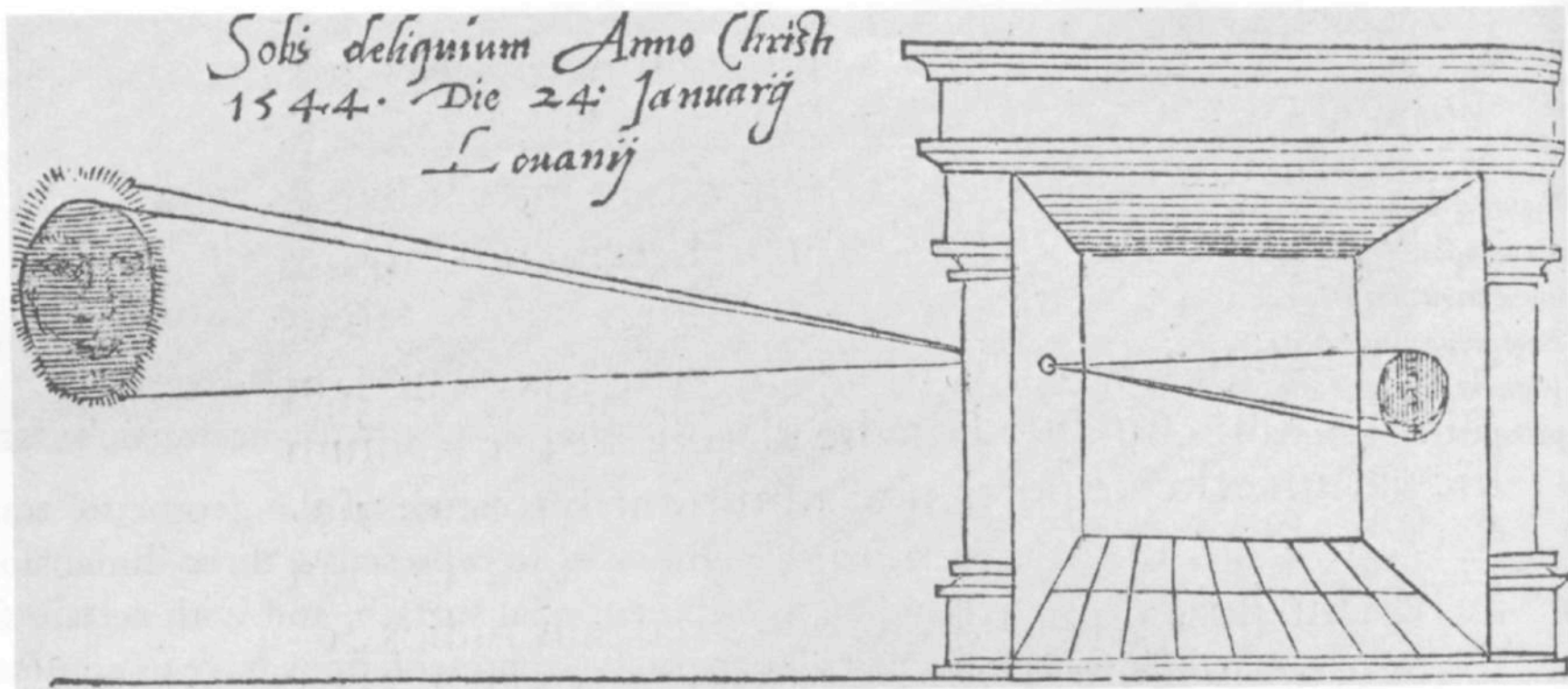


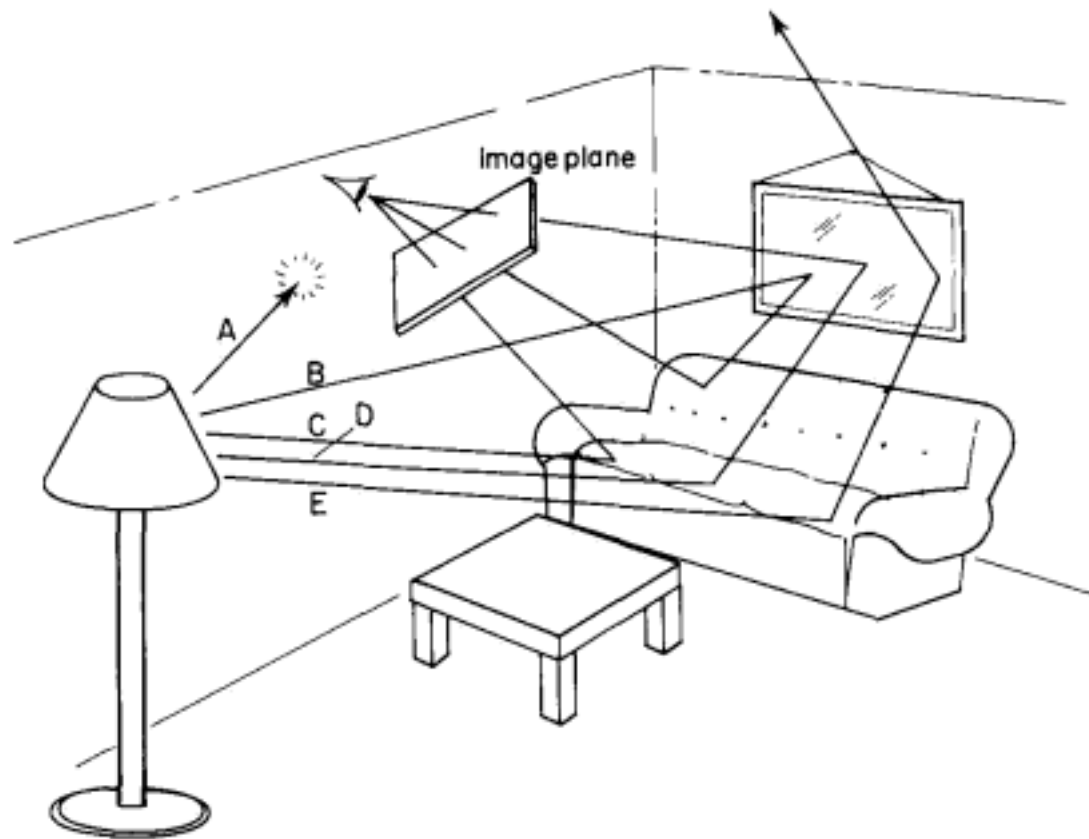
# Ray Tracing Basics

CSE 68I Autumn 11  
Han-Wei Shen



# Forward Ray Tracing

- We shoot a large number of photons



Problem?

# Backward Tracing

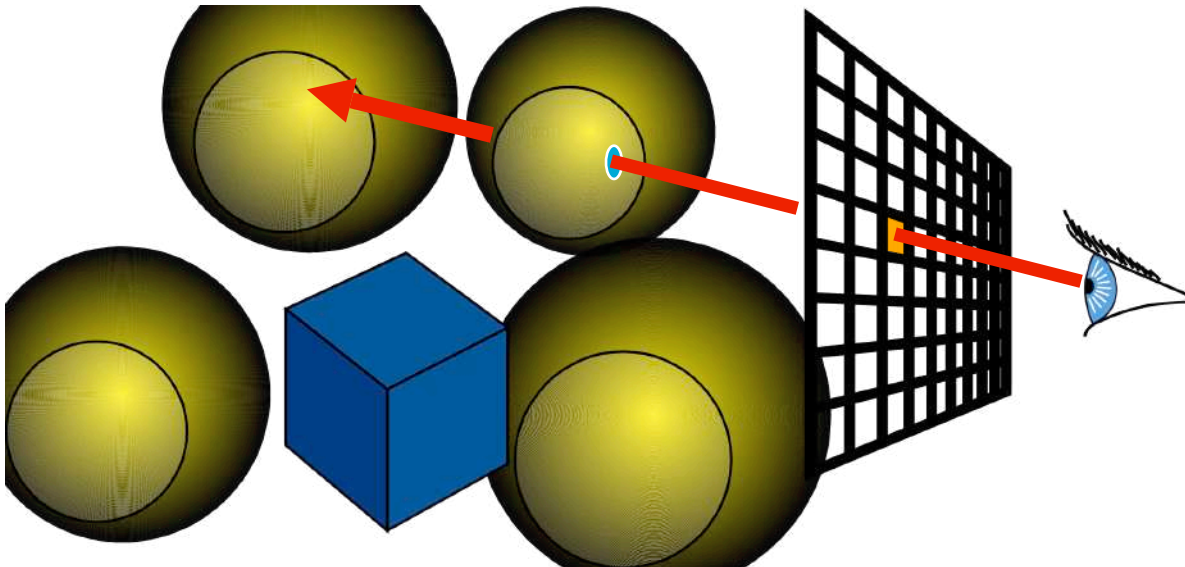
For every pixel

Construct a ray from the eye

For every object in the scene

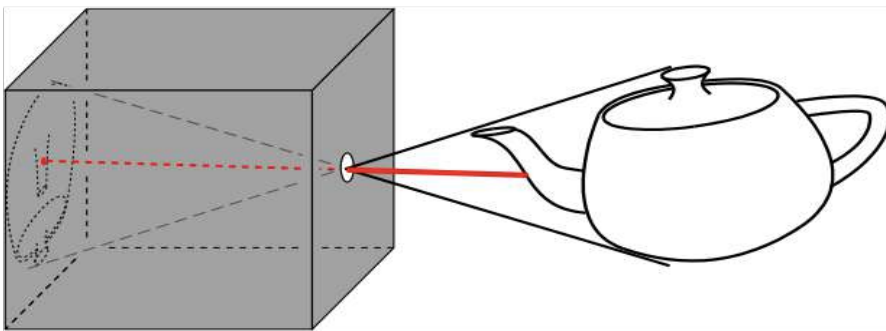
Find intersection with the ray

Keep if closest

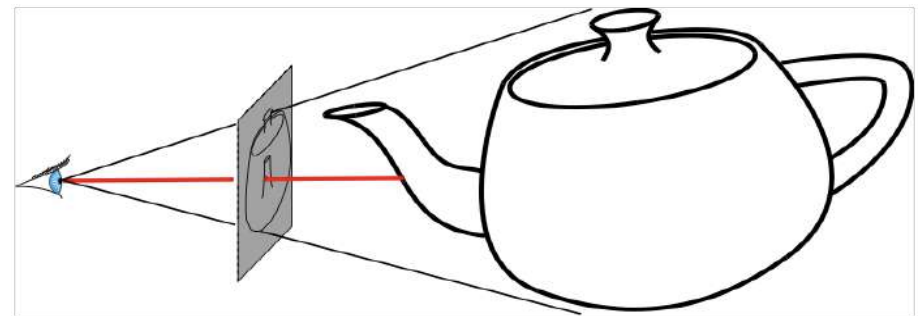


# The Viewing Model

- Based on a simple Pinhole Camera model
  - Simplest lens model
  - Inverted image
  - Similar triangles
  - Perfect image if hole infinitely small
  - Pure geometric optics
  - No blurry



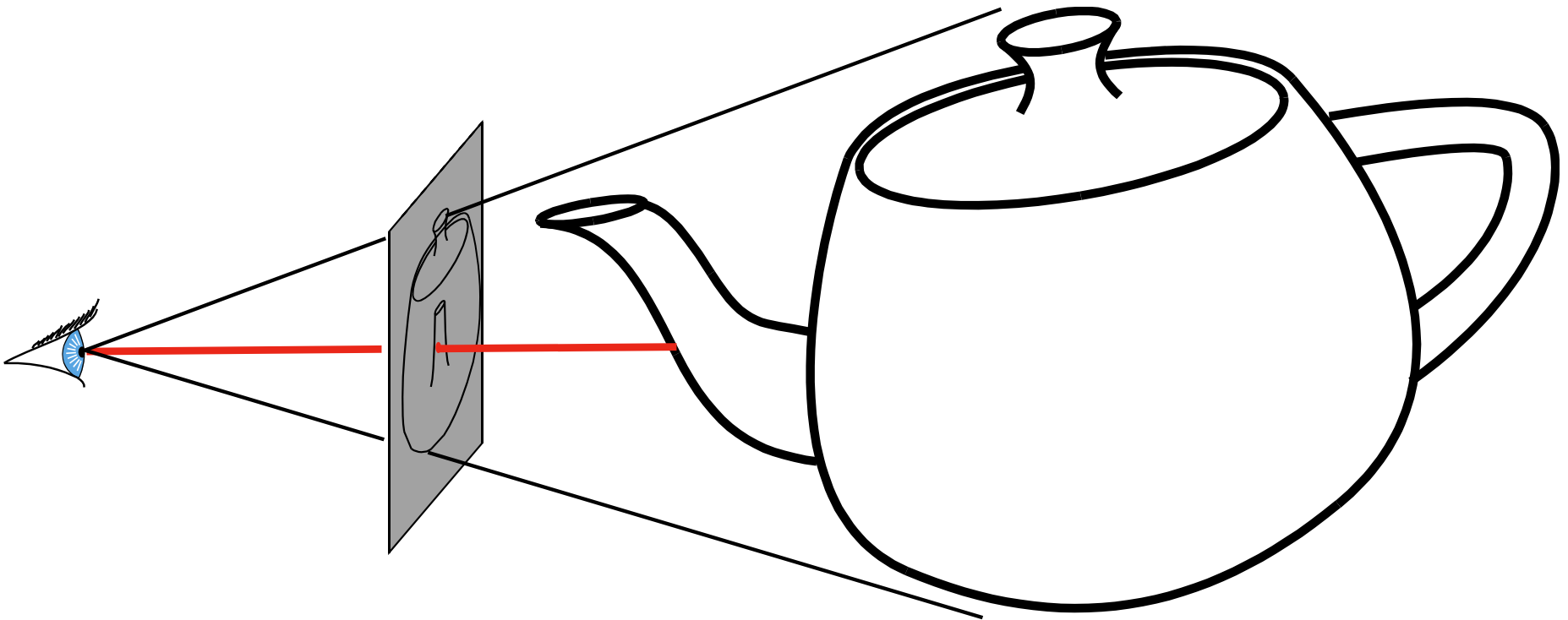
pin-hole camera



simplified pin-hole camera

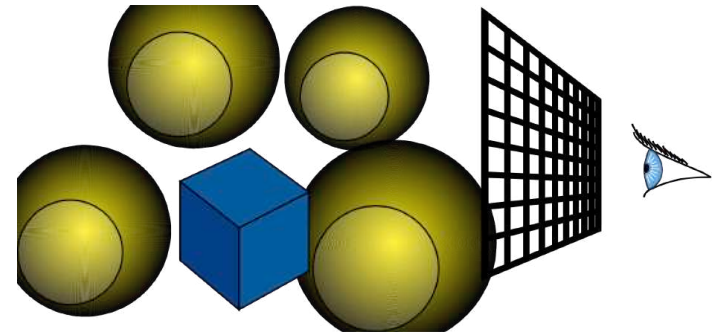
# Simplified Pinhole Camera

- Eye = pinhole, Image plane = box face (re-arrange)
- Eye-image pyramid (frustum)
- Note that the distance/size of image are arbitrary



