

# Controllable Text Generation

jcykcai, 20220901

# Problem Statement

- We have a pre-trained general LM  $p(x)$  and we want to generate text with a desirable attribute  $a$   $p(x|a)$  (or multiple attributes)
- formality, topic, style, sentiment, detoxification, etc
- The most basic baseline: fine-tuning a class-conditional language model
  - Fine-tuning large LMs can be expensive
  - Difficult to preserve the desirable quality of  $p(x)$
  - Need a separate LM for each attribute

# **GeDi: Generative Discriminator Guided Sequence Generation**

**WARNING: This paper contains GPT-3 outputs which are offensive in nature.**

**Ben Krause\*, Akhilesh Deepak Gotmare\*, Bryan McCann<sup>†</sup>, Nitish Shirish Keskar  
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**EMNLP2021 Findings**

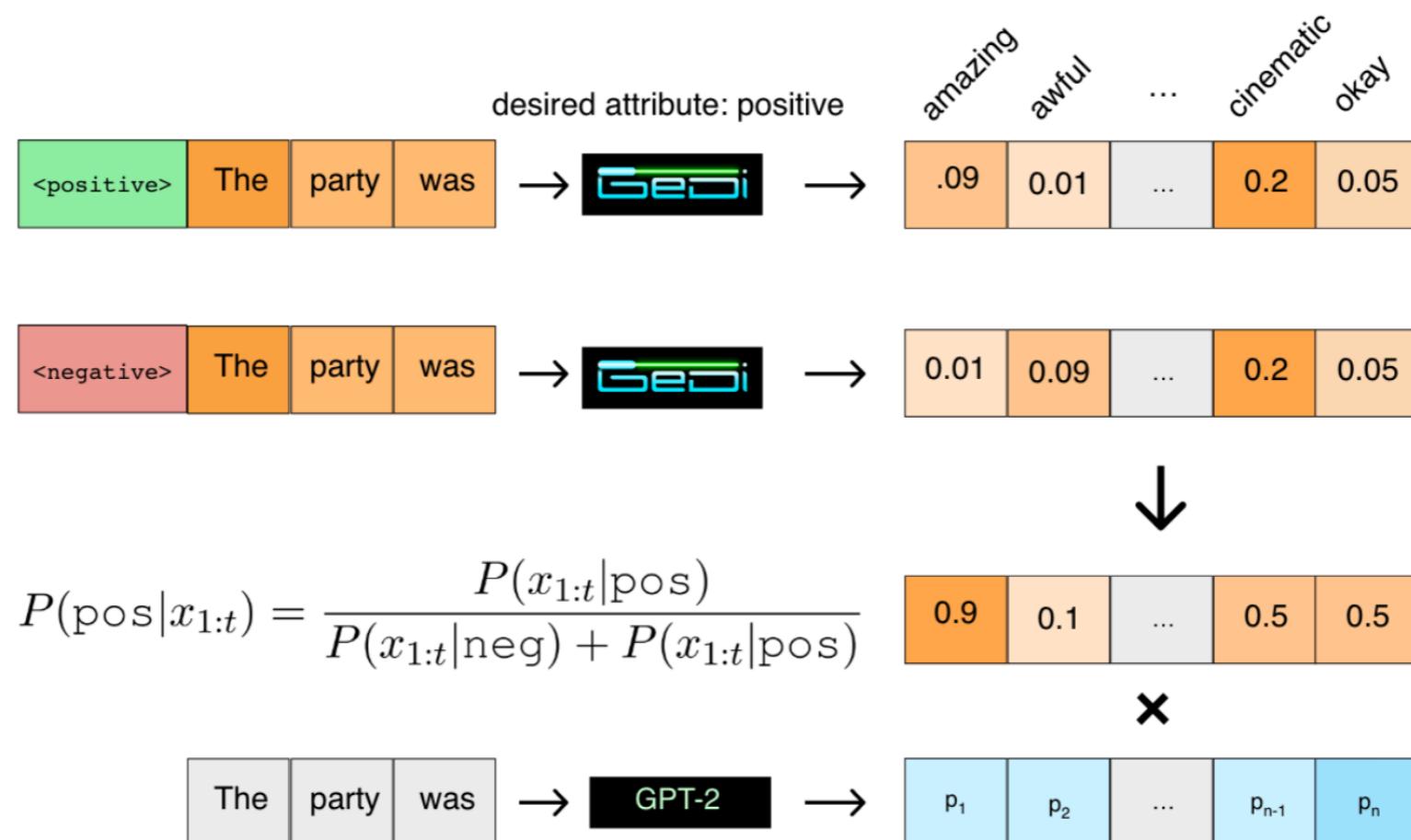
# Motivation

- Fine-tuning large LMs can be expensive
  - Difficult to preserve the desirable quality of  $p(x)$
  - Need a separate LM for each attribute
- 
- Train smaller-sized LMs as discriminators
  - Apply Bayes rule

