

# HW3 Report

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# Task 1

## Error Analysis

In this task, there are 20 queries in total. By observing the result output, only one relevant answer is retrieved according to our naive white space tokenization with cosine similarity algorithm. Namely, the correctness rate is only 1/20, which is not acceptable. Below is the error analysis of some selective queries.

Q1: Give us the name of the volcanoes that destroyed the ancient city of Pompeii

The output is :

consine=0.6396	rank=1	qid=1	rel=0	Vesuvius is located near the ruins of the destroyed city of Pompeii.
consine=0.2791	rank=2	qid=1	rel=1	In A.D. 79, long-dormant Mount Vesuvius erupted, burying in volcanic ash the Roman
consine=0.2446	rank=3	qid=1	rel=0	You can see Vesuvius in the background, near ruins of Pompei; its last eruption wa
consine=0.2068	rank=4	qid=1	rel=0	In 79 A.D., this ancient city was buried in an avalanche of hot ash from Mount Ves

The relevant answer ranks 2nd with cosine value 0.2791. We can tell the match words are city, of , the. The word Pompeii; doesn't match Pompeii in query, because of the punctuation. Also the word volcanic can't match volcanoes in query. In addition, the relevant answer has too many useless and redundant words, which decrease its cosine value.

Q2: What has been the largest crowd to ever come see Michael Jordan

The output is :

consine=0.301	rank=1	qid=2	rel=0	A supposedly last play of Michael Jordan gathered some of the largest c
consine=0.2858	rank=2	qid=2	rel=1	When Michael Jordan--one of the greatest basketball player of all time--
consine=0.1987	rank=3	qid=2	rel=0	In the Bulls' last visit to Atlanta, an NBA-record 62,046 fans showed up
consine=0.1952	rank=4	qid=2	rel=0	The Immortal World Tour of Michael Jackson gathered some of the largest

The relevant answer ranks 2nd with cosine value 0.2858. We can tell word Jordan—one is not disjoint so that it cannot math the word Jordan in Query. In addition, the relevant answer has too many useless and redundant words, which decrease its cosine value.

Q3: In which year did a purchase of Alaska happen?

The output is :

consine=0.4216	rank=1	qid=3	rel=0	William Seward negotiated a purchase of Alaska for \$7.2 million.
consine=0.2673	rank=2	qid=3	rel=0	1867 - U.S. President Andrew Jackson proclaims treaty for purchase of ,
consine=0.2357	rank=3	qid=3	rel=1	Alaska was purchased from Russia in year 1867.

The relevant answer ranks 3rd with cosine value 0.2357. The word **purchased** is not match the word purchase in query cause the different tense.

Q5: What **river** **is** called **China's Sorrow**?

The output is :

consine=0.4082	rank=1	qid=5	rel=0	Yellow river is often called the mother of China
consine=0.1633	rank=2	qid=5	rel=0	Yangtze is longest river in Asia in general and in China, in p
consine=0.0	rank=3	qid=5	rel=1	People of China have mixed feelings about River, which they c

The relevant answer ranks 3rd with cosine value 0.0. The word **River**, doesn't match river because of the capital case 'R' and punctuation ','. And 'China's Sorrow?' can't match ' **"sorrow of China"** '. So if we omit the possessive case ' 's ' , and punctuation ' ? ' , it can increase the correctness rate.

Q9: What was **the first** **spaceship** on the **moon**

The output is:

consine=0.6529	rank=1	qid=9	rel=0	Eagle was the first manned spacecraft that reached the surface
consine=0.5804	rank=2	qid=9	rel=1	Luna 2 was the first spacecraft to reach the surface of the Moc
consine=0.4183	rank=3	qid=9	rel=0	And he was down to about 15 seconds of fuel, after dodging boi
consine=0.3408	rank=4	qid=9	rel=0	When the cool-thinking Armstrong realized that the computer r

The relevant answer ranks 2nd with cosine value 0.5804. First, the word ' **Moon** ' doesn't match 'moon' because of capital case 'M'. Plus, **Luna 2** actually is a word represent the spaceship, but it is split wrongly. In addition, the word ' **spacecraft** ' is synonymous with the word 'spaceship'. If we can recognize synonyms, it will increase cosine value.

