



IMAGE COMPRESSION

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Why image compression?

- Digital image acquiring devices develop fast → Image data become huge.
- However: storage and transmission systems are still limited.

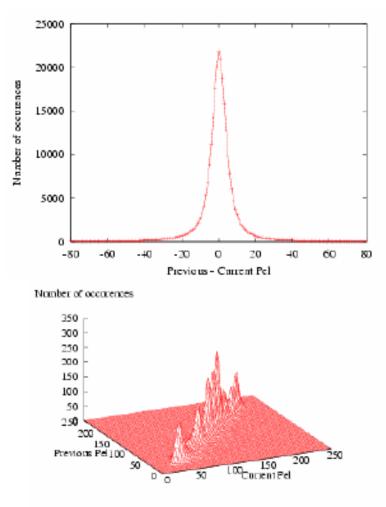
➤ We need methods and algorithms to reduce the size of sound and image to efficiently store and transmit over network.



Spatial dependency

- Neighboring pixels are high corellated.
- The value of a pixel is probability depending on those of its neighbors.







Predictive and lossless Encoding

- Entropy coding
 - Based on statistical properties of the information.
 - The original information and decoded information are exactly the same.
- Predictive coding
 - Based on the spatial and temporal dependency.
 - The decoded data may different from the original data.

4



- Information measure
 - A symbol x with probability p contains information measured by:

$$I(x) = -\log(p(x))$$

- Information measure does not depend on the value of symbol.
- Information measure depends on the probability of symbol.
- When the base of log function is 2, the unit of information measure is bit.



- Information Entropy
 - Entropy is defined as the average information measure of all symbols in a source. Entropy, H, is defined as follows:

$$H(X) = \sum_{x \in \chi} I(x) = \sum_{x \in \chi} -p(x) \cdot \log(p(x))$$

 It means that entropy of an information source is a function of its symbol probabilities. Entropy is maximum when all symbols appear with the same probability.



• For example, an information source $\chi = \{0,1\}$, p(0)=1/3, p(1)=1p(0)=2/3

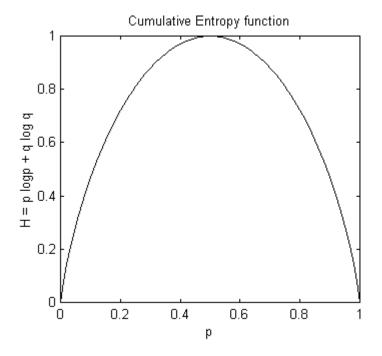
$$H(X) = -p(0)\log(p(0) - p(1)\log(p(1))$$

$$= -\frac{1}{3}\log(\frac{1}{3}) - \frac{2}{3}\log(\frac{2}{3})$$

$$= 0.646$$



- Entropy of source with 2 symbols
 - A convex function of p
 - When p comes to 0 or 1, source χ carries smaller information.
 - Maximum at p=0.5





Calculate H(X):

$$X = \begin{cases} a & p(a) = 1/2 \\ b & p(b) = 1/4 \\ c & p(c) = 1/8 \\ d & p(d) = 1/8 \end{cases}$$



Entropy – Binary image

$$P_{0} = \frac{63}{64}$$

$$P_{1} = \frac{1}{64}$$

$$P_{1} = \frac{32}{64} = \frac{1}{2}$$

$$P_{1} = \frac{32}{64} = \frac{1}{2}$$

$$P_{1} = \frac{32}{64} = \frac{1}{2}$$

$$H = -\frac{63}{64} \log_{2} \frac{63}{64} - \frac{1}{64} \log_{2} \frac{1}{64}$$

$$= 0.116 \text{ bits/pixel}$$

$$P_{1} = \frac{32}{64} = \frac{1}{2}$$

$$H = -\frac{1}{2} \log_{2} \frac{1}{2} - \frac{1}{2} \log_{2} \frac{1}{2}$$

$$= 1.0 \text{ bits/pixel}$$

$$P_{1} = \frac{32}{64} = \frac{1}{2}$$

$$P_{1} = \frac{32}{64} = \frac{1}{2}$$

$$H = -\frac{1}{2}\log_{2}\frac{1}{2} - \frac{1}{2}\log_{2}\frac{1}{2}$$

$$= 1.0 \text{ bits/pixel}$$

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Data compression

• Symbols with high probability are assigned with short codes, wheres symbols with low probability are assigned with longer codes.

 The length of coded data is shortened because the average length is reduced.



Data compression theory

- Code C for a source X is a mapping from χ (space of X), to D* where D* is a set of code sequence. For example:
 - C(Red)=00, C(Blue)=11 is code of χ ={Red,Blue) and D={0,1}

The average length of C:

$$L(C) = \sum_{x \in \chi} p(x)l(x)$$



Data compression theory

- Code C is called prefix code if there is no code is prefix of any other code.
 - 00 is prefix of 001
 - Code C with C(1)=0, C(2)=10, C(3)=110, C(4)=111 is a prefix code.
 - With prefix code, decode process can be done on-the-fly.



