

Paper Reading

Chen Xu

2021.5.13

Overview

1. ~~You Impress Me: Dialogue Generation via Mutual Persona Perception~~, [ACL 2020](#)
2. Language Generation with Multi-Hop Reasoning on Commonsense Knowledge Graph, [EMNLP 2020](#)

Why paper 1:

- Current **SOTA** baseline on personalized dialogue generation task with which we want to compare.

Why paper 2:

- We want to mimic the paper's methodology about KG-enhanced generation.

~~You Impress Me:~~ Dialogue Generation via ~~Mutual~~ Persona Perception

**Qian Liu^{†*}, Yihong Chen^{◇*}, Bei Chen[§], Jian-Guang Lou[§],
Zixuan Chen^{♠*}, Bin Zhou[†], Dongmei Zhang[§]**

[†]School of Computer Science and Engineering, Beihang University, China

[◇]UCL Centre for Artificial Intelligence, University College London, United Kingdom

[♠]School of Computer Science, Fudan University, China

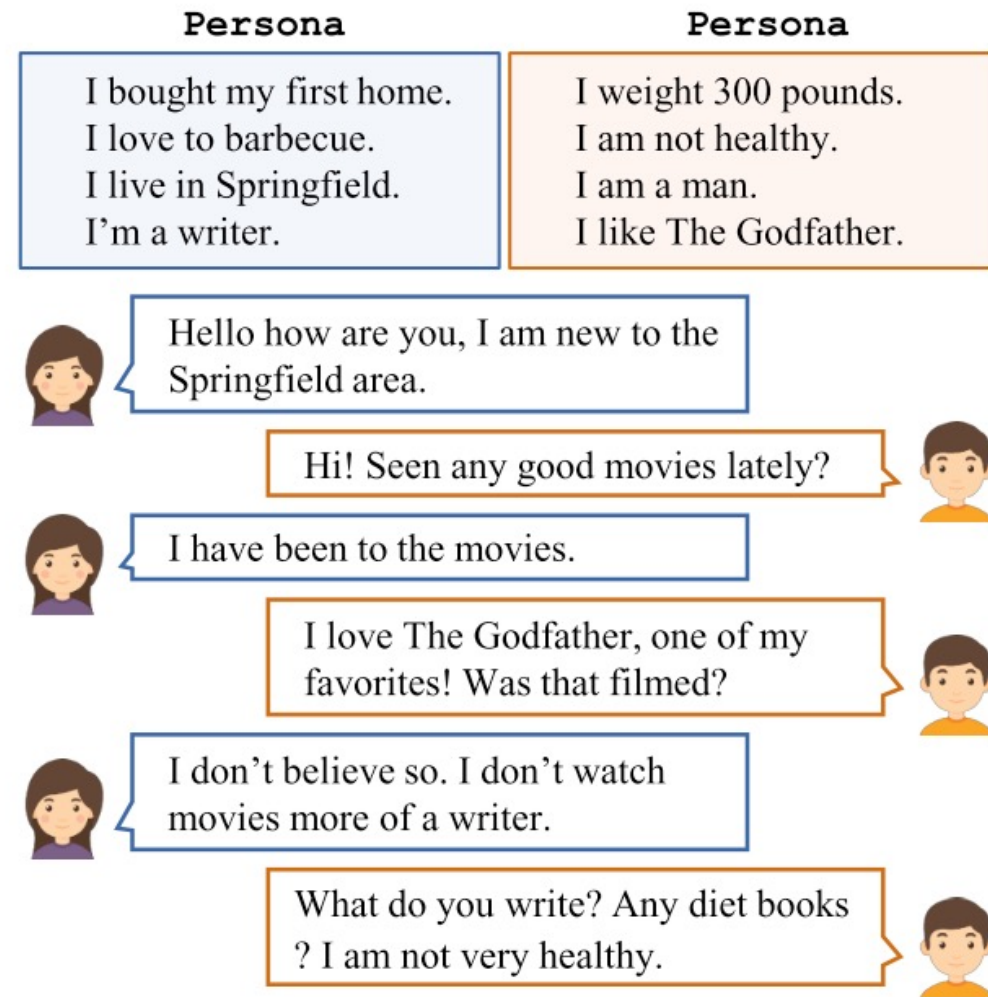
[§]Microsoft Research, Beijing, China

[†]{qian.liu, zhoubin}@buaa.edu.cn; [§]{beichen, jlou, dongmeiz}@microsoft.com;

[◇]yihong.chen@cs.ucl.ac.uk; [♠]remch183@outlook.com

Background

- Personalized Dialogue Generation: Making chit-chat more engaging and consistent by conditioning on persona information.
- N-turn dialogue $(x_1^A, x_1^B, \dots, x_N^A, x_N^B)$ we need to model $p(x_n^A | \mathbf{w}^A, \mathbf{h}_n^A)$.



Persona Chat Dataset

Motivation

- Current works simply focus on mimicking human-like responses, leaving understudied the aspects of modeling **understanding** of whether or how much persona information has been expressed by its corresponding speaker.

The “**understanding**” is the concept “**Persona Perception**” in the title.

