶ vwx cannot have both 0 and 2_{why?}. WLOG, assume vwx has no 2.

$$\underbrace{0 \dots 0}_u \underbrace{1 \dots 1}_{vwx} \underbrace{2 \dots 2}_y$$

- ▶ Consider all splits of vwx such that $|vx| > 0$.

Example 1

$$L = \{0^n 1^n 2^n \mid n \in \mathbb{N}\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 2^n \in L$.
- ▶ Consider all subwords vwx of $0^n 1^n 2^n$ such that $|vwx| \leq n$.
- ▶ vwx cannot have both 0 and 2_{why?}. WLOG, assume vwx has no 2.

$$\underbrace{0 \dots 0}_u \underbrace{1 \dots 1}_{vwx} \underbrace{2 \dots 2}_y$$

- ▶ Consider all splits of vwx such that $|vx| > 0$.
- ▶ In all splits, the length of either 0's or 1's will not be n in uvw ._{why?}

Example 1

$$L = \{0^n 1^n 2^n \mid n \in \mathbb{N}\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 2^n \in L$.
- ▶ Consider all subwords vwx of $0^n 1^n 2^n$ such that $|vwx| \leq n$.
- ▶ vwx cannot have both 0 and 2_{why?}. WLOG, assume vwx has no 2.

$$\underbrace{0 \dots 0}_u \underbrace{1 \dots 1}_{vwx} \underbrace{2 \dots 2}_y$$

- ▶ Consider all splits of vwx such that $|vx| > 0$.
- ▶ In all splits, the length of either 0's or 1's will not be n in uwy . _{why?}
- ▶ Therefore, $uwy \notin L$. _{why?}

Therefore L is not a CFL.

Example 2

$$L = \{0^n 1^m 2^n 3^m \mid n \geq 1\}$$

Example 2

$$L = \{0^n 1^m 2^n 3^m \mid n \geq 1\}$$

- For each n , choose $z = uvwxy = 0^n 1^n 2^n 3^n \in L$.

Example 2

$$L = \{0^n 1^m 2^n 3^m \mid n \geq 1\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 2^n 3^n \in L$.
- ▶ consider all the subwords vwx of $0^n 1^n 2^n 3^n$ such that $|vwx| \leq n$.

Example 2

$$L = \{0^n 1^m 2^n 3^m \mid n \geq 1\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 2^n 3^n \in L$.
- ▶ consider all the subwords vwx of $0^n 1^n 2^n 3^n$ such that $|vwx| \leq n$.
- ▶ vwx can not have more than two symbols.

Example 2

$$L = \{0^n 1^m 2^n 3^m \mid n \geq 1\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 2^n 3^n \in L$.
- ▶ consider all the subwords vwx of $0^n 1^n 2^n 3^n$ such that $|vwx| \leq n$.
- ▶ vwx can not have more than two symbols.
- ▶ There are three cases

$$\underbrace{0 \dots 0}_u \underbrace{1 \dots 1}_{vwx} \underbrace{2 \dots 23 \dots 3}_y$$

Example 2

$$L = \{0^n 1^m 2^n 3^m \mid n \geq 1\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 2^n 3^n \in L$.
- ▶ consider all the subwords vwx of $0^n 1^n 2^n 3^n$ such that $|vwx| \leq n$.
- ▶ vwx can not have more than two symbols.
- ▶ There are three cases

$$\underbrace{0 \dots 01}_{u} \underbrace{\dots 12}_{vwx} \underbrace{\dots 23 \dots 3}_{y}$$

$$\underbrace{0 \dots 01 \dots 12}_{u} \underbrace{\dots 23}_{vwx} \underbrace{\dots 3}_{y}$$