Entropy coding

Example – fixed length code

Symbol x	Probability	Code C(x)	Code length L(c)
A	0.75	00	2
В	0.125	01	2
С	0.0625	10	2
D	0.0625	11	2

Average bits = 0.75*2 + 0.125*2 + 0.0625*2 + 0.0625*2 = 2.0 bits/symbol



Entropy coding

• For example – variable length code

Symbol x	Probability	Code C(x)	Code length L(c)
A	0.75	0	1
В	0.125	10	2
С	0.0625	110	3
D	0.0625	111	3

Average bits = 0.75*1 + 0.125*2 + 0.0625*3 + 0.0625*3 = 1.375 bits/symbol



Entropy coding

- Exp-Golomb codes
 - Used in coding video (motion vectors)
- Huffman codes
 - Data compression, still image compression.
- Arthimetic codes
 - Entropy coding for H.264/AVC
- Run-length codes



Exp-Golomb code

- Easy and simple to implement on hardware devices.
- Suitable for source having laplace or exponential distribution.
- Used for coding the length of motion vectors in video coding.



Exp-Golomb code

- Structure of Exp-Golomb code is as following: [M zeros][1][INFO]
 - INFO is M-bit field number
 - M = floor(log₂[code_num+1])
 - $INFO = code_num + 1 2^M$
- Decode:
 - Read M characters '0', follow by a '1'
 - Read M bits of INFO
 - Code_num = 2^M + INFO 1



Exp-Golomb codes

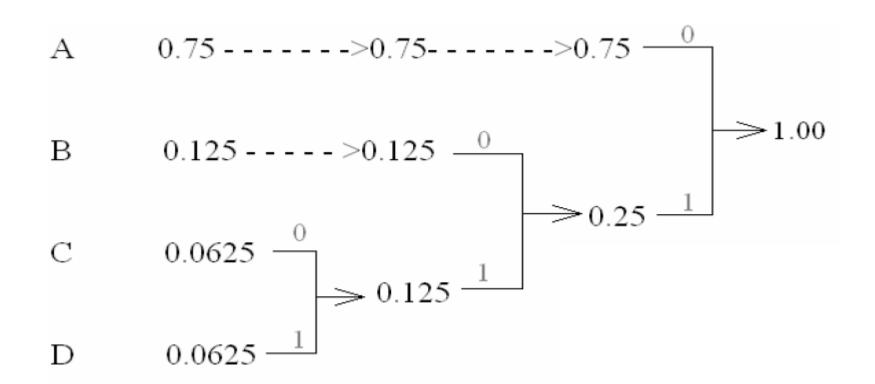
Code	Unsigned number	Signed number
1	0	0
010	1	1
011	2	-1
00100	3	2
00101	4	-2
00110	5	3
00111	6	-3
0001000	7	4
0001001	8	-4
0001010	9	5
0001011	10	-5
0001100	11	6
•••	•••	•••



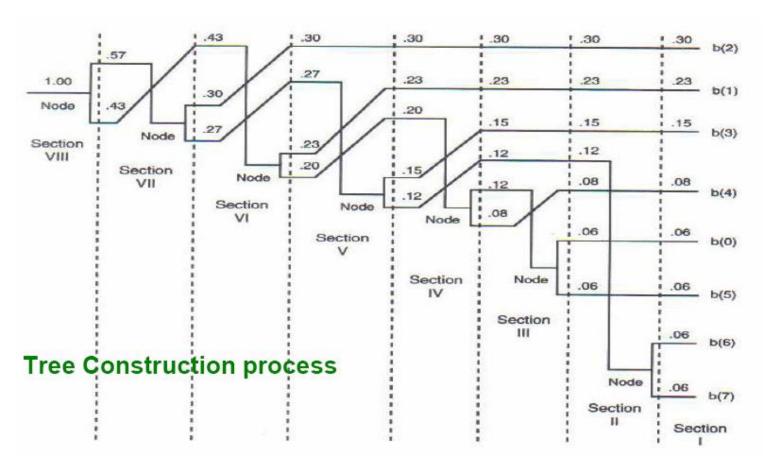
- Were discovered by Huffman in 1952 at MIT.
- These are prefix codes assigned to symbols depending on the probability of the symbols.



