

# 2202 COL 352 Major

CHINMAY MITTAL

TOTAL POINTS

**35 / 35**

## QUESTION 1

### 1 Quotient Turing Recognizable 7 / 7

- ✓ + 7 pts Correct
- + 4 pts Partially Correct
- + 0 pts Incorrect

## QUESTION 2

### 2 CFL XOR 7 / 7

- + 7 pts Correct
- + 0 pts Incorrect
- ✓ + 1 pts True/False(i)
- ✓ + 1 pts Express XOR using logical operators
- ✓ + 1 pts CFLs are !(closed under complementation)
- ✓ + 1 pts Counter -example
- ✓ + 1 pts True/False (ii)
- ✓ + 2 pts Correct explanation
- + 0.5 pts Partial answer

## QUESTION 3

### 3 Kleene Star P 7 / 7

- + 7 pts Correct
- ✓ + 5 pts Dynamic programming algorithm
- ✓ + 2 pts run-time analysis
- + 0 pts Incorrect

## QUESTION 4

### 4 Many-One Transitive 7 / 7

- + 0 pts Incorrect/ Not Attempted

✓ + 1 pts Definition of transitivity

✓ + 2.5 pts Formal Proof

✓ + 1 pts True/False

✓ + 2.5 pts Counter Example

## QUESTION 5

### 5 REGPAL 7 / 7

- + 0 pts Incorrect
- ✓ + 2 pts Correct Grammar for Non-Palindromes
- + 2.5 pts Some correct ideas (towards reduction to emptiness etc)
- ✓ + 5 pts Reduction to emptiness of CFL
- + 7 pts Correct

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(COL 352) Introduction to Automata and Theory of Computation

May 2, 2023

Major ( A )

Duration: 2 hours

(35 points)

- Be clear in your writing. If you use a statement proved in class or in the problem set, then write down the entire statement before using it.
- **You will not get a new sheet, so make sure you are certain when you write something.** Make a judicious decision of which tool(s) to use to get a clean and short answer that fits in the space.
- Every question in this paper is worth 7 points.
- This major paper contains two question papers in one: one **regular paper** and one **easy paper** as follows. Every question has two parts: **A** and **B**. You can **either answer Part A for all questions or answer Part B for all questions**, i.e., you can either **answer 1A, 2A, 3A, 4A, 5A** or you can **answer 1B, 2B, 3B, 4B, 5B**. You **CANNOT mix**: for e.g., if you have answered 1A, 2B, 3A, 4B, 5A, then your questions 2 and 4 will not be graded, i.e, if you mix, I will assume you chose to answer part A or B based on whichever part the majority of your answers come from. **Part B of every question will be considerably easy**. So if you want to choose the easy paper, you should attempt just the questions from Part B for all the questions. **However if you choose to answer Part B, the maximum final grade you will be eligible for is a D, even if your pre-major + major score is eligible for a better grade.**
- Before you turn in your paper, indicate which part (A or B) you have attempted in this paper in the top of this page in the space provided, i.e., Major (     )
- If you cheat, you will surely get an F in this course.

**Notation and some helpful information.** You may assume that the following languages we studied in class are undecidable:

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ accepts } w \}.$$

$$\text{Halt} = \{ \langle M, w \rangle \mid M \text{ halts on } w \}.$$

$$E_{TM} = \{ \langle M \rangle \mid L(M) = \emptyset \}$$

- Let  $L_1 \oplus L_2$  be defined as  $\{ w \mid (w \in L_1 \text{ and } w \notin L_2) \text{ OR } (w \notin L_1 \text{ and } w \in L_2) \}$ . A class of languages  $\mathcal{L}$  is said to be closed under  $\oplus$  if for all  $L_1, L_2 \in \mathcal{L}$ ,  $L_1 \oplus L_2 \in \mathcal{L}$ .
- For any two languages  $A, B \subseteq \Sigma^*$ , the quotient

$$\frac{A}{B} = \{ x \in \Sigma^* \mid \exists y \in B, xy \in A \}$$

- A mapping (or many-one) reduction from a language  $A$  to  $B$  is a computable function  $f$  such that, for every string  $x$ ,  $x \in A \iff f(x) \in B$ . We use the notation  $A \leq_m B$  to denote the existence of a mapping reduction from  $A$  to  $B$ .
- $3\text{-SAT} = \{ \langle \phi \rangle \mid \phi \text{ encodes a satisfiable 3-CNF formula} \}$ .
- A clique is a graph where every pair of vertices is connected by an edge.
- $k\text{-CLIQUE} = \{ \langle G, k \rangle \mid G \text{ is an undirected graph containing a clique on } k \text{ vertices} \}$

$$\begin{array}{l} xy \\ \hline z \end{array} \quad \begin{array}{l} x \in A \\ y \in B \end{array}$$

