

# Space Complexity

Space is a computation resource. Unlike time it can be reused.

# Synopsis

1. Space Bounded Computation
2. Logspace Reduction
3. PSPACE Completeness
4. Savitch Theorem
5. NL Completeness
6. Immerman-Szelepcsényi Theorem

# Space Bounded Computation

# Space Bounded Computation

Let  $S : \mathbf{N} \rightarrow \mathbf{N}$  and  $L \subseteq \{0, 1\}^*$ .

We say that  $L \in \mathbf{SPACE}(S(n))$  if there is some  $c$  and some TM deciding  $L$  that never uses more than  $cS(n)$  nonblank worktape locations on inputs of length  $n$ .

# Space Constructible Function

Suppose  $S : \mathbf{N} \rightarrow \mathbf{N}$  and  $S(n) \geq \log(n)$ .

1.  $S$  is **space constructible** if there is a Turing Machine that computes the function  $1^n \mapsto \lfloor S(n) \rfloor$  in  $O(S(n))$  space.
2.  $S$  is **space constructible** if there is a Turing Machine that upon receiving  $1^n$  uses exactly  $S(n)$ -space.

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The second definition is slightly less general than the first.

# Space Bounded Computation, the Nondeterministic Case

$L \in \mathbf{NSPACE}(S(n))$  if there is some  $c$  and some NDTM deciding  $L$  that never uses more than  $cS(n)$  nonblank worktape locations on inputs of length  $n$ , **regardless of** its nondeterministic choices.

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For space constructible function  $S(n)$  we could allow a machine in  $\mathbf{NSPACE}(S(n))$  to diverge and to use more than  $cS(n)$  space in unsuccessful computation paths.

# Configuration

A configuration of a running TM  $M$  with input  $x$  consists of the following:

- ▶ the state;
- ▶ the content of the **work** tape; [In the study of space complexity one may always assume that there is one work tape.]
- ▶ the head positions.

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We write  $C_{\text{start}}$  for the unique initial configuration.

We assume that there is a single accepting configuration  $C_{\text{accept}}$ .



# Configuration Graph

A configuration graph  $G_{M,x}$  of  $M$  with input  $x$  is a directed graph:

- ▶ the nodes are configurations;
- ▶ the arrows are one-step computations.

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“ $M$  accepts  $x$ ” iff “there is a path in  $G_{M,x}$  from  $C_{\text{start}}$  to  $C_{\text{accept}}$ ”.

# Reachability Predicate for Configuration Graph

Suppose  $\mathbb{M}$  is an  $S(n)$ -space TM.

- ▶ A vertex of  $G_{\mathbb{M},x}$  is described using  $O(S(|x|))$  bits.
- ▶ Therefore  $G_{\mathbb{M},x}$  has at most  $2^{O(S(|x|))}$  nodes.

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There is an  $O(S(n))$ -size CNF  $\varphi_{\mathbb{M},x}$  such that for every two configurations  $C$  and  $C'$ ,  $\varphi_{\mathbb{M},x}(C, C') = 1$  iff  $C \rightarrow C'$  is an edge in  $G_{\mathbb{M},x}$ .

- ▶  $\varphi_{\mathbb{M},x}(C, C')$  can be checked by essentially comparing  $C$  and  $C'$  bit by bit. It can be accomplished in both
  - ▶  $O(S(n))$  time, and
  - ▶  $O(\log S(n))$  space.

## Space vs. Time

**Theorem.** Suppose  $S(n) : \mathbf{N} \rightarrow \mathbf{N}$  is space constructible. Then

$$\mathbf{TIME}(S(n)) \subseteq \mathbf{SPACE}(S(n)) \subseteq \mathbf{NSPACE}(S(n)) \subseteq \mathbf{TIME}(2^{O(S(n))}).$$

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An algorithm proving that  $\mathbf{NSPACE}(S(n)) \subseteq \mathbf{TIME}(2^{O(S(n))})$  starts by constructing  $G_{\mathbb{M},x}$  in  $2^{O(S(n))}$  time, and then applies the breadth first search algorithm to  $G_{\mathbb{M},x}$ .

# Space vs. Time

**Theorem.** For all space constructible  $S(n)$ ,  $\mathbf{TIME}(S(n)) \subseteq \mathbf{SPACE}(S(n)/\log S(n))$ .



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1. Hopcroft, Paul and Valiant. On Time versus Space and Related Problems. FOCS, 1975.