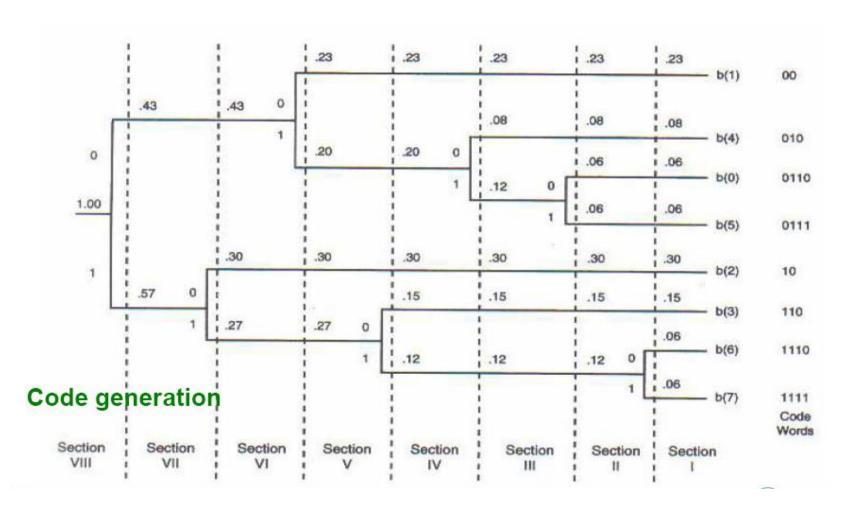
Generating Huffman codes from a source













Disadvantages of Huffman codes:

- Huffman codes must have integer number of bits.
- Eg: an information source has 2 symbols a and b with probability p(a) = 0.9999, p(b) = 0.0001. Huffman assigns each symbol with a code word length 1. Therefore the average code length is 1 wheres the entropy of the source is 0.00147 bits/symbol.
- Probability of symbol must be known before encoding and encoding, the symbolcode mapping musts be agreed between encoder and decoder.
- When the number of symbol is large, the mapping table becomes very large.

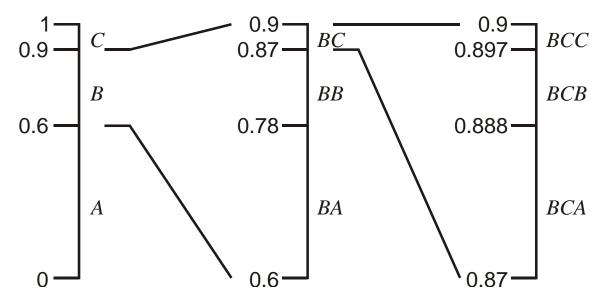


Arithmetic codes

- Arithmetic Coding
 - Code words can be non-integer length, and the probability of symbols can be calculated during encoding and decoding process.
 - Instead of building the mapping between symbol and code word, arithmetic coding finds the mapping from the sequence of symbol to a real number in [0,1].

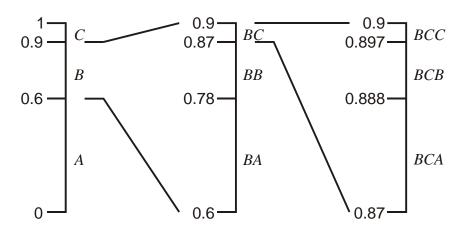


- Given a source {A, B, C}, and a sequence BCA to be encoded.
- p(A) = 0.6, p(B) = 0.3, p(C) = 0.1
- The sequence starts with A, B, and C is mapped to a half-open interval [0, 0.6), [0.6, 0.9), and [0.9, 1) depending on its probability. In this example interval [0.6, 0.9) is first used for the symbol B.



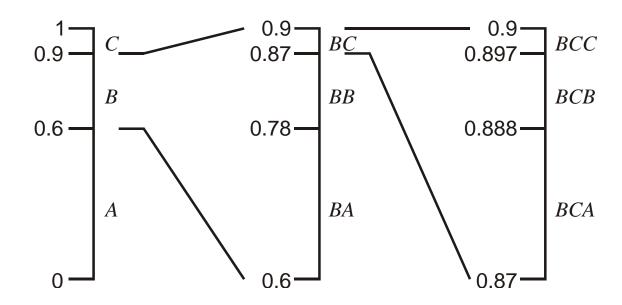


- Given a source {A, B, C}, and a sequence BCA to be encoded.
- p(A) = 0.6, p(B) = 0.3, p(C) = 0.1
- This interval is further devided to smaller interval [0.6, 0.78), [0.78, 0.87), [0.87, 0.9), for sequences start with BA, BB, BC. Note that: the ratio among the sub-interval is equal to the ratio among probabilities of symbols:
 - 0.18:0.09:0.03 = 6:3:1 = p(A):p(B):p(C)





- Given a source {A, B, C}, and a sequence BCA to be encoded.
- p(A) = 0.6, p(B) = 0.3, p(C) = 0.1
- Repeat this step, interval [0.87, 0.9) is devided to 3 smaller intervals.
 Sequences start wht BCA are mapped to [0.87, 0.888).

