CS 561/571:Rule-based Classification

Rule-Based Classifier

- Classify records by using a collection of "if...then..." rules
- \square Rule: (Condition) $\rightarrow y$
 - where
 - Condition is a conjunctions of attributes
 - y is the class label
 - LHS: rule antecedent or condition
 - RHS: rule consequent
 - Examples of classification rules:
 - ◆ (Blood Type=Warm) ∧ (Lay Eggs=Yes) → Birds
 - (Taxable Income < 50K) ∧ (Refund=Yes) → Evade=No

Rule-based Classifier (Example)

Name	Blood Type	Give Birth	Can Fly	Live in Water	Class
human	warm	yes	no	no	mammals
python	cold	no	no	no	reptiles
salmon	cold	no	no	yes	fishes
whale	warm	yes	no	yes	mammals
frog	cold	no	no	sometimes	amphibians
komodo	cold	no	no	no	reptiles
bat	warm	yes	yes	no	mammals
pigeon	warm	no	yes	no	birds
cat	warm	yes	no	no	mammals
leopard shark	cold	yes	no	yes	fishes
turtle	cold	no	no	sometimes	reptiles
penguin	warm	no	no	sometimes	birds
porcupine	warm	yes	no	no	mammals
eel	cold	no	no	yes	fishes
salamander	cold	no	no	sometimes	amphibians
gila monster	cold	no	no	no	reptiles
platypus	warm	no	no	no	mammals
owl	warm	no	yes	no	birds
dolphin	warm	yes	no	yes	mammals
eagle	warm	no	yes	no	birds

R1: (Give Birth = no) \land (Can Fly = yes) \rightarrow Birds

R2: (Give Birth = no) \land (Live in Water = yes) \rightarrow Fishes

R3: (Give Birth = yes) \land (Blood Type = warm) \rightarrow Mammals

R4: (Give Birth = no) \land (Can Fly = no) \rightarrow Reptiles

R5: (Live in Water = sometimes) \rightarrow Amphibians

Application of Rule-Based Classifier

A rule r covers an instance x if the attributes of the instance satisfy the condition of the rule

```
R1: (Give Birth = no) \land (Can Fly = yes) \rightarrow Birds
```

R2: (Give Birth = no)
$$\land$$
 (Live in Water = yes) \rightarrow Fishes

R3: (Give Birth = yes)
$$\land$$
 (Blood Type = warm) \rightarrow Mammals

R4: (Give Birth = no)
$$\land$$
 (Can Fly = no) \rightarrow Reptiles

R5: (Live in Water = sometimes)
$$\rightarrow$$
 Amphibians

Name	Blood Type	Give Birth	Can Fly	Live in Water	Class
hawk	warm	no	yes	no	?
grizzly bear	warm	yes	no	no	?

The rule R1 covers a hawk => Bird

The rule R3 covers the grizzly bear => Mammal

Rule Coverage and Accuracy

Coverage of a rule:

 Fraction of records that satisfy the antecedent of a rule

Accuracy of a rule:

 Fraction of records that satisfy both the antecedent and consequent of a rule

Tid	Refund	Marital Status	Taxable Income	Class
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
6	No	Married	60K	No
7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes

(Status=Single) → No
Coverage = 40%, Accuracy = 50%

How does Rule-based Classifier Work?

R1: (Give Birth = no) \land (Can Fly = yes) \rightarrow Birds

R2: (Give Birth = no) \land (Live in Water = yes) \rightarrow Fishes

R3: (Give Birth = yes) \land (Blood Type = warm) \rightarrow Mammals

R4: (Give Birth = no) \land (Can Fly = no) \rightarrow Reptiles

R5: (Live in Water = sometimes) \rightarrow Amphibians

Name	Blood Type	Give Birth	Can Fly	Live in Water	Class
lemur	warm	yes	no	no	?
turtle	cold	no	no	sometimes	?
dogfish shark	cold	yes	no	yes	?

