#### COMP 7990 Principles and Practices of Data Analytics

## Data Security and Privacy

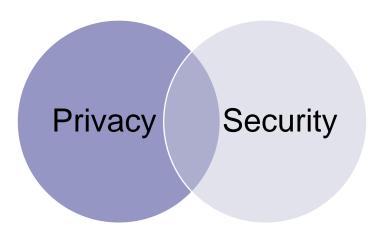
Dr. Kevin Wang

#### Content

- □ Security
  - □ CIA triad
  - ☐ Basics of Security
  - ☐ Case Study: SQL Injection
- Privacy
  - ☐ Legal aspect and compliance
  - ☐ Privacy in different processes of data mining

## Data Security and Privacy

- □ Data Security and Privacy are two very similar but different concepts
- ☐ They are both very important...



#### Data Breach

 A major European airline suffered a GDPR reportable breach. The breach was reportedly caused by payment application security vulnerabilities exploited by attackers, who harvested more than 400,000 customer payment records. The airline was fined 20 million pounds as a result by the privacy regulator.

# British Airways fined £20m over data breach

(3) 16 October 2020





British Airways has been fined £20m (\$26m) by the Information Commissioner's Office (ICO) for a data breach which affected more than 400.000 customers.

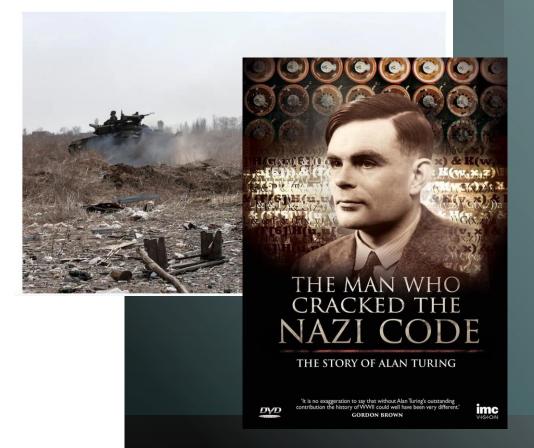
image ref: BBC news

# Communication Hacked

 Information security plays an important role in modern war

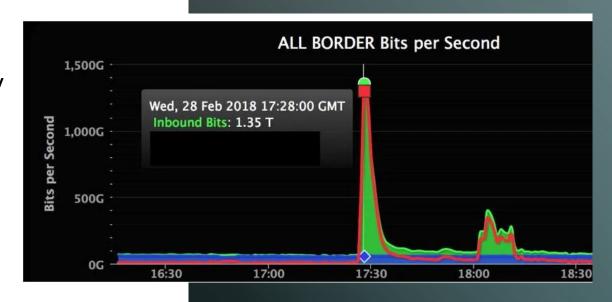
## Russian troops' tendency to talk on unsecured lines is proving costly

By Alex Horton and Shane Harris



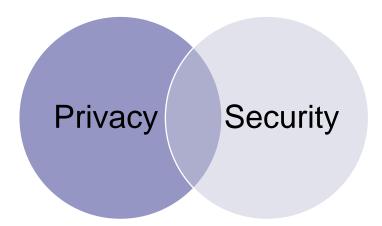
#### Service taken down

- Network attacks may cause web service down and company/organization will not be able to continue their business.
- On Feb. 28, 2018, GitHub, a platform for software developers, was hit with a DDoS attack that clocked in at 1.35 terabits per second and lasted for roughly 20 minutes.



## Data Security and Privacy

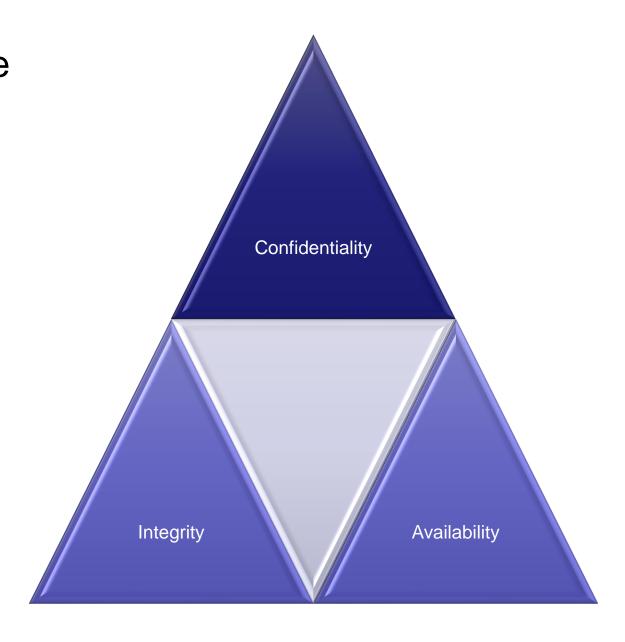
- Data Security
  - An umbrella term for ensuring data's confidentiality, integrity, and availability.
    - Storing company documents
    - Make sure browser connected to a legit website
    - Prevent networks jamming attacks
- □ Data Privacy
  - Addressing the collection, access, usage, storage of (private/public) data of an individual.
  - Examples of invading privacy:
    - Transfer all customer's phone number to a marketing company.
    - Print all IG photos of a classmate and stick them on the classroom wall.
    - · Leak customer's credit card info.





