# COL 352 Introduction to Automata and Theory of Computation

Nikhil Balaji

Bharti 420 Indian Institute of Technology, Delhi nbalaji@cse.iitd.ac.in

April 30, 2023

Lecture 34: Computational Complexity Theory (Part 3)

▶ Time complexity

- ▶ Time complexity
- ▶  $\mathsf{TIME}(n)$ ,  $\mathsf{NTIME}(n)$

- ▶ Time complexity
- ▶  $\mathsf{TIME}(n)$ ,  $\mathsf{NTIME}(n)$
- Verifier algorithms

- ▶ Time complexity
- ightharpoonup TIME(n), NTIME(n)
- Verifier algorithms
- Examples: SAT, 3-SAT, k-Clique, Subset Sum.

- Time complexity
- ightharpoonup TIME(n), NTIME(n)
- Verifier algorithms
- Examples: SAT, 3-SAT, k-Clique, Subset Sum.
- ▶ P, NP, coNP, EXP, NEXP

- Time complexity
- ▶ TIME(n), NTIME(n)
- Verifier algorithms
- Examples: SAT, 3-SAT, k-Clique, Subset Sum.
- ▶ P, NP, coNP, EXP, NEXP
- Hierarchy theorems

- Time complexity
- ▶ TIME(n), NTIME(n)
- Verifier algorithms
- Examples: SAT, 3-SAT, k-Clique, Subset Sum.
- ▶ P, NP, coNP, EXP, NEXP
- Hierarchy theorems
- ► Today: NP-completeness

### Definition

A language L is said to be NP-hard if for every language  $L' \in \text{NP}$ , there is a polynomial time reduction such that  $L' \leq_m L$ .

## Definition

A language L is said to be NP-hard if for every language  $L' \in \text{NP}$ , there is a polynomial time reduction such that  $L' \leq_m L$ .

## Definition

A language L is said to be NP-complete if the following two conditions hold:

## Definition

A language L is said to be NP-hard if for every language  $L' \in \text{NP}$ , there is a polynomial time reduction such that  $L' \leq_m L$ .

## Definition

A language L is said to be NP-complete if the following two conditions hold:

 ${\cal L}$  is in NP.

## Definition

A language L is said to be NP-hard if for every language  $L' \in \text{NP}$ , there is a polynomial time reduction such that  $L' \leq_m L$ .

## Definition

A language L is said to be NP-complete if the following two conditions hold:

L is in NP.

L is NP-hard.

#### Theorem

3-SAT is polynomial time reducible to k-Clique.

$$\phi = (a_1 \vee b_1 \vee c_1) \wedge \dots (a_k \vee b_k \vee c_k)$$

## Definition

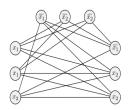
A language L is said to be NP-hard if for every language  $L' \in \text{NP}$ , there is a polynomial time reduction such that  $L' \leq_m L$ .

## Definition

A language L is said to be NP-complete if the following two conditions hold:

L is in NP.

L is NP-hard.



## Definition

A language L is said to be NP-hard if for every language  $L' \in \text{NP}$ , there is a polynomial time reduction such that  $L' \leq_m L$ .

## Definition

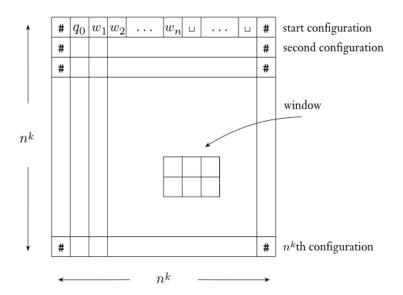
A language L is said to be NP-complete if the following two conditions hold:

L is in NP.

L is NP-hard.

# Theorem ([Cook-Levin, 1970])

SAT is NP-complete. If L is NP-complete and  $L \in P$  then, P = NP.



- ▶ Let  $Q \coloneqq \mathsf{States}$ ,  $\Gamma \coloneqq \mathsf{Tape}$  alphabet.  $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables:  $\forall i, j \in [n^k], k \in C, x_{i,j,s}$

- ▶ Let  $Q \coloneqq \mathsf{States}$ ,  $\Gamma \coloneqq \mathsf{Tape}$  alphabet.  $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables:  $\forall i, j \in [n^k], k \in C, x_{i,j,s}$
- cell[i,j]: If  $x_{i,j,s} = 1, cell[i,j] = s$ .

- ▶ Let  $Q \coloneqq \mathsf{States}$ ,  $\Gamma \coloneqq \mathsf{Tape}$  alphabet.  $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables:  $\forall i, j \in [n^k], k \in C, x_{i,j,s}$
- cell[i,j]: If  $x_{i,j,s} = 1, cell[i,j] = s$ .

