# **Crib Sheet for Using Sparser**

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### **Overview**

Sparser is a model-driven, rule-based language analysis system for large volume, high-precision information extraction. At its heart, Sparser is a bottom-up, phrase-structure-based chart parser, optimized for semantic grammars and partial parsing. It has a highly efficient implementation based on resources of reused data structures and an indelible, deterministic parsing algorithm and style of grammar that enable it to do full semantic analyses ('deep reading') at thousands of words per second on stock laptops.

Another way to look at Sparser is as a configurable toolkit for many kinds of text-handling. Alternative configurations are defined by the values that are given to an extensive set of parameters (see "Switch settings"). These govern what operations are permitted, what data structures are used, what sets of rules will be loaded, the protocol for rule application, the checks that are performed as words are scanned, and so on. There are configurations that turn Sparser into a multi-document word-frequency and TF/IDF tabulator, a highly efficient information extraction engine driven from a semantic model of the target content, or a large-lexicon, shallow meaning, ontology induction engine.

Sparser is designed for continuous operation over sets of documents of arbitrary number and length, though grammar and model development is usually done over small texts (see "Debugging session"). As a consequence, Sparser is run for side-effects; it does not 'return' anything, though it has machinery for periodically reading out its chart or the objects it has recognized or instantiated (i.e. categories and instances of categories). Sparser uses its native KRISP language to define its domain models and represent the information it identifies as it reads. KRISP bindings trivially convert to OWL triples.

## **Getting Sparser**

Sparser is stored on Google Code at <a href="https://code.google.com/p/sparser/">https://code.google.com/p/sparser/</a>. It is an open source project under the Eclipse Public License (<a href="http://www.eclipse.org/legal/epl-v10">http://www.eclipse.org/legal/epl-v10</a>. html), which essentially allows you to do anything you want with the code with the provision that any extensions you make must be incorporated back into the code base.

The system is stored in a subversion repository. If you simply check it out you will get a read-only version. What you want is to be added to the list of committers first. I use your Gmail address for this. At that point, your initial checkout (about two thousand files) will give you a local copy from which you can commit changes back to the repository.

Once the checkout has finished downloading you will have the directory layout described in the next section. All loading operations are relative to the location of the file you load in order to have Sparser loaded: <location of checkout>/sparser/load-nlp.lisp so you can checkout to any local directory that you like, but the simplest location to use is the toplevel of your home directory, for example I installed it at /Users/ddm/sparser/. I also set up aliases to the major subdirectories just to simplify navigating through the very deep tree.

## Quick tour of the directory structure

The only real way to gain an understanding of what Sparser can do and how to use it is to try it out and explore the code. If the Lisp and editor you are using does not support the "meta-dot" capability to bring up a function definition by typing that key combination (meta-key + period) then find another one. The code in the system was under continuous development for seven years and then intermittently for another 15. I don't pretend to remember everything it can do (ddm).

At the very top of the distribution we have

```
sparser
load-nlp.lisp
Mumble
README
Sparser
util
```

As described in the README file, loading load-nlp.lisp will load all of the code for Sparser and its companion linguistic realization component Mumble. The code in util is a generic set of useful Lisp code that is incorporated into both Sparser and Mumble. This is the only code designed to be loaded by an ASDF system. Because of the accidents of history the rest is loaded by a home-brewed loading system.

Ignoring the code layout under Mumble, the layout under Sparser is this:

```
Sparser
code
clean empty tree
f
s
analyzers
drivers
grammar
init
```

```
interface
objects
tools
```

The directory s stands for "source", f stands for "fasl" (the usual Lisp format for compiled files), and the clean empty tree is what it's name suggests: a copy of the full directory structure under s (or f) without any files. Under Allegro or any other Lisp that doesn't automatically store its compiled files in an invisible directory, Sparser's compiler directive will arrange for them to be stored in the f directory tree.

The tools directory holds utility code, some of it specific to Sparser, some that could migrate to the general util directory.

The code in the analyzers and drivers directories controls what Sparser does. The broad form of an algorithm tends to be in analyzers and runtime control in drivers. In particular, the tail-recursive state machine that governs Sparser's moment to moment action is in drivers/chart/psp/.