## Security

☐ Security is supported by the three core pillars - known as CIA triad. ☐ To supports these pillars it involves many components: ☐ Cryptography (math) ■ Software security ■ Software testing □ IT auditing System control ■ Network security User educations



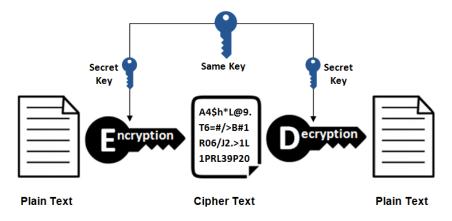
## Security - Confidentiality

- ☐ To ensure only the intent person/entity to read the data.
- ☐ Encryption transform the data into ciphertext with a *key*.
  - ☐ Symmetric key encryption: same key for encryption/decryption
  - ☐ Asymmetric key encryption (aka public key encryption): different key for encryption/decryption

## Confidentiality - Symmetric Encryption

- ☐ Encryption is a process of transforming a plaintext into a ciphertext.
- ☐ Involve a *key*, shared by the sender and the receiver.
- ☐ In principle the size of a key determine the security
- ☐ Assume the key is 30-bits long. It takes 2<sup>30</sup> operations for a computer to *brute force* it.
- $\Box$  If the key is 40-bits long. It takes  $2^{40}$  operations to brute force it.
  - ☐ 10 bits bring 1000 times efforts!
- ☐ A modern symmetric key encryption requires 256-bits key!

**Symmetric Encryption** 

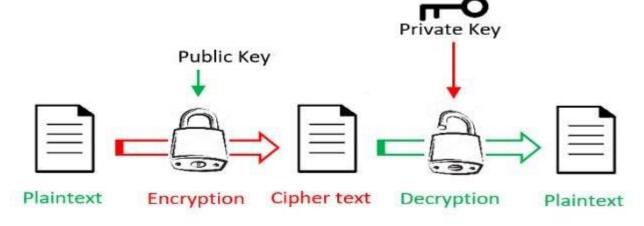


## Confidentiality - Symmetric Encryption

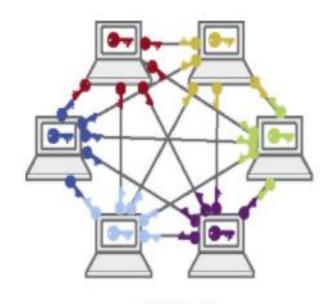
```
more text.txt
like comp7990!
  openssl enc -K 0149ACB49203DF40AE90CC1421CDEA95 -in text.txt -out text.enc -AES-128-ECB
                                                         Symmetric Encryption
                                                                                         more text.enc
                                                                                                EB \mathcal{I}% 2v \& \square \{D6p \square O \square x \} \square K8 \{\square \square \square Y \checkmark 5\}
                                                                Same Kev
                                                                              Secret
                                                                               Key
                                                                A4$h*L@9.
                                                                T6=#/>B#1
                                                                         ecryption
                                                                R06/J2.>1L
                                                                1PRL39P20
                                           Plain Text
                                                               Cipher Text
                                                                                    Plain Text
            openssl enc -d -K 0149ACB49203DF40AE90CC1421CDEA95 -in text.enc -AES-128-ECB
         like comp7990!
```

## Confidentiality - Asymmetric Encryption

- ☐ Encryption is a process of transforming a plaintext into a ciphertext.
- ☐ Involve a public key and a private key
- ☐ A public key is used to encrypt a plaintext to a ciphertext
- But the ciphertext cannot be decrypted using a public key.
  - ☐ It needs a private key to decrypt the message
- □ Anyone can encryption, only the receiver can decrypt (not even the sender!)
- ☐ The length of the private key is recommended to have 256 bits more.



#### Confidentiality - Key management



Symmetric cryptography has an equation of  $\frac{nxn-1}{2}$  for the number of keys needed. In a situtaion with 1000 users, that would mean 499,500 keys.

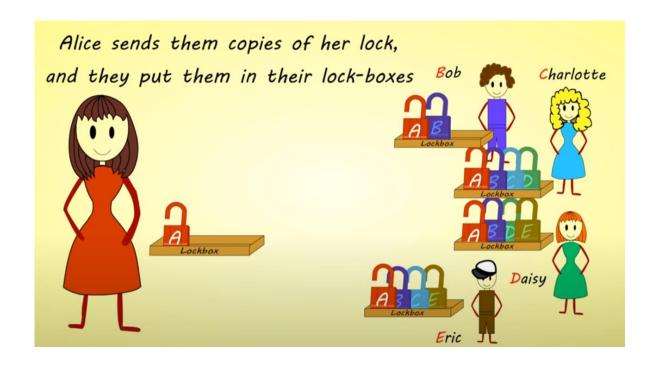


image credit: https://doi.org/10.1016/B978-0-12-818427-1.00011-2.

image credit: https://www.youtube.com/watch?v=E5FEqGYLL0o

## Security - Confidentiality

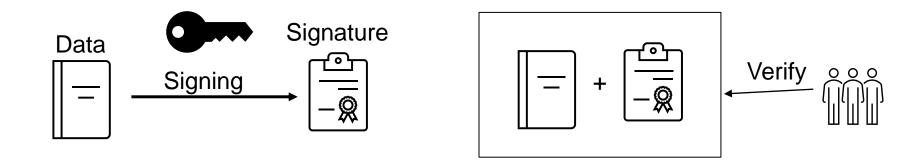
- ☐ To ensure only the intent person/entity to read the data.
- □ Not just about encryption permit a person to access data depends on his/her real identity.

- ☐ Authentication to ensure the identity of a person
  - Password
  - ☐ Biometric FaceID, Fingerprint
  - ☐ Devices phone (SMS, Duo Mobile)



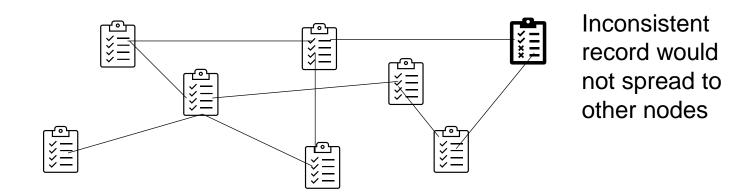
#### Security - Integrity

- ☐ To ensure the data has not been modified, inserted, removed.
- □ Digital Signature only the key holder can produce a valid signature for data.
- The signature can be verified by any people anyone can confirm that
  - 1. The signature is associated with the data; and
  - 2. The signature is produced by the key holder



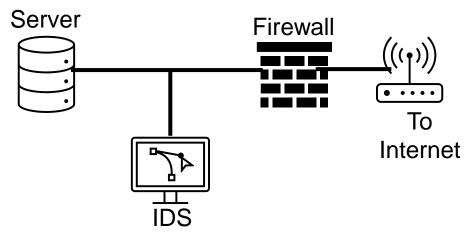
## Security - Integrity

- ☐ To ensure the data has not been modified, inserted, removed.
- □ Blockchain decentralized ledger to store public data. Resistant against single node failure/attack
- □ Nodes in the network share the same set of transactions. If the data on a node is tampered by attackers, the record will be inconsistent and detected.



