

Convex Hull

Beyond 3 Dimension

- Lower Bound

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Lower Bound Theorem

❖ For higher dimensions, a challenging question could be how many faces can a convex polytope with n vertices in \mathcal{E}^d have?

❖ [G. M. Ziegler](#) presented an answer to this question in 1994
Lectures on Polytopes. Vol. 152 of *Graduate Texts in Mathematics*
Springer-Verlag, New York, 1994

❖ [Ziegler's Theorem]

An n -vertex polytope in \mathcal{E}^d can have $\Omega(n^{\lfloor d/2 \rfloor})$ facets

❖ This implies that

to construct a d -dimensional CH, we need $\Omega(n^{\lfloor d/2 \rfloor})$ time in worst cases

❖ Let's prove this theorem next ...

Affine Independency of Points on γ

❖ Claim:

every $d+1$ distinct points on the MC γ are **affinely independent**

❖ To see this, first note that

$p(u_0), p(u_1), \dots, p(u_d)$ are affinely independent

iff

$$\begin{vmatrix} 1 & u_0 & u_0^2 & \dots & u_0^d \\ 1 & u_1 & u_1^2 & \dots & u_1^d \\ 1 & u_2 & u_2^2 & \dots & u_2^d \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & u_d & u_d^2 & \dots & u_d^d \end{vmatrix} \neq 0$$

Affine Independency of Points on γ

$$\diamond \begin{vmatrix} 1 & u_0 & u_0^2 & \dots & u_0^d \\ 1 & u_1 & u_1^2 & \dots & u_1^d \\ 1 & u_2 & u_2^2 & \dots & u_2^d \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & u_d & u_d^2 & \dots & u_d^d \end{vmatrix} \neq 0$$

❖ Take the planar case as an example:

3 points are affinely independent iff
they define a triangle with a non-zero area

❖ The left side is the well-known Van der Monde determinant
which is equal to $\prod_{0 \leq i < j \leq d} u_j - u_i$

❖ Since $u_i \neq u_j$, this determinant can't be zero

//QED

Simplicity of Cyclic Polytopes

❖ The affine independency of points on γ implies that

$CP(u_0, u_1, \dots, u_d)$ is a **simplicial** d -polytope

i.e., each facet of CP is a **$(d-1)$ -simplex**,

which consists of exactly d vertices

❖ This should be true. // Otherwise ...

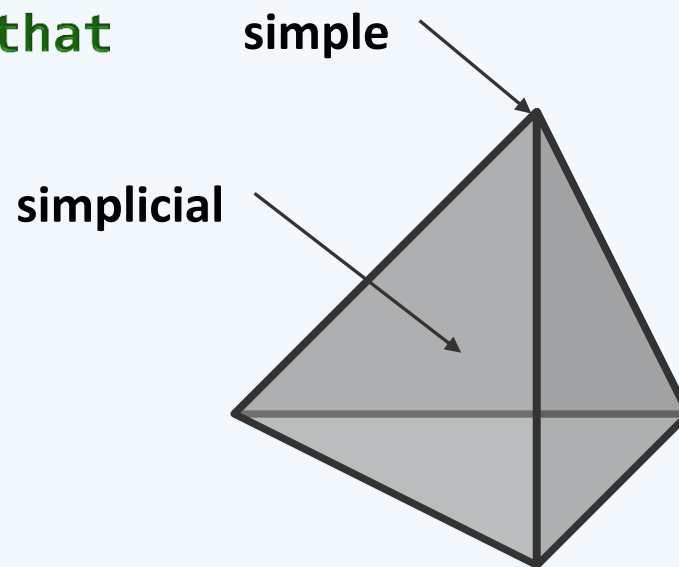
there must be a facet which is not **simplicial**

In other words,

this facet consists of at least **$d + 1$** vertices

which are affinely dependent

// A contradiction



Intersections between Hyperplanes and γ

❖ Claim#1: any hyperplane h intersects the MC γ in at most d points

❖ Every hyperplane h can be expressed by the equation

$$\langle a, x \rangle = b, \quad \text{or} \quad a_1x_1 + a_2x_2 + \dots + a_dx_d = b$$