Q12: What is **the** height of the **tallest** **redwood**?

The output is:

consine=0.5721	rank=1	qid=12	rel=0	Mendocino Tree is the tallest redwood in the world.
consine=0.3835	rank=2	qid=12	rel=0	"Mendocino Tree" has been officially decreed the tallest living
consine=0.3162	rank=3	qid=12	rel=1	Named the "Mendocino Tree," the 600- to 800-year-old redwood
consine=0.2981	rank=4	qid=12	rel=0	The tallest tree alive in the world today is a 370-foot-tall re

The relevant answer ranks 3rd with cosine value 0.3162. The word **"tall"** can't match "tallest" because of comparative degree.

Q13: How deep is Crater Lake?

The output is:

consine=0.2697	rank=1	qid=13	rel=0	Crater Lake is a caldera lake in the western United States.
consine=0.1348	rank=2	qid=13	rel=0	There are no rivers flowing into or out of Crater Lake
consine=0.1195	rank=3	qid=13	rel=1	Oregon's Crater Lake tops it at 1,932 feet at its greatest depth

The relevant answer ranks 3rd with cosine value only 0.1195. The word ‘depth’ doesn’t match ‘deep’, because of the word property. Actually, the two words tell the same thing.

Q16: When did Bob Marley die

The output is :

```
consine=0.3464 rank=1 qid=16 rel=0 Bob Marley was a Jamaican reggae singer-songwriter, musician
consine=0.3354 rank=2 qid=16 rel=0 Without proper and timely removal of tumor one can die like
consine=0.2828 rank=3 qid=16 rel=1 Bob Marley died in 1981 from cancer at age 36.
```

The relevant answer ranks 3rd with cosine vale 0.2828. First, because of different tense, word ‘died’ doesn’t match ‘die’. Plus, the word ‘did’ from the 1st answer does match the word ‘did’ in query, which increase its cosine value wrongly. So it is a useless stop word.

Summary :

Error Type	Queries	Examples
There are too many useless stop words, which decrease the cosine value.	Q1,Q2,Q4,Q8,Q16	an, in, of, the , on, etc.
Different tenses, the stemming problem	Q3,Q7,Q8,Q16	die, died; become, became; etc.
Punctuation , such comma, hyphen	Q6,Q18,Q19,Q14,Q20,Q1,Q5	? , - “ “ ; etc
Capital case and lower case	Q5,Q9,Q15,Q17	R’ ‘r’ ; ‘M’ ‘m’
word property	Q12,Q13	‘deep, depth’ ‘tall, tallest’
possessive case	Q5,Q11	Devil, Devil’s
synonyms	Q9	spaceship, spacecraft
plural nouns	Q6	minute, minutes
redundancy and irreverent information	Q1, Q2, Q4	refer to specific answers

In task 2, I try to use different tokenization methods, such as delete punctuation and stop words, omit capital case; and different stemming methods, such as StanfordLemmatizers ; and different similarity methods, such as TF – IDF.

# Task 2

## System Design

Better Tokenization algorithm:

In task 2, based on the error analysis of Task1, the errors such as capitalization, punctuation, too many stop words would impair our cosine similarity. So. I try to implement better tokenization algorithm, which combining different tokenization methods including naive white space tokenizer, capitalization deletion, punctuation deletion, stop-word deletion. These methods are all in Task2Tokenization.java.

