



Interactive Brokers LLC Account Application

For Individual Account Holders

U607776

This application form is for Interactive Brokers individual account applicants who live in the United States or places other than Europe or Canada.

Do not use this application if your account will be managed by an advisor. Do not use this application for a corporate, organization, partnership, trust or IRA account. Do not use this application if you reside in Canada or Europe.

Please answer ALL required questions. Failure to answer a question or provide a document or signature will delay approval of your account.

Please check to ensure that you have completed all of the below steps before you return your application to us:

Instructions:

☐ **Complete All Application Forms.**

☐ **Fill Out the Security Question and Answer Section (Page 2).**

☐ **Provide Instructions For Initial Deposit Of Funds And/Or Securities To Your Account.**

☐ **Include Required Proof Of Identity And Address For the Account Holder.**

☐ *Proof of Identity for Account Holder*

☐ *Separate Document as Proof of Address for Account Holder*

☐ **Return Completed Application Package, Along With Proof Of Identity And Address Documents For the Account Holder, And A Check (If You Are Funding Your Account With A Check) To To Interactive Brokers. Use Any Of The Following:**

Scan and Email	newaccounts@interactivebrokers.com
Courier/Overnight Service (e.g., Fed Ex)	Interactive Brokers LLC Document Processing 209 South LaSalle Street, 10th Floor Chicago, IL 60604 (312) 542-6901
Fax	(312) 984-1017
Mail (Please allow extra time for processing)	Interactive Brokers LLC Document Processing P.O. Box A3770 Chicago, IL 60690-3770

Thank You for choosing Interactive Brokers.

I. Account and Applicant Information

Country of Legal Residence Russian Federation	State/Province
Account Type (check only one) <input checked="" type="radio"/> Individual <input type="radio"/> Joint (check one): <input type="radio"/> Joint Tenants - Right of Survivorship (if one holder dies, interest passes to other holder) <input type="radio"/> Community Property (for married couples in community property states - check state law) <input type="radio"/> Tenants in Common (if one holder dies, his/her percentage interest passes to estate) For Tenants in Common <i>only</i> , please enter percentages: Holder 1: <input type="text"/> % Holder 2: <input type="text"/> %	

Account Holder

Name (First) Vitaly	(Middle Initial) <input type="text"/>	(Last) Bezrodnykh	Suffix (Optional) <input type="text"/>
Home Street Address (no P.O. boxes or "in care of" addresses allowed) 10K1 Volokolamsky 3 Proezd Apt 5			
City Moscow	State/Province <input type="text"/>	Country Russian Federation	Zip/Postal Code 123060
Phone No. (with area code) 79037263685		Second Phone No. (optional) <input type="text"/>	
E-Mail Address for Account Correspondence arvitallian@gmail.com		Fax No. (with area code) <input type="text"/>	
Mailing Address (if different from above). P.O. boxes are allowed. Street Address 623 Hickory Place			
City Santa Clara	State/Province CA	Country United States	Zip/Postal Code 95051-6124
Please pick a security question from each of the three lists below and provide the answers to those questions so that we may use it in the future for verification purposes: <input type="checkbox"/> In what city or town was your first job? <input type="checkbox"/> In what city were you married? <input type="checkbox"/> What city were you born in?			

- ☐ What is name of first boyfriend/girlfriend?
- ☐ What is the last name of your favorite politician?
- ☐ What is the middle name of your eldest child?
- ☐ What is the name of a school you attended?
- ☐ What is the name of the High School you graduated from?
- ☐ What is the name of your favorite sports team?
- ☐ What is the name of your hometown newspaper?
- ☐ What is the street name your work office is located on?
- ☐ What is the street number of the house you grew up in?
- ☐ What is your favorite cartoon character?
- ☐ What is your favorite color?
- ☐ What is your favorite place to shop?
- ☐ What is your favorite vegetable?
- ☐ What is your grandmother's first name?
- ☐ What is your shoe size (use decimals, if necessary)?
- ☐ What is your wife's middle name?
- ☐ What was the first concert you attended?
- ☐ What was the model of your first car?
- ☐ What was the name of a best friend during childhood?
- ☒ What was the name of your first pet?
- ☐ What was your High School mascot?
- ☐ What was your childhood nickname?
- ☐ What was your father's occupation?
- ☐ Where did you go on your honeymoon?
- ☐ Where is your favorite vacation spot?
- ☐ Who was your childhood hero?
- ☐ Who was your first grade teacher?

