

# Paper Reading

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2021.5.13

# Overview



1. ~~You Impress Me~~: Dialogue Generation via ~~Mutual~~ Persona Perception, [ACL 2020](#)
2. Language Generation with Multi-Hop Reasoning on Commonsense Knowledge Graph, [EMNLP 2020](#)

Why paper 1:

- Current **SOTA** baseline on personalized dialogue generation task with which we want to compare.
- Inspired by this paper, we get an assumption about **what is a high-quality conversation**.

Why paper 2:

- We want to mimic the paper's methodology about **how to use KG in the generation task** and apply it into the personalized dialogue generation task

# ~~You Impress Me:~~ Dialogue Generation via ~~Mutual~~ Persona Perception

**Qian Liu<sup>†\*</sup>, Yihong Chen<sup>◇\*</sup>, Bei Chen<sup>§</sup>, Jian-Guang Lou<sup>§</sup>,  
Zixuan Chen<sup>♠\*</sup>, Bin Zhou<sup>†</sup>, Dongmei Zhang<sup>§</sup>**

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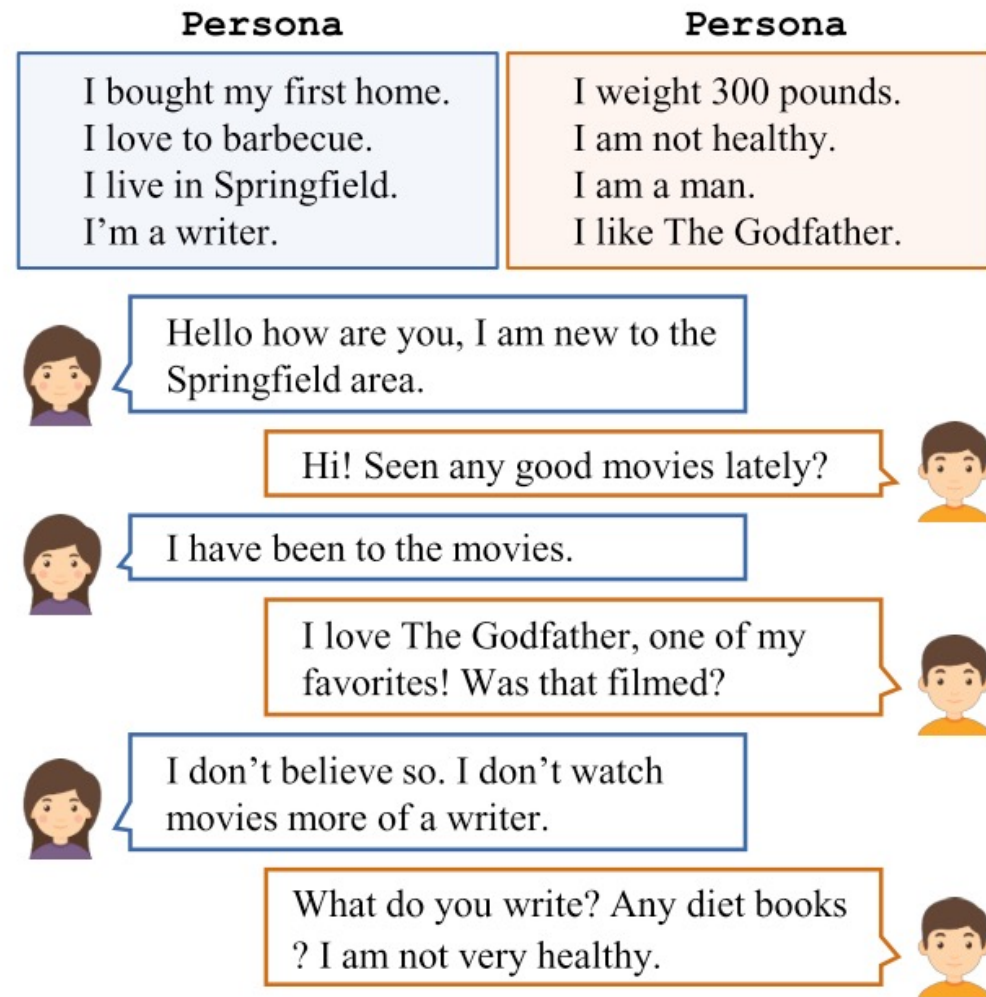
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# Background

- Personalized Dialogue Generation: Making chit-chat more engaging and consistent by conditioning on persona information.
- N-turn dialogue  $(x_1^A, x_1^B, \dots, x_N^A, x_N^B)$  we need to model  $p(x_n^A | \mathbf{w}^A, \mathbf{h}_n^A)$ .



Persona Chat Dataset

# Motivation

- Current works simply focus on mimicking human-like responses, leaving understudied the aspects of modeling **understanding** of whether or how much persona information has been expressed by its corresponding speaker.

The “**understanding**” is the concept “**Persona Perception**” in the title.