# Space Complexity Class

$$\begin{aligned}\mathbf{PSPACE} &\stackrel{\text{def}}{=} \bigcup_{c>0} \mathbf{SPACE}(n^c), \\ \mathbf{NPSPACE} &\stackrel{\text{def}}{=} \bigcup_{c>0} \mathbf{NSPACE}(n^c), \\ \mathbf{L} &\stackrel{\text{def}}{=} \mathbf{SPACE}(\log(n)), \\ \mathbf{NL} &\stackrel{\text{def}}{=} \mathbf{NSPACE}(\log(n)).\end{aligned}$$

# Games are Harder than Puzzles

**$\text{NP} \subseteq \text{PSPACE}.$**

## Example

The following problems are in **L**:

**EVEN**  $\stackrel{\text{def}}{=} \{x \mid x \text{ has an even number of } 1\text{'s}\},$

**PLUS**  $\stackrel{\text{def}}{=} \{(\ulcorner m \urcorner, \ulcorner n \urcorner, \ulcorner m + n \urcorner) \mid m, n \in \mathbf{N}\},$

**MULP**  $\stackrel{\text{def}}{=} \{(\ulcorner m \urcorner, \ulcorner n \urcorner, \ulcorner m \times n \urcorner) \mid m, n \in \mathbf{N}\}.$

## PATH is in NL

**PATH** =  $\{\langle G, s, t \rangle \mid \text{there is a path from } s \text{ to } t \text{ in the digraph } G\}$ .

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**Theorem.**  $\text{PATH} \in \text{NL}$ .

**Proof.**

Both a node and a counter can be stored in logspace.





# Universal Turing Machine without Space Overhead

**Theorem.** There is a universal TM that operates without space overhead for input TM's with space complexity  $\geq \log(n)$ .

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A universal TM can simulate  $M_\alpha$  by recording all the non-blank tape content of  $M_\alpha$  in its single work tape. A counter is used to store the location of the reader at  $x$ .

Some additional space, whose size depends only on  $M_\alpha$ , is needed for bookkeeping.

# Space Hierarchy Theorem

**Theorem.** If  $f, g$  are space constructible such that  $f(n) = o(g(n))$ , then

$$\mathbf{SPACE}(f(n)) \subsetneq \mathbf{SPACE}(g(n)).$$

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We design  $\mathbb{V}$  by modifying the universal machine so that

- ▶  $\mathbb{V}(x)$  simulates  $\mathbb{M}_x(x)$ , and
- ▶ it stops when it is required to use more than  $g(n)$  space, and
- ▶ it negates the result after it completes simulation.

If  $\mathbb{V}$  was executed in  $f(n)$  space, then  $\mathbb{V} = \mathbb{M}_\alpha$  for some large enough  $\alpha$  so that  $\mathbb{V}$  can complete the simulation of  $\mathbb{M}_\alpha$  on  $\alpha$ .

But then  $\overline{\mathbb{M}_\alpha(\alpha)} = \mathbb{V}(\alpha) = \mathbb{M}_\alpha(\alpha)$ .

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1. J. Hartmanis and R. Stearns. On the Computational Complexity of Algorithms. Transactions of AMS, 117:285-306, 1965.

# Logspace Reduction

# Logspace Reduction

A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is **implicitly logspace computable** if the following hold:

1.  $\exists c. \forall x. |f(x)| \leq c|x|^c$ ,
2.  $\{\langle x, i \rangle \mid i \leq |f(x)|\} \in \mathbf{L}$  and
3.  $\{\langle x, i \rangle \mid f(x)_i = 1\} \in \mathbf{L}$ .

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Problem  $B$  is **logspace reducible** to problem  $C$ , written  $B \leq_L C$ , if there is an implicitly logspace computable  $f$  such that  $x \in B$  iff  $f(x) \in C$ .

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- ▶ Logspace reductions are Karp reductions. [The converse implication is unknown.]
- ▶ All known NP-completeness results can be established using logspace reduction.

# Transitivity of Logspace Reduction

**Lemma.** If  $B \leq_L C$  and  $C \leq_L D$  then  $B \leq_L D$ .

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Let  $M_f, M_g$  be logspace machines that compute  $x, i \mapsto f(x)_i$  respectively  $y, j \mapsto g(y)_j$ . We construct a machine that, given input  $x, j$  with  $j \leq |g(f(x))|$ , outputs  $g(f(x))_j$ .