The code in the objects directory defines all of Sparser's types, the forms for defining them, and all of the mechanics of creating, recycling, and coordinating what they can do. It's model subdirectory has the code behind what was once the "new" conceptual model representation as described in the Krisp paper.

All of the grammar and semantic model is under the directory grammar.

```
grammar
model
core
dossiers
sl
rules
tests
```

The tests directory is a repository of miscellaneous test routines. The citations subdirectory there is the closest we presently have to a regression testing facility.

The directories inside rules hold all of the syntactic grammar and the other rules such as for traversing paired punctuation, e.g. "<...>" (traversal) or introducing segment boundaries (brackets). The most active parts are the directory words, which has directories that provide minimal definitions for close to all of the English function words; tree-families, which holds the stock of exploded TAG tree families that are used to schematically define the semantic grammars; and syntax. The words directory also had the code for doing word frequency tabulation for documents (frequency. lisp), and within its one-offs subdirectory there are files derived from NYU's Comlex, and others lifted from the ERG grammar.

The model directory of grammar (as in "conceptual model") divides into treatments for particular sublanguages (sl), and for phenomena that are common to most if not all sublanguages (core). The stock of instances for both of these are in specific files in the dossiers directory.

The init directory is for initialization. Any substantial extension to Sparser starts here and will involve changes to several files.

```
init
  everything.lisp
```

```
images
Lisp
scripts
versions
v4.0
    config
        grammars
        image.lisp
        launch.lisp
        load.lisp
        loaders
        salutation.lisp
        workspace
workspaces
```

Sparser was originally a commercial system. Different customers and licensing arrangements were coordinated by maintaining different "versions" – each with its own configuration of grammars, file loaders, and so on. That is less important now, but it is kept around to provide the option if we were to do some major factoring of Sparser development.

### Load options, "scripts", and "workspaces"

The file init/everything.lisp controls the entire process of loading Sparser's code into a Lisp. It establishes values for the defaults and accommodates to different file system conventions.<sup>1</sup> It loads the core and the grammar, and does all the final initializations.

When you read through ~/sparser/load-nlp.lisp, you will see that you can designate a script file that can override these defaults for a particular project. There are examples in init/scripts/. These can 'preset' parameters, load additional grammar models and establish switch settings. They always end with their own call to load everything.lisp.

There are also scripts that are intended to be run after Sparser is loaded, particularly compile-everything.lisp. If you are running in a Lisp like Franz's Allegro that does not automatically compile forms as they are loaded, you need to follow the instructions in that file that explain how to use Sparser's loader to walk all the files and compile them. If you don't compile, the tail-recursive controller at the heart of Sparser will blow out the stack on any text longer than about 40 words.

The files in init/workspaces/ are places for putting what you're currently working on. They reflect projects from various periods and tend to contain examples, function names to use with meta-dot to maintain some context, sets of special switch settings, and such. They are loaded after all of the rest of Sparser has been loaded. Any form that should not be executed needs to be commented out.

<sup>&</sup>lt;sup>1</sup> The default assumption is that the files are in some sort of unix-style file system, and it is tuned to OS X. It has also been loaded under Windows, and under the original logical pathname style of the Apple Macintosh prior to OS X.

#### File conventions

Every file in the system starts with a preamble that includes a mode line for the benefit of Emacs, one or more copyright lines, the location and version information, and then dated descriptions of the significant changes. Here is an example.

```
;;; -*- Mode:LISP; Syntax:Common-Lisp; Package:SPARSER -*-
;;; copyright (c) 2013-2014 David D. McDonald -- all rights reserved
;;; This file is part of the SIFT-Brandeis C3 project
;;;
;;; File: "C3-protocol"
;;; Module: "drivers/chart/psp/"
;;; version: May 2014

;; Initiated 9/18/13 by analogy to inititate-top-edges-protocol.
;; 10/9/13 started putting meat on its bones. Debugging segement
;; scan through 1/21/14. Debugging extension of scan into next
;; segment through 4/7/14. Tweaking to get all the way to end of
;; source through 5/11/14.

(in-package :sparser)
```

Given that Sparser is now an open-source system, the function of the copyright line is now principally to identify the major author of the file. A substantial number of files will include a BBN copyright, and if you look at a very old file there will be one for Content Technologies (now defunct.) The note after that identifies the project.

