Chapter 11

System-Level I/O

Input/output (I/O) is the process of copying data between main memory and external devices such as disk drives, terminals, and networks. An input operation copies data from an I/O device to main memory, and an output operation copies data from memory to a device.

All language run-time systems provide higher level facilities for performing I/O. For example, ANSI C provides the *standard I/O* library, with functions such as printf and and scanf that perform buffered I/O. The C++ language provides similar functionality with its overloaded << ("put to") and >> ("get from") operators. On Unix systems, these higher level I/O functions are implemented using system-level *Unix I/O* functions provided by the kernel. Most of the time, the higher level I/O functions work quite well, and there is no need to use Unix I/O directly. So why bother learning about Unix I/O?

- Understanding Unix I/O will help you understand other systems concepts. I/O is integral to the operation of a system, and because of this we often encounter circular dependences between I/O and other systems ideas. For example, I/O plays a key role in process creation and execution. Conversely, process creation plays a key role in how files are shared by different processes. Thus, to really understand I/O you need to understand processes, and vice versa. We have already touched on aspects of I/O in our discussions of the memory hierarchy, linking and loading, processes, and virtual memory. Now that you have a better understanding of these ideas, we can close the circle and delve into I/O in more detail.
- Sometimes you have no choice but to use Unix I/O. There are some important cases where using higher level I/O functions is either impossible or inappropriate. For example, the standard I/O library provides no way to access file metadata such as file size or file creation time. Further, there are problems with the standard I/O library that make it risky to use for network programming.

This chapter introduces you to the general concepts of Unix I/O and standard I/O, and shows you how to use them reliably from your C programs. Besides serving as a general introduction, this chapter lays a firm foundation for our subsequent study of network programming and concurrency.

11.1 Unix I/O

A Unix *file* is a sequence of m bytes:

$$B_0, B_1, \ldots, B_k, \ldots, B_{m-1}.$$

All I/O devices, such as networks, disks, and terminals, are modeled as files, and all input and output is performed by reading and writing the appropriate files. This elegant mapping of devices to files allows the Unix kernel to export a simple, low-level application interface, known as *Unix I/O*, that enables all input and output to be performed in a uniform and consistent way:

- *Opening files*. An application announces its intention to access an I/O device by asking the kernel to *open* the corresponding file. The kernel returns a small non negative integer, called a *descriptor*, that identifies the file in all subsequent operations on the file. The kernel keeps track of all information about the open file. The application only keeps track of the descriptor.
 - Each process created by a Unix shell begins life with three open files: *standard input* (descriptor 0), *standard output* (descriptor 1), and *standard error* (descriptor 2). The header file <unistd.h> defines constants STDIN_FILENO, STDOUT_FILENO, and STDERR_FILENO, which can be used instead of the explicit descriptor values.
- Changing the current file position. The kernel maintains a file position k, initially 0, for each open file. The file position is a byte offset from the beginning of a file. An application can set the current file position k explicitly by performing a seek operation.
- Reading and writing files. A read operation copies n > 0 bytes from a file to memory, starting at the current file position k, and then incrementing k by n. Given a file with a size of m bytes, performing a read operation when $k \ge m$ triggers a condition known as end-of-file (EOF), which can be detected by the application. There is no explicit "EOF character" at the end of a file.
 - Similarly, a write operation copies n > 0 bytes from memory to a file, starting at the current file position k, and then updating k.
- *Closing files*. When an application has finished accessing a file, it informs the kernel by asking it to *close* the file. The kernel responds by freeing the data structures it created when the file was opened and restoring the descriptor to a pool of available descriptors. When a process terminates for any reason, the kernel closes all open files and frees their memory resources.

11.2 Opening and Closing Files

A process opens an existing file or creates a new file by calling the open function:

```
#include <sys/types.h>
#include <sys/stat.h>
#include <fcntl.h>

int open(char *filename, int flags, mode_t mode);

Returns: new file descriptor if OK, -1 on error
```

The open function converts a filename to a file descriptor and returns the descriptor number. The descriptor returned is always the smallest descriptor that is not currently open in the process. The flags argument indicates how the process intends to access the file:

- O_RDONLY: Reading only.
- O_WRONLY: Writing only.
- O_RDWR: Reading and writing.