A lemur triggers rule R3, so it is classified as a mammal

A turtle triggers both R4 and R5

A dogfish shark triggers none of the rules

Characteristics of Rule-Based Classifier

Mutually exclusive rules

- Rules are mutually exclusive if they are not triggered by the same record
- Every record is covered by at most one rule

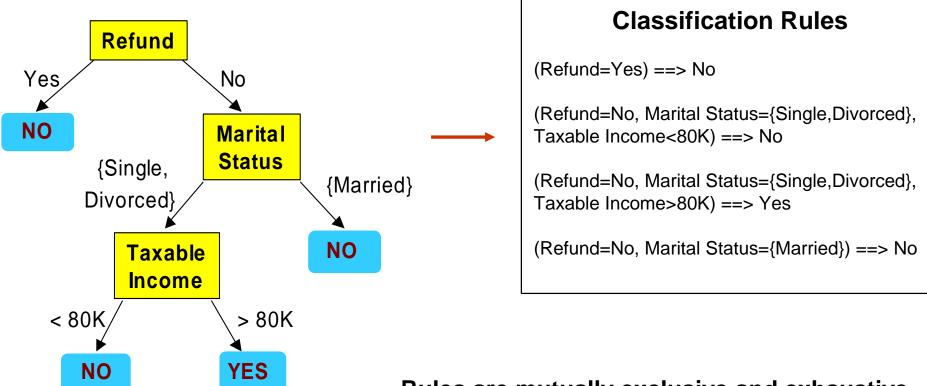
Exhaustive rules

- Classifier has exhaustive coverage if there is a rule for each combination of attribute values
- Each record is covered by at least one rule

Example

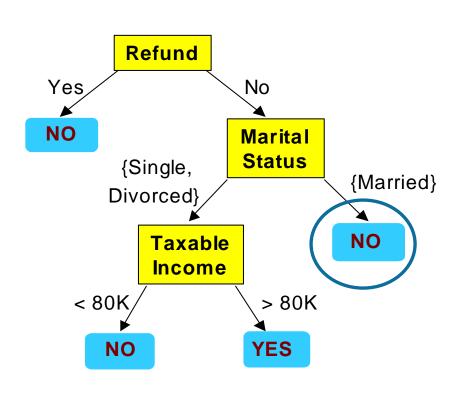
Tid	Refund	Marital Status	Taxable Income	Cheat
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
6	No	Married	60K	No
7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes

From Decision Trees To Rules



Rules are mutually exclusive and exhaustive
Rule set contains as much information as the
tree

Rules Can Be Simplified



Tid	Refund	Marital Status	Taxable Income	Cheat
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
6	No	Married	60K	No
7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes

Initial Rule: (Refund=No) ∧ (Status=Married) → No

Simplified Rule: (Status=Married) → No

Effect of Rule Simplification

- Rules are no longer mutually exclusive
 - A record may trigger more than one rule
 - Solution?
 - Ordered rule set
 - ◆ Unordered rule set use voting schemes

Ordered rule set

- Arrange according to the decreasing order of priority
 - Coverage, accuracy etc.
 - Order in which rules are generated
- for a test record
 - Classify with the highest ranked rule that covers the record

Effect of Rule Simplification

- Unordered rule set use voting schemes
 - Allow a test record to trigger multiple classification rules
 - Consider the consequent of each rule as a vote for a particular class
 - Votes are tallied to determine the class label of the test record
 - Record assigned the class having the highest number of votes

Advantage

 Less cost associated with model building (don't have to keep the rules in sorted order)

Disadvantage

 Classifying a test record is expensive as it must be compared with all the preconditions

Other issues

- Rules are no longer exhaustive
 - A record may not trigger any rules
 - Solution?
 - Use a default class

$$r_d: () \rightarrow y_d$$

Ordered Rule Set

- Rules are rank ordered according to their priority
 - An ordered rule set is known as a decision list
- When a test record is presented to the classifier
 - It is assigned to the class label of the highest ranked rule it has triggered
 - If none of the rules fired, it is assigned to the default class.

```
R1: (Give Birth = no) \land (Can Fly = yes) \rightarrow Birds
```

R2: (Give Birth = no) \land (Live in Water = yes) \rightarrow Fishes

R3: (Give Birth = yes) \land (Blood Type = warm) \rightarrow Mammals

R4: (Give Birth = no) \land (Can Fly = no) \rightarrow Reptiles

R5: (Live in Water = sometimes) \rightarrow Amphibians

Name	Blood Type	Give Birth	Can Fly	Live in Water	Class
turtle	cold	no	no	sometimes	?