1. (A) Show that if  $A, B$  are Turing recognizable languages, then so is the quotient  $\frac{A}{B}$  (see Page 1).

(B) Define Turing recognizable and decidable languages. Show that there exists a language that is not Turing recognizable using diagonalization.

Given  $x$  we need to find  $y$  such that  $xy \in A$  and  $y \in B$  if it exists. Consider a Turing Machine which does the following. first define an ordering of all strings in  $\Sigma^*$  (Since this set is countable such an ordering exists) let this ordering be  $w_1, w_2, \dots$

Let  $M_1$  be the recognizer for  $A$  and  $M_2$  be the recognizer for  $B$ .

A Turing Machine  $M$  which recognizes  $\frac{A}{B}$  is as follows.

In the  $i$ th step.

it will simulate  $M_1$  on  $xw_1, xw_2, \dots, xw_i$ , for at most  $i$  steps and it will simulate  $M_2$  on  $w_1, w_2, \dots, w_i$  for at most  $i$  steps. if any string  $w_j$  exists in  $w_1$  to  $w_i$  such that within  $i$  steps both  $M_1$  accept  $xw_j$  and  $M_2$  accepts  $w_j$  then  $M$  will accept  $x$ . (M will keep running steps, steps and won't stop till it accepts  $x$  or else keeps running)

if  $x \notin \frac{A}{B}$  then there some string  $y$  such that  $xy \in A$  and  $y \notin B$ . Let  $xy$  be the  $i$ th string and  $y$  be  $j$ th string. let  $m = \max(M_1, M_2)$   $\rightarrow$  # of steps for  $M_2$  recognizing  $y$

Consider  $\alpha = \max(m, i, j)$ . In the  $\alpha$ th iteration of the TM  $M$  we will definitely encounter  $y$  since  $y = w_j$  and  $j \leq m$ . Hence will run  $M_1$  on  $xy$  and  $M_2$  on  $y$  for  $\alpha$  steps  $\geq m, m_2$  and hence  $M_1$  will accept  $xy$  and  $M_2$  will accept  $y \Rightarrow M$  will accept  $x \Rightarrow M$  recognizes  $\frac{A}{B}$ .

NOTE: (i) if  $x \notin \frac{A}{B}$  then  $M$  will not halt.

in the  $i$ th step.  $M$  will simulate  $M_1$  on  $xw_j$  and  $M_2$  on  $w_j$  parallelly  $\forall j$  one step of  $M_1$  followed by one step of  $M_2$ . We can use multi-tape TMs for  $M$  to simulate  $M_1, M_2$  and also maintain a count of which step we are in (i.e.  $i$ ) and which string we are simulating (i.e.  $j$ )



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2. (A) One of the following statements is true and one is false. Correctly identify with a brief justification:

(i) If  $L_1$  is a CFL and  $L_2$  is a regular language then  $L_1 \oplus L_2$  (see Page 1) is a CFL.

(ii) If  $L_1, L_2$  are both decidable languages then  $L_1 \oplus L_2$  (see Page 1) is also decidable.

(B) Prove that  $\{0^n 1^n \mid n \in \mathbb{N}\}$  is not a regular language, and show that it is a CFL by giving a CFG.

TRUE

(i)

Considers two languages  $L_1$  and  $L_2$  both of which are decided by Turing Machines  $M_1$  and  $M_2$  respectively. Consider a machine  $M$  which decides  $L_1 \oplus L_2$  for any string  $x$  simulate  $M_1$  on  $x$  and  $M_2$  on  $x$ . Since they are deciders both the Turing Machines will halt.