$$P_w(x_t|x_{<t}, c) \propto P_{LM}(x_t|x_{<t}) P_\theta(c|x_t, x_{<t})^\omega,$$

# Methods

$$P_{\theta}(c|x_{1:t}) = \frac{P(c) \prod_{j=1}^t P_{\theta}(x_j|x_{<j}, c)}{\sum_{c' \in \{c, \bar{c}\}} \prod_{j=1}^t P(c') P_{\theta}(x_j|x_{<j}, c')}$$



# **DExperts: Decoding-Time Controlled Text Generation with Experts and Anti-Experts**

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**ACL2021**

# Motivation

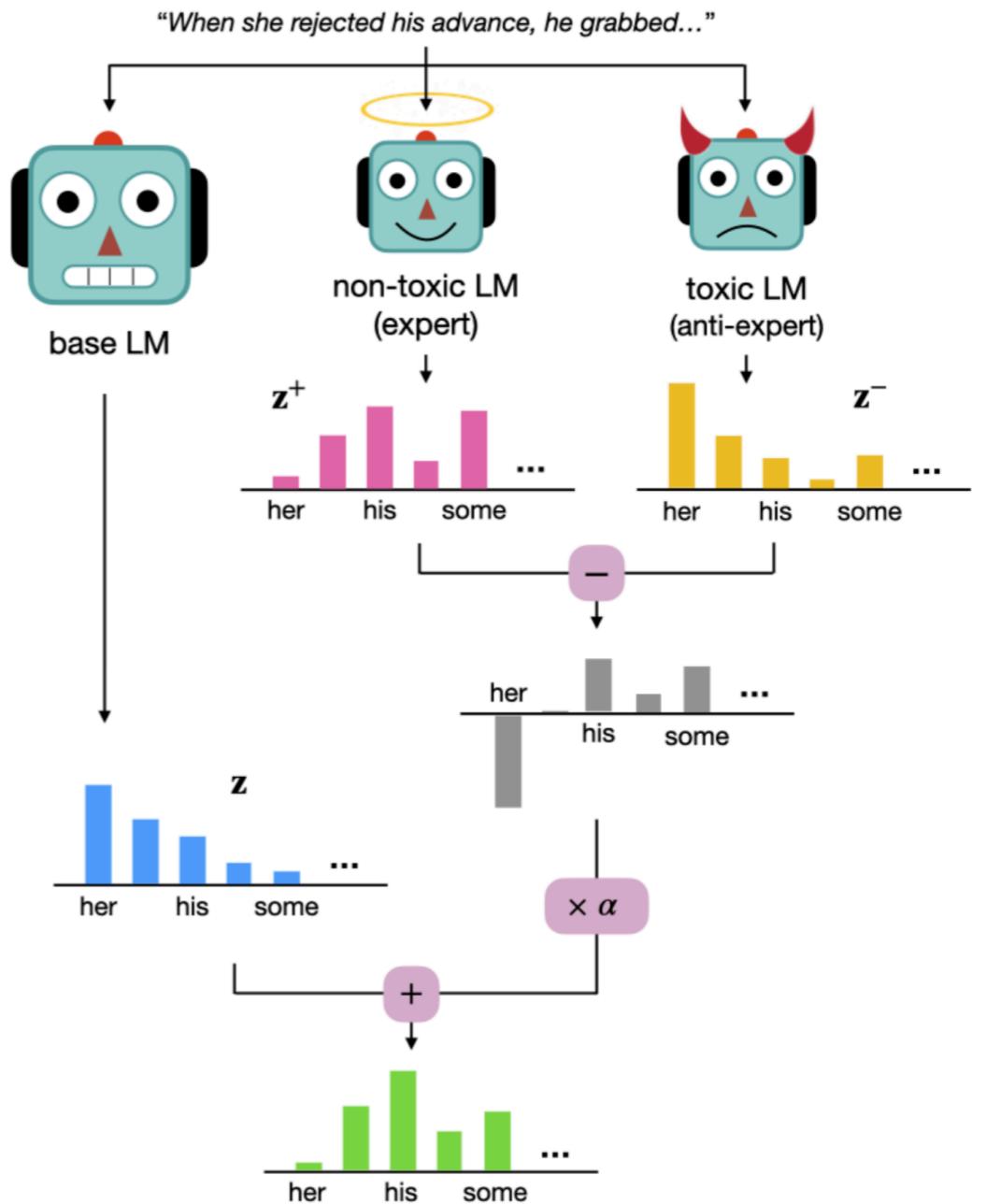
- Fine-tuning large LMs can be expensive
  - Difficult to preserve the desirable quality of  $p(x)$
  - Need a separate LM for each attribute
- 
- Train smaller-sized LMs on text with desirable and undesirable attributes (experts and anti-experts)
  - Combine base LM with experts and anti-experts