Rule Ordering Schemes

- Rule-based ordering
 - Individual rules are ranked based on their quality
- Class-based ordering
 - Rules that belong to the same class appear together

Rule-based Ordering

(Refund=Yes) ==> No

(Refund=No, Marital Status={Single,Divorced}, Taxable Income<80K) ==> No

(Refund=No, Marital Status={Single,Divorced}, Taxable Income>80K) ==> Yes

(Refund=No, Marital Status={Married}) ==> No

Class-based Ordering

(Refund=Yes) ==> No

(Refund=No, Marital Status={Single,Divorced}, Taxable Income<80K) ==> No

(Refund=No, Marital Status={Married}) ==> No

(Refund=No, Marital Status={Single,Divorced}, Taxable Income>80K) ==> Yes

Building Classification Rules

- Direct Method:
 - Extract rules directly from data
 - e.g.: RIPPER, CN2, Holte's 1R

- Indirect Method:
 - Extract rules from other classification models (e.g. decision trees, neural networks, etc.)
 - e.g: C4.5 rules

Direct Method: Sequential Covering

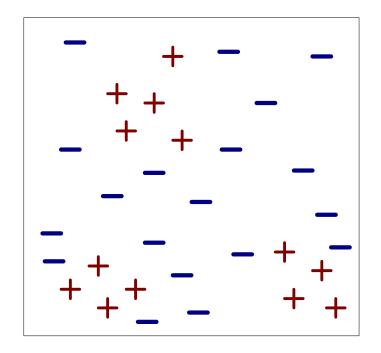
- Algorithm extracts rules one class at a time for the datasets
 - Class sequence depends on a number of factors: class prevalence (# records that belong to a particular class) cost of misclassifying records from a given class

- Start from an empty rule
- Grow a rule using the Learn-One-Rule function
- 3. Remove training records covered by the rule
- Repeat Step (2) and (3) until stopping criterion is met

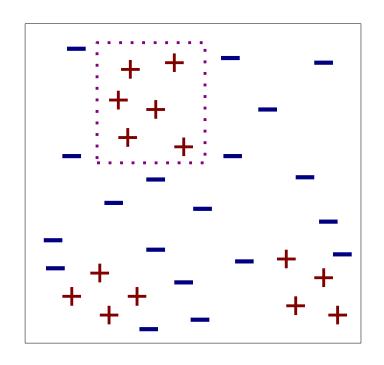
Sequential Covering

- For any class y
 - All the training records belong to class y are positive examples
 - Other training records are the negative examples for class
- Desirable rule for Learn-One-Rule function
 - Covers most of the +ve examples and almost no -ve examples
 - Once the rule is found, training records covering the rules are eliminated
 - Finding an optimal rule is computationally expensive

Example of Sequential Covering

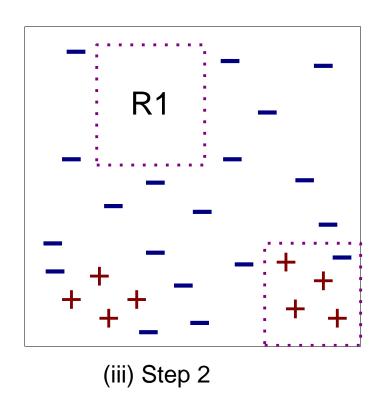


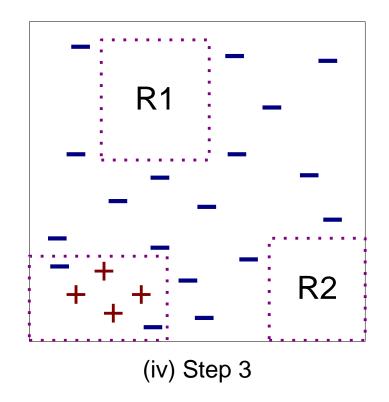
(i) Original Data



(ii) Step 1

Example of Sequential Covering...





