

Computer Science Honours Final Paper 2015

Title: Interactive Shadows vs. Multi-View – A Comparison of Mouse-based 3D Modelling Interfaces

Author: Siobhan O'Donovan

Project Abbreviation: 3DUI

Supervisors: Assoc. Prof. James Gain & Dr Brian DeRenzi

Category	Min	Max	Chosen
Requirement Analysis and Design	0	20	0
Theoretical Analysis	0	25	0
Experiment Design and Execution	0	20	20
System Development and Implementation	0	15	8
Results, Findings and Conclusion	10	20	17
Aim Formulation and Background Work	10	15	15
Quality of Paper Writing and Presentation	10		10
Adherence to Project Proposal and Quality of Deliverables	10		10
Total marks	8	80	80

Interactive Shadows vs. Multi-View A Comparison of Mouse-based 3D Modelling Interfaces

Siobhan O'Donovan
Department of Computer Science
University of Cape Town
siobhan@justshiv.com

ABSTRACT

3D user interfaces allow users to view and interact with objects in a 3D scene and form a key component in many modelling applications used in engineering, medicine and design. Most mouse-based interfaces follow the same multi-view layout (three orthogonal, one perspective). This interface is difficult to understand, as it requires users to combine all four views and build a 3D mental model. An alternative Interactive shadows has been presented that could improve on the multi-view's shortcomings but has never been formally tested.

This paper presents the first quantitative user (n = 36) evaluation of both the multi-view and interactive shadows interfaces to compare their relative effectiveness and usability. Participants completed three types of tasks designed to be representative of object manipulation tasks in current 3D modelling software.

Interactive shadows were found to be significantly better in terms of distance accuracy for one task, which relied on participant distance estimations. This suggests that the interactive shadows interface might help users approximate relative object positioning. No other significant interactions were found. We found key confounding factors that could contribute to participant performance and also draw attention to concepts that could help future researchers in this area when conducting similar investigations.

Keywords

3D Modelling, 2D mouse, Interactive Shadows, 3D Widgets, 3D User Interfaces, Direct Manipulation, Human Computer Interaction

CCS Concepts

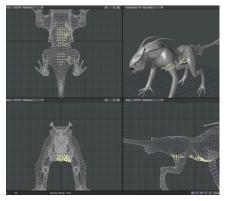
• Human-centred computing → Graphics input devices; Usability testing; Laboratory experiments; Heuristic evaluations;

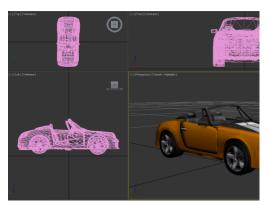
1. INTRODUCTION

Three-dimensional (3D) user interfaces (UIs) are software control displays that facilitate the manipulation and viewing of 3D shapes and scenes. These interfaces are relied upon by many 3D modelling applications – a form of computer-aided design (CAD) software for creating and displaying 3D scenes. The use of modern 3D CAD software has led to significant advances in a variety of industries including medicine [4], engineering, architecture, games and film [5] [20]. Due to their wide applicability, 3DUIs have been the focus of extensive usability research [6] [20]. However, they remain notably difficult to interact with as people in general have significant difficulty understanding virtual, abstract 3D space [15] [23] [36]. This often leads to a high barrier to entry for newcomers.

Modern CAD software is predominantly used on desktop or laptop machines. As such, users are typically limited to 2D input (i.e., mouse and keyboard) and output (i.e., display screen) devices [14] [33] [27]. This poses a considerable usability design challenge, as 2D input must be mapped to 3D object manipulation intuitively. The concept of direct manipulation can simplify this to an extent by allowing users to interact "directly" with objects in a 3D spatial context [31]. This acts as a metaphor for real-world interactions by drawing parallels between interactions with objects in the system using a mouse, and physical manipulation of objects in real life [6]. Direct manipulation has been described as "what you see is what you get" in that the interface displays the complete status of the system at the current time. Direct manipulation 3DUIs are particularly effective for mouse-based 3D CAD systems, as they allow users to select and manipulate objects faster and with more accuracy than other 3DUIs [14] [5].

Since their inception, mouse-based 3D modelling systems have expanded significantly in terms of overall functionality, features and graphic processing [27] [5] [20]. However, the overall 3DUI





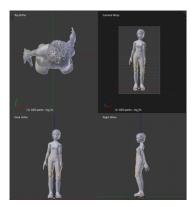


Figure 1. Examples of conventional multi-view interface in 3DS Max [1], Maya [2] and Blender [3], three popular 3D Modelling software suites.

layout has remained unchanged. Most current 3D modelling systems display the 3D scene through a multi-view interface with one perspective and three axial orthogonal views (see Figure 1). The user can then interact with the 3D objects in the scene directly through each view, or by selecting an option from a toolbar of features. This multi-view interface displays a large amount of information of the scene in each separate view that users must collate to form a mental model of the scene as a whole. In general, people find this task quite challenging, and it increases with difficulty as the scene becomes more complex.

Herndon et al. [14][38] have proposed a new interface that addresses shortfalls in the conventional multi-view approach. Their interface displays the 3D scene through a perspective view and encloses all objects in a cube (see Figure 2). Each wall of the cube displays a 2D orthogonal projection (or shadow) of the objects in the 3D scene. This is identical to the orthogonal view the multi-view interface would display of that side of the scene. The shadows can be manipulated only on their 2D plane, whereas the object itself can be manipulated on all 3 axes. The shadows are connected to the 3D objects that cast them. As such when users interact with a shadow the object it represents is transformed by the same amount, and vice versa. Herndon et al. suggest that their interface design could significantly reduce the difficulty users have in generating a mental model of the 3D scene from multiple single views, as the relationship between each orthogonal view and the full 3D scene is visible in one view. This implementation of the interactive shadows interface has to our knowledge not been empirically evaluated, nor quantitatively compared to the current standard multi-view interface.

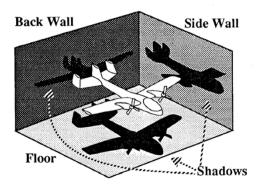


Figure 2: A model airplane displayed with in the interactive shadows interface. Note the opaque shadows of the model are projected onto the floor and both walls. To prevent occlusion only walls behind the object are displayed. [14]

In this report, we extend Herndon et al.'s research by critically evaluating and comparing the conventional multi-view interface with their interactive shadows interface [14] [39]. We aim to determine whether one interface is significantly more effective or usable than the other. Herndon et al. state that their interface is likely to be more appropriate for certain types of tasks than others. As such, we developed three separate tasks that together represent object positioning tasks in 3D modelling software.