# Basic Ray Tracing Algorithm

```
for every pixel {  
    cast a ray from the eye  
    for every object in the scene  
        find intersections with the ray  
        keep it if closest  
    }  
    compute color at the intersection point  
}
```



# Construct a Ray

3D parametric line

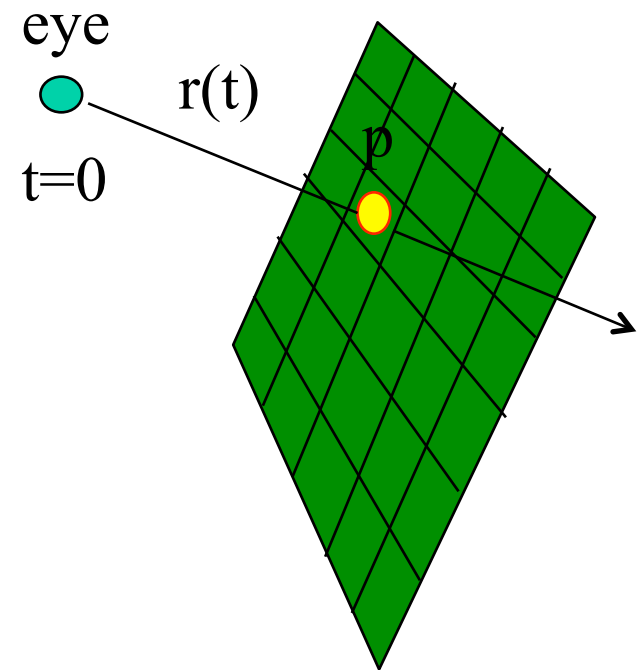
$$p(t) = \text{eye} + t (s - \text{eye})$$

$r(t)$ : ray equation

eye: eye (camera) position

s: pixel position

t: ray parameter



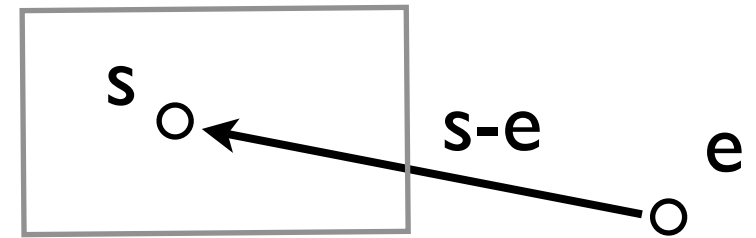
Question: How to calculate the pixel position P?

# Constructing a Ray

- 3D parametric line

$$\mathbf{p}(t) = \mathbf{e} + t (\mathbf{s} - \mathbf{e})$$

\*(boldface means vector)

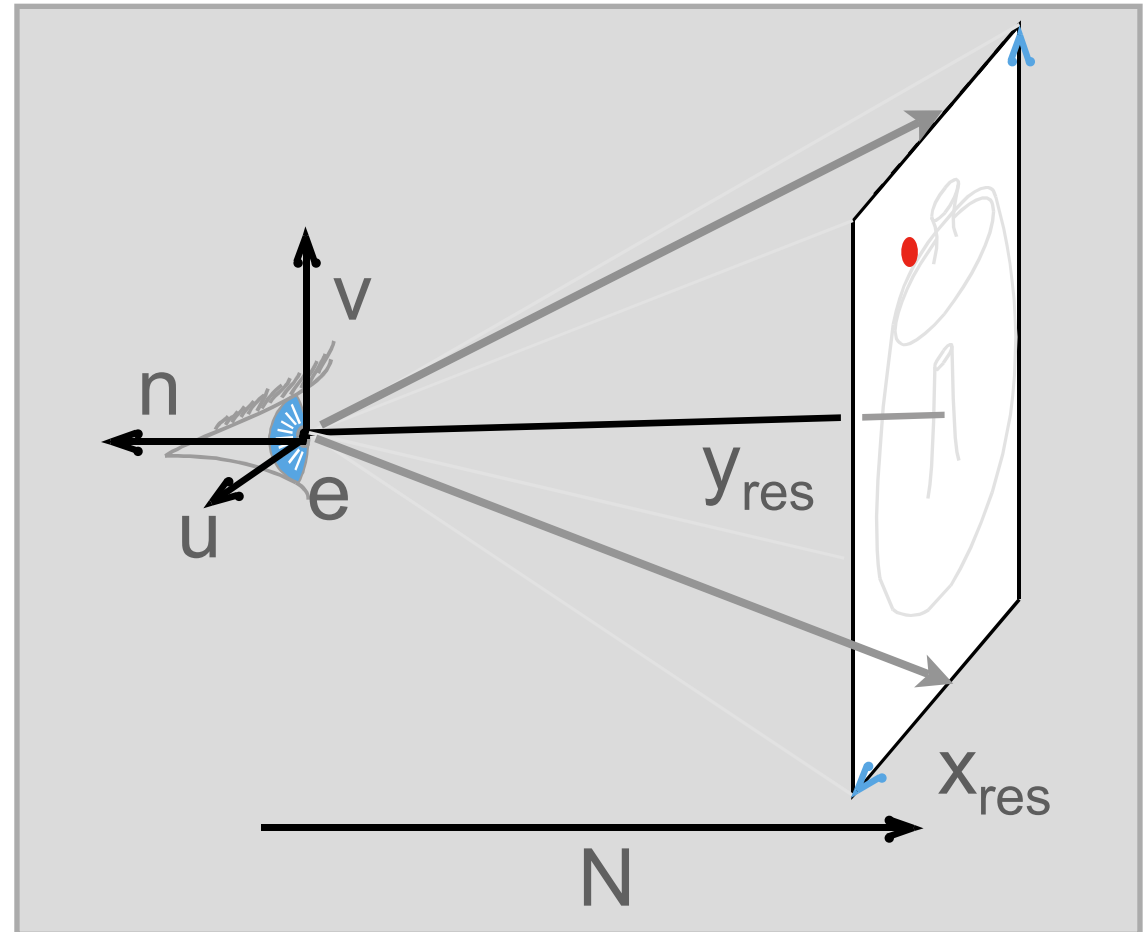


- So we need to know **e** and **s**
- What are given (specified by the user or scene file)?
  - ✓ camera position
  - ✓ camera direction or center of interest
  - ✓ camera orientation or view up vector
  - ✓ distance to image plane
  - ✓ field of view + aspect ratio
  - ✓ pixel resolution



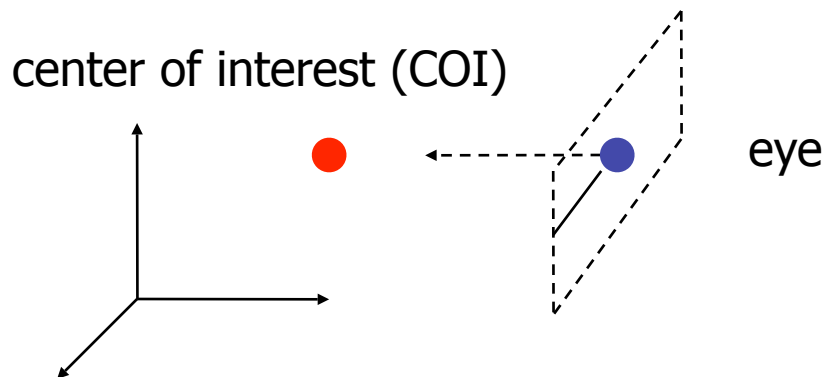
# Given Camera Information

- Camera
  - Eye
  - Look at
  - Orientation (up vector)
- Image plane
  - Distance to plane,  $N$
  - Field of view in  $Y$
  - Aspect ration ( $X/Y$ )
- Screen
  - Pixel resolution



# Construct Eye Coordinate System

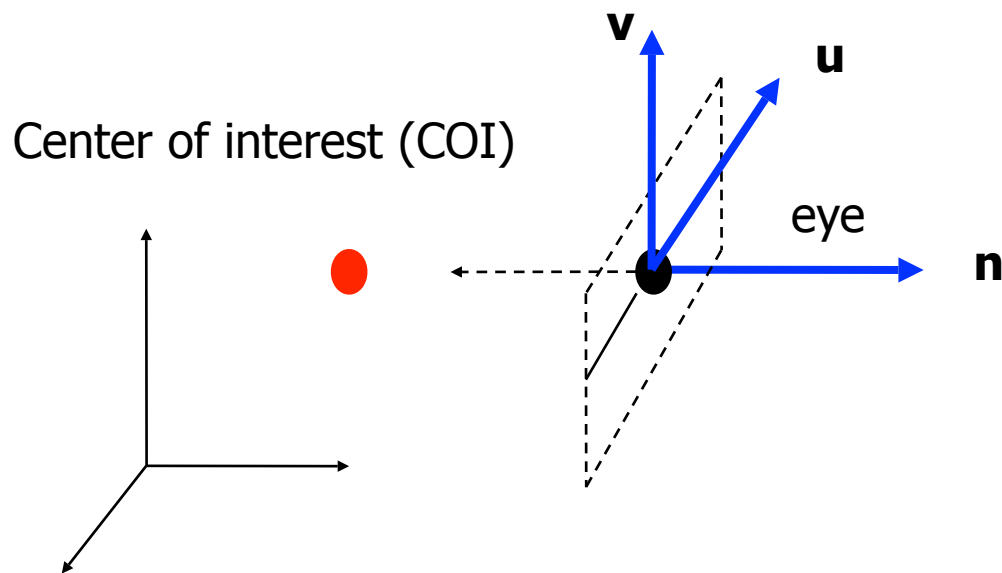
- We can calculate the pixel positions much more easily if we construct an eye coordinate system (eye space) first
  - Known: eye position, center of interest, view-up vector
  - To find out: new origin and three basis vectors



Assumption: the direction of view is orthogonal to the view plane (the plane that objects will be projected onto)

# Eye Coordinate System

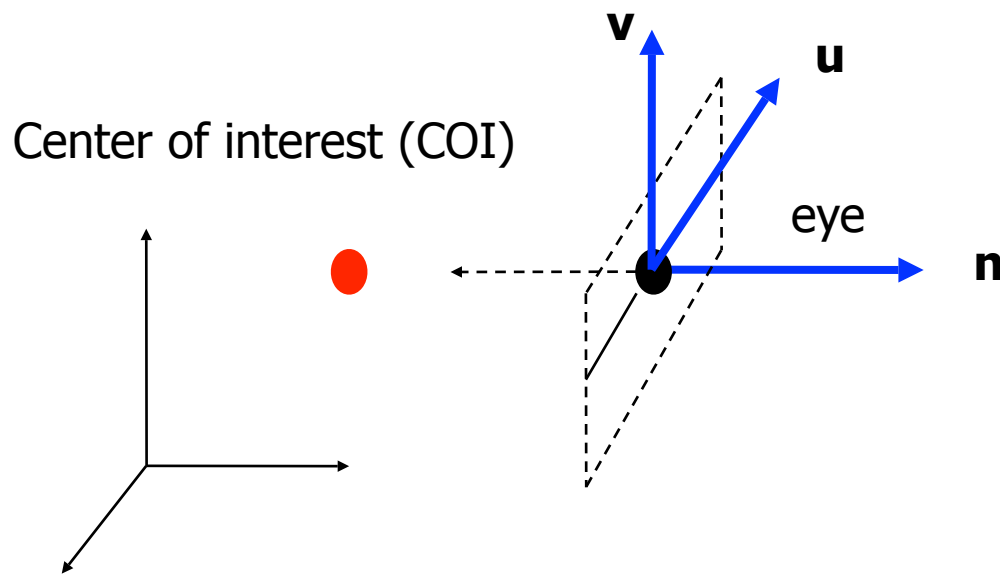
- Origin: eye position
- Three basis vectors: one is the normal vector ( $\mathbf{n}$ ) of the viewing plane, the other two are the ones ( $\mathbf{u}$  and  $\mathbf{v}$ ) that span the viewing plane



( $\mathbf{u}, \mathbf{v}, \mathbf{n}$  should be orthogonal to each other)

# Eye Coordinate System

- Origin: eye position
- Three basis vectors: one is the normal vector (**n**) of the viewing plane, the other two are the ones (**u** and **v**) that span the viewing plane



**n** is pointing away from the world because we use right hand coordinate system

$$\mathbf{N} = \text{eye} - \text{COI}$$

$$\mathbf{n} = \mathbf{N} / |\mathbf{N}|$$

Remember **u,v,n** should be all unit vectors

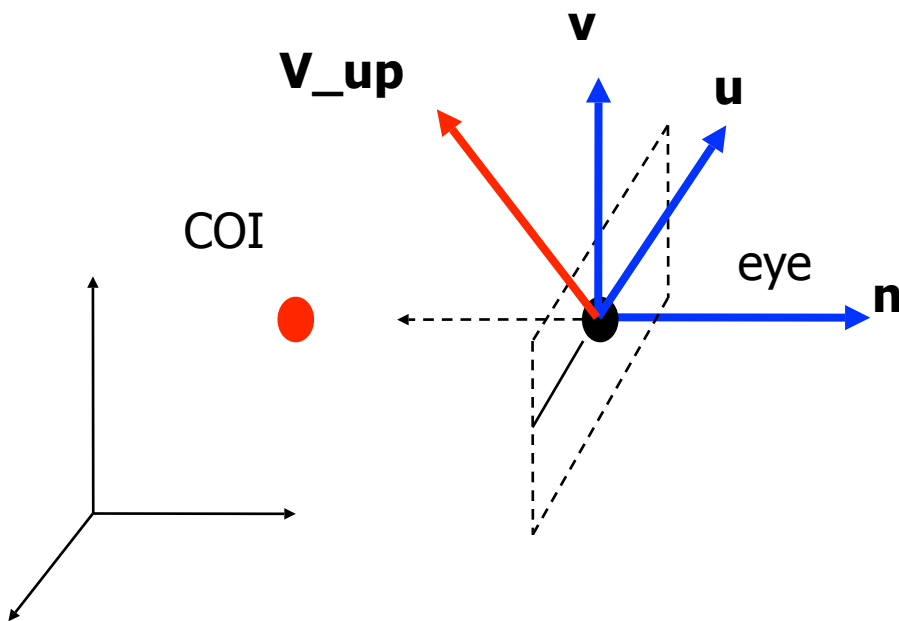
(u,v,n should be orthogonal to each other)

# Eye Coordinate System

- What about  $u$  and  $v$ ?