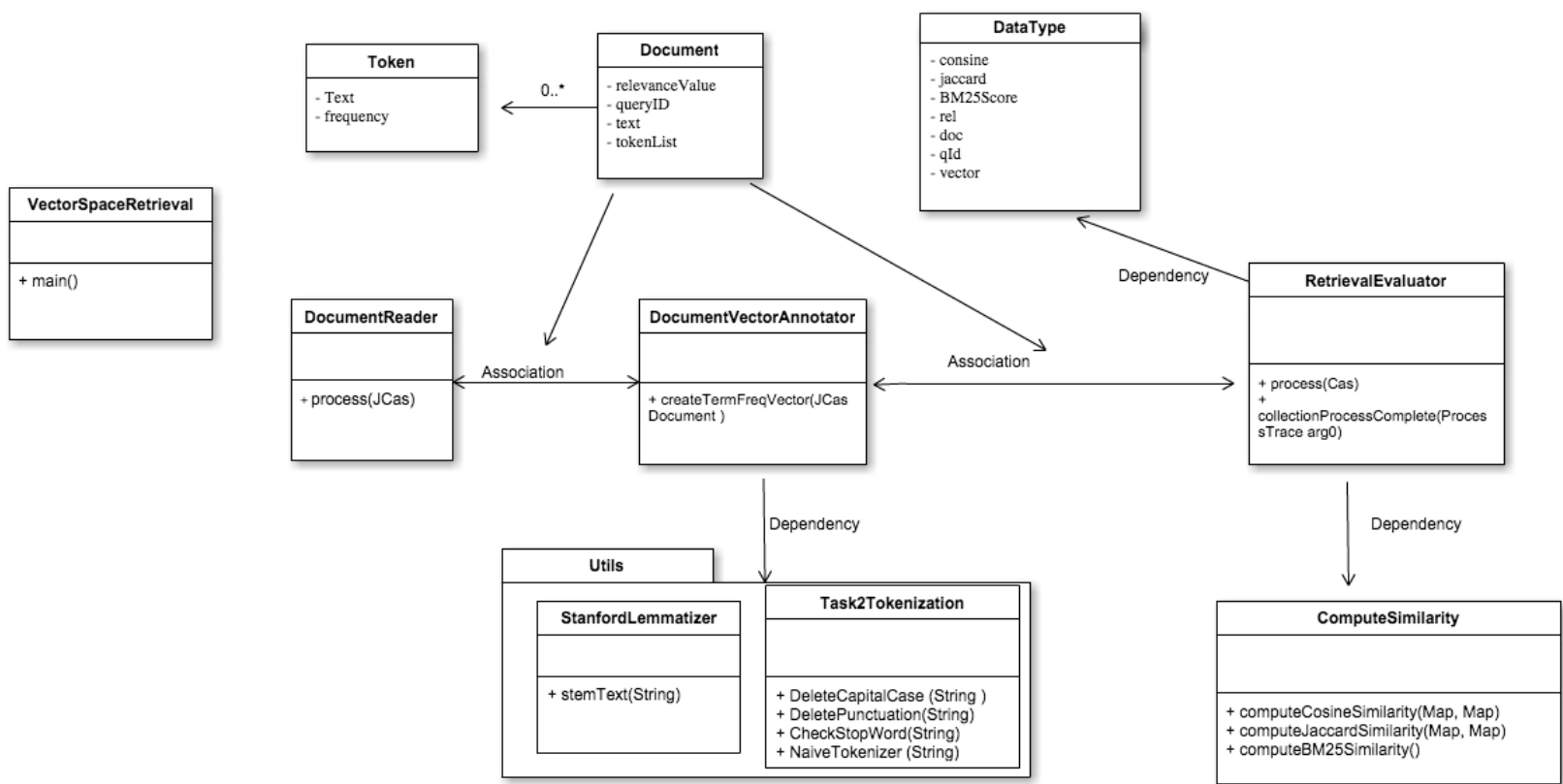
Better Stemming algorithm:

Because the simple vector model does not understand that die and died denote the same thing in most contexts. I use the Stanford lemmatizer provided in util.StanfordLemmatizer to lemmatize these kind of words.

Different similarity measures:

Basically, I implement another 2 different methods : Jaccard Coefficient and BM25. All these methods are in ComputeSimilarity.java.

### UML Diagram:



## System Description

As same as task1, task2 system consists of VectorSpaceRetrieval, DocumentReader, DocumentVectorAnnotator and RetrievalEvaluator. The DataType class contains the useful information (cosine, jaccard, BM25Score, rel, doc, qld, vector) for RetrievalEvaluator.

