# Video Captioning via Hierarchical Reinforcement Learning

### **CVPR2018**

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### Motivation

• it is still very challenging to caption a video containing multiple fine-grained actions with a detailed description.



Caption #1: A woman offers her dog some food.

Caption #2: A woman is eating and sharing food with her dog.

Caption #3: A woman is sharing a snack with a dog.



Caption: A person sits on a bed and puts a laptop into a bag.

The person stands up, puts the bag on one shoulder, and walks out of the room.

### Contributions

- first to consider HRL in image caption.
- state-of-the-art.

### Model

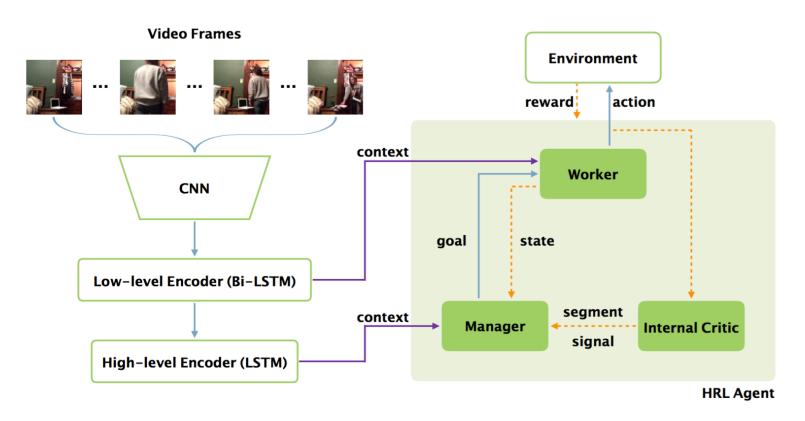


Figure 2: Overview of the HRL framework for video captioning. Please see Sec. 3.1 for explanation.

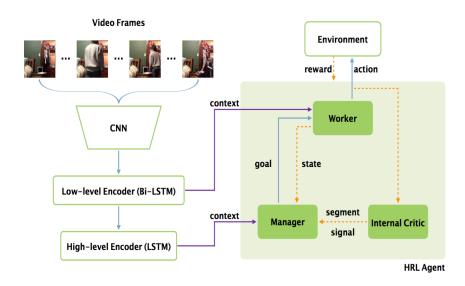


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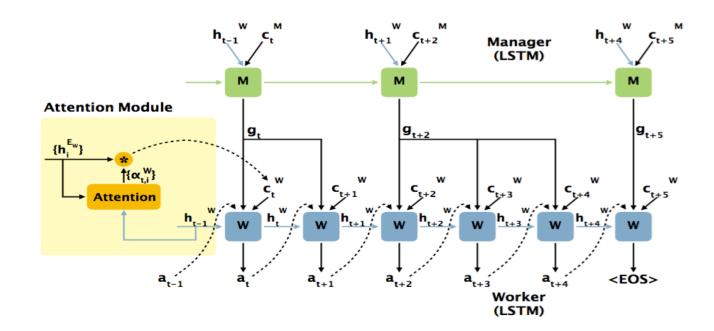


Figure 3: An example of the unrolled HRL agent in the decoding stage (from time step t to t+5). The yellow region shows how the attention module is incorporated into the encoder-decoder framework.

#### Manager

$$h_t^M = S^M(h_{t-1}^M, [c_t^M, h_{t-1}^W])$$
 
$$g_t = u_M(h_t^M)$$

#### Worker

$$h_t^W = S^W(h_{t-1}^W, [c_t^W, g_t, a_{t-1}])$$
 
$$x_t = u_W(h_t^W)$$
 
$$\pi_t = SoftMax(x_t)$$

#### **Internal Critic**

$$h_t^I = RNN(h_{t-1}^I, a_t)$$
 
$$p(z_t) = sigmoid(W_z h_t^I + b_z)$$

## Policy Learning

#### **Stochastic Worker Policy Learning:**

$$\nabla_{\theta_w} L(\theta_w) \approx -(R(a_t) - b_t^w) \nabla_{\theta_w} \log \pi_{\theta_w}(a_t)$$
 (14)