Accept  $x$  only if the verdict of  $M_1$  and  $M_2$  is opposite  $\Rightarrow$  accept if  $M_1$  accepts and  $M_2$  rejects or if  $M_1$  rejects and  $M_2$  accepts  $\Rightarrow M$  accepts  $x$  only if  $x \in L_1$  and  $x \notin L_2$  OR  $x \notin L_1$  and  $x \in L_2$  and  $M$  halts on all inputs since  $M_1$  and  $M_2$  halt on all inputs  $\Rightarrow M$  decides  $L_1 \oplus L_2$  (decidable language)

shown in class.

FALSE.

(i) We know that CFGs are not closed under complementation. Hence there exists a language  $L$  such that  $L$  is a CFL but  $\bar{L}$  is not a CFL

take  $L_1 = L$  and  $L_2 = \Sigma^*$  (regular)

$$L_1 \oplus L_2 = (L \cap L_2) \cup (\bar{L}_1 \cap L_2)$$

$$= \phi \cup \bar{L} = \bar{L} \text{ not a CFL by our assumption.}$$

$\Rightarrow$  If  $L_1$  is a CFL and  $L_2$  is a regular language then

$L_1 \oplus L_2$  need not be a CFL.

3. (A) Show that  $P$  is closed under Kleene star operation (i.e,  $L \in P \implies L^* \in P$ ).

(B) Give a DFA, CFG and Turing Machine for  $\{w \mid w \text{ contains at least three 1s}\}$ . What is the time complexity of this language?

Given a Turing Machine that decides whether a string  $x \in L$  in  $|x|^c$  time for some constant  $c > 0$ . We want to build a TM which decides whether a string  $w = w_1 w_2 w_3 \dots w_n \in L^*$  in  $|w|^c$  time.

We will use the following dynamic programming approach. for each  $i$  from 0 to  $n$ . We would compute if  $w_1 w_2 \dots w_i \in L^*$  and let this value be called  $dp[i]$  (stored on the tape of the Turing Machine).  $w_0$  is the string  $\epsilon$  and is in  $L^*$  for any  $L$  hence  $dp[0] = 1$ . The subroutine used is as follows.  $HELPER(i)$  computes and stores  $dp[i]$  if we maintain a second tape which is used to store the dp values and initialize it 1 followed by  $n$  0's.

$HELPER(i) \rightarrow$  computes  $dp[i]$

for  $j = 0$  to  $i-1$   $\Rightarrow w_0 w_1 \dots w_j \in L^*$  (computed before)

if  $dp[j]$  is 1.

check if  $w_{j+1} w_{j+2} \dots w_n \in L$

if YES  $dp[j] = 1$

break.

The dp relation is as follows  $w_1 w_2 \dots w_n$  is in  $L^*$  if for any  $i$   $w_0 w_1 \dots w_i \in L^*$  and  $w_{i+1} w_{i+2} \dots w_n \in L$ . We can use  $HELPER$  from  $i = 1$  to  $n$  to compute the entire dp array.

We accept a string if  $dp[n] = 1$  else we reject it. We can build a TM  $M_0$  which implements the above algorithm.

We initialize dp array on the second tape in  $O(|w|)$  time. Helper can use the third tape for checking  $w_{j+1} w_{j+2} \dots w_n \in L$  by simulating  $M$ .  $HELPER$  is called  $O(|w|)$  times  $\rightarrow O(|w|)$  time to check  $w_{j+1} \dots w_n \in L$ . Each helper call takes  $O(i \times |w|)$  and hence the total algorithm is polynomial in  $|w| \Rightarrow L^* \in P$ . if a multitape Turing machine can decide in  $O(t(|w|))$  time then a single tape Turing machine can execute in  $O(t^c |w|)$  time for some  $c \Rightarrow$  the algorithm remains polynomial in  $|w|$  on single tape TM.



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4. (A) Show that  $\leq_m$  (see Page 1) is a transitive relation. If  $A \leq_m B$  and  $B$  is a regular language, does that imply that  $A$  is a regular language? Why or why not?

(B) Define the class NP. Show that 3-SAT and  $k$ -CLIQUE (see Page 1) are decidable in NP.