~~You Impress Me:~~ Dialogue Generation via ~~Mutual~~ Persona Perception

**Qian Liu^{†*}, Yihong Chen^{◇*}, Bei Chen[§], Jian-Guang Lou[§],
Zixuan Chen^{♠*}, Bin Zhou[†], Dongmei Zhang[§]**

[†]School of Computer Science and Engineering, Beihang University, China

[◇]UCL Centre for Artificial Intelligence, University College London, United Kingdom

[♠]School of Computer Science, Fudan University, China

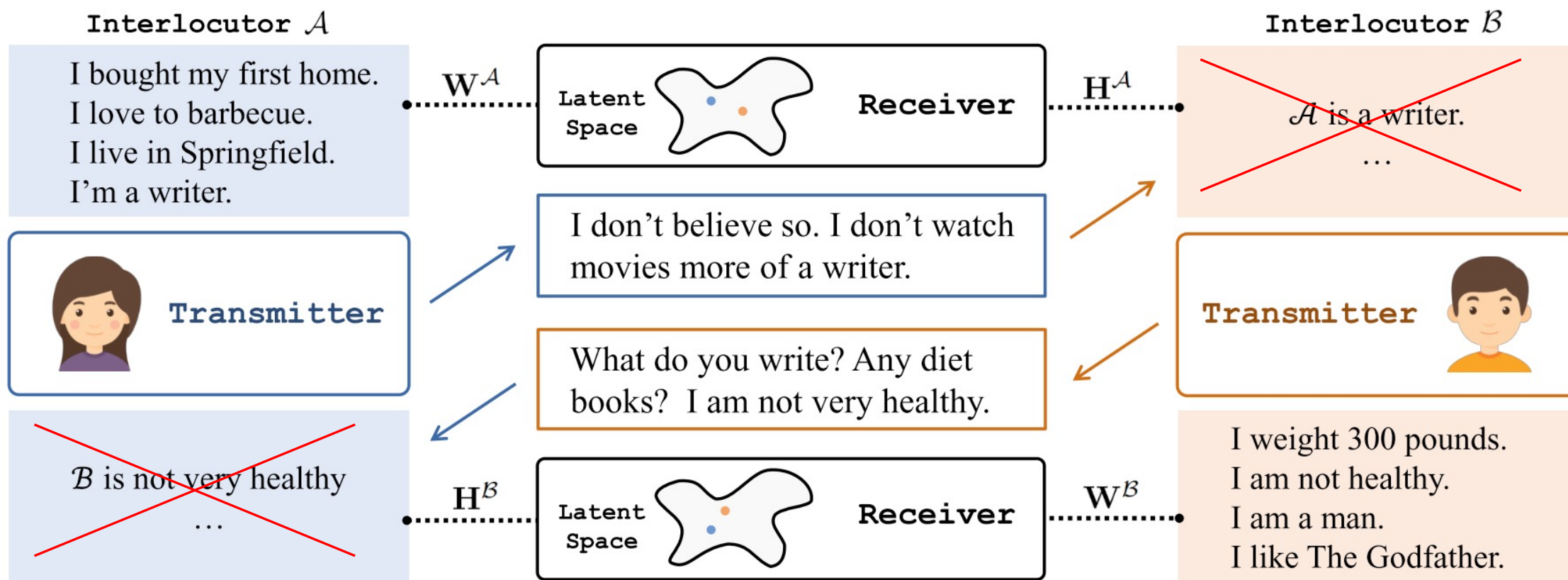
[§]Microsoft Research, Beijing, China

[†]{qian.liu, zhoubin}@buaa.edu.cn; [§]{beichen, jlou, dongmeiz}@microsoft.com;

[◇]yihong.chen@cs.ucl.ac.uk; [♠]remch183@outlook.com

Persona Perception is just a relevance score between an utterance and persona sentences given by the **Receiver** (A **third-party** persona perceptron)

Persona Perception Score $score(a_n^A, w^A)$ $score(a_n^B, w^B)$ $score(a_n^A, w^C)$



Motivation & Main Idea <Revised>

- Current works simply focus on mimicking human-like responses, leaving understudied the aspects of modeling **understanding** of whether or how much persona information has been **expressed** by its corresponding speaker.
- **Persona Perception** score(pp score) can be used to assess the quality of an utterance.

But ..

Transmitter	Receiver	Variant	Hits@1(%) ↑	F1(%) ↑	BLEU(%) ↑
B: "what are your hobbies?"	$score(a_n^B, w^B)$	\mathcal{P}^2 BoT-S	68.7	18.14	0.56
A: "My hobby is playing basketball."	$score(a_n^A, w^A)$	- Persona	65.5	17.77 (- 2.0%)	0.57 (+ 1.8%)
		- Next	17.6	18.11 (- 0.1%)	0.55 (- 1.8%)
		+ RS.1	68.4	18.32 (+0.9%)	0.60 (+ 7.1%)
		\hookrightarrow + RS.2	68.6	18.41 (+1.5%)	0.61 (+ 8.9%)
		\hookrightarrow + RS.3	68.6	19.08 (+5.2%)	0.75 (+33.9%)

- What is a high-quality conversation: **Both** of interlocutor express their persona information: (A express persona A, B express persona B)

We need lookahead through the whole dialogue adding all
PP scores to assess the current utterance B
--- Reinforcement Learning

Methodology

- The model comprises two components, Transmitter and Receiver

Transmitter generates $x_n^{\mathcal{A}}$ according to the distribution $p(x_n^{\mathcal{A}} \mid \mathbf{w}^{\mathcal{A}}, \mathbf{h}_n^{\mathcal{A}})$

The same process applies to \mathcal{B} , keeping the conversation flowing.

How to train the transmitter:

- ➡ 1. Supervised Dialogue Generation: \mathcal{L}_{mle}
- 2. RL Fine-tuning where persona perception reward is given by the Receiver