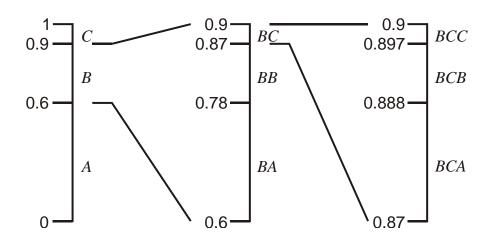




- Given a source {A, B, C}, and a sequence BCA to be encoded.
- p(A) = 0.6, p(B) = 0.3, p(C) = 0.1
- 2 ends of interval [0.87, 0.888) is presented by a binary number:

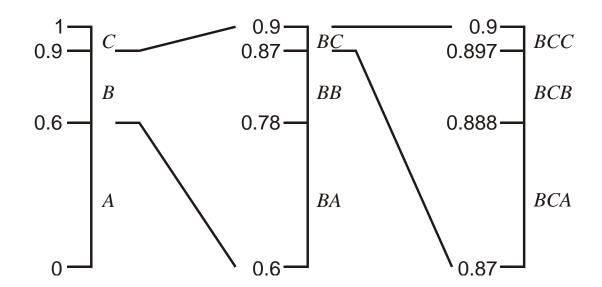
$$0.87 = \frac{1}{2} + \frac{1}{2^2} + \frac{1}{2^4} + \frac{1}{2^5} + \dots = 0.11011\dots,$$

$$0.888 = \frac{1}{2} + \frac{1}{2^2} + \frac{1}{2^3} + \frac{1}{2^7} + \dots = 0.11100\dots.$$



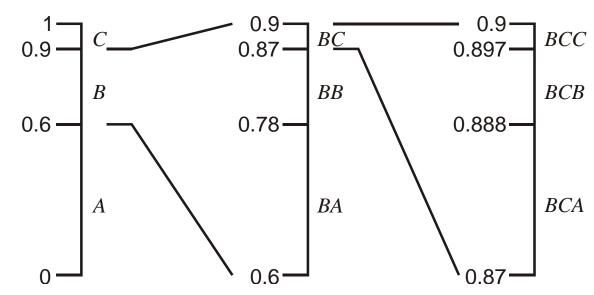


- Given a source {A, B, C}, and a sequence BCA to be encoded.
- p(A) = 0.6, p(B) = 0.3, p(C) = 0.1
- Encoder can send any number in the interval [0.87, 0.9) to indicate the encoded sequence stars with BCA. For example, it can send 3 bits 111 corresponding to 0.875 in decimal.



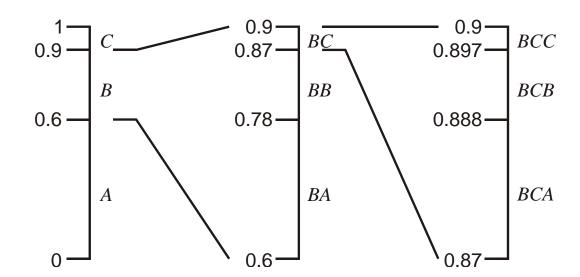


- Given a source {A, B, C}, and a sequence BCA to be encoded.
- p(A) = 0.6, p(B) = 0.3, p(C) = 0.1
- After receiving 111, decoder processes the interval dividing procedure and know that the decoded sequence starts by BCA. Because it knows that 0.875 is in the interval [0.87, 0.888)





- Given a source {A, B, C}, and a sequence BCA to be encoded.
- p(A) = 0.6, p(B) = 0.3, p(C) = 0.1
- However, 0.875 can be the presentation of B, BA, or BAC. So it needs an additional symbol to indicate the end of sequence (EOS).





Example 4.3.1:

Consider a three-letter alphabet $\mathcal{A} = \{a_1, a_2, a_3\}$ with $P(a_1) = 0.7$, $P(a_2) = 0.1$, and $P(a_3) = 0.2$. Using the mapping of Equation (4.1), $F_X(1) = 0.7$, $F_X(2) = 0.8$, and $F_X(3) = 1$. This partitions the unit interval as shown in Figure 4.1.

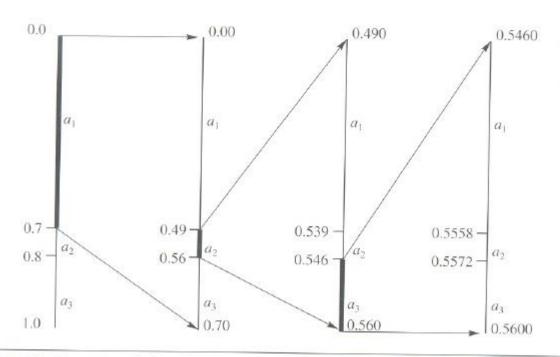


FIGURE 4. 1 Restricting the interval containing the tag for the input sequence $\{a_1, a_2, a_3, \ldots\}$.



Arthimetic codes

• Binary presentation:

i	2^-i	X	Bit
1	0.5	0.3203125	0
2	0.25	0.3203125	1
3	0.125	0.0703125	0
4	0.0625	0.0703125	1
5	0.03125	0.0078125	0
6	0.015625	0.0078125	0
7	0.0078125	0.0078125	1
8	0.00390625	0	0



Arthimetic codes

- Concerned issues for developing arithmetic codes for industrial applications:
 - Using integer numbers instead of floating point numbers.
 - Subinterval shinks rapidly during encoding process → rescale mechanism.
 - Reference to CABAC entropy coding of H.264/AVC.