❖ Each point of γ has the form $(t, t^2, t^3, \dots, t^d)$, and

if it lies in h , we get $a_1t + a_2t^2 + a_3t^3 + \dots + a_dt^d - b = 0$

❖ This means that

t is a root of a nonzero polynomial of degree at most d , and hence

the number of intersections is at most d

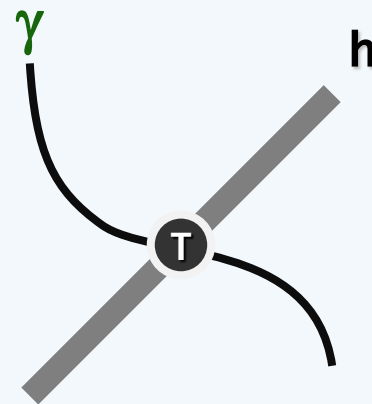
Intersections between Hyperplanes and γ

❖ Claim#2: if there are d intersections, then

h **cannot** be tangent to γ and thus,

at each intersection,

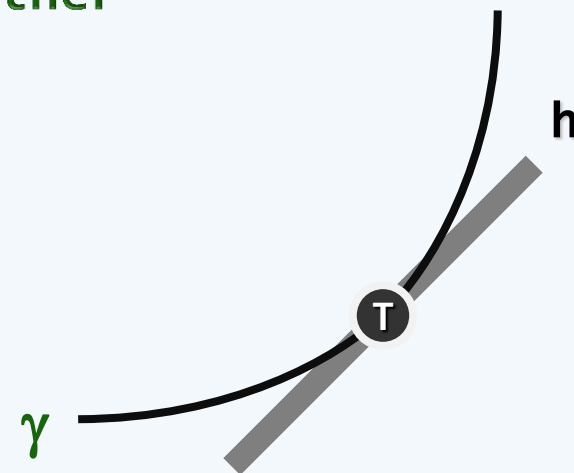
γ **passes** from one side of h to the other



❖ If there are d distinct roots

then they must be all simple, whereas

if h is **tangent** to γ



then the touch point would imply a root of **multiplicity > 2**

d-Subsets of S

❖ How many facets does $CP(S)$ have?

❖ We observe first that

1) each facet of $CP(S)$ is determined by a **d-subset** of S , and

2) different d-subsets determine **distinct hyperplanes**, hence

distinct facets (if they do define facets)

❖ As a set of cardinality n , S has $C(n, d) = O(n^d)$ d-subsets

❖ How many of these d-subsets, then, can define a facet for the $CP(S)$?

Evenness Criterion

- ❖ Denote the 2 halfspaces determined by h as h^+ and h^- resp., where h^+ contains S
- ❖ A d -subset F of S defines a hyperplane $h(F)$ which supports a facet of $CP(S)$ iff $S \setminus F$ (and hence the whole polytope) is a subset of h^+
- ❖ Now we can say that (1) the d points of F split γ into $d + 1$ intervals
- ❖ And since only those intervals in h^+ can contain points of S and each point of F is a crossing point, we know that (2) the $d + 1$ intervals alternate as in h^+ and h^-

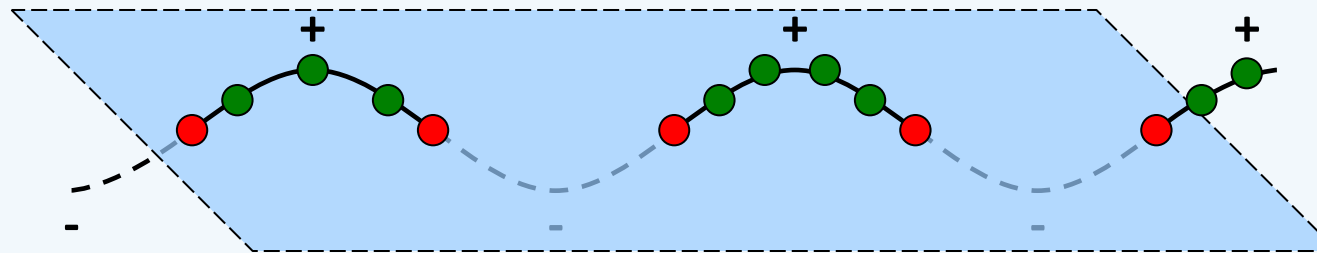
Evenness Criterion

- ❖ These can be summarized as Gale's famous Evenness Criterion
- ❖ [Gale, 1967] B. Grünbaum, *Convex Polytopes*, Interscience, London, 1967