Supervised Dialogue Generation

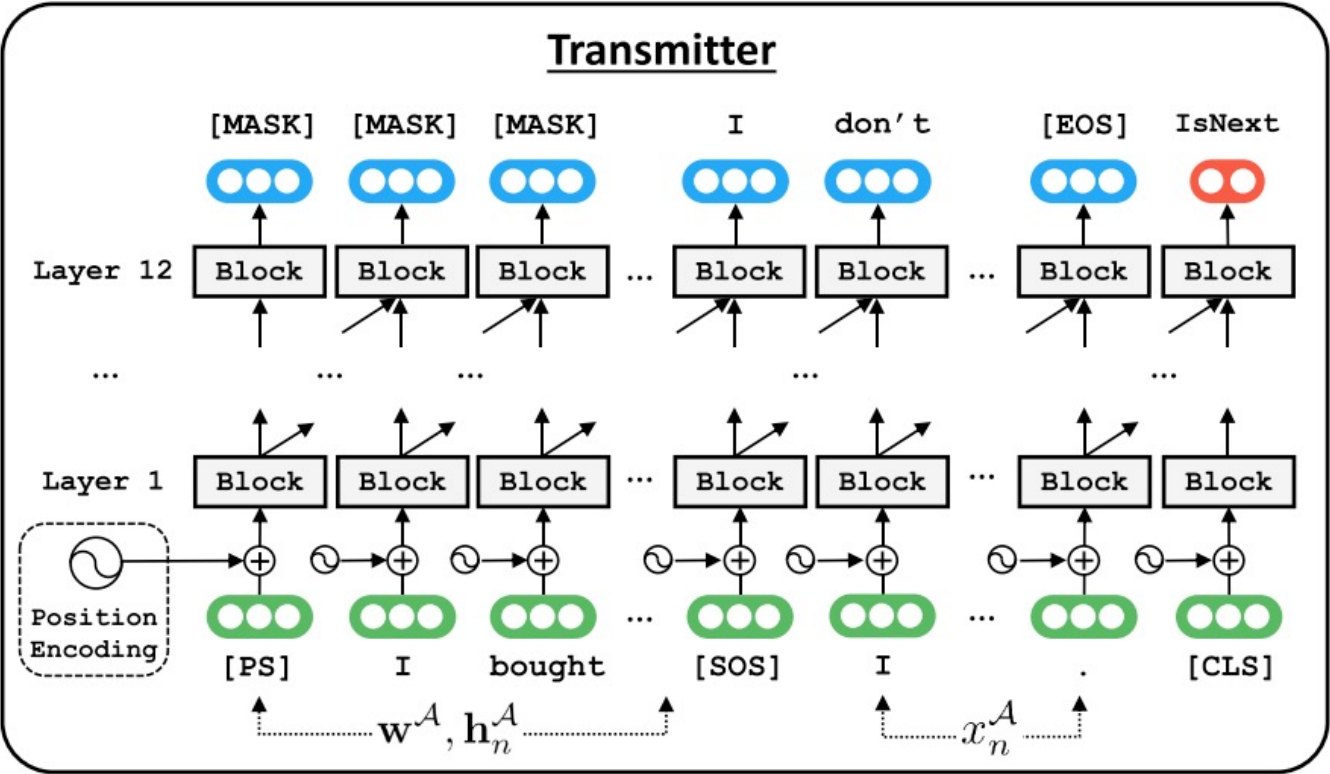


Figure 3: The overall architecture of Transmitter.

1. Sequence Generation Task

$$\mathcal{L}_{\text{mle}} = \sum_t \log p_{\theta}(x_{n,t}^{\mathcal{A}} \mid \mathbf{w}^{\mathcal{A}}, \mathbf{h}_n^{\mathcal{A}}, x_{n,<t}^{\mathcal{A}}),$$

2. Next Utterance Prediction Task

Variant	Hits@1(%)↑	F1(%)↑	BLEU(%)↑
\mathcal{P}^2 BOT-S	68.7	18.14	0.56
- Persona	65.5	17.77 (-2.0%)	0.57 (+ 1.8%)
- Next	17.6	18.11 (-0.1%)	0.55 (- 1.8%)
+ RS.1	68.4	18.32 (+0.9%)	0.60 (+ 7.1%)
↪ + RS.2	68.6	18.41 (+1.5%)	0.61 (+ 8.9%)
↪ + RS.3	68.6	19.08 (+5.2%)	0.75 (+33.9%)

Supervised Dialogue Generation

Inference time: beam search is applied to store top-ranked response candidates and the classifier is also used to rank response candidates together.

$$x_n^{\mathcal{A}*} = \arg \max_{\hat{x}_n^{\mathcal{A}}} \left(\alpha \cdot \frac{\log p_{\theta}(\hat{x}_n^{\mathcal{A}} \mid \mathbf{w}^{\mathcal{A}}, \mathbf{h}_n^{\mathcal{A}})}{|\hat{x}_n^{\mathcal{A}}|} + (1 - \alpha) \cdot \log p_{\theta}(y_n = 1 \mid \mathbf{w}^{\mathcal{A}}, \mathbf{h}_n^{\mathcal{A}}, \hat{x}_n^{\mathcal{A}}) \right),$$

Methodology

- The model comprises two components, Transmitter and Receiver

Transmitter generates $x_n^{\mathcal{A}}$ according to the distribution $p(x_n^{\mathcal{A}} \mid \mathbf{w}^{\mathcal{A}}, \mathbf{h}_n^{\mathcal{A}})$

The same process applies to \mathcal{B} , keeping the conversation flowing.

How to train the transmitter:

1. Supervised Dialogue Generation: \mathcal{L}_{mle}

➡ 2. RL Fine-tuning where persona perception reward is given by the Receiver

Self-play RL Fine-tuning

Self-play: two Transmitters communicate with each other for several turns.

One Transmitter serves as a user with the **parameters frozen**, while the other is a **learnable** agent, θ , is fine-tuned during the self-play.

RL Fine-tuning:

- State: $s_n^{\mathcal{B}} = \{\mathbf{w}^{\mathcal{B}}, \mathbf{h}_n^{\mathcal{B}}\}$

$$\mathcal{L}_{\text{rl}} = \mathbb{E}_{a_n^{\mathcal{B}} \sim p_{\theta}(a_n^{\mathcal{B}} | s_n^{\mathcal{B}})} [R(a_n^{\mathcal{B}})]$$

- Action: $a_n^{\mathcal{B}}$

How to shape the Reward R ?

- Policy: $p_{\theta}(a_n^{\mathcal{B}} | s_n^{\mathcal{B}})$

Reward Shaping(RS)

$$R = \lambda_1 R_1 + \lambda_2 R_2 + \lambda_3 R_3,$$

RS.1 Language Style: evaluated by a pretrained LM

RS.2 Discourse Coherence: evaluated by the **Classifier**.

RS.3 Persona Perception: evaluated by the **Receiver**.