It is crucially important to document any significant change that you make. We may be using subversion, but is not a replacement for having the information directly in the file, particularly for any major changes in design. Major changes are also often reflected in the name of the file by a number, e.g. <code>objects/chart/categories/lookup1.lisp</code>. This was originally important when working on new designs so that a shipping version could still be loading, e.g., <code>lookup.lisp</code>, but it remains important when the change you are going to make is so significant that you want to retain a full copy of the earlier treatment.

Another point is that these files are all relatively narrow, with preferably less than 80 characters on a line. This makes it easy to setup several files alongside each other for easy reference. They are also relatively small. This is also to make it easy to compare alternatives without continually scrolling, but primarily reflects the fact that when Sparser was originally created, the principal backup medium was hard copy.

# 'Switch' settings

Sparser's operation is highly parameterized. What it does depends on the values of a large set of global symbols and programmable functions, i.e. functions where we set the definition on the fly or as part of the load-time initialization. Sensible combinations of values for these parameters are bundled into functions. All of these functions are in the file drivers/inits/switchs2.lisp, which is well worth reading to get a sense of what alternatives are possible.

```
switch-settings function
```

Displays the current values of the more important switches. Convenient for metadot access to the file that defines all the settings

```
top-edges-setting/ddm
```

switch-setting function

This is the default-setting. It is designed for doing full analyses with completely defined semantic grammars and a few heuristics.

```
word-frequency-setting
```

switch-setting function

Removes any analysis operations and just passes each terminal to the function record-word-frequency. See grammar/rules/words/frequency.lisp for the different ways word-frequency counting can be tuned.

```
tuned-grok
```

switch-setting function

A variation on the original setting for the Grok project that works better and reflects what does and doesn't work in that project presently.

## A Debugging session — Function abbreviations

Most of the time what you are doing is debugging some extension to the grammar. This comes down to running short text strings and looking at the results. What rules applied, what words are unknown. To cut down on the amount of typing required there are short form functions for common operations. The full set is defined in init/versions/v4/workspace/abbreviations.lisp.

```
d (object)
```

function

Calls the built-in function describe on the object and returns the object, making it easy to reference it in your next call using the built-in variable \*.

```
pp (string)
```

function

Short for analyze-text-from-string.

```
tts (&optional(stream *standard-output*))
```

function

Runs over the entire chart printing the labels of the treetops

```
p (string)
```

function

Calls pp then calls tts. This is the most convenient way to do small to medium sized tests.

Here is an example from when I was evaluating the grammar and model for adjectives or adverbs that we could conceptualize as 'approximators'.<sup>2</sup>

```
sparser> (p " it is almost Wednesday ")
^Ait is almost Wednesday^B

source-start
e0 pronoun 1 "it" 2
e1 e2 "is" :: is, be
e5 time 3 "almost wednesday" 5
end-of-source
:done-printing
```

<sup>. ...</sup> 

<sup>&</sup>lt;sup>2</sup> The grammar has changed since this example was written, though it still does not understand that usage of "it".

The numbers on the left (e0...e5) are the edges in the chart. The next column is the label on the edge. The column on the right is the words that are spanned by the edge. (Note that chart positions are between the words; see §3.1 in the manual.) For edges over words, this third column is their labels, where for e2 over "is" it rewrites both as itself and as the category 'be'. The leading control-A and trailing control-B characters are automatically inserted terminators.

