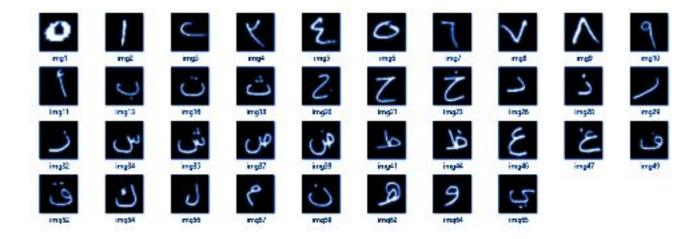
# **Arabic Handwritten Recognition**



Machine Learning Nanodegree Capstone Project Amr Hendy | September 21, 2018

# **CONTENTS**

CONTENTS	1
Definition	2
Overview	2
Problem Statement	2
Metrics	2
Analysis	3
Data Exploration	3
Datasets and Inputs	3
Loading Arabic Letters Dataset	4
Loading Arabic Digits Dataset	4
Exploratory Visualization	5
Algorithms and Techniques	5
Benchmark	6
Methodology	6
Data Preprocessing	6
Image Normalization	6
Encoding Categorical Labels	6
Reshaping Input Images	7
Implementation	7
Design Model Architecture	8
Model Summary	9
Refinement	12
Results	13
Model Evaluation and Validation	13
Justification	16
Conclusion	18
Free-Form Visualization	18
Reflection	18
Improvement	19
References	19

## **Definition**

#### **Overview**

The automatic recognition of text on scanned images has enabled many applications such as searching for words in large volumes of documents, automatic sorting of postal mail, and convenient editing of previously printed documents. The domain of handwriting in the Arabic script presents unique technical challenges and has been addressed more recently than other domains. Many different methods have been proposed and applied to various types of images.

Here we will focus on the recognition part of handwritten Arabic letters and digits recognition that face several challenges, including the unlimited variation in human handwriting and the large public databases.

There are many related papers that introduced some solutions for that problem such as :

- HMM Based Approach for Handwritten Arabic Word Recognition
- An Arabic handwriting synthesis system
- Normalization-Cooperated Gradient Feature Extraction for Handwritten Character Recognition

#### **Problem Statement**

In this project we want to build a model which can classify a new image to an arabic letter or digit. We can use machine learning classification algorithms or deep learning algorithms like CNN to build a strong model able to recognize the images which high accuracy.

There are some related kernels which discuss this problem on kaggle <a href="here">here</a>
To Conclude our input of the model will be an image and the output will be one of the arabic letters or digits which represents the image.

#### **Metrics**

Given that there aren't any class balance issues, I think that all of following metrics (Accuracy, Precision, Recall and F1-score) should be appropriate. Log loss might also be a practical metric to be used when we tune/refine our model solution, So we will add Log loss to the chosen metrics also.

## **Analysis**

## **Data Exploration**

#### I. Datasets and Inputs

We will use these datasets <u>Arabic Digits</u> and <u>Arabic Letters</u> for arabic letters and arabic digits respectively.

All the datasets are csv files representing the image pixels values and their corresponding label.

Here are some more details about the datasets:

- 1. Arabic Digits Dataset represents MADBase (modified Arabic handwritten digits database) which contains 60,000 training images, and 10,000 test images. MADBase was written by 700 writers. Each writer wrote each digit (from 0 -9) ten times. To ensure including different writing styles, the database was gathered from different institutions: Colleges of Engineering and Law, School of Medicine, the Open University (whose students span a wide range of ages), a high school, and a governmental institution.
  MADBase is available for free and can be downloaded from here.
- 2. Arabic Letters Dataset is composed of 16,800 characters written by 60 participants, the age range is between 19 to 40 years, and 90% of participants are right-hand. Each participant wrote each character (from 'alef' to 'yeh') ten times. The images were scanned at the resolution of 300 dpi. Each block is segmented automatically using Matlab 2016a to determining the coordinates for each block. The database is partitioned into two sets: a training set (13,440 characters to 480 images per class) and a test set (3,360 characters to 120 images per class). Writers of training set and test set are exclusive. Ordering of including writers to test set are randomized to make sure that writers of test set are not from a single institution to ensure variability of the test set.

## II. Loading Arabic Letters Dataset

There are 13440 training arabic digit images of 64x64 pixels and 3360 testing arabic digit images of 64x64 pixels.