# Methods

$$P(X_t \mid \mathbf{x}_{<t}) = \text{softmax}(\mathbf{z}_t)$$

↓

$$\tilde{P}(X_t \mid \mathbf{x}_{<t}) = \text{softmax} (\mathbf{z}_t + \alpha (\mathbf{z}_t^+ - \mathbf{z}_t^-))$$



# Summary

- Fine-tuning large LMs can be expensive
- Difficult to preserve the desirable quality of  $p(x)$
- Need a separate LM for each attribute
- smaller-sized class-conditional LMs
  - Used in a weighted combination of generators
  - Used as generative discriminators

# Summary

- Fine-tuning large LMs can be expensive
- Difficult to preserve the desirable quality of  $p(x)$
- **Need a separate LM for each attribute**
- smaller-sized class-conditional LMs
  - Used in a weighted combination of generators
  - Used as generative discriminators

# CTRL: A CONDITIONAL TRANSFORMER LANGUAGE MODEL FOR CONTROLLABLE GENERATION

**Nitish Shirish Keskar,\* Bryan McCann,\* Lav R. Varshney, Caiming Xiong, Richard Socher**  
Salesforce Research<sup>†</sup>

**ArXiv2019**

# Motivation

- Many fixed class-conditional language model => a single variable class-conditional language model
- Add a class variable that describes the attribute of the text to generate

# Methods

- CTRL is a conditional language model that is always conditioned on a control code c
- CTRL is trained with natural control codes
  - Domain, subdomain, entities, entity relations, and even dates
  - Task

**Science Title:** Scientists have discovered a new type of bacteria that can survive in the presence of high levels of carbon dioxide  
Text:[Link to article] (<http://news.nationalgeographic.com/news/2011/07/110717-methane-bacteria-carbon-dioxide-environment-science/>)  
The researchers found that this particular strain of methane bacterium is able to thrive at concentrations up to 10 times higher than normal atmospheric levels.  
They also note that these findings are consistent with previous research showing that some types of microbes may be capable of surviving under extreme conditions such as those associated with global warming.

**Politics Title:** The US is the only country in history to have a national debt of more than \$20 trillion.  
Text: I know this isn't exactly an accurate representation but it gives you some idea how much money we are talking about.  
[http://en.wikipedia.org/wiki/National\\_debt#United\\_States](http://en.wikipedia.org/wiki/National_debt#United_States)

Questions **Q:** What is the capital of India? **A:** New Delhi  
**Q:** Which city in India has a large population of people from Gujarat?  
**A:** Ahmedabad  
**Q:** How many languages are spoken by Indians living abroad?  
**A:** more than 100...