Edge 5 has the label I want, but I wasn't sure that it was being derived via a general rule from the approximator code (/grammar/model/core/adjuncts/approx/) or by a special rule from the time grammar (a bad idea because there are so many other words like "almost" that pattern the same way). First I looked at the edge.

```
ie (number-of-edge)
                                                                     function
   Short for 'inspect edge'. It gets the edge by calling edge# and then calls d on it
      sparser> (ie 5)
        #<edge5 3 time 5> is a structure of type edge. It has these slots:
         category
                            #<ref-category time>
                            #<ref-category np>
         form
         referent
                           #<psi relative-time 58>
         starts-at
                            #<edges starting at 3>
         ends-at
                           #<edges ending at 5>
         rule left-daughter
         rule
                           #<PSR406 time -> modifier weekday>
                           #<edge3 3 modifier 4>
         right-daughter
                           #<edge4 4 weekday 5>
         used-in
                            nil
         position-in-resource-array 5
         constituents nil
         spanned-words
                            nil
```

This chart edge is a Lisp 'struct'. This shows the values of its fields. Its semantic label is time; its syntactic form label is np; and its referent is an instance of the category relative-time, which is what makes me suspicious that it's a special rule in the time grammar. To confirm this I look at the rule.

```
ir (number-of-rule) function
```

Looks up the rule using psr#, calls d on it.

#<edge5 3 time 5>

```
sparser> (ir 406)
#<PSR406 time -> modifier weekday> is a structure of type cfr. It
has these slots:
symbol
                   rule::PSR406
category
                    #<ref-category time>
                   (#<ref-category modifier> #<ref-category weekday>)
completion
form
                   #<ref-category np>
relation
                   nil
referent
    (:instantiate-individual-with-binding #<ref-category relative-time>
     (#<variable relativizer> . left-referent)
     (#<variable reference-time> . right-referent))
                   #<schr np -> modifier np-head >
 schema
plist
    (:relation :definite-modifier :grammar-module
     #<grammar-module *time*> :file-location
"/Users/ddm/Sparser/Sparser/code/s/init/../../code/s/grammar/model/c
ore/time/relative-moments.lisp")
```

```
#<PSR406 time -> modifier weekday>
```

This indicates that this is an ordinary binary context-free phrase structure rule, as opposed to the form rule that I was hoping for, or a context-sensitive rule or the result of running something more exotic. The most useful thing about this description is often in the plist field (for 'property list'). It indicates what file was being loaded when this rule was defined—a file in the time grammar.

Looking at that file reveals a very nice conceptualization (concept + realization) for circa 1994. But in 2011 we have grander notions. Here is the definition.

```
(define-category relative-time
 :instantiates time
 :specializes time
 :binds ((relativizer (:or relative-time-adverb
                           approximator sequencer))
          (reference-time (:or time time-unit month weekday)))
 :index (:sequential-keys reference-time relativizer)
 :realization (:tree-family modifier-creates-definite-individual
                :mapping ((np . time)
                          (modifier . (approximator
                                       sequencer
                                       modifier))
                          (np-head . (time
                                      time-unit
                                      month
                                      weekday ))
                          (result-type . relative-time)
                          (individuator . relativizer)
                          (base-category . reference-time))))
```

For the full description of what's going on here see §TBD, but in short, the category is a simple frame that defines two variables named relativizer and reference-time and specifies what types (categories) their values are restricted to. That list of alternative categories matches up with the categories in the realization mapping. It links into the taxonomy (:specializes) as a subcategory of time. Instances of this class are stored in the discourse history (:instantiates) also as time.

Loading that category definition created a lot of rules.

```
ic (name-of-category)
```