Arithmetic codes

- Encode the following sequence using arithmetic codes:
- Distribution of symbols:

$$X = \begin{cases} a & p(a) = 1/2 \\ b & p(b) = 1/4 \\ c & p(c) = 1/8 \\ d & p(d) = 1/8 \end{cases}$$



Run-length coding

- Similar consecutive symbols are grouped and presented by a code
- Start from a specific code, following by a symbol and the number indicating the number of its copies.
- It is a entropy coding techning used in H.264, CAVLC



Run-length coding

- The simplest case of Run-length coding is to group the similar consecutive symbol and replace by a pair [N][S]
 - N number of symbols
 - -S-symbol
- For example: aaaabbbddaaddccccccca
- Is is compressed to: 4a3b2d2a2d7c1a



Run-length coding

- It is applied to sub-sequence with the number of similar consecutive symbols more than 3.
- Replace the sub-sequence by [E][S][N-1]
 - E: A specific symbol
 - S: Symbol
 - N: Number of symbols



- The temporal and spatial dependencies are very high.
- Data are not directly encoded, they are predicted from the their neighbors.
- The difference from data and their predicted values are quantized and encoded by entropy code.



- Combine with transform to form hybrid-coding methods.
- It is fundamental in multimedia data compression.
- Quantization:
 - Build the relationship between the data lost and coding efficiency.
 - Plays vital role in multimedia communication in public channels.



• For example: previous pixels are used to predict the following pixels:

90	96(+6)	92(-4)	
80	100(+20)	100(+0)	
81	82(+1)	80(-2)	

• In theoritical speaking: this method remove the dependency between two consecutive pixels and reduce the entropy.

•Better prediction, entropy of the difference are smaller.



"Lena" image



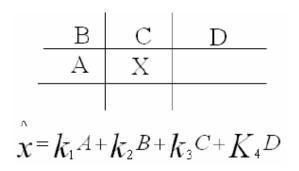
1-D prediction error of "Lena" image



Entropy = 3.06 bits/pixel



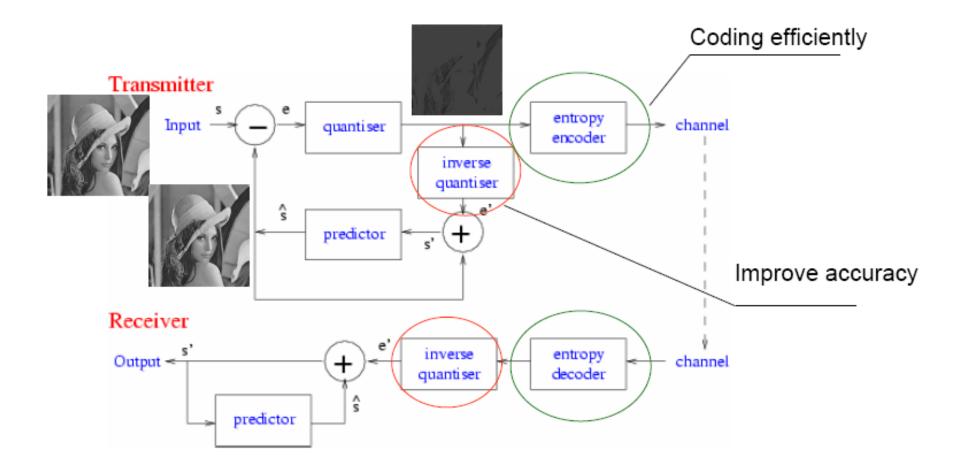
2-D relationship





Entropy = 1.44. bits/pixel







- Data lost during quantization process.
- However, if the quantization is performed carefully in frequency domain, it is not easy to distinguish the difference.





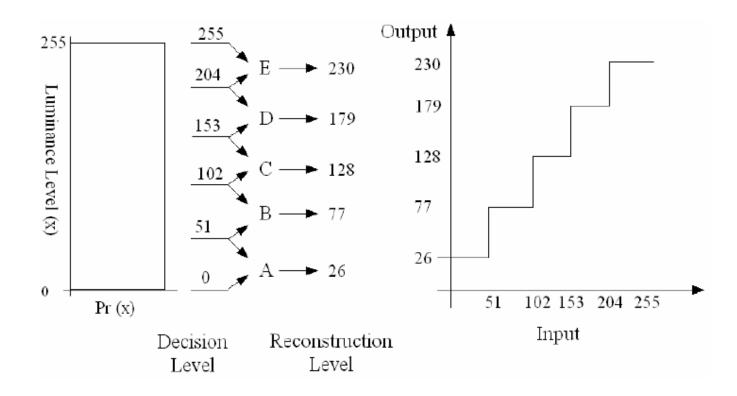
Original



JPEG (compressed 70%)

