

Due: Saturday, 9/21, 4:00 PM
Grace period until Saturday, 9/21, 6:00 PM

Sundry

Before you start writing your final homework submission, state briefly how you worked on it. Who else did you work with? List names and email addresses. (In case of homework party, you can just describe the group.)

Solution: I worked with Lawrence Rhee (lawrencejrhee@berkeley.edu). We discussed our approaches to each problem and asked each other questions.

1 Short Tree Proofs

Note 5

Let $G = (V, E)$ be an undirected graph with $|V| \geq 1$.

- (a) Prove that every connected component in an acyclic graph is a tree.
- (b) Suppose G has k connected components. Prove that if G is acyclic, then $|E| = |V| - k$.
- (c) Prove that a graph with $|V|$ edges contains a cycle.

Solution:

- (a) *Proof.* Since G is acyclic, each of the connected components are also acyclic. Then, every connected component is a connected, acyclic graph. Thus each connected component is a tree. \square
- (b) *Proof.* The graph $G = (V, E)$ is the "union" of its connected components, which is the set of graphs

$$G_1 = (V_1, E_1), \dots, G_k = (V_k, E_k)$$

where

$$V = V_1 \cup \dots \cup V_k \text{ and } E = E_1 \cup \dots \cup E_k$$

since there are k connected components. From (a) we know that each connected component in G is a tree. In a tree, $|E| = |V| - 1$. Then,

$$|E_1| + \dots + |E_k| = |V_1| + \dots + |V_k| - k$$

Thus, $|E| = |V| - k$ as desired. \square

(c) *Proof.* Again, the graph $G = (V, E)$ is the "union" of its connected components, which is the set of graphs

$$G_1 = (V_1, E_1), \dots, G_k = (V_k, E_k)$$

where

$$V = V_1 \cup \dots \cup V_k \text{ and } E = E_1 \cup \dots \cup E_k$$

Lemma: There exists a connected component G_i where $|V_i| \leq |E_i|$.

Consider the case that for each connected component, $|V_i| > |E_i|$. Then, $\sum |V_i| > \sum |E_i|$. Then, $|V| > |E|$, which is a contradiction. Thus, There exists a connected component G_i where $|V_i| \leq |E_i|$.

Then, $|V_i| \neq |E_i| + 1$ within that connected component. Thus, G_i is a connected component that is not a tree. Thus, G_i must contain a cycle. Thus, G itself contains a cycle. \square

2 Proofs in Graphs

Note 5

- (a) On the axis from San Francisco traffic habits to Los Angeles traffic habits, Old California is more towards San Francisco: that is, civilized. In Old California, all roads were one way streets. Suppose Old California had n cities ($n \geq 2$) such that for every pair of cities X and Y , either X had a road to Y or Y had a road to X .

Prove that there existed a city which was reachable from every other city by traveling through at most 2 roads.

[Hint: Induction]

- (b) Consider a connected graph G with n vertices which has exactly $2m$ vertices of odd degree, where $m > 0$. Prove that there are m walks that *together* cover all the edges of G (i.e., each edge of G occurs in exactly one of the m walks, and each of the walks should not contain any particular edge more than once).

[Hint: In lecture, we have shown that a connected undirected graph has an Eulerian tour if and only if every vertex has even degree. This fact may be useful in the proof.]

- (c) Prove that any graph G is bipartite if and only if it has no tours of odd length.

[Hint: In one of the directions, consider the lengths of paths starting from a given vertex.]

Solution:

- (a) *Proof.* (Base) If we have $n = 2$ cities, then 1 city will be reachable by the other in 1 move no matter the configuration of the roads. Thus, there is a city reachable from every other traveling through at most 2 roads for $n = 2$.

(Hypothesis) Suppose for $n = k$ cities, for all configuration of edges there is a city reachable from every other by traveling through at most 2 roads.

(Step) Suppose we have $n = k + 1$ cities. Let's remove a city v and its corresponding roads going in and out of it. We are left with a graph with k cities. By our hypothesis, there is some city in this resulting graph that is reachable from every other city by traveling through at most 2 roads.

Let's call that special city u .

Let's call the set of cities that reaches u in exactly 1 road is V_i .

Let's call the set of cities that reaches u in exactly 2 roads is V_o .