Example 2

$$L = \{0^n 1^m 2^n 3^m \mid n \geq 1\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 2^n 3^n \in L$.
- ▶ consider all the subwords vwx of $0^n 1^n 2^n 3^n$ such that $|vwx| \leq n$.
- ▶ vwx can not have more than two symbols.
- ▶ There are three cases

$$\underbrace{0 \dots 01}_{u} \underbrace{\dots 12}_{vwx} \underbrace{\dots 23 \dots 3}_{y}$$

$$\underbrace{0 \dots 01 \dots 12}_{u} \underbrace{\dots 23}_{vwx} \underbrace{\dots 3}_{y}$$

$$\underbrace{0 \dots 01 \dots 12 \dots 23}_{u} \underbrace{\dots 3}_{vwx} \underbrace{\dots}_{y}$$

Example 2: contd

- ▶ Now consider all splits of vwx such that $|vx| > 0$.

Example 2: contd

- ▶ Now consider all splits of $vw x$ such that $|vx| > 0$.
- ▶ In all splits, the length of one of

$0s, 1s, 2s, \text{ or } 3s$

will not be n in $uw y$ and the length of the counterpart

$2s, 3s, 0s, \text{ or } 1s$

will be n respectively.

Example 2: contd

- ▶ Now consider all splits of $vw x$ such that $|vx| > 0$.
- ▶ In all splits, the length of one of

$0s, 1s, 2s, \text{ or } 3s$

will not be n in $uw y$ and the length of the counterpart

$2s, 3s, 0s, \text{ or } 1s$

will be n respectively.

- ▶ Therefore $uv^0wx^0y \notin L$.

Therefore L is not a CFL.

Example 2: contd

- ▶ Now consider all splits of $vw x$ such that $|vx| > 0$.
- ▶ In all splits, the length of one of

$0s, 1s, 2s, \text{ or } 3s$

will not be n in $uw y$ and the length of the counterpart

$2s, 3s, 0s, \text{ or } 1s$

will be n respectively.

- ▶ Therefore $uv^0wx^0y \notin L$.

Therefore L is not a CFL.

Exercise: Is $\{0^n 1^n 2^m 3^m \mid n \geq 1\}$ a CFL?

Example 3

$$L = \{ww \mid w \in \{0,1\}^*\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 0^n 1^n \in L$.
- ▶ consider subwords vw of $0^n 1^n 0^n 1^n$ such that $|vw| \leq n$ and $|vx| > 0$.
- ▶ There are three cases (v and x can be from the same block or neighboring blocks):

$$\underbrace{0 \dots 0}_u \underbrace{1 \dots 1}_{vw} \underbrace{0 \dots 0 1 \dots 1}_y$$

Example 3

$$L = \{ww \mid w \in \{0,1\}^*\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 0^n 1^n \in L$.
- ▶ consider subwords vwx of $0^n 1^n 0^n 1^n$ such that $|vwx| \leq n$ and $|vx| > 0$.
- ▶ There are three cases (v and x can be from the same block or neighboring blocks):

$$\underbrace{0 \dots 0}_u \underbrace{1 \dots 1}_{vwx} \underbrace{10 \dots 01 \dots 1}_y$$

$$\underbrace{0 \dots 01 \dots 1}_u \underbrace{10 \dots 1}_{vwx} \underbrace{01 \dots 1}_y$$

Example 3

$$L = \{ww \mid w \in \{0,1\}^*\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 0^n 1^n \in L$.
- ▶ consider subwords vwx of $0^n 1^n 0^n 1^n$ such that $|vwx| \leq n$ and $|vx| > 0$.
- ▶ There are three cases (v and x can be from the same block or neighboring blocks):

$$\underbrace{0 \dots 0}_u \underbrace{1 \dots 1}_{vwx} \underbrace{10 \dots 01 \dots 1}_y$$

$$\underbrace{0 \dots 01 \dots 1}_u \underbrace{10 \dots 1}_{vwx} \underbrace{01 \dots 1}_y$$

$$\underbrace{0 \dots 01 \dots 10 \dots 01 \dots 1}_u \underbrace{10 \dots 1}_{vwx} \underbrace{1}_y$$

