MicroProfile Reactive Streams Operators Specification

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1.0-SNAPSHOT, July 11, 2018

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Specification: MicroProfile Reactive Streams Operators Specification

Version: 1.0-SNAPSHOT

Status: Draft

Release: July 11, 2018

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Introduction

This specification defines an API for manipulating Reactive Streams, providing operators such as map, filter, flatMap, in a similar fashion to the java.util.streams API introduced in Java 8. It also provides an SPI for implementing and providing custom Reactive Streams engines, allowing application developers to use whichever engine they see fit.

Rationale

The java.util.streams API provides functionality necessary for manipulating streams in memory introducing functional programming into the Java language. However, manipulating potentially infinite asynchronous streams has some very different requirements which the java.util.streams API is not suitable for.

Reactive Streams is a specification for asynchronous streaming between different libraries and/or technologies, which is included in JDK9 as the <code>java.util.concurrent.Flow</code> spec. Reactive Streams itself however is an SPI for library and technology vendors to implement, it is not intended that application developers implement it as the semantics are very complex.

Commonly, Reactive Streams requires more than just plumbing publishers to subscribers, the stream typically needs to be manipulated in some way, such as applying operations such as map, filter and flatMap. Neither Reactive Streams, nor the JDK, provides an API for doing these manipulations. Since users are not meant to implement Reactive Streams themselves, this means the only way to do these manipulations currently is to depend on a third party library providing operators, such as Akka Streams, RxJava or Reactor.

This API seeks to fill that gap, so that MicroProfile application developers can manipulate Reactive Streams without bringing in a third party dependency. By itself, this API is not interesting to MicroProfile, but with the addition of other Reactive features, such as the MicroProfile Reactive Messaging proposal, it is essential.

There are a number of different approaches to handling the cross cutting concerns relating to actually running streams. These include how and whether context is propagated, concurrency models, buffering, hooks for monitoring and remoting. Different implementations of Reactive Streams offer different approaches based on varying opinions on how these cross cutting concerns should be treated. For this reason, this API provides an underlying SPI to allow different engines to be plugged in to actually run the streams. Implementors of this spec will provide a default implementation, however users can select to use a custom implementation if they need.

This specification started as a proposal for the JDK, but after discussions there, it was decided that the JDK was not the right place to incubate such an API. It is the intention that once incubated in MicroProfile, this specification will be put to the JDK again as a proposal.

Scope

This specification aims to define a set of operators to manipulate Reactive Streams. The proposed API is voluntarily close to the <code>java.utils.Stream</code> API.

It does not define CDI and container API integrations but implementations may provide integrations.

Reactive Streams API dependency

Reactive Streams has been included in the JDK9 as the java.util.concurrent.Flow API, which contains the Publisher, Subscriber, Subscription and Processor interfaces as nested interfaces of Flow. MicroProfile however is not ready to move to a baseline requirement for JDK9 or above.

For this reason, this proposal uses the JDK6 compatible org.reactivestreams API, which provides identical Publisher, Subscriber, Subscription and Processor interfaces as members of the org.reactivestreams package. This dependency contains nothing but those interfaces.

It has been discussed that MicroProfile could copy those interfaces itself, so as to not add this dependency, however this would most likely frustrate use of Reactive Streams in MicroProfile. There is a large ecosystem built around the org.reactivestreams interfaces. If application developers wanted to leverage that ecosystem in their application, they would have to write adapters to bridge the two APIs. Given that the org.reactivestreams dependency is a jar that contains only these interfaces, identical to the interfaces in JDK9, there doesn't seem to be any value in copying them again into MicroProfile.

To facilitate an eventual migration to the JDK9 interfaces, once MicroProfile adopts JDK9 or later as a baseline JDK version, all methods that pass org.reactivestreams interfaces to the user (either as a return value, or by virtue of a user providing a callback to the method to receive it) will have Rs added to their name. For example, getSubscriber will be called getRsSubscriber. This will allow new methods to be added in future that return java.util.concurrent.Flow interfaces, without the Rs in the name, allowing the existing Rs methods to be kept for a limited time for backwards compatibility. Methods that accept a org.reactivestreams interface do not need to be given the same treatment, as support for the JDK9 interfaces can be added by overloading them, with backwards compatibility being maintained (see reactive approach for MicroProfile).