- ▶ The machine operates as if  $f(x)$  were stored on a **virtual** tape.
  - ▶ It stores the address  $i$  of the current cell of the virtual tape.
  - ▶ It uses  $O(\log |f(x)|) = O(\log |x|)$  space to calculate  $g(f(x))_j$ .

# Logspace Computability

A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is **logspace computable** if it can be computed by a TM that has a **write-once output tape** using  $O(\log n)$  work tape space.

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**Lemma.** Implicitly logspace computability = logspace computability.

# PSPACE Completeness

# Space Completeness

A language  $L'$  is **PSPACE**-hard if  $L \leq_L L'$  for every  $L \in \mathbf{PSPACE}$ .

If in addition  $L' \in \mathbf{PSPACE}$  then  $L'$  is **PSPACE**-complete.



# Quantified Boolean Formula

A **quantified Boolean formula** (QBF) is a formula of the form

$$Q_1x_1 Q_2x_2 \dots Q_nx_n \cdot \varphi(x_1, \dots, x_n)$$

where each  $Q_i$  is one of the two quantifiers  $\forall, \exists$ ,  $x_1, \dots, x_n$  range over  $\{0, 1\}$ , and  $\varphi$  is a quantifier free Boolean formula containing no free variables other than  $x_1, \dots, x_n$ .

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Let **TQBF** be the set of **true** QBFs.

# Quantified Boolean Formula

Suppose  $\varphi$  is a CNF.

- ▶  $\varphi \in \text{SAT}$  if and only if  $\exists \tilde{x}. \varphi \in \text{TQBF}$ .
- ▶  $\varphi \in \text{TAUTOLOGY}$  if and only if  $\forall \tilde{x}. \varphi \in \text{TQBF}$ .

**Stockmeyer-Meyer Theorem.** TQBF is **PSPACE**-complete.



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1. Larry Stockmeyer, Albert Meyer. Word Problems Requiring Exponential Time. STOC, 1973.

# Proof of Stockmeyer-Meyer Theorem

$\text{TQBF} \in \mathbf{PSPACE}$ .

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Suppose  $\psi = Q_1x_1 Q_2x_2 \dots Q_nx_n \cdot \varphi(x_1, \dots, x_n)$ .

- ▶ A counter of length  $n$  can be identified to an assignment.
- ▶ Apply the **depth first** tree traversal.

We actually have a linear space algorithm.

# Proof of Stockmeyer-Meyer Theorem

Let  $\mathbb{M}$  be a TM that decides  $L$  in polynomial space, say  $S(n)$  space.

We reduce  $x \in \{0, 1\}^*$  to a QBF  $\varphi_x$  of size  $O(S(|x|)^2)$  in **logspace** such that  $\mathbb{M}(x) = 1$  iff  $\varphi_x$  is true.

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1. Construct in logspace  $\psi_0$  such that  $\psi_0(C, C')$  is true if and only if  $C \rightarrow C'$ .
  2. Let  $\psi_i(C, C')$  be true if and only if there exists a path of **length**  $\leq 2^i$  from  $C$  to  $C'$ . It can be defined by the following formula, which is computable in logspace.

$$\exists C'' \forall D^1 \forall D^2. ((D^1 = C \wedge D^2 = C'') \vee (D^1 = C'' \wedge D^2 = C')) \Rightarrow \psi_{i-1}(D^1, D^2).$$

3. Now  $|\psi_i| = |\psi_{i-1}| + O(S(|x|))$ . Hence  $|\varphi_x| = |\psi_{S(|x|)}| = O(S(|x|)^2)$ .

# QBF Game

Two players make alternating moves on the board

$$\exists x_1 \forall x_2 \exists x_3 \forall x_4 \cdots \exists x_{2n-1} \forall x_{2n} \cdot \varphi(x_1, \dots, x_n).$$

Player I moves first. It has a **winning strategy** if  $\varphi$  is true after Player II's last move, no matter how Player II plays.

- ▶ Deciding if Player I has a winning strategy for QBF game is **PSPACE**-complete.

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1. Christos Papadimitriou. Games Against Nature. FOCS, 1983.

# Savitch Theorem



**Savitch Theorem.** If  $S$  is space constructible then  $\mathbf{NSPACE}(S(n)) \subseteq \mathbf{SPACE}(S(n)^2)$ .

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Suppose  $\mathbb{N}$  is an NDTM that decides  $L$  in  $S(n)$  space.