Participants performed significantly better in terms of object positioning (distance) accuracy (r = 0, 237; p < 0.05) in our type 1 task was significantly. We found little other evidence to support a claim that one interface is more usable and useful than

the other. This paper contributes knowledge to a currently unexplored subset of 3DUI research, and identifies key areas features that could assist future researchers in this area.

2. METRICS FOR 3DUI EVALUATION

Research into the usability of 3D technologies has, for many years, focused on creating new and innovative interaction devices and techniques. Novel methods to interact with 3D space have been devised, but have, to a large extent, not been evaluated in terms of usability [6] [9]. This has left the field with a lack of evidence as to the quality of many existing 3DUIs. Furthermore, there are few concrete guidelines for how to quantitatively assess the usability and usefulness of 3DUIs [5] [6] [29]. To encourage research into this area, experts suggest using metrics developed for initial 2D and more generic graphical UIs [6].

2.1 Task Performance Metrics

These metrics involve data gathered on how effectively the user can accomplish the tasks required of them in the user evaluation. These metrics are specific to the goal of the software system being evaluated [20]. There are three categories of tasks that all 3DUIs must facilitate:

- Scene navigation tasks 3D environments are usually quite complex and cannot be understood and manipulated accurately from a single perspective [37] [20]. Scene navigation tasks relate to user altering their viewpoint within the 3D world, such as by zooming in or panning.
- System/Application control tasks these tasks affect how 'in control' the user feels over the system's behaviour. They involve how users communicate their intentions with the system, such as using the mouse-cursor to point-and-click on an element in the UI. Systems that react to user input in an unexpected manner, have been found to trigger annoyance and loss of engagement with the application [14] [20].
- Selection and manipulation tasks these refer to how the user interacts with 3D objects within the scene and predominantly involve object positioning, rotation and scaling tasks [20]. In this context, the user must be allowed to complete these tasks as precisely and efficiently as possible [17] [29]. These are primary functions of modelling software, and are the major focus of 3DUI research.

Research into 3D CAD software has resulted in several well-tested techniques for scene navigation and system control; there are now accepted conventions for these tasks best suited to specific scenarios [5] [20]. In the evaluations of CAD 3DUIs we therefore instead focus on object selection and manipulation tasks and the speed and accuracy with which the users can complete them [17]. It is important to note that this metric does not account for how much the user enjoys using a system, but rather how well they performed. These metrics cannot be interpreted in isolation, as user preferences may significantly affect task performance [6].

2.2 User Preference Metrics

User preference metrics focus on the individual user's experience of the 3DUI. They report on metrics such as how easy the system is to use, how long it takes to learn, and how satisfying it is to use [6]. There are reliable, validated questionnaires such as the System Usability Scale [7] that have been developed to measure these outcomes, as well as more qualitative interviewing approaches [6] [29].

User preference metrics also provide quantitative insight into user comfort – the user's subjective experience of physical, emotional and mental well-being while using the application. This applies to how at ease the user is while interacting with the software. If the application strains, confuses or annoys the user in any way (i.e. decreasing user comfort), both task performance and user preference metrics may be negatively affected [14] [20]. Users are also more likely to evaluate the usability software negatively as their experience has been uncomfortable. Unfortunately this also occurs if the discomfort during the experiment did not arise directly from the interface, but rather from the ergonomics of the experimental conditions.

3. RELATED WORK

To our knowledge, there have been five publications (summarised in Table 1) that empirically evaluate mouse-based 3D scene manipulations in various scenarios. All required participants to select, position, resize, and/or rotate objects using the mouse-based system. They then evaluated the participant task performance on speed and/or accuracy. Most evaluated user preference metrics as well, with the exception of Hubona, Shirah and Jennings [17], who focused purely on a qualitative analysis.

Unfortunately, these evaluations exhibit methodological limitations around their choice of participants. Firstly, the sample size for the majority of these experiments is quite low, with Oh and Stuerzlinger [27] and Jáuregui, Argelaguet, and Lecuyer [21] having only 10 and 12 participants, respectively. Such a small sample size is useful for a pilot study, but cannot be used to infer anything about the general population. The second issue is a lack of generalizability. Variability in visuospatial reasoning, learning ability, gender, and prior experience leads to a wide range of performance with 3DUIs for non-expert users. People from all backgrounds vary greatly when it comes to inherent skill, learning ability, and previous familiarity with these systems. Gender could play a significant role in a person's ability to interact with 3D virtual environments, as males have significantly higher visuospatial abilities than females [18] [34]. Thus a participant sampling method that does not account for these factors can affect the generalizability of the study's findings.

Only Rizzo et al. [29] intentionally balanced the genders in each

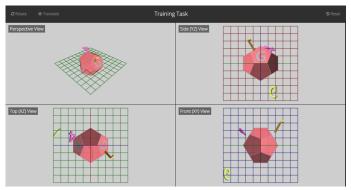
experiment they conducted. They were also the only study that acknowledged and accounted for the effects of varying educational and cultural backgrounds. In their study, Rizzo et al. [29] measured visuospatial ability using the Mental Rotations Test (MRT) [28] and found that males performed significantly better than females. However, there were no significant differences between the genders in performing the 3D selection and manipulation tasks using a (2D) mouse. This suggests that visuospatial ability may not directly predict 3D manipulation performance with a mouse. It also indicates the gender imbalances in the other studies may not have affected their results. However, it would have been useful to have data from the other studies supporting this claim. Culture and/or educational background were also identified as possible contributing factors to visuospatial ability. Interestingly, Rizzo et al. also found that prior 3DUI experience had no statistically significant effect on user performance in 3D interaction tasks.

The ease of learning of a 3DUI can have a noteworthy influence on the user's qualitative and quantitative results in such a study. If the interface is too confusing, the user can quickly lose interest and become frustrated [14]. This ties in with the fundamental 3DUI concepts of direct manipulation and system control, where the user should always feel in complete control of the actions executed through the interface [20] [26] [31]. One way to effectively ensure the user is comfortable with the interface is to have a short introduction or tutorial exercise before the study begins. A number of 3DUI evaluations have found this approach helpful [33] [27] [36].