Variant	Hits@1(%) ↑	F1(%) ↑	BLEU(%) ↑
\mathcal{P}^2 BOT-S	68.7	18.14	0.56
- Persona	65.5	17.77 (- 2.0%)	0.57 (+ 1.8%)
- Next	17.6	18.11 (- 0.1%)	0.55 (- 1.8%)
+ RS.1	68.4	18.32 (+0.9%)	0.60 (+ 7.1%)
↪ + RS.2	68.6	18.41 (+1.5%)	0.61 (+ 8.9%)
↪ + RS.3	68.6	19.08 (+5.2%)	0.75 (+33.9%)

RS.3 Persona Perception

- Used to capture the assumption that: A high-quality chit-chat conversation should let **both** of interlocutor express their persona information.

Transmitter	Receiver	
B: "what are your hobbies?"	$score(a_n^{\mathcal{B}}, w^{\mathcal{B}})$	$R_3(a_n^{\mathcal{B}}) = r(a_n^{\mathcal{B}}) + \sum_{k=n+1}^N \left(\gamma^{2(k-n)-1} r(x_k^{\mathcal{A}*}) + \gamma^{2(k-n)} r(a_k^{\mathcal{B}}) \right),$
A: "My hobby is playing basketball."	$score(a_n^{\mathcal{A}}, w^{\mathcal{A}})$	

r is the pp relevance score for **an** utterance of A(or B) to capture how much persona information has been expressed. In inference time:

$$r(x_n^{\mathcal{A}}) = score(x_n^{\mathcal{A}}, \mathbf{w}^{\mathcal{A}}) = \frac{Agg(\mathbf{H}_{n,:}^{\mathcal{A}} (\mathbf{W}^{\mathcal{A}})^{\top})}{\sqrt{d}}$$

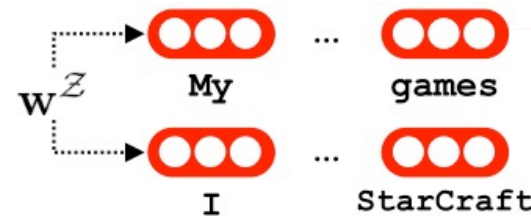
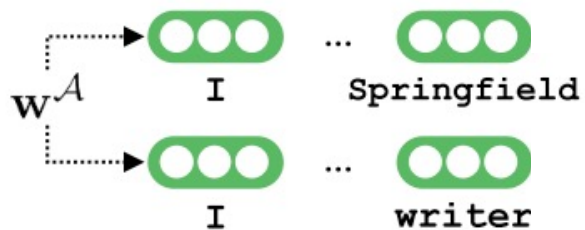
Receiver Training

- Receiver is trained to measure the proximity between the utterances and persona sentences using negative sampling.

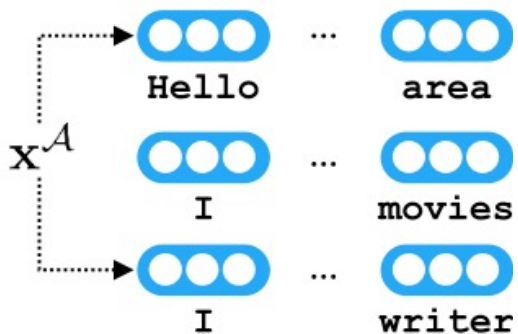
$$\textit{score}(a_n^{\mathcal{A}}, w^{\mathcal{C}}) \quad \text{Negative sample}$$

Receiver Training

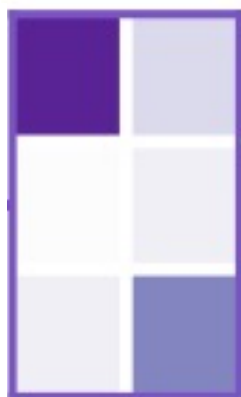
Positive Persona



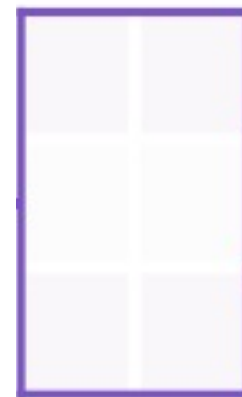
Negative Persona



Utterance



\mathbf{U}^Δ



Aggregation Function

$c^{\mathcal{A}}$

$c^{\mathcal{Z}}$

$$\mathcal{L}_{\text{rec}} = \max(0, m + c^{\mathcal{Z}} - c^{\mathcal{A}}) + \beta \cdot |\mathbf{U}^\Delta|_1$$

- However, we do not have access to the golden **fine-grained** correlations.
- The only thing we know is that, left matrix should $>$ right matrix (at a **coarse** granularity)
- We should not maximize all scores

$$\text{Agg}(\mathbf{U}_{n,:}^\Delta) = \frac{\sum_{k=1}^L \exp(\mathbf{U}_{n,k}^\Delta / \tau) \cdot \mathbf{U}_{n,k}^\Delta}{\sum_{k=1}^L \exp(\mathbf{U}_{n,k}^\Delta / \tau)} \quad \text{Coarse} \rightarrow \text{Fine-grained}$$

Experiments & Results

Baseline Comparison

Category	Model	Original			Revised		
		Hits@1(%) \uparrow	ppl \downarrow	F1(%) \uparrow	Hits@1(%) \uparrow	ppl \downarrow	F1(%) \uparrow
Retrieval	KV Profile Memory	54.8	-	14.25	38.1	-	13.65
	Dually Interactive Matching	78.8	-	-	70.7	-	-
Generative	Generative Profile Memory	10.2	35.01	16.29	9.9	34.94	15.71
	Language Model	-	50.67	16.30	-	51.61	13.59
	SEQ2SEQ-ATTN	12.5	35.07	16.82	9.8	39.54	15.52
Pretrain Fintune	Lost In Conversation	17.3	-	17.79	16.2	-	16.83
	Transfertransfo	82.1	17.51	19.09	-	-	-
	\mathcal{P}^2 BOT (Our)	81.9 $_{[0.1]}$	15.12 $_{[0.16]}$	19.77 $_{[0.08]}$	68.6 $_{[0.2]}$	18.89 $_{[0.11]}$	19.08 $_{[0.07]}$

Table 1: Automatic evaluation results of different methods on the PERSONA-CHAT dataset. The standard deviation $[\sigma]$ (across 5 runs) of \mathcal{P}^2 BOT is also reported.

