

Summary of Top Projects– Jul 15, 2015

Category	Project	Who	Status
Hosting	Redi – NY2 & CH1 & LDN & HK	Gugs / Dan B / Mike / Bob G	60 d plan on target; deploying Nagios
Consulting	Redi – Symbol Master & Mkt Data API	Dan B / Dan P / Ted / Ken	Analysis in progress
Europe Buildout	Radix	Roland / Anne / Rob / Peter K	On Hold
Market Data	Pico Feed	Ted / Dib	Pico Feed – Takumi trading with it; BATS coding in progress
Market Data	Pico Tape	Ted / Dib	Summer Road onboarding – 1wk of NASD open px; Adding official open & Close
Market Data	Pico Depth	Ted / Dib	Code complete; Latency & Validity QA in progress; BAF to Beta -7/1
Historic Data	Pico Raw / HD	Ted / Dib	Pico Raw – ICE dc; collection for Trillium has started
Hosting	Fidessa	Rob	Testing Layer 3 details
Hosting/Mkt Data	Trillium	Rob / Dan S	Need too certify with ICE; 45 drives on line; storing data
Hosting/Mkt Data	MCM	Rob / Ted	Handover to client by end of week
Hosting/Mkt Data	Chimera	Rob / Ken	Fundamental interactions still pending

PLATINUM UPDATES

Networking	GS - Order Routing US Proposal	Kate / Anne	Still on hold pending Global MSA; comments expected this week
Networking	GS - Europe	Roland / Kate / Peter K	Price finalized; awaiting next turn of MSA; build out continues
Regulatory Svcs	GS- Stock Loan	Mike / Neeli	Stock Loan Phase 1
QA Testing	UBS - Volume & Failover Testing w/ HPR	Dig / Neeli / Ken	Test start pending HPR; follow-up call 7/15
Trading Tech	UBS – New Risk Checks	Neeli / Ken / Dig / Mike	15c3-5 certification - Round 3 requested (2 cases) – 7/17
Hosting	Wells Fargo	Olga / Anne	Adding new infrastructure to environment
Hosting	JPM – JISU / AMM	Rob K / Kate	UAT decision this week
Hosting	JPM – Security Audit	Dan S	Received comments from JPM
Hosting	JPM – Chicago DR / Neovest	Anne / Dan S.	

OTHER ONGOING HIGH PRIORITY PROJECTS

Trading Tech	Execution Services enhancements	Neeli / Mike / Jarrod	DrCoSe upgrade; IEX for binary; Cxl Replace for GS binary
Pico Corporate	BD Transfer	Ken B / Pat / Karen / Ken	Plan for BD Transfer & NMA published; project in progress
Pico Corporate	SOC 2 (aka SSAE 16) Certification	Dan S	Awaiting updated McGladrey proposal
Pico Corporate	Street-wide networking changes	Rob / Ken / Anne / Mike	Russell Tick move to LSE; NSDQ DR Migration
Pico Corporate	HR Updates	Lisa	Mid year reviews process;

Summary of *POTENTIAL* Major Projects – Jul 15, 2015

Client / Prospect	Area of Focus	Sales Lead	Status
Point 72	Platform Services - Managed Hosting - Storage on Demand - Database administration	Kate / Wiggs	Finalize design; proposal adjustments; Dan S is helping to map out plan
Deutsche Bank	Managed FIX Analysis	Dan B	Proposal sent – awaiting response
BAML	Prod Support	Olga / Jarrod	Jarrod meeting with Tim Lipscombe in London this week
BAML	Intellihands	Olga	Meeting held last week but addressed multiple projects; datacenter visit – 6/17
BAML	OATS	Olga	Consulting proposal in Pico review
BAML	Managed Hosting	Olga	Trying to schedule scoping meetings
BAML	Compute on Demand	Olga	Meeting Thursday – 7/16
BAML	QA – Capacity, Throughput, Bandwidth	Olga	Trying to schedule scoping meetings
Fidelity	Client Onboarding Consultant	Dan P	FIX FTE Proposal sent, timing contingent on rolling out new algo ticket; meeting 7/15
Fidelity	Historic Data Hosting vs. Delivery	Dan P	HDS Proposal sent
Fidelity	Historic Equity & Options Tick	Dan P	10 years of equity and 2-3 years of options – HDS Proposal sent; meeting 7/15
Fidelity	Exchange Connectivity	Dan P	SFTI
Fidelity	Cost Plus	Dan P	Sample report sent by Dan/Dave; Meeting 7/15
Nomura	TBA	Paul Z	Had pitch meeting; looking for guidance as to what to areas of focus will be
Instinet	Raw Market Data	Paul Z	Contract sent
REDI	Symbol Master	Dan B	Development & Delivery Proposal (pending a nalysis)
REDI	Real-time Mkt Data API	Dan B	Development & Delivery Proposal (pending a nalysis)
REDI	Managed FIX	Dan B	Discussions continue
Interactive Brokers	Hosting Clients	Olga	
Interactive Brokers	Extranet Provider for Mkt Data	Olga	
Trilogy	Host OMS / EMS / Compliance system	Dave H	Target end of year; pricing proposal being circulated
TradeStation			
JPM London			
Landscape	Virtual CTO (Consulting)		