

# 신호 최적화 최종발표

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2. 3x3Grid Decentralized Model without Constraints(Depreciated)
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4. Dunsan Decentralized CNN/CNN-reduced Model with Practical Constraints

## ► Upcoming Model

1. Continuous Action Model with Practical Constraints

# 3x3Grid Single-Agent/Decentralized Model without Constraints(Depreciated)

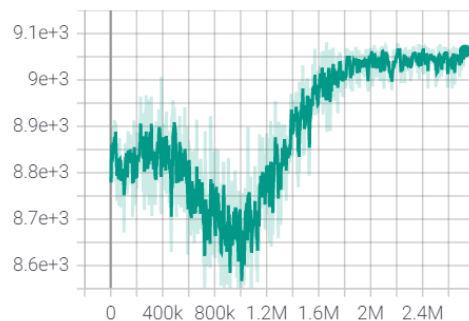
- ▶ Condition (seed fixed)
  1. Generating probability 0.133 (left, right), 0.388 (up, down)
  2. Restricted direction of vehicles(via)/Not restricted direction(no via)
  3. Central agent(n\_1\_1), 9 Agents(decentralized)
- ▶ State
  1. The number of inflow vehicles from each inflow edge(left, straight)
- ▶ Action
  1. Deciding next phase(without an order), every 20s (with all yellow 3 seconds)
- ▶ Reward
  1. Penalty on Pressure (= inflow-outflow)

# Comparison between Simulation & Single Agent

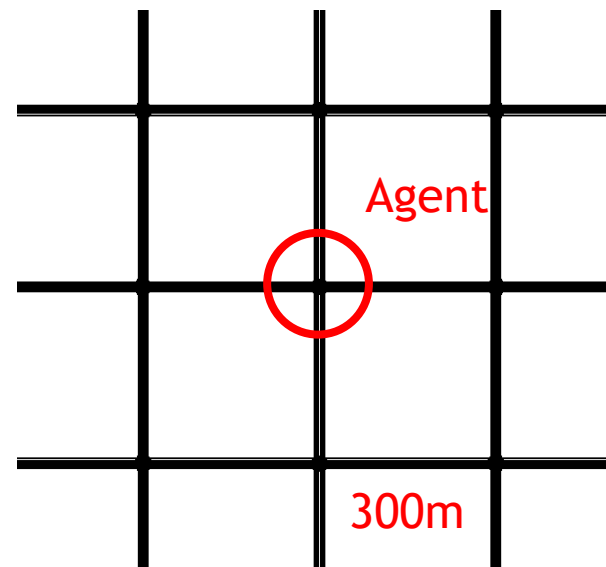
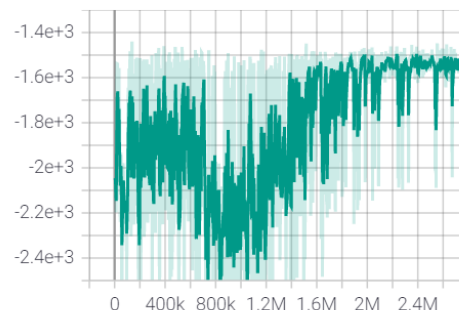
- ▶ Simulation 0.133 (left, right), 0.388 (up, down), phase 42(G), 3(Y)
  1. The number of arrived number: 8295 (no via), 8794 (via)
- ▶ Experiment(Single-Agent Model)
  1. The number of average arrived number: 9060 (no via), 9281 (via)

5~8% Increase

arrived\_num  
tag: episode/arrived\_num



reward  
tag: episode/reward



# Comparison between Simulation & Decentralized Agents

- ▶ Simulation 0.133 (left, right), 0.388 (up, down), phase 20(G), 3(y)

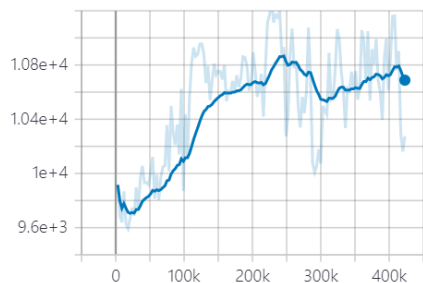
1. The number of arrived number: 9474 (no via), 9765(via)

- ▶ Experiment(Decentralized Agents Model)