function

Looks up the category using referential-category-named and describes it.

```
sparser> (ic 'relative-time)
#2=#<ref-category relative-time> is a structure of type
referential-category. It has these slots:
    (:grammar-module #<grammar-module *time*> :file-location
"/Users/ddm/Sparser/Sparser/code/s/init/../../code/s/grammar/model/c
ore/time/relative-moments.lisp")
                    category::relative-time
symbol
rule-set
                   nil
slots
    (#3=#<variable relativizer> #4=#<variable reference-time>)
binds
realization
    (:schema
     (:no-head-word #<etf modifier-creates-definite-individual>
      ((np . #1=#<ref-category time>)
       (modifier #<ref-category approximator> #<ref-category sequencer>
```

```
#<ref-category modifier>)
         (np-head #1# #<ref-category time-unit> #<ref-category month>
                    #<ref-category weekday>)
         (result-type . #2#) (individuator . #3#) (base-category . #4#))
       nil)
      :rules
      (#<PSR422 weekday -> hyphen weekday>
       #<PSR421 weekday -> hyphen month>
       #<PSR420 weekday -> hyphen time-unit>
       #<PSR419 weekday -> hyphen time>
       #<PSR418 month -> hyphen weekday>
       #<PSR417 month -> hyphen month>
       #<PSR416 month -> hyphen time-unit>
       #<PSR415 month -> hyphen time>
       #<PSR414 time-unit -> hyphen weekday>
       #<PSR413 time-unit -> hyphen month>
       #<PSR412 time-unit -> hyphen time-unit>
       #<PSR411 time-unit -> hyphen time>
       #<PSR410 time -> hyphen weekday>
       #<PSR409 time -> hyphen month>
       #<PSR408 time -> hyphen time-unit>
       #<PSR408 time -> nypnen time-unit>
#<PSR407 time -> hyphen time>
#<PSR406 time -> modifier weekday>
#<PSR405 time -> modifier month>
#<PSR404 time -> modifier time-unit>
#<PSR403 time -> modifier time>
#<PSR402 time -> sequencer weekday>
#<PSR401 time -> sequencer month>
#<PSR401 time -> sequencer time-unit>
#<PSR400 time -> sequencer time-unit>
#<PSR399 time -> sequencer time>
#<PSR398 time -> sequencer time>
       #<PSR398 time -> approximator weekday>
       #<PSR397 time -> approximator month>
       #<PSR396 time -> approximator time-unit>
       #<PSR395 time -> approximator time>))
 lattice-position #<top-lp-of relative-time</pre>
 operations
                         #<operations for relative-time>
mix-ins
                         nil
 instances
                       nil
                         nil
rnodes
#<ref-category relative-time>
```

The definition from 1994 is probably good for 'sequencers' like *next* or *after*. But the notion of a relative time doesn't really apply to a phrase like *almost Wednesday*, which means something like "it's close to Wednesday".

The correct fix here is to remove approximators from the value-restrictions and mapping of the category definition, but if you want to experiment right away, or aren't sure, then you can surgically delete the rule/s that you don't want.

```
delete/cfr# (number-of-rule)
function
```

Looks up the rule (of any sort) that has that number and removes it from the rule catalog. Returns the (now ineffective) rule in case you want to reinstate it.

```
e1 e2 "is":: is, be
e3 modifier 3 "almost" 4
e4 weekday 4 "wednesday" 5
end-of-source
:done-printing
```

Other useful short functions.

```
ip (number-of-position) function
```

Runs describe (d) on the position with that number.

The position object records the word that follows it (p4 lies between *almost* and *Wednesday* in this example), the index in the character source at which the word starts, and the whitespace (if any) that separated the words on each side of the position. It records any special facts about the word (e.g. that is capitalized). The starts-here and ends-here fields provide the structure for anchoring edges to positions.

```
iw (string-for-word) function
```

Describes the fields of the word

# **Crib sheet for Krisp categories**

Like most everything else, you define a category by writing an expression in a text editor in a file that is loaded as part of Sparser. Categories are created by calling the macro **define-category**. The category object is created on the fly at the point where the expression is read during the load or interactively in a session.

As time goes on, there will be progressively less need to define categories directly as we define more abbreviating forms and shortcuts to handle the ordinary cases. When they are done by hand the define-category macro (and its variants) has the following set of keyword arguments: **documentation**, **mixins**, **specializes**, **instantiates**, **rule-label**, **binds**, **bindings**, **index**, **realization**, and **restrict**. The major cases are illustrated below.

Here is a category definition from the 'amounts' module of Sparser's core grammar.

- The symbol following define-category is the name of the category: amount.
- The 'nil' after the keyword **:specializes** indicates that it is a toplevel category (i.e. there is nothing above it in the inheritance hierarchy).
- The :instantiates keyword says what semantic category should be used as the label on any grammar rules based on this definition (though see :rule-label below) and how it should be treated in the discourse history.