For example, here is how to open an existing file for reading:

```
fd = Open("foo.txt", O_RDONLY, 0);
```

The flags argument can also be or'd with one or more bit masks that provide additional instructions for writing:

- O_CREAT: If the file doesn't exist, then create a truncated (empty) version of it.
- O_TRUNC: If the file already exists, then truncate it.
- O_APPEND: Before each write operation, set the file position to the end of the file.

For example, here is how you might open an existing file with the intent of appending some data:

```
fd = Open("foo.txt", O_WRONLY|O_APPEND, 0);
```

The mode argument specifies the access permission bits of new files. The symbolic names for these bits are shown in Figure 11.1. As part of its context, each process has a umask that is set by calling the umask function. When a process creates a new file by calling the open function with some mode argument, then the access permission bits of the file are set to mode & "umask. For example, suppose we are given the following default values for mode and umask:

```
#define DEF_MODE S_IRUSR|S_IWUSR|S_IRGRP|S_IWGRP|S_IROTH|S_IWOTH
#define DEF_UMASK S_IWGRP|S_IWOTH
```

Then the following code fragment creates a new file in which the owner of the file has read and write permissions, and all other users have read permissions:

Mask	Description
S_IRUSR	User (owner) can read this fi le
S_IWUSR	User (owner) can write this fi le
S_IXUSR	User (owner) can execute this fi le
S_IRGRP	Members of the owner's group can read this fi le
S_IWGRP	Members of the owner's group can write this fi le
S_IXGRP	Members of the owner's group can execute this fi le
S_IROTH	Others (anyone) can read this fi le
S_IWOTH	Others (anyone) can write this fi le
S_IXOTH	Others (anyone) can execute this fi le

Figure 11.1: Access permission bits. Defined in sys/stat.h.

```
umask(DEF_UMASK);
fd = Open("foo.txt", O_CREAT|O_TRUNC|O_WRONLY, DEF_MODE);
```

Finally, a process closes an open file by calling the close function.

Closing a descriptor that is already closed is an error.

Practice Problem 11.1:

What is the output of the following program?

```
1 #include "csapp.h"
3 int main()
4 {
      int fd1, fd2;
5
6
      fd1 = Open("foo.txt", O_RDONLY, 0);
7
      Close(fd1);
8
      fd2 = Open("baz.txt", O_RDONLY, 0);
      printf("fd2 = %d\n", fd2);
10
11
      exit(0);
12 }
```

11.3 Reading and Writing Files

Applications perform input and output by calling the read and write functions, respectively.

The read function copies at most n bytes from the current file position of descriptor fd to memory location buf. A return value of -1 indicates an error, and a return value of 0 indicates EOF. Otherwise, the return value indicates the number of bytes that were actually transferred.

The write function copies at most n bytes from memory location buf to the current file position of descriptor fd. Figure 11.2 shows a program that uses read and write calls to copy the standard input to the standard output, one byte at a time.

Figure 11.2: Copies standard input to standard output one byte at a time.

Applications can explicitly modify the current file position by calling the lseek function, which is beyond our scope.

Aside: What's the difference between ssize_t and size_t?

You might have noticed that the read function has a size_t input argument and an ssize_t return value. So what's the difference between these two types? A size_t is defined as an unsigned int, and an ssize_t (signed size) is defined as an int. The read function returns a signed size rather than an unsigned size because it must return a -1 on error. Interestingly, the possibility of returning a single -1 reduces the maximum size of a read by a factor of two, from 4 GB down to 2 GB. **End Aside.**

In some situations, read and write transfer fewer bytes than the application requests. Such *short counts* do *not* indicate an error. They occur for a number of reasons:

• Encountering EOF on reads. Suppose that we are ready to read from a file that contains only 20 more bytes from the current file position and that we are reading the file in 50-byte chunks. Then the next read will return a short count of 20, and the read after that will signal EOF by returning a short count of zero.

- Reading text lines from a terminal. If the open file is associated with a terminal (i.e., a keyboard and display), then each read function will transfer one text line at a time, returning a short count equal to the size of the text line.
- Reading and writing network sockets. If the open file corresponds to a network socket (Section 12.3.3), then internal buffering constraints and long network delays can cause read and write to return short counts. Short counts can also occur when you call read and write on a Unix *pipe*, an interprocess communication mechanism that is beyond our scope.