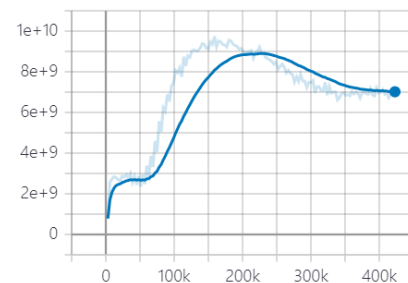
1. The number of avg arrived number: 10600(no via, blue), 10800 (via, orange)

10~11% Increase

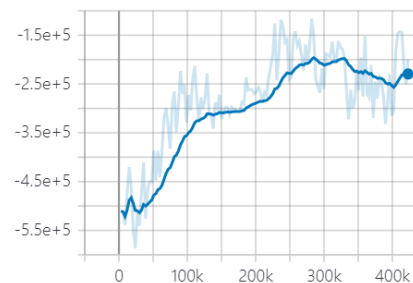
arrived\_num  
tag: episode/arrived\_num



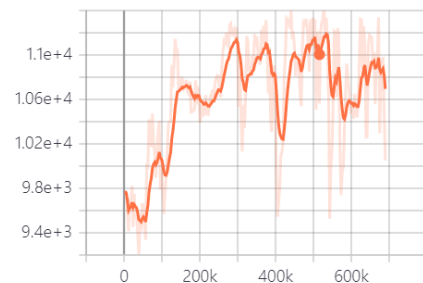
loss  
tag: episode/loss



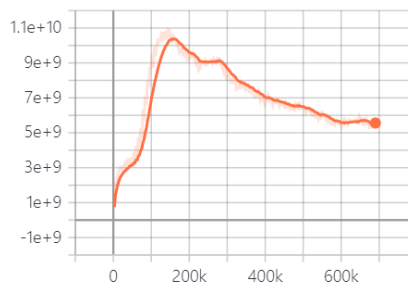
reward  
tag: episode/reward



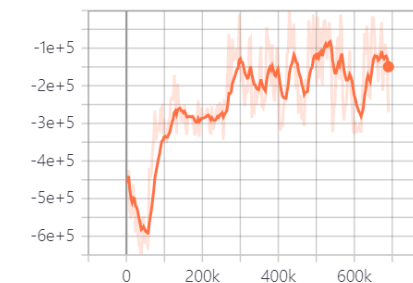
arrived\_num  
tag: episode/arrived\_num



loss  
tag: episode/loss



reward  
tag: episode/reward



# 3x3Grid/Dunsan Decentralized Model with Practical Constraints

- ▶ Condition(seed fixed)
  1. Random routing vehicle generation (period 0.8), scale 1.1 (by randomTrips.py)
  2. 9 Decentralized Agents(No offsets, Update Asynchronously)
  3. 4 Phases(Vertical/Horizontal straight and left) 37s each, followed by all yellow 3s
  4. Model applied min/max duration(28s,49s) and phase period(160s)
- ▶ State
  1. The number of inflow vehicles from each inflow edge(left, straight)
  2. Update phase demand(# of vehicles inflow) from end of phase period

# 3x3Grid/Dunsan Decentralized Model with Practical Constraints

- ▶ Condition(seed fixed)
  1. **Real Demand(0-3[scaled 2times],7-10am[scaled 0.7 times])**
  2. 9 Decentralized Agents(Update asynchronously by offsets)
  3. **Using Each designated phase rule in xml file**
  4. **Model learned from only first 3600 steps**
- ▶ State
  1. The number of inflow vehicles from each edge(left, straight)
  2. Update demand(# of vehicles inflow) from end of phase period

Turn off the Signal



# 3x3Grid/Dunsan Decentralized Model with Practical Constraints

## ► Agent

1. Decentralized DQN, Soft Target Update(Tau: 0.001)
2. Common phase-based ratio discrete distribution
3. 2 Actions/agent = time action, rate action
4. Action Space

- 1) Time action space:  $\max(\min_i(\text{phaseMax}_i - \text{common}_i, \text{common}_i - \text{phaseMin}_i))$
- 2) Rate action space: 17, distributing time to each phase ex) [0,1,0,-1],[0,0,0,0],[1,1,-1,-1]