Each vertex in V_o has at least 1 edge going into some vertex in V_i . If this was not true, it would be impossible for the cities in V_o to reach u in 2 roads.

Now, when we add v and its corresponding edges back into the graph, we have 3 cases.

(Case 1) There is a road that goes from v to u . Then, v can reach u in 1 road and u is reachable by every city by at most 2 roads. For the next cases, we will assume a road goes from u to v .

(Case 2) There exists a road that goes from v to some city in V_i . Then, v can reach u in 2 roads and u is reachable by every city by at most 2 roads.

(Case 3) There are no roads that go from v to a city in V_i . Then, u and every city in V_i has a road to v , and every city in V_o has a road to a city in V_i which has a road to v . Thus, v is reachable

by every city by at most 2 roads. In all 3 cases, we have shown for $n = k + 1$ cities, there is some city (either u or v) where every other city can reach it in at most 2 roads. \square

- (b) *Proof.* Let's call our original graph G . Let's arbitrarily pair up each vertex of odd degree with another vertex of odd degree as follows:

$$(u_1, u_2), \dots, (u_{2m-1}, u_{2m}).$$

We can do so since there is an even number of vertices of odd degree. For each pair, create a new edge between the 2 vertices. Let's call our new graph with added edges G' . Now, every vertex has even degree in G' .

Since a connected undirected graph has an Eulerian tour IFF every vertex has even degree, G' has a Eulerian tour. Let the Eulerian tour of G' be the walk: (v_1, \dots, v_k, v_1) .

Let's disconnect the edges

$$(u_1, u_2), \dots, (u_{2m-1}, u_{2m})$$

we created from this walk. The result is a list of walks:

$$(v_1, \dots, u_i), \dots, (u_j, \dots, v_1)$$

Each disconnected edge creates a new walk. Since we are disconnecting m edges, we create m new walks. Now, we have a total of $m + 1$ walks. However, we can concatenate the first walk onto the last walk of the list, since the last walk ends with v_1 and the first walk starts with v_1 . Now, we have a total of m walks. These m walks together cover all the edges of G . \square

- (c) *Proof.* (\Rightarrow) Suppose G is bipartite. Let's call the 2 subsets of vertices V_1, V_2 , where there are no edges between vertices within the subsets V_1, V_2 . By definition, a tour starts and ends on the same node. Thus, for every tour in G , it must start and end in the same subset. You start and end in the same subset IFF you traverse an even number of edges. This is because, on each traversed edge, the subset you are on must change (no edges within the subsets). Thus, there are no tours of odd length in G .

(\Leftarrow) Suppose there are no tours of odd length in G .

Let's create 2 subsets of the vertices of G : V_1, V_2 . Both start out as empty. Let C be any connected component within G . Then there are no tours of odd length in C . Let's pick any node u from C .

Lemma: no node v in C can reach u in both odd and even moves.

Suppose there is a node v in C such that u can reach v in both an odd and even number of edges. Then we can create the tour: $u \rightarrow v$ via the odd path and then $v \rightarrow u$ via the even path. Then, we have an odd tour, contradiction. Thus, there is no node v in C that can reach u in both odd and even moves.

Let's add u and all the nodes that reach it in an even number of moves to the subset V_2 . If there are existing nodes in V_2 , there will be no connections into them since they are separate components. There will be no connections within the added nodes either, since they all reach u in only an even number of moves.

Let's add all the other nodes to V_1 . Similarly, there are no connections into the existing nodes of V_1 and no connections within the added nodes.

After going through all the connected components, we have $V = V_1 \cup V_2$ where there are no edges between 2 nodes in the same subset. Thus, G is bipartite. \square

3 Touring Hypercube

Note 5

In the lecture, you have seen that if G is a hypercube of dimension n , then

- The vertices of G are the binary strings of length n .
- u and v are connected by an edge if they differ in exactly one bit location.

A *Hamiltonian tour* of a graph is a sequence of vertices v_0, v_1, \dots, v_k such that:

- Each vertex appears exactly once in the sequence.
- Each pair of consecutive vertices is connected by an edge.
- v_0 and v_k are connected by an edge.

(a) Show that a hypercube has an Eulerian tour if and only if n is even.

(b) Show that every hypercube has a Hamiltonian tour.

