

①

Def An NFA is a 4-tuple (fix  $\Sigma$  as the alphabet)  
 $N = (Q, Q_0, \Delta, F)$

$Q$ : set of states,  $Q_0 \subseteq Q$  (start states; not plural)

$\Delta: Q \times \Sigma \rightarrow 2^Q$  ( $2^Q$  is the powerset of  $Q$ )

[  $\Delta \subseteq Q \times \Sigma \times Q$  or  $\forall a \in \Sigma$   $\Delta_a$  is a binary relation on  $Q$  ]

Given  $\Delta$  we can define  $\Delta^*: 2^Q \times \Sigma^* \rightarrow 2^Q$

def  $\Delta^*(A, \epsilon) = A$   $A \subseteq Q, a \in \Sigma, w \in \Sigma^*$   
 $\Delta^*(A, wa) \stackrel{?}{=} \Delta(A, \Delta^*(A, w), a)$   $\leftarrow$  NOT QUITE RIGHT  
 $= \bigcup_{q \in \Delta^*(A, w)} \Delta(q, a)$

FACT (1)  $\Delta^*(A \cup B, w) = \Delta^*(A, w) \cup \Delta^*(B, w)$

(2)  $\Delta^*(A, xy) = \Delta^*(\Delta^*(A, x), y)$

DEF  $L(N) \stackrel{\text{def}}{=} \{w \in \Sigma^* \mid \Delta^*(Q_0, w) \cap F \neq \emptyset\}$

Thm Given an NFA  $N$  there exists a DFA  $M$  such that  $L(M) = L(N)$ .

Proof Let  $M = (S, s_0, \delta, \hat{F})$ ; we will describe it explicitly:  $S = 2^Q$ ,  $s_0 = Q_0$  [Do the types make sense?]  
 $\hat{F} = \{A \subseteq Q \mid A \cap F \neq \emptyset\}$

$\delta(A, a) = \bigcup_{q \in A} \Delta(q, a) = \Delta^*(A, a)$ .

Now we must prove  $L(M) = L(N)$ .

Lemma  $\Delta^*(A, w) = \delta^*(A, w) \quad \forall w \in \Sigma^*$

Proof By induction on  $|w|$

Base  $w = \epsilon$ .  $\Delta^*(A, \epsilon) = A = \delta^*(A, \epsilon)$

Ind. Case Let  $w = xa$  & assume  $\forall A \subseteq Q$   
 $\Delta^*(A, x) = \delta^*(A, x)$

(2)

$$\begin{aligned}
\delta^*(A, xa) &= \delta(\delta^*(A, x), a) && [\text{Def. of } \delta^*] \\
&= \delta(\Delta^*(A, x), a) && [\text{Def. of } \delta^* \text{ Ind. Hyp}] \\
&= \Delta^*(\Delta^*(A, x), a) && [\text{Def of } \delta] \\
&= \Delta^*(A, xa) && [\text{Fact (2)}]
\end{aligned}$$

Lemma is proved.

Completion of the proof of the theorem:

$$\begin{aligned}
L(N) &= \{w \mid \Delta^*(Q_0, w) \cap F \neq \emptyset\} \\
&= \{w \mid \Delta^*(Q_0, w) \in \hat{F}\} && [\text{Def of } \hat{F}] \\
&= \{w \mid \delta^*(Q_0, w) \in \hat{F}\} && \text{by Lemma} \\
&= \{w \mid \delta^*(s_0, w) \in \hat{F}\} && \text{by def. of } s_0 \\
&= L(M). \quad \blacksquare
\end{aligned}$$