## ► Reward

1. Reward= -Pressure = (inflow HaltingNumber – outflow VehicleNumber)
2. If action is beyond the threshold(min/max duration), give penalties
3. Update end of each phase

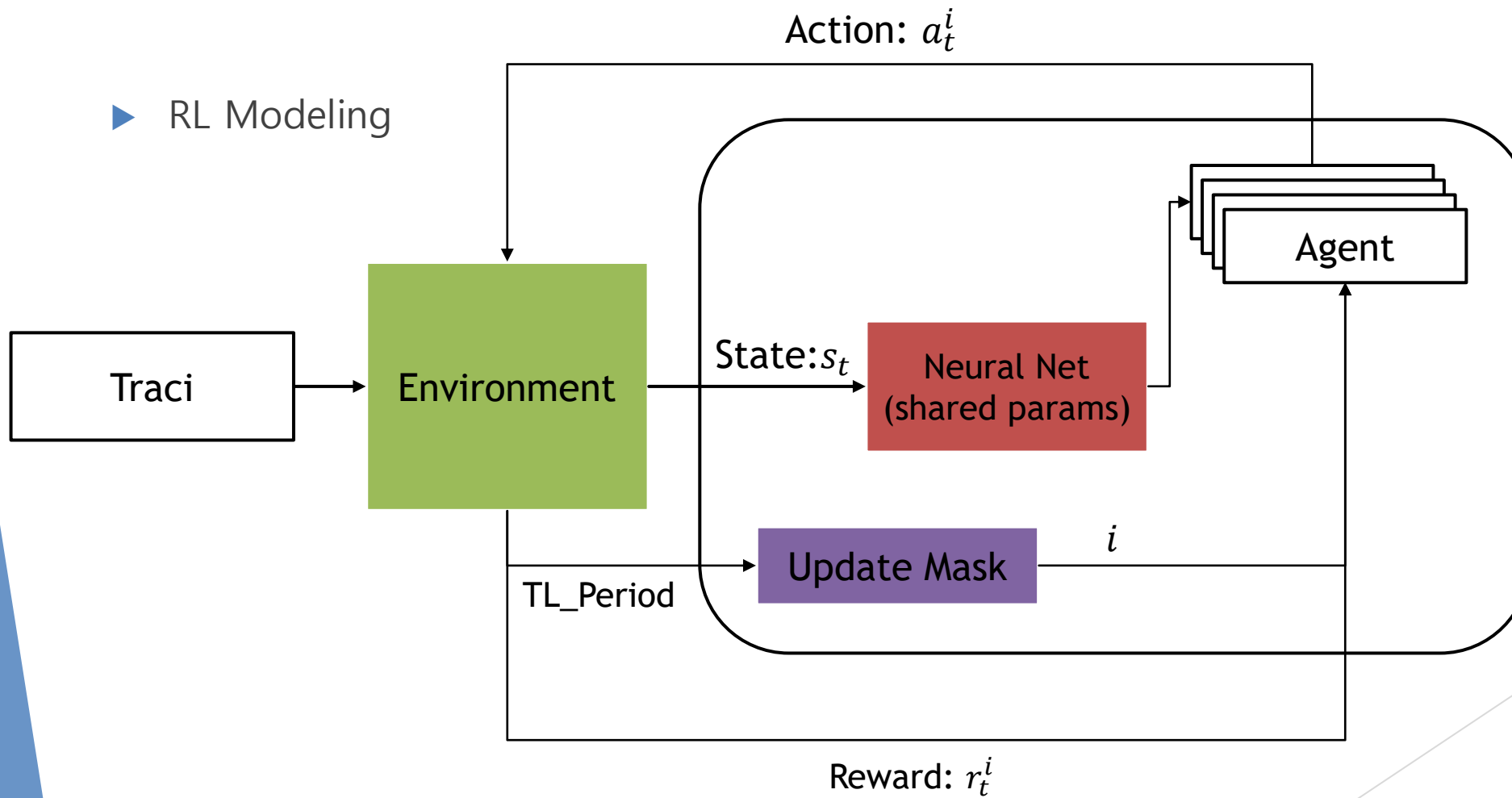
Dunsan Rate Action

# of phase	Action space
2	2