Answer:

- ☐ In what city or town was your first job?
- ☒ In what city were you married?
- ☐ What city were you born in?
- ☐ What is name of first boyfriend/girlfriend?
- ☐ What is the last name of your favorite politician?
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- ☐ What is your shoe size (use decimals, if necessary)?
- ☐ What is your wife's middle name?
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Answer:

- ☐ In what city or town was your first job?
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- ☐ Where is your favorite vacation spot?
- ☐ Who was your childhood hero?

☐ Who was your first grade teacher?

Answer:

Account Holder

Date of Birth (mm/dd/yyyy) 04/20/1970	Mother's Maiden Name
Marital Status <input type="radio"/> Single <input checked="" type="radio"/> Married <input type="radio"/> Divorced <input type="radio"/> Widowed	Number of Dependants <input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3 <input type="radio"/> 4 <input type="radio"/> 5 +
U.S Social Security Number or US Taxpayer ID Number 	Country of Citizenship Russian Federation
Employment Type <input checked="" type="radio"/> Employed by Another <input type="radio"/> Self-Employed <input type="radio"/> Retired <input type="radio"/> Unemployed <input type="radio"/> Student <input type="radio"/> At Home Trader	Occupation CEO
Name of Employer Bit Agents	Nature of Employer's Business/Activities Information Technology
Employer's Street Address 10 Geroev Panilovtsev	City Moscow
State/Province Moscow Region	Country Russian Federation
Zip/Postal Code 125363	Employer's Phone No. (with area code)

Regulatory Information

Will this account be used to trade and/or invest on behalf of any other person(s) besides the named account holder? <input type="radio"/> Yes <input type="radio"/> No
Will all funds in this account belong to the named account holder ONLY and NOT from any third-party individual or entity, in any capacity? <input type="radio"/> Yes <input type="radio"/> No
Have you received authorization from your employer's compliance department to open this account with IB? IB may require proof of this authorization from your employer before processing your account. <input type="radio"/> Yes <input type="radio"/> No
Are duplicate statements and confirmations required to be sent to your firm's compliance department? IB may require proof from your employer indicating whether or not duplicate statements and confirmation are required before processing your account. <input type="radio"/> Yes <input type="radio"/> No

Is the account holder or any immediate family member who resides in the same household, registered as a broker-dealer or an employee, director or owner of a securities or commodities brokerage firm?

☐ Yes ☒ No

If Yes:

Registered Person's Name:

Registered Person's Affiliation:

Affiliated Organization's Name

Address of Affiliated Organization:

Phone number of Affiliated Organization:

NOTE: If the account holder or any immediate family member who resides in the same household is employed by a brokerage firm, the compliance officer of that firm must authorize this account by sending an e-mail to Interactive Brokers Processing Department at newaccounts@interactivebrokers.com.

The compliance officer should note "Employee Account Authorization" in the subject heading of the e-mail. The e-mail must state the following:

"Interactive Brokers is authorized to establish and maintain an account for [Fill in Customer name]. [Fill in Name of Brokerage Firm] agrees to accept copies of daily confirmations and monthly statements by e-mail to [Fill in E-mail Address]."

Is the account holder a member of an exchange or a regulatory or a self-regulatory organization, or an associated person, affiliated person or employee of an exchange member?

