### Propositional Calculus

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#### Calculus?

#### **Definition: Calculus**

A well defined method for mathematical reasoning employing axioms and rules of inference or transformation. A **formal system** or **rewrite system**.

#### Examples:

- ► Differential calculus
- Lambda ( $\lambda$ ) calculus
- Propositional calculus (Boolean/Propositional logic)
- ► Predicate calculus (First-Order logic)

Etymology: from the Latin "calx" (limestone) + "-ulus" (dimutive). A pebble or stone used for counting.



#### Outline

#### Boolean Logic

Forward and Backward Chainin

Horn Clauses

Forward Chaining

Backward Chainin

#### Satisfiability

Conjunctive Normal Form

Davis-Putnam-Logemann-Loveland

#### Tools

Logic Programming

Constraint Solvers



### Boolean Variables

(propositions)

Values: 
$$\mathbb{B} \equiv \{0,1\}$$
 true:  $1,T,\top$  false:  $0,F,\bot$ 

Variables: 
$$p \in \mathbb{B}$$
  
 $p_1, \dots, p_n \in \mathbb{B}^n$ 



### **Boolean Operators**

Basic

Unary:  $f: \mathbb{B} \mapsto \mathbb{B}$ 

Binary:  $g: \mathbb{B} \times \mathbb{B} \mapsto \mathbb{B}$ 

# Not

- ▶  $\neg 0 = 1$
- ▶  $\neg 1 = 0$

### And

- $ightharpoonup 0 \wedge 0 = 0$
- $ightharpoonup 0 \wedge 1 = 0$
- $ightharpoonup 1 \wedge 0 = 0$
- $ightharpoonup 1 \land 1 = 1$

#### Or

- $ightharpoonup 0 \lor 0 = 0$
- $0 \lor 0 = 0$   $0 \lor 1 = 1$
- ► 1 ∨ 0 = 1
- ▶ 1 ∨ 1 = 1



#### Boolean Operators

Extended

# Xor

# **Implies**

# **Biconditional** (iff)

$$(a \oplus b) \triangleq (a \lor b) \land \neg (a \land b)$$
$$\triangleq (a \land \neg b) \lor (\neg a \land b)$$

 $| (a \iff b) \triangleq (a \implies b) \land (b \implies a)$ 

 $\triangleq \neg(a \oplus b)$ 

$$\begin{array}{c|c} \blacktriangleright \ 0 \oplus 0 = 0 \\ \blacktriangleright \ 0 \oplus 1 = 1 \end{array} \qquad \begin{array}{c|c} \blacktriangleright \ (0 \implies 0) = 1 \\ \blacktriangleright \ (0 \implies 1) = 1 \end{array}$$

$$\triangleq (a \land b) \lor (\neg a \land \neg b)$$

$$\blacktriangleright (0 \iff 0) = 1$$

$$\blacktriangleright \ 1 \oplus 0 = 1$$

$$(.) = 1$$

$$(0 \iff 1) = 0$$

$$1 \oplus 0 = 1$$

$$1 \oplus 1 = 0$$

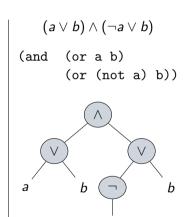
$$(1 \implies 0) = 0$$

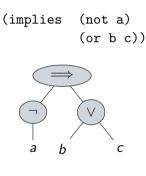
 $(1 \implies 1) = 1$ 



## Example: Boolean Formulae as S-expressions

 $a \wedge b$  $a \wedge \neg b$ (and a b) (and a (not b)) b





 $(\neg a) \implies (b \lor c)$ 



## Exercise: Boolean Formulae as S-expressions

$$(a \wedge b) \implies c \qquad \qquad \neg (a \wedge b) \vee c \qquad \qquad \neg a \vee \neg b \vee c$$