Overview



<Revised Tittle>

1. ~~You Impress Me~~: Dialogue Generation via ~~Mutual~~ Persona Perception, [ACL 2020](#)
2. Language Generation with Multi-Hop Reasoning on Commonsense Knowledge Graph, [EMNLP 2020](#)

Why paper 1:

- Current **SOTA** baseline on personalized dialogue generation task with which we want to compare.
- Inspired by this paper, we get an assumption about **what is a high-quality conversation**.

Why paper 2:

- We want to mimic the paper's methodology about **how to use KG in the generation task** and apply it into the personalized dialogue generation task

Language Generation with Multi-Hop Reasoning on Commonsense Knowledge Graph

Haozhe Ji¹, Pei Ke¹, Shaohan Huang², Furu Wei², Xiaoyan Zhu¹, Minlie Huang^{1*}

¹Department of Computer Science and Technology, Institute for Artificial Intelligence,
State Key Lab of Intelligent Technology and Systems,
Beijing National Research Center for Information Science and Technology,
Tsinghua University, Beijing 100084, China

²Microsoft Research

{jhz20, kp17}@mails.tsinghua.edu.cn, {shaohan, fuwei}@microsoft.com,
{zxy-dcs, aihuang}@tsinghua.edu.cn

Motivation & Main Idea

- Existing approaches that integrate commonsense knowledge into pre-trained language models simply transfer relational knowledge by post-training on **individual triples** while ignoring rich **structured knowledge** within the KG.
 - E.g. Triples -> Readable natural language sentences -> Fine-tuning LMs

Language Generation with **Multi-Hop Reasoning** on Commonsense Knowledge Graph

Haozhe Ji¹, Pei Ke¹, Shaohan Huang², Furu Wei², Xiaoyan Zhu¹, Minlie Huang^{1*}

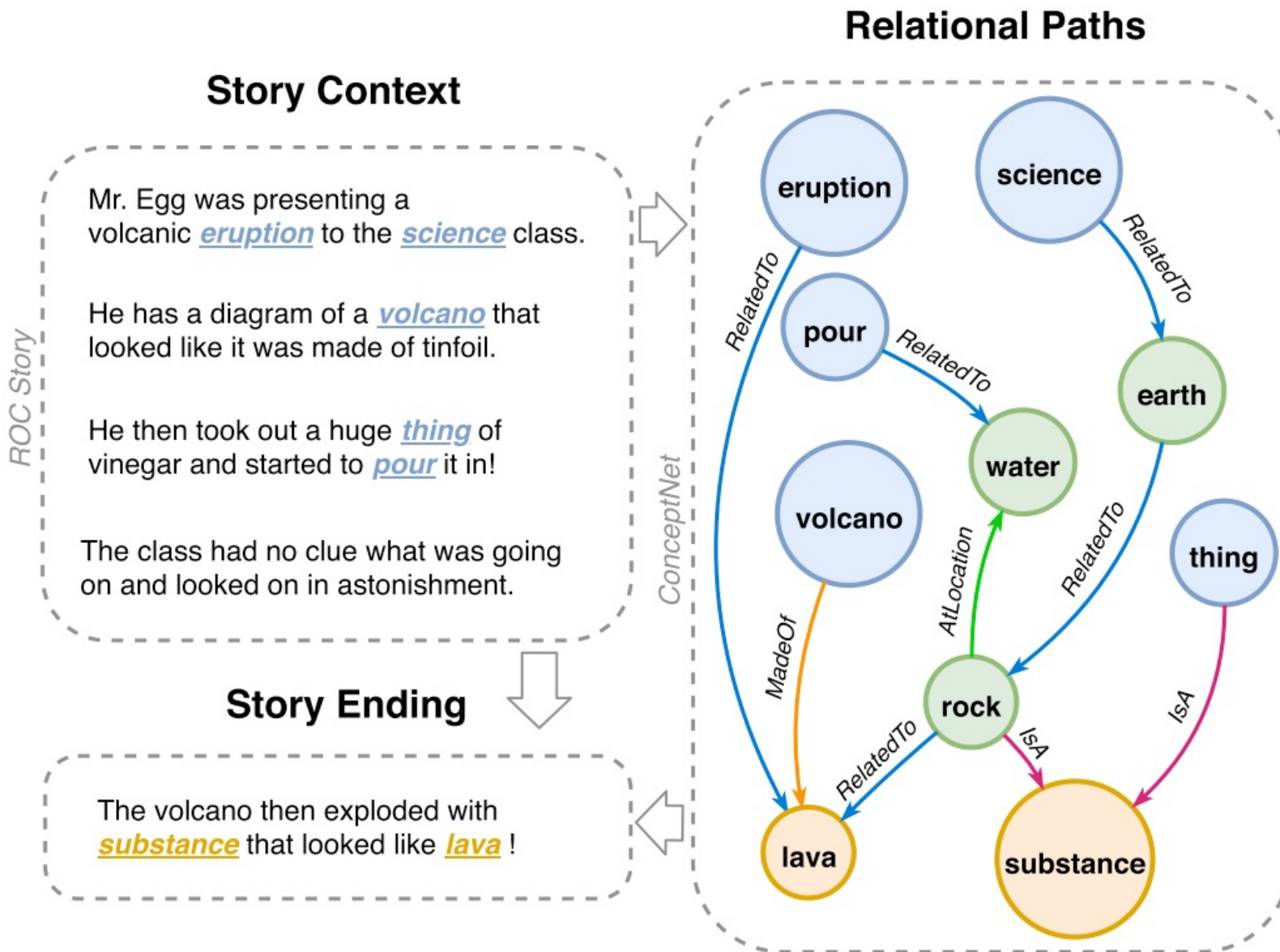
¹Department of Computer Science and Technology, Institute for Artificial Intelligence,
State Key Lab of Intelligent Technology and Systems,
Beijing National Research Center for Information Science and Technology,
Tsinghua University, Beijing 100084, China

²Microsoft Research

{jhz20, kp17}@mails.tsinghua.edu.cn, {shaohan, fuwei}@microsoft.com,
{zxy-dcs, aihuang}@tsinghua.edu.cn

Multi-hop reasoning over **multiple**
end-to-end triples making full use
of this **structured knowledge**
(connections in the graph) in the
generation task.