☐ Yes ☒ No

Has the account holder ever been the subject of, or initiated, litigation, arbitration or any other type of dispute or settlement procedure with another broker or dealer?

☐ Yes ☒ No

If yes, please provide details, including the disposition of the litigation, arbitration or procedure:

Has the account holder ever been the subject of an investigation or proceeding by any commodities or securities exchange or regulatory authority or self-regulatory authority?

☐ Yes ☒ No

If yes, please provide details, including the disposition of the investigation or proceeding:

Is the account holder: i) a director; ii) a 10% shareholder; or iii) a policy-making officer of any publicly traded company?

☐ Yes ☒ No

If yes, enter the stock symbol(s) of the company(ies), separated by commas:

Does the account holder control the trading in, or have a beneficial ownership interest in, any other IB accounts?

☐ Yes ☒ No

If yes, enter the Usernames of these accounts, separated by commas:

II. Account Configuration

Choose a Base Currency for the Account:

- ☒ United States Dollar USD
- ☐ Australian Dollar AUD
- ☐ British Pound GBP
- ☐ Canadian Dollar CAD
- ☐ Euro EUR
- ☐ Hong Kong Dollar HKD
- ☐ Japanese Yen JPY
- ☐ Mexican Peso MXN
- ☐ Swedish Krona SEK
- ☐ New Zealand Dollar NZD
- ☐ Swiss Frank CHF

Choose Cash or Margin Account

☐ Cash

Must have enough cash in the account to cover the cost of the transaction plus commissions. No shorting of stock or futures day trading benefits (50% of regular margin requirement during the day on certain exchanges). Limited purchase and sale of options and trading is only allowed for products denominated in the base currency of the account. Cash from the sale of stocks, options and futures becomes available when the transaction settles (e.g. US stock - 3 days, German stocks- 2 days, US options- 1 day).

☐ Reg T Margin

Borrowing to support equities trading, shorting of equities, full options trading, full futures/futures options trading, currency conversions, and securities/commodities trading in multiple currency denominations available. Margin requirements are computed real-time under a rules-based calculation methodology, with immediate position liquidation if the minimum maintenance margin requirement is not met. Purchase and sale proceeds are immediately recognized.

☒ Portfolio Margin

Same as a Reg T margin account (see above) except that margin requirements generally will be lower in a portfolio margin account because margin requirements will be calculated based on a risk based calculation methodology. **Customers must maintain account equity of USD 100,000 in order to utilize a portfolio margin account. Otherwise Reg T margin requirements will apply.**

Account Financial Background

Investment Objectives and Intended Purpose of Trading (check all that apply)

☐ Preservation of Capital ☐ Income ☒ Growth ☒ Trading Profits ☒ Speculation ☒ Hedging

Investment Experience (please fill in all blanks)

	Years Trading Experience	Number of Trades Per Year	Knowledge Level (check one for each product type)		
Stocks	> 10	> 100	<input checked="" type="radio"/> Extensive	<input type="radio"/> Good	<input type="radio"/> Limited
Bonds	6 - 10	1 - 10	<input type="radio"/> Extensive	<input checked="" type="radio"/> Good	<input type="radio"/> Limited
Options	> 10	51 - 100	<input checked="" type="radio"/> Extensive	<input type="radio"/> Good	<input type="radio"/> Limited
Futures	> 10	26 - 50	<input checked="" type="radio"/> Extensive	<input type="radio"/> Good	<input type="radio"/> Limited
Forex	5	1 - 10	<input type="radio"/> Extensive	<input checked="" type="radio"/> Good	<input type="radio"/> Limited

Estimated Net Worth (in base currency)
(exclude value of residence)

5,000,000 - 30,000,000 USD

Estimated Liquid Net Worth (in base currency)
(exclude residence)

> 5,000,000 USD

Annual Net Income (in base currency)

500,000 - 1,000,000 USD

List other sources of funds aside from employment (this is required information if you are unemployed)

Account Trading Permissions

Please check all the products that you may want to trade in your account. You and your advisor also will have the ability to add additional products after your account has been opened.

