

## CS 170 Homework 12

Due Monday 11/20/2023, at 10:00 pm (grace period until 11:59pm)

### 1 Study Group

List the names and SIDs of the members in your study group. If you have no collaborators, you must explicitly write “none”.

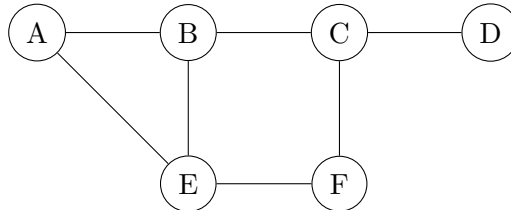
### 2 Vertex Cover to Set Cover

To help jog your memory, here are some definitions:

**Vertex Cover:** given an undirected unweighted graph  $G = (V, E)$ , a vertex cover  $C_V$  of  $G$  is a subset of vertices such that for every edge  $e = (u, v) \in E$ , at least one of  $u$  or  $v$  must be in the vertex cover  $C_V$ .

**Set Cover:** given a universe of elements  $U$  and a collection of sets  $\mathcal{S} = \{S_1, \dots, S_m\}$ , a set cover is any (sub)collection  $C_S$  whose union equals  $U$ .

In the *minimum vertex cover problem*, we are given an undirected unweighted graph  $G = (V, E)$ , and are asked to find the smallest vertex cover. For example, in the following graph,  $\{A, E, C, D\}$  is a vertex cover, but not a minimum vertex cover. The minimum vertex covers are  $\{B, E, C\}$  and  $\{A, E, C\}$ .



Then, recall in the *minimum set cover problem*, we are given a set  $U$  and a collection  $\mathcal{S} = \{S_1, \dots, S_m\}$  of subsets of  $U$ , and are asked to find the smallest set cover. For example, given  $U := \{a, b, c, d\}$ ,  $S_1 := \{a, b, c\}$ ,  $S_2 := \{b, c\}$ , and  $S_3 := \{c, d\}$ , a solution to the problem is  $C_S = \{S_1, S_3\}$ .

**Give an efficient reduction from the minimum vertex cover problem to the minimum set cover problem. Briefly justify the correctness of your reduction (i.e. 1-2 sentences).**

### 3 Reduction to 3-Coloring

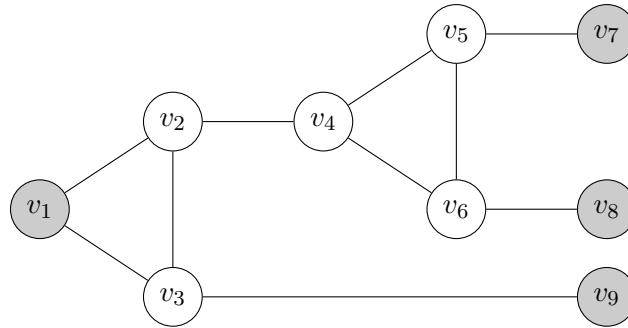
Given a graph  $G = (V, E)$ , a valid 3-coloring assigns each vertex in the graph a color from {red, green, blue} such that for any edge  $(u, v)$ ,  $u$  and  $v$  have different colors. In the 3-coloring problem, our goal is to find a valid 3-coloring if one exists. In this problem, we will give a reduction from 3-SAT to the 3-coloring problem. Since we know that 3-SAT is NP-Hard (there is a reduction to 3-SAT from every NP problem), this will show that 3-coloring is NP-Hard (there is a reduction to 3-coloring from every NP problem).

In our reduction, the graph will start with three special vertices, labelled  $v_{\text{TRUE}}$ ,  $v_{\text{FALSE}}$ , and  $v_{\text{BASE}}$ , as well as the edges  $(v_{\text{TRUE}}, v_{\text{FALSE}})$ ,  $(v_{\text{TRUE}}, v_{\text{BASE}})$ , and  $(v_{\text{FALSE}}, v_{\text{BASE}})$ .

- (a) For each variable  $x_i$  in a 3-SAT formula, we will create a pair of vertices labeled  $x_i$  and  $\neg x_i$ . How should we add edges to the graph such that in any valid 3-coloring, one of  $x_i, \neg x_i$  is assigned the same color as  $v_{\text{TRUE}}$  and the other is assigned the same color as  $v_{\text{FALSE}}$ ?