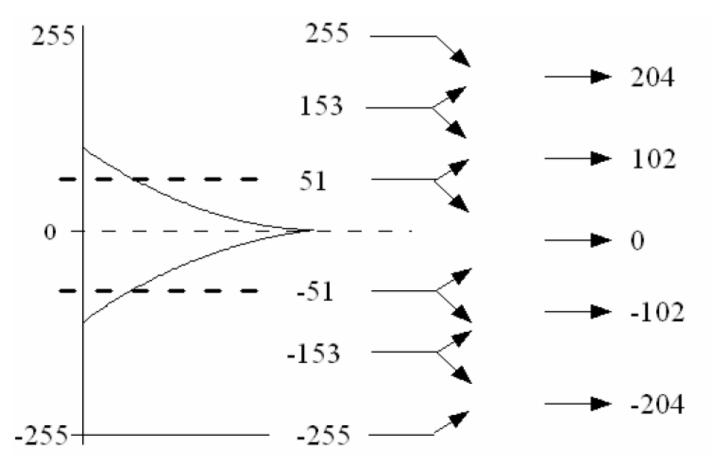


• Uniform quantization: use the same quantization step. Max quantization error is ½ quantization step



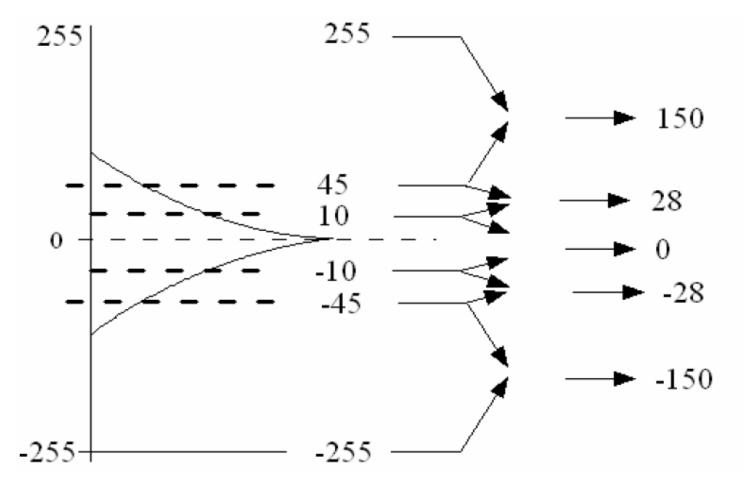


Uniform quantization





Non-uniform quantization





Biến đổi DCT 2D

$$\mathbf{A} = \begin{bmatrix} \frac{1}{2}\cos(0) & \frac{1}{2}\cos(0) & \frac{1}{2}\cos(0) & \frac{1}{2}\cos(0) \\ \sqrt{\frac{1}{2}}\cos\left(\frac{\pi}{8}\right) & \sqrt{\frac{1}{2}}\cos\left(\frac{3\pi}{8}\right) & \sqrt{\frac{1}{2}}\cos\left(\frac{5\pi}{8}\right) & \sqrt{\frac{1}{2}}\cos\left(\frac{7\pi}{8}\right) \\ \sqrt{\frac{1}{2}}\cos\left(\frac{2\pi}{8}\right) & \sqrt{\frac{1}{2}}\cos\left(\frac{6\pi}{8}\right) & \sqrt{\frac{1}{2}}\cos\left(\frac{10\pi}{8}\right) & \sqrt{\frac{1}{2}}\cos\left(\frac{14\pi}{8}\right) \\ \sqrt{\frac{1}{2}}\cos\left(\frac{3\pi}{8}\right) & \sqrt{\frac{1}{2}}\cos\left(\frac{9\pi}{8}\right) & \sqrt{\frac{1}{2}}\cos\left(\frac{15\pi}{8}\right) & \sqrt{\frac{1}{2}}\cos\left(\frac{21\pi}{8}\right) \end{bmatrix}$$

– Được xấp xỉ thành:

$$A = \begin{bmatrix} 0.5 & 0.5 & 0.5 & 0.5 \\ 0.653 & 0.271 & -0.271 & -0.653 \\ 0.5 & -0.5 & -0.5 & 0.5 \\ 0.271 & -0.653 & 0.653 & -0.271 \end{bmatrix}$$



Biến đổi DCT 2D

Ví dụ: Khối X được lấy từ ảnh, và nó được chuyển đổi như sau:

$$j = 0 \quad 1 \quad 2 \quad 3$$

$$i = 0 \quad 5 \quad 11 \quad 8 \quad 10$$

$$1 \quad 9 \quad 8 \quad 4 \quad 12$$

$$2 \quad 1 \quad 10 \quad 11 \quad 4$$

$$3 \quad 19 \quad 6 \quad 15 \quad 7$$

$$\mathbf{Y} = \mathbf{A}\mathbf{X}\mathbf{A}^{\mathrm{T}} = \begin{bmatrix} 35.0 & -0.079 & -1.5 & 1.115 \\ -3.299 & -4.768 & 0.443 & -9.010 \\ 5.5 & 3.029 & 2.0 & 4.699 \\ -4.045 & -3.010 & -9.384 & -1.232 \end{bmatrix}$$