0	1	2	3	4	5	6	7	8	9		4086	4087	4088	4089	4090	4091	4092	4093	4094	4095	
0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0
2	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0
3	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0
4	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0

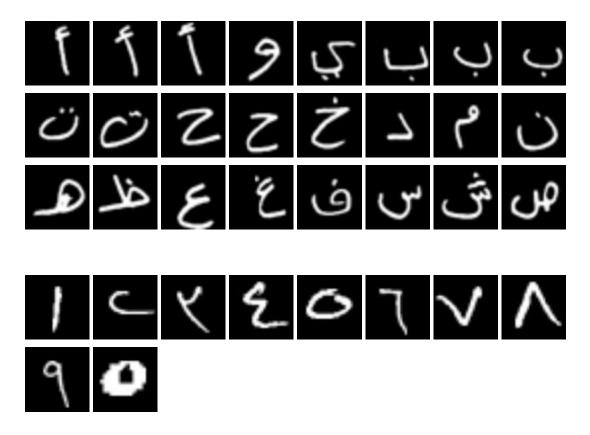
## III. Loading Arabic Digits Dataset

There are 60000 training arabic digit images of 64x64 pixels and 10000 testing arabic digit images of 64x64 pixels.

0	1	2	3	4	5	6	7	8	9		4086	4087	4088	4089	4090	4091	4092	4093	4094	4095	
0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0
2	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0
3	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0
4	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0

## **Exploratory Visualization**

Let's visualize some images to understand the inputs we will deal with.



## **Algorithms and Techniques**

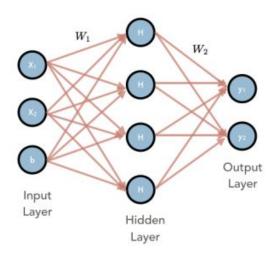
We can use machine learning classification algorithms such as ( SVM, Decision Tree, .. etc). But here we will use deep learning neural networks which often provide better results than ML algorithms especially when dealing with complex patterns like the images. So we will build a CNN model to able to recognize the images which high performance.

We will discuss the model details and architecture in the following sections.

#### **Benchmark**

We will use a very simple (vanilla) CNN model as benchmark and Train/test it using the same data that you have used for our model solution. Then Compare the results between the vanilla model and our complex model.

Here is our vanilla model



# **Methodology**

## **Data Preprocessing**

## 1. Image Normalization

We rescaled the images by dividing every pixel in the image by 255 to make them into range [0, 1] to be normalized.

## 2. Encoding Categorical Labels

From the labels csv files we can see that labels are categorical values and it is a multi-class classification problem.

Our outputs are in the form of:

- Digits from 0 to 9 have categories numbers from 0 to 9
- Letters from 'alef' to 'yeh' have categories numbers from 10 to 37

Here we will encode these categories values using One Hot Encoding with keras. One-hot encoding transforms integer to a binary matrix where the array contains only one '1' and the rest elements are '0'.

#### 3. Reshaping Input Images

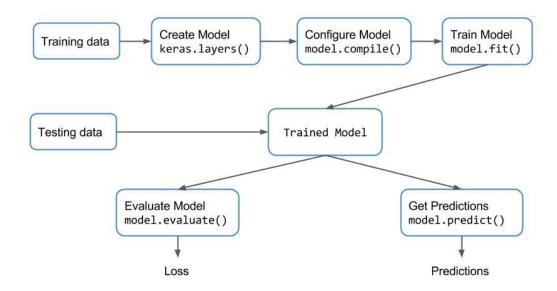
When using TensorFlow as backend, Keras CNNs require a 4D array (which we'll also refer to as a 4D tensor) as input, with shape (nb samples,rows,columns,channels)

where nb\_samples corresponds to the total number of images (or samples), and rows, columns, and channels correspond to the number of rows, columns, and channels for each image, respectively.

So we will reshape the input images to a 4D tensor with following shape (nb samples, 64, 64, 1) as we use grayscale images of 64x64 pixels.

## **Implementation**

We will follow the following workflow to build our model and be ready to use it.



Now, let's discuss each part in details.

#### 1. Design Model Architecture

In this section we will discuss our CNN Model.