~~You Impress Me:~~ Dialogue Generation via ~~Mutual~~ Persona Perception

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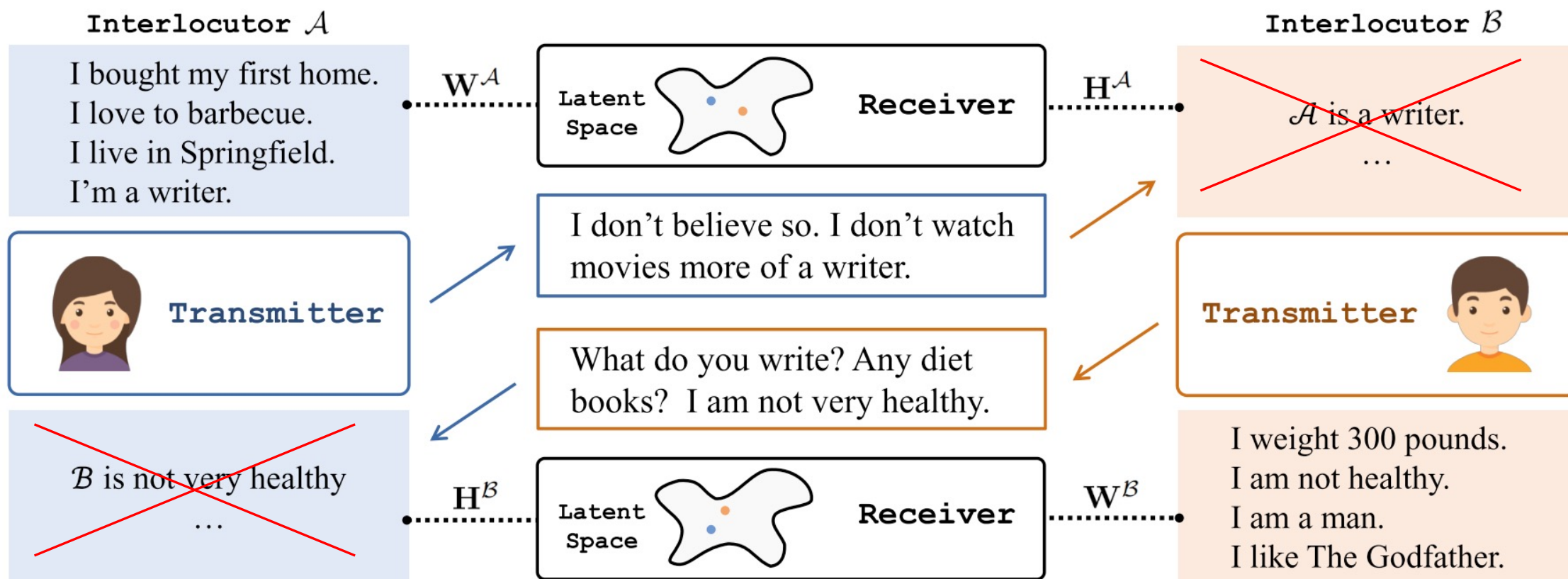
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Persona Perception is just a relevance score between an utterance and persona sentences given by the **Receiver** (A **third-party** persona perceptron)

Persona Perception Score     $score(a_n^A, w^A)$      $score(a_n^B, w^B)$      $score(a_n^A, w^C)$



# Motivation & Main Idea <Revised>

- Current works simply focus on mimicking human-like responses, leaving understudied the aspects of modeling **understanding** of whether or how much persona information has been **expressed** by its corresponding speaker.
- **Persona Perception** score(pp score) can be used to assess the quality of an utterance.

But ..

Transmitter	Receiver	Variant	Hits@1(%) ↑	F1(%) ↑	BLEU(%) ↑
B: "what are your hobbies?"	$score(a_n^B, w^B)$	$\mathcal{P}^2$ BoT-S	68.7	18.14	0.56
A: "My hobby is playing basketball."	$score(a_n^A, w^A)$	- Persona	65.5	17.77 (- 2.0%)	0.57 (+ 1.8%)
		- Next	17.6	18.11 (- 0.1%)	0.55 (- 1.8%)
		+ RS.1	68.4	18.32 (+0.9%)	0.60 (+ 7.1%)
		$\hookrightarrow$ + RS.2	68.6	18.41 (+1.5%)	0.61 (+ 8.9%)
		$\hookrightarrow$ + RS.3	68.6	19.08 (+5.2%)	0.75 (+33.9%)

- What is a high-quality conversation: **Both** of interlocutor express their persona information: (A express persona A, B express persona B)

We need lookahead through the whole dialogue adding all  
PP scores to assess the current utterance B  
--- Reinforcement Learning

# Methodology

- The model comprises two components, Transmitter and Receiver

Transmitter generates  $x_n^{\mathcal{A}}$  according to the distribution  $p(x_n^{\mathcal{A}} \mid \mathbf{w}^{\mathcal{A}}, \mathbf{h}_n^{\mathcal{A}})$

The same process applies to  $\mathcal{B}$ , keeping the conversation flowing.

How to train the transmitter:

- ➡ 1. Supervised Dialogue Generation:  $\mathcal{L}_{\text{mle}}$
- 2. RL Fine-tuning where persona perception reward is given by the Receiver