I include all the tokenization methods in Task2Tokenization class :

1. DeleteCapitalCase(String) : this method converts all the capitalized character to lowercase ;
2. DeletePunctuation(String) : this method deletes all the punctuations in a given sentence ;
3. NaiveTokenizer(String) : this method just uses the provided tokenizer0 to spit sentences by white space;
4. CheckStopWord(String) : this method reads content from stopwords.txt and store all the words in Set<String> as dictionary, then it checks if a given string is in this dictionary. If it exists, return true, else return false;

In addition, I use StanfordLemmatizer to lemmatize the words with same meaning so that it will increase cosine similarity.

In the similarity measures part, I put all the similarity methods in ComputeSimilarity class.

1. ComputeCosineSimilarity(Map query, Map document) : this method compute the cosine value between two vectors, say query and document.

The formula is :

$$\text{similarity} = \cos(\theta) = \frac{A \cdot B}{\|A\| \|B\|} = \frac{\sum_{i=1}^n A_i \times B_i}{\sqrt{\sum_{i=1}^n (A_i)^2} \times \sqrt{\sum_{i=1}^n (B_i)^2}}$$

2. ComputeJaccardSimilarity(Map query, Map document) : this method measures the similarity between two sets, the value is the size of the intersection divided by the size of the union of the same sets. And I use a similar method to implement Jaccard.

The formular is :

$$J(\mathbf{x}, \mathbf{y}) = \frac{\sum_i \min(x_i, y_i)}{\sum_i \max(x_i, y_i)},$$

3. ComputeBM25Similarity() : this method bases on the query terms appearing each document, regardless of the inter-relationship between the query terms within a document.

The formular is :

$$\text{score}(D, Q) = \sum_{i=1}^n \text{IDF}(q_i) \cdot \frac{f(q_i, D) \cdot (k_1 + 1)}{f(q_i, D) + k_1 \cdot (1 - b + b \cdot \frac{|D|}{\text{avgl}})}$$

$$\text{IDF}(q_i) = \log \frac{N - n(q_i) + 0.5}{n(q_i) + 0.5}$$

After testing , I choose  $k_1 = 1.2$  and  $b = 0.75$  as the parameter for this BM25 algorithm.

### Comparison Testing:

1. Use DeleteCapitalization and White space tokenizer with cosine similarity, the result shows below:

consine=0.2667	rank=2	qid=1	rel=1	In A.D. 79, long-dormant Mount Vesuvius erupted, burying i
consine=0.3266	rank=1	qid=2	rel=1	When Michael Jordan--one of the greatest basketball player
consine=0.3536	rank=2	qid=3	rel=1	Alaska was purchased from Russia in year 1867.
consine=0.2315	rank=2	qid=4	rel=1	On March 2, 1962, Wilt Chamberlain scored a record 100 poi
consine=0.0	rank=3	qid=5	rel=1	People of China have mixed feelings about River, which th
consine=0.5547	rank=2	qid=6	rel=1	Roger Bannister was the first to break the four-minute mil
consine=0.0891	rank=3	qid=7	rel=1	And that's not even to mention the breathtaking beauty of ,
consine=0.1833	rank=2	qid=8	rel=1	Fighting for Holyfield's WBA heavyweight title on June 28,
consine=0.5804	rank=2	qid=9	rel=1	Luna 2 was the first spacecraft to reach the surface of th
consine=0.75	rank=1	qid=10	rel=1	Menchu won the Nobel peace prize in 1992.
consine=0.1768	rank=4	qid=11	rel=1	Devils Tower can be found in Crook County
consine=0.3162	rank=4	qid=12	rel=1	Named the "Mendocino Tree," the 600- to 800-year-old redwo
consine=0.1195	rank=3	qid=13	rel=1	Oregon's Crater Lake tops it at 1,932 feet at its greatest
consine=0.4216	rank=3	qid=14	rel=1	Lionel Richiewas was lead singer and songwriter for Commo
consine=0.0756	rank=3	qid=15	rel=1	A new look at NASA satellite data revealed that Earth set
consine=0.2828	rank=3	qid=16	rel=1	Bob Marley died in 1981 from cancer at age 36.
consine=0.3015	rank=3	qid=17	rel=1	Corn futures found support from forecasts for above-normal
consine=0.2265	rank=2	qid=18	rel=1	From a single hamburger stand in San Bernardino, Calif., i
consine=0.2417	rank=3	qid=19	rel=1	On May 6, 1937, the hydrogen-filled German dirigible Hinde
consine=0.3078	rank=2	qid=20	rel=1	They call it the Keystone State, and in this unpredictable
(MRR) Mean Reciprocal Rank ::0.4583				



We can see the MRR increases to 0.4583 from 0.4375. So this tokenizer does improve the system performance.

