## Variational Discriminator Bottleneck: Improving Imitation Learning, Inverse RL, and GANs by Constraining Information Flow

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### Motivation

#### The issues of GAN:

- Discriminator is prone to overpowering the generator, which will provide the generator with uninformative gradients for improvement
- Hard to train (unstable or poor performance)

#### Motivation

How to balance the generator and discriminator?

- To regularize the internal representation by using the information bottleneck
- Modulate the accuracy of discriminator and maintain useful and informative gradient

#### Deep Variational information bottleneck

 Given a dataset {x,y} with features x and labels y, the standard maximum likelihood estimate q(y|x) can be determined

$$\min_{q} \quad \mathbb{E}_{\mathbf{x}, \mathbf{y} \sim p(\mathbf{x}, \mathbf{y})} \left[ -\log q(\mathbf{y} | \mathbf{x}) \right]$$

Prone to overfitting

- The bottleneck can be incorporated by first introducing an encoder that maps the features x to a latent distribution over Z. E(z|x) here is the distribution
- And then enforcing an upper bound  $I_c$  on the mutual information between the encoding and the original features I(X,Z). Then the objective:

$$J(q, E) = \min_{q, E} \quad \mathbb{E}_{\mathbf{x}, \mathbf{y} \sim p(\mathbf{x}, \mathbf{y})} \left[ \mathbb{E}_{\mathbf{z} \sim E(\mathbf{z} | \mathbf{x})} \left[ -\log q(\mathbf{y} | \mathbf{z}) \right] \right]$$
s.t. 
$$I(X, Z) \leq I_c.$$

• Note that the model q(y|z) now maps samples from the latent distribution z to the label y. The mutual information is defined according to

$$I(X,Z) = \int p(\mathbf{x}, \mathbf{z}) \log \frac{p(\mathbf{x}, \mathbf{z})}{p(\mathbf{x})p(\mathbf{z})} d\mathbf{x} d\mathbf{z} = \int p(\mathbf{x})E(\mathbf{z}|\mathbf{x}) \log \frac{E(\mathbf{z}|\mathbf{x})}{p(\mathbf{z})} d\mathbf{x} d\mathbf{z}$$

 A variational lower bound can be obtained by using an approximation r(z) of the marginal p(z)

$$I(X, Z) \le \int p(\mathbf{x}) E(\mathbf{z}|\mathbf{x}) \log \frac{E(\mathbf{z}|\mathbf{x})}{r(\mathbf{z})} d\mathbf{x} d\mathbf{z} = \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \text{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right].$$

- A variational lower bound = An upper bound on I(X|Z)
- = An upper bound on the regularized objective:

$$\tilde{J}(q, E) = \min_{q, E} \quad \mathbb{E}_{\mathbf{x}, \mathbf{y} \sim p(\mathbf{x}, \mathbf{y})} \left[ \mathbb{E}_{\mathbf{z} \sim E(\mathbf{z} | \mathbf{x})} \left[ -\log q(\mathbf{y} | \mathbf{z}) \right] \right] 
\text{s.t.} \quad \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \text{KL} \left[ E(\mathbf{z} | \mathbf{x}) | | r(\mathbf{z}) \right] \right] \leq I_c.$$

This can be solved by introducing the Lagrange multiplier

$$\min_{q,E} \quad \mathbb{E}_{\mathbf{x},\mathbf{y} \sim p(\mathbf{x},\mathbf{y})} \left[ \mathbb{E}_{\mathbf{z} \sim E(\mathbf{z}|\mathbf{x})} \left[ -\log q(\mathbf{y}|\mathbf{z}) \right] \right] + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \right) - I_c \right) + \beta \left( \mathbb{E}_{\mathbf{x} \sim p(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf$$