A d -subset F of S defines a hyperplane $h(F)$ which supports a facet of CP iff

between any 2 points in $S \setminus F$,

there are an **even** number of points in F along γ //Note that 0 is even



The hyperplane supporting a facet of CP splits the moment curve into $(d+1)$ intervals, which alternate as in h^+ and h^- . Only those intervals in h^+ can contain points of S

Bead Pairs

❖ In the following,

we split the analysis depending on the parity of d

❖ But before that,

we'd like to mention such a counting fact ...

❖ Given a string of n beads,

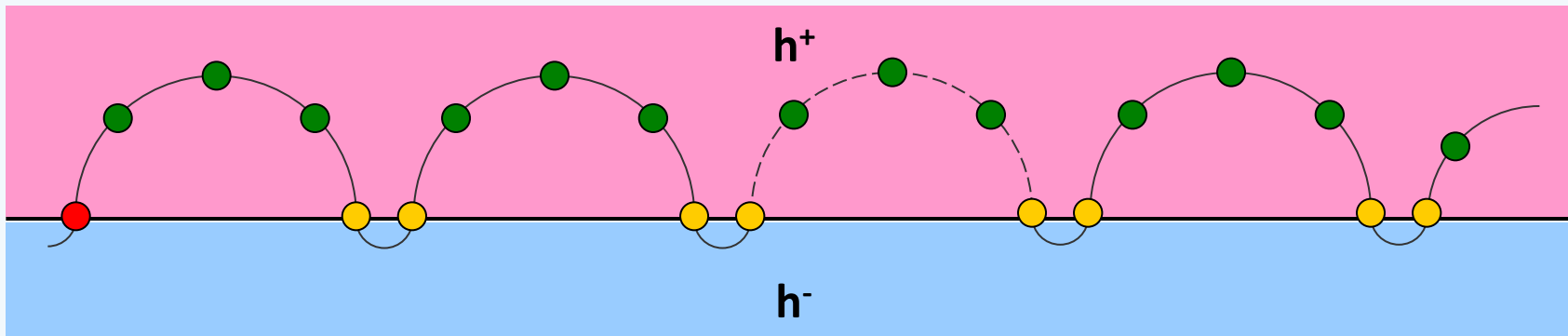
the number of ways to choose k pairs of consecutive beads from the string
is $C(n-k, k)$

Bead Pairs: Odd Cases

❖ When d is **odd**

- 1) there is a single crossing point in the first or last position, and
- 2) all other crossing points appear in pairs

❖ How many valid configurations could be there?