#### Security - Availability

- ☐ To ensure the availability of data and services.
- ☐ Firewall and Intrusion Detection System (IDS):
  - ☐ Firewall Installed on the border of the network, allows/denies packets that match pre-defined rules.
    - ☐ e.g. deny external traffic visit port 22
  - □ IDS Attached to the network to listen and analysis the traffic, discovers if there is any attack patterns.
    - □ e.g. issue warning if inbound traffic increase 1000 times.



#### Security – Case Study SQL injection

□ SQL injection is a database attack by exploiting the entanglement of instructions and data. Consider the following piece of code:

```
String i = getID();
stmt.executeQuery("SELECT * FROM users WHERE id = " + i);
```

☐ The equivalent SQL would be (assume a user inputs 10):

```
SELECT * FROM users WHERE id = 10
```

☐ If the user inputs 10; DROP TABLE users; for the ID instead:

```
SELECT * FROM users WHERE id = 10; DROP TABLE users;
```

#### Security – Case Study SQL injection

☐ Another example:

```
String n = getName();
stmt.executeQuery("SELECT * FROM users WHERE name = '" + n + "'");
```

☐ The equivalent SQL statement will be

```
SELECT * FROM users WHERE name = 'Mozart'
```

☐ What if the user enters 'or '1'='1, the SQL statement will be

```
SELECT * FROM users WHERE name = '' or '1'='1'

Always true
```

This will dump the entire database!

#### Security – Case Study SQL injection

☐ Another example:

```
String n = getName(); //assume input from users
String p = getPassword(); //assume input from users
stmt.executeQuery("SELECT * FROM users WHERE (name ='" + n
+ "') AND (pwd = '" + p + "')");
```

☐ The equivalent SQL statement will be

```
SELECT * FROM users WHERE (name = 'Mozart') AND (pwd = 'not_choco')
```

☐ User enters 'OR 'a'='a for password, the SQL statement will be

```
SELECT * FROM users WHERE (name = 'Mozart') AND (pwd = '' OR 'a'='a')

Always true
```

Will return data even the password is unknown!

#### Security – Solutions to SQL injection

1. Permanent fix: use *prepareStatement* to avoid SQL injection

```
stmt = conn.prepareStatement("SELECT * FROM users WHERE name=? AND pwd=?")
stmt.setString(1, n)
stmt.setString(2, p)
stmt.executeQuery();
```

- □ The program will be able to separate instruction and data. Data will not be executed.
- 2. When updating program is not possible: use IDS to detect the attack.
- □ Detect if users submit the string containing ' " & | to a specific webpage.



## Privacy Preserving: Who?

- ☐ Government / public agencies
  - The Centers for Disease Control want to identify disease outbreaks
  - Insurance companies have data on disease incidents, seriousness, patient background, etc.
  - But can/should they release this information?
- □ Industry Collaborations / Trade Groups
  - An industry trade group may want to identify best practices to help members
  - But some practices are trade secrets
- Multinational Corporations
  - A company would like to mine its data for globally valid results
  - But national laws may prevent transborder data sharing
- □ Public use of private data
  - Data mining enables research studies of large populations
  - But these populations are reluctant to release personal information

#### Sources of Constraints

- ☐ Regulatory requirements
- ☐ Contractual constraints
  - Posted privacy policy
  - Corporate agreements
- ☐ Secrecy concerns
  - Secrets whose release could jeopardize plans
  - Public Relations "bad press"

## Regulatory Constraint

#### Primarily national laws

- European Union
- US HIPAA rules
- Many others: (<u>www.privacyexchange.org</u>)

#### Example: European Union Data Protection Directives

- ☐ Directive 95/46/EC
  - Passed European Parliament 24 October 1995
  - Goal is to ensure free flow of information
    - Must preserve privacy needs of member states
  - Effective October 1998
- ☐ Effect
  - Provides guidelines for member state legislation
    - Not directly enforceable
  - Forbids sharing data with states that don't protect privacy
    - Non-member state must provide adequate protection,
    - Sharing must be for "allowed use", or
    - Contract Enforcements ensure adequate protection

## EU 95/46/EC: Meeting the Rules

- □ Personal data is any information that can be traced directly or indirectly to a specific person
- ☐ Use allowed if:
  - Unambiguous consent given
  - Required to perform contract with subject
  - Legally required
  - Necessary to protect vital interests of subject
  - In the public interest, or
  - Necessary for legitimate interests of processor and doesn't violate privacy