The final potentially confounding factor present in a number of 3DUI experiments is participant fatigue. To critically evaluate each interaction task for an interface can take a long time (according to Bowman et al. [20] more than 30 minutes can be considered lengthy). Participants' task performance and user preference metrics both suffer if users are required to take part in such prolonged experiments [6]. It is advised that experiments are kept shorter than 30 minutes, however such short experiments can reduce the significance of results, as the study cannot analyse as many factors. In the event of having to do multiple separate tests within an experiment, the participants could be given a break inbetween to reduce their fatigue. The studies that evaluate the

Table 1: Summary of the experimental methods used by empirical quantitative evaluations of mouse-based direct manipulation 3DUs

Experiment	Tasks	Subjects	Task Performance Metrics	User Preference Metrics	
Hubona, Shirah, and Jennings [17]	Relative object positioning and resizing	Females: 14 Males: 16	Speed, accuracy	None	
Oh and Stuerzlinger [27]	Relative object positioning	Females: 4 Males: 6	Speed	Preference	
Rizzo et al.		Females: 10		Ease of learning, cumbersomeness, fatigue,	
experiment 1 [29]	Object selection and release,	Males: 10	Speed, accuracy		
Rizzo et al. experiment 2 [29]	relative translation and rotation	Females: 12	Speed, decuracy	comfort, preference	
		Males: 12			
Smith, Salzmann, and Stuerzlinger [33]	Relative object positioning	Females: 2	Speed, accuracy	Preference	
	Kelative object positioning	Males: 13	Speed, accuracy	Freierence	
Jáuregui, Argelaguet, and Lecuyer [21]	Object selection and ordering	Females: 2 Males: 10	Speed, accuracy	Preference, comfort	



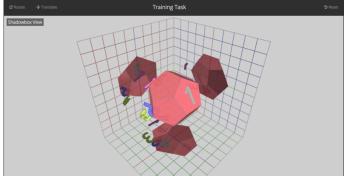


Figure 4: Our implementations of the Multi-view (left) and Interactive Shadows (right) interfaces taken during the training task before the experimental evaluations began.

differences between two or more systems usually use the latter tactic, as these require participants to do the same tasks multiple times [6] [29].

These studies are all helpful in understanding the problems faced by 3DUI researchers. Unfortunately, due to their vastly different participant groups and overall research focus, their results are largely incomparable. However, there are two main findings applicable to improving the interfaces used by modelling software:

- Users find it difficult to perceive depth in virtual 3D environments. Using effects drawn from real-world interactions such as shadows and occlusion can be significantly helpful reducing inaccurate depth perception [17] [27] [21].
- Interactions confined to a 2D plane are very helpful in ensuring quick, accurate selection and ordering tasks [33].

Herndon et al.'s interactive shadows interface [14] [38] incorporates these two aspects. The shadows are used to help users perceive depth in the scene without occluding part of the actual object. The interactive nature of these shadows is confined to their 2D projection plane and thus could improve the speed and accuracy of selection and ordering tasks. Tory et al. [36] performed an evaluation using an implementation of this interface as a non-interactive visualisation tool. This study focused purely on the participants' understanding of the 3D scene, presenting them with static screenshots of the interface. Participants were able to estimate relative position and orientation with a significantly higher accuracy than when compared with the standard multi-view interface.

To our knowledge the interactive shadow interface has never been formally evaluated for its effectiveness as a direct manipulation 3DUI. As it incorporates both findings discussed above, and has been shown to be an effective visualisation tool, we believe it could be a viable alternative, and possible improvement, to the conventional multi-view interface.

4. TECHNICAL APPROACH

For the purposes of this study we require high quality implementations of the interactive shadows and multi-view interfaces. These implementations should be representative of existing 3DUIs. As such, we considered creating our interfaces as extensions to existing software packages. However, existing 3D modelling systems are highly complex and typically incorporate a diverse range of features. Many of these features are not required for this study and could confuse and distract users. For this reason we decided to implement our interfaces independently,

incorporating the minimal functionality required for our experimental evaluations. This section discusses the specific requirements and design decisions of our technical implementations of the interfaces.

4.1 Multi-view Interface

We implemented this interface to match the standard multi-view interface found in 3DS Max, Blender and Maya. Our representation can be seen left in Figure 3. As in conventional software, the side, top and front views (labelled) allow users to manipulate each object on YZ, XZ and XY planes respectively. In the perspective view users can manipulate the object on any axis desired. Each view is labelled to emphasise that the interface has been divided into four separate views.

In each of the orthogonal views we display gridlines in a unique colour to give users some concept of the scale in the scene, as well as to emphasise that each view shows the scene from a different direction

4.2 Interactive Shadows Interface

This interface (right in Figure 3) shows a single perspective view of the 3D scene with a "box" surrounding it. Each side of the box shows a projection of the scene as seen from that side. This projection acts as a shadow, where every side of the box shows the shadows that objects in the scene would cast on that side. Users can interact with the objects through their shadows, as well as with the objects directly through the main view. This interaction is shown in Figure 4. The shadows can be manipulated on only two axes; in the same way one can manipulate objects in

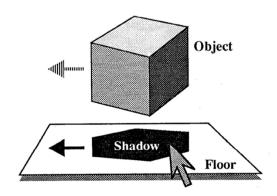


Figure 4: Translating the shadow of this cube on the floor plane applies the translation to the cube by the same amount in a plane parallel to the floor plane. [14]

the plane of the orthogonal views in the multi-view interface. The user can view and modify objects from any of the scene views.

This interface has similar gridlines to the multi-view interface to help users orient themselves within the scene. These gridlines are projected onto the walls of the box, as we found that including them in the centre of the scene obscured geometry.

Our implementation differs from Herndon et al.'s in a number of ways. Herndon et al. allowed users to control the rendering style of shadows to control how much detail they would like to see in different tasks. This would be desirable in a professional 3D modelling suite, but was out of the scope of this project. Additionally, the shadow style the individual participant selected could their overall experience of the interface and thus confound our results. We chose to implement the 'mirror' version of the shadows that displays all attributes of the objects clearly. This shadow style is the most generally applicable, as opaque or wireframe shadows would limit users on certain tasks such as ordering objects. Our shadows are slightly darker than the true mirror shadows Herndon et al. used, as pilot test feedback identified that the difference between the original object and its' mirrored shadow was unclear.

4.3 Features

We surveyed popular 3D modelling software packages to determine which basic features our interfaces require to be representative of existing 3D modelling software. We outline the features we incorporated below, drawing your attention to the screenshots of our interface implementations in Figure 3.