3	$3C2*2+1$
4	$4C2*2+4C4*4+1$
5	$5C2*2+5C4*4+1$



# Decentralized Model with Practical Constraints

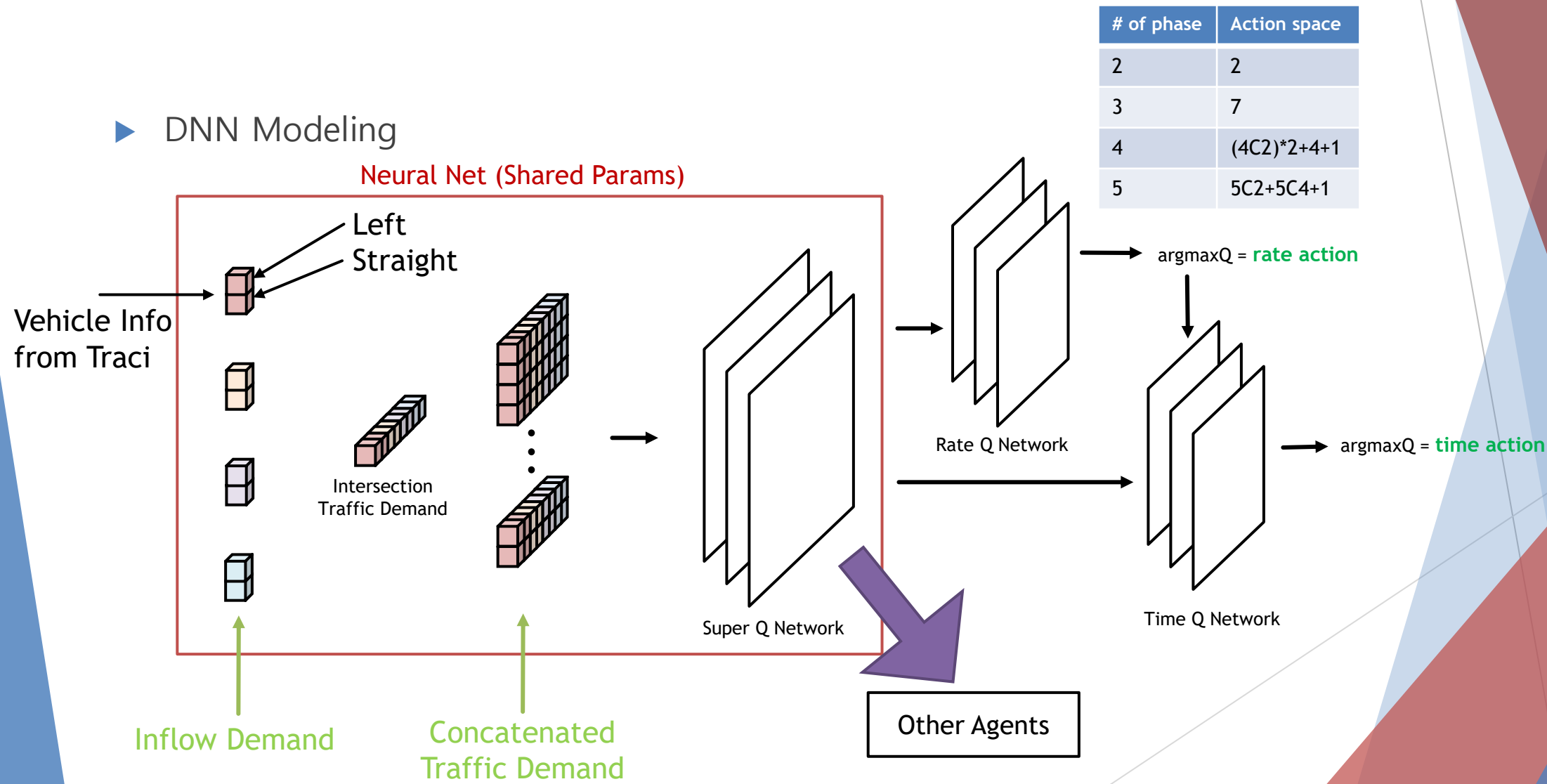
## ► RL Modeling



# Decentralized Model with Practical Constraints

► DNN Modeling

Neural Net (Shared Params)