In practice, you will never encounter short counts when you read from disk files except on EOF, and you will never encounter short counts when you write to disk files. However, if you want to build robust (reliable) network applications such as Web servers, then you must deal with short counts by repeatedly calling read and write until all requested bytes have been transferred.

11.4 Robust Reading and Writing with the RIO Package

In this section, we will develop an I/O package, called the RIO (Robust I/O) package, that handles these short counts for you automatically. The RIO package provides convenient, robust, and efficient I/O in applications such as network programs that are subject to short counts. RIO provides two different kinds of functions:

- *Unbuffered input and output functions*. These functions transfer data directly between memory and a file, with no application-level buffering. They are especially useful for reading and writing binary data to and from networks.
- Buffered input functions. These functions allow you to efficiently read text lines and binary data from a file whose contents are cached in an application-level buffer, similar to the one provided for standard I/O functions such as printf. Unlike the buffered I/O routines presented in [81], the buffered Rio input functions are thread-safe (Section 13.7.1) and can be interleaved arbitrarily on the same descriptor. For example, you can read some text lines from a descriptor, then some binary data, and then some more text lines.

We are presenting the RIO routines for two reasons. First, we will be using them in the network applications we develop in the next two chapters. Second, by studying the code for these routines, you will gain a depper understanding of Unix I/O in general.

11.4.1 RIO Unbuffered Input and Output Functions

Applications can transfer data directly between memory and a file by calling the rio_readn and rio_writen functions.

```
#include "csapp.h"

ssize_t rio_readn(int fd, void *usrbuf, size_t n);
ssize_t rio_writen(int fd, void *usrbuf, size_t n);
Returns: number of bytes transferred if OK, 0 on EOF (rio_readn only), -1 on error
```

The rio_readn function transfers up to n bytes from the current file position of descriptor fd to memory location usrbuf. Similarly, the rio_writen function transfers n bytes from location usrbuf to descriptor fd. The rio_read function can only return a short count if it encounters EOF. The rio_writen function never returns a short count. Calls to rio_readn and rio_writen can be interleaved arbitrarily on the same descriptor.

Figure 11.3 shows the code for rio_readn and rio_writen. Notice that each function manually restarts the read or write function if it is interrupted by the return from an application signal handler. To be as portable as possible, we allow for interrupted system calls and restart them when necessary. (See Section 8.5.4 for a discussion on interrupted system calls).

11.4.2 RIO Buffered Input Functions

A *text line* is a sequence of ASCII characters terminated by a newline character. On Unix systems, the newline character ('\n') is the same as the ASCII line feed character (LF) and has a numeric value of 0x0a. Suppose we wanted to write a program that counts the number of text lines in a text file. How might we do this? One approach is to use the read function to transfer one byte at a time from the file to the user's memory, checking each byte for the newline character. The disadvantage of this approach is that it is inefficient, requiring a trap to the kernel to read each byte in the file.

A better approach is to call a wrapper function (rio_readlineb) that copies the text line from an internal read buffer, automatically making a read call to refill the buffer whenever it becomes empty. For files that contain both text lines and binary data (such as the HTTP responses described in Section 12.5.3) we also provide a buffered version of rio_readn, called rio_readnb, that transfers raw bytes from the same read buffer as rio_readlineb.

```
#include "csapp.h"

void rio_readinitb(rio_t *rp, int fd);

Returns: nothing

ssize_t rio_readlineb(rio_t *rp, void *usrbuf, size_t maxlen);

ssize_t rio_readnb(rio_t *rp, void *usrbuf, size_t n);

Return: number of bytes read if OK, 0 on EOF, -1 on error
```

The rio_readinitb function is called once per open descriptor. It associates the descriptor fd with a read buffer of type rio_t at address rp.

The rio_readlineb function reads the next text line from file rp (including the terminating newline character), copies it to memory location usrbuf, and terminates the text line with the null (zero) character.