Clearly  $\leq_m$  is reflexive.  $A \leq_m A$  can be achieved by a Turing Machine that does nothing to the input and hence  $f(w) = w$

$$w \in A \iff f(w) \in A \text{ follows trivially.}$$

if  $A \leq_m B$  and  $B \leq_m C$ .  $M_1$  computes  $f_1$  and  $M_2$  computes  $f_2$ . Consider a Turing machine  $M$  that computes  $f_2(f_1(x))$  by first simulating  $M_1$  on  $x$  to convert it to  $f_1(x)$  and then simulating  $M_2$  on  $f_1(x)$  to convert it to  $f_2(f_1(x))$

$$x \in A \iff f_1(x) \in B \iff f_2(f_1(x)) \in C$$

$\Rightarrow f = f_2 \circ f_1$  is the computable function such that  $x \in A \iff f(x) \in C$  which can be implemented by a TM as shown above.  $\Rightarrow A \leq_m C$

Symmetric. If  $A \leq_m B$  then  $B \leq_m A$ . This can be achieved (transitivity) by a Turing machine that does exactly the reverse steps of the Machine which computes  $A$  to  $B$ . (invert the transition function)

Consider  $A = \{0^n 1^n \mid n \geq 0\}$  and  $B = \{0\}$ . Consider a Turing Machine that decides whether a string is of the form  $0^n 1^n$  (Such a TM exists, because CFLs can be decided by Turing Machines)

if  $w \in A$  then the Turing Machine writes 0 on the tape erasing everything else and otherwise it erases the entire tape

$$w \in A \iff f(w) \in B$$

$$f(w) = \begin{cases} 0 & \text{if } w \in A \\ \epsilon & \text{else} \end{cases}$$

$B$  is regular since it is finite but  $A$  is not a regular language

Hence by this counter

example

if  $A \leq_m B$  and  $B$  is regular then  $A$  need not be regular.

(shown in class by the pumping lemma)

$$L_1 \cap L_2 \quad L \cap L \rightarrow \text{palindrome}$$

$$L \subseteq L \quad \epsilon \in L$$

$$\text{not } L(M) \cap \text{not palindrome} \text{ is } \emptyset$$

5. (A) Prove that the following language is decidable.

$$\text{REGPAL} = \{ \langle M \rangle \mid M \text{ is a DFA and all strings accepted by } M \text{ are palindromes} \}$$

(B) Show that  $\text{ALL}_{\text{DFA}} = \{ \langle M \rangle \mid M \text{ is a DFA and } L(M) = \Sigma^* \}$  is decidable.

Consider The problem of deciding REGPAL

if  $M$  is DFA and all strings accepted by  $M$  are palindrome  $\iff L(M) \cap L_{\text{not palindrome}} = \emptyset$

We first show that  $L_{\text{not palindrome}}$  is a CFL

language of all strings which are not palindrome.

$$\begin{aligned} S &\rightarrow S_1 & (i) \\ S &\rightarrow 0S0 \mid 1S1 & (ii) \\ S_1 &\rightarrow 0S_21 \mid 1S_20 & (iii) \\ S_2 &\rightarrow \epsilon \mid 0S_2 \mid 1S_2 & (iv) \end{aligned}$$

Any string which is not a palindrome will be of the following form.

$$\underline{w} \ 0 \ \underline{w}$$

$$\text{or } \underline{w} \ 1 \ \underline{w} \quad \text{Any string made by } S_2 \text{ (iv)}$$

We have shown in a previous paper that if  $L_1$  is a regular language and  $L_2$  is a CFL

then  $L = L_1 \cap L_2$  is a CFL

$\implies$  Since  $L(M)$  is regular given  $M$  is a DFA and  $L_{\text{not palindrome}}$  is a CFL  $L = L(M) \cap L_{\text{not palindrome}}$  is a CFL.

We have reduced the problem to checking whether or not a CFL

$(L)$  is empty.  $E_{\text{CFL}}$  is a decidable problem. (as shown in class)

Hence the decider for REGPAL is as follows. reject  $\langle M \rangle$  is not a valid encoding. otherwise find the Grammar  $G$  of the CFL such

$$L(G) = L(M) \cap L_{\text{not palindrome}}$$

accept if  $E_{\text{CFL}}$  accepts  $G$  otherwise reject.