# Decentralized Model with Practical Constraints

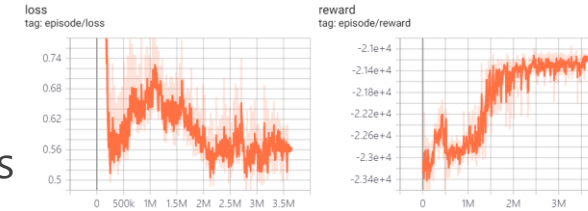
## ► 3x3 grid

1. 9 Agents
2. Random Routing Generation  
→ 1 period, 1.5 scaled

Avg Value	Simulation	Decentralized
Travel Time (per edge)	61.0s	Not converged
Velocity	3.43m/s	-
Waiting Time (whole trip)	40.2s/veh	-

## ► Dunsan

1. 11 Agents
2. Real Demand based training  
→ 0-3am, 2.0 scaled



Avg Value	Simulation	Decentralized
Travel Time (per edge)	13.82s	14.52
Velocity	5.93m/s	5.769m/s
Waiting Time (whole trip)	495.5s/veh	534.1s/veh
Arrived Num	10951	10863

# Dunsan Decentralized CNN/CNN-reduced Model with Practical Constraints

## ► CNN based Decentralized Model

### 1. CNN: Convolution Neural Network

- 1) Feature extraction in selected region → Apply to intersection information
- 2) Advantage: less parameters than Fully Connected Network → Lightweighting

### 2. CNN based Model (Feature Extraction) 1000epochs

- 1) Current Channel: 8 (zero-padded demand of vehicles from inflow edge)
- 2) After CNN, the number of channel: 16
- 3) Learning Time: 8h 11m(0-3am), 30h(7-10am)

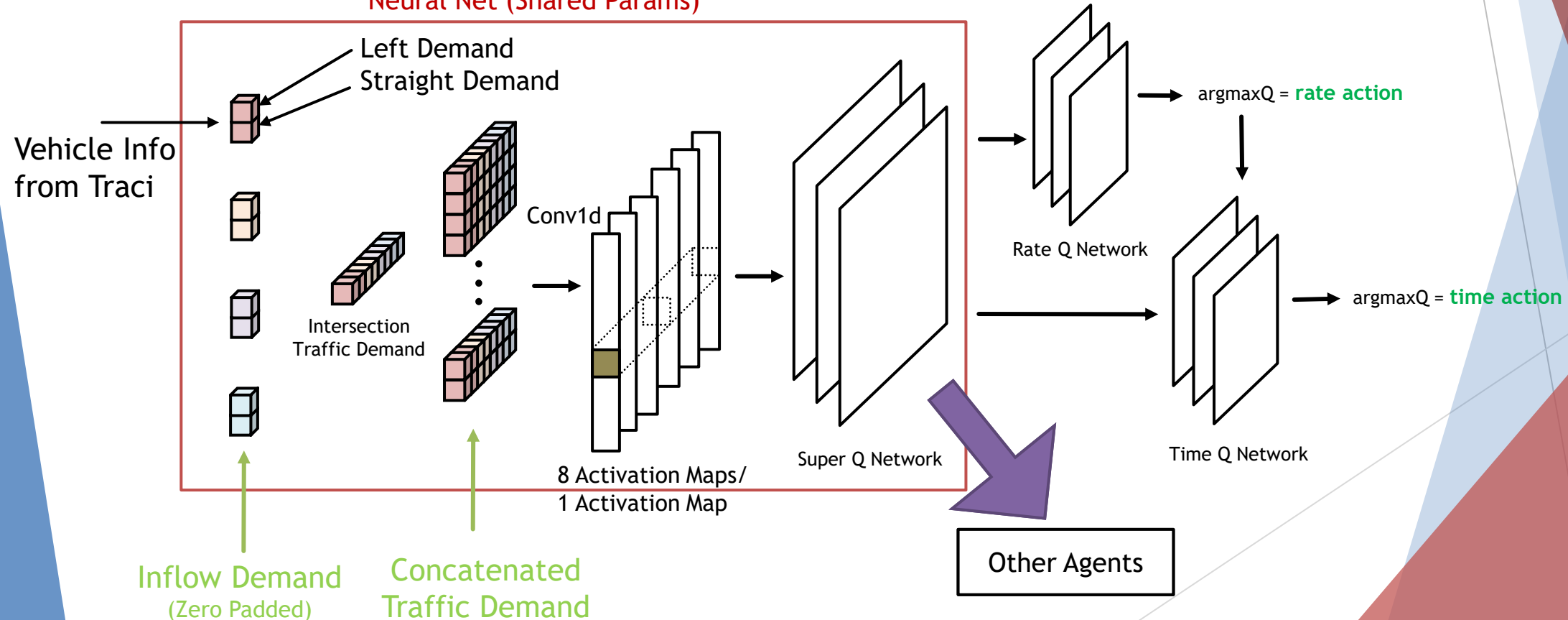
### 3. CNN based Lightweight Model

- 1) By using Conv1d, dimensionality reduced to 1 channel with feature
- 2) Learning Time: 6h 10m, 26h(7-10am)