# Supervised Dialogue Generation

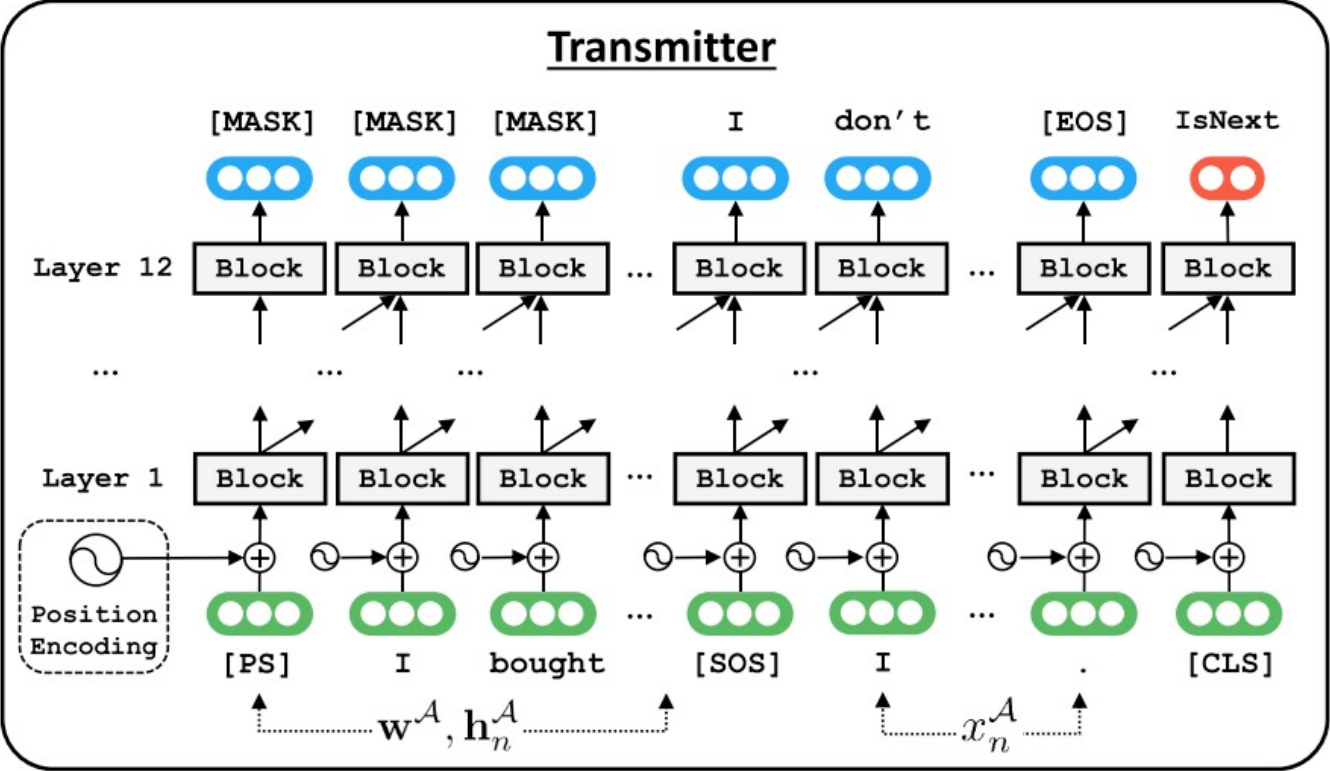


Figure 3: The overall architecture of Transmitter.

## 1. Sequence Generation Task

$$\mathcal{L}_{\text{mle}} = \sum_t \log p_{\theta}(x_{n,t}^{\mathcal{A}} \mid \mathbf{w}^{\mathcal{A}}, \mathbf{h}_n^{\mathcal{A}}, x_{n,<t}^{\mathcal{A}}),$$

## 2. Next Utterance Prediction Task

Variant	Hits@1(%) $\uparrow$	F1(%) $\uparrow$	BLEU(%) $\uparrow$
$\mathcal{P}^2$ BOT-S	68.7	18.14	0.56
- Persona	65.5	17.77 (-2.0%)	0.57 (+ 1.8%)
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# Supervised Dialogue Generation

Inference time: beam search is applied to store top-ranked response candidates and the classifier is also used to rank response candidates together.

$$x_n^{\mathcal{A}*} = \arg \max_{\hat{x}_n^{\mathcal{A}}} \left( \alpha \cdot \frac{\log p_{\theta}(\hat{x}_n^{\mathcal{A}} \mid \mathbf{w}^{\mathcal{A}}, \mathbf{h}_n^{\mathcal{A}})}{|\hat{x}_n^{\mathcal{A}}|} + (1 - \alpha) \cdot \log p_{\theta}(y_n = 1 \mid \mathbf{w}^{\mathcal{A}}, \mathbf{h}_n^{\mathcal{A}}, \hat{x}_n^{\mathcal{A}}) \right),$$

# Methodology

- The model comprises two components, Transmitter and Receiver

Transmitter generates  $x_n^{\mathcal{A}}$  according to the distribution  $p(x_n^{\mathcal{A}} \mid \mathbf{w}^{\mathcal{A}}, \mathbf{h}_n^{\mathcal{A}})$

The same process applies to  $\mathcal{B}$ , keeping the conversation flowing.

How to train the transmitter:

1. Supervised Dialogue Generation:  $\mathcal{L}_{\text{mle}}$

➡ 2. RL Fine-tuning where persona perception reward is given by the Receiver

# Self-play RL Fine-tuning

**Self-play:** two Transmitters communicate with each other for several turns.

One Transmitter serves as a user with the **parameters frozen**, while the other is a **learnable** agent,  $\theta$ , is fine-tuned during the self-play.

## RL Fine-tuning:

- State:  $s_n^{\mathcal{B}} = \{\mathbf{w}^{\mathcal{B}}, \mathbf{h}_n^{\mathcal{B}}\}$

$$\mathcal{L}_{\text{rl}} = \mathbb{E}_{a_n^{\mathcal{B}} \sim p_{\theta}(a_n^{\mathcal{B}} | s_n^{\mathcal{B}})} [R(a_n^{\mathcal{B}})]$$

- Action:  $a_n^{\mathcal{B}}$

How to shape the Reward  $R$ ?

- Policy:  $p_{\theta}(a_n^{\mathcal{B}} | s_n^{\mathcal{B}})$

# Reward Shaping(RS)

$$R = \lambda_1 R_1 + \lambda_2 R_2 + \lambda_3 R_3,$$

RS.1 Language Style: evaluated by a pretrained LM

RS.2 Discourse Coherence: evaluated by the **Classifier**.

RS.3 Persona Perception: evaluated by the **Receiver**.

Variant	Hits@1(%)↑	F1(%)↑	BLEU(%)↑
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## RS.3 Persona Perception

- Used to capture the assumption that: A high-quality chit-chat conversation should let **both** of interlocutor express their persona information.