4.3.1 Translating Objects

Clicking the "Translate" icon on the top left of either interface enables a mode allowing users to select and translate all movable objects. To select an object, the user hovers their mouse over it and presses the left mouse button. A selected object's edges are highlighted to indicate this status. To move the object, a user holds down the left mouse button and drags the mouse to where he or she wants the object positioned.

Our implementations handle translation by creating an invisible, virtual plane in the scene at the selected object's centre, with a plane normal along the camera view direction. As the user drags the mouse, the object is moved along this plane in the direction of the mouse cursor. This gives the appearance of smooth movement, directly parallel to the user's view of the scene.

4.3.2 Rotating Objects

This interaction is similar to translating. Clicking the "Rotate" icon on the top left of the interface enables the rotation mode. Selecting an object to rotate uses the same hover and left-click approach. To rotate this object, the user needs to hold down the left mouse button and drag the mouse in the desired rotation direction.

Rotation is handled using the Arcball controller approach [32]. The Arcball controller uses a virtual sphere centred on the object's position. The position of the user's mouse is then projected onto points on the sphere's surface. Mouse movement causes the object to roll, as if the user were pushing a ball in that direction. Mouse movements outside the sphere are interpreted as Z rotations.

4.3.3 Scene Manipulation

The users are able to zoom in or out, rotate and pan their scene in order to view the objects from a desired position. These options are available through the use of the mouse

Users can scroll the mouse wheel up or down in order to zoom in or out of the view currently in focus.

Left-clicking and then dragging on the scene rotates it in the direction of the mouse drag. Note that scene rotation is disabled for orthogonal views in the multi-view interface.

Right-clicking and then dragging pans the scene in the direction of mouse movement. This works in all views.

4.3.4 Resetting

We incorporated two forms of reset in our designs. The user is able to reset objects to their initial position, as well as the scene orientation to its original alignment.

Pilot testers found it useful to be able to reset the objects to their original positions if they lost them within their scene. This could happen if users used multiple combinations of scene and object manipulations such that the objects in the scene are no longer visible.

Both options are accessible from the "Reset" dropdown on the toolbar. The user can then select the "Object Position" or "Scene Orientation" option as required.

4.4 Implementation

As this software is intended to facilitate our experimental evaluations, we wanted to ensure that it would be easy to install and run. We decided to use a web-browser based approach, as this makes it easy to deploy to each testing computer. Recent advances in WebGL meant that we could create our interfaces to run in the browser and handle any 3D interactions with negligible performance impairment. We chose to implement the interfaces using three.js [7], an open-source JavaScript library that allows developers to quickly write complex WebGL applications.

Our software package was implemented using a pipeline architecture. Each stage in the experiment was implemented as a separate page. This was done to guide the participants through the experimental evaluations without needing assistance from an invigilator, as we wanted to reduce the impact the invigilator could have on the participants' performance.

We hosted the implementation on Github Pages [11], a static hosting service. To store the participants' various interactions with our system, we used the LocalStorage JSON API. This stored the data on the local machine, which could be downloaded manually by the invigilator after the participant had finished. We also stored the participant's current position in the experiment pipeline in LocalStorage to ensure that if they closed the browser window, or the computer crashed, they could continue the experiment from the same position.

To ensure that the implementation of both interfaces is on par with existing 3D CAD systems, we conducted a formal heuristic evaluation. We approached four 3DUI experts to review early iterations of our implementations with Nielsen's Revised Heuristics [25]. We received valuable feedback on the design complications in our system and expert advice on how to resolve them.

On later iterations of our experiments we ran a pilot study to test the evaluation procedures with 9 undergraduate students majoring in Computer Science. The pilot study was conducted under conditions matching intended experimental procedure discussed at length in section 5.3. After their participation, we conducted an informal debriefing to collect feedback. These pilots found key flaws in the clarity and flow of the application that might have negatively affected our final study results. Our initial study design

incorporated more iterations of each task, which took participants 55-70 minutes, and testers reported feeling fatigued. To rectify this we reduced the amount of tasks per task type. Testers also reported that the distinction between objects and shadows was too small. Thus we modified the mirror shadows to be slightly more opaque.

5. EXPERIMENTAL METHOD

In this study we performed a randomised single factor repeatedmeasures experiment to compare and contrast our two interfaces. Our independent variable is the type of 3D interface used, as we wish to measure the effect on participant performance when this is varied. The dependent variables are the task performance and user preference metrics we measure.

For task performance metrics we measured the speed and accuracy of task completion. Speed is operationally defined as the time in seconds it takes for the participant to complete a task. Accuracy is the measure of how close the participant's submission matches the model answer for the task. We captured two measures to represent accuracy, namely unit distance and orientation difference. Distance is the difference in units between the participant's object and the target position. Difference in orientation was calculated by determining the minimum angle (in radians) required to rotate the participant's object to match the target object's rotation.

We collected qualitative feedback by asking open-ended questions about the participants experience during the study. This gives another dimension to the quantitative data by helping interpret the results more accurately in context [20].

In our experiments the order and type of tasks carried out by participants for each interface will remain consistent throughout the experiments. Equal numbers of male and female participants were randomly assigned to each experiment group into two groups and the order in which the participants evaluated the interfaces is counterbalanced. We measure the 3D interaction ability using the Mental Rotations Test (MRT) [30] as this has been shown to positively influence a person's 3D manipulation tasks. Other aspects, such as a participant's experience with 3DUIs, are recorded in a pre-experiment questionnaire.

5.1 Participants

Participants were recruited through a non-probability sampling method, using a combination of convenience and purposive sampling techniques. The study was advertised via physical posters on the university campus, as well as through social media. A monetary incentive of 30.00ZAR was offered for their participation in the study. We were careful to choose a monetary value that would not be disproportionally motivating to participants.

Each participant who responded was screened using a modified version of the Survey of Spatial Representation and Activities (SRRA) [34] that we distributed online. The survey captured demographics, education, computer literacy and other factors that have been shown to correlate with mental rotation ability such as experience playing video games or using maps. As the experiments would require basic computer literacy skills, we ensured all participants that were accepted did not list themselves as less than "moderately skilled" with computers. We also intentionally recruited equal numbers of men and women to ensure that we represented both genders equally.

There were 36 students in the final study, all living in Cape Town and aged 19 - 26.

Participants were issued an informed-consent form on the day of the experiment, as well as a breakdown of what they were being remunerated for. They were given time to read these documents and ask any questions they had. If they agreed to participate they signed the documents and were seated for the experiments.