- ▶ Let  $Q \coloneqq \mathsf{States}$ ,  $\Gamma \coloneqq \mathsf{Tape}$  alphabet.  $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables:  $\forall i, j \in [n^k], k \in C, x_{i,j,s}$
- cell[i,j]: If  $x_{i,j,s} = 1$ , cell[i,j] = s.

$$\phi_{cell} = \bigwedge_{1 \le i,j \le n^k} \left( \left( \vee_{s \in C} x_{i,j,s} \right) \land \left( \wedge_{s,t \in C, s \ne t} \overline{x_{i,j,s}} \lor \overline{x_{i,j,t}} \right) \right)$$

- ▶ Let  $Q \coloneqq \mathsf{States}$ ,  $\Gamma \coloneqq \mathsf{Tape}$  alphabet.  $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables:  $\forall i, j \in [n^k], k \in C, x_{i,j,s}$
- cell[i,j]: If  $x_{i,j,s} = 1$ , cell[i,j] = s.

$$\phi_{cell} = \bigwedge_{1 \le i, j \le n^k} \left( \left( \vee_{s \in C} x_{i,j,s} \right) \land \left( \wedge_{s,t \in C, s \ne t} \overline{x_{i,j,s}} \lor \overline{x_{i,j,t}} \right) \right)$$

$$\phi_{start} = x_{1,1,\#} \wedge x_{1,2,q_0} \wedge \dots x_{1,3,w_1} \wedge \dots \wedge x_{1,n^k,\#}$$

- ▶ Let  $Q \coloneqq$  States,  $\Gamma \coloneqq$  Tape alphabet.  $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables:  $\forall i, j \in [n^k], k \in C, x_{i,j,s}$
- $\qquad \qquad \textbf{cell[}[i,j]\text{: If }x_{i,j,s} = 1,cell[i,j] = s.$

$$\phi_{cell} = \bigwedge_{1 \le i, j \le n^k} \left( \left( \vee_{s \in C} x_{i,j,s} \right) \land \left( \wedge_{s,t \in C, s \ne t} \overline{x_{i,j,s}} \lor \overline{x_{i,j,t}} \right) \right)$$

$$\phi_{start} = x_{1,1,\#} \wedge x_{1,2,q_0} \wedge \dots x_{1,3,w_1} \wedge \dots \wedge x_{1,n^k,\#}$$

$$\phi_{accept} = \bigvee_{1 \le i, j \le n^k} x_{i, j, q_{accept}}$$

- ▶ Let  $Q \coloneqq \mathsf{States}$ ,  $\Gamma \coloneqq \mathsf{Tape}$  alphabet.  $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables:  $\forall i, j \in [n^k], k \in C, x_{i,j,s}$
- cell[i,j]: If  $x_{i,j,s} = 1$ , cell[i,j] = s.

$$\phi_{cell} = \bigwedge_{1 \leq i,j \leq n^k} \left( \left( \vee_{s \in C} x_{i,j,s} \right) \wedge \left( \wedge_{s,t \in C, s \neq t} \overline{x_{i,j,s}} \vee \overline{x_{i,j,t}} \right) \right)$$

$$\phi_{start} = x_{1,1,\#} \wedge x_{1,2,q_0} \wedge \dots x_{1,3,w_1} \wedge \dots \wedge x_{1,n^k,\#}$$

$$\phi_{accept} = \bigvee_{1 \le i, j \le n^k} x_{i, j, q_{accept}}$$

$$\phi_{move} = \bigwedge_{1 \le i < n^k, 1 \le j < n^k}$$
 the (i,j) window is legal



- ▶ Let  $Q \coloneqq \mathsf{States}$ ,  $\Gamma \coloneqq \mathsf{Tape}$  alphabet.  $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables:  $\forall i, j \in [n^k], k \in C, x_{i,j,s}$
- cell[i,j]: If  $x_{i,j,s} = 1, cell[i,j] = s$ .

$$\phi_{cell} = \bigwedge_{1 \leq i,j \leq n^k} \left( \left( \vee_{s \in C} x_{i,j,s} \right) \wedge \left( \wedge_{s,t \in C, s \neq t} \overline{x_{i,j,s}} \vee \overline{x_{i,j,t}} \right) \right)$$

$$\phi_{start} = x_{1,1,\#} \wedge x_{1,2,q_0} \wedge \dots x_{1,3,w_1} \wedge \dots \wedge x_{1,n^k,\#}$$

$$\phi_{accept} = \bigvee_{1 \le i, j \le n^k} x_{i, j, q_{accept}}$$

$$\phi_{move} = \bigwedge_{1 \le i < n^k, 1 \le j < n^k}$$
 the (i,j) window is legal