Transmitter	Receiver	
B: "what are your hobbies?"	$score(a_n^{\mathcal{B}}, w^{\mathcal{B}})$	$R_3(a_n^{\mathcal{B}}) = r(a_n^{\mathcal{B}}) + \sum_{k=n+1}^N \left( \gamma^{2(k-n)-1} r(x_k^{\mathcal{A}*}) + \gamma^{2(k-n)} r(a_k^{\mathcal{B}}) \right),$
A: "My hobby is playing basketball."	$score(a_n^{\mathcal{A}}, w^{\mathcal{A}})$	

$r$  is the pp relevance score for **an** utterance of A(or B) to capture how much persona information has been expressed. In inference time:

$$r(x_n^{\mathcal{A}}) = score(x_n^{\mathcal{A}}, \mathbf{w}^{\mathcal{A}}) = \frac{Agg(\mathbf{H}_{n,:}^{\mathcal{A}}(\mathbf{W}^{\mathcal{A}})^{\top})}{\sqrt{d}}$$

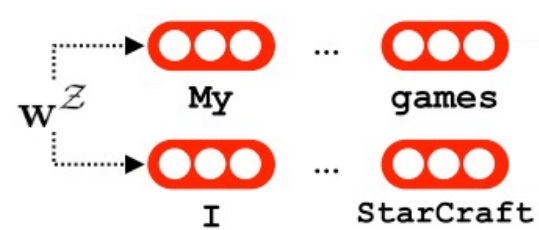
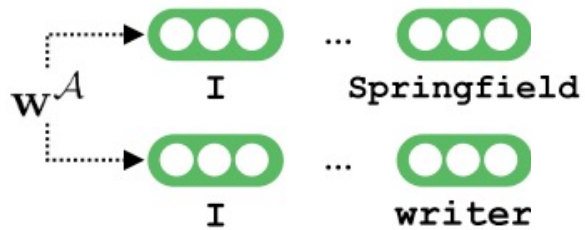
# Receiver Training

- Receiver is trained to measure the proximity between the utterances and persona sentences using negative sampling.

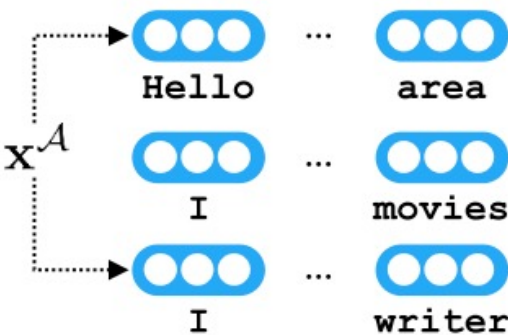
$$\textit{score}(a_n^{\mathcal{A}}, w^{\mathcal{C}}) \quad \text{Negative sample}$$

# Receiver Training

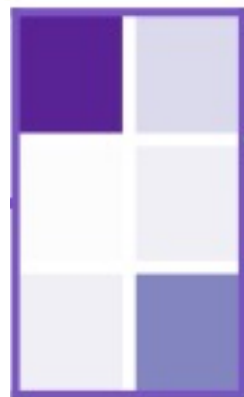
Positive Persona



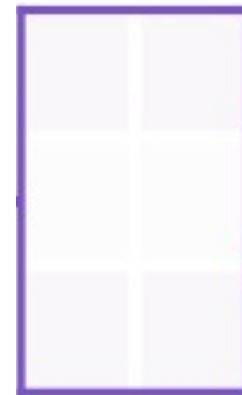
Negative Persona



Utterance



$\mathbf{U}^\Delta$



$c^{\mathcal{A}}$

Aggregation Function

$c^{\mathcal{Z}}$

- However, we do not have access to the golden **fine-grained** correlations.
- The only thing we know is that, left matrix should  $>$  right matrix (at a **coarse** granularity)
- We should not maximize all scores

$$\mathcal{L}_{\text{rec}} = \max(0, m + c^{\mathcal{Z}} - c^{\mathcal{A}}) + \beta \cdot |\mathbf{U}^\Delta|_1$$

$$\text{Agg}(\mathbf{U}_{n,:}^\Delta) = \frac{\sum_{k=1}^L \exp(\mathbf{U}_{n,k}^\Delta / \tau) \cdot \mathbf{U}_{n,k}^\Delta}{\sum_{k=1}^L \exp(\mathbf{U}_{n,k}^\Delta / \tau)} \quad \text{Coarse} \rightarrow \text{Fine-grained}$$



# Experiments & Results

## Baseline Comparison

Category	Model	Original			Revised		
		Hits@1(%) $\uparrow$	ppl $\downarrow$	F1(%) $\uparrow$	Hits@1(%) $\uparrow$	ppl $\downarrow$	F1(%) $\uparrow$
Retrieval	KV Profile Memory	54.8	-	14.25	38.1	-	13.65
	Dually Interactive Matching	78.8	-	-	<b>70.7</b>	-	-
Generative	Generative Profile Memory	10.2	35.01	16.29	9.9	34.94	15.71
	Language Model	-	50.67	16.30	-	51.61	13.59
	SEQ2SEQ-ATTN	12.5	35.07	16.82	9.8	39.54	15.52
Pretrain Fintune	Lost In Conversation	17.3	-	17.79	16.2	-	16.83
	Transfertransfo	<b>82.1</b>	17.51	19.09	-	-	-
	$\mathcal{P}^2$ BOT (Our)	81.9 $_{[0.1]}$	<b>15.12</b> $_{[0.16]}$	<b>19.77</b> $_{[0.08]}$	68.6 $_{[0.2]}$	<b>18.89</b> $_{[0.11]}$	<b>19.08</b> $_{[0.07]}$

Table 1: Automatic evaluation results of different methods on the PERSONA-CHAT dataset. The standard deviation  $[\sigma]$  (across 5 runs) of  $\mathcal{P}^2$  BOT is also reported.

