# **Dynamic Programming**

Christopher R. Genovese

Department of Statistics & Data Science

31 Oct 2024 Session #18

**Graph Traversals: Finishing** 

**Graph Traversals: Finishing** 

**Dynamic Programming** 

**Graph Traversals: Finishing** 

**Dynamic Programming** 

**Appendix** 

#### **Announcements**

- Survey link https://docs.google.com/forms/d/e/ 1FAIpQLSd50fhtlpNVznFKUKFxEPQNM6ZOXh2y8tOseyTc2xs8eANxIA/ viewform Please complete asap
- fpc code, python 3.12
- Reading:
  - Dynamic Programming
  - \_
- Democracy Day
- Homework: migit-2 due Tuesday 05 Nov, kd-tree Exercises #1 and #2

**Graph Traversals: Finishing** 

**Dynamic Programming** 

**Appendix** 

#### **Graphs**

A graph is a collection of nodes and edges that describe pairwise relationships (edges) between entities (nodes).

There are many, many flavors of graphs. Particularly important features:

- Directed versus Undirected do the edges have a direction?
- Simple versus multi can there be more than one edge between two nodes?
- Loops versus No Loops can there be an edge from a node to itself?
- Labeled (edge or node) versus unlabeled is there extra information associated with nodes and/or edges?

Function and Functorial representations.

- Graph representations (adjacency list and matrix)
- Traversals: depth-first, breadth-first, priority-first, . . .
- Getting more out of the traversal.

## Depth-First, Breadth First, Priority First Traversal

- Breadth-First Search (BFS) :: visit all neighbors of the current node before visiting any of their neighbors.
- Depth-First Search (DFS): visit all neighbors of the next visited node before visiting the other neighbors of the current node.
- Priority Search :: visit nodes in priority order, adding neighbors at each stage

#### Aside:

- Stack: push, pop, isEmpty, peek
- Queue: enqueue, dequeue, peek, isEmpty
- Priority Queue: enqueue(obj, priority), dequeue, peek, isEmpty
- $\bullet \ \ \, \mathsf{Deque:} \ \, \mathsf{pushFront}, \, \mathsf{popFront}, \, \mathsf{pushBack}, \, \mathsf{popBack}, \, \mathsf{peekFront}, \, \mathsf{peekBack}, \, \mathsf{isEmpty}$

### **Traversal Powerup**

See code in documents/Src/graphs.

- A traversal template
- Tracking traversal state
- Configuring the traversal: actions and stores
- Applications

## Breadth First: Traversing a tree by level

Given a tree with n nodes, create a tree of the same shape but with the leaves numbered 1..n level by level from the root and left to right.

Last time, we realized that we needed a *queue* to arrange the visitation. Let's build this together briefly.

Demo

# A Template for Graph Traversal

- Print log of traversal as it runs (showing nodes as they are visited and processed and edges as they are traversed. (See print-history.py.)
- Use DFS to detect cycles in a graph Idea: In an undirected graph, if there are no back edges, we have a tree – hence, no cycles. But any back edge creates a cycle. So, look for back edges.
- 3 A topological sort of a Directed Acyclic Graph (DAG) is a linear ordering of the DAG's nodes such that if (u, v) is a directed edge in the graph, node u comes before node v in the ordering.

  Given a DAG, how do we use DFS to do a topological sort?
- 4 Use DFS to count the number of "descendants" of a node.

- Print log of traversal as it runs (showing nodes as they are visited and processed and edges as they are traversed. (See print-history.py.)
- Use DFS to detect cycles in a graph Idea: In an undirected graph, if there are no back edges, we have a tree – hence, no cycles. But any back edge creates a cycle. So, look for back edges.

- ③ A topological sort of a Directed Acyclic Graph (DAG) is a linear ordering of the DAG's nodes such that if (u, v) is a directed edge in the graph, node u comes before node v in the ordering.
  - Given a DAG, how do we use DFS to do a topological sort?
- 4 Use DFS to count the number of "descendants" of a node.