	Stocks	Fixed Income	Warrants	Options	Futures	Futures Options	Single Stock Futures	CFD	Forex	FOREX (Foreign Exchange)
Global										<input checked="" type="checkbox"/>
Australia	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>		
Austria	<input checked="" type="checkbox"/>									
Belgium	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
Canada	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
France	<input checked="" type="checkbox"/>		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
Germany	<input checked="" type="checkbox"/>		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
Hong Kong	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
Italy	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>			
Japan	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
Korea				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
Mexico	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
Singapore					<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
Spain	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
Sweden	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
Switzerland	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
The Netherlands	<input checked="" type="checkbox"/>		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
United Kingdom	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
United States	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
United States (Algorithmic Execution Venue)	<input type="checkbox"/>									
United States (Floor Based Exchanges)					<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
United States (Stock Yield Enhancement Program)	<input type="checkbox"/>									

Please note that SEC restrictions prevent U.S. legal residents from trading OPTIONS on stock and indexes in Non-U.S. countries.

Single Stock Futures trading requires a Reg T or Portfolio Margin Account Type.

Notice Regarding Anti-Money Laundering and Customer Identification Procedures: To help the U.S. government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person or entity who opens an account. We are required by law to ask you to provide your name, address, date of birth and other information about you, your organization or persons related to your organization that will allow us to identify you before we approve your account. We also will ask you to provide certain identifying documents, such as your driver's license or passport or your organization's articles of incorporation and may obtain credit and other consumer reports to assist us in verifying your identity and in determining whether you satisfy our account criteria. Unless you provide the requested information and documentation, we may not be able to open your account. By applying for an IB account, you agree to provide accurate and truthful information as requested by IB and you consent to IB's acquisition of credit and other consumer reports about you for the purposes described above.

Market Data Subscriber Status

Many securities exchanges employ a two tier pricing structure for market data based on whether or not the customer fits the definition of a "Non-Professional". If the customer does not fit the definition of a "Non-Professional", he or she is deemed as a "Professional" and is charged a higher rate for market data than a "Non-Professional" for market data. In addition, "Professionals" must have their names and addresses submitted to all applicable securities exchanges. There is no requirement to subscribe to market data in order to trade, and customers are free to receive market data from another IB account or vendor.

In order to qualify as a "Non-Professional" or "Professional", please answer the following questions:

NONPROFESSIONAL SUBSCRIBER - "A Nonprofessional Subscriber" is any natural person** whom a market data vendor has determined qualifies as a "Nonprofessional Subscriber" and who is not:

(a) registered or qualified with: the Securities and Exchange Commission, the Commodities Futures Trading Commission, any state securities agency, any securities exchange or association, or any commodities or futures contract market or association, nor

(b) engaged as an "investment advisor" as that term is defined in Section 201(11) of the Investment Advisor's Act of 1940 (whether or not registered or qualified under that Act), nor

(c) employed by a bank or another organization that is exempt from registration under Federal and/or state securities laws to perform functions that would require him or her to be so registered or qualified if he or she were to perform such functions for an organization not so exempt.

Any person who does meet the above criteria (a,b,c) is considered a Professional Subscriber.

** The definition of a natural person excludes corporations, trusts, organizations, institutions and partnership accounts. Note: Notwithstanding this definition, certain trusts may qualify for the Non-Professional market data fee if the trustee is a non-professional and accesses such market data for his or her personal use.

☒ Non-professional

☐ Professional

Non-Professional Market Data Subscriptions

As a service to our customers, we provide real-time streaming market data for free or at the price we are charged by an exchange. Please check the market data subscriptions you wish to receive. All fees listed below are per month.