# Overview



<Revised Title>

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# Language Generation with Multi-Hop Reasoning on Commonsense Knowledge Graph

**Haozhe Ji<sup>1</sup>, Pei Ke<sup>1</sup>, Shaohan Huang<sup>2</sup>, Furu Wei<sup>2</sup>, Xiaoyan Zhu<sup>1</sup>, Minlie Huang<sup>1\*</sup>**

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# Motivation & Main Idea

- Existing approaches that integrate commonsense knowledge into pre-trained language models simply transfer relational knowledge by post-training on **individual triples** while ignoring rich **structured knowledge** within the KG.
  - E.g. Triples → Readable natural language sentences → Fine-tuning LMs

## Language Generation with **Multi-Hop Reasoning** on Commonsense Knowledge Graph

**Haozhe Ji<sup>1</sup>, Pei Ke<sup>1</sup>, Shaohan Huang<sup>2</sup>, Furu Wei<sup>2</sup>, Xiaoyan Zhu<sup>1</sup>, Minlie Huang<sup>1\*</sup>**

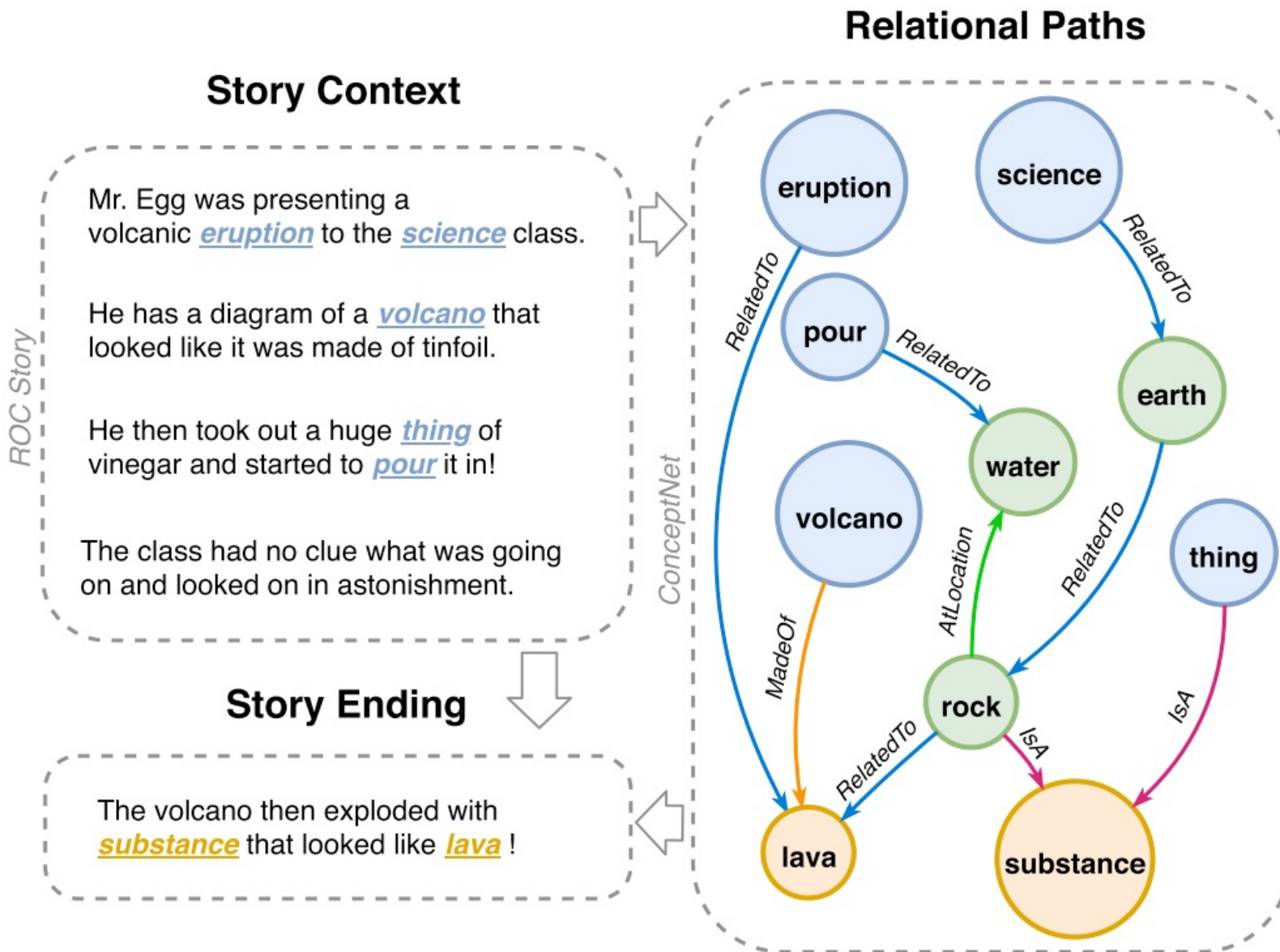
<sup>1</sup>Department of Computer Science and Technology, Institute for Artificial Intelligence,  
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Multi-hop reasoning over **multiple**  
end-to-end triples making full use  
of this **structured knowledge**  
(connections in the graph) in the  
generation task.