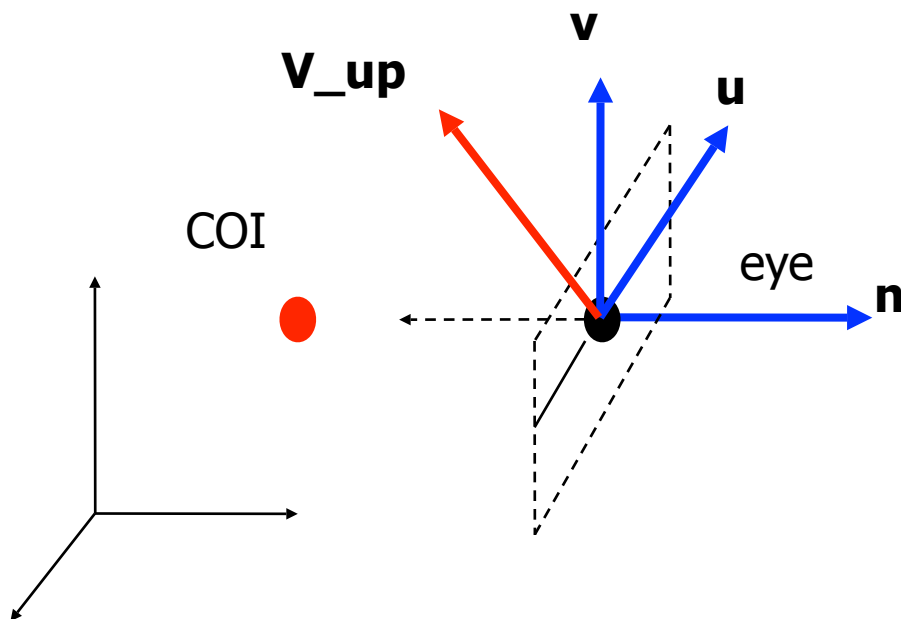
We can get  $u$  first -

$u$  is a vector that is perpendicular to the plane spanned by  $N$  and view up vector ( $V_{up}$ )



# Eye Coordinate System

- What about  $u$  and  $v$ ?



We can get  $u$  first -

$u$  is a vector that is perpendicular to the plane spanned by  $\mathbf{n}$  and view up vector ( $\mathbf{V\_up}$ )

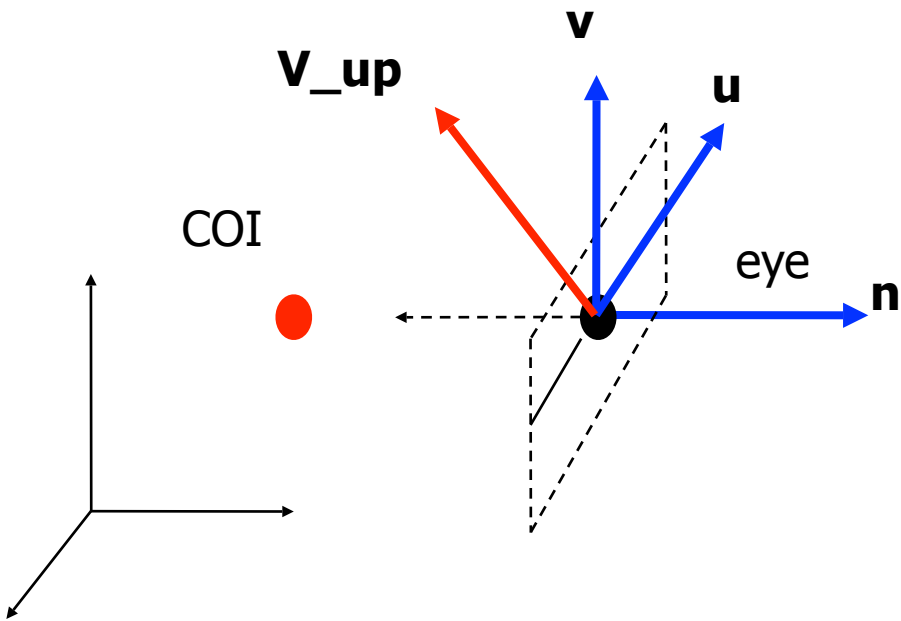
$$\mathbf{U} = \mathbf{V\_up} \times \mathbf{n}$$

$$\mathbf{u} = \mathbf{U} / |\mathbf{U}|$$

# Eye Coordinate System

- What about  $v$ ?

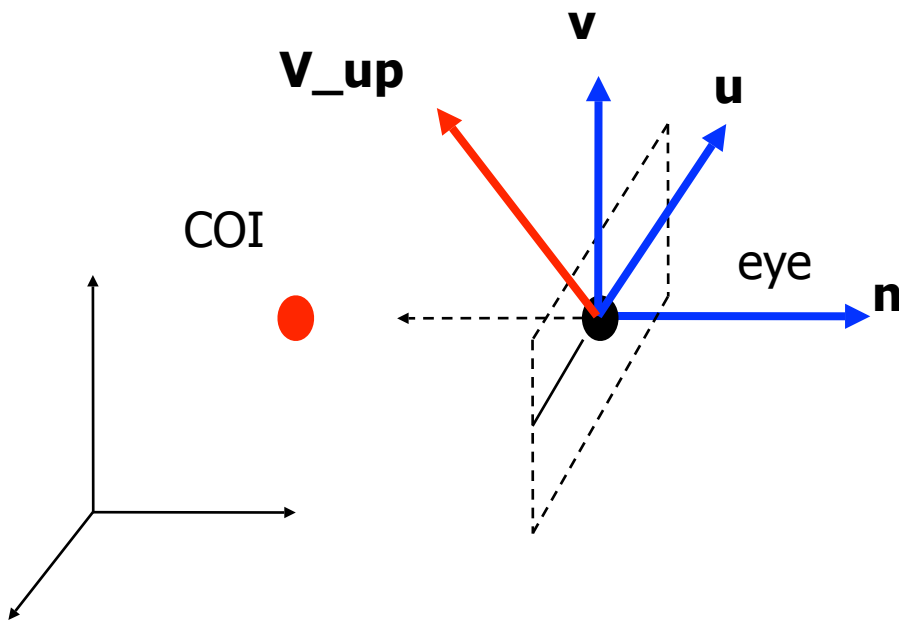
Knowing  $n$  and  $u$ , getting  $v$  is easy



# Eye Coordinate System

- What about v?

Knowing n and u, getting v is easy



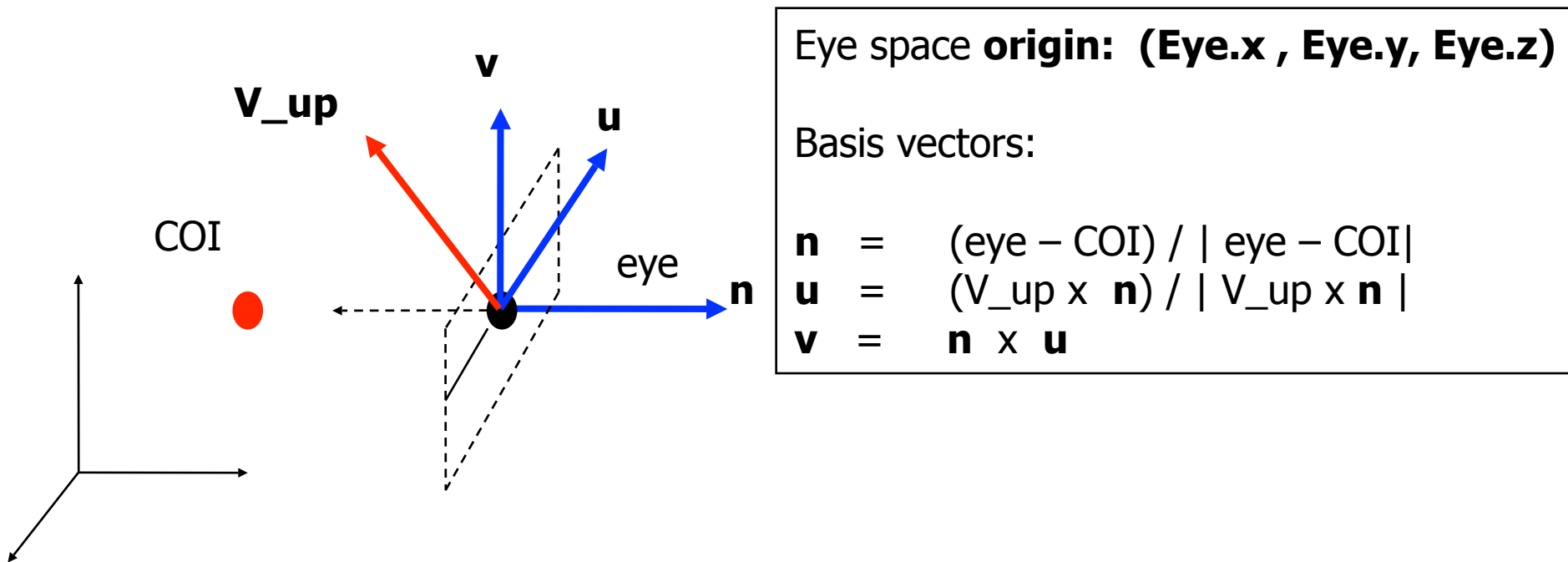
$$\mathbf{v} = \mathbf{n} \times \mathbf{u}$$

**v is already normalized**



# Eye Coordinate System

- Put it all together

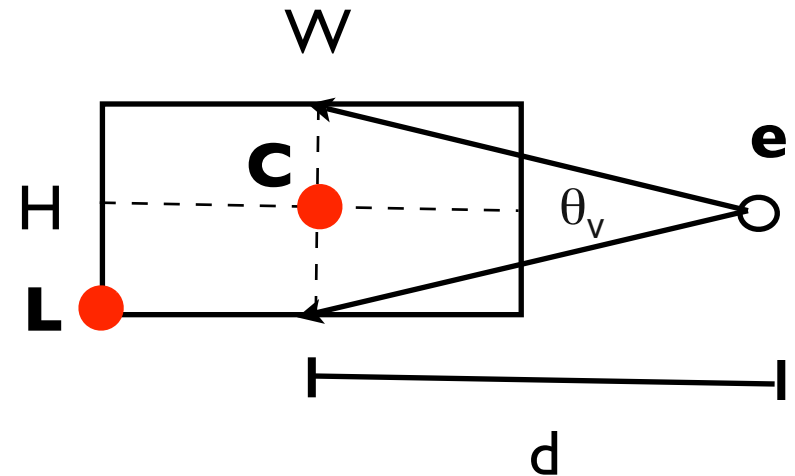


# Next Step?

- Determine the size of the image plane
- This can be derived from
  - ✓ distance from the camera to the center of the image plane
  - ✓ Vertical field of view angle
  - ✓ Aspect ratio of the image plane
    - ★ Aspect ratio being Width/Height

# Image Plane Setup

- $\tan(\theta_v/2) = H / 2d$
- $W = H * \text{aspect\_ratio}$
- $\mathbf{C}$ 's position =  $\mathbf{e} - \mathbf{n} * d$
- $\mathbf{L}$ 's position =  $\mathbf{C} - \mathbf{u} * W/2 - \mathbf{v} * H/2$
- Assuming the image resolution is  $X$  (horizontal) by  $Y$  (vertical), then each pixel has a width of  $W/X$  and a height of  $H/Y$
- Then for a pixel  $\mathbf{s}$  at the image pixel  $(i,j)$ , it's location is at



$$\mathbf{L} + \mathbf{u} * i * W/X + \mathbf{v} * j * H/Y$$

# Put it all together

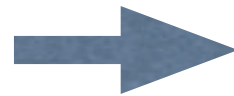
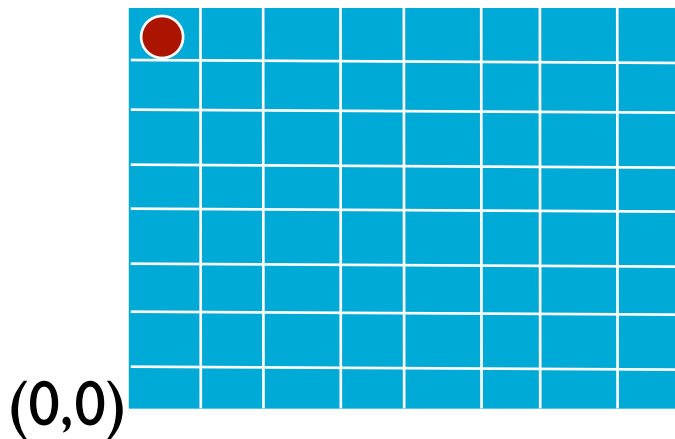
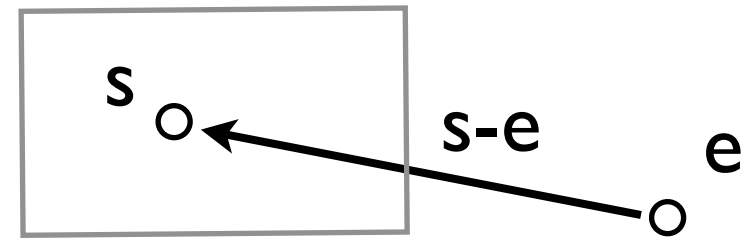
- We can represent the ray as a 3D parametric line

$$\mathbf{p}(t) = \mathbf{e} + t (\mathbf{s} - \mathbf{e})$$

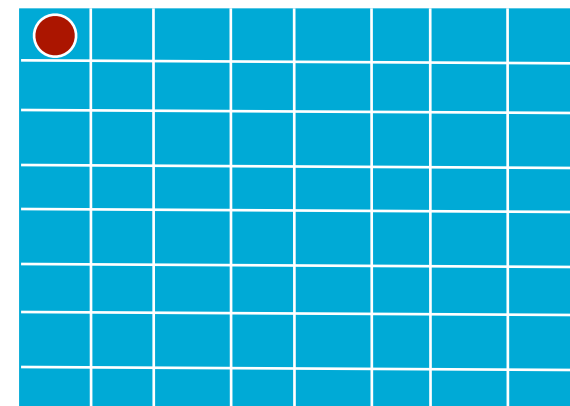
(now you know how to get  $\mathbf{s}$  and  $\mathbf{e}$ )

- Typically we offset the ray by half

of the pixel width and height, i.e, cast the ray from the pixel center



incrementing  
(i,j)