## EU 95/46/EC: Meeting the Rules

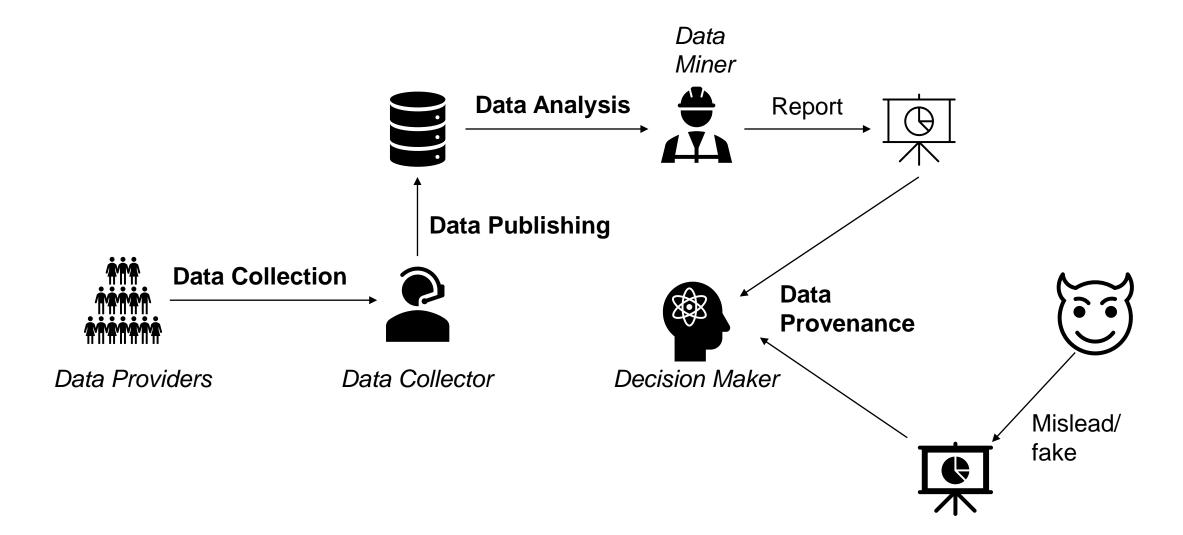
- ☐ Some uses specifically proscribed
  - Can't reveal racial/ethnic origin, political/religious beliefs, trade union membership, health/sex life
- ☐ Must make data available to subject
  - Allowed to object to such use
  - Must give advance notice / right to refuse direct marketing use
- ☐ Limits use for automated decisions (e.g., creditworthiness)
  - Person can opt-out of automated decision making
  - Onus on processor to show use is legitimate and safeguards in place to protect person's interests
  - Logic involved in decisions must be available to affected person

## **Data Mining Process**

#### The 4 type of users in Data Mining process

- Data Provider: the user who owns some data that are desired by the data mining task.
- Data Collector: the user who collects data from data providers and then publish the data to the data miner.
- Data Miner: the user who performs data mining tasks on the data.
- Decision Maker: the user who makes decisions based on the data mining results in order to achieve certain goals

## **Data Mining Process**



#### Privacy concerns in each steps

- □ Data Collection: Data provider controls the sensitivity of the data he provides. Data collector maximizes the quality/accuracy of data.
- □ Data Publishing: Guarantee that the modified data contain no sensitive information but still preserve high utility.
- Data Analysis: extract useful information from data in a privacypreserving manner.
- □ Data Provenance: make sure the mining results are credible.

#### Concerns in Data Collection

- ☐ The major concern of a data provider is whether he can control the sensitivity of the data he provides to others.
- ☐ On one hand, the provider should be able to make his very private data, inaccessible to the data collector.
- ☐ On the other hand, if the provider has to provide some data to the data collector, he wants to hide his sensitive information as much as possible and get enough compensations for the possible loss in privacy.
- ☐ Data Collector wishes to increase the quality of the data collected.

## Approaches to Privacy Protection

- ☐ Limit the Access
- ☐ Trade Privacy for Benefit
- □ Provide False Data
- Indirect Questions

#### Limit the Access

□ Anti-Tracking

When browsing the Internet, a user can utilize an anti-tracking mechanism to block the trackers from collecting the cookies.

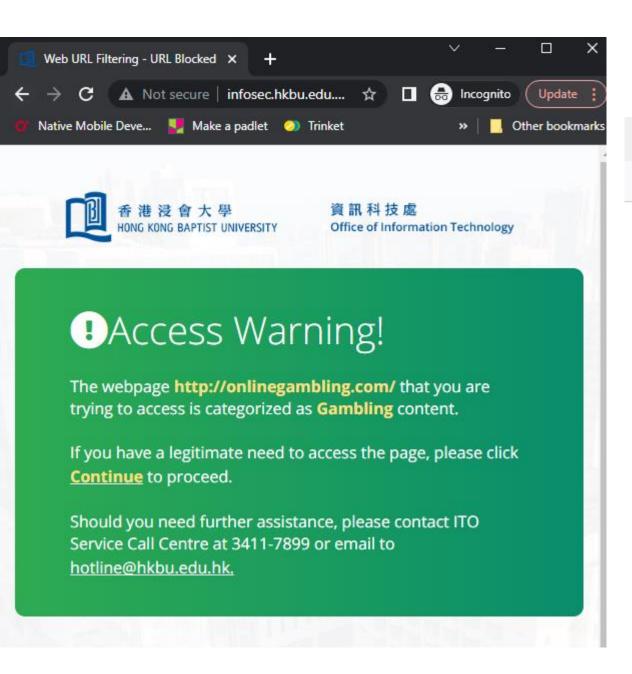
- Privacy Browsing/Incognito mode
- Tor Browser

☐ Advertisement and script blockers

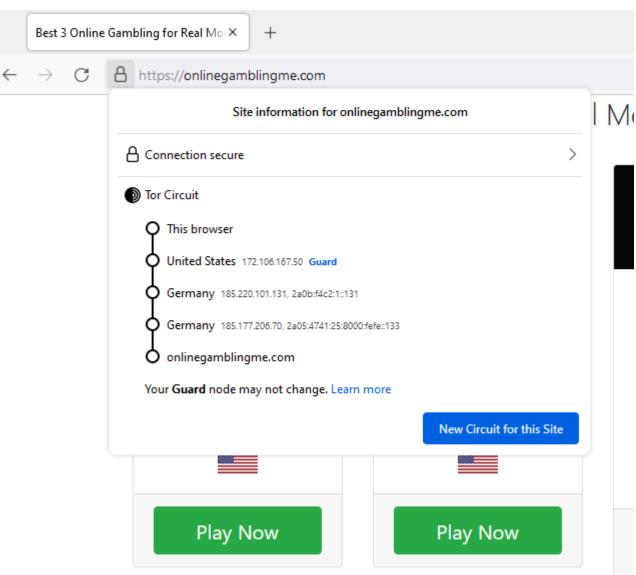
☐ Encryption tools

To make sure a private online communication between two parties cannot be intercepted by third parties, a user can utilize encryption tools, such as *TorChat*.