Design

The design of MicroProfile Reactive Streams is centered around **builders** for the various shapes of streams. There are four different shapes of streams that can be built:

- Processors. A processor has one inlet and one outlet, and is represented as a Reactive Streams Processor when built. This is called a ProcessorBuilder.
- Publishers. A publisher has one outlet but no inlet, and is represented as a Reactive Streams
 Publisher when built. This is called a PublisherBuilder
- Subscribers. A subscriber has one inlet but no outlet, and it also has a result. It is represented as a product of a Reactive Streams Subscriber and a CompletionStage that is redeemed with the result, or error if the stream fails, when built. This is called a SubscriberBuilder.

```
[Shapes] | shapes.png
```

- Closed graphs. A closed graph has no inlet or outlet, both having being provided in during the construction of the graph
 - 1. It is represented as a CompletionStage of the result of the stream. This is called a CompletionRunner. The result is retrieved using the run method.

```
[Closed graph] | graph.png
```

While building a stream, the stream may change shape during its construction. For example, a publisher may be collected into a List of elements. When this happens, the stream becomes a closed graph, since there is no longer an outlet, but just a result, the result being the List of elements:

```
PublisherBuilder<Integer> intsPublisher =
  ReactiveStreams.of(1, 2, 3); ①

CompletionRunner<List<Integer>> intsResult =
  intsPublisher.toList(); ②
```

- ① A publisher of integers 1, 2 and 3.
- ② A closed graph that emits all the received integers as a result.

Or, a processor may be plumbed to a subscriber, in which case, the resulting builder is now a subscriber:

```
ProcessorBuilder<Integer, String> toStringProcessor =
  ReactiveStreams.<Integer>builder()
   .map(Object::toString); ①

SubscriberBuilder<Integer, List<String>> toListSubscriber =
  toStringProcessor.toList(); ②
```

① A processor that receives integers and emits them as strings.

② A subscriber that receives integers, and emits all the integers as Strings in a List when the stream completes.

When MicroProfile specifications provide an API that uses Reactive Streams, it is intended that application developers can return and pass the builder interfaces directly to the MicroProfile APIs. In many cases, application developers will not need to run the streams themselves. However, should they need to run the streams directly themselves, they can do so by using the streams build or run methods. PublisherBuilder, SubscriberBuilder and ProcessorBuilder all provide a build method that returns a Publisher, CompletionSubscriber and Processor respectively, while CompletionRunner, since it actually runs the stream, provides a run method that returns a CompletionStage.

The CompletionSubscriber class is so named because, where a CompletionStage is a stage of asynchronous computation that completes with a value or an error, a CompletionSubscriber is subscriber to an asynchronous stream that completes with a value or an error.

The build and run methods both provide a zero arg variant, which uses the default Reactive Streams engine provided by the platform, as well as a overload that takes a ReactiveStreamsEngine, allowing application developers to use a custom engine when they please.

Reactive Streams Usage Examples

Trivial closed graph

This just shows the fluency of the API. It wouldn't make sense to actually do the below in practice, since the JDK8 streams API itself is better for working with in memory streams.

```
CompletionStage<Optional<Integer>> result = ReactiveStreams
    .fromIterable(() -> IntStream.range(1, 1000).boxed().iterator())
    .filter(i -> (i & 1) == 1)
    .map(i -> i * 2)
    .collect(Collectors.reducing((i, j) -> i + j))
    .run();
```

Building a publisher

This shows how common collection types can be converted to a Publisher of the elements in the collection.

1 The Rs suffix indicates the method produces a Reactive Streams Publisher.

The above example shows a very simple conversion of a List to a Publisher, of course other operations can be done on the elements before building the Publisher, in this case we go on to transform each object to a line in a CSV file, and then represent it as a stream of bytes.

```
Publisher<ByteBuffer> publisher = ReactiveStreams
.map(obj -> String.format("%s,%s\n", obj.getField1(), obj.getField2()))
.map(line -> ByteBuffer.wrap(line.getBytes()))
.buildRs();
```

Building a subscriber

This shows building a subscriber for a byte stream, such as for the JDK9 HttpClient API. It assumes another library has provided a Reactive Streams Processor that parses byte streams into streams of objects.

```
Processor<ByteBuffer, MyDomainObject> parser = createParser();

CompletionSubscriber<ByteBuffer, List<MyDomainObject>> subscriber =
   ReactiveStreams.<ByteBuffer>builder()
        .via(parser)
        .toList()
        .build();

Subscriber<ByteBuffer> subscriber = subscriber; ①
CompletionStage<List<MyDomainObject>> result = subscriber.getCompletion(); ②
```

- 1 The object can be deconstructed into the Subscriber part
- ② The CompletionStage can be retrieve using getCompletion

Building a processor

This shows building a processor, for example, a message library may require processing messages, and then emitting an ACK identifier so that each handled element can be acknowledged as handled.

```
Processor<Message<MyDomainObject>, MessageAck> processor =
   ReactiveStreams.<Message<MyDomainObject>>builder()
   .map(message -> {
        handleDomainObject(message.getMessage());
        return message.getMessageAck();
    })
   .buildRs();
}
```