# Put it all together

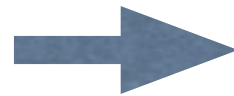
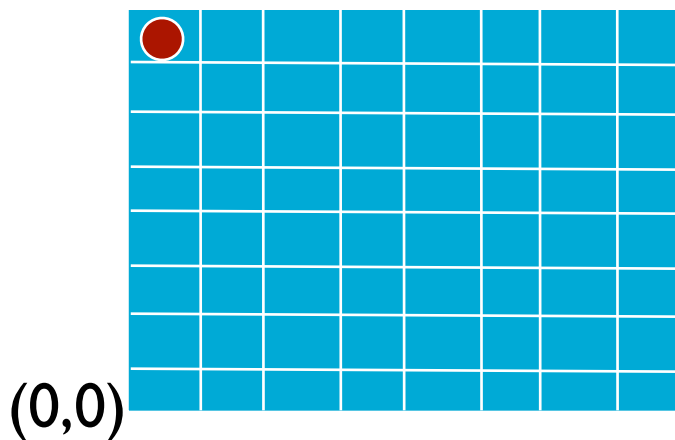
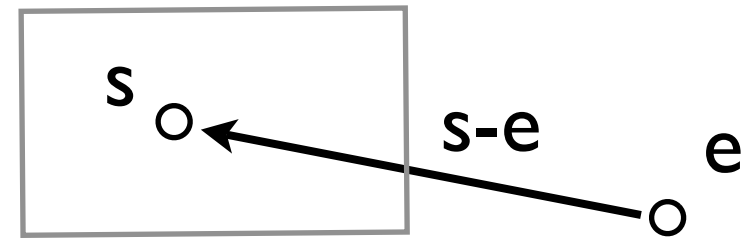
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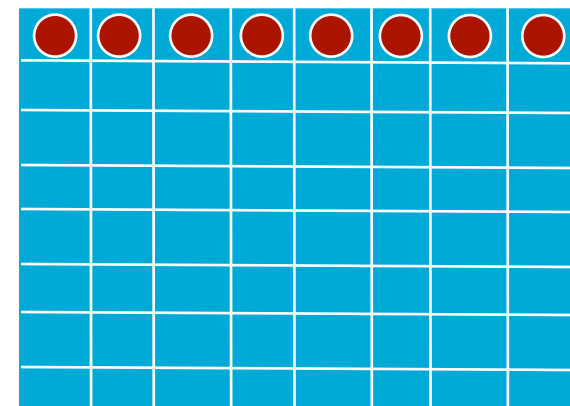
(now you know how to get  $\mathbf{s}$  and  $\mathbf{e}$ )

- Typically we offset the ray by half

of the pixel width and height, i.e, cast the ray from the pixel center



incrementing  
(i,j)



# Ray-Sphere Intersection

- Problem: Intersect a line with a sphere

- ✓ A sphere with center  $\mathbf{c} = (x_c, y_c, z_c)$  and radius  $R$  can be represented as:

$$(x-x_c)^2 + (y-y_c)^2 + (z-z_c)^2 - R^2 = 0$$

- ✓ For a point  $\mathbf{p}$  on the sphere, we can write the above in vector form:

$$(\mathbf{p}-\mathbf{c}) \cdot (\mathbf{p}-\mathbf{c}) - R^2 = 0 \quad (\text{note '.' is a dot product})$$

- ✓ We can plug the point on the ray  $\mathbf{p}(t) = \mathbf{e} + t \mathbf{d}$

$$(\mathbf{e}+t\mathbf{d}-\mathbf{c}) \cdot (\mathbf{e}+t\mathbf{d}-\mathbf{c}) - R^2 = 0 \quad \text{and yield}$$

$$(\mathbf{d} \cdot \mathbf{d}) t^2 + 2\mathbf{d} \cdot (\mathbf{e}-\mathbf{c}) t + (\mathbf{e}-\mathbf{c}) \cdot (\mathbf{e}-\mathbf{c}) - R^2 = 0$$


# Ray-Sphere Intersection

- When solving a quadratic equation

$$at^2 + bt + c = 0$$

We have

- Discriminant  $d = \sqrt{b^2 - 4ac}$

- and Solution  $t_{\pm} = \frac{-b \pm d}{2a}$

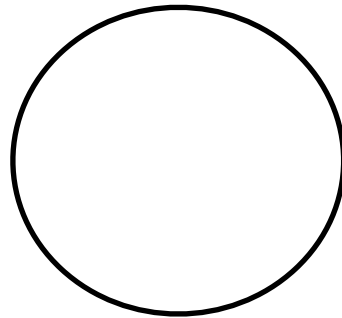
# Ray-Sphere Intersection

$b^2 - 4ac < 0 \Rightarrow$  **No intersection**

$$d = \sqrt{b^2 - 4ac}$$

$b^2 - 4ac > 0 \Rightarrow$  **Two solutions (enter and exit)**

$b^2 - 4ac = 0 \Rightarrow$  **One solution (ray grazes sphere)**



■ Should we use the larger or smaller  $t$  value?



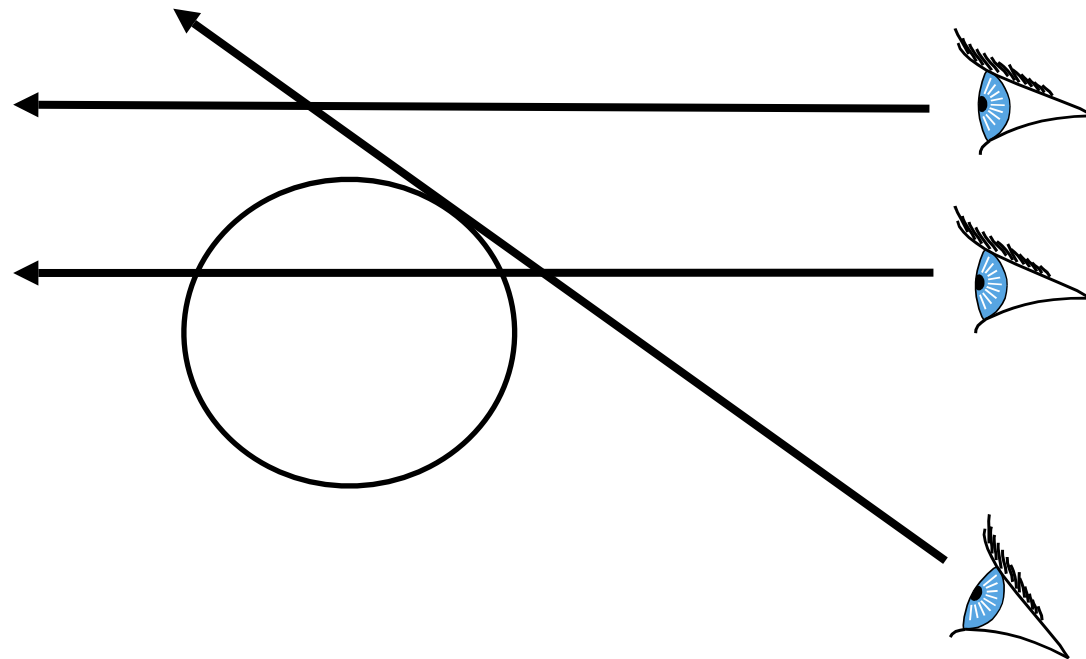
# Ray-Sphere Intersection

$b^2 - 4ac < 0 \Rightarrow$  No intersection

$b^2 - 4ac > 0 \Rightarrow$  Two solutions (enter and exit)

$b^2 - 4ac = 0 \Rightarrow$  One solution (ray grazes sphere)

$$d = \sqrt{b^2 - 4ac}$$

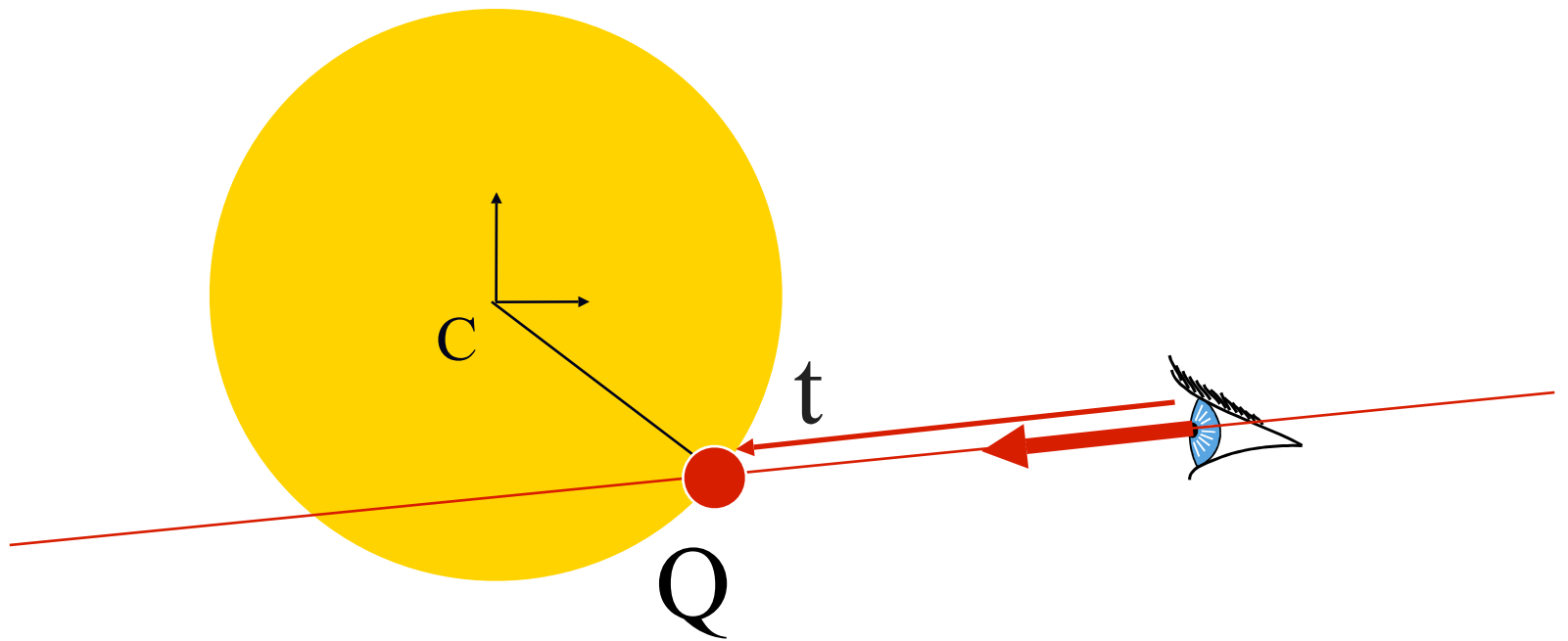


■ Should we use the larger or smaller  $t$  value?

# Calculate Normal

- Needed for computing lighting

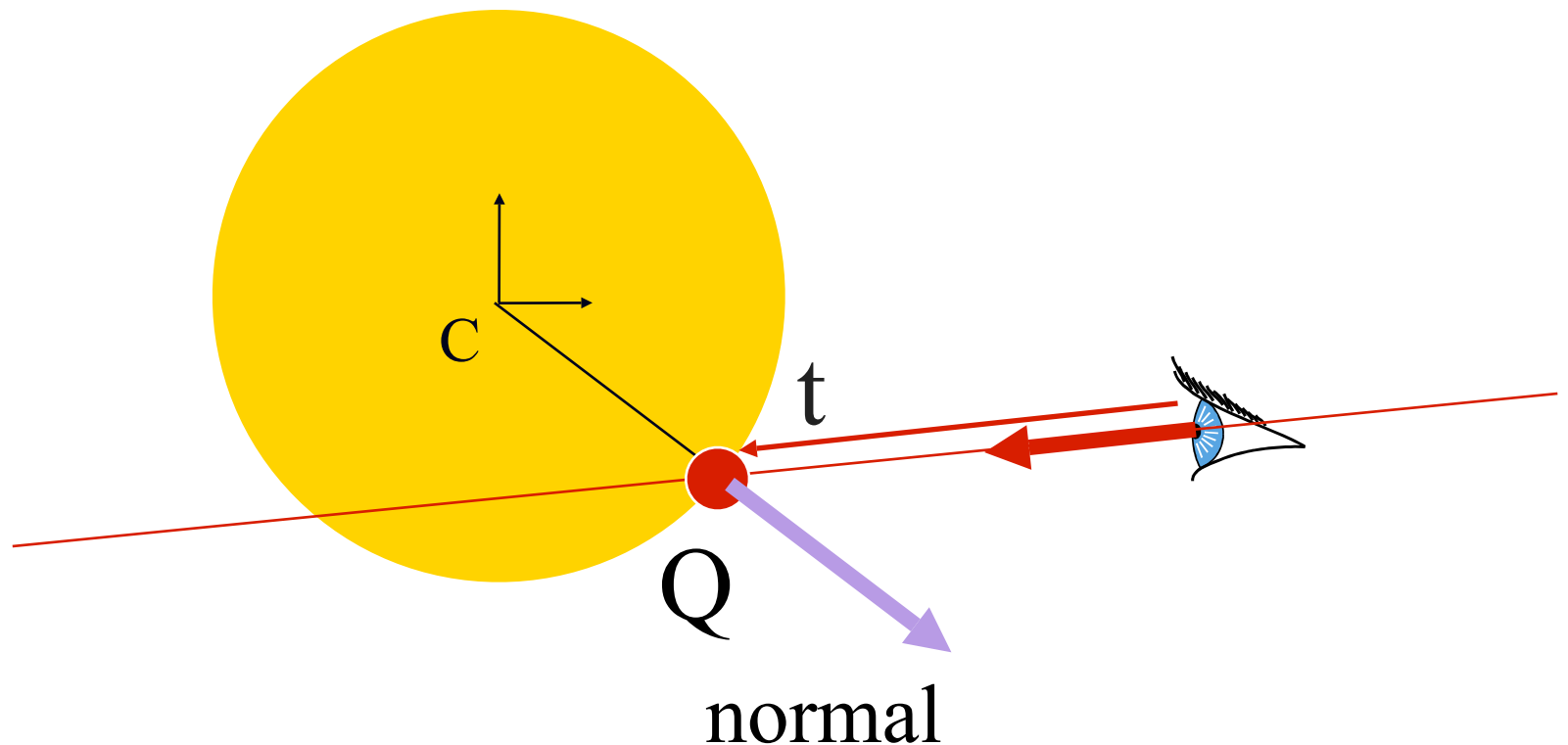
$Q = P(t) - C$  ... and remember  $Q/||Q||$