_____ code/src/csapp.c

```
____ code/src/csapp.c
1 ssize_t rio_readn(int fd, void *usrbuf, size_t n)
2 {
3
      size_t nleft = n;
      ssize t nread;
      char *bufp = usrbuf;
      while (nleft > 0) {
           if ((nread = read(fd, bufp, nleft)) < 0) {</pre>
8
               if (errno == EINTR) /* interrupted by sig handler return */
9
                   nread = 0;
                                   /* and call read() again */
10
               else
11
                                   /* errno set by read() */
                   return -1;
12
13
           else if (nread == 0)
14
               break;
                                    /* EOF */
15
          nleft -= nread;
16
          bufp += nread;
17
18
19
      return (n - nleft);
                                  /* return >= 0 */
20 }
                                                        _____code/src/csapp.c
                                                            _____ code/src/csapp.c
1 ssize_t rio_writen(int fd, void *usrbuf, size_t n)
2 {
      size_t nleft = n;
3
      ssize_t nwritten;
4
      char *bufp = usrbuf;
6
      while (nleft > 0) {
           if ((nwritten = write(fd, bufp, nleft)) <= 0) {</pre>
8
               if (errno == EINTR) /* interrupted by sig handler return */
9
                   nwritten = 0;  /* and call write() again */
10
11
               else
                   return -1;
                                   /* errorno set by write() */
12
13
           }
          nleft -= nwritten;
14
          bufp += nwritten;
15
       }
16
      return n;
17
18 }
```

Figure 11.3: The rio_readn and rio_writen functions.

The rio_readlineb function reads at most maxlen-1 bytes, leaving room for the terminating null character. Text lines that exceed maxlen-1 bytes are truncated and terminated with a null character.

The rio_readnb function reads n bytes from file rp to memory location usrbuf. Calls to rio_readlineb and rio_readn can be interleaved arbitrarily on the same descriptor. However, calls to these buffered functions should not be interleaved with calls to the unbuffered rio_readn function.

You will encounter numerous examples of the RIO functions in the remainder of this text. Figure 11.4 shows how to use the RIO functions to copy a text file from standard input to standard output, one line at a time.

```
code/io/cpfi le.c
1 #include "csapp.h"
3 int main(int argc, char **argv)
4 {
       int n;
5
       rio_t rio;
6
       char buf[MAXLINE];
       Rio_readinitb(&rio, STDIN_FILENO);
9
       while((n = Rio_readlineb(&rio, buf, MAXLINE)) != 0)
10
           Rio writen(STDOUT FILENO, buf, n);
11
12 }
                                                                        . code/io/cpfi le.c
```

Figure 11.4: Copying a text file from standard input to standard output.

Figure 11.5 shows the format of a read buffer, along with the code for the rio_readinitb function that initializes it. The rio_readinitb function sets up an empty read buffer and associates an open file descriptor with that buffer.

The heart of the RIO read routines is the rio_read function shown in Figure 11.6. The rio_read function is a buffered version of the Unix read function. When rio_read is called with a request to read n bytes, there are rp->rio_cnt unread bytes in the read buffer. If the buffer is empty, then it is replenished with a call to read. Receiving a short count from this invocation of read is not an error, and simply has the effect of partially filling the read buffer. Once the buffer is nonempty, rio_read copies the minimum of n and rp->rio_cnt bytes from the read buffer to the user buffer and returns the number of bytes copied.

To an application program, the rio_read function has the same semantics as the Unix read function. On error, it returns -1 and sets erroe appropriately. On EOF, it returns 0. It returns a short count if the number of requested bytes exceeds the number of unread bytes in the read buffer. The similarity of the two functions makes it easy to build different kinds of buffered read functions by substituting rio_read for read. For example, the rio_readnb function in Figure 11.7 has the same structure as rio_readn, with rio_read substituted for read. Similarly, the rio_readlineb routine in Figure 11.7 calls rio_read at most maxlen-1 times. Each call returns one byte from the read buffer, which is then checked for being the terminating newline.

Aside: Origins of the R10 package.

```
_____ code/include/csapp.h
1 #define RIO BUFSIZE 8192
2 typedef struct {
      int rio_fd;
                                 /* descriptor for this internal buf */
                                  /* unread bytes in internal buf */
      int rio cnt;
      char *rio bufptr;
                                 /* next unread byte in internal buf */
      char rio_buf[RIO_BUFSIZE]; /* internal buffer */
7 } rio t;
                                                      _____ code/include/csapp.h
                                                                  __ code/src/csapp.c
1 void rio_readinitb(rio_t *rp, int fd)
2 {
3
      rp->rio_fd = fd;
      rp->rio_cnt = 0;
      rp->rio_bufptr = rp->rio_buf;
6 }
                                                                  _ code/src/csapp.c
```

Figure 11.5: A read buffer of type rio_t and the rio_readinitb function that initializes it.