Example 3

$$L = \{ww \mid w \in \{0,1\}^*\}$$

- ▶ For each n , choose $z = uvwxy = 0^n 1^n 0^n 1^n \in L$.
- ▶ consider subwords vwx of $0^n 1^n 0^n 1^n$ such that $|vwx| \leq n$ and $|vx| > 0$.
- ▶ There are three cases (v and x can be from the same block or neighboring blocks):

$$\underbrace{0 \dots 0}_u \underbrace{1 \dots 1}_{vwx} \underbrace{10 \dots 01 \dots 1}_y$$

$$\underbrace{0 \dots 01 \dots 1}_u \underbrace{10 \dots 1}_{vwx} \underbrace{01 \dots 1}_y$$

$$\underbrace{0 \dots 01 \dots 10 \dots 01 \dots 1}_u \underbrace{10 \dots 1}_{vwx} \underbrace{1}_y$$

Equivalence of NPDAs and CFGs

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Proof (\Rightarrow).

- ▶ Assume CFG is in the Chomsky normal form.
- ▶ Push S_0 on the stack and make it the current variable.

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Proof (\Rightarrow).

- ▶ Assume CFG is in the Chomsky normal form.
- ▶ Push S_0 on the stack and make it the current variable.
- ▶ Push non-deterministically one of the strings in the right hand side of the rule generated from the current variable on the stack.

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Proof (\Rightarrow).

- ▶ Assume CFG is in the Chomsky normal form.
- ▶ Push S_0 on the stack and make it the current variable.
- ▶ Push non-deterministically one of the strings in the right hand side of the rule generated from the current variable on the stack.
 - e.g. $A \rightarrow BC \mid DE$ then non-deterministically choose either BC or DE and depending on the choice, say it is BC , push the string BC on the stack with B on the top of the stack.

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Proof (\Rightarrow).

- ▶ Assume CFG is in the Chomsky normal form.
- ▶ Push S_0 on the stack and make it the current variable.
- ▶ Push non-deterministically one of the strings in the right hand side of the rule generated from the current variable on the stack.
 - e.g. $A \rightarrow BC \mid DE$ then non-deterministically choose either BC or DE and depending on the choice, say it is BC , push the string BC on the stack with B on the top of the stack.
- ▶ If the the top is a terminal, then match it off with the input bit,
- ▶ If the top of the stack is \perp then accept else make that the new current variable.

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Proof (\Rightarrow).

- ▶ Assume CFG is in the Chomsky normal form.
- ▶ Push S_0 on the stack and make it the current variable.
- ▶ Push non-deterministically one of the strings in the right hand side of the rule generated from the current variable on the stack.
 - e.g. $A \rightarrow BC \mid DE$ then non-deterministically choose either BC or DE and depending on the choice, say it is BC , push the string BC on the stack with B on the top of the stack.
- ▶ If the the top is a terminal, then match it off with the input bit,
- ▶ If the top of the stack is \perp then accept else make that the new current variable.

Repeat the above procedure. (It will either accept or loop forever.)



CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Let $G = (V, T, P, S)$

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Let $G = (V, T, P, S)$ then $A_G = (Q, \Sigma, \Gamma, \delta, q_0, \perp, F)$ where

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Let $G = (V, T, P, S)$ then $A_G = (Q, \Sigma, \Gamma, \delta, q_0, \perp, F)$ where

- ▶ $Q = \{q\} = q_0$ (Single state)

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Let $G = (V, T, P, S)$ then $A_G = (Q, \Sigma, \Gamma, \delta, q_0, \perp, F)$ where

- ▶ $Q = \{q\} = q_0$ (Single state)
- ▶ $\Sigma = T$ (Input Alphabet is set of terminals)

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Let $G = (V, T, P, S)$ then $A_G = (Q, \Sigma, \Gamma, \delta, q_0, \perp, F)$ where