Aspects of Sequential Covering

Rule Growing

Instance Elimination

Rule Evaluation

Stopping Criterion

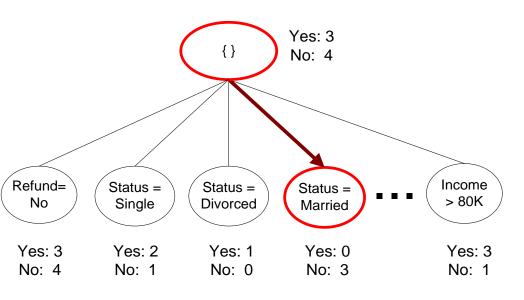
Rule Pruning

Example

Tid	Refund	Marital Status	Taxable Income	Cheat
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
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7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes

Rule Growing

Two common strategies



Refund=No,
Status=Single,
Income=85K
(Class=Yes)

Refund=No,
Status=Single,
Income=90K
(Class=Yes)

Refund=No,
Status = Single
(Class = Yes)

(b) Specific-to-general

(a) General-to-specific

- •Antecedent is empty and consequent is the class
- Conjuncts are added to improve the rule's quality
- Stop when adding does not improve the quality of rule

- Choose one +ve example randomly
- •Rule is generalized by removing one of its conjuncts so that it covers more +ve examples
- Stop when rule starts covering -ve examples

Rule Growing (Examples)

CN2 Algorithm:

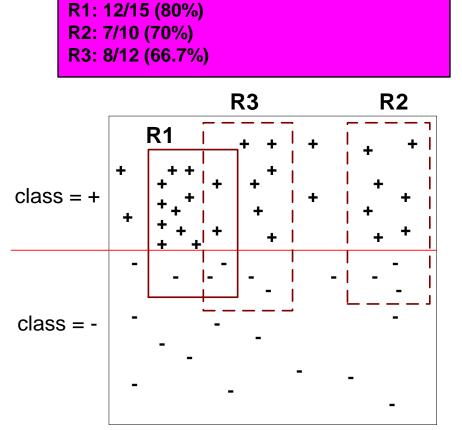
- Start from an empty conjunct: {}
- Add conjuncts that minimizes the entropy measure: {A}, {A,B}, ...
- Determine the rule consequent by taking majority class of instances covered by the rule

RIPPER Algorithm:

- Start from an empty rule: {} => class
- Add conjuncts that maximizes FOIL's [first-order inductive learner (FOIL)] information gain measure:
 - ◆ R0: {} => class (initial rule)
 - ◆ R1: {A} => class (rule after adding conjunct)
 - Gain(R0, R1) =p1[log(p1/(p1+n1)) log(p0/(p0 + n0))]
 - where p0: number of positive instances covered by R0
 - n0: number of negative instances covered by R0
 - p1: number of positive instances covered by R1
 - n1: number of negative instances covered by R1

Instance Elimination

- Why do we need to eliminate instances?
 - Otherwise, the next rule is identical to previous rule
- Why do we remove positive instances?
 - Ensure that the next rule is different
 - Avoid overestimation (if +ve examples of R1 are not removed then R3 is overestimated)
- Why do we remove negative instances?
 - Prevent underestimating accuracy of rule
 - Compare rules R2 and R3 in the diagram with respect to R1
 - End up in preferring R2 over R3
 - Half of the false positive errors are already accounted for by R1



Accuracies:

Rule Evaluation

Metrics:

- Accuracy
$$=\frac{n_c}{n}$$

- Laplace
$$=\frac{n_c+1}{n+k}$$

n: Number of instances

 n_c : Number of instances covered by rule

k: Number of classes

p : Prior probability of classes

- M-estimate
$$=\frac{n_c + \kappa p}{n + k}$$

Stopping Criterion and Rule Pruning

Stopping criterion

- Compute the gain
- If gain is not significant, discard the new rule

Rule Pruning

- Similar to post-pruning of decision trees
- Reduced Error Pruning:
 - Remove one of the conjuncts in the rule
 - Compare error rate on validation set before and after pruning
 - If error improves, prune the conjunct

Summary of Direct Method

- Grow a single rule
- Remove Instances from rule

Prune the rule (if necessary)