The RIO functions are inspired by the readline, readn, and writen functions described by W. Richard Stevens in his classic network programming text [81]. The rio_readn and rio_writen functions are identical to the Stevens readn and writen functions. However, the Stevens readline function has some limitations that are corrected in RIO. First, because readline is buffered and readn is not, these two functions cannot be used together on the same descriptor. Second, because it uses a static buffer, the Stevens readline function is not thread-safe, which required Stevens to introduce a different thread-safe version called readline. We have corrected both of these flaws with the rio_readlineb and rio_readnb functions, which are mutually compatible and thread-safe. End Aside.

11.5 Reading File Metadata

An application can retrieve information about a file (sometimes called the file's *metadata*) by calling the stat and fstat functions.

```
#include <unistd.h>
#include <sys/stat.h>

int stat(const char *filename, struct stat *buf);
int fstat(int fd, struct stat *buf);

Returns: 0 if OK, -1 on error
```

The stat function takes as input a file name and fills in the members of a stat structure shown in

_____code/src/csapp.c

_____code/src/csapp.c

```
1 static ssize_t rio_read(rio_t *rp, char *usrbuf, size_t n)
2 {
3
       int cnt;
5
      while (rp->rio_cnt <= 0) { /* refill if buf is empty */</pre>
           rp->rio_cnt = read(rp->rio_fd, rp->rio_buf,
                               sizeof(rp->rio_buf));
           if (rp->rio_cnt < 0) {
               if (errno != EINTR) /* interrupted by sig handler return */
                   return -1;
10
11
           else if (rp->rio_cnt == 0) /* EOF */
12
               return 0;
13
14
           else
               rp->rio_bufptr = rp->rio_buf; /* reset buffer ptr */
15
16
17
       /* Copy min(n, rp->rio_cnt) bytes from internal buf to user buf */
18
      cnt = n;
19
       if (rp->rio_cnt < n)</pre>
20
           cnt = rp->rio_cnt;
21
      memcpy(usrbuf, rp->rio_bufptr, cnt);
22
       rp->rio_bufptr += cnt;
23
      rp->rio_cnt -= cnt;
24
25
      return cnt;
26 }
```

Figure 11.6: The internal rio_read function.

____ code/src/csapp.c

```
_____ code/src/csapp.c
1 ssize_t rio_readlineb(rio_t *rp, void *usrbuf, size_t maxlen)
2 {
3
       int n, rc;
       char c, *bufp = usrbuf;
4
       for (n = 1; n < maxlen; n++) {
           if ((rc = rio_read(rp, &c, 1)) == 1) {
               *bufp++ = c;
               if (c == ' \n')
9
                   break;
10
           } else if (rc == 0) {
11
12
               if (n == 1)
13
                   return 0; /* EOF, no data read */
14
               else
                             /* EOF, some data was read */
                   break;
15
           } else
16
                            /* error */
17
               return -1;
18
19
       *bufp = 0;
       return n;
20
21 }
                                                            _____code/src/csapp.c
                                                                 ____ code/src/csapp.c
1 ssize_t rio_readnb(rio_t *rp, void *usrbuf, size_t n)
2 {
       size_t nleft = n;
3
       ssize_t nread;
       char *bufp = usrbuf;
6
      while (nleft > 0) {
           if ((nread = rio_read(rp, bufp, nleft)) < 0) {</pre>
8
9
               if (errno == EINTR) /* interrupted by sig handler return */
                   nread = 0;
                                   /* call read() again */
10
11
               else
                   return -1;
                                   /* errno set by read() */
12
13
           else if (nread == 0)
14
               break;
                                    /* EOF */
15
           nleft -= nread;
16
17
           bufp += nread;
18
      return (n - nleft);
                                   /* return >= 0 */
19
20 }
```

Figure 11.7: The rio_readlineb and rio_readnb functions.