# Calculate Normal

- Needed for computing lighting

$Q = P(t) - C$  ... and remember  $Q/||Q||$



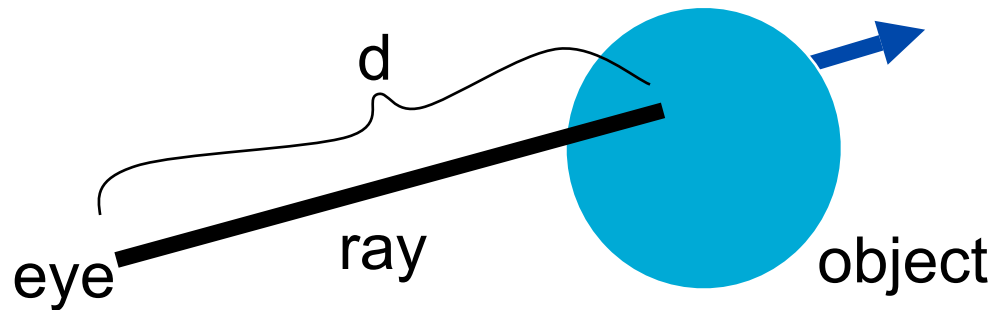
# Choose the closet sphere

- Minimum search problem

```
For each pixel {  
    form ray from eye through the pixel center  
     $t_{\min} = \infty$   
    For each object {  
        if ( $t = \text{intersect}(\text{ray}, \text{object})$ ) {  
            if ( $t < t_{\min}$ ) {  
                closestObject = object  
                 $t_{\min} = t$   
            }  
        }  
    }  
}
```

# Final Pixel Color

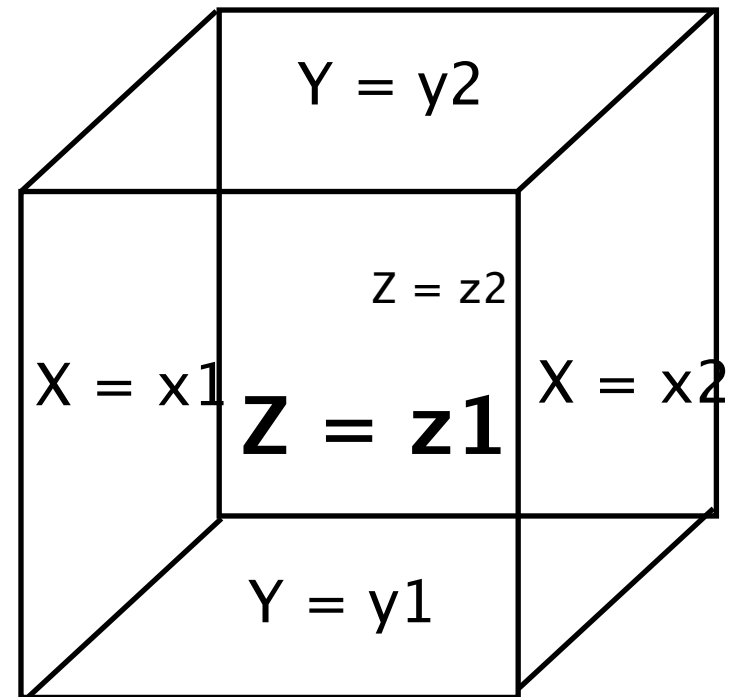
```
if ( $t_{\min} == \infty$ )  
    pixelColor = background color  
else  
    pixelColor = color of object at d along ray
```



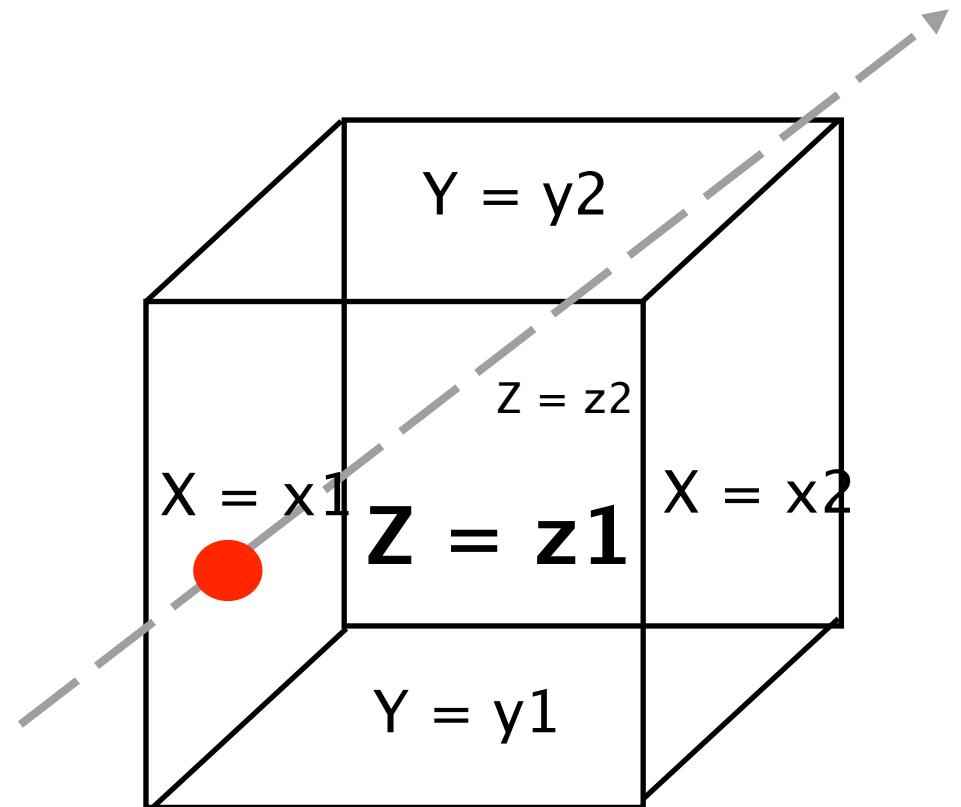
CSE 681

Ray-Object Intersections:  
Axis-aligned Box

# Ray-Box Intersection Test



# Ray-Box Intersection Test





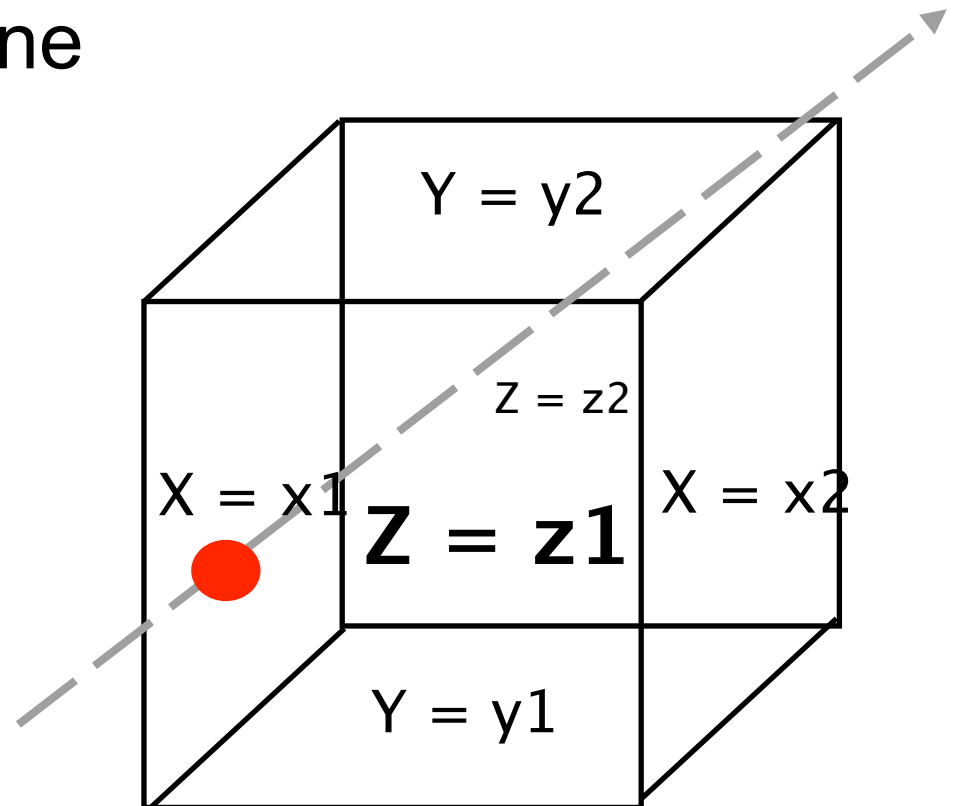
# Ray-Box Intersection Test

- Intersect ray with each plane
  - Box is the union of 6 planes

$$x = x_1, x = x_2$$

$$y = y_1, y = y_2$$

$$z = z_1, z = z_2$$



# Ray-Box Intersection Test

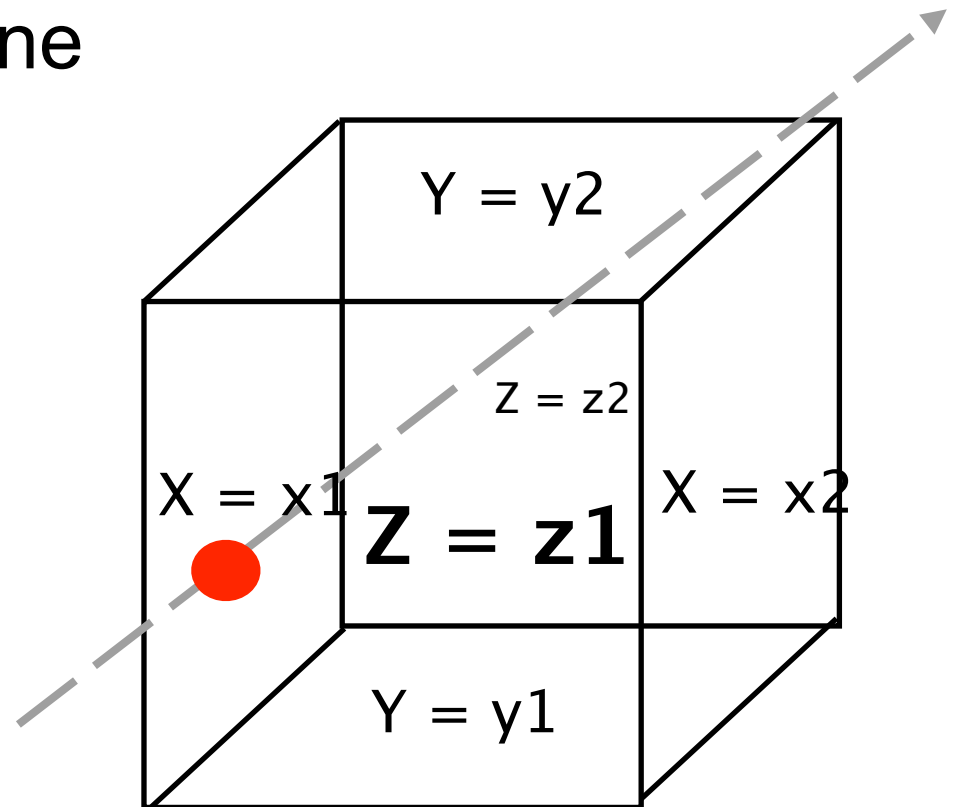
- Intersect ray with each plane
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$$x = x_1, x = x_2$$

$$y = y_1, y = y_2$$

$$z = z_1, z = z_2$$

- Ray/axis-aligned plane is easy:



# Ray-Box Intersection Test

- Intersect ray with each plane

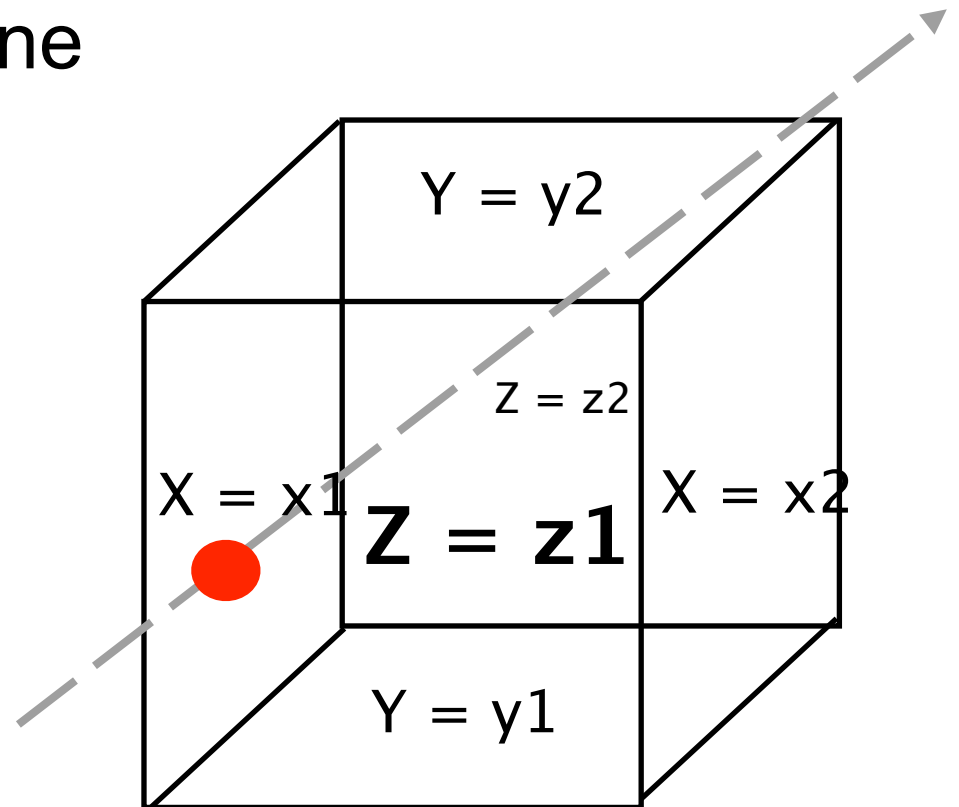
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# Ray-Box Intersection Test