Add rule to Current Rule Set

Repeat

Direct Method: RIPPER

- For 2-class problem, choose one of the classes as positive class, and the other as negative class
 - Learn rules for the minority class
 - Majority class is the default one
- For multi-class problem
 - Order the classes according to increasing class prevalence (fraction of instances that belong to a particular class)
 - Learn the rule set for smallest class first, treat the rest as negative class
 - Repeat with next smallest class as positive class

Direct Method: RIPPER

- Growing a rule:
 - Start from empty rule
 - Add conjuncts as long as they improve FOIL's information gain
 - ♦r: A→ + (covers p0 +ve and n0 –ve examples); r1→ A ∧ B →
 + (covers p1 + ve and n1 –ve examples)

$$Gain = p1(\log \frac{p1}{p1+n1} - \log \frac{p0}{p0+no})$$

- Stop when rule no longer covers negative examples
- Prune the rule immediately using incremental reduced error pruning

- Measure for pruning: v = (p-n)/(p+n)
 - p: number of positive examples covered by the rule in the validation set
 - n: number of negative examples covered by the rule in the validation set

 Pruning method: delete any final sequence of conditions that maximizes v

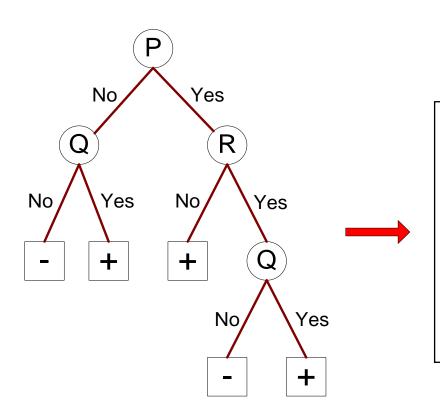
Direct Method: RIPPER

- Building a Rule Set:
 - Use sequential covering algorithm
 - Finds the best rule that covers the current set of positive examples
 - Eliminate both positive and negative examples covered by the rule
 - Each time a rule is added to the rule set, compute the new description length
 - ◆ Stop adding new rules when the new description length is d bits longer than the smallest description length obtained so far

Direct Method: RIPPER

- Optimize the rule set:
 - For each rule r in the rule set R
 - Consider 2 alternative rules:
 - Replacement rule (r*): grow new rule from scratch
 - Revised rule(r'): add conjuncts to extend the rule r
 - Compare the rule set for r against the rule set for r* and r'
 - Choose rule set that minimizes MDL principle
 - Repeat rule generation and rule optimization for the remaining positive examples

Indirect Methods



Rule Set

r1: (P=No,Q=No) ==> -

r2: (P=No,Q=Yes) ==> +

r3: (P=Yes,R=No) ==> +

r4: (P=Yes,R=Yes,Q=No) ==> -

r5: (P=Yes,R=Yes,Q=Yes) ==> +

Indirect Method: C4.5 rules

- Extract rules from an unpruned decision tree
- \square For each rule, r: A \rightarrow y
 - Consider an alternative rule r': A' → y where A' is obtained by removing one of the conjuncts in A
 - Compare the pessimistic error rate of r against all r's
 - Prune if one of the r's has lower pessimistic error rate
 - Repeat until we can no longer improve generalization error