Consuming a publisher

A library may provide a Reactive Streams publisher that the application developer needs to consume. This shows how that can be done.

```
Publisher<ByteBuffer> bytesPublisher = makeRequest();

Processor<ByteBuffer, MyDomainObject> parser = createParser();

CompletionStage<List<MyDomainObject>> result = ReactiveStreams
    .fromPublisher(bytesPublisher)
    .via(parser)
    .toList()
    .run();
```

Feeding a subscriber

A library may provide a subscriber to feed a connection. This shows how that subscriber can be fed.

```
List<MyDomainObject> domainObjects = new ArrayList<>();
Subscriber<ByteBuffer> subscriber = createSubscriber();

CompletionStage<Void> completion = ReactiveStreams
    .fromIterable(domainObjects)
    .map(obj -> String.format("%s,%s\n", obj.getField1(), obj.getField2()))
    .map(line -> ByteBuffer.wrap(line.getBytes()))
    .to(subscriber)
    .run();
```

Similarities and differences with the Java Stream API

The API shares a lot of similarities with the Java Stream API. This similarity has been done on purpose to ease the adoption of the API. However, there are some differences and this section highlights them.

Asynchronous processing

The goal of the Reactive Stream Operators specification is to define building blocks to enable the implementation of asynchronous processing of stream of data. On the other hand, the Java Stream API provides a synchronous approach to compute a result by analyzing data conveyed in a stream. Because of this asynchronous vs. synchronous processing, the terminal stages (such as collect, findFirst...) define by this API return CompletableStage<T> and not T. Indeed, only when the result has been computed the returned CompletableStage is completed. As an example, here is the two versions of the same processing:

```
List<Integer> list = Arrays.asList(1, 2, 3, 4, 5, 6, 7, 8, 9, 10);

// Java Stream version
int sum = list.stream()
    .map(i -> i + 1)
    .mapToInt(i -> i)
    .sum();

// At the point the sum is computed
System.out.println("sum: " + sum);

// Reactive Streams Operators version
CompletionStage<Integer> future = ReactiveStreams.fromIterable(list)
    .map(i -> i + 1)
    .collect(Collectors.summingInt(i -> i))
    .run();
future.whenComplete((res, err) -> System.out.println("async sum: " + res));
```

The asynchronous vs. synchronous difference also means that the error propagation works differently. In the Java Streams API, the processing can be wrapped in a try/catch construct. In the asynchronous case, the error is propagated into the returned future. In the example above, the function passed to the whenComplete stage receives the result as well as the failure (if any). If the processing throws an exception, the function can react by looking at the err parameter.

No parallel processing

The Reactive Streams specification is intrinsically sequential. So none of the parallel processing ability from the Java Stream API are supported. As a consequence, the API does not provide a parallel() method. Also, operations like findAny are not provided as the behavior would be equivalent to the provided findFirst method.

Other differences

- allMatch, anyMatch and nonMatch can be achieved by combining filter and findFirst
- collect(Collector<? super T,A,R> collector) the combiner part of the collector is not used because of the sequential nature of Reactive Streams.
- collect(Supplier<R> supplier, BiConsumer<R,? super T> accumulator, BiConsumer<R,R> combiner) is provided as collect (Supplier<R> supplier, BiConsumer<R,? super T> accumulator). Indeed, the combiner is not used because of the sequential nature of Reactive Streams.
- count is not provided but can be implemented using .collect(Collectors.counting()) instead.
- findAny is not supported, use findFirst instead. Because of the sequential nature of Reactive Streams, the method has the same semantic.
- flatMapTo and mapTo are not provided. These can easily be replaced using regular flatMap and map methods, or methods from Collectors.
- for Each Ordered is not provided as Reactive Streams mandates ordering. So for Each should be used instead.
- max and min can be achieved using .collect(Collectors.maxBy (\cdots)) and .collect(Collectors.minBy (\cdots))
- sorted is not supported
- toArray is not supported, toList can be used instead
- onClose is replaced by onComplete. Notice that the API also provides the onError and onTerminate methods.

SPI

The API is responsible for building graphs of stages based on the operators the user invoked. The stages form an SPI for ReactiveStreamsEngine implementations to build into a running stream. Examples of stages include:

- Map
- Filter
- · Elements to publish
- Collect
- Instances of Reactive Streams Publisher, Subscriber and Processor

Each stage has either an inlet, an outlet, or both. A graph is a sequence of stages, consecutive stages will have an outlet and and inlet so that they can join - a graph that has a stage with no outlet followed by a stage that has an inlet is impossible, for example. Only the stages at the ends of the graph may have no inlet or outlet, whether these end stages have an inlet or outlet determines the shape of the overall graph. The API is responsible for ensuring that as graphs are constructed, only graphs that are logically possible are passed to the ReactiveStreamsEngine to construct.