- ▶ $Q = \{q\} = q_0$ (Single state)
- ▶ $\Sigma = T$ (Input Alphabet is set of terminals)
- ▶ $\Gamma = V \cup T$ (Stack alphabet is terminals and non-terminals)

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Let $G = (V, T, P, S)$ then $A_G = (Q, \Sigma, \Gamma, \delta, q_0, \perp, F)$ where

- ▶ $Q = \{q\} = q_0$ (Single state)
- ▶ $\Sigma = T$ (Input Alphabet is set of terminals)
- ▶ $\Gamma = V \cup T$ (Stack alphabet is terminals and non-terminals)
- ▶ $\perp = S$ (stack bottom is start symbol of CFG)
- ▶ $F = \emptyset$ (Acceptance by empty stack)

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Let $G = (V, T, P, S)$ then $A_G = (Q, \Sigma, \Gamma, \delta, q_0, \perp, F)$ where

- ▶ $Q = \{q\} = q_0$ (Single state)
- ▶ $\Sigma = T$ (Input Alphabet is set of terminals)
- ▶ $\Gamma = V \cup T$ (Stack alphabet is terminals and non-terminals)
- ▶ $\perp = S$ (stack bottom is start symbol of CFG)
- ▶ $F = \emptyset$ (Acceptance by empty stack)
- ▶ δ is defined as:

$$\delta(q, \epsilon, B) := \{(q, \beta) \mid B \rightarrow \beta \text{ in } P\}$$

$$\delta(q, a, a) := \{(q, \epsilon)\}$$

CFG to NPDA

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Let $G = (V, T, P, S)$ then $A_G = (Q, \Sigma, \Gamma, \delta, q_0, \perp, F)$ where

- ▶ $Q = \{q\} = q_0$ (Single state)
- ▶ $\Sigma = T$ (Input Alphabet is set of terminals)
- ▶ $\Gamma = V \cup T$ (Stack alphabet is terminals and non-terminals)
- ▶ $\perp = S$ (stack bottom is start symbol of CFG)
- ▶ $F = \emptyset$ (Acceptance by empty stack)
- ▶ δ is defined as:

$$\delta(q, \epsilon, B) := \{(q, \beta) \mid B \rightarrow \beta \text{ in } P\}$$

$$\delta(q, a, a) := \{(q, \epsilon)\}$$

Guess production rule and push on to the stack and verify guess while popping.

NPDA to CFG

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

NPDA to CFG

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Proof (\Leftarrow).

- ▶ Want: Given PDA P need CFG G_P that generates all strings P accepts

NPDA to CFG

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Proof (\Leftarrow).

- ▶ Want: Given PDA P need CFG G_P that generates all strings P accepts
- ▶ G should generate a string if that string causes PDA to go from start to accept state.

NPDA to CFG

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Proof (\Leftarrow).

- ▶ Want: Given PDA P need CFG G_P that generates all strings P accepts
- ▶ G should generate a string if that string causes PDA to go from start to accept state.
- ▶ Idea: Design a CFG that for each pair of states p, q in P , have a variable $A_{p,q}$ which generates all strings that can take P from p (with empty stack) to q (with empty stack).

NPDA to CFG

Theorem

$L = L(G)$ for some context-free grammar G if and only if it is accepted by some NPDA.

Proof (\Leftarrow).

- ▶ Want: Given PDA P need CFG G_P that generates all strings P accepts
- ▶ G should generate a string if that string causes PDA to go from start to accept state.
- ▶ Idea: Design a CFG that for each pair of states p, q in P , have a variable $A_{p,q}$ which generates all strings that can take P from p (with empty stack) to q (with empty stack).
- ▶ Modify P so that
 - ▶ It has single accept state.
 - ▶ It empties its stack before accepting.
 - ▶ Each transition either pushes a symbol or pops a symbol (not both).