# Motivation & Main Idea

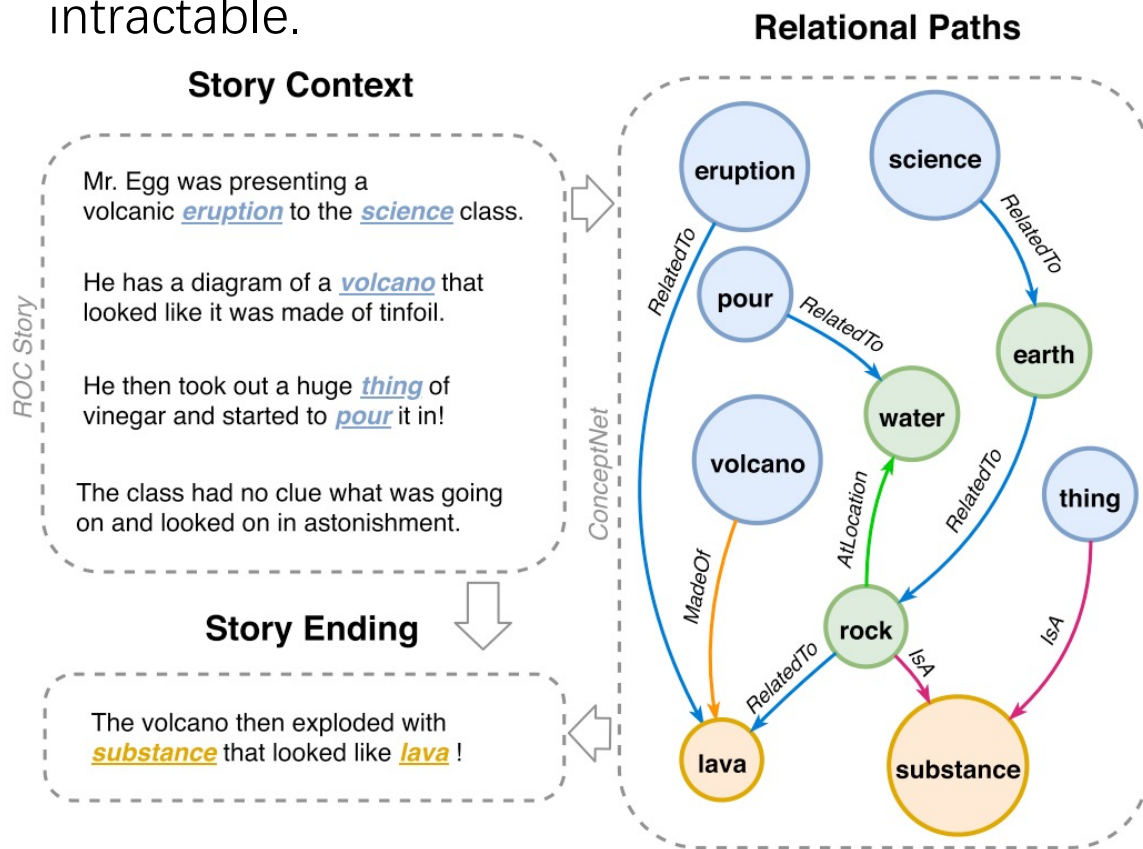


Multi-hop reasoning over **multiple** end-to-end triples making full use of this **structured knowledge** (connections in the graph) in the generation task.

# Methodology

Problem Formulation:  $P(y|x, G)$ .

$G$  is the **sub-graph** extracted from the  $x$ , since direct reasoning on the complete graph is intractable.



## How to construct the sub-graph?

1. Starts from  $C_x$  concept nodes (Blue Nodes).
2. Search for direct neighbors and preserve top-B nodes according to **incoming degree** in the current sub-graph.
3. Iterate the above process for  $H$ -hops.
4. Finally, we end up with the sub-graph consists of inter-connected  $H$ -hop paths starting from the source concepts  $C_x$