# N-ary Boolean Operators

### AND

Infix		S-exp.
$\alpha \wedge \beta$	=	(AND $\alpha \beta$ )
$\alpha \wedge \beta \wedge \gamma$	=	(AND $\alpha \beta \gamma$ )
$\alpha$	=	(AND $\alpha$ )
?	=	(AND)

#### **OR**

Infix		S-exp.
$\alpha \vee \beta$	=	(OR $\alpha \beta$ )
$\alpha \vee \beta \vee \gamma$	=	(OR $\alpha \beta \gamma$ )
$\alpha$	=	(OR $\alpha$ )
?	=	(OR)



#### Identity Element

Arithmetic

Generally: 
$$f(\alpha, \chi) = \alpha$$

### Multiplication

Infix:  $\triangleright a * \chi = a$ 

 $ightharpoonup \chi = 1$ 

S-exp.:  $(* a_0 \dots a_n 1) = (* a_0 \dots a_n)$ 

#### **Addition**

Infix:  $\blacktriangleright a + \chi = a$ 

$$\lambda \chi = 0$$

S-exp.: 
$$(+ a_0 \dots a_n 0) = (+ a_0 \dots a_n)$$



#### Identity Element

Boolean Algebra

Generally: 
$$f(\alpha, \chi) = \alpha$$

Infix:  $\blacktriangleright a \land \chi = a$ 

 $\lambda \chi = T$ 

S-exp.:  $\blacktriangleright$  (AND  $a_0 \ldots a_n \top$ ) = (AND  $a_0 \ldots a_n$ )

OR

Infix:  $\blacktriangleright a \lor \chi = a$ 

$$ightharpoonup \chi = \bot$$

S-exp.:  $\bullet$  (OR  $a_0 \ldots a_n \perp$ ) = (OR  $a_0 \ldots a_n$ )



### Identity Element

Cancellation

# **AND**

$$\chi = \top$$

$$(AND \ \alpha_0 \ \alpha_1 \ \dots \ \alpha_{n-1} \ \alpha_n)$$

$$\rightsquigarrow (AND \ \alpha_0 \ \alpha_1 \ \dots \ \alpha_{n-1} \ \alpha_n)^{\top}$$

$$\rightsquigarrow$$
 (AND  $\alpha_0 \ \alpha_1 \ \dots \ \alpha_{n-1}$ )

$$\sim$$
 (AND  $\alpha_0 \alpha_1 \dots \alpha_{n01}$ )

$$n-1 \alpha_n$$

$$\alpha_{n-1}$$
)

$$\alpha_{n01}$$







$$\chi = \bot$$

 $(OR \alpha_0 \alpha_1 \ldots \alpha_{n-1} \alpha_n)$ 

$$\rightsquigarrow \left( \mathsf{OR} \ \alpha_0 \ \alpha_1 \ \ldots \ \alpha_{n-1} \ \alpha_n \right)^{\perp}$$

$$\rightsquigarrow$$
 (OR  $\alpha_0 \ \alpha_1 \ \dots \ \alpha_{n-1}$ )

$$\sim$$
 (OR  $\alpha_0$   $\alpha_1$  ...  $\alpha_{n01}$ )



Remove canceled identity terms: base case is identity element

### Describing Expressions

Literal: A single variable or its negation

Conjunction: An AND (∧) expression

Disjunction: An OR  $(\vee)$  expression



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#### **Definitions**

Literal

Definition (Literal)

A single variable or its negation.

Positive Literal: p

Negative Literal:  $\neg p$ 

# **Examples**

positive:  $p_i$ negative:  $\neg p_i$ 

### **Counter Examples**

$$p_i \vee p_j$$

$$ightharpoonup \neg (p_i \land p_j)$$



#### Definitions

Conjunction

Definition (Conjunction)

An n-ary AND. True when ALL of its arguments are true.