Motivation & Main Idea

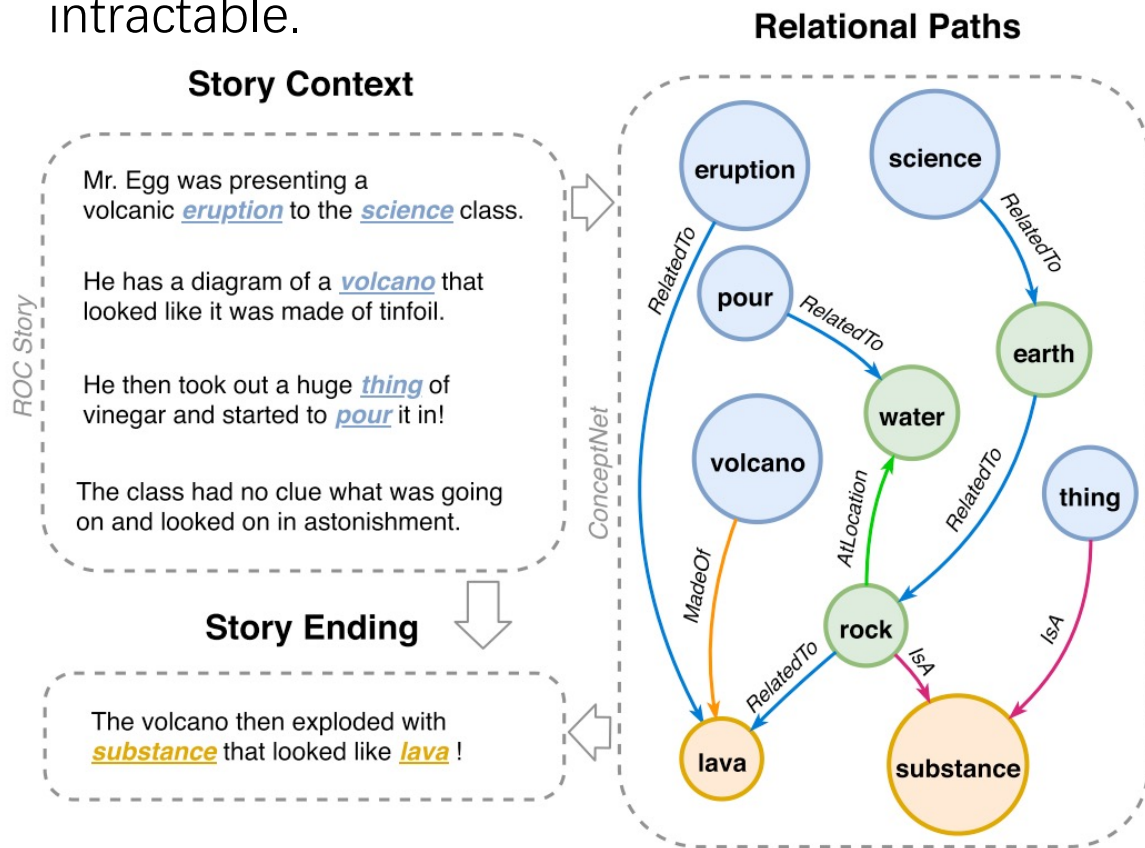


Multi-hop reasoning over **multiple** end-to-end triples making full use of this **structured knowledge** (connections in the graph) in the generation task.

Methodology

Problem Formulation: $P(y|x, G)$.

G is the **sub-graph** extracted from the x , since direct reasoning on the complete graph is intractable.



How to construct the sub-graph?

1. Starts from C_x concept nodes (Blue Nodes).
2. Search for direct neighbors and preserve top-B nodes according to **incoming degree** in the current sub-graph.
3. Iterate the above process for H -hops.
4. Finally, we end up with the sub-graph consists of inter-connected H -hop paths starting from the source concepts C_x