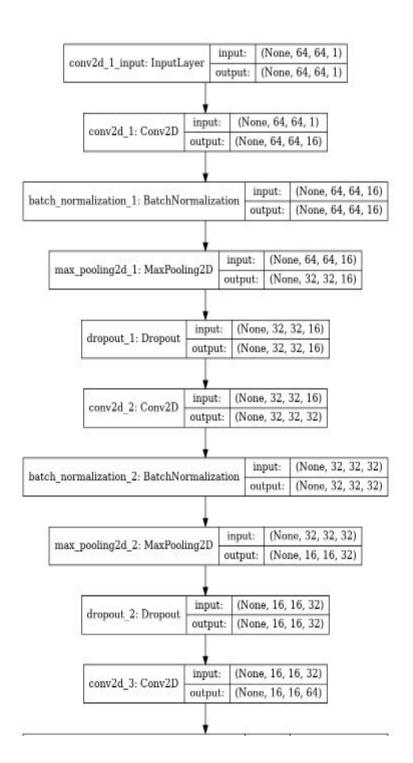
- The first hidden layer is a convolutional layer. The layer has 16 feature maps, which with the size of 3×3 and an activation function which is relu. This is the input layer, expecting images with the structure outlined above.
- The second layer is Batch Normalization which solves having distributions
  of the features vary across the training and test data, which breaks the IID
  assumption. We use it to help in two ways faster learning and higher
  overall accuracy.
- The third layer is the MaxPooling layer. MaxPooling layer is used to down-sample the input to enable the model to make assumptions about the features so as to reduce overfitting. It also reduces the number of parameters to learn, reducing the training time.
- The next layer is a Regularization layer using dropout. It is configured to randomly exclude 20% of neurons in the layer in order to reduce overfitting.
- Another hidden layer with 32 feature maps with the size of 3×3 and a relu activation function to capture more features from the image.
- Other hidden layers with 64 and 128 feature maps with the size of 3×3 and a relu activation function to capture complex patterns from the image which will describe the digits and letters later.
- More MaxPooling, Batch Normalization, Regularization and GlobalAveragePooling2D layers.
- The last layer is the output layer with 10 neurons (number of output classes) and it uses softmax activation function as we have multi-classes. Each neuron will give the probability of that class.

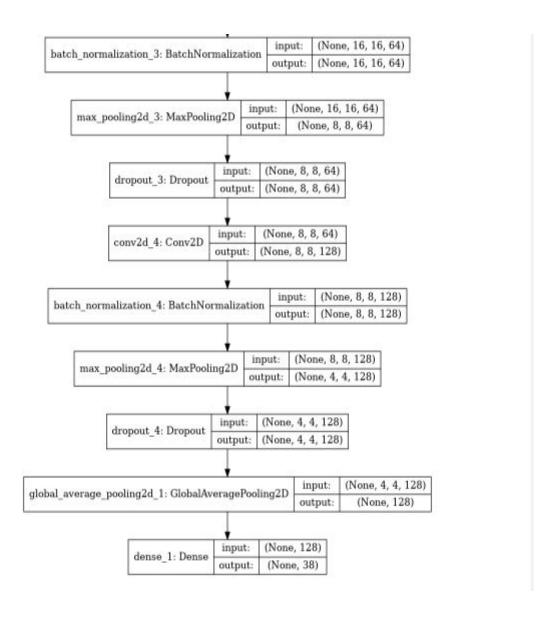
I used categorical\_crossentropy as a loss function because its a multi-class classification problem. I also used accuracy as metrics to improve the performance of our neural network.

# 2. Model Summary

Layer (type)	Output	Shape	Param #
conv2d_1 (Conv2D)	(None,	64, 64, 16)	160
batch_normalization_1 (Batch	(None,	64, 64, 16)	64
max_pooling2d_1 (MaxPooling2	(None,	32, 32, 16)	0
dropout_1 (Dropout)	(None,	32, 32, 16)	0
conv2d_2 (Conv2D)	(None,	32, 32, 32)	4640
batch_normalization_2 (Batch	(None,	32, 32, 32)	128
max_pooling2d_2 (MaxPooling2	(None,	16, 16, 32)	0
dropout_2 (Dropout)	(None,	16, 16, 32)	0
conv2d_3 (Conv2D)	(None,	16, 16, 64)	18496
batch_normalization_3 (Batch	(None,	16, 16, 64)	256
max_pooling2d_3 (MaxPooling2	(None,	8, 8, 64)	0
dropout_3 (Dropout)	(None,	8, 8, 64)	0
conv2d_4 (Conv2D)	(None,	8, 8, 128)	73856
batch_normalization_4 (Batch	(None,	8, 8, 128)	512
max_pooling2d_4 (MaxPooling2	(None,	4, 4, 128)	0
dropout_4 (Dropout)	(None,	4, 4, 128)	0
global_average_pooling2d_1 (	(None,	128)	0
dense_1 (Dense)	(None,	38)	4902
Total params: 103,014 Trainable params: 102,534			

Non-trainable params: 480





#### Refinement

We will tune the parameters optimizer, kernel\_initializer and activation function to see the effect of changing them on our model architecture.

