

Chapter 3. 线性响应理论. 费米黄金规则. 电导 (Bruus).

When system equilibrium with an $\langle A \rangle$, Now give perturbation.
 eg: \vec{E} , system reacts with eg: I. Linear response theory when react is in proportion with the perturbation, ie: $I = A E$ so that we can define A , ie: production (电导). Normally A is defined by properties of the equilibrium state, what's more ie: number of states affects reaction so that fermi Golden rule is to be considered defining A (productivity).

$$\langle A \rangle = \underbrace{\frac{1}{Z_0} \text{Tr}[P_0 A]}_{\text{certain physical quantity}} = \frac{1}{Z_0} \sum_n \langle n | A | n \rangle e^{-\beta E_n}$$

$$P_0 = e^{-\beta E_0} = \sum_n |n\rangle \langle n| e^{-\beta E_n}.$$

Now: $H(t) = H_0 + H^*(t)$. $t < t_0$ (happens after t_0)

so that $\langle A \rangle = A_0 \quad t < t_0$

$$\frac{1}{Z_0} \sum_n \langle n | A | n \rangle e^{-\beta E_n} \quad t > t_0$$

含时微扰在相互作用绘景可解:

$$|\Psi_{\text{tot}}\rangle = e^{-iH_0(t-t_0)} |\Psi(t_0)\rangle = e^{-iH_0(t-t_0)} U(t-t_0) |\Psi(t_0)\rangle$$

$$\langle A \rangle = \frac{1}{Z_0} \sum_n \langle n | \left(e^{-iH_0(t-t_0)} U(t-t_0) \right) A(t) \left(e^{-iH_0(t-t_0)} U(t-t_0) \right) | n \rangle e^{-\beta E_n}$$

线性 Liner.: $U(t-t_0) = \int_{t_0}^t \delta H(t') U(t', t_0) dt' \quad \text{对 } U(t', t_0) \text{ 展开}$

$$\Rightarrow \text{作图. } U(t-t_0) = \underbrace{U}_{t_0} + \underbrace{\int_{t_0}^t V(t') dt'}_{U} + \underbrace{\int_{t_0}^t \int_{t'}^{t''} V(t'') dt'' dt'}_{U''} + \dots$$

Liner 保留前两项.

$$U(t-t_0) = 1 - i \int_{t_0}^t dt' \delta H(t')$$

so that: $\frac{1}{Z_0} \sum_n \left[e^{-iH_0(t-t_0)} \left[1 - i \int_{t_0}^t dt' \delta H(t') \right] \right] A(t) \left[e^{-iH_0(t-t_0)} \left[1 - i \int_{t_0}^t dt' \delta H(t') \right] \right] |n\rangle e^{-\beta E_n}$
 然后 $(\int \delta H) A (\int \delta H) |n\rangle \propto \delta H |n\rangle$ 小量后.

DATE

NOTE

$$\langle \tilde{n}(t) | A | n(t_0) \rangle = \langle n(t_0) | A | n(t) \rangle$$

we get:

$$\langle A \rangle(t) = \langle A \rangle_0 - i \int_{t_0}^t dt' \langle [A(t), \delta H(t')] \rangle_0$$

we define: retarded response function:

$$\delta\langle A \rangle(t) = \langle A(t) \rangle - \langle A \rangle_0 = \int_{t_0}^{\infty} dt' C_{A\delta H}^R(t-t')$$

$$C_{A\delta H}^R(t-t') = -i\theta(t-t') \langle [A(t), \delta H(t')] \rangle_0$$

Kubo formula

$$= \int_{t_0}^t \sim dt' + \int_t^{\infty} \sim dt'$$

已发生: $t \in (t_0, t)$, $t-t' > 0$, $\theta=1$ 未响应: $t' > t$, $t-t' < 0$, $\theta=0$

In frequency domain:

when $\delta H(t) = \hat{B} f(t)$: $f(t)$: 函数 \hat{B} : 时间无关算符.

$$\text{eg. } \hat{E}(t) = E_0 \sin(\omega t + \phi) \quad \langle f(t) \rangle = f(t)$$

$$C_{A\delta H}^R(t-t') \Rightarrow \langle A(t) B(t') \rangle = e^{iH_0 t} A e^{-iH_0 t'} e^{iH_0 t} B e^{-iH_0 t'} f(t)$$

$$= e^{iH_0(t-t')} A e^{-iH_0(t-t')} B f(t)$$

$$\Rightarrow C_{AB}^R(t-t')$$

$$\Rightarrow C_{AB}^R = C_{AB}^R(t-t') f(t')$$

$$= -i\theta(t-t') \langle [A(t-t'), B] \rangle_0 f(t')$$

我们期望, 微扰时间平均当 $t \rightarrow \infty$, 应不计. 即

$$C_{AB}^R(t-t'), t'-t' \rightarrow \infty \quad C^R = 0$$

We have: $\delta(A)(t) = \int_{-\infty}^{\infty} dt' C_{AB}^R(t-t') f(t')$

我们只考虑非平衡: Let: $t_0 \rightarrow -\infty$ 这是差一个积分常数, st. 变化只在过程中考虑而与初平衡态无关.