Reuters News			
Reuters Fundamentals	Reuters Fundamentals	7.00 USD	<input checked="" type="checkbox"/>
Reuters Basic Newsfeed	Reuters News	A monthly 3.00 USD fee will be waived whenever the monthly commissions generated in the account reach 30.00 USD	<input checked="" type="checkbox"/>

Region/Exchange			
Global	Countries	Price	
IB FOREX (IDEAL PRO)	FOREX	Free	<input checked="" type="checkbox"/>
IB FX	FOREX	Free	<input checked="" type="checkbox"/>

North America	Countries	Price	
US Securities and Commodities Bundle Non-professional - Level I Includes all Stock, Options, Futures and Bond markets	United States	A monthly 10.00 USD fee will be waived whenever the monthly commissions generated in the account reach 30.00 USD	<input checked="" type="checkbox"/>
Amex Options Depth of Book Nonprofessional	United States	10.00 USD	<input type="checkbox"/>
ArcaBook Options Depth of Book - Non-professional	United States	10.00 USD	<input type="checkbox"/>
ArcaBook for Equities Non-Professional	United States	10.00 USD	<input type="checkbox"/>
Boston Stock Exchange Non-professional	United States	1.00 USD	<input type="checkbox"/>
CBOE Market Data Express Indices Non-Professional	United States	2.00 USD	<input type="checkbox"/>
CBOT - Floor*	United States	61.00 USD	<input type="checkbox"/>
CME - Floor*	United States	61.00 USD	<input type="checkbox"/>
Dow Jones Global Indices Non-Professional (Included in US Securities and Commodities Bundle)	United States	1.00 USD	<input type="checkbox"/>
ISE Options Depth of Book - Non-professional	United States	10.00 USD	<input type="checkbox"/>

North America	Countries	Price	
InterContinental and New York Board of Trade (Non Professional)	United States	1.00 USD	<input type="checkbox"/>
Moody's US Bond Ratings (Corporates and Municipals)	United States	1.00 USD	<input type="checkbox"/>
NASDAQ Options Depth of Book - Non-professional	United States	10.00 USD	<input type="checkbox"/>
NASDAQ TotalView Non-Professional	United States	15.00 USD	<input type="checkbox"/>
NYSE Liffe US Non-professional	United States	1.00 USD	<input type="checkbox"/>
NYSE Open Book Real Time	United States	25.00 USD	<input type="checkbox"/>
Non-professional PINK Level I	United States	3.00 USD	<input type="checkbox"/>
OCX Data (OneChicago SSF)	United States	Free	<input type="checkbox"/>
Russell US Indices (RussellTick) Non-Professional	United States	1.00 USD	<input type="checkbox"/>
US Bond Real-Time Data	United States	1.00 USD	<input type="checkbox"/>
US Stock Bundle Non-professional	United States	10.00 USD	<input type="checkbox"/>
Canadian Stock Bundle Non Professional Level I (Non Canadian Residents Only)	Canada	13.50 CAD	<input type="checkbox"/>
Montreal Exchange Non-professional - Level I	Canada	6.00 CAD	<input type="checkbox"/>
Montreal Exchange Non-professional - Level II	Canada	9.00 CAD	<input type="checkbox"/>
Toronto Market By Price Non Professional Level II (Must subscribe to Toronto Stock Exchange Level I as well)	Canada	14.00 CAD	<input type="checkbox"/>
Venture Market By Price Non Professional Level II (Must subscribe to Venture Stock Exchange Level I or Toronto bundle as well)	Canada	16.00 CAD	<input type="checkbox"/>
Mexican Derivatives Exchange Non-Professional Level II	Mexico	5.00 USD	<input type="checkbox"/>
Mexican Stock Exchange Non-Professional Level I	Mexico	10.00 USD	<input type="checkbox"/>

*Floor based data generally only includes last sale as there are rarely bid-ask quotes.