- Print log of traversal as it runs (showing nodes as they are visited and processed and edges as they are traversed. (See print-history.py.)
- Use DFS to detect cycles in a graph Idea: In an undirected graph, if there are no back edges, we have a tree – hence, no cycles. But any back edge creates a cycle. So, look for back edges.
- **3** A topological sort of a Directed Acyclic Graph (DAG) is a linear ordering of the DAG's nodes such that if (u, v) is a directed edge in the graph, node u comes before node v in the ordering.

Given a DAG, how do we use DFS to do a topological sort?

```
acc = [] # pass this to traverse
# Graph -> NodeId -> State -> State
def record_processed(g, node, ts):
    if ts.processed(node):
        ts.acc.push(node)
    return ts
```

Final acc now has a topo sort of node ids

4 Use DFS to count the number of "descendants" of a node.

- **⑤** Use DFS to count the compute a path between two nodes.
- 6 Use BFS to find the shortest path from starting node to any node

- Use DFS to count the compute a path between two nodes.
- 6 Use BFS to find the shortest path from starting node to any node

```
def find_path(from_node, to_node, parents) -> Optional[list[NodeId]]
    path = []
    end = to node
    while from node != end and end is not None:
        path.append(end)
        end = parents[end]
    if end is not None:
        path.append(from_node)
        path.reverse()
        return path
    else:
        return None
# ts = bfs(...)
# find path(0, 3, ts.parent)
```

- Use BFS to find the connected components of a graph.
- (3) Use BFS to determine if a graph is 2-colorable, or bipartite, (i.e., we can assign one of two colors to every node so that no two nodes of the same color are adjacent).

Use BFS to find the connected components of a graph. def collect\_visited(graph, node, state): "Accumulates list of nodes as they are visited." state.accumulator.append(node) def grab\_component(graph, components, start, state=None): "Collect one connected component and reset state accumulator." state = graph.bfs(start, [], before node=collect\_visited, ts=state) components.append(state.accumulator) state.accumulator = [] # reset return state def connected components(g: Graph) -> list[list[NodeId]]: "Returns a list of connected components for a graph g" components = [] ts = None for node in g.nodes: if ts.fresh(node): ts = grab\_component(g, components, node, state=ts) return components

Use BFS to determine if a graph is 2-colorable, or bipartite, (i.e., we can assign one of two colors to every node so that no two nodes of the same color are adjacent).

- Use BFS to find the connected components of a graph.
- Use BFS to determine if a graph is 2-colorable, or bipartite, (i.e., we can assign one of two colors to every node so that no two nodes of the same color are adjacent).

```
def complementary_color(color):
    return 1 - color
def check_edge(graph, node, neighbor, state):
    node_color = graph.node_properties(node, "color")
   nghb color = graph.node properties(neighbor, "color")
    if node_color == nghb_color:
        ts.accumulator = False # Bipartite indicator
        ts finished = True
    graph.update node properties(neighbor, color=complementary_color(node_color))
def two coloring(g: Graph) -> List[tuple[NodeId. int]] | Literal[False]:
    "Returns a two-coloring of a graph g if bipartite, else False."
   ts = None
   for node in g.nodes:
       if ts is None or ts.fresh(node):
            g.update node properties(node, color=0)
            ts = bfs(g, node, True, on edge=check edge, ts=ts)
        if ts.finished:
            break
    if ts.accumulator:
        return [(node, g.node properties(node, "color")) for node in g.nodes]
    return False
```

**Graph Traversals: Finishing** 

**Dynamic Programming** 

**Appendix** 

Consider this recursive calculation of Fibonacci numbers:

```
fib(n):
    if n == 0: return 0
    if n == 1: return 1
    return fib(n-1) + fib(n-2)
```

How does this perform?

Consider this recursive calculation of Fibonacci numbers:

```
fib(n):
    if n == 0: return 0
    if n == 1: return 1
    return fib(n-1) + fib(n-2)
```

How does this perform?

```
fib(7) -> fib(6) -> fib(5), fib(4) -> fib(4), fib(3), fib(3), ...
fib(5) -> fib(4), fib(3)
```

This can be *exponential* because we end up recomputing the same value multiple times. We are essentially visiting every node of a complete tree when we don't have to.