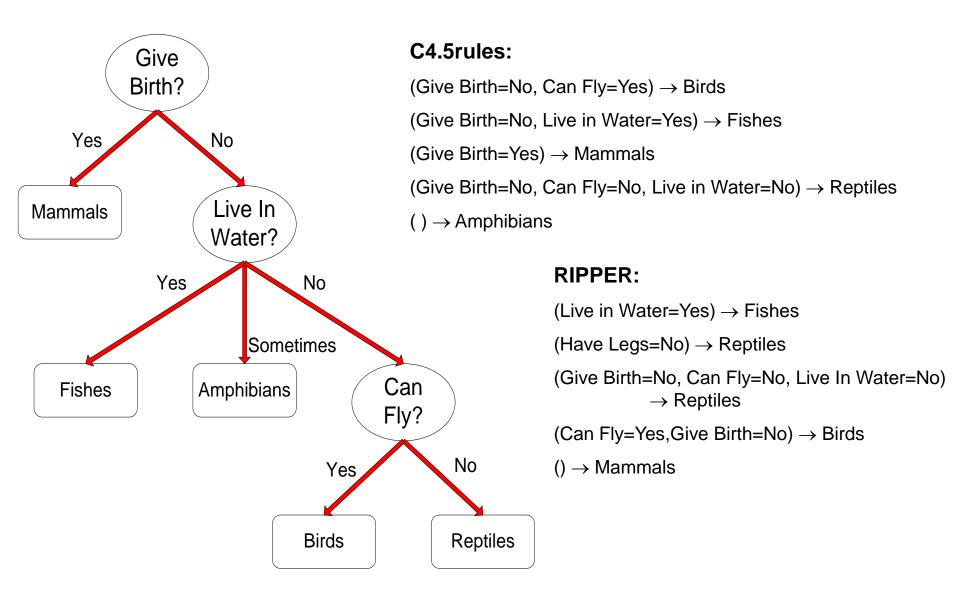
Indirect Method: C4.5 rules

- Instead of ordering the rules, order subsets of rules (class ordering)
 - Each subset is a collection of rules with the same rule consequent (class)
 - Compute description length of each subset
 - Description length = L(error) + g L(model)
 - ◆ g is a tuning parameter that takes into account the presence of redundant attributes in a rule set (default value = 0.5)
 - L (error)-# bits needed to encode the misclassified examples
 - ◆L (model)= # bits needed to encode the model

Example

Name	Give Birth	Lay Eggs	Can Fly	Live in Water	Have Legs	Class
human	yes	no	no	no	yes	mammals
python	no	yes	no	no	no	reptiles
salmon	no	yes	no	yes	no	fishes
whale	yes	no	no	yes	no	mammals
frog	no	yes	no	sometimes	yes	amphibians
komodo	no	yes	no	no	yes	reptiles
bat	yes	no	yes	no	yes	mammals
pigeon	no	yes	yes	no	yes	birds
cat	yes	no	no	no	yes	mammals
leopard shark	yes	no	no	yes	no	fishes
turtle	no	yes	no	sometimes	yes	reptiles
penguin	no	yes	no	sometimes	yes	birds
porcupine	yes	no	no	no	yes	mammals
eel	no	yes	no	yes	no	fishes
salamander	no	yes	no	sometimes	yes	amphibians
gila monster	no	yes	no	no	yes	reptiles
platypus	no	yes	no	no	yes	mammals
owl	no	yes	yes	no	yes	birds
dolphin	yes	no	no	yes	no	mammals
eagle	no	yes	yes	no	yes	birds

C4.5 versus RIPPER



C4.5 versus C4.5rules versus RIPPER

C4.5 rules:

		PREDICTED CLASS				
		Amphibians	Fishes	Reptiles	Birds	Mammals
ACTUAL	Amphibians	2	0	0	0	0
CLASS	Fishes	0	2	0	0	1
	Reptiles	1	0	3	0	0
	Birds	1	0	0	3	0
	Mammals	0	0	1	0	6

RIPPER:

			PREDICTED CLASS			
		Amphibians	Fishes	Reptiles	Birds	Mammals
ACTUAL	Amphibians	0	0	0	0	2
CLASS	Fishes	0	3	0	0	0
	Reptiles	0	0	3	0	1
	Birds	0	0	1	2	1
	Mammals	0	2	1	0	4

Advantages of Rule-Based Classifiers

- As highly expressive as decision trees
- Easy to interpret
- Easy to generate
- Can classify new instances rapidly
- Performance comparable to decision trees

Instance-Based Classifiers

Set of Stored Cases

Atr1	 AtrN	Class
		A
		В
		В
		С
		A
	 	С
		В

- Store the training records
- Use training records to predict the class label of unseen cases