### **Examples**

- $\blacktriangleright \left(p_i\right) = \left(p_i \wedge \bot\right)$
- $\triangleright p_i \wedge p_i$
- $\triangleright$   $p_i \land p_i \land p_k$
- $\triangleright p_i \land (p_i \lor p_k)$

### **Counter Examples**

- ▶  $p_i \lor (p_j \land p_k)$ ▶  $(p_i \land p_i) \lor p_k$



#### **Definitions**

Disjunction

#### Definition (Disjunction)

An n-ary OR. True when ANY of its arguments are true.

#### **Examples**

$$\blacktriangleright \left(p_i\right) = \left(p_i \lor \bot\right)$$

- $\triangleright p_i \lor p_i$
- $ightharpoonup p_i \lor p_i \lor p_k$
- $\triangleright p_i \lor (p_i \land p_k)$

## **Counter Examples**

- ► Pi A Pj
- P<sub>i</sub> ∧ P<sub>j</sub> ∧ P<sub>k</sub>
- ▶  $p_i \land (p_j \lor p_k)$



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#### **Definition: Horn Clause**

An implication whose premise (body) is a conjunction ( $\land$ ) of positive literals and whose conclusion (head) is a single positive literals:

$$(b_0 \wedge b_1 \wedge \ldots \wedge b_n) \implies h$$

Equivalently, a disjunction  $\lor$  with at most one positive literal:

Horn clause reasoning is more efficient than general Boolean formulae.

Many real-world domains can be expressed with only Horn clauses.



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#### Examples: Horn Clauses

### **Examples**

#### **Counterexamples**

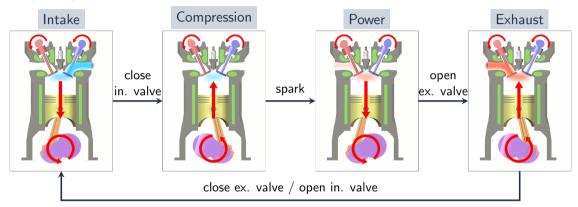


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MINES

### Example: Engine Troubleshooting

Four-cycle Engine Operation



https://commons.wikimedia.org/w/index.php?curid=180927

ignition  $\iff$  (fuel  $\land$  compression  $\land$  spark)



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### Example: Engine Troubleshooting

Troubleshooting Knowledge Base

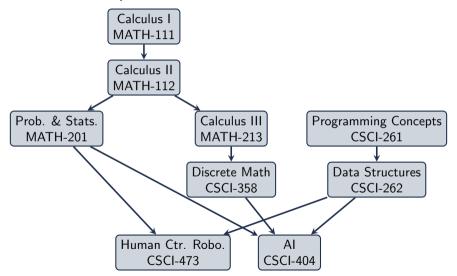
- ▶ ignition  $\iff$  (fuel  $\land$  compression  $\land$  spark)
- fuel  $\iff$  (full-tank  $\land$  clean-carbs)
- ▶ compression ⇐⇒ (clean-air-filter ∧ good-piston-rings)
- ▶  $spark \iff (battery-charged \land good-connection \land good-plugs)$
- ▶ turns-over ⇒ battery-charged



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#### Exercise: Course Prerequisites





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### Exercise: Course Prerequisites

continued



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### Overview: Forward Chaining

- 1. Start with known propositions,  $\top \implies p$
- 2. Derive new propositions and add to knowledgebase
  - 2.1 For  $(p_0 \wedge \ldots \wedge p_n) \implies p_h$
  - 2.2 When all  $p_0 \dots p_n$  are known true
  - 2.3  $p_h$  must be true
- 3. Terminate when either we prove the query proposition true or we can derive no more



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#### Example: Forward Chaining

