Lisp Introduction

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Outline

Lisp

Common Lisp by Example

Implementation Details

Typing

Memory Management

Functional Programming

Closures

Recursion

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What is Lisp?

Definition: Lisp

A family of programming languages that are based on s-expressions.



Major Lisp Dialects

Scheme

- ▶ IEEE Standard
- ► Simple and clean

Common Lisp

- ANSI Standard
- Featureful
- Good compilers
- ► Efficient C interop.

Clojure

- JVM-based
- ► Good Java interop.
- ► CLR and Javascript also
- ► Concurrency features



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Booleans and Equality

"Math"	Lisp	Notes
False	nil	equivalent to the empty list ()
True	t	or any non-mil value
$\neg a$	(not a)	
a = b	(= a b)	numerical comparison
a = b	(eq a b)	same object
a = b	(eql a b)	same object, same number and type, or same character
a = b	(equal a b)	eql objects, or lists/arrays with equal elements
a = b	(equalp a b)	= numbers, or same character (case-insensitive),
		or recursively-equalp cons cells, arrays, structures, hash tables
a eq b	(not (= a b))	similarly for other equality functions



Example: Lisp Equality Operators

► (= 1 1) ~> t integer float ▶ (= 1 1.0) ~~ t integer float \blacktriangleright (eq 1 1.0) \rightsquigarrow nil integer float ▶ (eal 1 1.0) ~ integer float \blacktriangleright (equal 1 1.0) \rightsquigarrow nil integer float • (equalp 1 1.0)

```
► (= "a" "a") --> error
► (not t) \rightsquigarrow nil
```



Exercise: Lisp Equality Operators

► (eq nil (not 1)) ~~

▶ (eq t 1) ~

► (eq nil (not "a")) ~

```
► (eq (list "a" "b") (list "a" "b")) ~~
```

```
▶ (equal (list "a" "b") (list "a" "b")) \leadsto
```

```
► (eq (list "a" "b") (list "a" "B")) ~>
```



Inequality

"Math"	Lisp
a < b	(< a b)
$a \leq b$	(<= a b)
a > b	(> a b)
$a \geq b$	(>= a b)



Function Definition

Procedure increment(n)

1 return n+1;

(defun increment (n) (+ n 1))

result



Exercise: Function Definition

$$\operatorname{sinc} \theta = \frac{\sin \theta}{\theta}$$

Pseudocode

Common Lisp

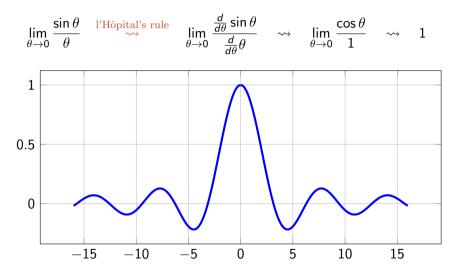
Procedure $sinc(\theta)$

1 return $\sin(\theta)/\theta$;



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Limit of sinc θ





Conditional

IF

```
Procedure even?(n)

1 if 0 = mod(n, 2) then

2 | return true;

3 else

4 | return false;
```

```
(defun even? (n)
test

(if (= 0 \pmod{n 2}))
then clause

t

nil
))
else clause
```



Exercise: Conditionals

IF

$$extstyle extstyle ext$$

Pseudocode

Common Lisp

Procedure $sinc(\theta)$

- 1 if $0 = \theta$ then
- 2 return 1;
- 3 else
- 4 return $\sin(\theta)/\theta$;



Taylor Series

Represent function f(x) as infinite sum of derivatives around point a:

$$f(x) = f(a) + \frac{f'(a)}{1!}(x-a) + \frac{f''(a)}{2!}(x-a) + \frac{f'''(a)}{3!}(x-a) + \dots$$
$$= \sum_{n=0}^{\infty} \left(\frac{f^{(n)}(a)}{n!}(x-a)^n\right)$$

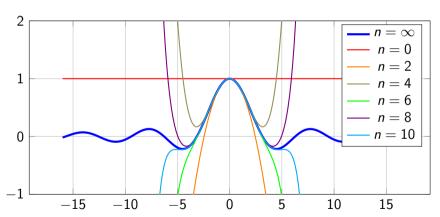
Polynomial approximation of functions



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Sinc Taylor Series

$$\frac{\sin \theta}{\theta} = 1 - \frac{\theta^2}{6} + \frac{\theta^4}{120} - \frac{\theta^6}{5040} + \frac{\theta^8}{362880} - \frac{\theta^{10}}{39916800} + \dots$$