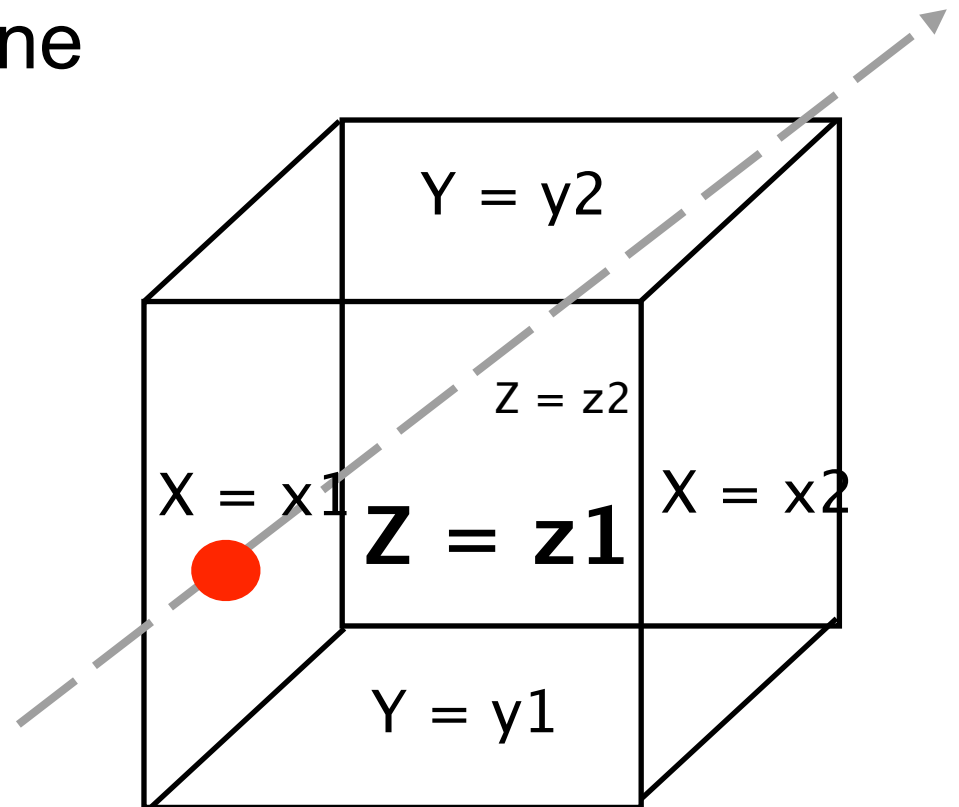
- Intersect ray with each plane
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$$x = x_1, x = x_2$$

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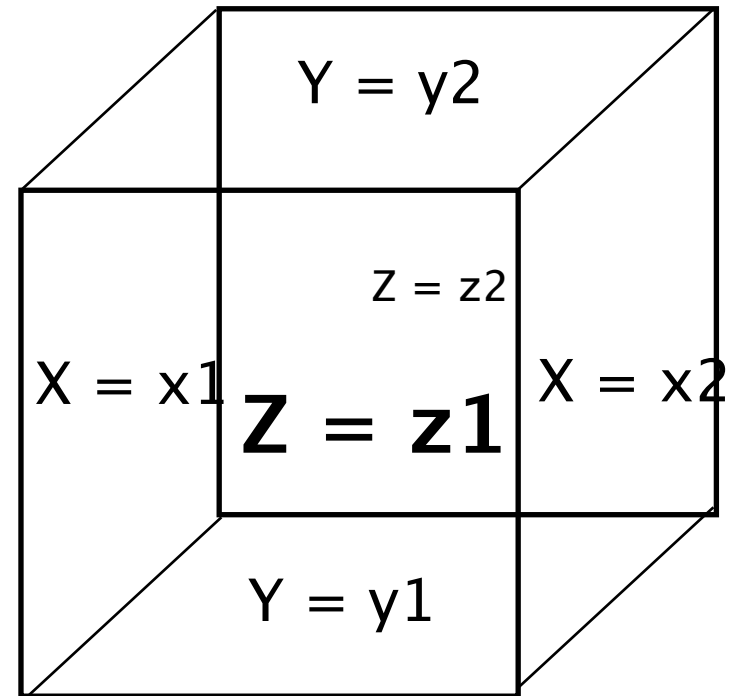
$$z = z_1, z = z_2$$

- Ray/axis-aligned plane is easy:

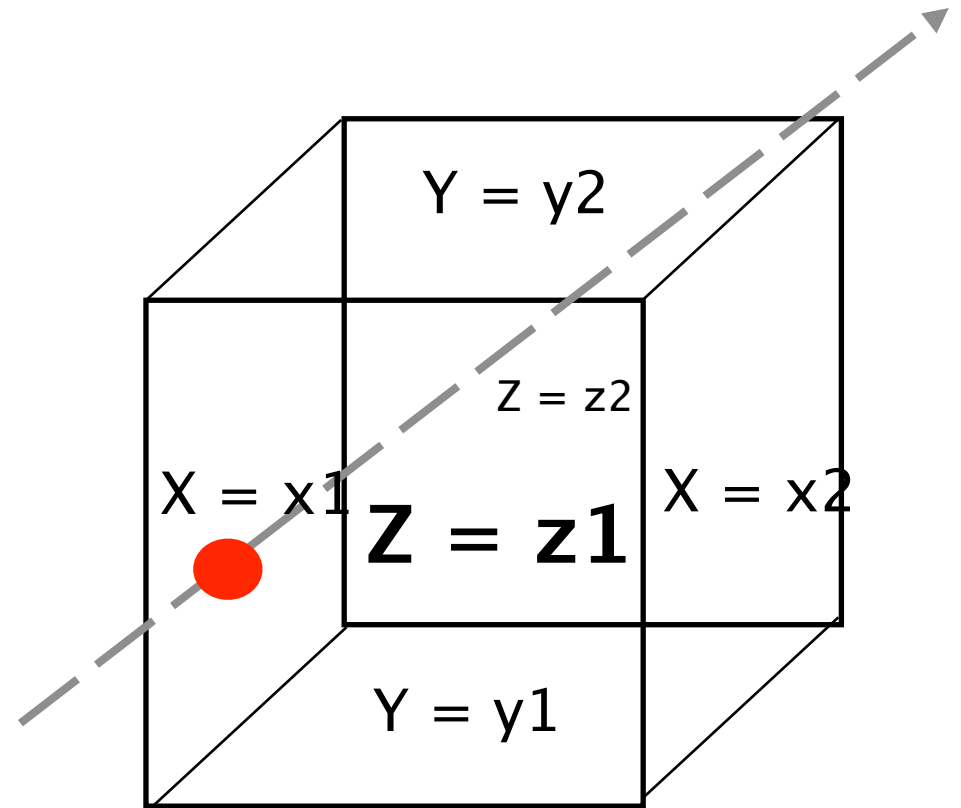


E.g., solve  $x$  component:  $e_x + tD_x = x_1$

# Ray-Box Intersection Test

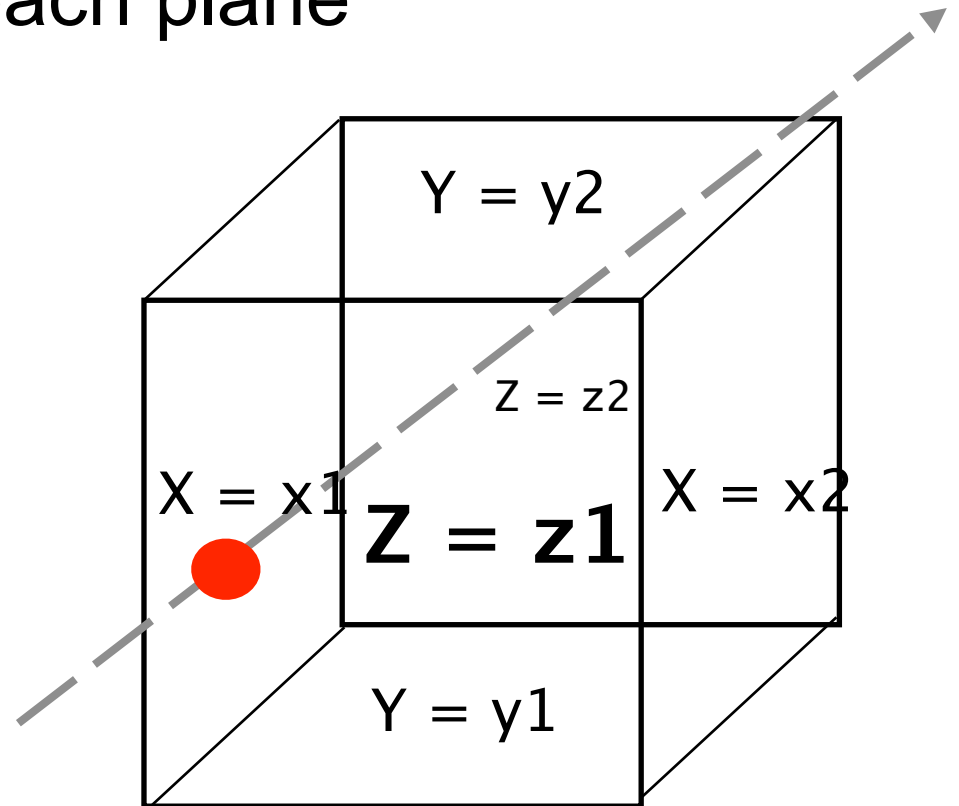


# Ray-Box Intersection Test



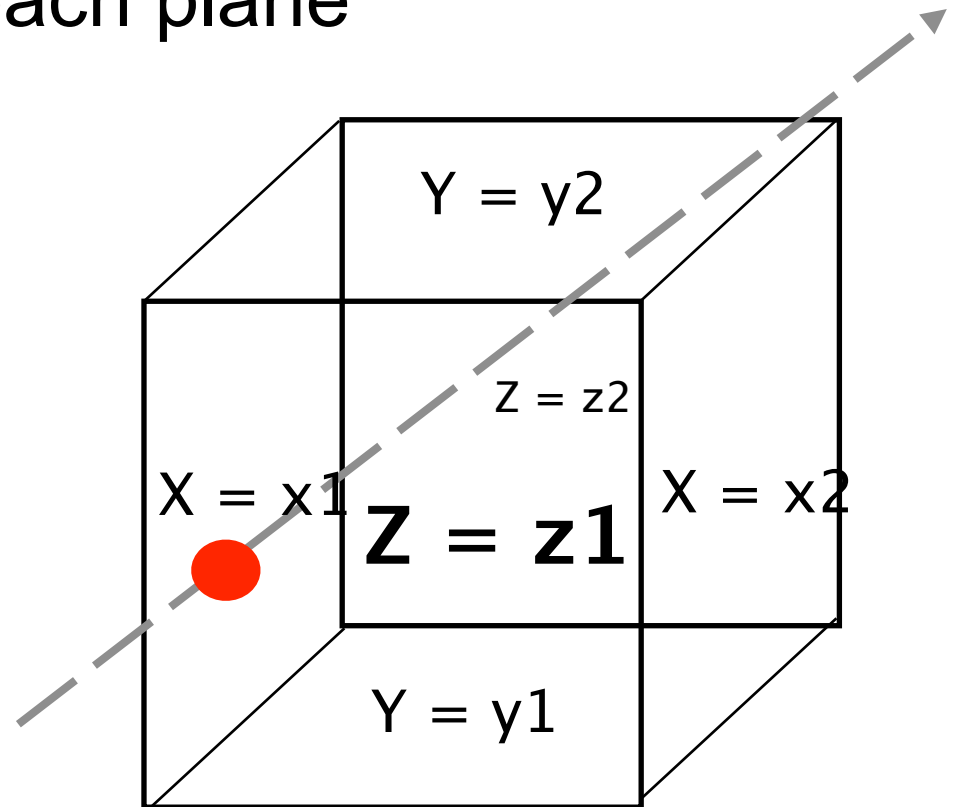
# Ray-Box Intersection Test

1. Intersect the ray with each plane
2. Sort the intersections



# Ray-Box Intersection Test

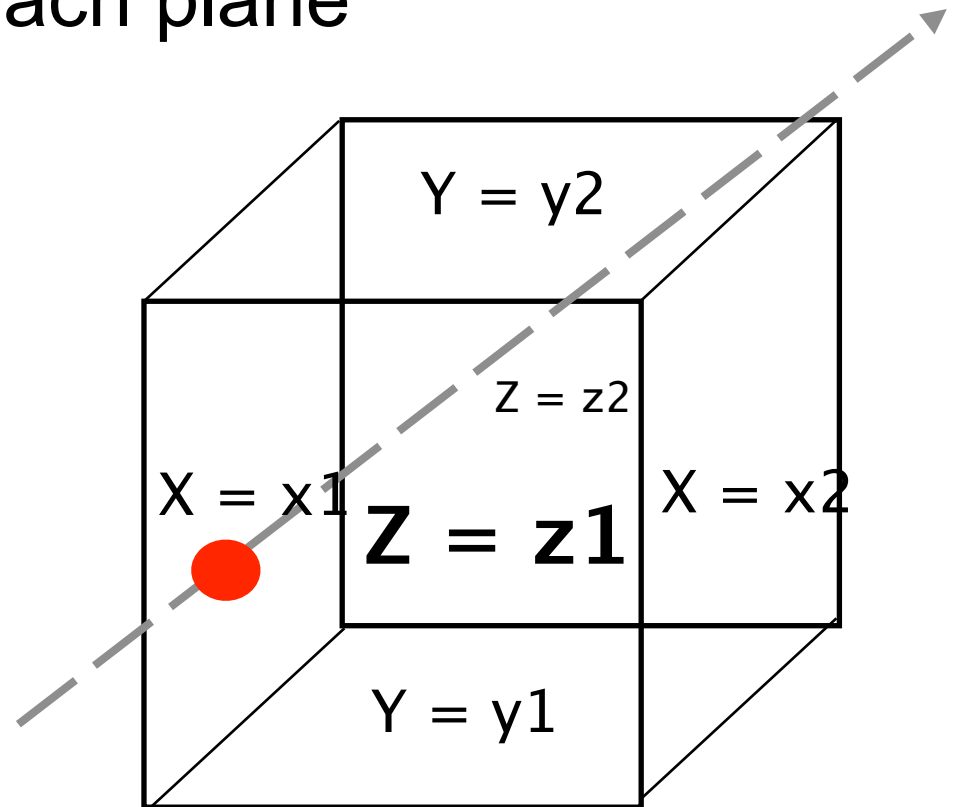
1. Intersect the ray with each plane
2. Sort the intersections
3. Choose intersection