# Dunsan Decentralized CNN/CNN-reduced Model with Practical Constraints

## ► CNN based model(Single Agent based Model)

Neural Net (Shared Params)



# Analysis of Decentralized CNN-reduced Model

## ► CNN Model(0to3am)

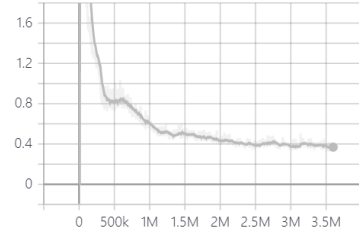
1. 8 feature → Checking demand change

Avg Value(interest edges)	Simulation	Decentralized-CNN	Decentralized-CNN-reduced
Travel Time (per edge)	33.8s	47.5s	42.4s
Avg Velocity(edge veh)	5.99m/s	5.52m/s	5.82m/s
Waiting Time (during whole trip)	165.1s/edg	210s/edg	175.5s/edg
Arrived Num (all edge)	11167	11022 (02-19_09-29-17)	11114 (02-23_09-36-26)

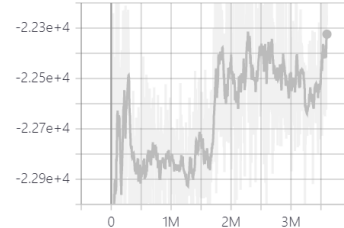
## ► CNN-reduced Model(0to3am)

1. 1 feature → Current traffic info

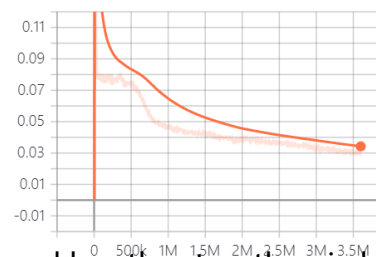
loss  
tag: episode/loss



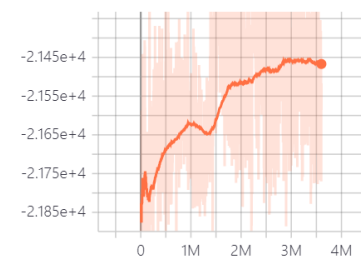
reward  
tag: episode/reward



loss  
tag: episode/loss



reward  
tag: episode/reward



Travel Time: mean value of getTraveltime for all steps that are filtered less than two tl periods  
Avg Velocity: average velocity from edge that defined to control by model

# Analysis of Decentralized CNN-reduced Model

## ► Avoiding Overfitting(Validation)

1. Add Dropout Layer between fully connected layer in super Q Network
2. Apply peak demand(7-10am) to the model learned from free demand(0-3am)

Avg Value	Simulation	Before adding dropout	After adding dropout
Travel Time (per edge)	43.546s	54.716s	44.5s
Velocity(edge veh)	5.413m/s	5.187m/s	5.46m/s
Waiting Time (count all veh in edg)	208.95s/edg	287.42s/edg	219.54s/edg
Arrived Num	12111	11873	12175(02-24_15-20-23)

## ► Conclusion: For wide coverage, strengthen performance by dropout layer

# Analysis of Decentralized CNN-reduced Model

- ▶ Practical Constraints without minimum of duration condition
  1. Removing penalty in action that is less/more than min/maximum length of duration
  2. Purpose: Verifying whether regulations lead traffic jam or not
  3. Learning process and Reward comparison

	Learning with Constraint	Learning without Constraint
Learning Time	6h 14m	5h 56m
Avg converge reward	9.2e-3(02-25_08-50-45,not converge yet)	-8.8e-3(02-24_15-20-23)
Avg velocity(sim in 7-10)	5.40m/s	5.46m/s