NPDA to CFG

Given a PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, \perp, q_F)$ with restriction that every transition is either pushes a symbol or pops a symbol from the stack, i.e. $\delta(q, a, X)$ contains either (q_0, YX) or (q_0, ϵ) .

NPDA to CFG

Given a PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, \perp, q_F)$ with restriction that every transition is either pushes a symbol or pops a symbol from the stack, i.e. $\delta(q, a, X)$ contains either (q_0, YX) or (q_0, ϵ) . Consider the grammar $G_p = (V, T, P, S)$ such that

NPDA to CFG

Given a PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, \perp, q_F)$ with restriction that every transition is either pushes a symbol or pops a symbol from the stack, i.e. $\delta(q, a, X)$ contains either (q_0, YX) or (q_0, ϵ) . Consider the grammar $G_p = (V, T, P, S)$ such that

- ▶ $V = \{A_{p,q} : p, q \in Q\}$

NPDA to CFG

Given a PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, \perp, q_F)$ with restriction that every transition is either pushes a symbol or pops a symbol from the stack, i.e. $\delta(q, a, X)$ contains either (q_0, YX) or (q_0, ϵ) . Consider the grammar $G_p = (V, T, P, S)$ such that

- ▶ $V = \{A_{p,q} : p, q \in Q\}$
- ▶ $T = \Sigma$

NPDA to CFG

Given a PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, \perp, q_F)$ with restriction that every transition is either pushes a symbol or pops a symbol from the stack, i.e. $\delta(q, a, X)$ contains either (q_0, YX) or (q_0, ϵ) . Consider the grammar $G_p = (V, T, P, S)$ such that

- ▶ $V = \{A_{p,q} : p, q \in Q\}$
- ▶ $T = \Sigma$
- ▶ $S = A_{q_0, q_F}$

NPDA to CFG

Given a PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, \perp, q_F)$ with restriction that every transition is either pushes a symbol or pops a symbol from the stack, i.e. $\delta(q, a, X)$ contains either (q_0, YX) or (q_0, ϵ) . Consider the grammar $G_p = (V, T, P, S)$ such that

- ▶ $V = \{A_{p,q} : p, q \in Q\}$
- ▶ $T = \Sigma$
- ▶ $S = A_{q_0, q_F}$
- ▶ P has transitions of the following form:
 - ▶ $A_{q,q} \rightarrow \epsilon$ for all $q \in Q$;

NPDA to CFG

Given a PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, \perp, q_F)$ with restriction that every transition is either pushes a symbol or pops a symbol from the stack, i.e. $\delta(q, a, X)$ contains either (q_0, YX) or (q_0, ϵ) . Consider the grammar $G_p = (V, T, P, S)$ such that

- ▶ $V = \{A_{p,q} : p, q \in Q\}$
- ▶ $T = \Sigma$
- ▶ $S = A_{q_0, q_F}$
- ▶ P has transitions of the following form:
 - ▶ $A_{q,q} \rightarrow \epsilon$ for all $q \in Q$;
 - ▶ $A_{p,q} \rightarrow A_{p,r} A_{r,q}$ for all $p, q, r \in Q$,

NPDA to CFG

Given a PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, \perp, q_F)$ with restriction that every transition is either pushes a symbol or pops a symbol from the stack, i.e. $\delta(q, a, X)$ contains either (q_0, YX) or (q_0, ϵ) . Consider the grammar $G_p = (V, T, P, S)$ such that

- ▶ $V = \{A_{p,q} : p, q \in Q\}$
- ▶ $T = \Sigma$
- ▶ $S = A_{q_0, q_F}$
- ▶ P has transitions of the following form:
 - ▶ $A_{q,q} \rightarrow \epsilon$ for all $q \in Q$;
 - ▶ $A_{p,q} \rightarrow A_{p,r}A_{r,q}$ for all $p, q, r \in Q$,
 - ▶ $A_{p,q} \rightarrow aA_{r,s}b$ if $\delta(p, a, \epsilon)$ contains (r, X) and $\delta(s, b, X)$ contains (q, ϵ) .