# Model Architecture

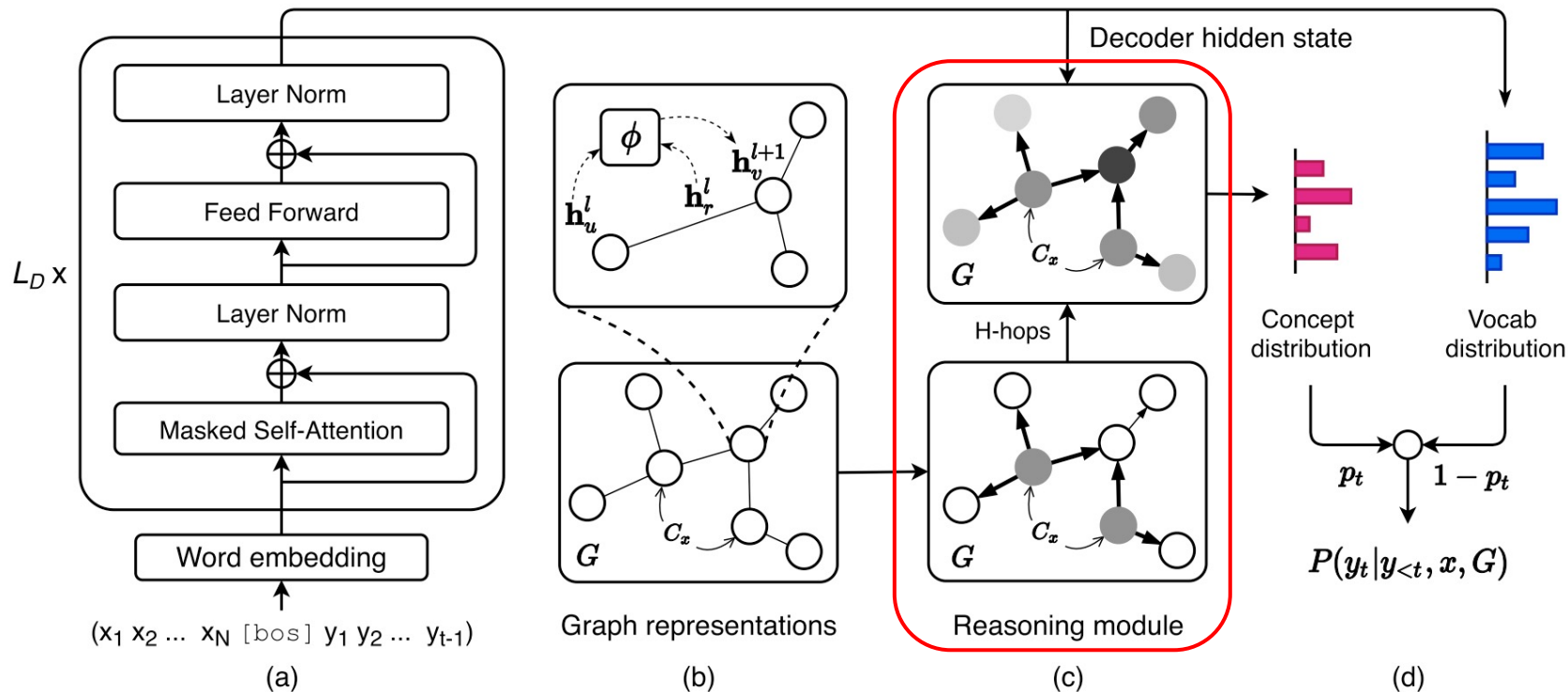
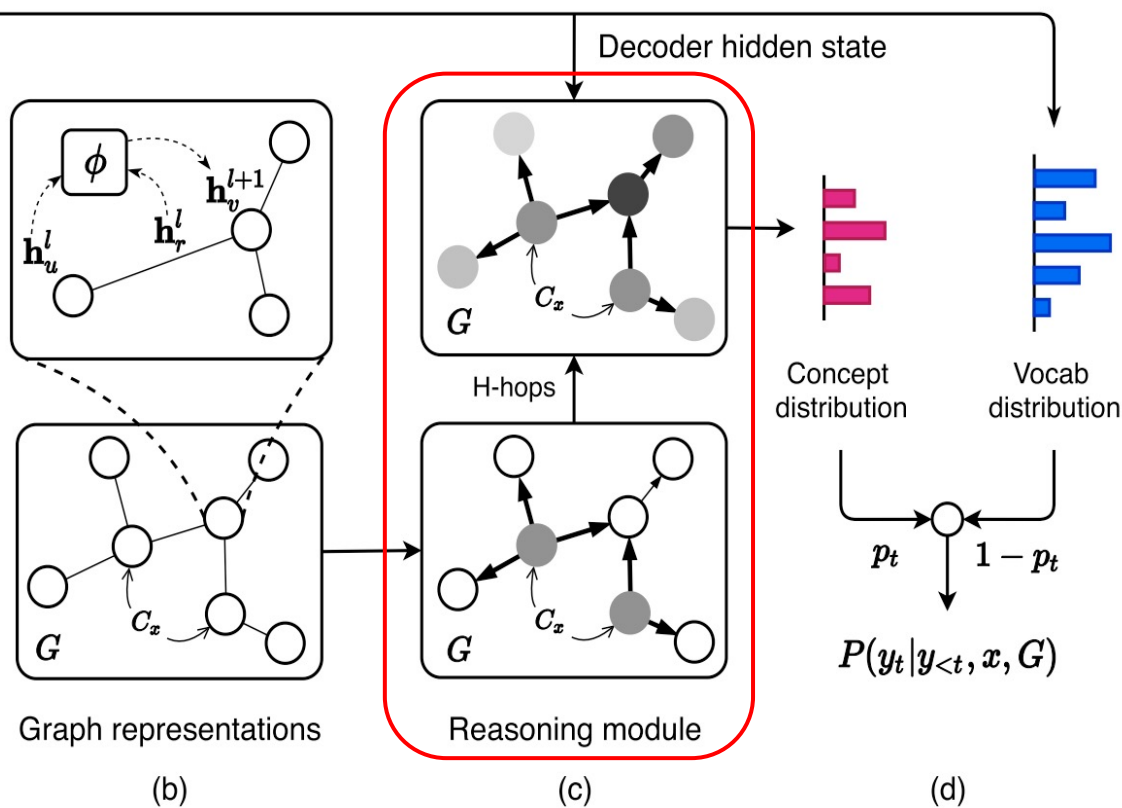


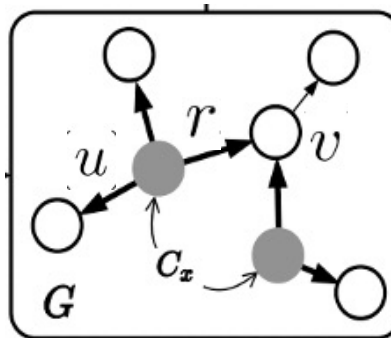
Figure 2: Model architecture. (a) Context modeling with pre-trained transformer (§3.2.2). (b) The model encodes the multi-relational graph with non-parametric operation  $\phi(\cdot)$  to combine relations and concepts (§3.2.1). (c) The multi-hop reasoning module aggregates evidence from source concepts  $C_x$  along structural paths to all nodes where shade indicates the node score (§3.2.3). (d) The final generation distribution with gate control (§3.2.4).

# Reasoning Module



We can think of  $R$  as the bridge between the triple(score propagation edge) and the context.  
 ➡ finally all **node scores** (logits) are dependent on the context ➡ **concept distribution** likewise.

Utilizes both structural patterns of the knowledge graph and contextual information to **propagate** evidence along relational paths at **each decoding step**.