The engine turns over but won't start. I just cleaned the carbs and filled the gas tank. Should I check the spark plugs?

```
ignition \iff (fuel \land compression \land spark)
                                                                    turns-over
                                                                    full-tank
fuel \iff (full-tank \land clean-carbs)
compression ⇐⇒ (clean-air-filter ∧ good-piston-rings)
                                                                    clean-carbs
spark \iff (battery-charged \land good-connection \land good-plugs)
turns-over ⇒ battery-charged
ignition \iff (fuel \land compression \land spark)
                                                                    turns-over
                                                                    full-tank
           full-tank \( \clean \) clean-carbs
                                                                    clean-carbs
compression ⇐⇒ (clean-air-filter ∧ good-piston-rings)
spark \iff (battery-charged \land good-connection \land good-plugs)
turns-over ⇒ battery-charged
```



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#### Example: Forward Chaining

continued

```
turns-over
ignition \iff (fuel \land compression \land spark)
                                                                  full-tank
fuel ⇔ (full-tank ∧ clean-carbs)
                                                                  clean-carbs
compression ⇐⇒ (clean-air-filter ∧ good-piston-rings)
                                                                  fuel
spark \iff (battery-charged \land good-connection \land good-plugs)
turns over ⇒ battery-charged
                                                                  battery-charged
                                                                  turns-over
ignition \iff (fuel \land compression \land spark)
                                                                 full-tank
fuel ⇔ (full-tank ∧ clean-carbs)
                                                                  clean-carbs
compression ⇐⇒ (clean-air-filter ∧ good-piston-rings)
                                                                  fuel
                                                                  battery-charged
            battery-charged \land good-connection \land good-plugs
turns over ⇒ battery-charged
```



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## Algorithm: Forward Chaining

```
Procedure forward-chain(K,g)
 1 W \leftarrow \{c \in K \mid c \text{ is a literal}\}:
 2 V \leftarrow \emptyset:
 3 while \neg \text{empty}(W) do
        let x = pop(W) in
             if head(c) = q then return \top;
             else if x \notin V then
 6
                  V \leftarrow V \cup \{x\};
                 foreach c \in K where x \in bodv(c) do
                      Remove x from body (c):
                      if empty (body (c)) then
10
                           W \leftarrow \text{push}(\text{head}(c), W)
11
12 return ⊥:
```

### **Efficiency Notes**

- ► Index *K* by variables in its body
- ► Track remaining (unproven) terms in body with count
  - Initialize count to length of body
  - ► Decrement count each time we remove a term
  - ▶ When 0, head is true



#### Exercise: Forward Chaining

I want to take Human-Centered Robotics (CSCI-473). Do I need Calculus III (MATH-213)?



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### Exercise: Forward Chaining



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### Exercise: Forward Chaining



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# Overview: Backward Chaining

- 1. Start with query proposition q
- 2. Recursively follow clauses that imply q:
  - $2.1 p_0 \wedge \ldots \wedge p_n \implies q$
  - $2.2 \ \ell_0 \wedge \ldots \wedge \ell_n \implies p_0$
  - 2.3 etc.
- 3. Terminate recursion when:
  - 3.1 we arrive at a know proposition  $(\top)$
  - 3.2 or there are no more clauses that imply the current proposition  $(\bot)$



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#### Example: Backward Chaining

The engine turns over but won't start. I just cleaned the carbs and filled the gas tank. Should I check the spark plugs?

```
ignition \iff (fuel \land compression \land spark)
\texttt{fuel} \iff (\texttt{full-tank} \land \texttt{clean-carbs})
compression \iff (clean-air-filter \land good-piston-rings)
                                                                                       good-plugs
spark \iff (battery-charged \land good-connection \land good-plugs)
turns-over ⇒ battery-charged
                                                                  spark ⇒ good-plugs
                  \texttt{battery-charged} \land \texttt{good-connection} \land \texttt{good-plugs} \implies \texttt{spark}
                                                                                                        nil
             turns-over ⇒ battery-charged
                                                           spark \implies good-connection
                                                                      \text{cycle} \leadsto \bot
                               turns-over
                                                                                                          MINES
```