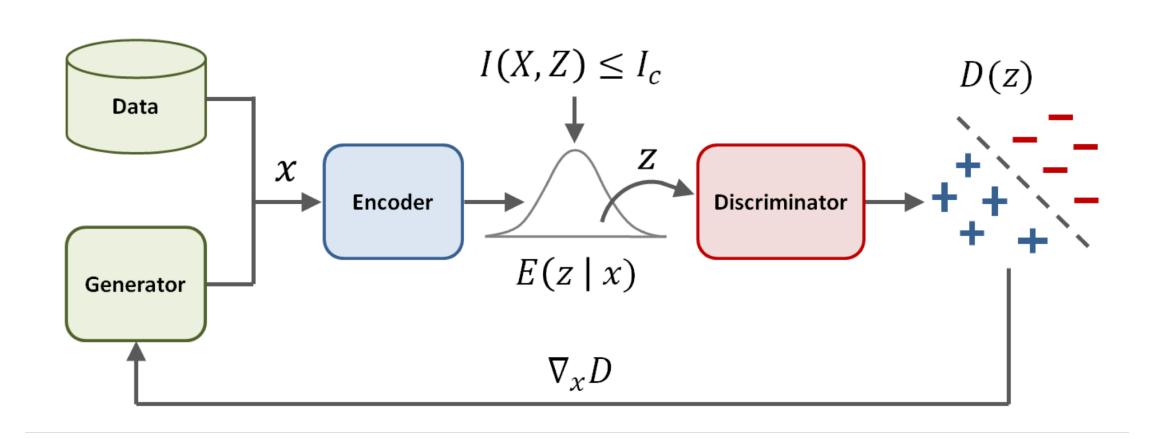
Standard GAN:

$$\max_{G} \min_{D} \quad \mathbb{E}_{\mathbf{x} \sim p^{*}(\mathbf{x})} \left[ -\log \left( D(\mathbf{x}) \right) \right] + \mathbb{E}_{\mathbf{x} \sim G(\mathbf{x})} \left[ -\log \left( 1 - D(\mathbf{x}) \right) \right]$$

• Introduce an encoder E into discriminator that maps a sample x into a stochastic encoding z, and then apply the information bottleneck I<sub>c</sub> on the mutual information I(X,Z)

$$J(D, E) = \min_{D, E} \quad \mathbb{E}_{\mathbf{z} \sim p^*(\mathbf{x})} \left[ \mathbb{E}_{\mathbf{z} \sim E(\mathbf{z}|\mathbf{x})} \left[ -\log \left( D(\mathbf{z}) \right) \right] \right] + \mathbb{E}_{\mathbf{x} \sim G(\mathbf{x})} \left[ \mathbb{E}_{\mathbf{z} \sim E(\mathbf{z}|\mathbf{x})} \left[ -\log \left( 1 - D(\mathbf{z}) \right) \right] \right]$$
s.t. 
$$\mathbb{E}_{\mathbf{x} \sim \tilde{p}(\mathbf{x})} \left[ \text{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] \leq I_c,$$

$$\tilde{p} = \frac{1}{2}p^* + \frac{1}{2}G$$



• Objective (Discriminator) with dual gradient descent:

$$J(D, E) = \min_{D, E} \max_{\beta \ge 0} \quad \mathbb{E}_{\mathbf{x} \sim p^*(\mathbf{x})} \left[ \mathbb{E}_{\mathbf{z} \sim E(\mathbf{z}|\mathbf{x})} \left[ -\log \left( D(\mathbf{z}) \right) \right] \right] + \mathbb{E}_{\mathbf{x} \sim G(\mathbf{x})} \left[ \mathbb{E}_{\mathbf{z} \sim E(\mathbf{z}|\mathbf{x})} \left[ -\log \left( 1 - D(\mathbf{z}) \right) \right] \right] + \beta \left( \mathbb{E}_{\mathbf{x} \sim \tilde{p}(\mathbf{x})} \left[ \mathrm{KL} \left[ E(\mathbf{z}|\mathbf{x}) || r(\mathbf{z}) \right] \right] - I_c \right).$$

$$D, E \leftarrow \underset{D, E}{\operatorname{arg min}} \mathcal{L}(D, E, \beta)$$
$$\beta \leftarrow \max \left(0, \beta + \alpha_{\beta} \left(\mathbb{E}_{\mathbf{x} \sim \tilde{p}(\mathbf{x})} \left[ \operatorname{KL} \left[ E(\mathbf{z} | \mathbf{x}) || r(\mathbf{z}) \right] \right] - I_{c} \right) \right)$$

• Objective (Generator) with approximation, directly use the mean:

$$\max_{G} \quad \mathbb{E}_{\mathbf{x} \sim G(\mathbf{x})} \left[ -\log \left( 1 - D(\mu_{E}(\mathbf{x})) \right) \right]$$

# Experiments

• <u>video</u>

### Conclusion

- Prevent overfitting in GAN/VAE is crucial
- The VDB framework provides a way to achieve better representation
- How to select the I<sub>c</sub>?
- Any potential way to combine pre-trained representation with VDB?