We will run GridSearch on these parameters with the following possible values:

```
optimizer : ['RMSprop', 'Adam', 'Adagrad', 'Nadam']
```

kernel\_initializer : ['normal', 'uniform']

activation : ['relu', 'linear', 'tanh']

Here is more details about the results of some parameters:

```
Epoch 3/5
{'optimizer': 'RMSprop', 'kernel_initializer': 'uniform', 'activation': 'relu'}
Train on 73440 samples, validate on 13360 samples
Epoch 1/5
Epoch 2/5
{'optimizer': 'RMSprop', 'kernel_initializer': 'normal', 'activation': 'linear'}
Train on 73440 samples, validate on 13360 samples
Epoch 1/5
Fgoch 4/5
73440/73440 [------] - 80s 1ms/step - loss: 0.1700 - acc: 0.9478 - val_loss: 2.2486 - val_acc: 0.7231
{'optimizer': 'Adam', 'kernel_initializer': 'normal', 'activation': 'relu'}
Train on 73440 samples, validate on 13360 samples
Epoch 1/5
Epoch 2/5
Epoch 3/5
```

From the obtained results we can see that best parameters are:

• Optimizer: Adam

• Kernel initializer: uniform

• Activation: relu

Which will produce train accuracy of 98.12% and test accuracy of 98.31%

### **Results**

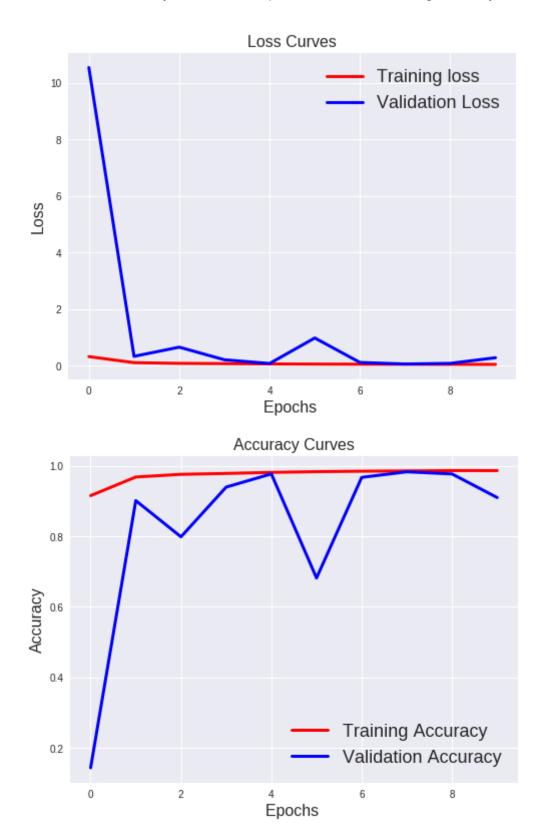
#### **Model Evaluation and Validation**

We will Train the model using batch\_size=20 to reduce used memory and make the training more quick. We will train the model first on 10 epochs to see the accuracy that we will obtain then we will increase number of epochs to be trained on to improve the accuracy.

Here are the results after 10 epochs.