Model Architecture

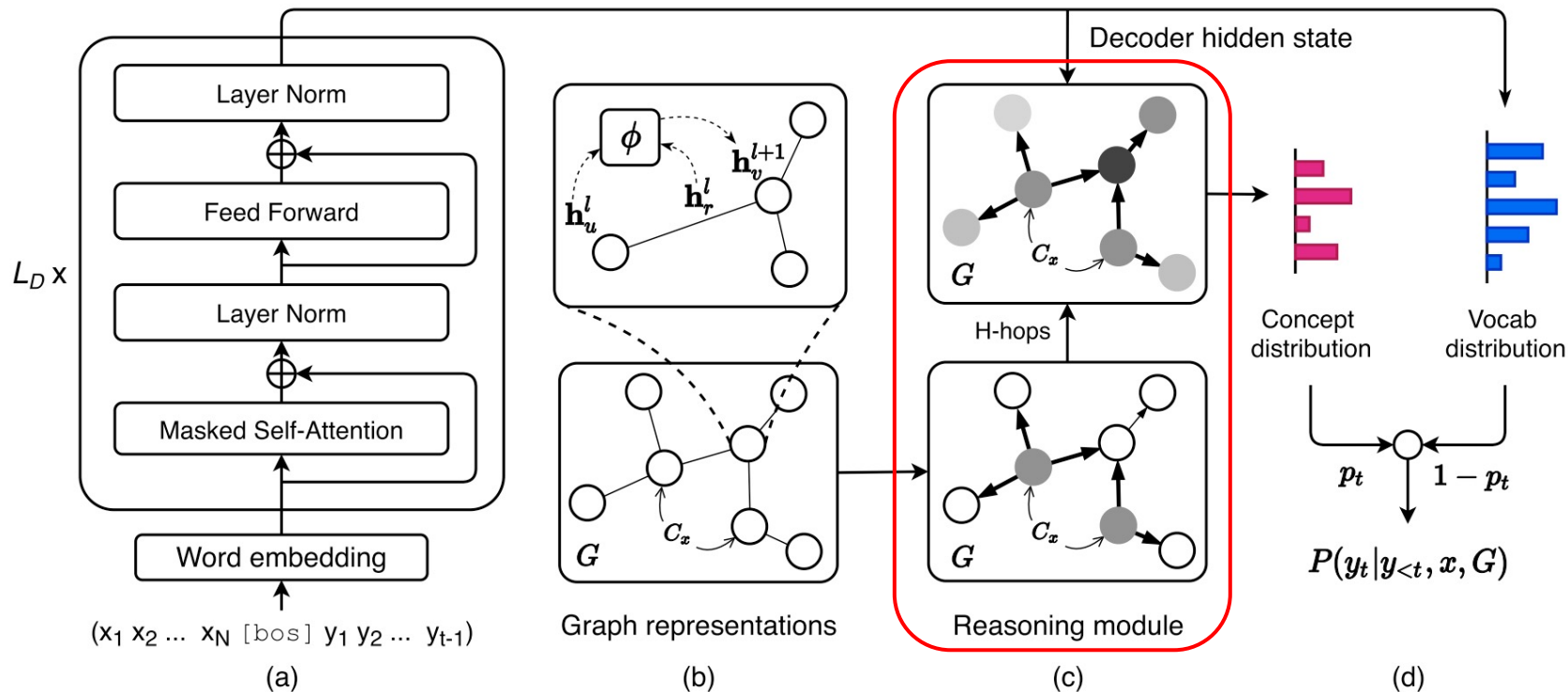
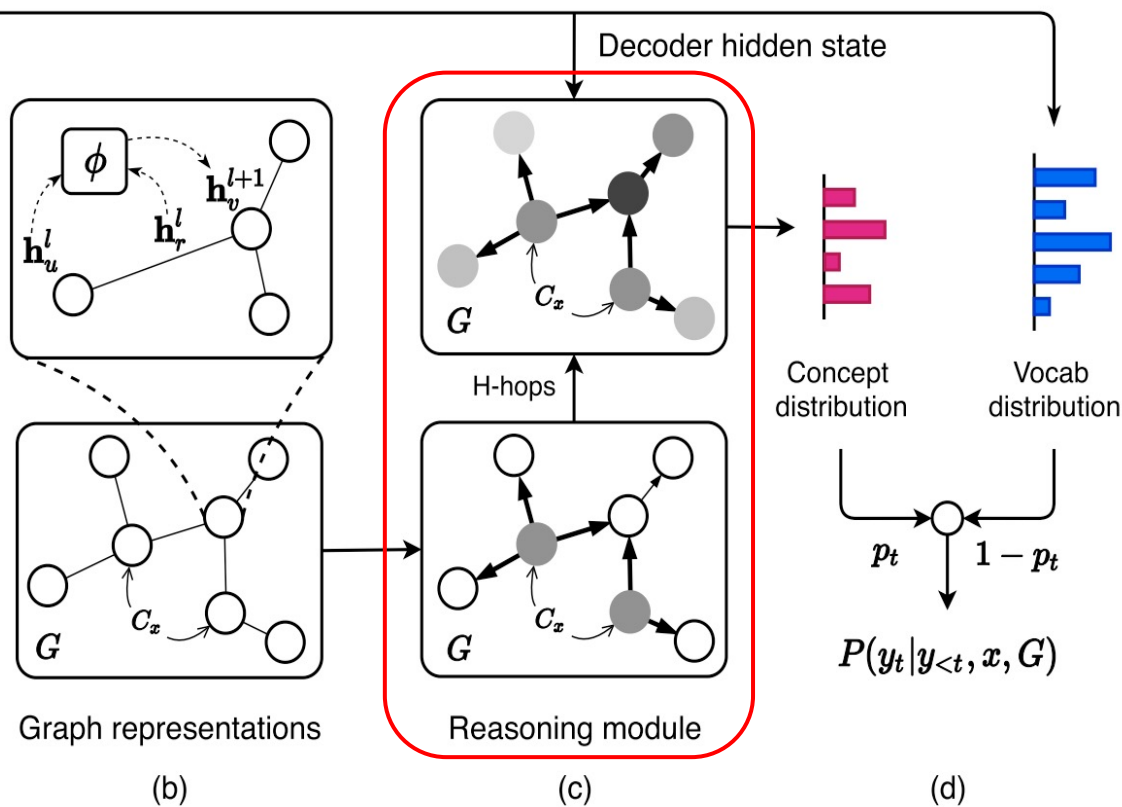


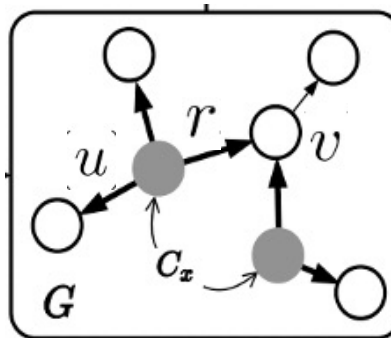
Figure 2: Model architecture. (a) Context modeling with pre-trained transformer (§3.2.2). (b) The model encodes the multi-relational graph with non-parametric operation $\phi(\cdot)$ to combine relations and concepts (§3.2.1). (c) The multi-hop reasoning module aggregates evidence from source concepts C_x along structural paths to all nodes where shade indicates the node score (§3.2.3). (d) The final generation distribution with gate control (§3.2.4).