5.2 Task Design

We designed 3 types of tasks for participants to complete during their experimental evaluation. These tasks were chosen as they represent common interaction scenarios in 3D modelling applications. Participants were instructed to complete each task quickly and accurately and press the "submit" button once done. Each task was completed more than once to get an average performance per task for each participant. The specifications of these tasks are outlined below.

5.2.1 Task Type 1: Plane Alignment

Plane tasks require the user to line up the letter or number object in the scene with the centre of the black plane in the scene. The goal is that the object lies flat in the centre of the plane, so the plane appears to make a bisection of the object. The orientation of the object, once it is in this position, does not matter. The plane is fixed; only the object in the scene can be translated and rotated. When the task loads the object is generated at a random position within a 400x400 unit cube centred on the origin.

These tasks were chosen to assess how the interface type affects the participant's ability to estimate the relative position and orientation of objects.

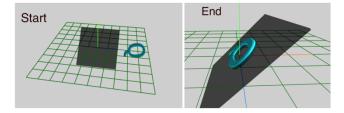


Figure 4: Example of the plane task with the initial scene layout on the left and the desired submission on the right.

5.2.2 Task Type 2: Dodecahedron Template

A regular dodecahedron in the centre of the scene has a cut-out template on one of its faces that corresponds to a number or letter object that is also visible in the scene. This task requires participants to slot the object into the cut-out in the dodecahedron. Only the letter or number object can be translated and rotated. The dodecahedron is stationary.

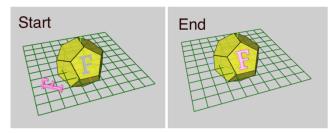


Figure 5: Example of the dodecahedron task with the initial scene layout on the left and the desired submission on the right.

For these tasks we ensure that the letter or number object placed in the scene had 0 axes of symmetry. This prevents there being more than one correct way to slot the object into the cut-out. We

selected these tasks to identify participants' ability to accurately match an object's position and orientation given an explicit orientation and position to match. This differs from task type 1 as here participants are expected to match an example whereas in task type 1 we requested their best estimate.

5.2.3 Task Type 3: Room Construction

These tasks require the participant to align the objects in the scene to construct a mock living room. The participant must place the table onto the tiled floor so that it is centred on the highlighted (orange) rectangle, and the table legs touch the floor. Then, they must place the lamp onto the table in the centre of the highlighted circle. For these tasks we measure the accuracy in terms of relative orientation and position within the scene. The table, floor and lamp must have the same orientation relative to one another.

The objects in this scene have multiple axes of symmetry. To calculate accuracy in radians we translated the user's objects to XY plane and removed all Z rotations. In this scene all three objects can be translated and rotated.

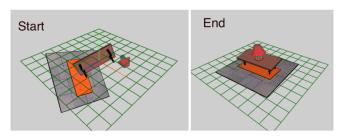


Figure 6: Example of the room task with the initial scene layout on the left and the desired submission on the right.

These tasks were designed to mirror real-world use-cases of 3DUIs. The two previous types of tasks test certain aspects of user interaction, but they are less representative of real 3DUI uses, since certain objects are static. The room alignment tasks require participants to set up a scene, which is something animators and architects frequently do when using their 3D CAD tools [23].

5.3 Procedure

Experiments were conducted in an access-controlled computer laboratory. Only participants and the experimental invigilator were present at all times to ensure participants would not be distracted. Each participant was allocated a desktop with screen, keyboard, and mouse of the same make and model. Participants were allocated a participant number, which was attached to their signed informed consent form.

The experiment took place exclusively in one application preopened on the screen in front of the participants. The application prompted participants for input when required and included all necessary documentation. This outlined each step of the experiment one by one and explained each interface and task individually. A copy of this documentation was provided in hard-copy form as well at the beginning of the experiment to allow for easy reading and annotations if required.

Participants were given 10 minutes to first complete the MRT [30] to assess their mental rotation ability. The system did not give participants the option of proceeding to the next section of the experiment if they completed the test in less than 10 minutes. This was done, as the original paper-based version of the test requires participants to take the full 10 minutes [30]. We wanted to ensure our test parameters are consistent with the original. The system emphasised that participants should try to complete the MRT as

quickly and accurately as they could without guessing the answers.

After the MRT the evaluation of interfaces stage of the experiments began. This involved documentation introducing the 3 types of tasks, interface controls and the first interface they would be evaluating. Once ready to proceed, each participant was then allocated 3 minutes to familiarise themselves with the interface in a training task environment. This allowed them to explore the interface and gain familiarity with the controls. After this, they completed four type 1 tasks, three type 2 tasks, and two type three tasks. The number of times each type of task was repeated was determined by the complexity and possible duration of each task. Participants could move immediately to the next task upon completion of the current task.

Once they completed all tasks, participants filled out a questionnaire to evaluate the interface. This questionnaire included a number of open-ended questions regarding the control users felt, as well as a structured System Usability Scale (SUS) [7]. The SUS allows us to quantitatively measure the usability score of each interface.

6. RESULTS & DISCUSSION

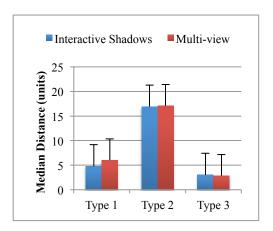
In total, we had 36 participants who completed 18 tasks each – four type 1, three type 2, and two type 1 per interface. Each participant also completed two usability questionnaires. Thus we had 1944 task results and 72 usability results.

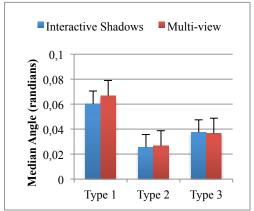
This research aims to compare a participant's performance across the interactive shadows and multi-view interface for each task type. Additionally we must compare the overall evaluation of each interface by each participant. As such, we required multiple two-paired-group comparisons. Our first step was to determine data normality so as to identify which statistical comparison test to use.

We ran a Shapiro-Wilkes test to identify the normality of our data distribution per task per interface. This showed that our data was entirely non-parametric. We ran an additional Smirnov-Grubbs outlier test on each task measure and identified a large number of outliers. In such cases it is helpful to perform a base-10 logarithmic transform on each data point. A log transform can help normalise the distribution of measured data to reduce the effect of outliers on the distribution. After we performed this transform our data distribution was much closer to a normal bell-curve and Smirnov-Grubbs test found much fewer significant outliers.