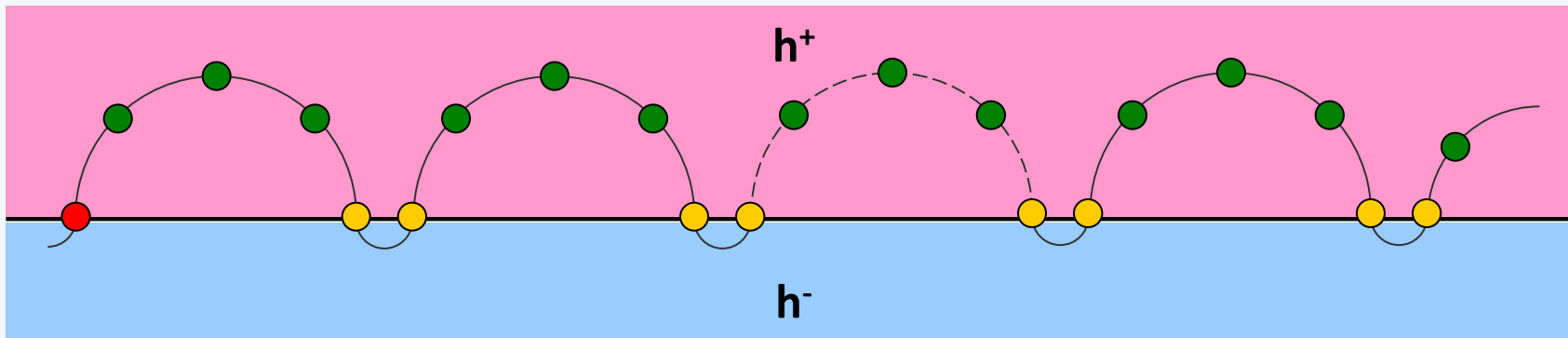


In odd dimensions, except for the first/last one (red), all the other $(d-1)$ crossing points appear in consecutive pairs (yellow)

Bead Pairs: Odd Cases

❖ Once the single crossing point's position is determined, the number is equal to the number of ways to choose $\lfloor d/2 \rfloor$ pairs of consecutive beads from a string of length $d-1$.
 //So as a whole, we have ...

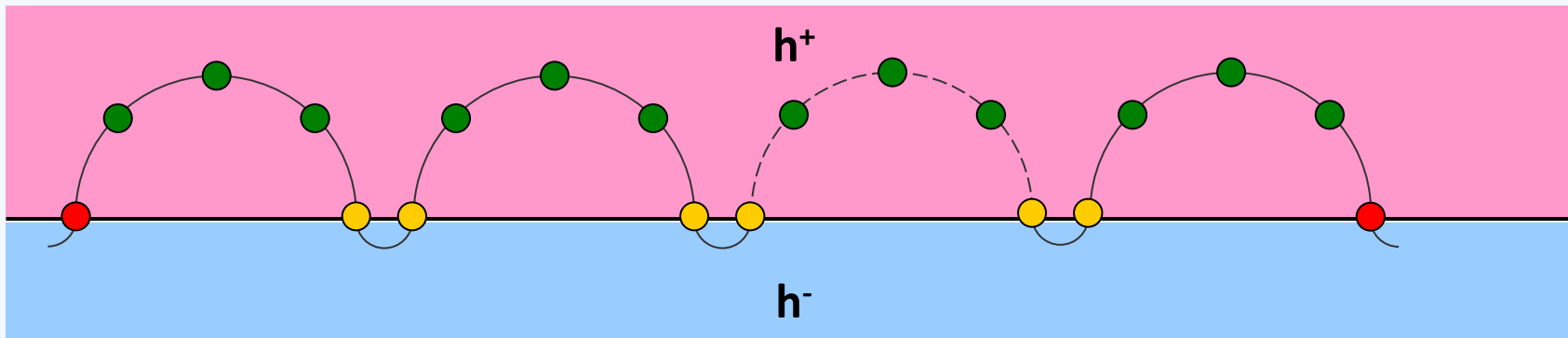
❖ In an odd-dimensional space, there are $\Omega(2 \times C(n - \lfloor d/2 \rfloor - 1, \lfloor d/2 \rfloor))$ d -subsets of S each of which determines a distinct facet of $CP(S)$