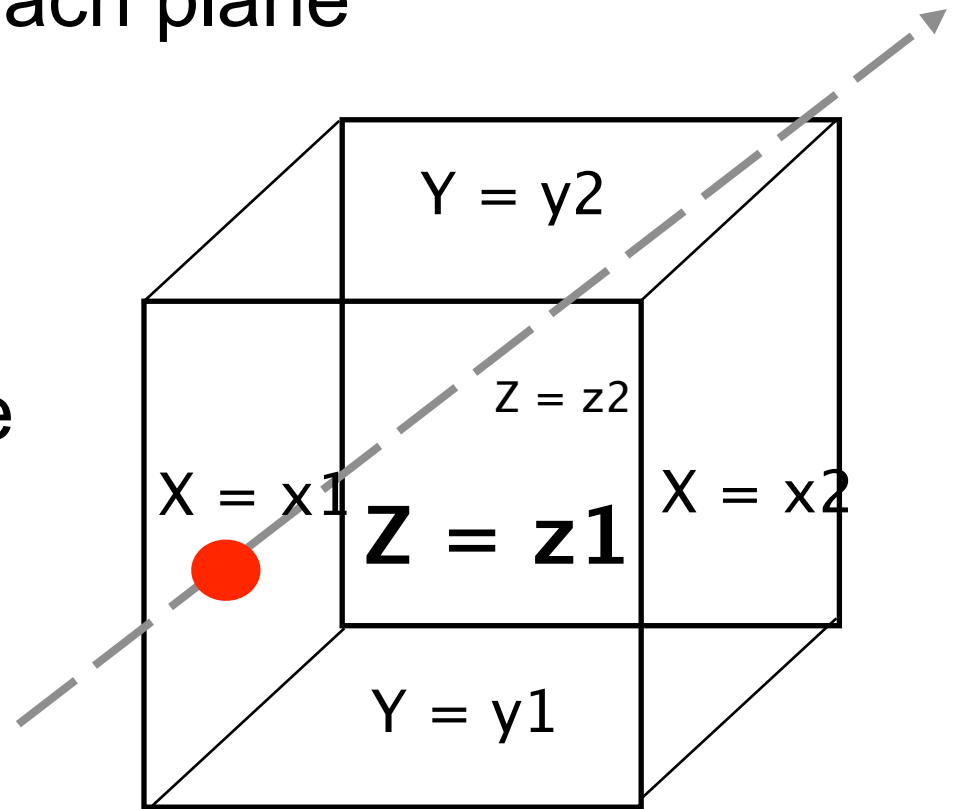
# Ray-Box Intersection Test

1. Intersect the ray with each plane
2. Sort the intersections
3. Choose intersection with the smallest  $t > 0$



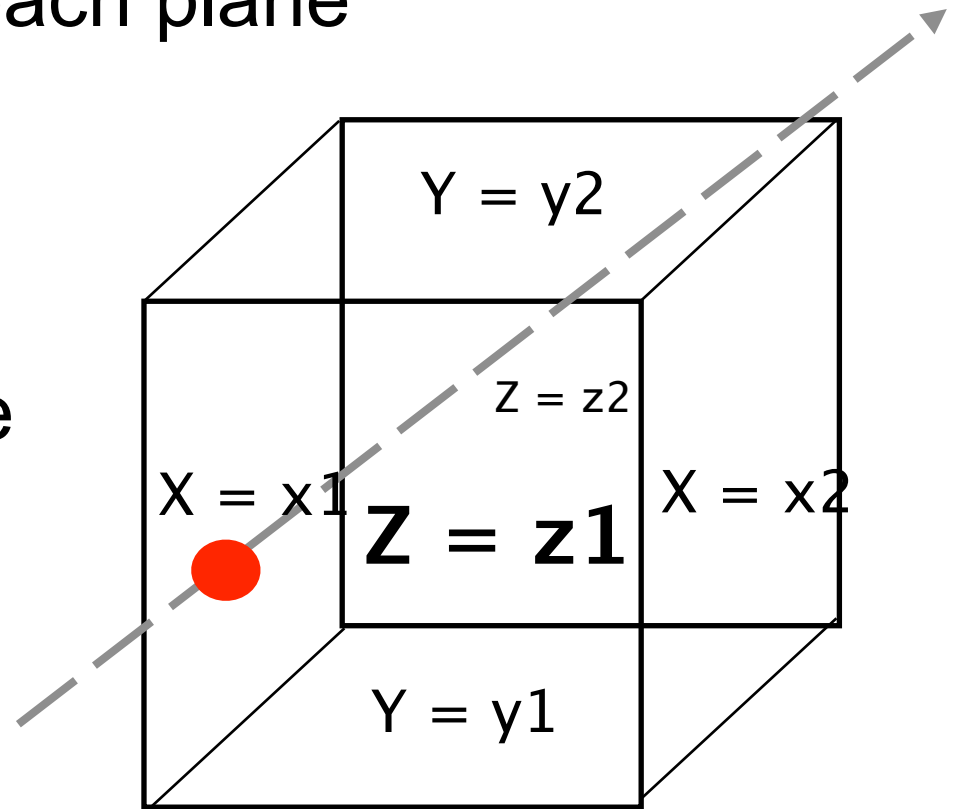
# Ray-Box Intersection Test

1. Intersect the ray with each plane
2. Sort the intersections
3. Choose intersection with the smallest  $t > 0$  that is within the range



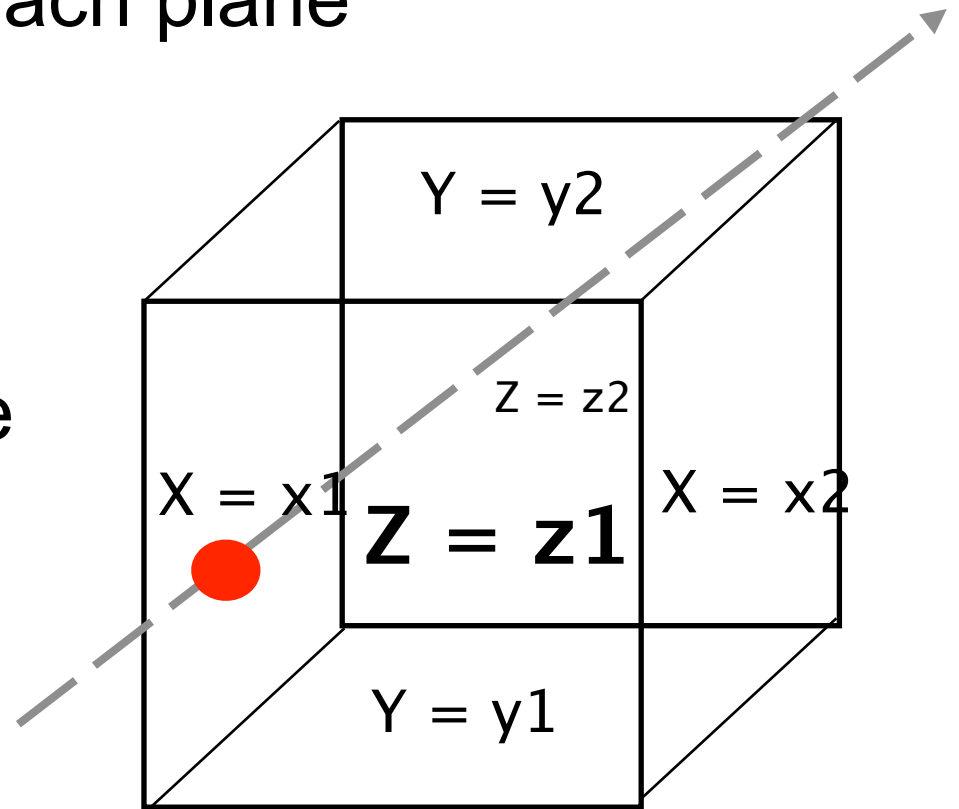
# Ray-Box Intersection Test

1. Intersect the ray with each plane
2. Sort the intersections
3. Choose intersection with the smallest  $t > 0$  that is within the range of the box



# Ray-Box Intersection Test

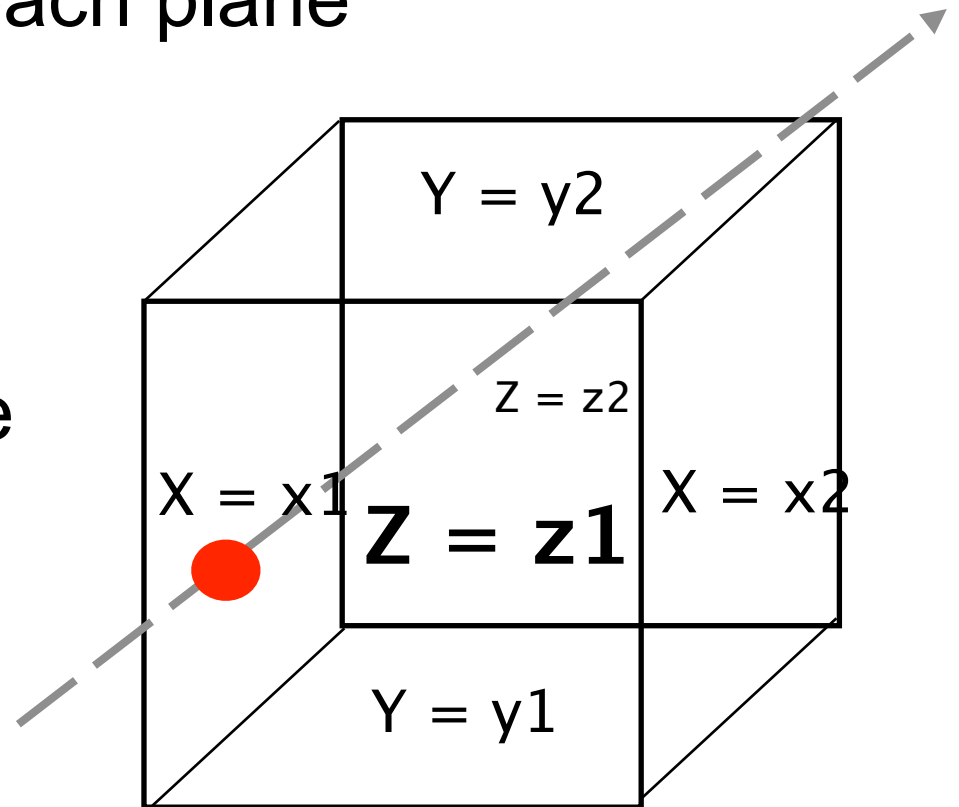
1. Intersect the ray with each plane
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# Ray-Box Intersection Test

1. Intersect the ray with each plane
2. Sort the intersections
3. Choose intersection with the smallest  $t > 0$  that is within the range of the box

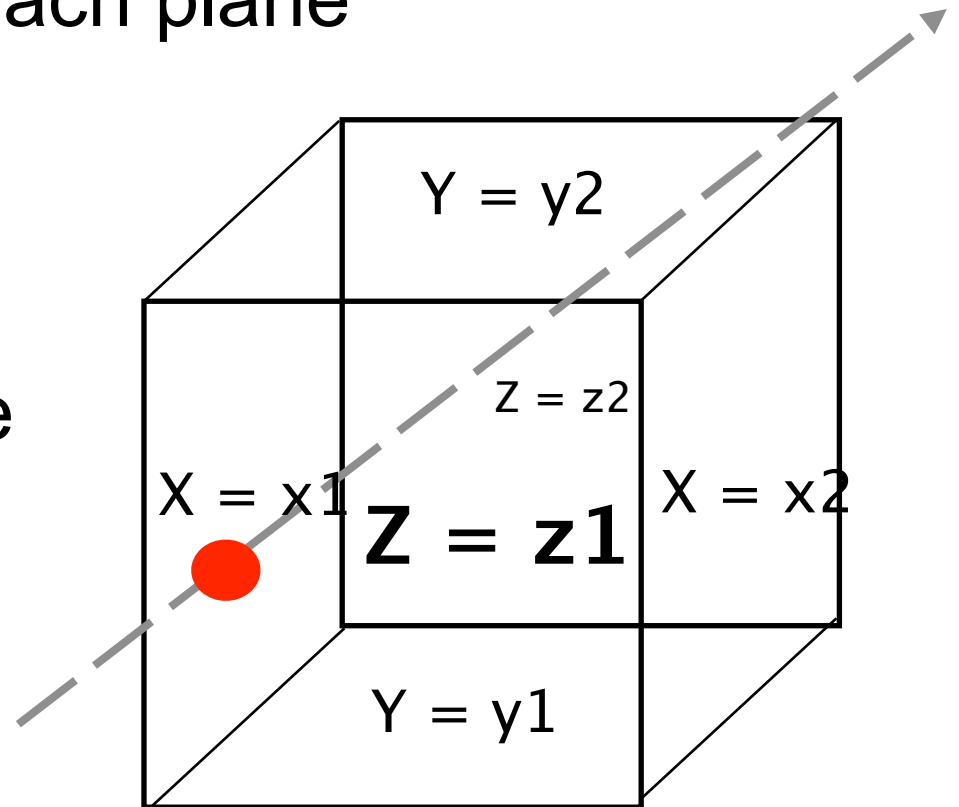
- We can do more



# Ray-Box Intersection Test

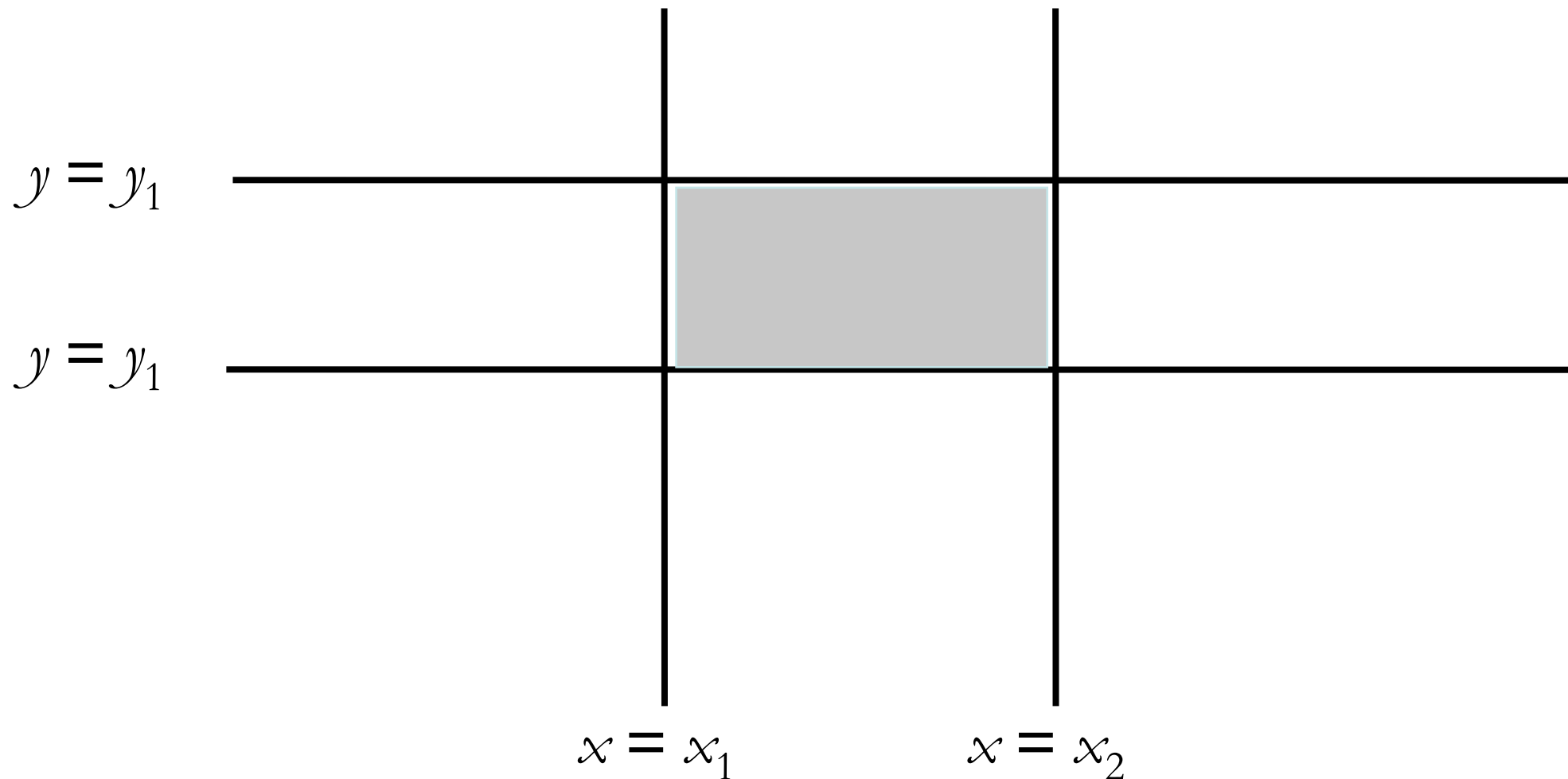
1. Intersect the ray with each plane
2. Sort the intersections
3. Choose intersection with the smallest  $t > 0$  that is within the range of the box