After analysis we found that all outlier values detected either had a distance accuracy measure of greater than 50 units or an angle accuracy of greater than $\frac{\pi}{3}$. These values both yield a visibly incorrect submission. This could happen if the system had a glitch for that particular trial or the participant was not concentrating on the task well. An example of this was a participant that accidentally clicked the submit button for a task twice in quick succession, effectively submitting the newly loaded task within 0.1 seconds.

We removed a total of 33 data points that the Smirnov-Grubbs test identified as outliers. We used the rest of the data to in calculating each participant's average for each performance metric per task, per interface. For each type of task we analysed the distribution of the performance metrics (distance accuracy, angle accuracy and speed) for each interface. The Shapiro-Wilkes test identified that our data was close to normal across each data category, however not all had a p-value < 0, 05. Thus we chose to analyse our data using a comparative two-tailed test that does not strictly rely on





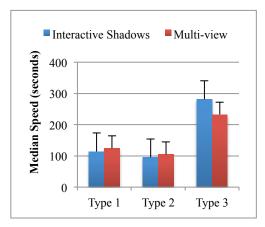


Figure 7: Bar charts with error bars (95th confidence level) showing the median performance measures for per task type for each interface.

the assumption of a normal distribution – Wilcoxon Signed Rank test (a=0.05). A summary of the analyses can be found per performance metric below. We display the medians rather than means, as this is a better representation of the mid-point for non-parametric distributions. Figure 7 displays the bar chart representation of our descriptive statistics for each performance measure.

6.1 Distance Accuracy

Our initial descriptive statistic analysis identified differences in medians between the two interfaces for all three types of tasks.

We then performed inferential statistical analysis to determine whether any of these differences were significant. The summary of this data is displayed in Table 2. For type 1 and type 2 tasks the Interactive Shadows interface has a lower median, while for type 3 tasks the median multi-view interface was lower.

We found a significant difference in the two interfaces for type 1 tasks. Our analysis identifies that the interactive shadows task is more accurate in terms of distance for these types of tasks (median difference = 1,258; r = 0,237; p < 0,05).

Table 2: Summary of results and statistical analyses of distance accuracy

Task Type	Interactive Shadows Med	Multi-view Med	Med Difference	Effect r	p- value
1	4,768	6,026	1,258	0,237	0,044
2	16,934	17,082	0,148	0,130	0,270
3	3,012	2,876	0,136	0,072	0,540

The significance of task type 1 could be attributed to the specifics of this task category. These tasks required participants to estimate the centre of the plane and the letter/number object and place the object onto this centre. The other tasks clearly mark the target location that participants are required to match with their object. Previous studies into shadow depth cues have shown they help users effectively approximate relationships between objects in the 3D scene [17] [35] [36]. This result shows promise that the interactive shadows interface could be useful for tasks in which users must estimate object positioning.

As our tasks are not individually comparable we cannot safely run a test to gain quantitative insight into the large disparity in medians between task type 1 and type 2. This difference could be insignificant, however previous studies have shown that object manipulation can be significantly impaired if the objects within

the interface obscure one another [17] [20]. In task type 2 the dodecahedron obscures the views of the scene a great deal whereas the plane in task type 1 did not. It is possible that this occlusion had an effect on participants' ability to judge distance effectively.

6.2 Angle Accuracy

The difference in radians between the target orientation and that of the object that the participant submitted showed promising differences. Figure 7 shows clear differences between the angle accuracy between interfaces per task type.

Table 3: Summary of results and statistical analyses of angle accuracy

Task Type	Interactive Shadows Med	Multi-view Med	Med Difference	Effect r	p- value
1	0,060	0,067	0,007	0,122	0,300
2	0,026	0,027	0,001	0,058	0,626
3	0,037	0,037	0,001	0,168	0,153

Similar to distance accuracy, participants performed better for task types 1 and 2 in the interactive shadows interface while performing slightly better in the multi-view interface for type 3 tasks. This difference was only apparent in the descriptive statistics. Our Wilcoxon Signed Rank test yielded no significant results for any task type. The summary of this data can be found in Table 3.

Due to the lack of significance in all types of tasks, it is possible that the level of accuracy required to align the orientation of objects in the 3D scene is enabled by both interfaces. This would be consistent with previous research that identifies the orthogonal views as those crucial for high accuracy interactions like these.

All task types have similar angle accuracy. This could indicate that while different tasks they all test the same type of object orientation task. It is possible that more complex orientation tasks could have yielded different results.

6.3 Speed

Our speed descriptive statistics also identify the interactive shadow as more accurate than the multi-view for type 1 and 2 tasks but less accurate for type 3. After performing our Wilcoxon Signed-Rank test we determined that this observed difference was not significant. These results are summarised in Table 4.

This performance metric may have been significantly affected by participants' individual behaviour during the study. A number of participants spent a long time trying to perfect their submissions. They were explicitly instructed to perform as quickly and accurately as possible, but this instruction is vague. It is open to interpretation and bias depending on the preferences of the individual participant. As such, some participants prioritised speed while others prioritised accuracy.

The difference in time taken between the first two task types and type 3 is due to the complexity of this last task category. Task type 3 required participants to manipulate and arrange three objects in the scene and all three could be interacted with. As such the task was much more complex than the firs two which had only one movable object.

Table 4: Summary of results and statistical analyses of speed

Task Type	Interactive Shadows Med.	Multi-view Median	Median Difference	Effect r	p- value
1	91,552	108,393	-16,841	0,191	0,105
2	76,958	85,402	-8,444	0,080	0,496
3	227,200	217,697	9,503	0,142	0,229

6.4 Usability Score and Feedback

Our quantitative SUS questionnaires return a percentage measure representing user comfort, ease of use and learning, confidence in using the system. The resulting data for each interface was non-parametric and we thus used medians to compare our descriptive statistics. Interestingly evaluation medians were identical (m = 47,5). To determine whether there was any interaction we could not see through our descriptive statistics we ran a Wilcoxon Signed-Rank test. The result determined that users found no significant difference between either interface types.

In terms of qualitative user feedback, however, users did identify differences between the interfaces. We found that users were quite positive about the interactive shadows interface. Of our 36 participants 20 identified that they preferred the interactive shadows interface for all types of interactions. A further 8 identified it as better than the multi-view interface for making specific, fine-tuned accuracy manipulations.

We had 4 participants that were used to interacting with 3D modelling or manipulation software that uses the multi-view interface. These participants did not enjoy the interactive shadows interface and also expressed difficulty transitioning between the interfaces after evaluating the first one. Novice 3DUI users did not express difficulty regarding this. Three participants reported that they did not prefer either interface to the other and they would feel happy using both for these tasks.