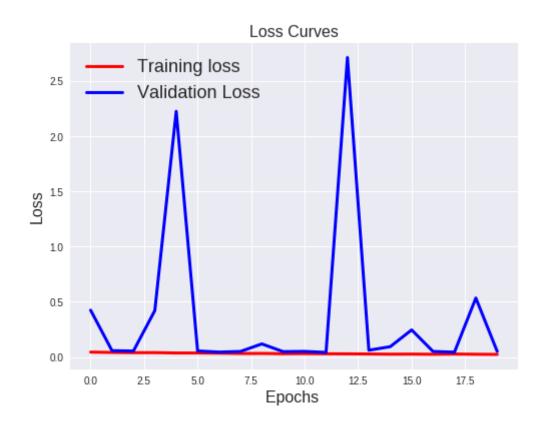
```
Train on 73440 samples, validate on 13360 samples
Epoch 00001: val_loss improved from inf to 10.53390, saving model to weights.hdf5
Epoch 2/10
Epoch 00002: val_loss improved from 10.53390 to 0.32826, saving model to weights.hdf5
Epoch 00003: val loss did not improve from 0.32826
73440/73440 [=============] - 89s 1ms/step - loss: 0.0702 - acc: 0.9782 - val loss: 0.2071 - val acc: 0.9394
Epoch 00004: val_loss improved from 0.32826 to 0.20706, saving model to weights.hdf5
Epoch 00005: val_loss improved from 0.20706 to 0.07522, saving model to weights.hdf5
Epoch 00006: val_loss did not improve from 0.07522
Epoch 7/19
Epoch 00007: val_loss did not improve from 0.07522
Epoch 8/10
Epoch 00008: val_loss improved from 0.07522 to 0.05756, saving model to weights.hdf5
Epoch 00009: val_loss did not improve from 0.05756
Epoch 10/10
Epoch 00010: val loss did not improve from 0.05756
```

Let's Plot loss and accuracy curves with epochs to see the changes easily.



From the above plots we can see that we are still safe from overfitting as both training accuracy and validation accuracy are increasing with increasing in epochs.

Let's try increasing number of epochs and see what will be the effect. We will train the same model on 20 more epochs. We will get the following plots:





We can see that we may encounter overfitting if we continue to increase the number of epochs. So we will stop fitting the model at that point as we have already got very satisfied score on the testing dataset (we got test accuracy of 98.286%).

#### **Justification**

In this section we will compare the results obtained from the benchmark model (which is shown in the next figure) with the results of the final model.

```
baseline model = Sequential()
baseline_model.add(Conv2D(filters=16, kernel_size=3, padding='same', input_shape=(64, 64, 1), activation='relu')) # Input layer baseline_model.add(GlobalAveragePooling2D()) baseline_model.add(Dense(38, activation = 'softmax')) # Output layer => output dimension = 38 as it is multi-class
# Compile the baseline model
baseline_model.compile(loss='categorical_crossentropy', metrics=['accuracy'], optimizer='Adam')
# Fit the baseline model with training dataset
batch_size = 20
baseline_model.fit(training_data_images, training_data_labels,
                validation_data=(testing_data_images, testing_data_labels),
epochs=epochs, batch_size=batch_size, verbose=1)
# Test the baseline mode
baseline_metrics = baseline_model.evaluate(testing_data_images, testing_data_labels, verbose=1)
print("Baseline Model Test Accuracy: {}".format(baseline_metrics[1]))
print("Baseline Model Test Loss: {}".format(baseline_metrics[0]))
Train on 73440 samples, validate on 13360 samples
Epoch 1/5
73440/73440 [=======================] - 45s 619us/step - loss: 2.7163 - acc: 0.1814 - val_loss: 2.7079 - val_acc: 0.2183
Epoch 2/5
73440/73440 [=======================] - 40s 550us/step - loss: 2.4709 - acc: 0.2514 - val_loss: 2.6120 - val_acc: 0.2372
Epoch 3/5
Epoch 4/5
                 73440/73440 [===
Epoch 5/5
Baseline Model Test Accuracy: 0.32372754491017963
Baseline Model Test Loss: 2.376121672327647
```

As we can see from the above results we can compare between our final model and the benchmark model in the following table:

Metric	Final Model	Benchmark Model
Accuracy	98.286%	32.37%
Loss value	0.0419	2.376
Precision	99%	24%
Recall	99%	32%
F1-score	0.99	0.26

The above results represent the average per class. For more details about each single class, Find below detailed results.