Translation English : We release a new model for coherent language generation ; French :  
Nous publions un nouveau modèle de génération cohérente du langage  
English : The European Parliament has just adopted the report on the proposal for a regulation of the European Parliament and of

# **Controllable Natural Language Generation with Contrastive Prefixes**

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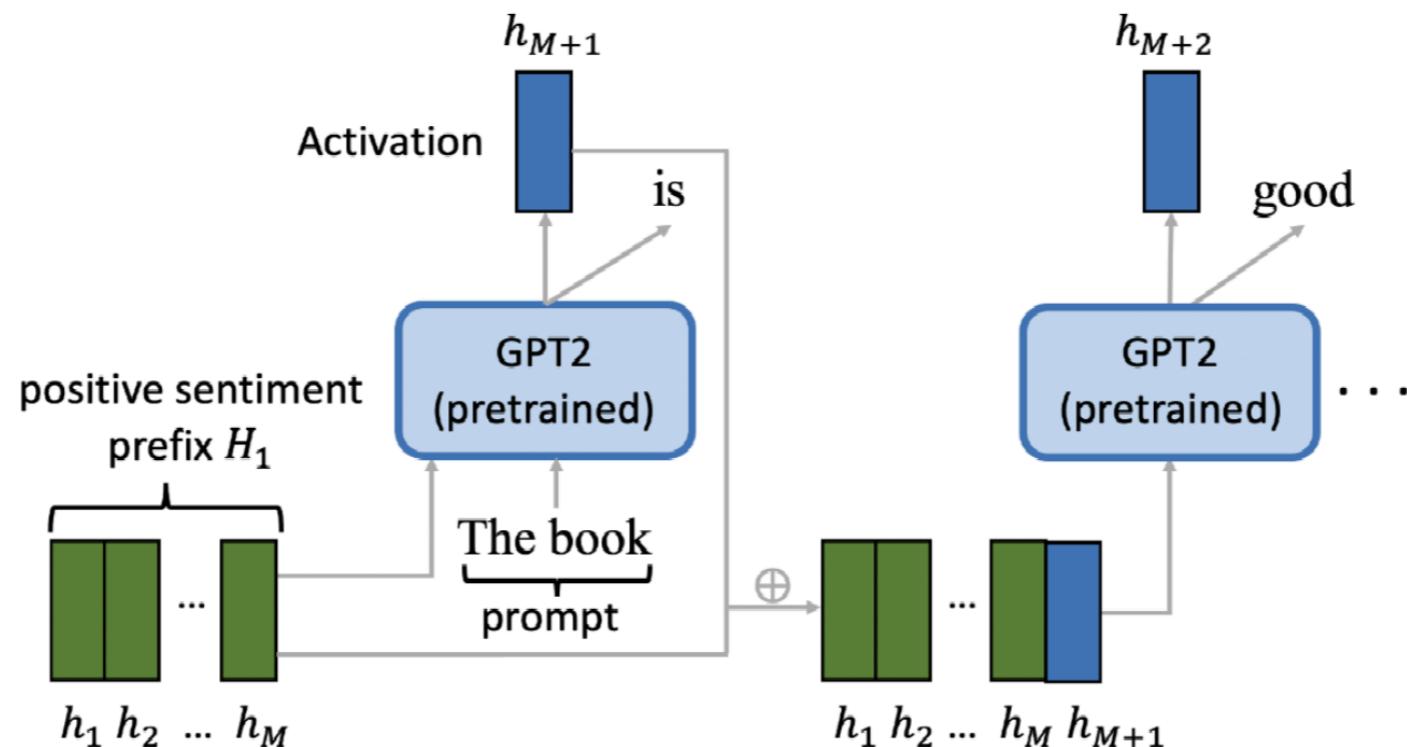
**ACL2022**

# Motivation

- CTRL is expensive (1.63B parameters) and lacks flexibility since the control codes are fixed.
- Lightweight and flexible fine-tuning:
  - introduce a fewer additional parameters
  - Easy to add a new attribute control

# Methods

- Prefix-tuning: optimize a set of small continuous attribute-specific vectors for steer text generation.
  - The original parameters of GPT2 is fixed



# Methods

- Supervised Training (text with annotated attributes)