2. Use DeleteCapitalization ,DeletePunctuation, CheckStopwords and White space tokenizer with cosine similarity, the result shows below:

consine=0.1768	rank=3	qid=1	rel=1	In A.D. 79, long-dormant Mount Vesuvius erupted, burying in v
consine=0.1612	rank=3	qid=2	rel=1	When Michael Jordan--one of the greatest basketball player of
consine=0.4472	rank=1	qid=3	rel=1	Alaska was purchased from Russia in year 1867.
consine=0.4529	rank=2	qid=4	rel=1	On March 2, 1962, Wilt Chamberlain scored a record 100 points
consine=0.3015	rank=2	qid=5	rel=1	People of China have mixed feelings about River, which they
consine=0.2857	rank=2	qid=6	rel=1	Roger Bannister was the first to break the four-minute mile b
consine=0.3162	rank=2	qid=7	rel=1	And that's not even to mention the breathtaking beauty of Ala
consine=0.3586	rank=2	qid=8	rel=1	Fighting for Holyfield's WBA heavyweight title on June 28, 19
consine=0.4364	rank=1	qid=9	rel=1	Luna 2 was the first spacecraft to reach the surface of the M
consine=0.9129	rank=1	qid=10	rel=1	Menchu won the Nobel peace prize in 1992.
consine=0.5774	rank=1	qid=11	rel=1	Devils Tower can be found in Crook County
consine=0.1741	rank=4	qid=12	rel=1	Named the "Mendocino Tree," the 600- to 800-year-old redwood
consine=0.4082	rank=3	qid=13	rel=1	Oregon's Crater Lake tops it at 1,932 feet at its greatest de
consine=0.7071	rank=1	qid=14	rel=1	Lionel Richiewas was lead singer and songwriter for Commodore
consine=0.2801	rank=3	qid=15	rel=1	A new look at NASA satellite data revealed that Earth set a r
consine=0.4364	rank=2	qid=16	rel=1	Bob Marley died in 1981 from cancer at age 36.
consine=0.2236	rank=3	qid=17	rel=1	Corn futures found support from forecasts for above-normal
consine=0.0	rank=2	qid=18	rel=1	From a single hamburger stand in San Bernardino, Calif., in 1
consine=0.1622	rank=3	qid=19	rel=1	On May 6, 1937, the hydrogen-filled German dirigible Hindenbu
consine=0.4714	rank=1	qid=20	rel=1	They call it the Keystone State, and in this unpredictable el

(MRR) Mean Reciprocal Rank ::0.5875

We can see the MRR further increase by about 0.13. So combining these tokenization methods will improve the system performance well.

3. Then we add StanfordLemmatizer method, the result shows below:

consine=0.1612	rank=3	qid=2	rel=1	When Michael Jordan--one of the greatest basketba
consine=0.6708	rank=1	qid=3	rel=1	Alaska was purchased from Russia in year 1867.
consine=0.5661	rank=2	qid=4	rel=1	On March 2, 1962, Wilt Chamberlain scored a recor
consine=0.7538	rank=1	qid=5	rel=1	People of China have mixed feelings about River,
consine=0.2857	rank=3	qid=6	rel=1	Roger Bannister was the first to break the four-m
consine=0.5	rank=1	qid=7	rel=1	And that's not even to mention the breathtaking b
consine=0.4781	rank=2	qid=8	rel=1	Fighting for Holyfield's WBA heavyweight title on
consine=0.4364	rank=1	qid=9	rel=1	Luna 2 was the first spacecraft to reach the surfi
consine=0.9129	rank=1	qid=10	rel=1	Menchu won the Nobel peace prize in 1992.
consine=0.5774	rank=1	qid=11	rel=1	Devils Tower can be found in Crook County
consine=0.1741	rank=4	qid=12	rel=1	Named the "Mendocino Tree," the 600- to 800-year-1
consine=0.4082	rank=3	qid=13	rel=1	Oregon's Crater Lake tops it at 1,932 feet at its
consine=0.7071	rank=1	qid=14	rel=1	Lionel Richiewas was lead singer and songwriter fi
consine=0.2649	rank=3	qid=15	rel=1	A new look at NASA satellite data revealed that Ei
consine=0.6547	rank=1	qid=16	rel=1	Bob Marley died in 1981 from cancer at age 36.
consine=0.2236	rank=3	qid=17	rel=1	Corn futures found support from forecasts for abo
consine=0.1204	rank=3	qid=18	rel=1	From a single hamburger stand in San Bernardino, 1
consine=0.1622	rank=3	qid=19	rel=1	On May 6, 1937, the hydrogen-filled German dirigil
consine=0.4714	rank=1	qid=20	rel=1	They call it the Keystone State, and in this unpr