A few participants mentioned that they preferred the multi-view interface in theory, but they felt limited by screen size, as the same screen dimensions were shared by four individual views, whereas the interactive shadows interface only displays one view.

Our qualitative feedback identified certain weaknesses in our experimental design. We found users focused on UI and hardware design issues that were often based on preferences. User responses repeatedly identified usability aspects that were present in both interfaces. Only when explicitly asked to compare the interfaces in the final qualitative question did users give comparative feedback. An example of such feedback is a number of our participants expressed wanting to have different mouse-buttons to control rotate and move functions.

While invigilating experiments, we noted that some of our participants became frustrated with the interfaces. A number of our participants that had not experienced a 3DUI before found the direct manipulation mapping difficult to grasp at first. Observing their behaviour we saw that they often used their hands to visualise the 3D rotation or translation before using the mouse to execute this action. This frustration was only prevalent during the first interface they evaluated.

Frustration was visible in the participant's demeanour and verbal outbursts. We found that our female participants were much more vocal about their performance, both positive and negative. They loudly expressed their joy or frustration.

6.5 Covariate Factors

In an attempt to control for confounding variables in our experiments we collected data on twenty-six categories that could affect participant performance. These categories involved demographics, educational background, and exposure to hardware and software and in particular, exposure and use of 3D software. We also collected users' MRT scores due to its significance in previous studies discussed in Section 3.

We performed multiple within-subjects analyses on our interfaces with these categories of data using the two-tailed Wilcoxon Ranked-Sign (a = 0,05). we found that the MRT had a significant effect on the distance performance of participants in each interface individually, but had no effect on the difference in performance between interfaces. We also determined that gender had no significant effect on participants' performance across all tasks. Participants having had prior experience with 3D modelling or gaming software significantly impacted their speed and angle accuracy, but had no significant effect on their distance accuracy.

7. CONCLUSION & FUTURE WORK

We performed a quantitative evaluation of the interactive shadows and multi-view interfaces to determine whether one was more useful or usable as a 3DUI for three classes of tasks.

We were able to identify that the interactive shadow interface is significantly better for accuracy in terms of distance when completing our type 1 tasks. The difference between this task and the other two types is its lack of demarcated target position. As such this shows promising evidence that the interactive shadows interface could be more effective than the multi-view interface for tasks that require users to make estimates about relative position between objects. This avenue could be explored further by pursuing a similar study with comparable tasks with varying levels of positioning requirements.

Unfortunately we were not able to identify whether our two interfaces affected participant performance significantly on any other performance measures. This could be due to the design of our task classes, or the way our participants interpreted their "quickly and accurately" instruction. In future it would be valuable to study various complexities of comparable tasks so that we can explore the interface's effect on performance on a task more thoroughly. We also suggest separating the "quickly and accurately" instruction and focus one them individually. This would reduce the risk of a double-barrelled instruction eliciting unpredictable responses from participants.

This research set out to contribute to the lack of empirical evaluations of 3DUIs used in modelling software. Our formal evaluation takes the first step to determine empirical relative effectiveness between the current standard interface and a potential alternative. While our results lack significance, they

show potential for further investigation in this area and the opportunity to improve 3DUI manipulation for specific tasks.

8. ACKNOWLEDGMENTS

We gratefully acknowledge the guidance and support received from our supervisors Assoc. Prof. James Gain and Dr Brian DeRenzi. Their combined experience and knowledge helped shape the direction and quality of this research. We also thank Steven Rybicki for his assistance and collaboration as a research partner.

The University of Cape Town's Computer Science department supported this project by funding our participant remuneration and supplying experimental space. We express our gratitude to the department, as this research could not have been executed without their help.

9. REFERENCES

- Autodesk Inc. 2015. 3DS Max: 3D Modeling, Animation, and Rendering Software. 3DS Max. http://www.autodesk.com/products/3ds-max/overview
- [2] Autodesk Inc. 2015. Maya: Comprehensive 3D Animation Software. Maya. http://www.autodesk.com/products/Maya/overview
- [3] Blender Foundation. 2015. Features. Blender. http://www.blender.org/features/
- [4] Ragnar Bade, Felix Ritter, and Bernhard Preim. 2005. Usability comparison of mouse-based interaction techniques for predictable 3d rotation. In Proceedings of the 5th international conference on Smart Graphics (SG'05), Andreas Butz, Brian Fisher, Antonio Krüger, and Patrick Olivier (Eds.). Springer-Verlag, Berlin, Heidelberg, 138-150.
- [5] Doug A. Bowman, Jian Chen, Chadwick A. Wingrave, John F. Lucas, Andrew Ray, Nicholas F. Polys, Qing Li et al. 2006. New Directions in 3D User Interfaces. *The International Journal of Virtual Reality* 5(2). 3-14.
- [6] Doug A. Bowman, Ernst Kruijff, Joseph J. LaViola, and Ivan Poupyrev. 2004. 3D User Interfaces: Theory and Practice. Addison Wesley Longman Publishing Co., Inc., Redwood City, CA, USA. ISBN:0201758679
- [7] Michael Chen, S. Joy Mountford, and Abigail Sellen. 1988. A study in interactive 3-D rotation using 2-D control devices. SIGGRAPH Comput. Graph. 22, 4 (June 1988), 121-129.
- [8] J. Brooke. Sus: a 'quick and dirty' usability scale. In P. Jordan, B. Thomas, I. McClelland, and B. Weerdmeester, editors, Usability evaluation in industry, pages 189–194. Taylor & Francis Ltd, London, UK, 1996
- [9] Ricardo Cabello (Mr.doob). three.js Javascript 3D library. https://github.com/mrdoob/three.js/
- [10] Christophe Domingues, Samir Otmane, and Malik Mallem. 2010. 3dui-ef: Towards a framework for easy empirical evaluation of 3d user interfaces and interaction techniques. *The International Journal of Virtual Reality*, 9(1), 73-80. Retrieved from https://hal.archivesouvertes.fr/hal-00450311
- [11] George Fitzmaurice, Justin Matejka, Igor Mordatch, Azam Khan, and Gordon Kurtenbach. 2008. Safe 3D navigation. In Proceedings of the 2008 symposium on Interactive 3D graphics and games(I3D '08). ACM, New York, NY, USA, 7-15.