Solution:

(a) *Proof.* (\Rightarrow) Suppose a hypercube has an Eulerian tour. In an Eulerian tour, each vertex has even degree. A hypercube of dim n has n degree per vertex. Thus, n must be even.

(\Leftarrow) Suppose a hypercube has even dimension n . A hypercube of dim n has n degree per vertex. Then each vertex has even degree. Thus, the hypercube has an Eulerian tour. \square

(b) *Proof.* (Note 1) Hamiltonian tours are "circular", so if one exists, its starting node can be any one of the nodes participating in the tour.

(Note 2) Hamiltonian tours are "reversible", so if one exists, you can reverse the order of the nodes in the tour and the tour will still work.

(Base) Suppose we have a hypercube with dimension $n = 1$. Then, it is trivial to see it has a Hamiltonian tour including the first and second vertices $(0, 1)$.

(Hypothesis) Suppose a hypercube with dimension $n = k$ has a Hamiltonian tour starting at $v_1 = 00 \dots 0$ and ending at $v_k = 10 \dots 0$.

(Step) Suppose we have a hypercube with dimension $n = k + 1$. There are 2 types of vertices: ones that end with a 0 and ones that end with a 1. We put them in the sets V_0, V_1 respectively. Within both sets, ignoring the edges between the 2 sets, we have a hypercube with dimension $n = k$ (since within each set the last digits are the same, we can pretend it doesn't exist). By our hypothesis, both sets' hypercube has a Hamiltonian tour.

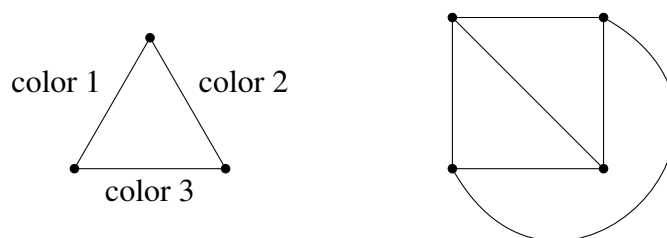
For V_0 : a Hamiltonian tour starts and ends at $v_1 = 00 \dots 00$ and $v_k = 10 \dots 00$ respectively.

For V_1 : a Hamiltonian tour starts and ends at $w_1 = 10 \dots 01$ and $w_k = 00 \dots 01$ respectively.

Then, we can splice together a new Hamiltonian tour: $v_1, \dots, v_k, w_1, \dots, w_k$ that goes through every vertex of our $n = k + 1$ dimension hypercube. We can do this because v_k, w_k are only 1 bit distance away, and so is w_k, v_1 . Thus, a hypercube with dimension $n = k + 1$ has a Hamiltonian tour. \square

4 Edge Colorings

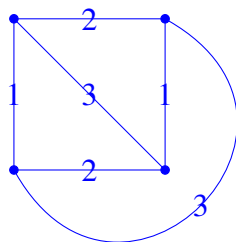
Note 5 An edge coloring of a graph is an assignment of colors to edges in a graph where any two edges incident to the same vertex have different colors. An example is shown on the left.



- Show that the 4 vertex complete graph above can be 3 edge colored. (Use the numbers 1, 2, 3 for colors. A figure is shown on the right.)
- Prove that any graph with maximum degree $d \geq 1$ can be edge colored with $2d - 1$ colors.
- Show that a tree can be edge colored with d colors where d is the maximum degree of any vertex.

Solution:

- Proof.* We can successfully edge-color the figure with 3 colors as follows:



□

- Proof.* (Base case) Suppose we have a graph with 2 nodes and maximum degree $d \geq 1$. Then it must be the graph with 2 nodes and 1 edge between those 2, and the maximum degree is 1. Then it can be colored with 1 color since there is only 1 edge. Thus it can be colored with $2(1) - 1 = 1$ colors.

(Hypothesis) Suppose any graph with $n = k$ nodes and maximum degree $d \geq 1$ can be edge-colored with $2d - 1$ colors.

(Step) Suppose we have a graph with $n = k + 1$ nodes and maximum degree $d \geq 1$. Then, if we remove any vertex v and its corresponding edges to vertices u_1, \dots, u_k from the graph, we are

left with a graph with $n = k$ nodes and maximum degree $\leq d$. This can be edge-colored with $2d - 1$ colors, by our hypothesis (+ the obvious fact that a decrease in maximum degree can not increase the number of colors).