Biến đổi Hadamard

- Là một dạng biến đổi Fourier đơn giản
- Được sử dụng cho nén frame I trong nén video
- H_m là ma trận $2^m \times 2^m$ phần tử, được định nghĩa đệ quy như sau:

$$H_0 = \begin{bmatrix} 1 \end{bmatrix}$$
 $H_1 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$ $H_m = \frac{1}{\sqrt{2}} \begin{bmatrix} H_m & H_m \\ H_m & -H_m \end{bmatrix}$



Biến đổi Hadamard

$$Y = H_2 \cdot X \cdot H_2^T = H_2 \cdot X \cdot H_2$$



JPEG

- JPEG is a compression standard for still images. It was accepted as an international standard in 1992:
 - Developed by Joint Photographic Expert Group of ISO/IEC
 - Is to compress color or monochrome images.
 - Compression rate is about 10:1



JPEG

- JPEG is lossness compression method:
 - Based on DCT
 - Compression process is independent from:
 - Image size
 - Color model
 - Image complexity
 - The video compression standard based on JPEG is Motion JPEG (MJPEG)

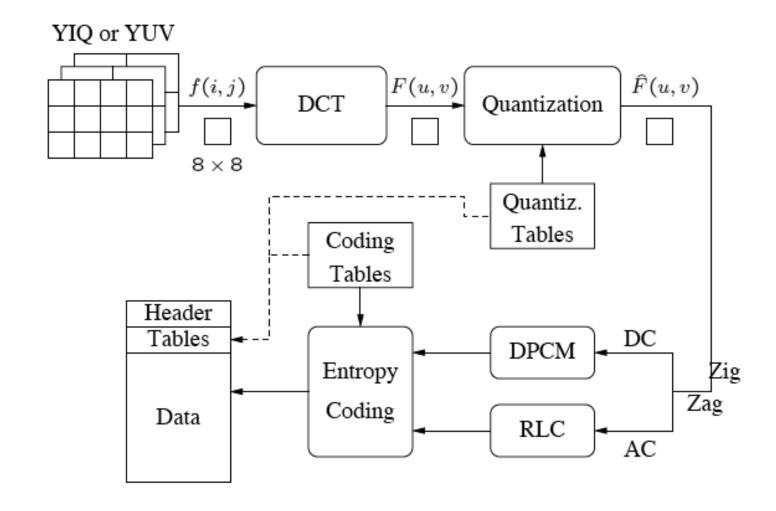


JPEG

- Human eyes sense luminance much better than chrominance:
 - Therefore, JPEG uses sampling of luminance twice as chrominance (4:2:0)

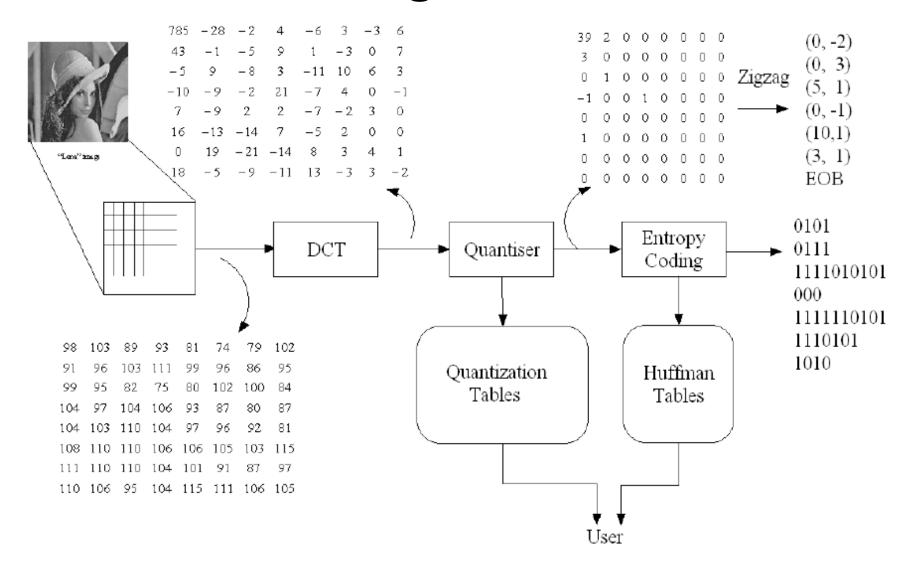


JPEG Encoding





JPEG encoding based on DCT





JPEG encoding

- Encoding step of JPEG:
 - Transform colors from RGB color model to YUV or YIQ.
 - DCT transform for all 8x8 block
 - Quantization
 - For each 8x8 block, scan coefficients with zig-zag pattern and use run-length coding.
 - Entropy coding



DCT transform for each block

- Image is devided into 8x8 blocks
 - 2D DCT transform is applied for all blocks.
 - When compress images with low bitrate. There are blocky discontinuty appearing at the edges of blocks.