(MRR) Mean Reciprocal Rank ::0.6458



We can see the MRR increases by about 0.6. Here, we have combined all the implemented tokenizers and stemmer.

4. Try to use Jaccard coefficient , the result shows below:

jaccard=0.0909	rank=3	qid=1	rel=1	In A.D. 79, long-dormant Mount Vesuvius erupted, burying
jaccard=0.08	rank=3	qid=2	rel=1	When Michael Jordan--one of the greatest basketball playe
jaccard=0.5	rank=1	qid=3	rel=1	Alaska was purchased from Russia in year 1867.
jaccard=0.3571	rank=2	qid=4	rel=1	On March 2, 1962, Wilt Chamberlain scored a record 100 po
jaccard=0.4444	rank=1	qid=5	rel=1	People of China have mixed feelings about River, which t
jaccard=0.1667	rank=2	qid=6	rel=1	Roger Bannister was the first to break the four-minute mi
jaccard=0.3	rank=1	qid=7	rel=1	And that's not even to mention the breathtaking beauty of
jaccard=0.2667	rank=2	qid=8	rel=1	Fighting for Holyfield's WBA heavyweight title on June 28
jaccard=0.25	rank=1	qid=9	rel=1	Luna 2 was the first spacecraft to reach the surface of t
jaccard=0.8333	rank=1	qid=10	rel=1	Menchu won the Nobel peace prize in 1992.
jaccard=0.3333	rank=1	qid=11	rel=1	Devils Tower can be found in Crook County
jaccard=0.0769	rank=4	qid=12	rel=1	Named the "Mendocino Tree," the 600- to 800-year-old redw
jaccard=0.2222	rank=3	qid=13	rel=1	Oregon's Crater Lake tops it at 1,932 feet at its greates
jaccard=0.5	rank=1	qid=14	rel=1	Lionel Richiewas was lead singer and songwriter for Commo
jaccard=0.125	rank=3	qid=15	rel=1	A new look at NASA satellite data revealed that Earth set
jaccard=0.4286	rank=1	qid=16	rel=1	Bob Marley died in 1981 from cancer at age 36.
jaccard=0.1053	rank=3	qid=17	rel=1	Corn futures found support from forecasts for above-norma
jaccard=0.04	rank=3	qid=18	rel=1	From a single hamburger stand in San Bernardino, Calif.,
jaccard=0.08	rank=3	qid=19	rel=1	On May 6, 1937, the hydrogen-filled German dirigible Hind
jaccard=0.2222	rank=1	qid=20	rel=1	They call it the Keystone State, and in this unpredictabl

(MRR) Mean Reciprocal Rank ::0.6542

The final MRR is 0.6542. It is very similar to cosine similarity. This method chooses 9 relevant document correctly, which is same as cosine similarity.