$$\mathcal{L}_{sup} = \omega_1 \mathcal{L}_{LM} + \omega_2 \mathcal{L}_d$$

$$\mathcal{L}_{LM} = - \sum_{t=1}^T \log p(x_t|x_{<t}, y)$$

$$\mathcal{L}_d = - \log \frac{p(y)p(x|y)}{\sum_{y' \in Y} p(y')p(x|y')}$$

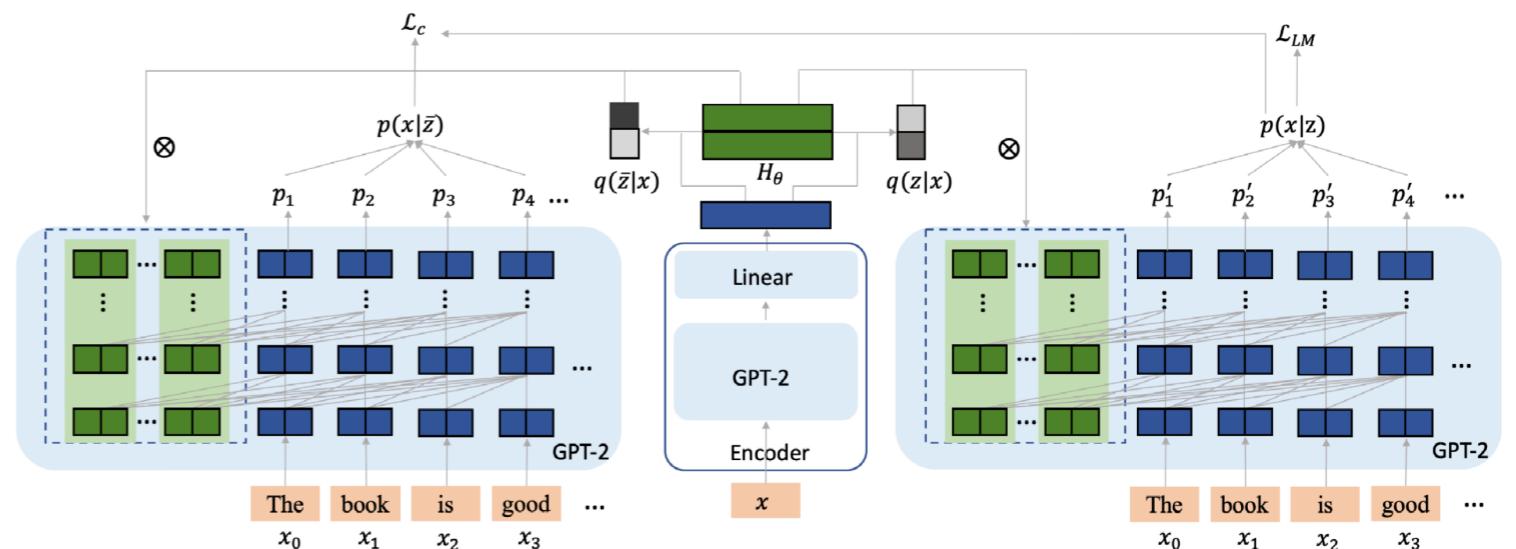
- Unsupervised Training (attribute as latent variable)

$$\mathcal{L}_{uns} = \omega_1 \mathcal{L}_{LM} + \omega_2 \mathcal{L}_{KL} + \omega_3 \mathcal{L}_c$$

$$\mathcal{L}_{LM} = - \sum_{t=1}^T \log p(x_t|x_{<t}, z)$$

$$\mathcal{L}_{KL} = KL[q(z|x)||p(z)]$$

$$\mathcal{L}_c = \max(m - \|p(z|x) - p(\bar{z}|x)\|_2, 0)^2$$



# **Fine-Grained Controllable Text Generation Using Non-Residual Prompting**

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**ACL2022**

# Motivation

- The prompt's influence is negatively correlated with the distance from the prompt to the next predicted token.
- Different to the previous work (Qian et al, 2022), it uses textual prompts.