### Algorithm: Backward Chaining

Simple

```
Procedure backward-chain(K,q)
```

```
1 function visit(V, x) is
       if x \in V then return \perp; // circular definition
3
       else // \exists c \in K, ((\text{head}(c) = x) \land (\text{body}(c)))
            foreach c \in K where x = \text{head}(c) do
4
                foreach b \in body(c) do // \forall b \in body(c), b
5
                  if \negvisit (V \cup \{x\}, b) then return \bot;
6
                return ⊤:
8
            return ⊥:
9 return visit (\emptyset, q);
```



### Algorithm: Backward Chaining

Memoizing

```
Procedure backward-chain(K,q)
```

```
1 M \leftarrow \emptyset; // Cached set of true propositions
 2 function visit(V, x) is
        if x \in M then return \top: // cached result
        else if x \in V then return \perp; // circular definition
        else // \exists c \in K, ((\text{head}(c) = x) \land (\text{body}(c)))
             foreach c \in K where x = \text{head}(c) do
 6
                 foreach b \in body(c) do // \forall b \in body(c), b
                      if \neg visit(V \cup \{x\}, b) then return \bot;
                 M \leftarrow M \cup \{x\};
10
                 return ⊤:
11
             return ⊥;
```

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12 return visit  $(\emptyset, q)$ ;

I want to take Human-Centered Robotics (CSCI-473). Do I need Calculus III (MATH-213)?



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### Knowledge Base Indexing

```
Procedure forward-chain(K,g)
 1 W \leftarrow \{c \in K \mid c \text{ is a literal}\}:
 2 V ← Ø:
 3 while \neg empty(W) do
       let x = pop(W) in
            if head(c) = q then return \top;
            else if x \notin V then
 6
                V \leftarrow V \cup \{x\};
                /* Naive: O(n) to select matching
                   clauses
                foreach c \in K where x \in bodv(c) do
                    Remove x from body (c):
10
                    if empty (body (c)) then
                         W \leftarrow \text{push}(\text{head}(c), W)
11
12 return ⊥:
```

```
Procedure backward-chain(K,g)
```

```
    M ← ∅:

 2 function visit(V, x) is
        if x \in M then return \top:
        else if x \in V then return \bot:
        else
            /* Naive: O(n) to select matching
                clauses
            foreach c \in K where x = \text{head}(c) do
                 foreach b \in bodv(c) do
                     if \neg \text{visit}(V \cup \{x\}, b) then
                      return ⊥:
                 M \leftarrow M \cup \{x\}:
                return ⊤;
10
11
            return 1:
12 return visit (\emptyset, q);
```





# Exercise: Knowledge base Indexing

# **Forward Chaining**

foreach  $c \in K$  where  $x \in body(c)$ 

**Procedure** forward-chain-index(K)

# **Backward Chaining**

foreach  $c \in K$  where x = head(c)

**Procedure** backward-chain-index(K)



#### Outline

Boolean Logi

Forward and Backward Chaining

Horn Clauses

Forward Chaining

Backward Chaining

Satisfiability

Conjunctive Normal Form

Davis-Putnam-Logemann-Loveland

Tools

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Constraint Solvers



#### SAT Problem

Given: A Boolean formula:

- ▶ Variables  $P = p_1 \dots p_n$
- ▶ Formula  $\phi : \mathbb{B}^n \mapsto \mathbb{B}$

Find: Is  $\phi(P)$  satisfiable?

- ▶  $\exists P, \ (\phi(P) = 1)$
- ▶ What is *P*?

Solution: Davis-Putnam-Logeman-Loveland (DPLL)

Backtracking Search

General and efficient reasoning over propositional logic.