• Quantization matrix $N_{j,k}$:

For luminance:

11	10	16	24	40	51	61
12	14	19	26	58	60	55
13	16	24	40	57	69	56
17	22	29	51	87	80	62
22	37	56	68	109	103	77
35	55	64	81	104	113	92
64	78	87	103	121	120	101
92	95	98	112	100	103	99
	12 13 17 22 35 64	12 14 13 16 17 22 22 37 35 55 64 78	12 14 19 13 16 24 17 22 29 22 37 56 35 55 64 64 78 87	12 14 19 26 13 16 24 40 17 22 29 51 22 37 56 68 35 55 64 81 64 78 87 103	12 14 19 26 58 13 16 24 40 57 17 22 29 51 87 22 37 56 68 109 35 55 64 81 104 64 78 87 103 121	11 10 16 24 40 51 12 14 19 26 58 60 13 16 24 40 57 69 17 22 29 51 87 80 22 37 56 68 109 103 35 55 64 81 104 113 64 78 87 103 121 120 92 95 98 112 100 103

For chrominance:

```
    17
    18
    24
    47
    99
    99
    99
    99

    18
    21
    26
    66
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    99
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    24
    26
    56
    99
    99
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JPEG encoding based on DCT

• Quantization:

$$\hat{Y}_{j,k} = Q[Y_{j,k}] = round\left(\frac{Y_{j,k}}{N_{j,k} \times Q_s}\right)$$

• Q_s is user parameter indicating the quality of compression.



DCT transform in smooth area



An 8 x 8 block from the Y image of 'Lena'

Fig. 9.2: JPEG compression for a smooth image block.





Inverse DCT transform

```
201 199 196 192 188 183 180 178
                                  -1 4 2 -4 1 -1 -2 -3
203 203 202 200 195 189 183 180
                                 0 -3 -2 -5 5 -2 2 -5
                                  -2 -3 -4 -3 -1 -4 4 8
202 203 204 203 198 191 183 179
200 201 202 201 196 189 182 177
                                  0 4 -2 -1 -1 -1 5 -2
200 200 199 197 192 186 181 177
204 202 199 195 190 186 183 181
                                1 -2 0 5 1 1 4 -6
207 204 200 194 190 187 185 184
                                  3 -4 0 6 -2 -2 2 2
           \tilde{f}(i,j)
                                   \epsilon(i,j) = f(i,j) - \tilde{f}(i,j)
```



DCT transform in detailed area



Another 8 x 8 block from the Y image of 'Lena'

```
70 70 100 70 87 87 150 187
                             -80 -40 89 -73 44 32 53 -3
 85 100 96 79 87 154 87 113
                              -135-59-26 6 14 -3-13-28
100 85 116 79 70 87 86 196
                                47 - 76 66 - 3 - 108 - 78 33 59
136 69 87 200 79 71 117 96
                                -2 10-18 0
                                             33 11-21
161 70 87 200 103 71 96 113
                                -1 -9-22 8 32 65-36 -1
161 123 147 133 113 113 85 161
                                5-20 28-46 3 24-30 24
                             6-20 37-28 12-35 33 17
146 147 175 100 103 103 163 187
                                -5-23 33-30 17 -5 -4 20
156 146 189 70 113 161 163 197
                                       F(u,v)
           f(i,j)
```



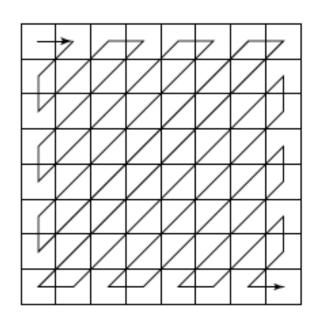
Quantization and inverse quantization

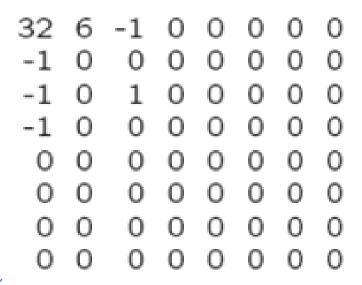


Inverse DCT and the errors



Zig-zag scan







Run-length coding for AC coefficients

- Run-length coding represent each AC coefficient (after zig-zag scanning) as a pair (Runlength, value).
 - Runlength: number of zeros before a non-zero coef.
 - Value: value of non-zero number.

- For example:
 - Sequence: 32, 6, -1, -1, 0, -1, 0, 0, 0, -1, 0, 0, 1, 0, 0, ..., 0
 - After run-length coding: (0,6) (0,-1) (0,-1) (1,-1) (3,-1) (2,1) (0,0). Skip the first coefficient (DC).



Coding for DC coefficient

- Each block has a DC coeffficient
- DC values vary not much in neighbor blocks. Use zig-zag scan to read DC values block by block.
- Use Differential Pulse Code Modulation (DPCM) for coding DC values:
 - The current DC value is predicted from the previous one.
 - For example, first 5 DC values: 150, 155, 149, 152, 144.
 - DPCM codes are:150, 5, -6, 3, -8.



Coding for DC coefficient

- Run-length coded values of AC and DC coefficients are entropy coded:
 - Each value is presented by a pair of symbols (Size, amplitude)
 - Size: Number of bits to present the value and coded by Huffman codes.
 - Amplitute has uniform distribution, then no compression needed.