☐ Some anti-virus and anti-malware tools



Tor browser provides extra privacy -- my network admin does not know where am I going.



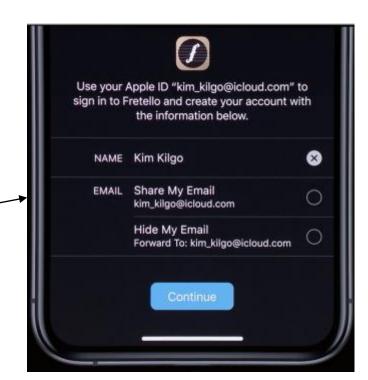
### Trade Privacy for Benefit

- ☐ The data provider maybe willing to hand over some of his private data in exchange for certain benefit. Such as better services or monetary rewards.
  - ☐ e.g. Octopus rewarding scheme
- ☐ The data provider needs to know how to negotiate with the data collector, so that he will get enough compensation for any possible loss in privacy.

#### Provide False Data

Internet users cannot completely stop the unwanted access to their personal information. So instead of trying to limit the access, the data provider can provide false information to those untrustworthy data collectors.

- ☐ Using "sockpuppets" to hide one's true activities
- ☐ Using a fake identity to create phony information.
- ☐ Using security tools to mask one's identity.



https://9to5mac.com/

#### Indirect Questioning – Improve the quality of survey

Data providers may reluctant to answer sensitive questions (morality, health, politics).

- Nonresponse rate increase
- Social desirability bias tends to answering questions in the way that is socially acceptable rather than the truth.

Indirect questioning can improve the data quality by increasing the **perceived privacy** of the respondents.

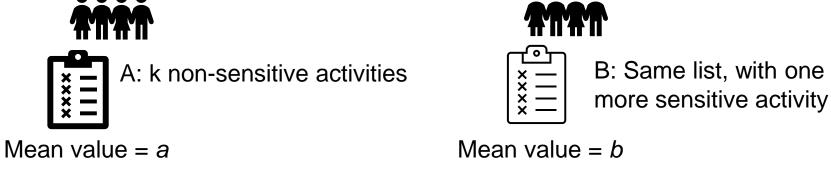
- Unmatched count technique (UCT)
- Network scale-up technique (NST)
- Nonrandomized response technique (NRRT)
- Randomized response technique (RRT)

#### In-class experiments

- We are going to conduct experiments **in class** using these four methods and see if these methods are usable.
- We encourage you to answer it. However, you are still allowed to provide false answer or do not answer to any questions.
- To mimic the real situation, we are going to record your answer with your real identity – don't worry, we would not publish your individual choice without your explicit consent.
  - But we will use those data as a whole for calculation.

#### Indirect Questioning - UCT

Unmatched Count Technique (UCT) divides respondents into two groups A and B. Group A has a list of *k* non-sensitive activity. The list in Group B has one additional sensitive activity. Respondents answer **how many** activities they have been engaged.



Estimate prevalence of the sensitive activity = b - a

UCT estimates higher than direction questioning (15.9 vs 8.7%; 80% higher) in a study of 10<sup>th</sup> grade students about sex with someone of the same gender.

# **Experiment - UCT**



- Instruction:
  - If your student ID is an odd number (ends with 1,3,5,7,9) answer with List A
  - If your student ID is an even number (ends with 2,4,6,8,0) answer with List B

How many of the following items you nave bought in this month (November):

List A	List B
Mobile phone	Mobile phone
Swimming suit	Swimming suit
Wallet	Wallet
*Homework (cheating)*	Bubble Tea (珍珠奶茶)
Bubble Tea (珍珠奶茶)	Shoes
Shoes	

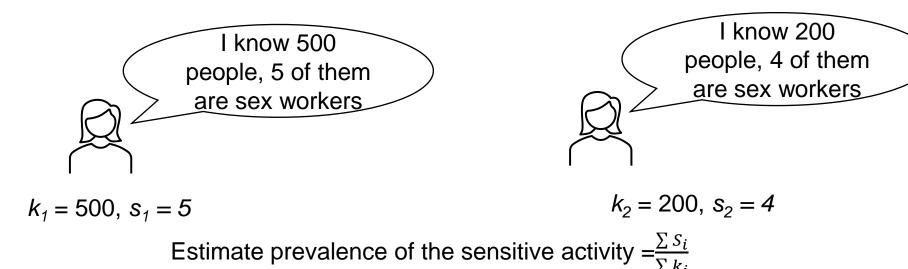
### Result

	List A	List B
n		
0		
1		
2		
3		
4		
5		
6		
Total		
Mean		

- The prevalence is %
- Respond rate: %

#### Indirect Questioning - NST

Network Scale-up Technique (NST) asks the respondent of how many people he/she knows who are engaging in sensitive activity.



NST has been used in studies like deaths in earthquake, drug uses, HIV prevalence, sexial crime against youth and female...

#### Limitations:

- 1. Hard to define you know someone in the way you know their hidden side.
- 2. People's social networks may not represent the population.

# **Experiment NST**

- Q1. How many classmates you know in your undergraduate?
- Q2. How many of them had cheated in their final year?

#### Historical Result

Q1	Q2
Total: 3373	Total: 711

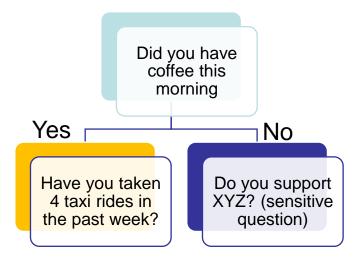
Estimated prevalence:\_21\_%

- 8 students refused to respond.
- 1 students gave wrong answer.