#### So what?

- ► Software verification
- ► Al / Robot Planning
- ► Combinatorial Design



# Conjunctive Normal Form

S-Expression

Definition (Conjunctive Normal Form)

A conjunction of disjunction of literals:

(AND (OR 
$$\ell_{0,0}$$
  $\ell_{0,1}$  ...  $\ell_{0,n}$ )  
(OR  $\ell_{1,0}$   $\ell_{1,1}$  ...  $\ell_{1,n}$ )  
...  
(OR  $\ell_{n,0}$   $\ell_{n,1}$  ...  $\ell_{n,n}$ ))

where each  $\ell_{i,i}$  is a literal, that is one of p, (NOT p).



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# Conjunctive Normal Form

infix

Definition (Conjunctive Normal Form) A conjunction of disjunction of literals

### **Examples**

- $\triangleright p_i \rightsquigarrow (AND (OR p_i))$
- $ightharpoonup \neg p_i \lor p_i \leadsto$ (AND (OR (NOT  $p_i$ )  $p_i$ ))
- $\triangleright p_i \land (p_i \lor p_k)$
- $\blacktriangleright (p_i \lor p_i) \land (\neg p_i \lor p_k)$

### **Counter Examples**

- $\triangleright p_i \vee (p_i \wedge p_k)$
- $ightharpoons \neg (p_i \lor p_j)$



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#### Conversion to CNF

- 1. Eliminate  $\iff$ :  $\left(\alpha \iff \beta\right) \rightsquigarrow \left(\left(\alpha \implies \beta\right) \land \left(\beta \implies \alpha\right)\right)$
- 2. Eliminate  $\Longrightarrow$ :  $\left(\alpha \Longrightarrow \beta\right) \leadsto \left(\neg \alpha \lor \beta\right)$
- 3. Eliminate  $\oplus$ :  $(a \oplus b) \rightsquigarrow ((a \lor b) \land \neg (a \land b))$
- 4. Move in ¬:
- 5. Distribute  $\vee$  over  $\wedge$ :  $\left(\alpha \vee (\beta \wedge \gamma)\right) \rightsquigarrow \left((\alpha \vee \beta) \wedge (\alpha \vee \gamma)\right)$



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### Example: CNF Conversions

$$\begin{array}{ccc}
\bullet & \left(a \iff b\right) & \stackrel{\text{Elim.}}{\leadsto} & \left(\left(a \implies b\right) \land \left(b \implies a\right)\right) & \stackrel{\text{Elim.}}{\leadsto} & \left(\left(\neg a \lor b\right) \land \left(\neg b \lor a\right)\right) \\
\bullet & \left(a \implies \neg(b \lor c)\right) & \stackrel{\text{Elim.}}{\leadsto} & \left(\neg a \lor \neg(b \lor c)\right) & \stackrel{\text{move}}{\leadsto} & \left(\neg a \lor \left(\neg b \land \neg c\right)\right) \\
\stackrel{\text{dist.}}{\leadsto} & \left(\left(\neg a \lor \neg b\right) \land \left(\neg a \lor \neg c\right)\right)
\end{array}$$



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### Exercise: CNF Conversions 0

$$\qquad \qquad \left( \neg (a \wedge b) \vee (a \wedge c) \right)$$



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#### Exercise: CNF Conversions 1

$$\qquad \qquad \left( \neg (a \lor b) \oplus (a \land c) \right)$$



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#### DPII Outline

#### For $\phi$ in conjunctive normal form:

Base Case: 1. If  $\phi$  has all true clauses  $(\forall)$ , return true.

2. If  $\phi$  has any false clauses  $(\exists)$ , return false.

Recursive Case: 1. Propagate values from unit (single-variable) clauses.