5. Try to use BM25, the result shows below:

BM25=2.2935	rank=3	qid=1	rel=1	In A.D. 79, long-dormant Mount Vesuvius erupted, burying in volce
BM25=2.3664	rank=3	qid=2	rel=1	When Michael Jordan--one of the greatest basketball player of all
BM25=3.9567	rank=1	qid=3	rel=1	Alaska was purchased from Russia in year 1867.
BM25=5.346	rank=2	qid=4	rel=1	On March 2, 1962, Wilt Chamberlain scored a record 100 points in
BM25=4.6021	rank=1	qid=5	rel=1	People of China have mixed feelings about River, which they oft
BM25=2.7596	rank=3	qid=6	rel=1	Roger Bannister was the first to break the four-minute mile barri
BM25=4.0698	rank=1	qid=7	rel=1	And that's not even to mention the breathtaking beauty of Alaska
BM25=5.6976	rank=2	qid=8	rel=1	Fighting for Holyfield's WBA heavyweight title on June 28, 1997,
BM25=3.2561	rank=1	qid=9	rel=1	Luna 2 was the first spacecraft to reach the surface of the Moon.
BM25=8.8218	rank=1	qid=10	rel=1	Menchu won the Nobel peace prize in 1992.
BM25=3.3806	rank=1	qid=11	rel=1	Devils Tower can be found in Crook County
BM25=1.2959	rank=4	qid=12	rel=1	Named the "Mendocino Tree," the 600- to 800-year-old redwood sl
BM25=2.0154	rank=3	qid=13	rel=1	Oregon's Crater Lake tops it at 1,932 feet at its greatest depth.
BM25=3.5531	rank=1	qid=14	rel=1	Lionel Richiewas was lead singer and songwriter for Commodores.
BM25=1.7299	rank=3	qid=15	rel=1	A new look at NASA satellite data revealed that Earth set a new r
BM25=3.9365	rank=1	qid=16	rel=1	Bob Marley died in 1981 from cancer at age 36.
BM25=2.0313	rank=3	qid=17	rel=1	Corn futures found support from forecasts for above-normal temp
BM25=0.871	rank=3	qid=18	rel=1	From a single hamburger stand in San Bernardino, Calif., in 1948,
BM25=2.0976	rank=3	qid=19	rel=1	On May 6, 1937, the hydrogen-filled German dirigible Hindenburg
BM25=2.252	rank=1	qid=20	rel=1	They call it the Keystone State, and in this unpredictable electi

(MRR) Mean Reciprocal Rank ::0.6458

According to my implemented BM25 ,we can see the ranking is the same as cosine similarity. So the MRR is also the same. I' m a little curious that the ranking of documents is all the same. Maybe the sample set is too small.

So based on these 3 tests result, the performances of the three methods, cosine similarity, Jaccard coefficient, BM25 are very similar.

Below is the part of the results. I put the detailed summary results in report.xls.

Tokenizer	Stemmer	qid	Relevance	Cosine Similarity	Jaccard	BM25
naive white space, capitalization deletion,punctuation deletion, stop-word deletion	StanfordLemmatizer	qid=1	rel=0	0.4009	0.25	4.9275
		qid=1	rel=0	0.2236	0.125	2.8877
		qid=1	rel=1	0.1768	0.0909	2.2935
		qid=1	rel=0	0.0	0.0	0.0
		qid=2	rel=0	0.4781	0.3077	6.3581
		qid=2	rel=0	0.3273	0.1875	4.431
		qid=2	rel=1	0.1612	0.08	2.3664
		qid=2	rel=0	0.0	0.0	0.0
		qid=3	rel=1	0.6708	0.5	3.9567
		qid=3	rel=0	0.378	0.2222	2.2902
		qid=3	rel=0	0.3162	0.1667	1.9486
		qid=4	rel=0	0.6172	0.4444	5.3809
		qid=4	rel=1	0.5661	0.3571	5.346
		qid=4	rel=0	0.5455	0.3333	5.1671
		qid=5	rel=1	0.7538	0.4444	4.6021
		qid=5	rel=0	0.6124	0.4286	3.6677
		qid=5	rel=0	0.378	0.2222	2.2902
		qid=6	rel=0	0.5714	0.4	5.4926
		qid=6	rel=0	0.3299	0.1304	3.1001
		qid=6	rel=1	0.2857	0.1667	2.7596
		qid=7	rel=1	0.5	0.3	4.0698
		qid=7	rel=0	0.378	0.2222	2.996