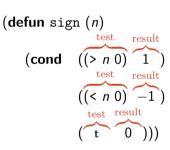
Conditional

COND

Procedure sign(n)

- 1 if n > 0 then
- return 1;
- 3 else if n < 0 then
- return -1;

- 5 else return 0;





Exercise: Conditionals

COND

$$\frac{\sin\theta}{\theta} = 1 - \frac{\theta^2}{6} + \frac{\theta^4}{120} + \dots$$

Pseudocode

Common Lisp

Procedure $sinc(\theta)$

- 1 if $0 = \theta$ then return 1;
- 2 else if $\theta^{2} < .00001$ then
- 3 | return $1 \theta^2/6 + \theta^4/120$;
- 4 else return $\sin(\theta)/\theta$;



Example: Factorial

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ n * (n-1)! & \text{if } n \neq 0 \end{cases}$$

Pseudocode

Procedure factorial(x)

- 1 if 0 = x then
- return 1;
- 3 else
- return x * factorial(x 1);

Common Lisp

(**defun** factorial (n) (if
$$(= n \ 0)$$

$$(\mathsf{If} (= \mathsf{h} \mathsf{U})$$



Exercise: Fibonacci Sequence

$$(1, 1, 2, 3, 5, 8, 13, 21, 34, 55, \ldots)$$

$$fib(n) = \begin{cases} 1 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ fib(n-1) + fib(n-2) & \text{if } n \ge 2 \end{cases}$$



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Exercise: Fibonacci Sequence

continued

Pseudocode

Common Lisp



Numerical Integration

Runge-Kutta Methods

Given: ightharpoonup Derivative: $\frac{d}{dt}x(t) = f(x,t)$

► Initial time: t₀

ightharpoonup Final time: t_n

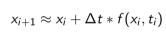
▶ Initial value: $x(t_0)$

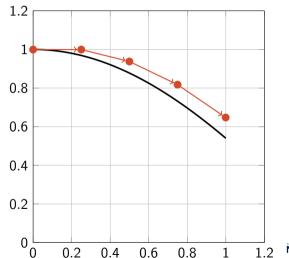
Find: $x(t_n)$

Solution: Follow derivative along discrete time intervals Δt from t_0 to t_n



Example: Runge-Kutta 1 (Euler's Method)







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Example: Runge-Kutta 1 (Euler's Method)

continued

$$x_{i+1} \approx x_i + \Delta t * f(x_i, t_i)$$

Procedure euler-step(dx, dt, x_0) return $x_0 + dx * dt$;



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Example: Runge-Kutta 2 (Midpoint Method)

$$x_{i+1} \approx x_i + \Delta t * f(x_i + \frac{\Delta t}{2} f(x_i, t_i), \ t + \frac{\Delta t}{2})$$

$$\frac{\text{Procedure rk2-mid}(f, t_0, x_0, dt)}{\text{function } ks(c, k) \text{ is}} \qquad \text{(defun rk2-mid-step (f t0 x0 dt))}$$

$$1 \text{ function } ks(c, k) \text{ is} \qquad \text{(labels ((ks (c k)))}$$

$$2 \quad x \leftarrow \text{euler-step}(k, c * dt, x_0); \qquad \text{(funcall)}$$

$$3 \quad \text{return } f(x, \ t + c * dt); \qquad \text{(euler-step k (* c dt) x0)}$$

$$4 \quad k_0 \leftarrow f(x_0, t_0); \qquad \text{(euler-step k (* c dt))))}$$

$$5 \quad k_1 \leftarrow \text{ks}(1/2, k_0); \qquad \text{(let * ((k0 (funcall f x0 t0)))}$$

$$\text{(k1 (ks (/ 1 2) k0)))} \qquad \text{(k1 (ks (/ 1 2) k0)))}$$

$$\text{(+ x0 (* dt k1))))}$$

1 function ks(c, k) is

4 $k_0 \leftarrow f(x_0, t_0)$;

5 $k_1 \leftarrow \text{ks}(1/2, k_0)$;

6 return $x_0 + dt * k_1$:

Exercise: Runge-Kutta 2 (Heun's Method)

$$x_{i+1} \approx x_i + \frac{\Delta t}{2} * \overbrace{f(x_i, t_i)}^{\dot{x}(t_i)} + \frac{\Delta t}{2} * \overbrace{f(x_i + (\Delta t)f(x_i, t_i), t + \Delta t)}^{\dot{x}(t + \Delta t)}$$

$$\approx x_i + \frac{\Delta t}{2} k_0 + \frac{\Delta t}{2} k_1$$



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Exercise: Runge-Kutta 2 (Heun's Method)

```
Procedure rk2-heun(f, t_0, x_0, dt)
```

```
1 function ks(c, k) is

2 x \leftarrow \text{euler-step}(k, c * dt, x_0);

3 return f(x, t + c * dt);
```

- 4 $k_0 \leftarrow f(x_0, t_0)$;
- 5 $k_1 \leftarrow ks(1, k_0)$;
- 6 return $x_0 + dt/2 * (k_0 + k_1)$;



Exercise: Runge-Kutta 4

$$x_{i+1} \approx x_i + \frac{\Delta t}{6} \underbrace{k_0}^{\dot{x}(t_i)} + \frac{\Delta t}{3} \underbrace{k_1}^{\dot{x}\dot{x}(t_i + \frac{\Delta t}{2})} + \frac{\Delta t}{3} \underbrace{k_2}^{\dot{x}\dot{x}(t_i + \frac{\Delta t}{2})} + \frac{\Delta t}{6} \underbrace{k_3}^{\dot{x}\dot{x}(t_i + \Delta t)}$$

where:

$$k_0 = f(x_i, t_i)$$
 (start)

$$k_1 = f(x_i + \frac{\Delta t}{2}k_0, \ t_i + \frac{\Delta t}{2})$$
 (midpoint)

$$\blacktriangleright k_2 = f(x_i + \frac{\Delta t}{2}k_1, t_i + \frac{\Delta t}{2})$$
 (midpoint)

$$k_3 = f(x_i + (\Delta t)k_2, \ t_i + \Delta t)$$
 (end)



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Exercise: Runge-Kutta 4

continued



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Euler Integration

```
Procedure int-euler (f, t_0, t_n, dt, x_0)

1 if t_0 \ge t_n then // Base Case

2 | return x_0;

3 else // Recursive Case

4 | dx \leftarrow f(x_0, t_0);

5 | x \leftarrow \text{euler-step}(dx, dt, x_0);

6 | return int-euler (f, t_0 + dt, t_n, dt, x);

(defun int-euler (f, t_0 + t_0) to t_0)

(if (>= t_0 t_1)

(let * ((dx (funcall | f(x_0 t_0))) dt

(int-euler (f, t_0 t_0)))

(int-euler (f, t_0 t_0)))

(int-euler (f, t_0 t_0)))
```



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RK-2 Integration



Multi-method RK Integration

Procedure integrate(s, f, t_0, t_n, dt, x_0)



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Data Types

Definition: Data type

A classification of data/objects based on how the data/object is intended to or able to be use.

The set of values a variable may take.

Examples

- ▶ int
- ▶ float
- List
- String
- Structures:
 - ▶ int × string
 - ▶ float⁴



Data Type Systems

► Type Checking

Static: Check types at compile time (statically)

Dynamic: Check types at run time (dynamically)

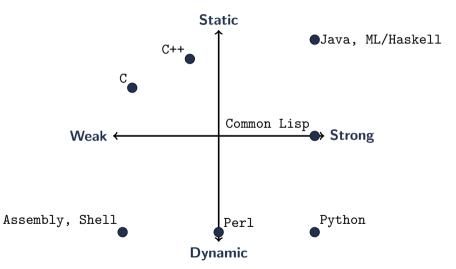
► Type Enforcement

Strong: Object types are strictly enforced

Weak: Objects can be treated as different types (casting, "type punning")



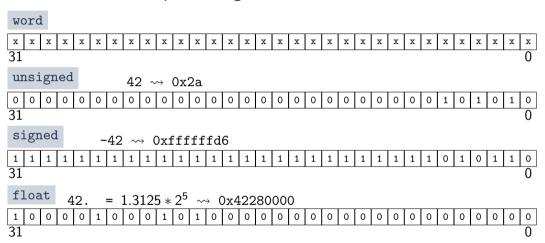
Comparison of Language Type Systems





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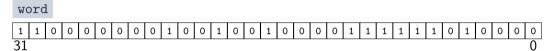
Machine Words – Representing Data





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Words and Types



 $0xc0490fd0 \stackrel{?}{\leadsto} -1068953648$ (signed) $0xc0490fd0 \stackrel{?}{\sim} 3226013648$ (unsigned) $0xc0490fd0 \stackrel{?}{\leadsto} -3.141590$ (float) $0xc0490fd0 \stackrel{?}{\leadsto} valid pointer$