# Methods

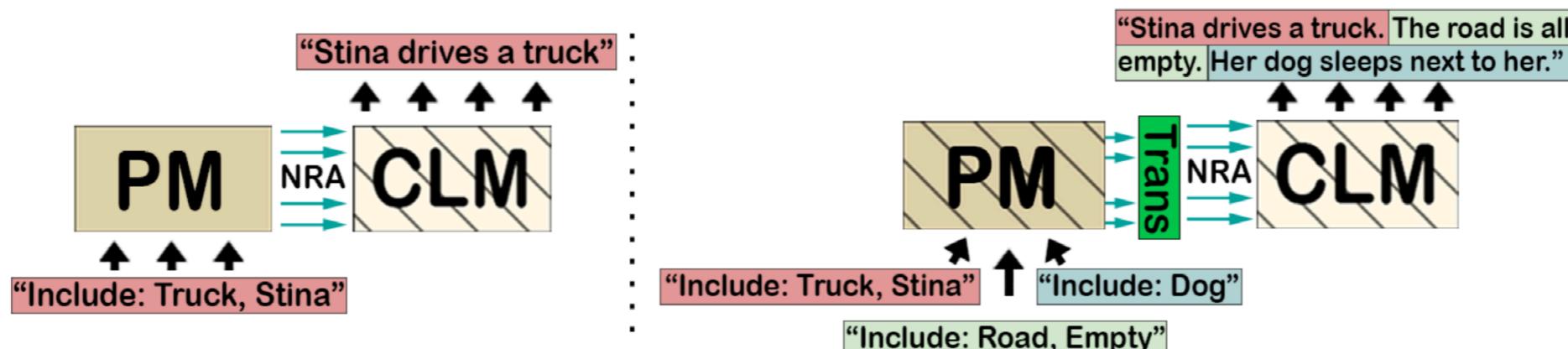
- A separate model for prompt instructions (PromptModel)

$$KV_P = \text{PromptModel}(S_P)$$

$$KV_T^n = \text{CLM}(w_n \mid KV_T^{i < n})$$

$$P(w_{n+1}) = \text{CLM}(w_n \mid KV_p, KV_T^{i < n})$$

- Non-Residual Attention (NRA)
  - allow independent prompts at different steps



# summary

- Previous work assume the access to attribute-specific data / LMs
  - Can be impractical in scenarios with privacy concerns
- Let's assume access only to the general LM (no class-conditional LM)
  - and pre-trained attribute discriminators

# **Learning to Write with Cooperative Discriminators**

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**ACL2018**

# Motivation

- Long-form Text Generation: repetitive, self-contradictory, and overly generic
- Grice's Maxims: cooperative discriminators

$$f_{\lambda}(\mathbf{x}, \mathbf{y}) = \log(P_{\text{lm}}(\mathbf{y}|\mathbf{x})) + \sum_k \lambda_k s_k(\mathbf{x}, \mathbf{y}),$$

# Methods

$$f_{\lambda}(\mathbf{x}, \mathbf{y}) = \log(P_{\text{lm}}(\mathbf{y}|\mathbf{x})) + \sum_k \lambda_k s_k(\mathbf{x}, \mathbf{y}),$$

- 1. Repetition Model: word similarity within a fixed window
- 2. Entailment Model: NLI scores of y against preceding sentences
- 3. Relevance Model: sentence-pair classification
- 4. Lexical Style Model: Bag of words Classification Model
- 1,2,4 are trained using natural sentences as positives and model-generated sentences as negatives, 3 is an off-the-shelf NLI model.

# Methods

$$f_{\lambda}(\mathbf{x}, \mathbf{y}) = \log(P_{\text{lm}}(\mathbf{y}|\mathbf{x})) + \sum_k \lambda_k s_k(\mathbf{x}, \mathbf{y}),$$

- 1. Repetition limitation of RNNs. More specifically, we use an estimated score  $s'_k(\mathbf{x}, \mathbf{y}_{1:i})$  that can be computed for any prefix of  $\mathbf{y} = \mathbf{y}_{1:n}$  to approximate the objective during beam search, such that  $s'_k(\mathbf{x}, \mathbf{y}_{1:n}) = s_k(\mathbf{x}, \mathbf{y})$ . To ensure that the training method matches this approximation as closely as possible, scorers are trained to discriminate prefixes of the same length (chosen from a predetermined set of prefix lengths), rather than complete continuations, except for the entailment module as
- 2. Entailment module
- 3. Relevance model
- 4. Lexical St
- 1,3,4 are trained on generated sentences as negatives, 2 is an off-the-shelf NLI model.

# **Improving Controllable Text Generation with Position-Aware Weighted Decoding**

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**ACL2022**

# Motivation

$$P(X|a) \propto P(X)P(a|X)^\lambda \longrightarrow P(X|a) \propto \prod_{i=1}^n [P(x_i|x_{<i})P(a|x_{<i})^\lambda]$$

- Weighted Decoding:  $\lambda$  control the trade-off between control strength and text fluency
- The strength should vary across different positions.