Consider this recursive calculation of Fibonacci numbers:

```
fib(n):
     if n == 0: return 0
     if n == 1: return 1
     return fib(n-1) + fib(n-2)
How does this perform?
Compare:
type Phi = Phi Integer Integer
instance Monoid Phi where
  munit = Phi 1 0
 mcombine (Phi a b) (Phi m n) = Phi (a*m + b*n) (a*n + b*(m + n))
fib n = extract (fastMonoidPow n (Phi 0 1))
  where extract (Phi _ b) = b
```

Consider this recursive calculation of Fibonacci numbers:

```
fib(n):
    if n == 0: return 0
    if n == 1: return 1
    return fib(n-1) + fib(n-2)
```

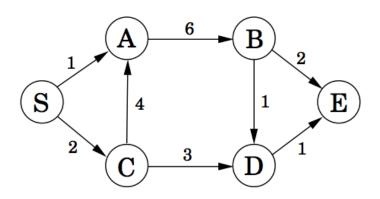
How does this perform?

Two key strategies:

- Solve the problem in a particular order (from smaller n to larger n).
- Store the solutions of problems we have already solved (memoization).

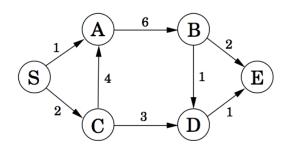
#### **A Prototypical Problem**

Consider a (one-way) road network connecting sites in a town, where each path from a site to a connected site has a cost.



What is the lowest-cost path from S to E? How do we find it? Subproblems?

### **A Prototypical Problem**



#### Start from E and work backward.

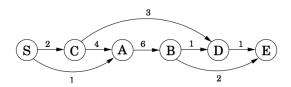
- The best route from E to E costs 0.
- The best route from D to E costs 1.
- The best route from B to E costs 2.
- The best route from A to E costs 8.
- The best route from C to E costs 4.
- $\bullet$  The best route from S to E costs 6. [S  $\rightarrow$  C  $\rightarrow$  D  $\rightarrow$  E]

# A Prototypical Problem (cont'd)

The lowest-cost path from a given node to E is a *subproblem* of the original.

We can arrange these subproblems in an ordering so that we can solve the easiest subproblems first and then solve the harder subproblems in terms of the easier ones.

What ordering?



This is just a *topological sort* of the DAG. It presents the subproblems in *decreasing order*: S, C, A, B, D, E, though in practice we often handle the subproblems in *increasing order*.

## **Dynamic Programming**

#### Basic Strategy:

- ① Decompose a problem into (possibly many) smaller subproblems.
- Arrange those subproblems in the order they will be needed.
- 3 Compute solutions to the subproblems in order, storing the solution to each subproblem for later use.
- The solution to a subproblem combines the solutions to earlier subproblems in a specific way.

## **Dynamic Programming**

#### Detailed Strategy:

- Decompose a problem into smaller subproblems.
  Implicitly, each subproblem is a node in a directed graph, and there is a directed edge in that graph when the result of one subproblem is required in order to solve the other.
- 2 Arrange those subproblems in the topologically sorted order of the graph.
  We will call the subproblem order decreasing if edges points from superproblems to subproblems and increasing if the reverse.
- 3 Compute solutions to the subproblems in order, storing the result of each subproblem for later use if needed. This storing approach is called memoization or caching.
- The solution to a subproblem combines the solutions to earlier subproblems through a specific mathematical relation.
  - The mathematical relationship between a subproblem solution and the solution of previous subproblems is often embodied in an equation, or set of equations, called the **Bellman equations**. We will see examples below.

## **Quick Exercise: Memoizing a Function**

For the Fibonacci example, what are the sub-problems? What is the DAG? What does memoizing look like?