- ▶ Conclusion:
  - 1) Current signal is incomplete (scope to improve more)
  - 2) Hard to learn model with constraint
  - 3) Arbitrary Penalty -> Not converge



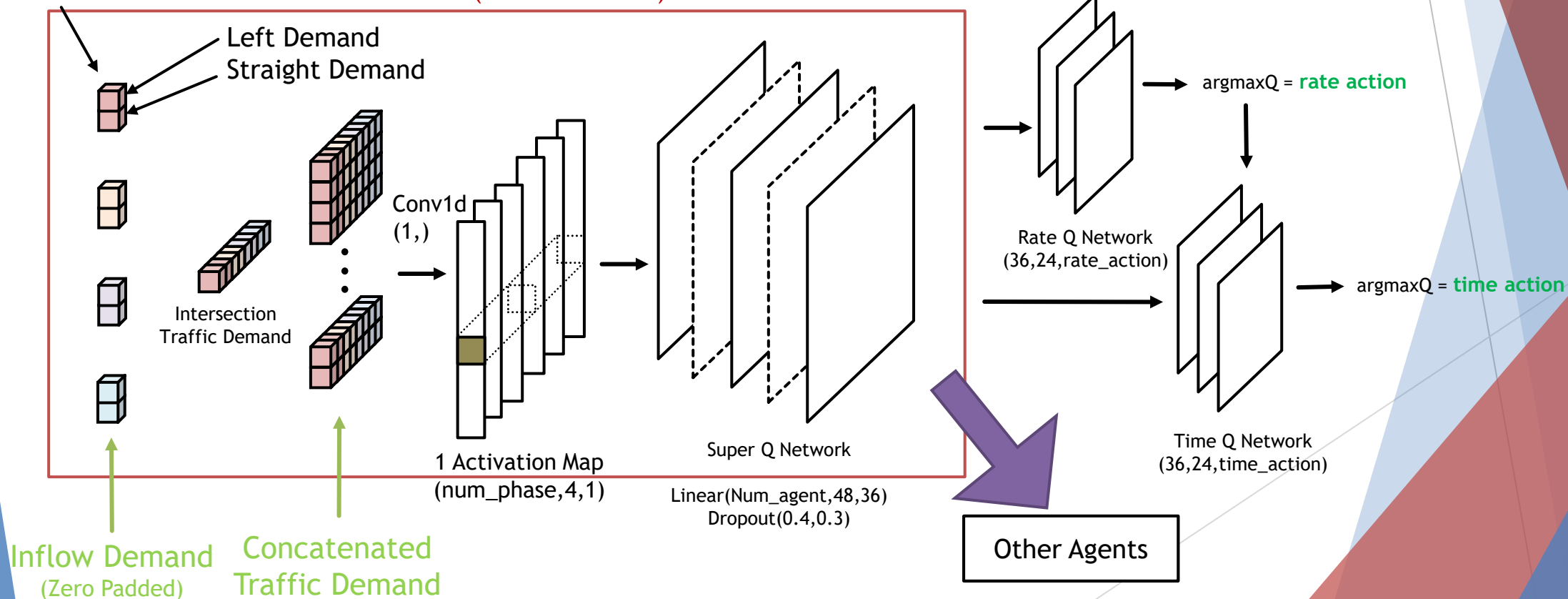
# Final Model

## ► Decentralized CNN-reduced Deep Reinforcement Learning model

1. Hyperparameters: tau 0.001, gamma 0.99, lr 1e-4, eps 0.8, both decay\_rate 0.99, batch 64

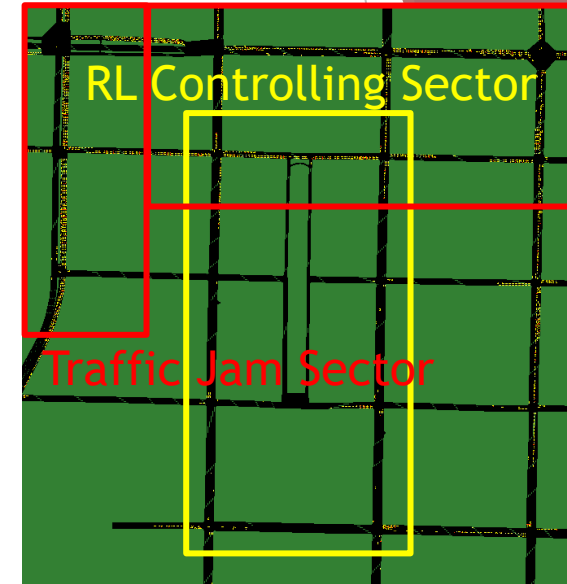
Vehicle Info  
from Traci

Neural Net (Shared Params)