*Hint: any vertex adjacent to  $v_{\text{BASE}}$  must have the same color as either  $v_{\text{TRUE}}$  or  $v_{\text{FALSE}}$ . Why is this?*

- (b) Consider the following graph, which we will call a “gadget”:



Consider any valid 3-coloring of this graph that does *not* assign the color blue to any of the gray vertices ( $v_1, v_7, v_8, v_9$ ). Show that if  $v_1$  is assigned the color green, then at least one of  $\{v_7, v_8, v_9\}$  is assigned the color green.

*Hint: it's easier to prove the contrapositive!*

- (c) We have now observed the following about the graph we are creating in the reduction:
- (i) For any vertex, if we have the edges  $(v, v_{\text{FALSE}})$  and  $(v, v_{\text{BASE}})$  in the graph, then in any valid 3-coloring  $v$  will be assigned the same color as  $v_{\text{TRUE}}$ .
  - (ii) Through brute force one can also show that in a gadget, if all the following hold:
    - (1) All gray vertices are assigned the color red or green.
    - (2)  $v_1$  is assigned the color green.
    - (3) At least one of  $\{v_7, v_8, v_9\}$  is assigned the color green.

Then there is a valid coloring for the white vertices in the gadget.

Using these observations and your answers to the previous parts, **give a reduction from 3-SAT to 3-coloring. Prove that your reduction is correct (you do not need to prove any of the observations above).**

*Hint: create a new gadget per clause!*

## 4 $k$ -XOR

In the  $k$ -XOR problem, we are given  $n$  boolean variables  $x_1, x_2, \dots, x_n$ , a list of  $m$  clauses each of which is the XOR of exactly  $k$  distinct variables (that is, the clause is true if and only if an odd number of the  $k$  variables in the clause are true), and an integer  $r$ . Our goal is to decide if there is some assignment of variables that satisfies at least  $r$  clauses.

- (a) In the Max-Cut problem, we are given an undirected unweighted graph  $G = (V, E)$  and integer  $c$  and want to find a cut  $S \subseteq V$  such that at least  $c$  edges cross this cut (i.e. have exactly one endpoint in  $S$ ). Give and argue correctness of a reduction from Max-Cut to 2-XOR.

*Hint: every clause in 2-XOR is equivalent to an edge in Max-Cut.*

- (b) Give and argue correctness of a reduction from 3-XOR to 4-XOR.

## 5 Dominating Set (Optional)

A dominating set of a graph  $G = (V, E)$  is a subset  $D$  of  $V$ , such that every vertex not in  $D$  is a neighbor of at least one vertex in  $D$ . Let the Minimum Dominating Set problem be the task of determining whether there is a dominating set of size  $\leq k$ . Show that the Minimum Dominating Set problem is NP-Complete. You may assume that  $G$  is connected.

*Hint: Try reducing from Vertex Cover or Set Cover.*

## 6 Orthogonal Vectors (Optional)

In the 3-SAT problem, we have  $n$  variables and  $m$  clauses, where each clause is the OR of (at most) three of these variables or their negations. The goal of the problem is to find an assignment of variables that satisfies all the clauses, or correctly declare that none exists.

In the orthogonal vectors problem, we have two sets of vectors  $A, B$ . All vectors are in  $\{0, 1\}^m$ , and  $|A| = |B| = n$ . The goal of the problem is to find two vectors  $a \in A, b \in B$  whose dot product is 0, or correctly declare that none exists. The brute-force solution to this problem takes  $O(n^2m)$  time: We compute all  $|A||B| = n^2$  dot products between two vectors in  $A, B$ , and each dot product takes  $O(m)$  time.

Show that if there is a  $O(n^c m)$ -time algorithm for the orthogonal vectors problem for some  $c \in [1, 2)$ , then there is a  $O(2^{cn/2} m)$ -time algorithm for the 3-SAT problem. For simplicity, you may assume in 3-SAT that the number of variables must be even.

*Hint: Try splitting the variables in the 3-SAT problem into two groups.*