Unseen Case

Atr1	 AtrN

Instance Based Classifiers

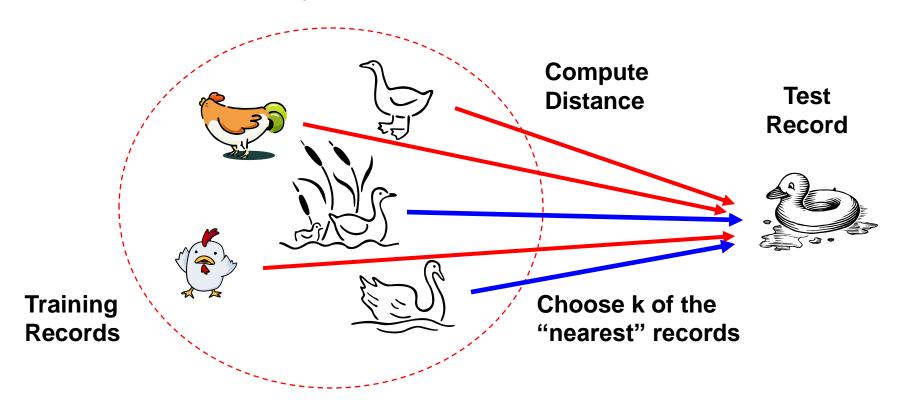
Examples:

- Rote-learner
 - Memorizes entire training data and performs classification only if attributes of record match one of the training examples exactly

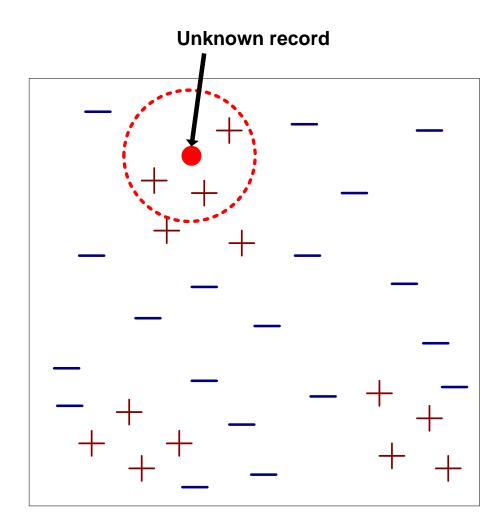
- Nearest neighbor
 - Uses k "closest" points (nearest neighbors) for performing classification

Nearest Neighbor Classifiers

- Basic idea:
 - If it walks like a duck, quacks like a duck, then it's probably a duck

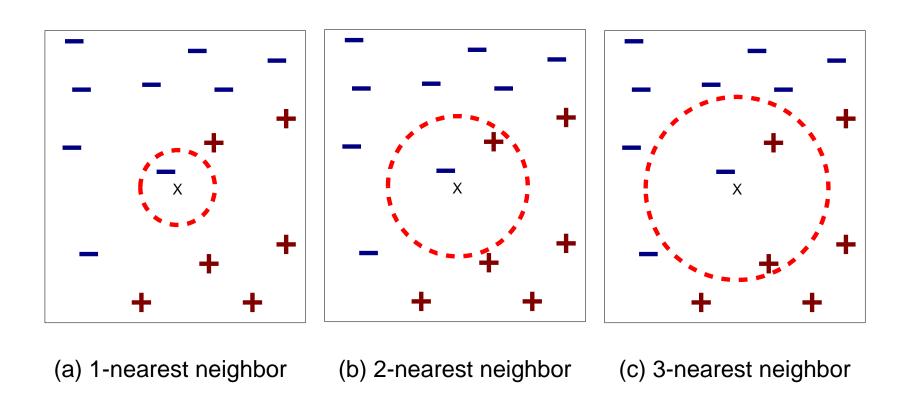


Nearest-Neighbor Classifiers



- Requires three things
 - Set of stored records
 - Distance metric to compute distance between records
 - Value of k, the number of nearest neighbors to retrieve
- Classifying an unknown record:
 - Compute distance to other training records
 - Identify k nearest neighbors
 - Use class labels of nearest neighbors to determine the class label of unknown record (e.g., by taking majority vote)

Definition of Nearest Neighbor



K-nearest neighbors of a record x are data points that have the k smallest distance to x