Now, let's add v back to the graph along with its corresponding edges. Suppose we have $2d - 1$ colors available. Let's add the edges to u_1, \dots, u_k in order. Then, the 1st added edge is from v to u_1 . Before adding it, u_1 can have degree at most $d - 1$. The edge can be colored by at least $(2d - 1) - (d - 1) = d$ colors, since we have $2d - 1$ colors available and at most $d - 1$ colors can be taken by u_1 's pre-existing neighbors.

Similarly, when adding the edge from v to u_i , it can be colored by at least $d - (i - 1)$ colors. The $(i - 1)$ term is introduced because the new edge's color can't be the same as the previously added colors. Then, the number of choices is always ≥ 1 since the maximum i is d since d is max degree.

Thus, any graph with $n = k + 1$ nodes and max degree $d \geq 1$ can be edge colored with $2d - 1$ colors. \square

- (c) *Proof.* (Base case) Suppose we have a tree with 1 node. The only tree that satisfies it is a singular node with no edges. Since there is only 1 node, it's max-degree is 0. It can be colored with 0 colors since there are no edges. Thus, for all trees with 1 node, it can be edge-colored with d colors where d is the max-degree of any vertex.

(Hypothesis) Suppose for all trees with $n = k$ nodes, it can be edge-colored with d colors where d is the tree max-degree.

(Step) Suppose we have a tree with $n = k + 1$ nodes and max-degree d . If we remove any leaf v of the tree, we are left with a tree with $n = k$ nodes and max-degree $\leq d$. By our hypothesis, the resulting tree can be edge colored with d colors (+ the obvious fact that a decrease in maximum degree can not increase the number of colors). Now, let's add v back to the tree, with its corresponding edge to it's parent u . Before v is added, u has at most $d - 1$ edges connected to it since max-degree is d . If we have d colors to choose from, and at most $d - 1$ can't be used, we have at least 1 color available to use for the edge from u to v . Thus, all trees with $n = k + 1$ nodes and max-degree d can be edge-colored with d colors. \square

5 Planarity and Graph Complements

Note 5 Let $G = (V, E)$ be an undirected graph. We define the complement of G as $\overline{G} = (V, \overline{E})$ where $\overline{E} = \{(i, j) \mid i, j \in V, i \neq j\} - E$; that is, \overline{G} has the same set of vertices as G , but an edge e exists in \overline{G} if and only if it does not exist in G .

- (a) Suppose G has v vertices and e edges. How many edges does \overline{G} have?
- (b) Prove that for any graph with at least 13 vertices, G being planar implies that \overline{G} is non-planar.
- (c) Now consider the converse of the previous part, i.e., for any graph G with at least 13 vertices, if \overline{G} is non-planar, then G is planar. Construct a counterexample to show that the converse does not hold.

Hint: Recall that if a graph contains a copy of K_5 , then it is non-planar. Can this fact be used to construct a counterexample?

Solution:

- (a) Since there are v vertices, if every vertex was connected to every other we would have a total of $\frac{v(v-1)}{2}$ edges. Subtracting the e edges that are from G gives us the final formula:

$$|\overline{E}| = \frac{v(v-1)}{2} - e.$$

- (b) *Proof.* Let G be some planar graph with v, e, f vertices, edges, and faces respectively. Then, $e \leq 3v - 6$ must hold. Thus, $e \leq 33$.
Now suppose \overline{G} is also a planar graph with v', e', f' vertices, edges, and faces respectively. From (a) we know that

$$e' = \frac{v(v-1)}{2} - e = 78 - e.$$

Thus, $e' \geq 45$. Since \overline{G} is planar, $e' \leq 3v' - 6$ must hold. However, $3v' - 6 = 33$. Clearly, e' is not less than or equal to 33. Thus we have a contradiction, so \overline{G} must be non-planar. \square

- (c) Consider the graph G consisting of the K_5 graph and 8 isolated vertices. Since K_5 has 5 vertices in it, G has 13 vertices. In \overline{G} , 5 of the isolated vertices will all connect with each other. Thus, \overline{G} contains a K_5 graph and is non-planar. However, G has a K_5 subgraph and is non-planar. Thus, we found a counterexample.