Europe	Countries	Price	
Vienna Stock Exchange Indices + Cash Level I Non-Professional	Austria	3.00 EUR	<input type="checkbox"/>
Vienna Stock Exchange Indices + Cash Level II Non-Professional	Austria	5.00 EUR	<input type="checkbox"/>
Euronext Data Bundle- Level 1 (Stocks level 2 - Equity Derivatives level 1)	Belgium, France, Netherlands, United Kingdom	5.00 EUR	<input checked="" type="checkbox"/>
Euronext Data Bundle- Level 2 (Stocks level 2 - Equity Derivatives level 2) effective 1/1/2009	Belgium, France, Netherlands, United Kingdom	37.00 EUR	<input type="checkbox"/>
Euronext.Liffe Commodities Derivatives Level 1	Belgium, France, Netherlands, United Kingdom	20.00 EUR	<input type="checkbox"/>
Euronext.Liffe Commodities Derivatives Level 2	Belgium, France, Netherlands, United Kingdom	25.00 EUR	<input type="checkbox"/>
Euronext.Liffe Interest rate Derivatives Level 1	Belgium, France, Netherlands, United Kingdom	38.00 EUR	<input type="checkbox"/>
Euronext.Liffe Interest rate Derivatives Level 2	Belgium, France, Netherlands, United Kingdom	45.00 EUR	<input type="checkbox"/>
LSE International Order Book 1 Non-Professional	Belgium, France, Netherlands, United Kingdom	3.00 GBP	<input type="checkbox"/>
Non-professional LSE Level I+	Belgium, France, Netherlands, United Kingdom	5.00 GBP	<input checked="" type="checkbox"/>
UK-Cleared Commodities	Belgium, France, Netherlands, United Kingdom	Free	<input checked="" type="checkbox"/>
Eurex (DTB) - DJ STOXX indices	Germany	8.00 EUR	<input type="checkbox"/>
Eurex (DTB) Non-professional - Level I	Germany	8.00 EUR	<input type="checkbox"/>
Eurex (DTB) Non-professional - Level II	Germany	12.00 EUR	<input type="checkbox"/>
German Indices & XETRA ETFs (IBIS + FWB) Non-professional - Level I	Germany	1.00 EUR	<input type="checkbox"/>
German Stock Bundle (IBIS + FWB) Non-professional - Level I	Germany	15.00 EUR	<input type="checkbox"/>
German Stock Bundle (IBIS + FWB) Non-professional - Level II	Germany	20.00 EUR	<input type="checkbox"/>

Europe	Countries	Price	
Stuttgart Boerse incl. Euwax (SWB)	Germany	4.00 EUR	<input type="checkbox"/>
Xetra (IBIS) European Stars segment Non-professional - Level I	Germany	2.00 EUR	<input type="checkbox"/>
Xetra (IBIS) European Stars segment Non-professional - Level II	Germany	3.00 EUR	<input type="checkbox"/>
Xetra (IBIS) US Stars segment Non-professional - Level I	Germany	4.00 EUR	<input type="checkbox"/>
Xetra (IBIS) US Stars segment Non-professional - Level II	Germany	6.00 EUR	<input type="checkbox"/>
Italian Market (BVME stock / IDEM deriv) Non-professional Level I	Italy	3.00 EUR	<input type="checkbox"/>
Italian Market (BVME stock / IDEM deriv) Non-professional Level II	Italy	17.00 EUR	<input type="checkbox"/>
Bolsa de Madrid Level I Plus Non-Professional	Spain	4.00 EUR	<input type="checkbox"/>
Bolsa de Madrid Level II Non-Professional	Spain	12.00 EUR	<input type="checkbox"/>
MEFF Renta Variable Market Center Level I	Spain	10.00 EUR	<input type="checkbox"/>
MEFF Renta Variable Market Center Level II	Spain	15.00 EUR	<input type="checkbox"/>
Stockholm Derivatives Exchange - Level I Non-professional	Sweden	1.00 EUR	<input type="checkbox"/>
Stockholm Derivatives Exchange - Level II Non-professional	Sweden	5.00 EUR	<input type="checkbox"/>
Stockholm Stock Exchange - Level I Non-professional	Sweden	20.00 SEK	<input type="checkbox"/>
Stockholm Stock Exchange - Level II Non-professional	Sweden	60.00 SEK	<input type="checkbox"/>
Scoach Switzerland Warrant Data Level I Non-Professional	Switzerland	6.00 CHF	<input type="checkbox"/>
Scoach Switzerland Warrant Data Level II Non-Professional	Switzerland	8.00 CHF	<input type="checkbox"/>
VIRTX/EBS Non-professional - Level I	Switzerland	10.00 CHF	<input type="checkbox"/>
VIRTX/EBS Non-professional - Level II	Switzerland	50.00 CHF	<input type="checkbox"/>