The core of a category definition is it's **:binds** statement. This statement creates a set of local variables. (You can think of them as slots in a frame or members in a class; the actual reference point is the Lambda Calculus.) Here we are defining four variables and constraining the 'measurement' variable to only be bound to objects of type 'measurement' (e.g. the number "50"). The first two variables are used to individuate different instances of the category, as indicated by the **:index** statement. As noted in the comment the other two are frequent adjuncts to amount phrases.

If you want to define a category that is a subclass from a semantic perspective but patterns like some other class, the you add the parameter **:rule-label**, which as the name suggests will over-rule the default treatment that uses the name of the category as the label on the rule(s). For example, if you have this definition,

```
(define-category boy
  :instantiates person
  :specializes person
  :realization (:common-noun "boy"))
```

then the name of the category and this simple :realization statement lead to these rules

```
#<PSR476 boy -> "boy">
#<PSR477 boy -> "boys">
```

However, if you use **:rule-label** then the rules change. N.b. you can freely redefine a category at any time by changing its definition and re-evaluating. The identity of the category remains the same (is the same eq object), but the contents and in particular the generated rules can change. This version of the category definition changes the label on the rules (and deletes the old rules if this is a redefinition).

```
(define-category boy
:instantiates person
```

```
:specializes person
:rule-label person
:realization (:common-noun "boy"))
#<PSR2218 person -> "boy">
#<PSR2219 person -> "boys">
```

This next example shows how to pair a category definition with a set of automatically created semantic grammar rules for phases based on it. Using the **:realization** keyword, we associate a particulate syntactic schema with a mapping that determines how it should be instantiated. The terms on the left of the mapping expression are from the schema, those on the right are either references to variables in the category (unit and quantity), the keyword :**self** to indicate the category, or other categories in the grammar (e.g. 'unit-of-measure').

The value restriction on the variables has an odd syntax sometimes and we should fix or hide it. This category restricts its name variable to be a word. The regular Sparser data structure for words, not a category with the name word, which is what you'd get if you left off the ;primitive keyword.

```
(define-category small-named-molecule
  :instantiates self
  :binds ((name :primitive word))
  :realization ((:common-noun name)))
```

# Crib sheet for phrase structure rule forms

You should keep your use of independent rules like these to an absolute minimum and use shortcuts or their full form category definitions with realizations instead. That development style makes for efficiency, e.g., writing (sv-prep "move" "along") creates six phrase structure rules automatically. It also makes it possible to generate from the same structures (category definitions) that you use to parse.

#### **Absolute minimum**

```
(def-cfr based-at ("based" "at"))
```

#### **Daughter referent** — transparently incorporating prepositions

```
(def-cfr in-date ("in" date)
  :form pp
  :referent (:daughter right-edge))
```

### Binding a variable of an already instantiated individual (Chomsky adjunction)

### Instantiating a category given its head word

```
(def-cfr earliest-arrival-date ("EAD")
  :referent (:instantiate-individual earliest-arrival-date))
```

### Instantiating a category and binding one of its variables

#### **Context Sensitive Rules**

```
(def-csr kind location
  :left-context based-at
  :form np
  :referent (:function recast-kind-as-a-location right-edge))
```

#### **Form Rules**

```
(def-form-rule (quantity-of n-bar)
  :form np
  :referent (:function recast-as-resource right-edge))
```

Also takes a :new-category keyword with the name of the category.

## "Exporting"

## Other bits of rule syntax

#### Irregular word forms

When you include a word (as a string) in a realization specification you include as part of the specification what part of s

Anywhere that a word can be specified by a string, e.g. in a realization specification, you can use a lists instead of the string in order to specify any irregular forms by using a keyword to indicate which of the forms you are giving explicitly. For nouns the only option is **:plural**. For verbs the possibilities are **:infinitive** ("to give"), **:tensed/singular** 

("gives"), :tensed/plural ("give"), :past-tense ("gave"), :past-participle ("have given"), :present-participle ("are giving"), and :nominalization.