NFA with  $\epsilon$ -moves

$$N = (Q, Q_0, \Delta: Q \times (\Sigma \cup \{\epsilon\}) \rightarrow 2^Q, F)$$

Def  $\epsilon$ -closure of  $q \in Q \stackrel{\text{def}}{=}$

$$\{q' \mid \text{there is an } \epsilon\text{-path from } q \text{ to } q'\}.$$

We modify  $\Delta^*$  to  $\hat{\Delta}: 2^Q \times (\Sigma \cup \{\epsilon\}) \rightarrow 2^Q$

$$\hat{\Delta}(A, \epsilon) = \epsilon\text{-closure}(A) = \bigcup_{q \in A} \epsilon\text{-closure}(q).$$

$$\hat{\Delta}(A, xa) = \epsilon\text{-cl}(\Delta(\hat{\Delta}(A, x), a))$$

Define  $N' = (Q, Q_0, \Delta', F')$

$$\Delta'(q, a) = \hat{\Delta}(\{q\}, a)$$

$$F' = \begin{cases} F \cup \{q_0\} & \text{if } \epsilon\text{-closure}(q_0) \cap F \neq \emptyset \\ F & \text{otherwise} \end{cases}$$

Not too hard to see  $L(N) = L(N')$

Therefore DFA, NFA & NFA with  $\epsilon$ -moves all have the same power.

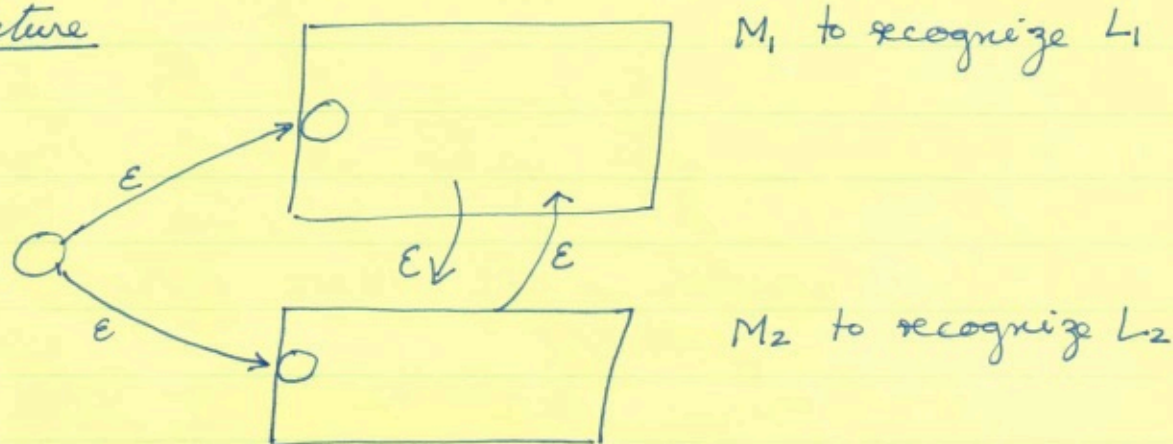


Example Suppose  $L_1, L_2$  are regular languages

$$L_1 \parallel L_2 = \{x_1 y_1 x_2 y_2 \dots x_k y_k \mid x_1 x_2 \dots x_k \in L_1, y_1 y_2 \dots y_k \in L_2\}$$

The shuffle of two languages is also regular  
How do we prove this?

Picture



Use  $\epsilon$ -transitions to go back and forth.

We need to remember where we were.

$$M_1 = (S_1, s_1, \delta_1, F_1) \quad M_2 = (S_2, s_2, \delta_2, F_2)$$

New NFA +  $\epsilon$  m/c  $(Q, q_0, \Delta, F)$

$$Q = (S_1 \times S_2 \times \{1\}) \cup (S_1 \times S_2 \times \{2\}) \cup \{q_0\}$$

$$q_0 = \{q_0\}$$

$$\Delta(q_0, \epsilon) = \{(s_1, s_2, 1), (s_1, s_2, 2)\}$$

$$\Delta((s, s', 1), a) = \{(s, \delta_1(s, a), s', 1)\}$$

$$\Delta((s, s', 2), a) = \{(s, \delta_2(s', a), 2)\}$$

$$\Delta((s, s', 1), \epsilon) = \{(s, s', 2)\}$$

$$\Delta((s, s', 2), \epsilon) = \{(s, s', 1)\}$$

$$F = \{(s, s', 1) \mid s \in F_1, s' \in F_2\} \cup \{(s, s', 2) \mid s \in F_1, s' \in F_2\}$$