Asia-Pacific	Countries	Price	
Australian Stock Exchange Non-Professional	Australia	37.50 AUD	<input type="checkbox"/>
Sydney Futures Exchange	Australia	Free	<input type="checkbox"/>
Hang Seng Indices Non-professional	Hong Kong	15.00 HKD	<input type="checkbox"/>
Hong Kong Derivatives (Fut & Opt) Level I Non-Professional	Hong Kong	25.00 HKD	<input type="checkbox"/>
Hong Kong Derivatives (Futures) Level II Non-Professional	Hong Kong	100.00 HKD	<input type="checkbox"/>
Hong Kong Mercantile Exchange	Hong Kong	Free	<input type="checkbox"/>
Hong Kong Securities Exchange (Stocks & Warrants) - Level I	Hong Kong	120.00 HKD	<input type="checkbox"/>
Hong Kong Securities Exchange (Stocks & Warrants) - Level II	Hong Kong	200.00 HKD	<input type="checkbox"/>
National Stock Exchange of India, Capital Market Segment, Level I	India	Free	<input type="checkbox"/>
National Stock Exchange of India, Capital Market Segment, Level II	India	Free	<input type="checkbox"/>
National Stock Exchange of India, Futures and Options Segment, Level I	India	Free	<input type="checkbox"/>
National Stock Exchange of India, Futures and Options Segment, Level II	India	Free	<input type="checkbox"/>
Asian ECN Stocks (Chi-X Japan) Non-professional	Japan	Free	<input checked="" type="checkbox"/>
Osaka Securities Exchange - Non-professional	Japan	200.00 JPY	<input type="checkbox"/>
Tokyo Stock Exchange - Non-professional	Japan	300.00 JPY	<input type="checkbox"/>
Korean Markets	Korea	Free	<input type="checkbox"/>
Singapore	Singapore	Free	<input checked="" type="checkbox"/>

Pricing Structure

IB offers two pricing structures for stocks/ETFs/warrants, and futures. For stocks/ETFs/warrants in all regions, and for futures traded non-US exchanges, our "bundled" structure includes a single flat rate which covers commissions plus all exchange, clearing, broker and regulatory fees. Our "unbundled" structure charges a volume-based commission which is added to any external exchange, clearing, regulatory and other fees. For futures traded on US exchanges, we offer two cost-plus pricing structures: Flat Rate, which charges a flat IB rate per contract exclusive of exchange and regulatory fees, and Volume Tiered, which uses volume-tiered pricing. The Volume Tiered adds a fixed IB fee (which varies by volume) to the fees charged by the exchanges and regulators. For a schedule of pricing structures, see the website.

Customers trading large volumes, covered under a futures exchange member or incentive program, or those that add stock liquidity will generally do better with our Volume Tiered / Unbundled pricing structure. For more information on stocks pricing structures, [click here](#), and for futures, [click here](#).

Choose a Pricing Structure below:

Stocks (ETFs, Warrants) Pricing Structure:

☐ Flat Rate ☐ Cost Plus

Futures Pricing Structure

☐ Flat Rate (US exchanges) / Bundled (Non-US exchanges) ☐ Volume Tiered (US exchanges) / Unbundled (Non-US exchanges)

Should you have any questions, please contact your IB Sales Representative or send us an email addressed to newaccounts@interactivebrokers.com or call:

1-877-4IB-BRKR (877-442-2757) or +1-312-542-6901.