$\lambda = 0.09$	$\lambda = 0.09$
<p>GPT-2: <i>domest / vegetables / crops / fruits / foods / plants / edible / food / cultivated / to</i></p> <p>PPLM: <i>war / mass / food / inventions / to / industrial / major / nuclear / weapons / foods</i></p> <p>PPLM: The potato was a great food staple, and it was also one of the world's first war weapons. The potato was the first weapon to make war possible, and it was war war for war...</p>	<p>GPT-2: <i>domest / vegetables / crops / fruits / foods / plants / edible / food / cultivated / to</i></p> <p>CAT-PAW: <i>major / domest / crops / foods / vegetables / great / food / fruits / known / to</i></p> <p>CAT-PAW: The potato was a great food staple, and it was also one of the world's first major crops. It was also the main food source of the British navy during the Napoleonic and World War II periods. The British navy began...</p>

# Method

- Regulator: adjust control strength properly at different positions

$$P(X|a) \propto \prod_{i=1}^n \left[ P(x_i|x_{<i}) P(a|x_{<i})^{\lambda f(a, P(x_{\leq i}))} \right]$$

- 1. Heuristic Regulator: Amplify the signal when it is more likely to generate attribute-relevant words.

$W^a$  is a set of keywords for the attribute a

$$t_H = \sum_{w \in W^a} P(x_i = w|x_{<i})$$

$$\begin{aligned} f &= f_H(W^a, P(x_i|x_{<i})) \\ &= t_H / \tau_H, \end{aligned}$$

- 2. Trainable Regulator: train a classifier to estimate the probability of the next token being relevant to attribute a. Supervision is from masking methods for unsupervised style transfer.

$$t_T = \sum_{k=1}^N n_k \times P(k|x_{\leq i})$$

$$= \mathbf{n} \cdot \text{softmax}[\mathbf{W} \cdot \text{Attn}(\mathbf{h}_{[1..i]})]$$

$$\begin{aligned} f &= f_T(a, P(x_{\leq i})) \\ &= t_T / \tau_T, \end{aligned}$$

# **FUDGE: Controlled Text Generation With Future Discriminators**

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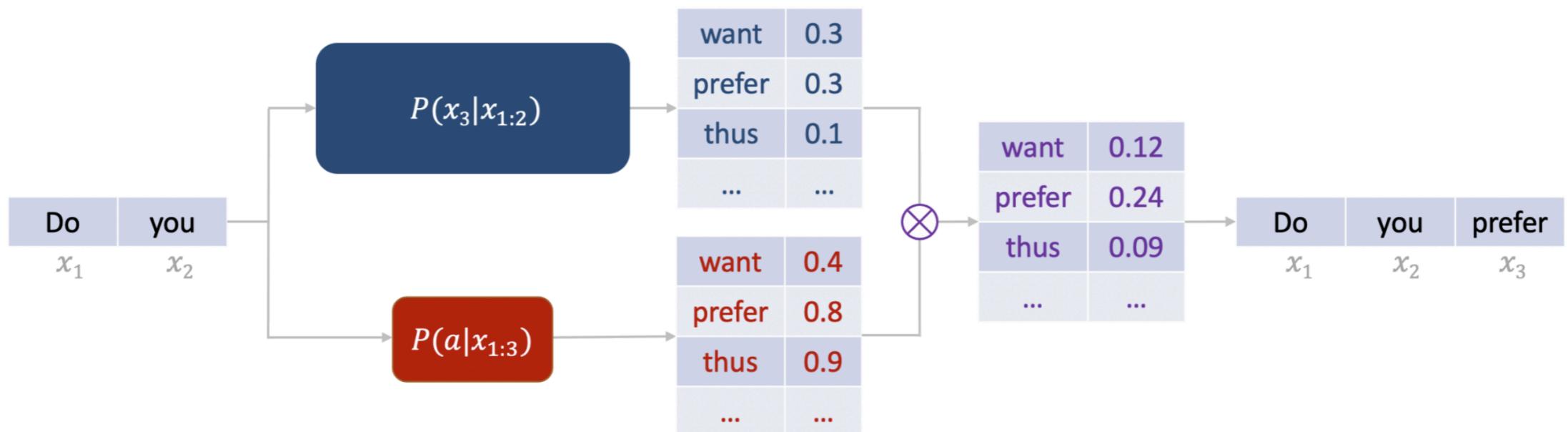
**ACL2021**

# Motivation

$$P(x_i|x_{1:i-1}, a) \propto P(a|x_{1:i})P(x_i|x_{1:i-1})$$

- Weighted Decoding: although the classifier takes a prefix  $x_{1:i}$  as input, it should predict whether attribute  $a$  will in **the future** be satisfied for the completed generation.