# Reason for failing experiment

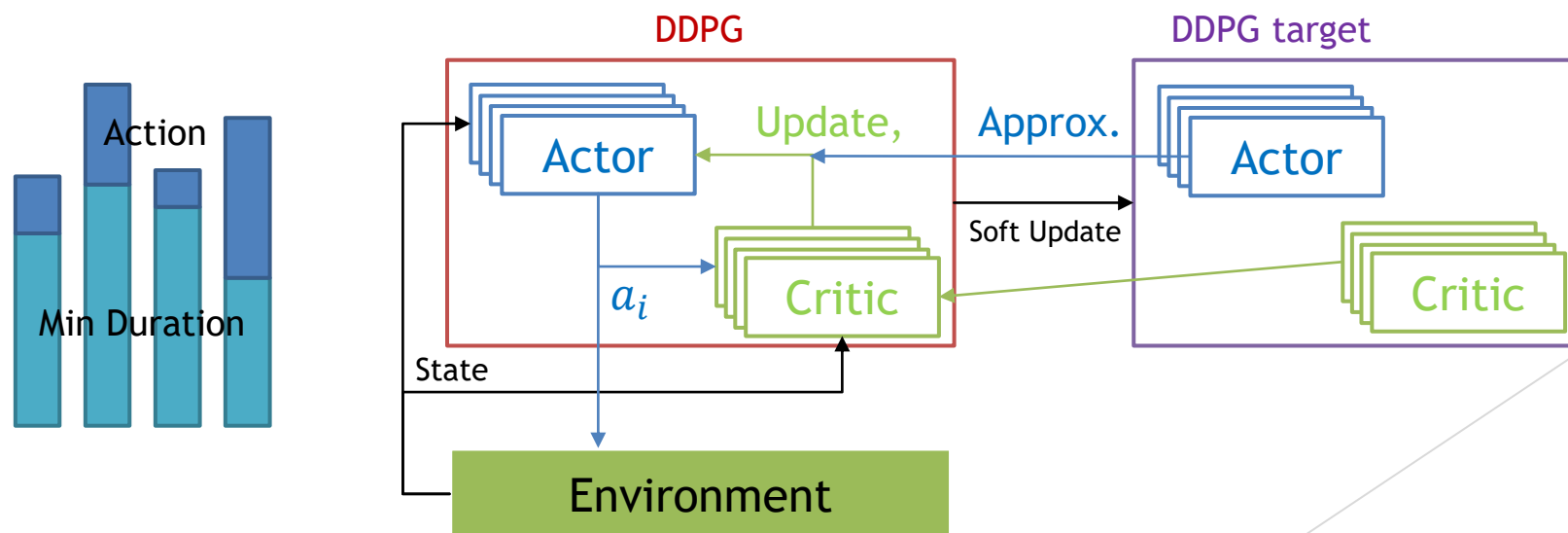
- ▶ Generated Actual data biased for fixed time signal control
- ▶ Congested road conditions not being reflected by scaled data
  1. Using 7-10am demand instead of 0-3am
  2. Trade off between learning time(x3 in 7-10) and performance
- ▶ Hard to improve the performance due to fixed offset value
- ▶ Due to lack of other traffic light control, lead RL traffic lights lower performance
- ▶ No convergence due to arbitrary penalty in case of exceeding threshold(min/max duration)
- ▶ In SUMO, road deletions due to absence of pocket(left turn) lead unintended traffic jam



# Continuous Action Model with Practical Constraints

## ► DDPG based Model

1. Current action space: Time, Rate  $\rightarrow$  Phase length (for each phase)
2. Splitting rest of time (Total TL period – sum of min durations for each phase)
3. Phase distribution by the results of  $\text{softmax}(a_i)$ 
  - 1) Sum of results by softmax function = 1  $\rightarrow$  Easy to distribute length of phase



Thank you