Given  $x \in \{0, 1\}^*$ , a divide-and-conquer depth first algorithm can be designed that searches for a path from  $C_{\text{start}}$  to  $C_{\text{accept}}$  in  $G_{\mathbb{N}, x}$ .

The depth of the recursive calls is  $S(|x|)$ .

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1. W. Savitch. Relationships between Nondeterministic and Deterministic Tape Complexities. JCSS, 177-192, 1970.



$$\text{PSPACE} = \text{NPSPACE}$$

# NL Completeness

# NL-Completeness

$C$  is **NL**-complete if it is in **NL** and  $B \leq_L C$  for every  $B \in \mathbf{NL}$ .

# NL-Completeness

**Theorem.** PATH is **NL**-complete.

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Suppose a nondeterministic TM  $\mathbb{N}$  decides  $L$  in  $O(\log(n))$  space. A logspace reduction from  $L$  to PATH is defined by

$$x \mapsto \langle G_{\mathbb{N},x}, C_{\text{start}}, C_{\text{accept}} \rangle.$$

The graph  $G_{\mathbb{N},x}$  is represented by an **adjacent matrix**, every bit of it can be calculated in  $O(|C|) = O(\log |x|)$  space.

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We may assume that all space bounded TM's terminate by making use of counters. It follows that PATH remains **NL**-complete if only **acyclic** graphs are admitted.

**NL** is nothing but PATH.

## Immerman-Szelepcsényi Theorem

Savitch Theorem implies **coNPSPACE = NPSPACE**.

But **coNL = NL** is a different story.

## Immerman-Szelepcsényi Theorem. $\overline{\text{PATH}} \in \text{NL}$ .



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1. R. Szelepcsényi. The Method of Forcing for Nondeterministic Automata. Bulletin of EATCS, 1987.
  2. N. Immerman. Nondeterministic Space is Closed under Complementation. SIAM Journal Computing, 1988.



# Proof of Immerman-Szelepcsényi Theorem

Design a logspace NDTM  $\mathbb{N}$  such that for vertices  $s, t$  of a graph  $G$  with  $n$ -vertices,  $\mathbb{N}(\langle G, s, t \rangle) = 1$  iff there is **no** path from  $s$  to  $t$ .

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For  $i \in [n-1]$ ,

- ▶ let  $C_i$  be the set of nodes reachable from  $s$  in  $i$ -steps, and [By definition  $C_1 \subseteq C_2 \subseteq \dots \subseteq C_{n-1}$ .]
- ▶ let  $c_i = |C_i|$ . Notice that  $c_1$  can be computed in logspace.

We **can** store a fixed number of  $c_i$ 's, but we **cannot** store any of  $C_i$ 's.

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Set  $c_{i+1} = 0$ . For each vertex  $v \neq s$ ,  $\mathbb{N}$  **guesses**  $C_i$  and increments  $c_{i+1}$  if either  $v \in C_i$  or  $u \rightarrow v$  for some  $u \in C_i$ .

- ▶ For each  $u$  in  $C_i$  check that  $s \rightarrow^* u$  in  $i$  steps. This is PATH.
  - ▶ A counter is maintained to ensure that  $|C_i| = c_i$ .
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After  $c_{n-1}$  has been calculated, guess  $C_{n-1}$  and accept if  $t \notin C_{n-1}$ .

# Immerman-Szelepcsényi Theorem

**Corollary.** For every space constructible  $S(n) \geq \log(n)$ , one has

$$\mathbf{coNSPACE}(S(n)) = \mathbf{NSPACE}(S(n)).$$

**Theorem.** 2SAT is **NL**-complete.

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Given  $\langle G, s, t \rangle$ , where  $G$  is **acyclic**, we translate an edge  $x \rightarrow y$  to the clause  $\bar{x} \vee y$ . We also add clauses  $s$  and  $\bar{t}$ . This is a logspace reduction from acyclic  $\overline{\text{PATH}}$  to 2SAT.

By Hierarchy Theorems some of the following inclusions are strict.

$$\mathbf{L} \subseteq \mathbf{NL} \subseteq \mathbf{P} \subseteq \mathbf{NP} \subseteq \mathbf{PSPACE} \subseteq \mathbf{EXP}.$$

Yet we don't know which is strict.

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- ▶ It is widely believed that  $\mathbf{NL} \subsetneq \mathbf{P}$ .
- ▶ “ $\mathbf{L} \stackrel{?}{=} \mathbf{NL}$ ” is a major open problem in the structural theory.