### Indirect Questioning - NRRT

Nonrandomized response technique (NRRT) asks the respondent two set of questions according to a decision tree. The respondent needs to reply the

answer of the second question but not the first one.



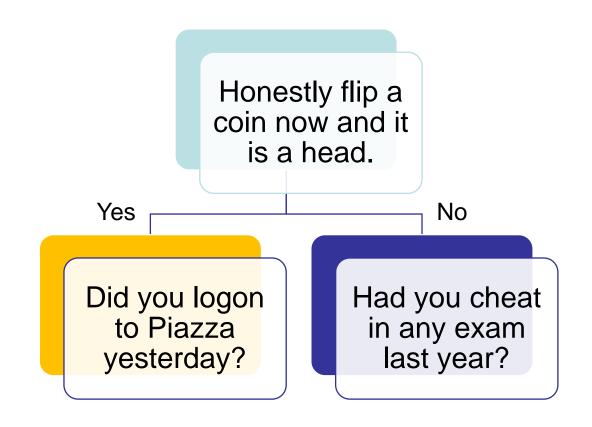
My answer is Yes.

It may mean the respondent have taken 4 taxi rides or support XYZ.

Let c = prob. of drink coffee in the morning, t = prob of. take more than 4 taxi rides, s = prob of support XYZ, P = ratio of answer Yes

$$P = c \cdot t + (1 - c)s$$
$$s = (P - c \cdot t)/(1 - c)$$

# Experiment with NRRT



#### Historical Result

	Probability
С	0.5
t	13.3%
P	14/56 = 25%
S	36.7%

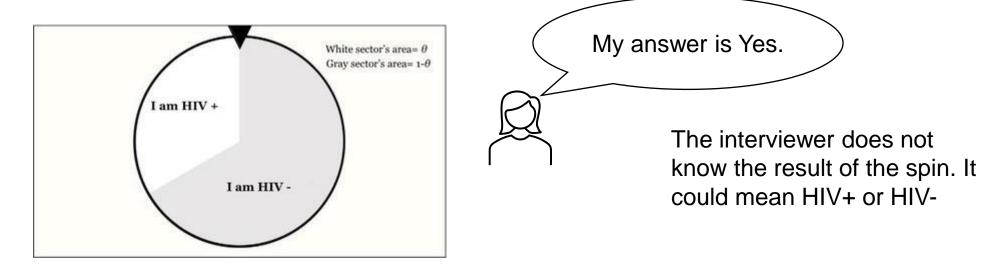
Respond rate 56/58 = 96.55%

Let c = prob. of coin is head, t = prob of. logon to Piazza, s = prob of cheat, P = ratio of answer Yes

$$P = c \cdot t + (1 - c)s$$
$$s = (P - c \cdot t)/(1 - c)$$

#### Indirect Questioning - RRT

Randomized response technique (RRT) utilizes a device to pick the sensitive question that the respondent should answer.



Let P = ratio of answer Yes, s = prob of HIV+

$$P = \theta s + (1 - \theta)(1 - s)$$
$$s = (1 - \theta - P)/(1 - 2\theta)$$

# Experiment

 https://wheeldecide.com/index.php?c1=l+had+sex+with+ my+classmate&c2=l+never+had+sex+with+my+classmat e&time=5&weights=2,1

 Answer the question with Yes or No depends on the result of the spin.

#### Result

n	
Number of Yes	
θ	33.3%
P	%

\* The small portion of the wheel is "I never had sex with my classmate". Plugging the number directly gives s = % which is estimated prevalence of never had sex with their classmate.

Let P = ratio of answer Yes, s = prob of having sex with classmate

$$P = \theta s + (1 - \theta)(1 - s)$$
$$s = (1 - \theta - P)/(1 - 2\theta)$$

#### Discussion

- Name the advantages/disadvantages for each method.
- Tell us which one you feel it is most effective (able to make respondents to answer honestly).

#### Concern in Data Publishing

- Protect the privacy before publishing.
- Make sure that sufficient utility of the data can be retained after the modification, otherwise collecting the data will be a wasted effort.
- The data modification process adopted by data collector, with the goal of preserving privacy and utility simultaneously, is usually called *privacy preserving data publishing* (PPDP).

#### **Basics of PPDP**

PPDP mainly studies anonymization approaches for publishing useful data while preserving privacy. Each record consists of the following 4 types of attributes:

- Explicit Identifier (EI): Attributes that can directly and uniquely identify an individual, such as name, ID number and mobile no.
- □ Quasi-identifier (QI): Attributes that can be linked with external data to re-identify individual records, such as gender, age and zip code.
- Sensitive Data (SD): Attributes that contain confidential information about the record owner, such as disease and salary.
- Non-sensitive Data (NSD): Data that are not sensitive for the given context

#### Example of data sensitivities

**TABLE 1.3**Logical Representation of Customer and Account Tables

Explicit Identifiers Quasi-Identifiers				Sensitive Data					
ID	Name	DOB	Gender	Address	Zip Code	Account Number	Account Type	Account Balance	Credit Limit
1	Ravi	1970	Male	Fourth Street	66001	12345	Savings	10,000	20,000
2	Hari	1975	Male	Queen Street	66011	23456	Checking	5,000	15,000
3	John	1978	Male	Penn Street	66003	45678	Savings	15,000	30,000
4	Amy	1980	Female	Ben Street	66066	76543	Savings	17,000	25,000

### **Privacy and Anonymity**

Privacy can be defined as we have knowledge of a person's identity but not of an associated personal fact.

	1		TO .
HVOIM	310	0	PHILLOCKE
Lixanii	JIE	OI	Privacy

Personal Identity					Sensitive Data				
SSN	Name	DOB	Gender	Address	Zip Code	Account Number	Account Type	Account Balance	Credit Limit
						X	Х	X	X
						X	X	X	X
						X	X	X	X
						X	X	X	X

Note: X, sensitive data are protected.