2. Choose a branching variable v.

3. Branch (recurse) for v = 1 or v = 0.



# Unit Propagation

#### **Procedure** unit-propagate( $\phi$ )

- 1 if  $\phi$  has some unit clause with variable v then
- $\phi' \leftarrow$  replace v in  $\phi$  with value to make unit clause true;
- return unit-propagate( $\phi$ );
- 4 else
- return  $\phi$ :



### Example: Unit Propagation



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$$\blacktriangleright \left( \overrightarrow{\neg a} \land (a \lor b \lor c) \right)$$

$$\qquad \qquad \Big( (a \vee \neg b) \wedge b \wedge (b \vee c) \Big)$$

$$\qquad \qquad \left( (a \vee \neg b \vee \neg c) \wedge (b \vee \neg c) \wedge c \right)$$

$$\blacktriangleright \left(a \wedge (\neg a \vee b) \wedge (\neg a \vee \neg b)\right)$$



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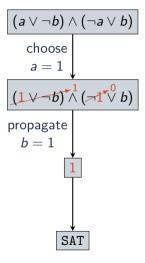
### DPLL Algorithm

```
Procedure DPLL(\phi)
```

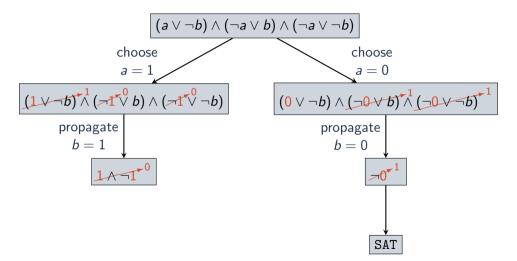
```
1 \phi' \leftarrow \text{unit-propagate}(\phi);
 2 if \phi' = \text{true then}
         return true;
 4 else if \phi' = false then
         return false;
 6 else // Recursive case
         v \leftarrow \text{choose-variable}(\phi');
         if DPLL(\phi' \wedge v) then
              return true;
10
         else
              return DPLL(\phi' \wedge \neg v);
11
```



# Example 0: DPLL









### Exercise 0: DPLL



### Exercise 1: DPLL



### Exercise 2: DPLL



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### Exercise 3: DPLL



### Exercise 4: DPLL



#### Outline

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Backward Chainin

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Constraint Solvers



# Logic Programming and Constraint Solvers

# **Logic Programming**

**Constraint Solvers** 

Statements: ► Logical Expressions

Query

Execution: Logical Inference

Output: Query true/false

Algorithm: DPLL + heuristics

Input: ▶ Set of variables

Constraint equations

(assertions)

Output: Satisfying variable assignment

Different view, similar operation and capabilities



# Prolog

Statements are Horn clauses

Horn: body 
$$\Longrightarrow$$
 head Prolog: head :- body

Example:

Horn: 
$$(a \land b) \implies c$$
  
Prolog: c :- a, b.

- ► Evaluation:
  - ► Query: ?x
  - ► Backward Chaining



# Answer-Set Programming

► Horn Clauses:

Horn: 
$$(a \land b) \implies c$$
  
ASP:  $c := a, b$ .

Choice Rule:

Math: 
$$p \implies (s \lor t)$$
  
ASP:  $\{s,t\} := p$ .

Constraint:

Math: 
$$((s \land \neg t) \Longrightarrow \bot) = (\neg(s \land \neg t)) = (\neg s \lor t)$$
  
ASP: :- s, not t.

► Evaluation: DPLL



Dantam (Mines CSCI, RPM)

# Satisfiability Solvers

### Math

### $(a \lor b) \land (a \land \lor \neg c)$

# **DIMACS**

```
c * problem type (cnf)
c * variable count (3)
c * clause count (2)
```

c Problem Definition (p):

### Output

```
s SATISFIABLE
v 1 2 -3 0
```

http://www.satcompetition.org/



# Satisfiability Modulo Theories

### Math

(SMT also handles

non-Boolean types.)

 $(a \lor b) \land (a \land \lor \neg c)$ 

**SMTLib** 

(declare-fun a () Bool) (declare-fun b () Bool) (declare-fun c () Bool)

(assert (or a b))
(assert (or a (not c)))

(check-sat)

(get-value (a b c))

### Output

sat
((a false)
 (b true)
 (c false))

http://smtlib.cs.uiowa.edu/

http://www.smtcomp.org/