Figure 11.8. The fstat function is similar, but takes a file descriptor instead of a file name. We will need the st_mode and st_size members of the stat structure when we discuss Web servers in Section 12.5. The other members are beyond our scope.

```
__ statbuf.h (included by sys/stat.h)
/* Metadata returned by the stat and fstat functions */
struct stat {
                               /* device */
   dev_t
                  st dev;
    ino_t
                  st ino;
                               /* inode */
                               /* protection and file type */
                  st mode;
   mode_t
                              /* number of hard links */
   nlink_t
                  st nlink;
                              /* user ID of owner */
   uid_t
                  st_uid;
   gid_t
                  st_gid;
                               /* group ID of owner */
                               /* device type (if inode device) */
   dev_t
                  st_rdev;
    off_t
                  st_size;
                              /* total size, in bytes */
    unsigned long st_blksize; /* blocksize for filesystem I/O */
    unsigned long st_blocks; /* number of blocks allocated */
                              /* time of last access */
    time t
                  st atime;
                  st_mtime;
                             /* time of last modification */
    time_t
                  st ctime;
    time t
                              /* time of last change */
};
                                                        _ statbuf.h (included by sys/stat.h)
```

Figure 11.8: The stat structure.

The st_size member contains the file size in bytes. The st_mode member encodes both the file permission bits (Figure 11.1) and the *file type*. Unix recognizes a number of different file types. A *regular file* contains some sort of binary or text data. To the kernel there is no difference between text files and binary files. A *directory file* contains information about other files. A *socket* is a file that is used to communicate with another process across a network (Section 12.4).

Unix provides macro predicates for determining the file type from the st_mode member. Figure 11.9 lists a subset of these macros.

Macro	Description
S_ISREG()	Is this a regular fi le?
S_ISDIR()	Is this a directory fi le?
S_ISSOCK()	Is this a network socket?

Figure 11.9: Macros for determining file type from the st_mode bits. Defined in sys/stat.h

Figure 11.10 shows how we might use these macros and the stat function to read and interpret a file's st_mode bits.

```
_____ code/io/statcheck.c
1 #include "csapp.h"
3 int main (int argc, char **argv)
      struct stat stat;
      char *type, *readok;
6
      Stat(argv[1], &stat);
8
      if (S_ISREG(stat.st_mode)) /* Determine file type */
9
          type = "regular";
10
else if (S_ISDIR(stat.st_mode))
12
          type = "directory";
    else
13
          type = "other";
    if ((stat.st_mode & S_IRUSR)) /* Check read access */
15
16
          readok = "yes";
      else
17
18
        readok = "no";
19
20
      printf("type: %s, read: %s\n", type, readok);
      exit(0);
21
22 }
                                                          ____ code/io/statcheck.c
```

Figure 11.10: Querying and manipulating a file's st_mode bits.

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11.6 Sharing Files

Unix files can be shared in a number of different ways. Unless you have a clear picture of how the kernel represents open files, the idea of file sharing can be quite confusing. The kernel represents open files using three related data structures:

- *Descriptor table*. Each process has its own separate *descriptor table* whose entries are indexed by the process's open file descriptors. Each open descriptor entry points to an entry in the *file table*.
- *File table*. The set of open files is represented by a file table that is shared by all processes. Each file table entry consists of (for our purposes) the current file position, a *reference count* of the number of descriptor entries that currently point to it, and a pointer to an entry in the *v-node table*. Closing a descriptor decrements the reference count in the associated file table entry. The kernel will not delete the file table entry until its reference count is zero.
- *v-node table*. Like the file table, the v-node table is shared by all processes. Each entry contains most of the information in the stat structure, including the st_mode and st_size members.

Figure 11.11 shows an example where descriptors 1 and 4 reference two different files through distinct open file table entries. This is the typical situation, where files are not shared, and where each descriptor corresponds to a distinct file.

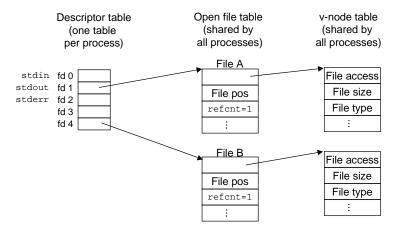


Figure 11.11: **Typical kernel data structures for open files.** In this example, two descriptors reference distinct files. There is no sharing.

Multiple descriptors can also reference the same file through different file table entries, as shown in Figure 11.12. This might happen, for example, if you were to call the open function twice with the same filename. The key idea is that each descriptor has its own distinct file position, so different reads on different descriptors can fetch data from different locations in the file.

We can also understand how parent and child processes share files. Suppose that before a call to fork, the parent process has the open files shown in Figure 11.11. Then Figure 11.13 shows the situation after the call to fork. The child gets its own duplicate copy of the parent's descriptor table. Parent and child share