- We can do more efficiently



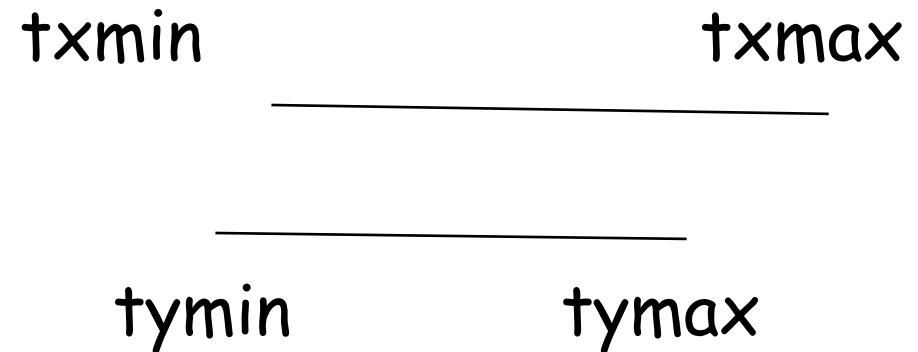
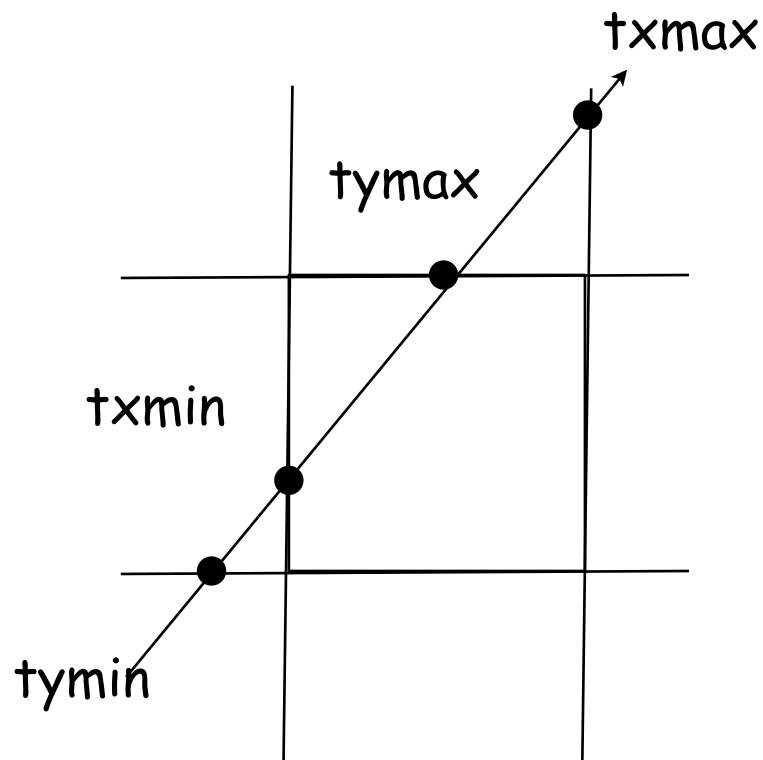
# Only Consider 2D for Now

- if a point  $(x,y)$  is in the box, then  $(x,y)$  in  $[x_1, x_2] \times [y_1, y_2]$



# The Principle

- Assuming the ray hits the box boundary lines at intervals  $[txmin, txmax]$ ,  $[tymin, tymax]$ , the ray hits the box if and only if the intersection of the two intervals is not empty





# Pseudo Code

$t_{xmin} = (x_1 - e_x) / Dx$      *//assume Dx >0*

$t_{xmax} = (x_2 - e_x) / Dx$

$t_{ymin} = (y_1 - e_y) / Dy$

$t_{ymax} = (y_2 - e_y) / Dy$      *//assume Dy >0*

*if* ( $t_{xmin} > t_{ymax}$ ) *or* ( $t_{ymin} > t_{xmax}$ )

*return false*

*else*

*return true*

# Pseudo Code

$t_{xmin} = (x_2 - e_x) / Dx$  //if  $Dx < 0$

$t_{xmax} = (x_1 - e_x) / Dx$

$t_{ymin} = (y_2 - e_y) / Dy$  //if  $Dy < 0$

$t_{ymax} = (y_1 - e_y) / Dy$

*if*  $(t_{xmin} > t_{ymax})$  *or*  $(t_{ymin} > t_{xmax})$

*return false*

*else*

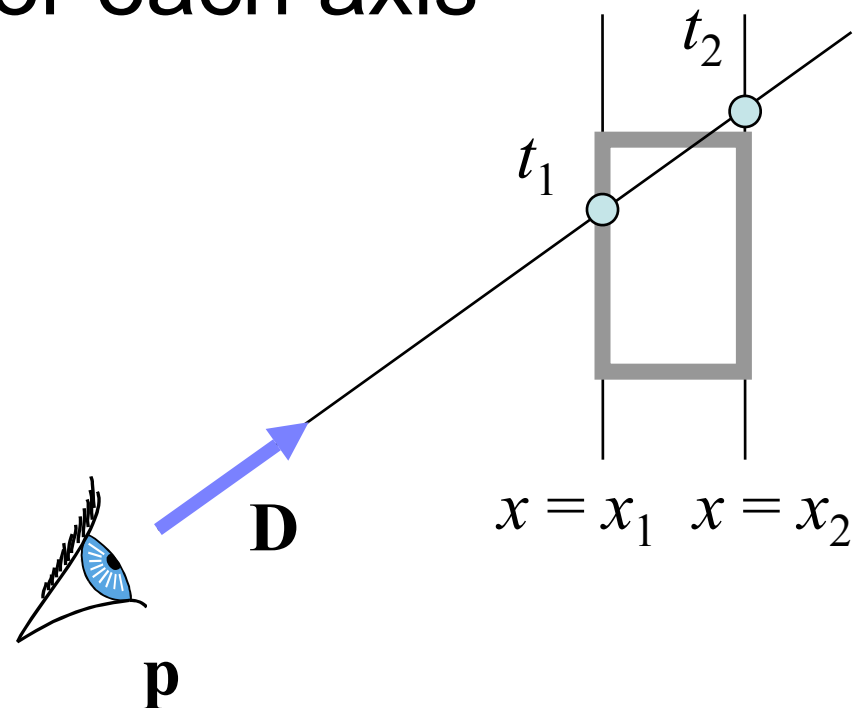
*return true*

# Now Consider All Axis

- We will calculate  $t_1$  and  $t_2$  for each axis (x, y, and z)
- Update the intersection interval as we compute  $t_1$  and  $t_2$  for each axis
- remember:

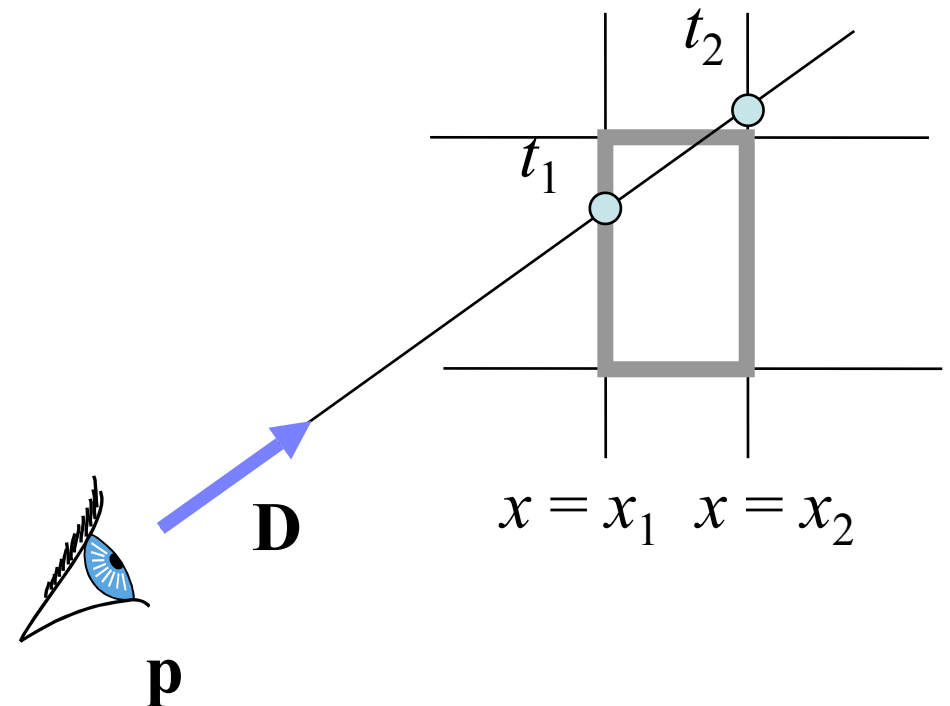
$$t_1 = (x_1 - p_x) / D_x$$

$$t_2 = (x_2 - p_x) / D_x$$



# Update $[t_{near}, t_{far}]$

- Set  $t_{near} = -\infty$  and  $t_{far} = +\infty$
- For each axis, compute  $t_1$  and  $t_2$ 
  - make sure  $t_1 < t_2$
  - if  $t_1 > t_{near}$ ,  $t_{near} = t_1$
  - if  $t_2 < t_{far}$ ,  $t_{far} = t_2$
- If  $t_{near} > t_{far}$ , box is missed



# Algorithm

Set  $t_{near} = -\infty$ ,  $t_{far} = \infty$

$R(t) = p + t * \mathbf{D}$

For each pair of planes P associated with X, Y, and Z do: (example uses X planes)

if direction  $\mathbf{D}_x = 0$  then

if ( $p_x < x_1$  or  $p_x > x_2$ )

return FALSE

else

begin

$t_1 = (x_l - p_x) / \mathbf{D}_x$

$t_2 = (x_h - p_x) / \mathbf{D}_x$

if  $t_1 > t_2$  then swap ( $t_1, t_2$ )

if  $t_1 > t_{near}$  then  $t_{near} = t_1$

if  $t_2 < t_{far}$  then  $t_{far} = t_2$

if  $t_{near} > t_{far}$  return FALSE

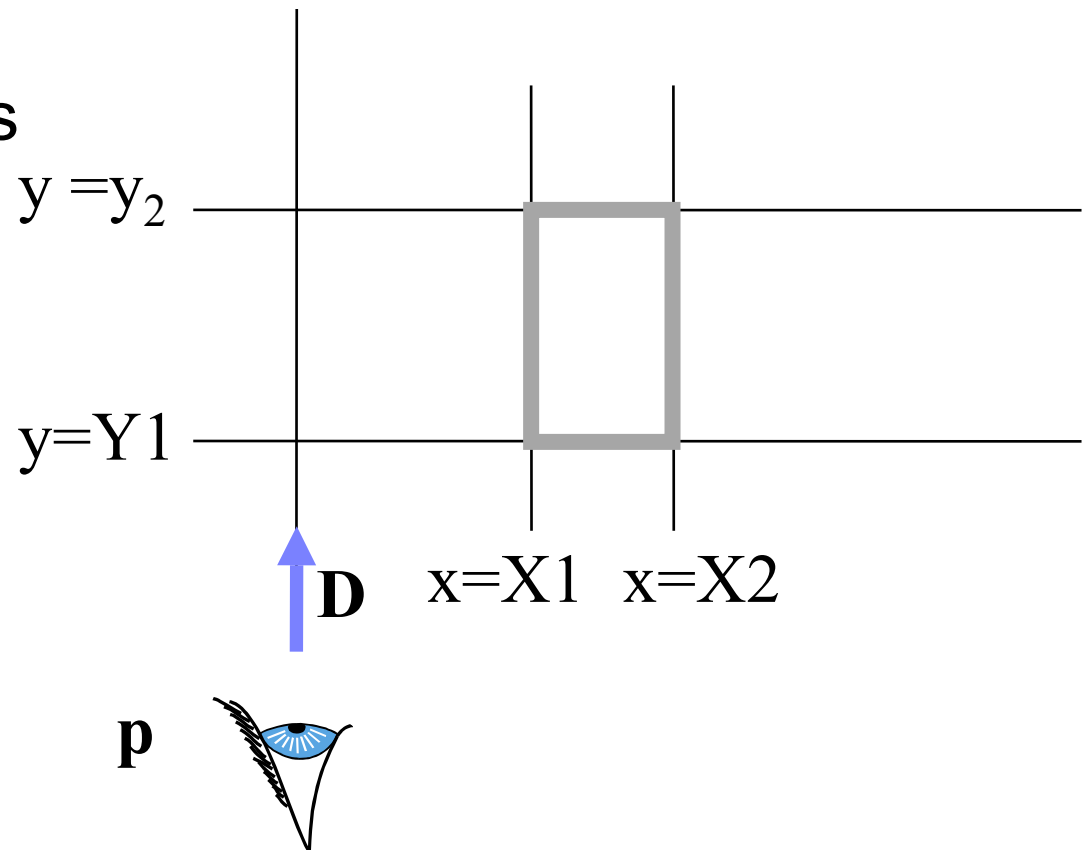
if  $t_{far} < 0$  return FALSE

end

Return  $t_{near}$

# Special Case

- Ray is parallel to an axis
  - If  $D_x = 0$  or  $D_y = 0$  or  $D_z = 0$
- $p_x < x_1$  or  $p_x > x_2$  then miss



# Special Case

- Box is behind the eye
  - If  $t_{far} < 0$ , box is behind