#### **Deterministic Manager Policy Learning:**

$$L(\theta_m) = -\mathbb{E}_{g_t}[R(e_t)\pi(e_{t,c}; s_t, g_t = \mu_{\theta_m}(s_t)]$$
(17)  

$$\nabla_{\theta_m}L(\theta_m) = -R(e_{t,c})\nabla_{g_t}\log\pi(e_{t,c})\nabla_{\theta_m}\mu_{\theta_m}(s_t)$$
(19)  

$$\nabla_{\theta_m}L(\theta_m) =$$

$$-(R(e_{t,c}) - b_t^m)[\sum_{i=t}^{t+c-1} \nabla_{g_t}\log\pi(a_i)]\nabla_{\theta_m}\mu_{\theta_m}(s_t)$$

$$g_t = \mu_{\theta_m}(s_t)$$
(22)

### Reward Definition

- adopt delta CIDEr score as the immediate reward.
- f(x) = CIDEr(sent + x) CIDEr(sent)

Worker Discounted Return:

Verner Broodantea Netarri

$$R(a_t) = \sum_{k=0}^{\infty} \gamma^k f(a_{t+k})$$

**Worker Discounted Return:** 

$$R(e_t) = \sum_{n=0}^{\infty} \gamma^n f(e_{t+n})$$

# Training

apply the cross-entropy loss optimization to **warm start** both the worker and the manager simultaneously, where the manager is completely treated as the latent parameters.

#### **Algorithm 1** HRL training algorithm

```
Require: Training pairs < video, GT caption>
 1: Randomly initialize the model parameters \theta
 2: Load the pretrained CNN model and internal critic
 3: for iteration=1,M do
        Randomly sample a minibatch
        if Train-Worker then
 5:
            Disable the goal exploration
 6:
            Run a forward pass to get the sampled caption
 7:
    a_1 a_2 ... a_T
           Calculate R(a_t) for each a_t
 8:
           Freeze the manager
 9:
            Update the worker policy using Equation 14
10:
        else if Train-Manager then
11:
            Initialize a random process \mathcal{N} for goal explo-
12:
    ration
           Run a forward pass to get the greedily decoded
13:
    caption e_1e_2...e_n
           Calculate R(e_t) for each e_t
14:
           Freeze the worker
15:
           Update the manager policy using Equation 22
16:
        end if
17:
18: end for
```

### Experiment

| Method         | BLEU@4 | METEOR      | ROUGE-L | CIDEr |
|----------------|--------|-------------|---------|-------|
| Mean-Pooling   | 30.4   | 23.7        | 52.0    | 35.0  |
| Soft-Attention | 28.5   | 25.0        | 53.3    | 37.1  |
| S2VT           | 31.4   | 25.7        | 55.9    | 35.2  |
| v2t_navigator  | 40.8   | 28.2        | 60.9    | 44.8  |
| Aalto          | 39.8   | 26.9        | 59.8    | 45.7  |
| VideoLAB       | 39.1   | 27.7        | 60.6    | 44.1  |
| XE-baseline    | 41.3   | 27.6        | 59.9    | 44.7  |
| RL-baseline    | 40.6   | 28.5        | 60.7    | 46.3  |
| HRL (Ours)     | 41.3   | <b>28.7</b> | 61.7    | 48.0  |

Table 1: Comparison with state of the arts on MSR-VTT dataset.

| Method      | B@1  | B@2  | B@3  | B@4         | M    | R    | С    |
|-------------|------|------|------|-------------|------|------|------|
| XE-baseline | I    | 36.4 |      |             |      |      |      |
| RL-baseline | 57.6 | 41.4 | 28.0 | <b>18.8</b> | 17.7 | 39.8 | 21.6 |
| HRL-16      | 64.4 | 44.3 | 29.4 | 18.8        | 19.5 | 41.4 | 23.2 |
| HRL-32      | 64.0 | 43.4 | 28.4 | 17.9        | 19.2 | 41.0 | 21.3 |
| HRL-64      | 61.7 | 43.0 | 28.8 | 18.8        | 18.7 | 31.2 | 23.6 |

Table 2: Results on Charades Captions dataset. We reported BLEU (B), METEOR (M), ROUGH-L (R) and CIDEr (C) scores of our HRL method and two baselines for comparison.

### some thoughts

- Why HRL is successful?
- Generate sentence segment by segment
- Limit