

Convex Hull

Beyond 3 Dimension

- 4D

Junhui DENG

deng@tsinghua.edu.cn

$O(n \log n)$: Upper Bound For Higher Dimensions?

- ❖ From the **theoretical** point of view,
two important questions in Combinatorial Geometry are:
 - What's the **largest number** of faces/vertices/edges/.../facets of a d -polytope?
 - Which polytopes achieve this largest number?
- ❖ From the **algorithmic** point of view, we have seen that
both **2D** and **3D** convex hulls can be constructed in **$O(n \log n)$** time
- ❖ Based on these facts, a natural conjecture would be
 $O(n \log n)$ time is still sufficient
for constructing **higher dimensional** convex hulls
- ❖ We will, unfortunately, see from the followings that this is **not** true

How Complex Could A d-Polytope Be?

- ❖ It can be deduced from Euler's formula that convex polytope in \mathcal{E}^3 has a linear number of edges and faces
- ❖ Specifically, $3*(n-2)$ edges and $2*(n-2)$ faces
e.g., a tetrahedron has $n = 4$ vertices, 6 edges and 4 faces
- ❖ However, we will show that the convex hull of n points in \mathcal{E}^4 may have as many as $\Omega(n^2)$ edges
- ❖ This fact immediately implies that we can't hope to construct $4D$ CH in less than $\Omega(n^2)$ time in the worst cases
- ❖ Generally, the CH of n points in \mathcal{E}^d may have as many as $\Omega(n^{\lfloor d/2 \rfloor})$ facets
- ❖ So one can't expect an algorithm for computing convex hulls in \mathcal{E}^d in less than $\Omega(n^{\lfloor d/2 \rfloor})$ time in the worst cases

Moment Curve

❖ parametric curve:

$$\gamma^{(d)} = \{ (t, t^2, t^3, \dots, t^d) \mid t \in \mathbb{R} \}$$

❖ To imagine the shape of the MC in \mathcal{E}^d ,

try to draw the unit parabola in the plane:

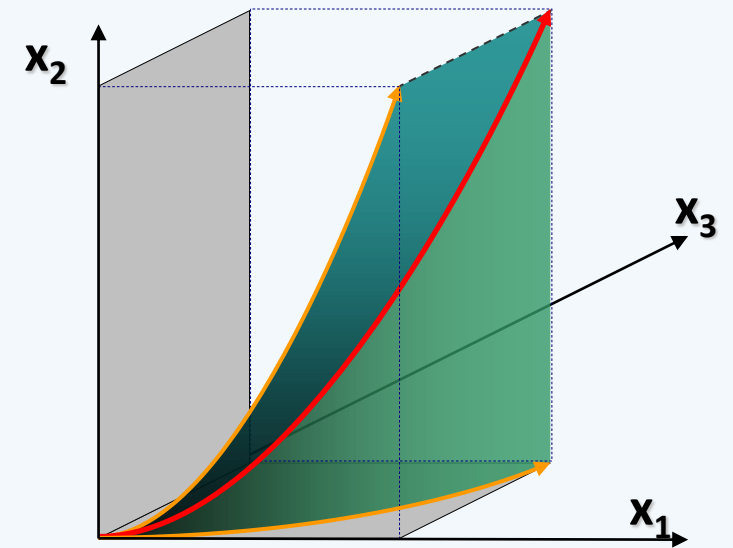
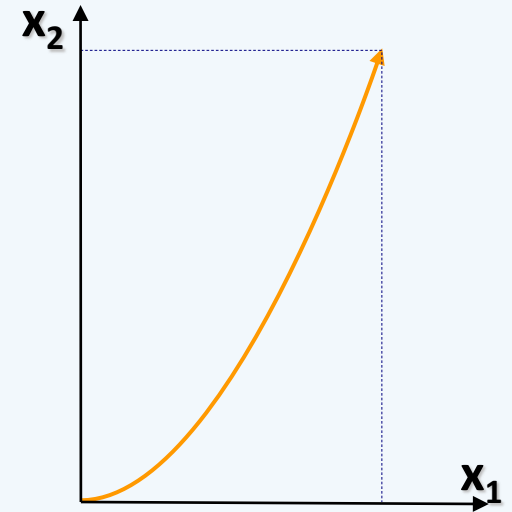
$$\gamma^{(2)} = \{ (t, t^2) \mid t \in \mathbb{R} \}$$