- [12] Github, Inc. 2015. Github Pages Websites for you and your projects. https://pages.github.com/
- [13] Knud Henriksen, Jon Sporring, and Kasper Hornbæk. 2004. Virtual Trackballs Revisited. IEEE Transactions on Visualization and Computer Graphics 10, 2 (March 2004), 206-216.
- [14] Kenneth P. Herndon, Robert C. Zeleznik, Daniel C. Robbins, D. Brookshire Conner, Scott S. Snibbe, and Andries van Dam. 1992. Interactive shadows. In Proceedings of the 5th annual ACM symposium on User interface software and technology (UIST '92). ACM, New York, NY, USA, 1-6.
- [15] Ken Hinckley, Randy Pausch, John C. Goble, and Neal F. Kassell. 1994. A survey of design issues in spatial input. In Proceedings of the 7th annual ACM symposium on User interface software and technology (UIST '94). ACM, New York, NY, USA, 213-222.
- [16] Ken Hinckley, Joe Tullio, Randy Pausch, Dennis Proffitt, and Neal Kassell. 1997. Usability analysis of 3D rotation techniques. In Proceedings of the 10th annual ACM symposium on User interface software and technology (UIST '97). ACM. New York, NY, USA, 1-10.
- [17] Geoffrey S. Hubona, Gregory W. Shirah, and Darniet K. Jennings. 2004. The effects of cast shadows and stereopsis on performing computer-generated spatial tasks. Systems, Man and Cybernetics, Part A: Systems and Humans, IEEE Transactions on, 34(4), 483-493.
- [18] Kenneth Hugdahl, Tormod Thomsen, and Lars Ersland. 2006. Sex differences in visuo-spatial processing: An fMRI study of mental rotation. Neuropsychologia, 44(9), 1575-1583.
- [19] Inés Jacob and Javier Oliver. 1995. Evaluation of techniques for specifying 3D rotations with a 2D input device. In Proceedings of the HCI'95 conference on People and computers X (HCI '95), M. A. R. Kirby, A. J. Dix, and J. E. Finlay (Eds.). Cambridge University Press, New York, NY, USA, 63-76.
- [20] J. Jankowski and M. Hachet. 2015. Advances in Interaction with 3D Environments. *Comput. Graph. Forum* 34, 1 (February 2015), 152-190.
- [21] David A. G. Jáuregui, Ferran Argelaguet, and Anatole Lecuyer. 2012. Design and evaluation of 3D cursors and motion parallax for the exploration of desktop virtual environments. In 3D User Interfaces (3DUI), 2012 IEEE Symposium. IEEE. 69-76.
- [22] J. R. Lewis. IBM computer usability satisfaction questionnaires: psychometric evaluation and instructions for use. International Journal of Human-Computer Interaction, 7(1):57–78, 1995.
- [23] Masoodian, Masood, Azmi bin Mohd Yusof, and Bill Rogers. "Identifying Problems Associated with Focus and Context Awareness in 3D Modelling Tasks." *Interacting with Computers* (2014): iwu039.
- [24] NewTek Inc. 2015. About LightWave 3D. LightWave 3D. https://www.lightwave3d.com/overview/
- [25] J. Nielsen. Heuristic evaluation. Usability inspection methods, 17(1):25–62, 1994.
- [26] Gregory M. Nielson and Dan R. Olsen, Jr.. 1987. Direct manipulation techniques for 3D objects using 2D locator

- devices. In *Proceedings of the 1986 workshop on Interactive 3D graphics* (I3D '86), Frank Crow and Stephen M. Pizer (Eds.). ACM, New York, NY, USA, 175-182.
- [27] Ji-Young Oh and Wolfgang Stuerzlinger. 2005. Moving objects with 2D input devices in CAD systems and Desktop Virtual Environments. In Proceedings of Graphics Interface 2005 (GI '05). Canadian Human-Computer Communications Society, School of Computer Science, University of Waterloo, Waterloo, Ontario, Canada, 195-202. ISBN: 1-56881-265-5
- [28] Timo Partala. 1999. Controlling a single 3D object: viewpoint metaphors, speed and subjective satisfaction. In Proceedings of INTERACT, 99. 486-493.
- [29] Albert A. Rizzo, Gerard J. Kim, Shih-Ching Yeh, Marcus Thiebaux, Jayne Hwang, and J. G. Buckwalter. 2005. Development of a benchmarking scenario for testing 3D user interface devices and interaction methods. In Proceedings of the 11th International Conference on Human Computer Interaction, Las Vegas, Nevada, USA.
- [30] R. N. Shepard and J. Metzler. Mental rotation of threedimensional objects. Science, 171(3972):701–703, 1971.
- [31] Ben Shneiderman. 1983. Direct Manipulation: A Step Beyond Programming languages. *IEEE Computer* 16(8). 57-69
- [32] K. Shoemake. Arcball: A user interface for specifying threedimensional orientation using a mouse. In Proceedings of the Conference on Graphics Interface '92, pages 151–156, San

- Francisco, CA, USA, 1992. Morgan Kaufmann Publishers Inc.
- [33] Graham Smith, Tim Salzman, and Wolfgang Stuerzlinger. 2001. 3D scene manipulation with 2D devices and constraints. In Proceedings of Graphics Interface 2001 (GI '01). Canadian Information Processing Society, Toronto, Ont., Canada, Canada, 135-142.
- [34] M. S. Terlecki and N. S. Newcombe. How important is the digital divide? the relation of computer and videogame usage to gender differences in mental rotation ability. Sex Roles, 53(5): 433–441, 2005.
- [35] Melanie Tory. 2003. Mental Registration of 2D and 3D Visualizations (An Empirical Study). In Proceedings of the 14th IEEE Visualization 2003 (VIS'03) (VIS '03). IEEE Computer Society, Washington, DC, USA.
- [36] Melanie Tory, Arthur E. Kirkpatrick, M. Stella Atkins, and Torsten Moller. 2006. Visualization Task Performance with 2D, 3D, and Combination Displays. *IEEE Transactions on Visualization and Computer Graphics* 12, 1 (January 2006), 2-13.
- [37] Melanie Tory and Torsten Möller. 2004. Human Factors in Visualization Research. IEEE Transactions on Visualization and Computer Graphics 10, 1 (January 2004), 72-84. DOI=http://dx.doi.org/10.1109/TVCG.2004.1260759
- [38] Robert C. Zeleznik, Kenneth P. Herndon, and John F. Hughes. 2007. SKETCH: an interface for sketching 3D scenes. In ACM SIGGRAPH 2007 courses (SIGGRAPH '07). ACM, New York, NY, USA, Article 19.