Anonymity can be defined as we have knowledge of a personal fact, but not of the associated person's identity.

Example of Anonymity

Personal Identity						Sensitive Data			
SSN	Name	DOB	Gender	Address	Zip Code		Account Type	Account Balance	Credit Limit
X	X	X	X	Х	X				
X	X	X	X	X	X				
X	X	X	X	X	X				
X	X	X	X	X	X				

From [4]

Note: X, identity is protected.

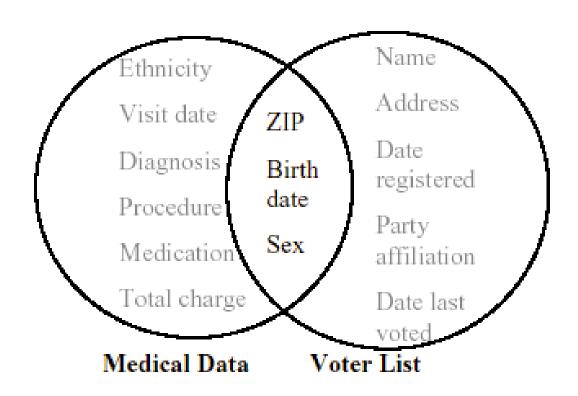
#### Masking El isn't enough – Data Re-Identification

Group Insurance Commission (GIC), who purchases health insurance for state employees, collected patient specific data and share the anonymized data to researchers.

A researcher purchased the voter registration list for Cambridge Massachusetts

69% unique on postal code and birth date

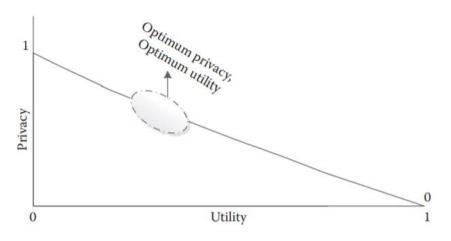
87% unique with all three



#### Balancing Data Privacy and Utility

Privacy preservation should also ensure utility of data. By anonymizing the data, EI are completely masked out, QI is de-identified by applying a *transformation* function, and SD is left in its original form.

QI and SD have a strong correlation in between. If the correlation is lost, the data may not be useful for any purpose.



HIPAA defines 18 attributes (including name, social security number, phone, *admission date*) as personal identifiable information which needs to be completely anonymized in data analysis.

Impossible to analyze the efficacy of the treatment if admission date is anonymized!

#### **Anonymization Operations**

- ☐ Generalization. This operation replaces some values with a parent value in the taxonomy of an attribute.
- Suppression. This operation replaces some values with a special value (e.g. an asterisk '\*'), indicating that the replaced values are not disclosed.
- Anatomization. Publish QI and SD in two separated tables.
- □ Permutation. This operation de-associates the relationship of attribute by partitioning a set of data records into groups and shuffling their sensitive values within each group.
- □ Perturbation. This operation replaces the original data values with some synthetic data values.

#### k-anonymity

- k-anonymity is to modify the values of quasi-identifiers in original data table, so that every tuple in the anonymized table is indistinguishable from at least k-1 other tuples along the quasi-identifiers.
- The anonymized table is called a k-anonymous table.
- If a table satisfies k-anonymity and the adversary only knows the quasiidentifier values of the target individual, then the probability that the target's record being identified by the adversary will not exceed 1/k.

## k-anonymity – original table

Explicit	Explicit Identifier Quasi-identifier S		Sensitive	Data			
						Years of	
Emp ID	Name	Gende	er DOB	Address	Education	Experience	Salary
000101	Alex	M	15-07-1973	Shatin	Doctorate	2	35000
000102	Bob	M	20-11-1975	Tuen Mum	Masters	1	7 28000
000103	Carol	F	12-12-1977	Tai Po	Masters	1	8 26000
000104	Daisy	F	08-07-1974	Mongkok	Doctorate	2	38000
000105	Ernest	M	17-06-1985	Mongkok	Graduate	1	2 10000
000106	Fred	M	05-07-1980	Kowloon Tong	Graduate	1	9000
000107	Gina	F	01-02-1981	Yuen Long	Masters	1	5 18000
000108	Henry	M	03-01-1978	North Point	Masters	1	8 22000
000109	Isaac	M	10-11-1981	Tsing Yi	Graduate	2	0 15000
000110	Joan	F	18-12-1982	Sheung Wan	Doctorate	1	5 32000
000111	Keith	M	22-10-1982	Tin Hau	Masters	1	2 14000
000112	Larry	M	25-11-1979	Shatin	Masters	1	4 16000

#### k-anonymity – 2-Anonymous

Table shuffled

El should be completely masked or transformed before shared Hidden Explicit Identifier Quasi-identifier Sensitive Data Transformed Years of **Address** (Emp ID) **Emp ID** Name **Gender Age** Education **Experience** Salary 5\* 000107 30-40 PG 18000 2\* 000110 30-40 PG 32000 000105 10\* 30-40 UG 10000 000106 4\* 30-40 UG 10 9000 000109 20\* 30-40 UG 15000 000111 8\* 30-40 UG 14000 000104 17\* 40-50 PG 38000 000103 16\* 40-50 PG 26000 000102 11\* 40-50 PG 28000 9\* 000108 40-50 PG 22000 22\* 000101 M 40-50 UG 35000 000112 18\* M 40-50 UG 14 16000 Generalization Suppression

### k-anonymity – 4-Anonymous

Hidden	Explicit Identifier	Quasi-identifier			Sensitive Data		
	Transformed					Years of	
(Emp ID)	Emp ID Name	Gend	der Age	Address	Education	Experience S	Salary
000107	5*	F	Any	*	PG	15	18000
000110	2*	F	Any	*	PG	15	32000
000105	10*	M	30-40	*	UG	12	10000
000106	4*	M	30-40	*	UG	10	9000
000109	20*	M	30-40	*	UG	20	15000
000111	8*	M	30-40	*	UG	12	14000
000104	17*	F	Any	*	PG	20	38000
000103	16*	F	Any	*	PG	18	26000
000102	11*	M	40-50	*	Any	17	28000
000108	9*	M	40-50	*	Any	18	22000
000101	22*	M	40-50	*	Any	20	35000
000112	18*	M	40-50	*	Any	14	16000