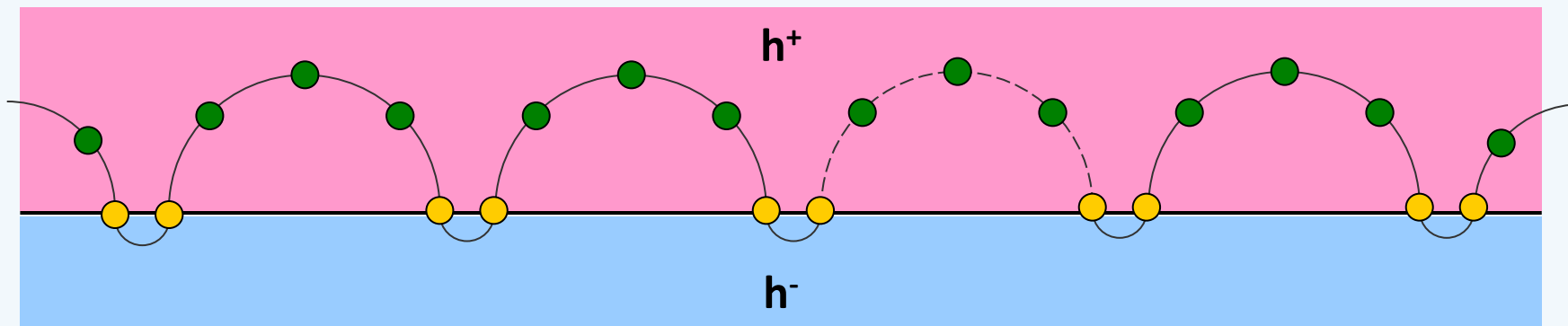
In odd dimensions, except for the first/last one (red), all the other $(d-1)$ crossing points appear in consecutive pairs (yellow)

Bead Pairs: Even Cases

❖ When d is even, there could be two subcases



Subcase (a): except for the first and last one (red), all the other $(d-2)$ crossing points appear in consecutive pairs (yellow)



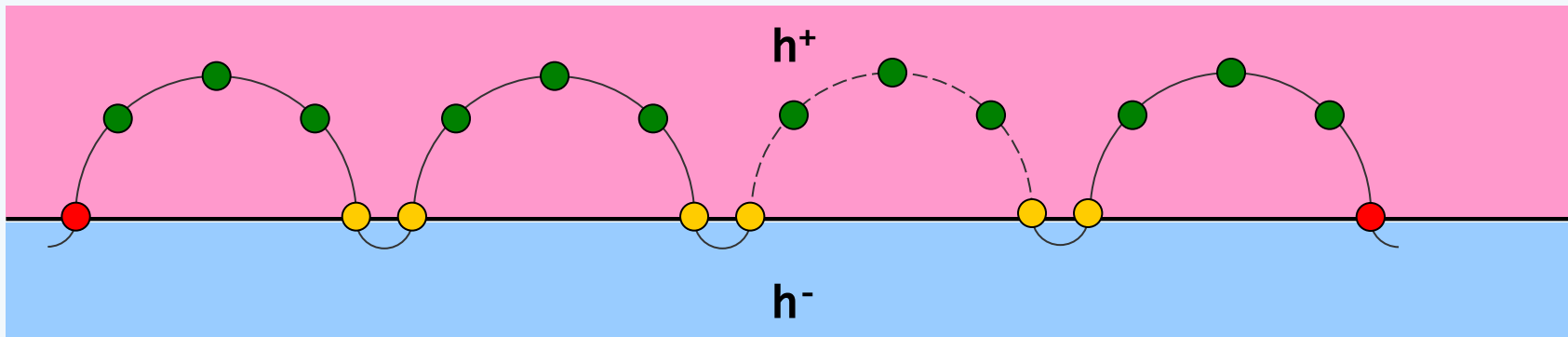
Subcase (b): all the d crossing points appear in consecutive pairs (yellow)

Bead Pairs: Even Cases: Subcase (a)

❖ When both the **first** and **last** crossing points are single

while the other $d - 2$ appear in pairs, the number is

$$c((n - 2) - (\lfloor d/2 \rfloor - 1), \lfloor d/2 \rfloor - 1) = c(n - \lfloor d/2 \rfloor - 1, \lfloor d/2 \rfloor - 1)$$



Bead Pairs: Even Cases: Subcase (b)

- ❖ When all the d crossing points appear in pairs, the number is $C(n - \lfloor d/2 \rfloor, \lfloor d/2 \rfloor)$
- ❖ As a whole, we have ...
- ❖ In an even-dimensional space, there are $\Omega(C(n - \lfloor d/2 \rfloor - 1, \lfloor d/2 \rfloor - 1) + C(n - \lfloor d/2 \rfloor, \lfloor d/2 \rfloor))$ d -subsets of P each of which determines a distinct facet of $CP(S)$