● 1 ○ 0

**Score Propagation:** ● ➡ ○

For each unvisited ○, the node score is calculated by following

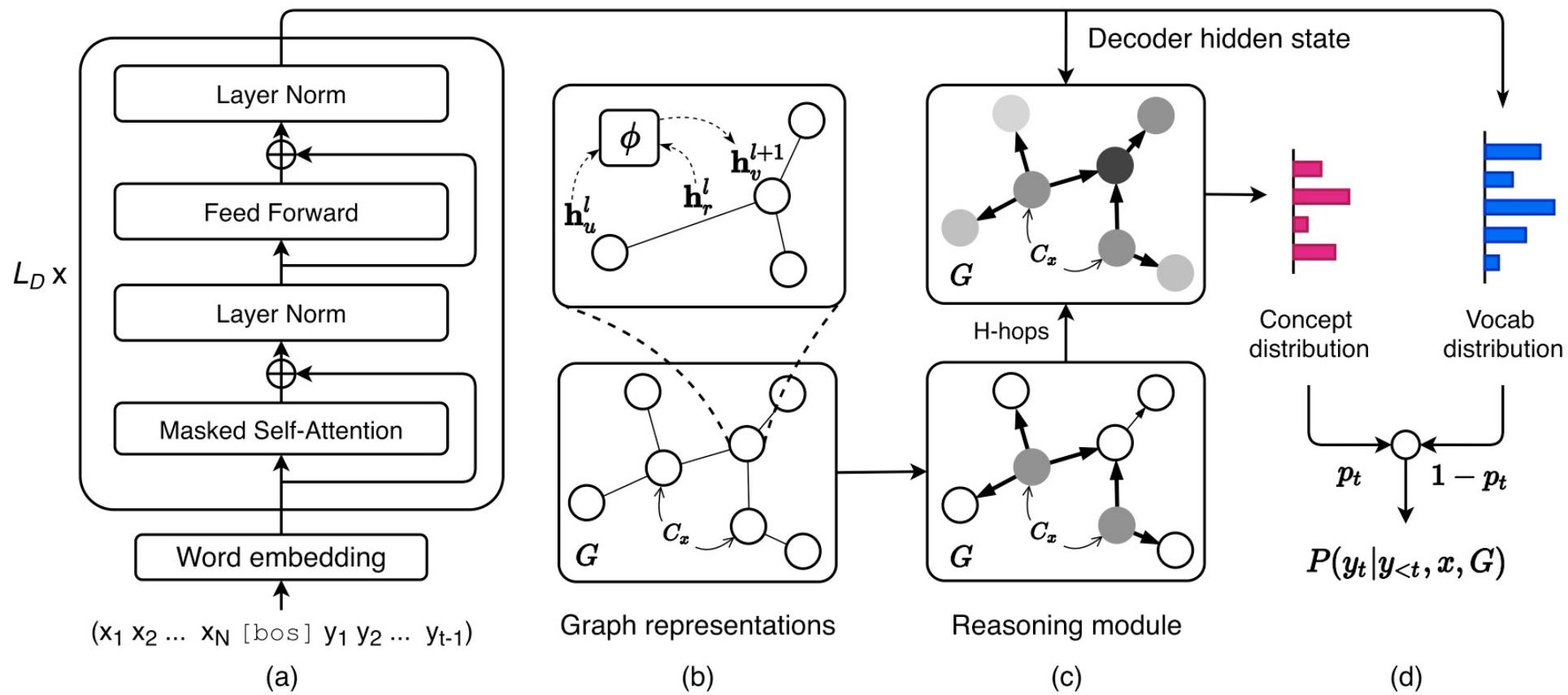
$$ns(v) = \underset{(u,r) \in \mathcal{N}_{in}(v)}{f} \left( \gamma \cdot ns(u) + \underbrace{R(u, r, v)}_{\text{triple}} \right)$$

$$R(u, r, v) = \sigma(\mathbf{h}_{u,r,v}^T \mathbf{W}_{sim} \mathbf{h}_t^{L_D}),$$

$$\mathbf{h}_{u,r,v} = [\mathbf{h}_u^{L_G}; \mathbf{h}_r^{L_G}; \mathbf{h}_v^{L_G}].$$



# Training



$$\mathcal{L}_{gen} = \sum_{t=1}^{M+1} -\log P(y_t^{\text{gold}} | \mathbf{y}_{<t}^{\text{gold}}, \mathbf{x}, G).$$

$$\mathcal{L}_{gen} + \alpha \mathcal{L}_{gate} + \beta \mathcal{L}_{weak}$$

# Result

Models	EG				$\alpha$ NLG			
	BLEU-4	METEOR	ROUGE-L	CIDEr	BLEU-4	METEOR	ROUGE-L	CIDEr
Seq2Seq	6.09	24.94	26.37	32.37	2.37	14.76	22.03	29.09
COMeT-Txt-GPT2	N/A	N/A	N/A	N/A	2.73 <sup>†</sup>	18.32 <sup>†</sup>	24.39 <sup>†</sup>	32.78 <sup>†</sup>
COMeT-Emb-GPT2	N/A	N/A	N/A	N/A	3.66 <sup>†</sup>	19.53 <sup>†</sup>	24.92 <sup>†</sup>	32.67 <sup>†</sup>
GPT2-FT	15.63	38.76	37.32	77.09	9.80	25.82	32.90	57.52
GPT2-OMCS-FT	15.55	38.28	37.53	75.60	9.62	25.83	32.88	57.50
GRF	<b>17.19</b>	<b>39.15</b>	<b>38.10</b>	<b>81.71</b>	<b>11.62</b>	<b>27.76</b>	<b>34.62</b>	<b>63.76</b>

Table 3: Automatic evaluation results on the test set of EG and  $\alpha$ NLG. Entries with N/A mean the baseline is not designated for this task. <sup>†</sup>: we use the generation results from [Bhagavatula et al. \(2020\)](#).

Incorporating rich **structural** information of commonsense knowledge graphs can enhance the overall generation quality.

**Thank You**