# Method



# **Mix and Match: Learning-free Controllable Text Generation using Energy Language Models**

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**ACL2022**

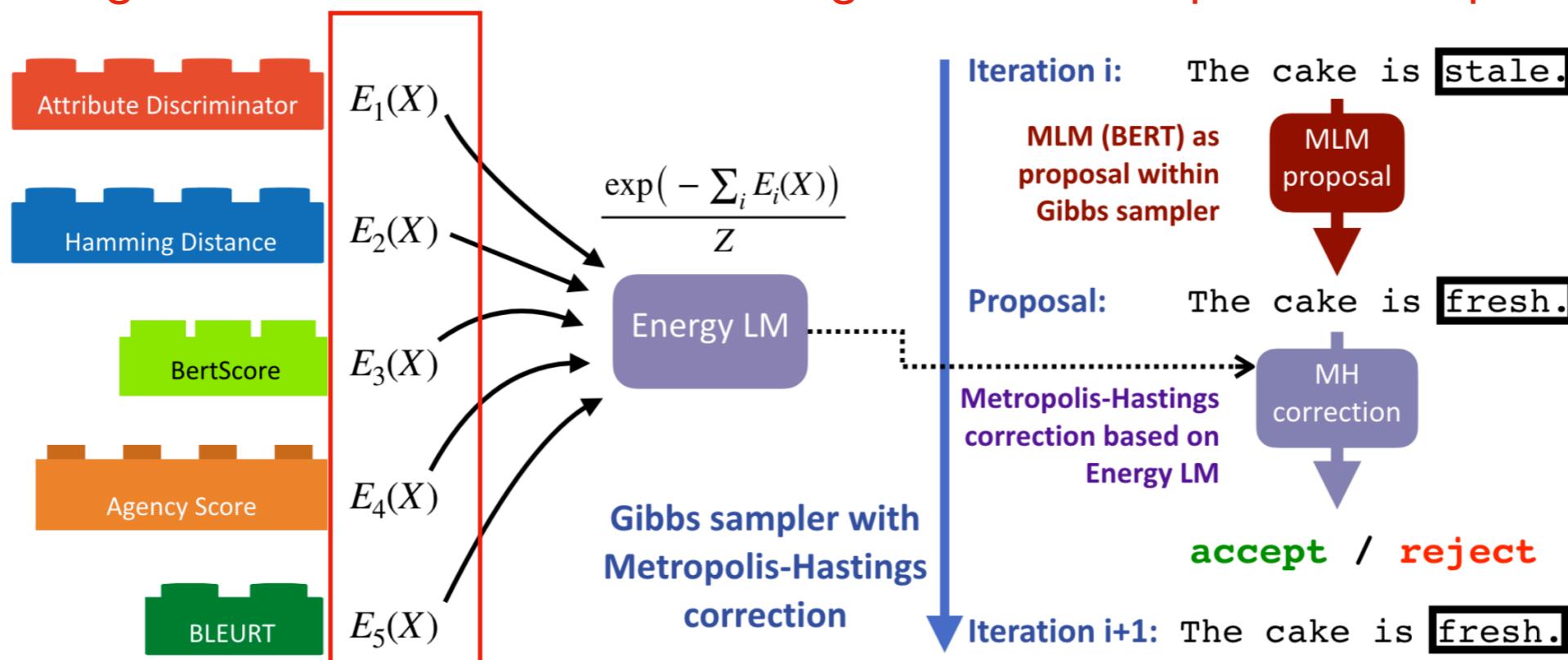
# Motivation

- Discriminators need to be trained on partial generations in order to be operationalized with step-wise autoregressive models
- Many attributes are essentially global.

# Methods

- Product of experts as a probabilistic energy model (i.e., **non-autoregressive, globally normalized LM**)
- Gibbs-Metropolis-Hastings sampling

Logits from different models taking the whole sequence as input



# Summary

- Weighted decoding is slow.
  - Feeding candidate next tokens into a discriminator scales **linearly** with the number of tokens to be re-weighted