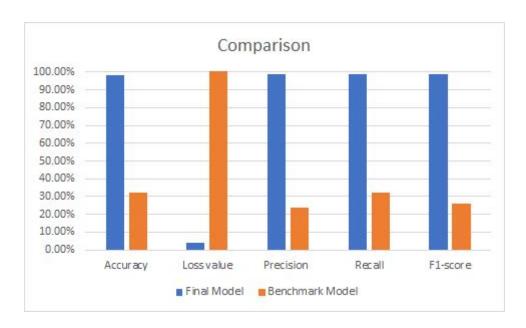
	Model	enchmark	В		del	Final Mo	
f1-score	recall	precision		f1-score	recall	precision	
				2004 200 00 10 00			
0.89	0.84	0.95	0	0.99	0.98	0.99	0
0.39	0.90	0.25	1	0.99	1.00	0.99	1
0.26	0.23	0.29	2	0.99	0.99	0.99	2
0.25	0.28	0.24	3	0.99	0.99	1.00	3
0.30	0.29	0.31	4	1.00	0.99	1.00	4
0.63	0.73	0.52	5	0.99	0.99	0.99	5
0.24	0.37	0.18	6	1.00	0.99	1.00	6
0.39	0.64	0.28	7	1.00	0.99	1.00	7
0.00	0.00	0.00	8	1.00	1.00	1.00	8
0.0	0.03	0.16	9	1.00	1.00	1.00	9
0.0	0.00	0.00	10	1.00	1.00	1.00	10
0.00	0.00	0.00	11	1.00	1.00	1.00	11
0.0	0.00	0.00	12	0.96	0.96	0.96	12
0.0	0.00	0.00	13	0.98	0.99	0.98	13
0.0	0.00	0.00	14	0.98	0.97	0.99	14
0.0	0.00	0.00	15	0.98	0.99	0.97	15
0.0	0.00	0.00	16	0.98	0.97	0.99	16
0.2	0.18	0.48	17	0.95	0.94	0.96	17
0.0	0.00	0.00	18	0.93	0.92	0.95	18
0.0	0.00	0.00	19	0.94	0.99	0.89	19
0.0	0.00	0.00	20	0.93	0.92	0.94	20
0.0	0.00	0.00	21	0.99	1.00	0.98	21
0.0	0.00	0.00	22	1.00	1.00	0.99	22
0.0	0.00	0.00	23	0.98	0.99	0.96	23
0.0	0.00	0.00	24	0.97	0.95	1.00	24
0.0	0.00	0.00	25	0.98	1.00	0.96	25
0.0	0.00	0.00	26	0.98	0.96	1.00	26
0.0	0.00	0.00	27	0.98	0.98	0.98	27
0.0	0.00	0.00	28	1.00	0.99	1.00	28
0.0	0.00	0.00	29	0.96	0.98	0.93	29
0.0	0.00	0.00	30	0.95	0.93	0.98	30
0.0	0.00	0.00	31	0.99	0.98	1.00	31
0.0	0.00	0.00	32	1.00	0.99	1.00	32
0.0	0.00	0.00	33	0.99	1.00	0.98	33
0.00	0.00	0.00	34	0.95	0.93	0.97	34
0.00	0.00	0.00	35	0.97	0.96	0.99	35
0.00	0.00	0.00	36	0.96	0.97	0.94	36
0.00	0.00	0.00	37	1.00	0.99	1.00	37
0.20	0.32	0.24	avg / total	0.99	0.99	0.99	avg / total

## **Conclusion**

#### **Free-Form Visualization**

In this project I built a CNN model which can classify the arabic handwritten images into digits and letters. We tested the model on more than 13000 image with all possible classes and got very high accuracy of 98.86% which is much better than the benchmark model.

See the following comparison chart to see the clear improvement.



#### Reflection

As we discussed in the problem statement section we are trying to solve the Arabic handwritten image recognition. The domain of handwriting in the Arabic script presents unique technical challenges and has been addressed more recently than other domains. Many different methods have been proposed and applied to various types of images but here we will solve the problem from another side using CNN.

We face several challenges here including the unlimited variation in human handwriting so we took care of it using large variations of dataset.

As shown in the results section we got test accuracy of 98.86% which is much better than my expectation.

#### **Improvement**

As we see the final model captured almost all the dataset, So if we want to improve it we should include more datasets which have more variations in the handwritten style although i think we already included much variations in our dataset.

As another improvement I think we can use that model as benchmark to solve more complex which is recognise Arabic handwritten words instead of single character.

## References

- [1] HMM Based Approach for Handwritten Arabic Word Recognition
- [2] An Arabic handwriting synthesis system
- [3] Advanced Convolutional Neural Networks
- [4] <u>Normalization-Cooperated Gradient Feature Extraction for Handwritten</u>
  <u>Character Recognition</u>