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			C	
Туре	Tag	0 x180921FA \times 000b	even fixnum $\stackrel{\longleftrightarrow}{\sim}$	(0x180921FA >> 2
Even Fixnum	000b		~ →	806503412
Odd Fixnum	100b		~~	000505412
Instance Pointer	001b			
List Pointer	011b			
Function Pointer	101h			

data

MINES

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tag

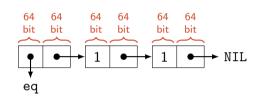
Example: Tagged Storage

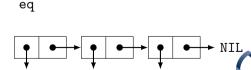
64-bit SBCL:

Fixnum: (eq 1 1)

Single Float: (eq 1.0s0 1.0s0)

Double Float: (eq 1.0d0 1.0d0) nil





1.0d0

1.0d0

eq

Example: SBCL Arrays

```
(let ((a (make-array 5
                           : element-type 'double-float )))
                        64
                                      64
                                                    64
                  data
                        bit
                               bit
                                      bit
                                             bit
                                                    bit
                      0.0d0
                                    0.0d0
                             0.0d0
                                           0.0d0
                                                  0.0d0
                  type descriptor
                      (SIMPLE-ARRAY DOUBLE-FLOAT (5))
```



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Manual Memory Management

malloc(n)

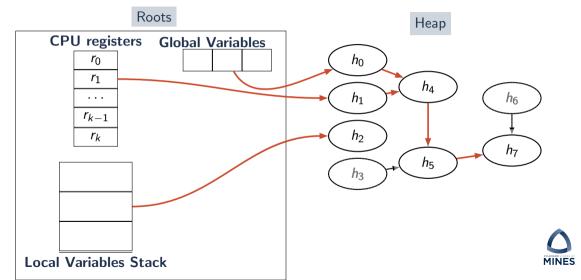
- 1. Find a free block of at least n bytes
- 2. If no such block, get more memory from the OS
- 3. Return pointer to the block

free(ptr)

1. Add block back to the free list(s)



Garbage Collection



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Functional Programming Features

- ► Functions are first class object
- ▶ Prefer immutable state
- ► Garbage collection



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Closure

Definition (Closure)

A function and an associated set of variable definitions. From "closed expression."

```
C Function Pointer
/* Definition */
struct context {
    int val:
int adder(struct context *cx, int x) {
    return cx \rightarrow a + x:
/* Usage */
struct context c:
c.val = 1:
int y = adder(c, 2);
```

```
Java Class
// Definition
class Adder {
    public int a:
    public Adder(int a_) {
        a = a_{-}:
    public int call(int x) {
        return x+a;
// Usage
Adder A = new Adder(1);
int y = A. call(2);
```



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Closure in Lisp

(let ((a 1)) (labels ((adder (x) (+ x a)))

(adder 2)))

Local Function

Lambda Expression

```
(let ((a \ 1))

(funcall \ (lambda \ (x)

(+ \ x \ a))

(2))
```



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Example: Recursion

Iterative

Function accumulate(S)

- $1 \ a \leftarrow 0$:
- $i \leftarrow 0$:
- 3 while i < |S| do
- 4 $a \leftarrow a + S_i$;
- 5 return a;

Recursive

Function accumulate(S)

- 1 if S then // Recursive Case
- return car(S) + accumulate(cdr(S));
- 3 else // Base Case
- 4 return 0;



Example: Recursive Accumulate in Lisp

```
Recursive Implementation of Accumulate
(defun accumulate (list)
  (if list
      ;; recursive case
      (+ (car list)
          (accumulate (cdr list)))
      :: base case
      0))
```



Example: Recursive Accumulate Execution Trace

Recursive Implementation of Accumulate

```
(defun accumulate (list)
  (if list
      :: recursive case
      (+ (car list)
         (accumulate (cdr list)))
      ;; base case
      0))
```

```
(accumulate '(1 2 3))
    (+ 1 (accumulate '(2 3)))
  (+ 1 (+ 2 (accumulate '(3))))
(+ 1 (+ 2 (+ 3 (accumulate nil))))
       (+1 (+2 (+3 0)))
```

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$$(a_0 \ a_1 \ \dots \ a_{n-1} \ a_n) \stackrel{\text{reverse}}{\leadsto} (a_n \ a_{n-1} \ \dots \ a_1 \ a_0)$$

Procedure reverse(L)



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Definition (map)