NPDA to CFG

Given a PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, \perp, q_F)$ with restriction that every transition is either pushes a symbol or pops a symbol from the stack, i.e. $\delta(q, a, X)$ contains either (q_0, YX) or (q_0, ϵ) . Consider the grammar $G_p = (V, T, P, S)$ such that

- ▶ $V = \{A_{p,q} : p, q \in Q\}$
- ▶ $T = \Sigma$
- ▶ $S = A_{q_0, q_F}$
- ▶ P has transitions of the following form:
 - ▶ $A_{q,q} \rightarrow \epsilon$ for all $q \in Q$;
 - ▶ $A_{p,q} \rightarrow A_{p,r}A_{r,q}$ for all $p, q, r \in Q$,
 - ▶ $A_{p,q} \rightarrow aA_{r,s}b$ if $\delta(p, a, \epsilon)$ contains (r, X) and $\delta(s, b, X)$ contains (q, ϵ) .

Lemma

$$L(G_p) = L(P).$$

PDA to CFG

Lemma

If $A_{p,q} \Longrightarrow^ x$ then x can bring the PDA P from state p on empty stack to state q on empty stack.*

PDA to CFG

Lemma

If $A_{p,q} \Longrightarrow^ x$ then x can bring the PDA P from state p on empty stack to state q on empty stack.*

Proof (by induction on number of steps in derivation of x from $A_{p,q}$.)

PDA to CFG

Lemma

If $A_{p,q} \Longrightarrow^ x$ then x can bring the PDA P from state p on empty stack to state q on empty stack.*

Proof (by induction on number of steps in derivation of x from $A_{p,q}$.)

- ▶ **Base case.** If $A_{p,q} \Longrightarrow^* x$ in one step, then the only rule that can generate a variable free string in one step is $A_{p,p} \rightarrow \epsilon$.

PDA to CFG

Lemma

If $A_{p,q} \Longrightarrow^ x$ then x can bring the PDA P from state p on empty stack to state q on empty stack.*

Proof (by induction on number of steps in derivation of x from $A_{p,q}$.)

- ▶ **Base case.** If $A_{p,q} \Longrightarrow^* x$ in one step, then the only rule that can generate a variable free string in one step is $A_{p,p} \rightarrow \epsilon$.
- ▶ **Inductive step.** If $A_{p,q} \Longrightarrow^* x$ in $n + 1$ steps. The first step in the derivation must be $A_{p,q} \rightarrow A_{p,r}A_{r,q}$ or $A_{p,q} \rightarrow aA_{r,s}b$.

PDA to CFG

Lemma

If $A_{p,q} \Longrightarrow^* x$ then x can bring the PDA P from state p on empty stack to state q on empty stack.

Proof (by induction on number of steps in derivation of x from $A_{p,q}$.)

- ▶ **Base case.** If $A_{p,q} \Longrightarrow^* x$ in one step, then the only rule that can generate a variable free string in one step is $A_{p,p} \rightarrow \epsilon$.
- ▶ **Inductive step.** If $A_{p,q} \Longrightarrow^* x$ in $n + 1$ steps. The first step in the derivation must be $A_{p,q} \rightarrow A_{p,r}A_{r,q}$ or $A_{p,q} \rightarrow aA_{r,s}b$.
 - ▶ If it is $A_{p,q} \rightarrow A_{p,r}A_{r,q}$, then the string x can be broken into two parts x_1x_2 such that $A_{p,r} \Longrightarrow^* x_1$ and $A_{r,q} \Longrightarrow^* x_2$ in at most n steps. The claim easily follows in this case.
 - ▶ If it is $A_{p,q} \rightarrow aA_{r,s}b$, then the string x can be broken as ayb such that $A_{r,s} \Longrightarrow^* y$ in n steps. Notice that from p on reading a the PDA pushes a symbol X to stack, while it pops X in state s and goes to q .