## **Quick Exercise: Memoizing a Function**

```
function fib(n):
    r = array(n)

r[1] = 0
    r[2] = 1

for i in 3:n:
    r[i] = r[i - 1] + r[i - 2]

return r[n]
```

### **Quick Exercise: Memoizing a Function**

You have code for a function a function f. How would you memoize it?

```
function memoize(f):
   memoizing_table = hash_table()
   function f_prime(...):
       arglist = list(...)
       entry = memoizing_table.lookup(arglist)
       if entry:
           return entry
       else:
           value = f(...)
           memoizing_table.insert(arglist, value)
           return value
   setattr(f_prime, 'original', f)
   return f_prime
fib = memoize(fib)
fib(50)
```

## Formalizing the Shortest Path

For nodes u in our graph, let dist(u) be the minimal cost of a path from u to E (the end node). We want dist(S). Finding dist(u) is a subproblem.

For subproblem nodes u, v with an edge  $u \to v$  connecting them, let  $c(u, v) \equiv c(v, u)$  be the cost of that edge.

Here is our algorithm:

- Initialize  $dist(u) = \infty$  for all u.
- Set dist(E) = 0.
- Topologically sort the graph, giving us a sequence of nodes from S to E.
- Work through the sorted nodes in reverse. For each node v, set

$$\operatorname{dist}(v) = \min_{u \to v} \left( \operatorname{dist}(u) + c(u, v) \right)$$

18 / 28

These last equations are called the **Bellman equations**.

Let's try it. The decreasing order is S, C, A, B, D, E, yielding:

#### **Exercise**

Write a function min\_cost\_path that returns the minimal cost path to a target node from every other node in a weighted, directed graph, along with the minimal cost. If there is no directed path from a node to the target node, the path should be empty and the cost should be infinite.

Your function should take a representation of the graph and a list of nodes in subproblem order. You can represent the graph anyway you prefer; however, one convenient interface, especially for R users, would be:

```
min_cost_path(target_node, dag_nodes, costs)
```

where target\_node names the target node, dag\_nodes lists all the nodes in increasing order, and costs is a *symmetric* matrix of edge weights with rows and columns arranged in dependent order. Assume: costs[u,v] = Infinity if there is no edge between u and v.

Note: You can use the above example as a test case.

#### **Exercise**

```
constantly <- function(x) {</pre>
  return( function(z) { return(x) } )
}
min_cost_path <- function(target_node, dag_nodes_flow, costs) {</pre>
  node_count <- length(dag_nodes_flow)</pre>
  paths <- setNames(vector("list", node_count), dag_nodes_flow)</pre>
  dists <- lapply(paths, constantly(Inf))</pre>
  target_index <- match(target_node, dag_nodes_flow)</pre>
  if ( !is.na(target_index) ) stop("Target node not found")
  dists[[target node]] <- 0
  paths[[target_node]] <- c(target_node)</pre>
  for ( node_index in (target_index+1):node_count ) {
    flows from <- target index: (node index-1) # indices in *flow* order
    step_cost <- unlist(dists[flows_from]) + costs[flows_from, node_index]</pre>
    best step <- which.min(step cost)</pre>
    min_dist <- step_cost[best_step]</pre>
    if ( min dist < Inf ) {</pre>
      dists[[node_index]] <- min_dist
      paths[[node_index]] <- c(dag_nodes_flow[node_index],</pre>
                                 paths[[target_index + best_step - 1]])
```

When you make a spelling mistake, you have usually produced a "word" that is close in some sense to your target word. What does *close* mean here?

The *edit distance* between two strings is the minimum number of edits – insertions, deletions, and character substitutions – that converts one string into another.

Example: Snowy vs. Sunny. What is the edit distance?

Three changes transformed one into the other.

An equivalent but easier way to look at this is to think of it as an alignment problem. We use a \_ marker to indicate inserts and deletions. Here are two possible alignments of Snowy and Sunny:

We can convince ourselves that the minimum cost here is 3, and that is the edit distance edit(Snowy, Sunny).

But there are many possible alignments of two strings, and searching for the best among them would be costly.

Instead, we think about how to decompose this problem into sub-problems.