#### k-anonymity

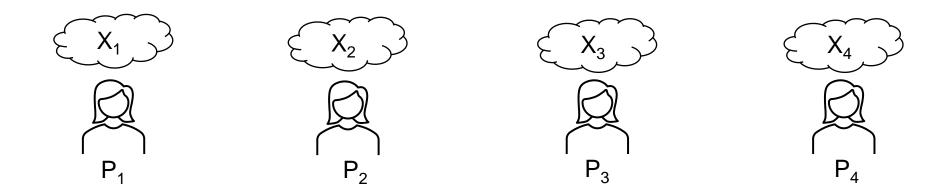
- Record linkage: As most QI attributes are also present in external data sources, the anonymization technique should prevent the linking of a record owner's QI attribute to these external data sources.
- Utility of the transformed data: Nonperturbative techniques such as generalization preserve the truth in the data table
- Protection of outlier records: It is difficult to mask outlier records even when techniques such as additive noise are added.
- The correlation/association between QI and SD are preserved and protected.

#### Concern in Data Analysis

- The primary concern of data miner is how to prevent sensitive information from appearing in the mining results.
- To perform a privacy-preserving data mining, the data miner usually needs to modify the data he got from the data collector.

• Even better, the data miner does not have the access to data but mining through collaboration with other parties (MPC).

#### Secure Multi-party Computation (MPC)



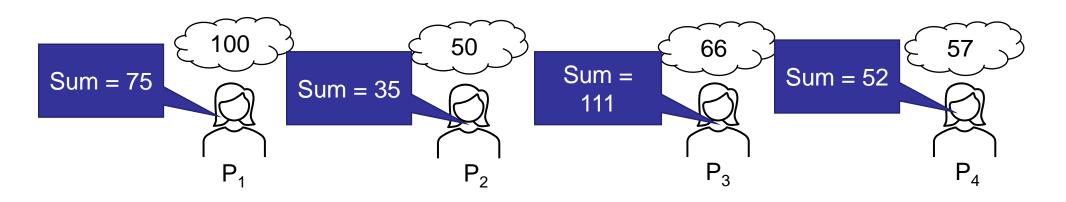
- For a number of participants  $P_1, P_2, ..., P_m$ , each has a private data,  $X_1, X_2, ..., X_m$ . The participants want to compute the value of a public function f on m variables at the point  $X_1, X_2, ..., X_m$ .
- A SMC protocol is called secure, if at the end of the computation, no participant knows anything except his own data and the results of global calculation.

#### Secure Multi-party Computation (MPC)

#### Finding sum:

- Each participant splits its value and sends it to individual participant
- Yield their sum

	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>
$X_1 = 100$	20	30	40	10
$X_2 = 50$	20	-30	30	30
$X_3 = 66$	15	15	21	15
$X_4 = 57$	20	20	20	-3



#### Secure Multi-party Computation (MPC)

MPC can also support functions like counting, finding max/min, solving regression over a larger data set (assume each participant hold a part of the data set).

#### Pros:

 high quality result while data miner has no extra access to data owned by other participants

#### Cons:

- Require collaborations from other participants
- More overheads
- Security rely on the honesty/vigilant of other participants

#### Concern in Data Provenance

- ☐ how to prevent unwanted disclosure of sensitive mining results
- ☐ how to evaluate the credibility of the received mining results

#### Approaches to Privacy Protection

 Legal measures. For example, making a contract with the data miner to forbid the miner from disclosing the mining results to a third party.

 The decision maker can utilize methodologies from data provenance, credibility analysis of web information, or other related research fields.

#### Data Provenance

- If the decision maker does not get the data mining results directly from the data miner, he would want to know how the results are delivered to him and what kind of modification may have been applied to the results, so that he can determine whether the results can be trusted.
- Data provenance refers to the information that helps determine the derivation history of the data, starting from the original source
- With such information, people can better understand the data and judge the credibility of the data.

#### Web Information Credibility

Because of the lack of publishing barriers, the low cost of dissemination, and the lax control of quality, credibility of web information has become a serious issue.

# 5 ways Internet users to differentiate false information from the truth:

- 1. Authority: the real author of false information is usually unclear.
- 2. Accuracy: false information does not contain accurate data
- 3. Objectivity: false information is often prejudicial.
- **4. Currency:** for false information, the data about its source, time and place of its origin is incomplete, out of date, or missing.
- 5. Coverage: false information usually contains no effective links to other information online.

#### Conclusion

- ☐ Security: different measures to ensure data confidentiality, data integrity, and data availability
  - SQL Injection: a threat to database security. Avoided by proper programming practice
- □ Privacy: different measures to ensure personal information (either private or public) is not disclosed
  - Data collections
  - Data publishing
  - Data analysis
  - Data provenance

#### References

- 1. Lei Xu, Chunxiao Jiang, Jian Wang, Jain Yuan and Yong Ren, Information Security in Big Data-Privacy and Data Mining, Access, IEEE, 2014, 2: 1149-1176
- 2. J. Han, M. Kamber, and J. Pei, Data Mining: Concepts and Techniques.San Mateo, CA, USA: Morgan Kaufmann, 2006.
- 3. Evrim Oral, Surveying Sensitive Topics with Indirect Questioning, Statistical Methodologies, 2019.
- 4. Nataraj Venkataramanan, Ashwin Shriram, Data Privacy Principles and Practice, CRC Press, 2017.
- 5. L. Sweeney. k-anonymity: a model for protecting privacy. International Journal on Uncertainty, Fuzziness and Knowledge-based Systems, 10 (5), 2002; 557-570.