Apply a function to every member of a sequence.

$$\operatorname{map}: \underbrace{\left(\mathbb{D} \mapsto \mathbb{R}\right)}_{\operatorname{function}} \times \underbrace{\mathbb{D}^n}_{\operatorname{sequence}} \mapsto \underbrace{\mathbb{R}^n}_{\operatorname{result}}$$

Function Application

$$(f(s_1), f(s_2), \ldots, f(s_n))$$



Map Pseudocode

Procedural

Function map(f,S)

- 1 foreach $s_i \in S$ do
- $r_i \leftarrow f(s_i);$
- 3 return r;

Recursive

Function map(f,S)

```
1 if S then // Recursive Case
```

```
2 a \leftarrow f(\operatorname{car}(S));
```

$$b \leftarrow \operatorname{map}(f, \operatorname{cdr}(S));$$

4 return
$$cons(a, b)$$

- 5 else // Base Case
- 6 return ();



Map in Lisp

```
Map in Lisp
(map 'list
                      ; result type
    (lambda (x) (+ 1 x)); function
    (list 1 2 3)) ; sequence
;; RESULT: (2 3 4)
```



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Example: A Map Implementation

```
Example Implementation of Map
(defun mymap (function list)
 (labels ((helper (list)
             (if list
                  :: Recursive Case:
                  (cons (funcall function (car list))
                        (helper (cdr list)))
                  :: Base Case:
                  nil)))
    (helper list)))
```



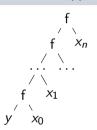
Fold-left

Definition (fold-left)

Apply a binary function to every member of a sequence and the result of the previous call, starting from the left-most (initial) element.

$$\text{fold-left}: \underbrace{\left(\mathbb{Y} \times \mathbb{X} \mapsto \mathbb{Y}\right)}_{\text{function}} \times \underbrace{\mathbb{Y}}_{\text{init.}} \times \underbrace{\mathbb{X}^n}_{\text{sequence}} \mapsto \underbrace{\mathbb{Y}}_{\text{result}}$$

Function Application





Fold-left Pseudocode

Procedural

Function fold-left(f.v.X)

- $i \leftarrow 0$:
- 2 while i < |X| do
- $y \leftarrow f(y, X_i)$;
- 4 return *y*;

Recursive

Function fold-left(f,v,X)

- 1 if X then // Recursive Case
- $y' \leftarrow f(y, car(X));$ return fold-left (f, y', cdr(X));
- 4 else // Base Case
- return y;



Fold-left in Lisp

```
Fold-Left in Lisp
(reduce #'+
                            ; function
        '(1 2 3)
                            ; sequence
        :initial-value 0)
;;; Result 6
```



Exercise: Fold-Left Reverse

$$(a_0 \ a_1 \ \dots \ a_{n-1} \ a_n) \stackrel{\text{reverse}}{\leadsto} (a_n \ a_{n-1} \ \dots \ a_1 \ a_0)$$

Procedure reverse(L)



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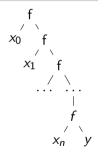
Fold-right

Definition (fold-right)

Apply a binary function to every member of a sequence and the result of the previous call, starting from the right-most (final) element.

$$\text{fold-right}: \underbrace{\left(\mathbb{X} \times \mathbb{Y} \mapsto \mathbb{Y}\right)}_{\text{function}} \times \underbrace{\mathbb{Y}}_{\text{init.}} \times \underbrace{\mathbb{X}^n}_{\text{sequence}} \mapsto \underbrace{\mathbb{Y}}_{\text{result}}$$

Function Application





Fold-right Pseudocode

Procedural

Function fold-right(f,y,X)

- $1 i \leftarrow |X| 1$:
- 2 while i > 0 do
- $y \leftarrow f(X_i, y)$;
- 4 return *y*;

Recursive

Function fold-right(f,y,X)

- 1 if X then // Recursive Case
- $y' \leftarrow \text{fold-right}(f, y, \text{cdr}(X));$ return f(car(X), y');
- 4 else // Base Case
- return y;



Fold-right in Lisp

```
Fold-Right in Lisp
(reduce #'-
                             : function
         '(2 3)
                             ; sequence
         :initial-value 1
         :from-end t)
;;; Result O
```



MapReduce

- ► (parallel) map
- ► (serial) reduce/fold
- ► Provides scalability, fault-tolerance
- ► Implementations
 - ► Google MapReduce
 - ► Apache Hadoop

Function MapReduce(f,g,X)