❖ Each point $p^{(d)}(t) = (t, t^2, t^3, \dots, t^d)$

is given by the single parameter t

❖ When the context is clear,

$\gamma^{(d)} / p^{(d)}(t)$ will be simplified as $\gamma / p(t)$



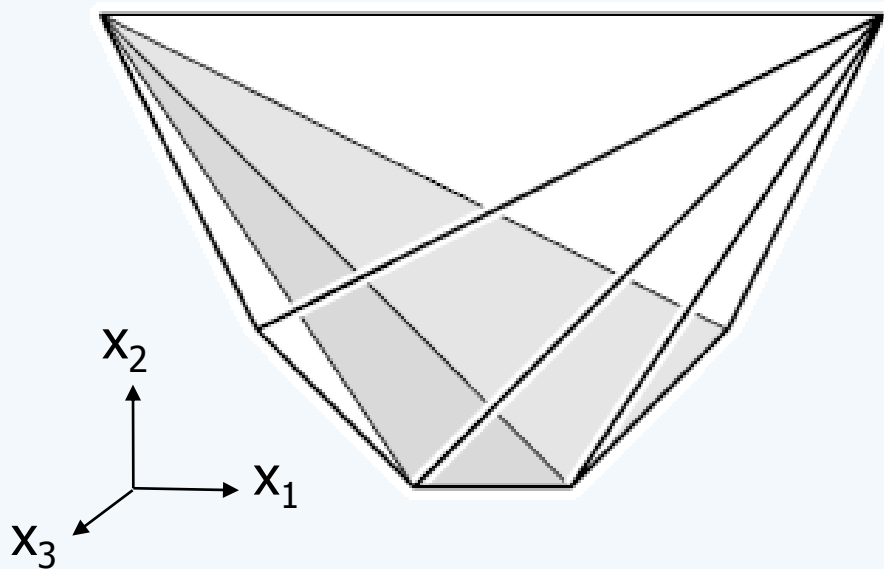
Cyclic Polytopes

❖ For any n distinct reals $u_1 < u_2 < u_3 < \dots < u_n$

there is a set of n distinct points on γ ,

$$S = \{ p_i = p(u_i) \mid 1 \leq i \leq n \}$$

❖ cyclic polytope in \mathcal{E}^d : $CP(\{u_1, u_2, u_3, \dots, u_n\}) = \text{conv}(S)$

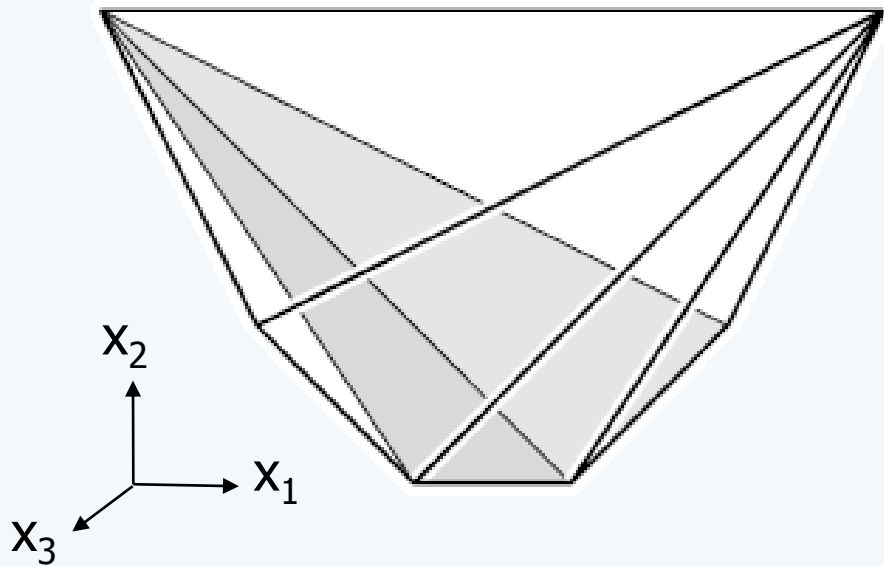


A cyclic polytope with 6 vertices in \mathcal{E}^3

Note that when projected to the x_1x_2 -plane, all the 6 vertices lie on the parabola $x_2 = x_1^2$

Moment Curve & Cyclic Polytope in 4D

- ❖ Consider the 4D MC: $\gamma^{(4)}(t) = \{ (t, t^2, t^3, t^4) \mid t \in \mathbb{R} \}$
- ❖ Let $S = \{ p_i = p(u_i) \mid 1 \leq i \leq n \}$ be a set of n distinct points on $\gamma^{(4)}$ and $CP(S)$ be the corresponding cyclic polytope
- ❖ Surprisingly, it can be proved that every segment between these points is an EE of $CP(S)$



A cyclic polytope with 6 vertices in \mathcal{E}^3

Note that when projected to the x_1x_2 -plane, all the 6 vertices lie on the parabola $x_2 = x_1^2$

Tangent Hyperplane

❖ Now, for **any fixed** i and j , consider the polynomial

$$P(t) = (t - u_i)^2 \times (t - u_j)^2$$

❖ This polynomial can be rewritten as

$$P(t) = t^4 + a_3 t^3 + a_2 t^2 + a_1 t + a_0, \quad \text{where } a_{0 \sim 3} \in \mathbb{R}$$

❖ It is easy to see that 1) $P(t) \geq 0$ for any t ; and

$$2) P(t) = 0 \quad \text{iff} \quad t = u_i \text{ or } u_j$$

❖ At the same time, $h : x_4 + a_3 x_3 + a_2 x_2 + a_1 x_1 + a_0 = 0$ (1)
is a hyperplane in \mathcal{E}^4

❖ We observe that

1) at any point p on γ , equation (1) evaluates to a non-negative value; and

2) the equation evaluates to zero iff $p = p_i$ or p_j

Edges on Convex Hull

❖ The above facts mean that $\gamma^{(4)}$

- 1) lies on the **same** side of hyperplane h , and
- 2) **touches** h at and only at p_i and p_j

❖ That means

- 1) all points, except p_i and p_j , lie strictly on the **same** side of h
- 2) segment $p_i p_j$
 - is on the boundary of $CP(S)$, and hence
 - defines an **edge** of $CH(S)$

Edges on Convex Hull

❖ Since i and j were chosen **arbitrarily**, it can be concluded that

1) every pair of points in S

defines a (distinct) edge on $\text{CH}(S)$ and asymptotically,

2) the convex hull of n points in \mathcal{E}^4 has $C(n, 2) = \Theta(n^2)$ edges

❖ That's why we cannot hope to devise an algorithm which

constructs a 4D convex hull

in less than $\Omega(n^2)$ time in the worst cases

❖ This is quite different from the cases of 1D, 2D, and 3D convex hulls