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding

» Section References are to the Internal Revenue Code. » See separate instructions.
» Give this form to the withholding agent or payer. Do not send to the IRS.

OMB No. 1545-1621

Do not use this form for:

Instead, use Form:

- A U.S. citizen or other U.S. person, including a resident alien individual.....W-9
- A person claiming that income is effectively connected with the conduct of a trade or business in the United States.....W-8ECI
- A foreign partnership, a foreign simple trust, or a foreign grantor trust (see instructions for exceptions)....W-8ECI or W-8IMY
- A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession that received effectively connected income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (see instructions).....W-8ECI or W-8EXP

Note: These entities should use Form W-8BEN if they are claiming treaty benefits or are providing the form only to claim they are a foreign person exempt from backup withholding.

- A person acting as an intermediary.....W-8IMY

Note: See instructions for additional exceptions.

Part I Identification of Beneficial Owner (See instructions.)

1 Name of individual or organization that is the beneficial owner	2 Country of incorporation or organization
Vitaly Bezrodnykh	N/A

- 3 Type of beneficial owner: ☒ Individual ☐ Corporation ☐ Disregarded entity ☐ Partnership ☐ Simple trust
☐ Grantor trust ☐ Complex trust ☐ Estate ☐ Government ☐ International organization
☐ Central bank of issue ☐ Tax-exempt organization ☐ Private foundation

4 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.

10K1 Volokolamsky 3 Proezd Apt 5

City or town, state or province. Include postal code where appropriate.

Moscow, 123060	Country (do not abbreviate) Russian Federation
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5 Mailing address (if different from above)

623 Hickory Place

City or town, state or province. Include postal code where appropriate.

Santa Clara, CA 95051-6124	Country (do not abbreviate) United States
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6 U.S. taxpayer identification number, if required (see instructions)

<input type="checkbox"/> SSN or ITI <input type="checkbox"/> EIN	7 Foreign tax identifying number, if any (optional)

8 Reference number(s) (see instructions)

Part II Claim of Tax Treaty Benefits (if applicable)

9 I certify that (check all that apply):

- a ☒ The beneficial owner is a resident of **Russian Federation** within the meaning of the income tax treaty between the United States and that country.
- b ☐ If required, the U.S. taxpayer identification number is stated on line 6 (see instructions).
- c ☐ The beneficial owner is not an individual, derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits (see instructions).
- d ☐ The beneficial owner is not an individual, is claiming treaty benefits for dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation, and meets qualified resident status (see instructions).
- e ☐ The beneficial owner is related to the person obligated to pay the income within the meaning of section 267(b) or 707(b), and will file Form 8833 if the amount subject to withholding received during a calendar year exceeds, in the aggregate, \$500,000.

10Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article

of the treaty identified on line 9a above to claim a

% rate of withholding on (specify
type of income):

.

Explain the reasons the beneficial owner meets the terms of the treaty article:

Part III Claim of Tax Treaty Benefits (if applicable)

11 ☐ I have provided or will provide a statement that identifies those notional principal contracts from which the income is not effectively connected with the conduct of a trade or business in the United States. I agree to update this statement as required.

Part IV Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the beneficial owner (or am authorized to sign for the beneficial owner) of all the income to which this form relates,
- The beneficial owner is not a U.S. person,
- The income to which this form relates is (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income, **and**
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

08-07-2011

Sign Here

Signature of beneficial owner (or individual authorized to sign for beneficial owner)Date (MM-DD-YYYY)Capacity in which acting

For Paperwork Reduction Act Notice, see separate instructions.

Cat. No. 25047Z

Form **W-8BEN** (Rev. 2-2006)

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