- 1 $Y \leftarrow \text{parallel-map}(f, X)$;
- 2 return reduce(g, Y);



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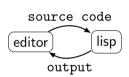
Lisp Programming Environment

code compile binary debug

Lisp Programming

edit,compile,debug

Lisp on unix





Demo

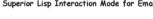
- ► SLIME, pstree
- ► Read-Eval-Print-Loop (REPL)
- ► DEFUN
- ► DISASSEMBLE
- ► Re-DEFUN



SLIME Basics

- ► C: control
- ► M: Meta / Alt
- ► Frequently used:
 - C-c C-k Compile and load file
 - C-x C-e Evaluate expression before the point
 - C-M-x Evaluate defun surround the point
- ► See SLIME drop-down in menu bar for more
- ► https://common-lisp.net/project/slime/doc/html/







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L'Hôpital's Rule

Evaluate limits using derivatives when $\frac{f(a)}{g(a)} \rightsquigarrow \frac{0}{0}$ (similarly for ∞):

$$\left(\left(\lim_{x\to a} f(x) = 0\right) \land \left(\lim_{x\to a} g(x) = 0\right)\right) \implies \left(\lim_{x\to a} \frac{f(x)}{g(x)} = \lim_{x\to a} \frac{f'(x)}{g'(x)}\right)$$



LET

► Creates a new scope and variable bindings



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Example: LET

C Block Scope

Lisp

Output

(1 2)



Example: LET

Scope Nesting

```
int a = 1:
printf("%d\n", a);
    int a = 2:
    printf("%d\n", a);
printf("%d\n", a);
```

Lisp

```
(let ((a 1))
  (print a)
  (let ((a 2))
        (print a))
  (print a))
```

Output

1



Example: LET

"Parallel" assignments

Lisp

Output

(3 1)



Example: LET*

"Consecutive" assignments

Lisp

Output

(3 3)



DOTIMES

▶ Iterate a for *n* steps



Example: DOTIMES

```
for( int i = 0; i < 5; i ++ ) {
    printf("%d",i);
}</pre>
```

Lisp

(dotimes (i 5) (print i))

Output

```
0
```

2 3



DOLIST

► Iterate over a list



Example: DOLIST

Lisp

(dolist (x '(a b c)) (print x))

Output

A

В

С



Example: LOOP

counting

Lisp

(loop for i below 5 do (print i))

Output





Example: LOOP

list iteration

Lisp

(loop for x in '(a b c) do (print x))

Output

A B

С



Example: LOOP

collecting

Lisp

```
(let ((x (loop for i below 5
             when (evenp i)
             collect i)))
  (print \times))
```



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Example: REDUCE

collecting

Output

(0 2 4)



 $(print \times)$

Case

- ► Control structure
- ▶ Selects clause that matches the test argument



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Example: CASE

```
switch('B') {
case 'A':
    puts("Got_A");
    break:
case 'B':
    puts ("Got_B");
    break:
case 'C':
    puts("Got_C");
    break:
   Dantam (Mines CSCI, RPM)
```

Lisp (case 'b (a (print "Got_A")) (b (print "Got_B")) (c (print "Got_C"))

Output

Got B



Example: S-Expression to XML

Lisp

```
(labels ((visit (e i)
           (if (listp e)
                (progn
                  ;; opening tag
                  (format t "~&<~A>" (car e) )
                  ;; Recurse on arguments
                  (dolist (e (cdr e))
                    (visit e (+ i 2))
                  ;; Closing tag
                  (format t "^{*}</^{*}A>" (car e)))
                ;; Else, print the element
                (format t "~&~A" e))))
 (visit '(and \times (or \vee z)) 0))
```

Output

```
<AND>
X
<OR>
Y
Z
</OR>
</AND>
```



Example: S-Expression to XML w/ indentation

Lisp

```
(labels ((visit (e i)
            (let ((indent (make-string i
                                          :initial-element #\Space)))
              (if (listp e)
                   (progn
                    ;; opening tag
                    (format t "~&~A<~A>" indent (car e) )
                    ;; Recurse on arguments
                    (dolist (e (cdr e))
                      (visit e (+ i 2))
                    :: Closing tag
                    (format t "^{^{\sim}}A</^{^{\sim}}A>" indent (car e)))
               ;; Else, print the element
              (format t "~&~A~A" indent e)))))
  (visit '(and \times (or \vee z)) 0))
```

Output

```
<AND>
    X
    <OR>
    Y
    Z
    </OR>
</AND>
```