Reasoning Module



We can think of R as the bridge between the triple(score propagation edge) and the context.
 ➡ finally all **node scores** (logits) are dependent on the context ➡ **concept distribution** likewise.

Utilizes both structural patterns of the knowledge graph and contextual information to **propagate** evidence along relational paths at **each decoding step**.



● 1 ○ 0

Score Propagation: ● ➡ ○

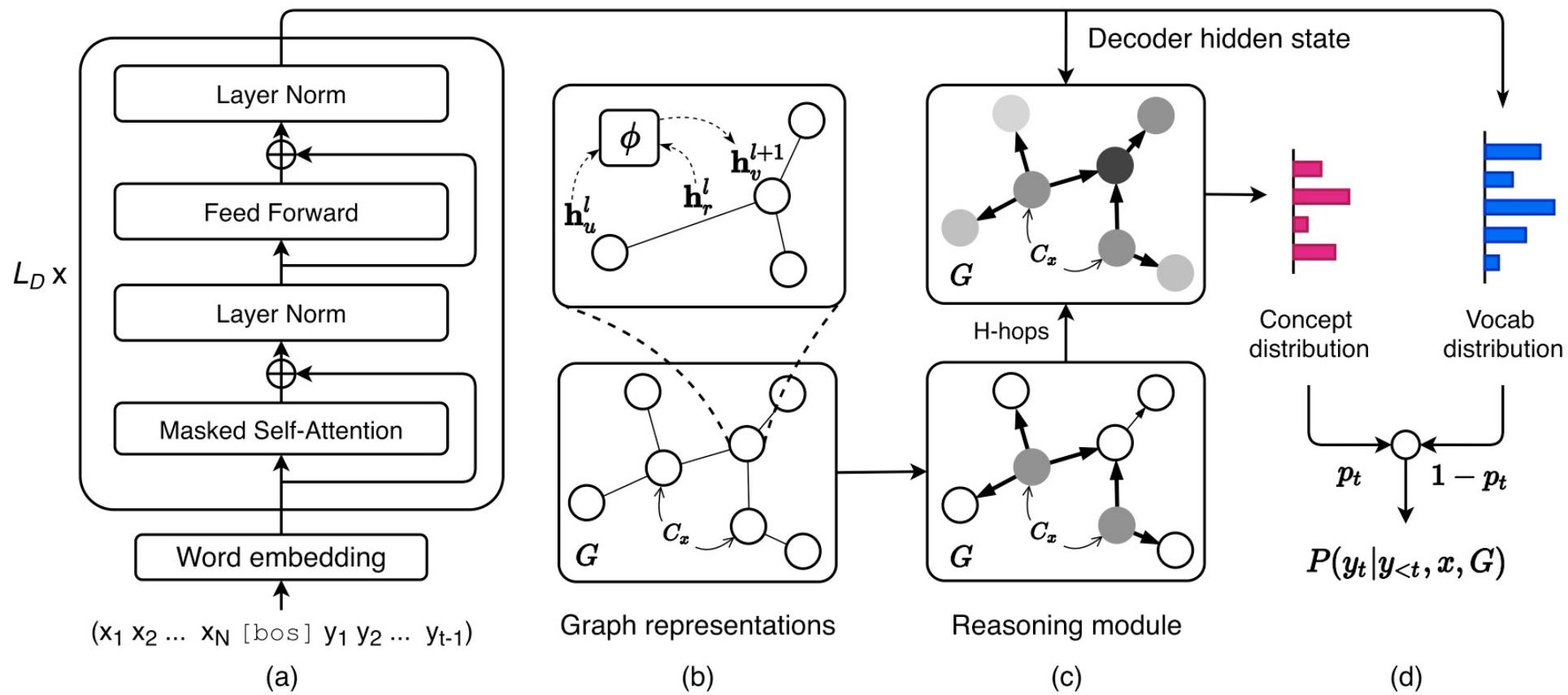
For each unvisited ○, the node score is calculated by following

$$ns(v) = \underset{(u,r) \in \mathcal{N}_{in}(v)}{f} \left(\gamma \cdot ns(u) + \underbrace{R(u, r, v)}_{\text{triple}} \right)$$

$$R(u, r, v) = \sigma(\mathbf{h}_{u,r,v}^T \mathbf{W}_{sim} \mathbf{h}_t^{L_D}),$$

$$\mathbf{h}_{u,r,v} = [\mathbf{h}_u^{L_G}; \mathbf{h}_r^{L_G}; \mathbf{h}_v^{L_G}].$$

Training



$$\mathcal{L}_{gen} = \sum_{t=1}^{M+1} -\log P(y_t^{\text{gold}} | \mathbf{y}_{<t}^{\text{gold}}, \mathbf{x}, G).$$

$$\mathcal{L}_{gen} + \alpha \mathcal{L}_{gate} + \beta \mathcal{L}_{weak}$$

Result

Models	EG				α NLG			
	BLEU-4	METEOR	ROUGE-L	CIDEr	BLEU-4	METEOR	ROUGE-L	CIDEr
Seq2Seq	6.09	24.94	26.37	32.37	2.37	14.76	22.03	29.09
COMeT-Txt-GPT2	N/A	N/A	N/A	N/A	2.73 [†]	18.32 [†]	24.39 [†]	32.78 [†]
COMeT-Emb-GPT2	N/A	N/A	N/A	N/A	3.66 [†]	19.53 [†]	24.92 [†]	32.67 [†]
GPT2-FT	15.63	38.76	37.32	77.09	9.80	25.82	32.90	57.52
GPT2-OMCS-FT	15.55	38.28	37.53	75.60	9.62	25.83	32.88	57.50
GRF	17.19	39.15	38.10	81.71	11.62	27.76	34.62	63.76

Table 3: Automatic evaluation results on the test set of EG and α NLG. Entries with N/A mean the baseline is not designated for this task. [†]: we use the generation results from [Bhagavatula et al. \(2020\)](#).

Incorporating rich **structural** information of commonsense knowledge graphs can enhance the overall generation quality.

Thank You