Consider computing  ${\tt edit(s, t)}$  for two strings  ${\tt s[1..m]}$ , and  ${\tt t[1..n]}$ . The sub-problems should be smaller versios of the same problem that  ${\tt help}$  us solve the bigger versions.

We can look at \*prefixes\* of the strings s[1..i] = and = t[1..j] as the sub-problems, and express its solutions in terms of smaller problems of the same form. Let  $E_i = edit(s[1..i], t[1..j])$ .

When we find the best alignment of these strings, the last column in the table will be one of three forms

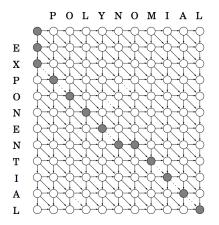
The first case gives cost 1 + edit(s[1..i-1], t[1..j]). The second case gives 1 + edit(s[1..i], t[1..j-1]). The third case gives (s[i] != t[j]) + edit(s[1..i-1], t[1..j-1]) . Hence,

$$E_{ij} = \min(1 + E_{i-1,j}, 1 + E_{i,j-1}, d_{ij} + E_{i-1,j-1}).$$

We also have the initial conditions  $E_{i0} = i$  and  $E_{0j} = j$ .

Hence, we can make a two dimensional table of sub-problems, which we can tackle in any order as long as  $E_{ij}$  is computed after  $E_{i-1,j}, E_{i,j-1}, E_{i-1,j-1}$ .

What is the DAG here? If we weight the edges, we can get generalized costs.



Write a function to compute the edit distance between two strings *and* a sequence of transformations of one string into the other.

What information do you need to keep track of? How do you organize the data? What types do you need?

**Graph Traversals: Finishing** 

**Dynamic Programming** 

**Appendix** 

#### **Tree Flavors**

```
type BinaryTree a = Tip | Branch (BinaryTree a) a (BinaryTree a)
type RoseTree a = Node a (List (RoseTree a))
-- Heterogenous version of Rose Tree, different types on Leaf and Branch
type HTree b l = Leaf l | Branch b (NonEmptyList HTree b l)
type Trie k m a = Trie { data : Maybe a
                       , children : Map k (Trie k m a)
                       , annotation : m -- m will be a Monoid
-- One way to think about unrooted trees; there are others.
-- Fin n is the type of integers 1..n. OrderedPairs a is like Pair a a
-- but represents pairs (x, y) where x < y.
type UnrootedTree a = forall n.
          Pair(Injection Fin(n - 1) (OrderedPairs (Fin n)), Fin n -> a)
```

## Aside: A Beautiful, Lazy Solution

From Jones and Gibbon (Osaki, 2000), translated to TL1:

where (ks, t') = bfn (Cons 1 ks, t)

-- Given the list of next available index at each level -- Produce a tree numbered with these at each level -- and return the updated list bfn : (List Int, BinaryTree a) -> (List Int, BinaryTree Int) bfn (inds, Tip) = (inds, Tip) bfn (Cons ind inds, BinaryTree left right) = (Cons (ind + 1) inds'', BinaryTree left' ind right') where (inds', left') = bfn (inds, left) (inds'', right') = bfn (inds', right) -- When done, the next available index at one level is the first available -- for the next level. And poof, like magic bfnum : BinarvTree a -> BinarvTree Int bfnum t = t'

# Class Work: Searching with Tries

Consider a simple form of the "Trie," a special type of rose tree that can be used for efficiently associating values with a set of strings.

We consider a simplified form here

where Map  $\, {\tt k} \, \, \, {\tt v}$  is an associative map (e.g., dictionary) from key type  ${\tt k}$  to value type  ${\tt v}.$ 

When a string like "foobar" (and associated value) are inserted into the trie, we start at the root node (associated with the empty string) and add a child for the first character (f), then moving to that child, add a child of that for the second character (o), and so on until the string is done. We store the value in the final node. (Picture)

We have an interface

```
empty : Trie a
insert : String -> Trie a -> Trie a
lookup : String -> Trie a -> Maybe a
```

Let's make a simple trie implementation together.

# THE END