Nearest Neighbor Classification

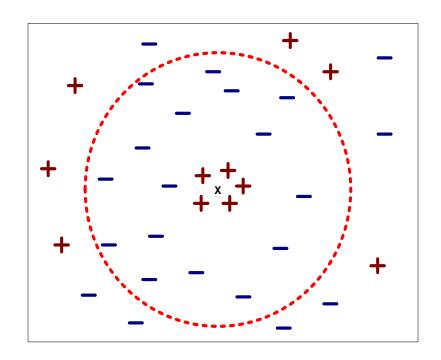
- Compute distance between two points:
 - Euclidean distance

$$d(p,q) = \sqrt{\sum_{i} (p_{i} - q_{i})^{2}}$$

- Determine the class from nearest neighbor list
 - take the majority vote of class labels among the k-nearest neighbors
 - weigh the vote according to distance
 - ◆ Weight factor, w = 1/d²

Nearest Neighbor Classification...

- Choosing the value of k:
 - If k is too small, sensitive to noise points
 - If k is too large, neighborhood may include points from other classes



Nearest Neighbor Classification...

Scaling issues

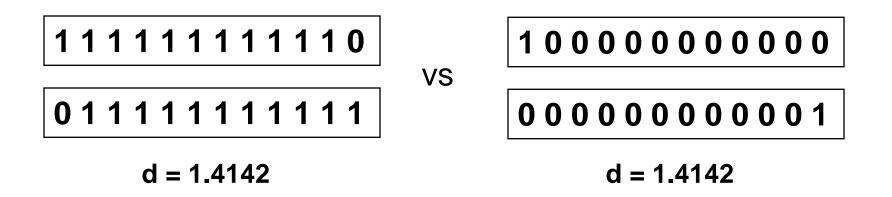
 Attributes may have to be scaled to prevent distance measures from being dominated by one of the attributes

– Example:

- height of a person may vary from 1.5m to 1.8m
- weight of a person may vary from 90lb to 300lb
- income of a person may vary from \$10K to \$1M

Nearest Neighbor Classification...

- Problem with Euclidean measure:
 - High dimensional data
 - curse of dimensionality
 - Can produce counter-intuitive results



What is the solution??

Nearest neighbor Classification...

- k-NN classifiers are lazy learners
 - It does not build models explicitly
 - Unlike eager learners such as decision tree induction and rule-based systems
 - Classifying unknown records are relatively expensive

Example: PEBLS

- PEBLS: Parallel Examplar-Based Learning System (Cost & Salzberg)
 - Works with both continuous and nominal features
 - ◆For nominal features, distance between two nominal values is computed using modified value difference metric (MVDM)
 - Each record is assigned a weight factor
 - Number of nearest neighbor, k = 1

Example: PEBLS

Tid	Refund	Marital Status	Taxable Income	Cheat
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
6	No	Married	60K	No
7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes

Distance between nominal attribute values:

d(Single,Married)

$$= |2/4 - 0/4| + |2/4 - 4/4| = 1$$

d(Single, Divorced)

$$= |2/4 - 1/2| + |2/4 - 1/2| = 0$$

d(Married, Divorced)

$$= |0/4 - 1/2| + |4/4 - 1/2| = 1$$

d(Refund=Yes,Refund=No)

$$= |0/3 - 3/3| + |3/3 - 4/7| = 6/7$$

Class	Marital Status			
Class	Single	Married	Divorced	
Yes	2	0	1	
No	2	4	1	

Class	Refund		
Class	Yes	No	
Yes	0	3	
No	3	4	

$$d(V_1, V_2) = \sum_{i} \left| \frac{n_{1i}}{n_1} - \frac{n_{2i}}{n_2} \right|$$

Example: PEBLS

Tid	Refund	Marital Status	Taxable Income	Cheat
X	Yes	Single	125K	No
Υ	No	Married	100K	No

Distance between record X and record Y:

$$\Delta(X,Y) = w_X w_Y \sum_{i=1}^{d} d(X_i, Y_i)^2$$

where:

$$w_X = \frac{\text{Number of times X is used for prediction}}{\text{Number of times X predicts correctly}}$$

 $W_X \cong 1$ if X makes accurate prediction most of the time

 $w_X > 1$ if X is not reliable for making predictions

Acknowledgement: Vipin Kumar et al., Introduction to Data Mining