Fourier: $\delta(A)(w) = C_{AB}^R(w) f(w)$. 卷积定理

EG: $B = \sum \int dr B(r) f(r, t)$, Now.

$$\delta(A)(w) = \sum \int dr C_{AB(r)}^R(w) f(r, w)$$

Conductivity:

A system with charged electrons subjected to magenetic fields has current at: (r, t) which depends on the ~~current~~ at: (r', t') that is: $\vec{j} = \sigma \vec{E}$

考慮推退: $J(r, t) = \int dt' dr' \sum_B \delta(r, r', t-t') E^B(r', t')$

ensor: (δ) 张量, B 方向电场 又方向电流密度. 由 $r' \rightarrow r$ 产生 $t' \rightarrow t$ 推退

e.g.: $J_y = (\delta_{yz} E_z + \delta_{yy} E_y + \delta_{yx} E_x) / (e \cdot r)$

卷积定理 in frequency domain.

$$J^B(r, w) = \int dr' \sum_B \delta^{2B}(r, r', w) E^B(r', w)$$

所谓响应. 即 J 对 E 的响应. 通过 σ . 称电导 (conductivity)

此外, 微扰 Hamiltonian: 取规范 st: ($\phi=0$). .

$$T = -\frac{1}{2m} \int dr \psi^* P \psi + \nabla^2 \psi$$

微扰 T (由于电场 E): $\frac{1}{2m} \int dr \psi^* \left(\frac{e}{\hbar} \nabla r - qA \right)^2 \psi$

由 Chapter 1 (electrodynamics 例子) 知:

$\nabla H = SH$ 即为: $-q \int dr \vec{J} \cdot \vec{A}$ 其中 J 有 J^A 与 J^e 两项组成.

考察: J^A 项: $\frac{q^2 A}{r} \psi^+ \psi = \frac{q^2 A}{r} f(r)$

J^e 项: $\frac{q}{2m} \cdot (\psi^+ \nabla \psi - \psi \nabla \psi^+)$

$$J = J^e + \frac{q^2 A}{r} f(r)$$

A 影响 J^e , 故影响 J^e . 终 B 影响 J^e . $J^A(A)$ 响应于 J^A .

一部分 $\frac{q^2 A}{r} f(r)$ 项目自然为对 A 响应. 另外, J^e 用上文响应理论

写出 A 项, 假设光外场下无电流, $\langle J^e \rangle_0 = 0$.

$$\langle J^e \rangle = \langle J^e \rangle_0 - () \text{ 等比 } SH \text{ 中只有 } J^e(r) \text{ 无 } J^A(r)$$

$$SH = \hat{B} = \sum_B \int dr \cdot B_{(r)}^B f_{(r,t)}^B \quad | \quad \begin{aligned} & \text{Liner } A \\ & SH = -q \int dr \cdot \hat{J}_{(r)}^B A_{(r,t)}^B \end{aligned}$$

Liner $A^2 \left(\frac{q^2}{m} A^2 f(r) \right)$ 在微扰

对 J^A 有作用. 对 SH 则无. ($= 0$)

$$SH \langle A^2 \rangle = \sum_B \int dr' C_{AB}^R f(w,r') \quad | \quad \begin{aligned} & \delta \langle J^A \rangle(t) = \langle J^A \rangle(t) \quad (\langle J^e \rangle_0 = 0) \\ & \text{so that:} \end{aligned}$$

$$\langle J_{\text{total}}^A \rangle(w) = \sum_B -e^2 \int dr' C_{J^A(r)}^R C_{J^B(r')}^B (w) A_{\text{外加}}^B(r',w) - \frac{e^2}{m} A_{\text{外加}}^{(r,w)} \langle f(r) \rangle$$

同时由电导之定义且代入 $\phi = 0$ 时: $E(r,t) = -\nabla \phi(r,t)$

$$\Rightarrow E = i \omega A$$

$$J = \sum_B -e^2 \int dr' \frac{1}{i\omega} C_{J^A(r)}^R C_{J^B(r')}^B A_{\text{外加}}^B(r',w) - \frac{e^2}{i\omega m} A_{\text{外加}}^E \langle f(r) \rangle$$

$$\text{又} \stackrel{\text{定义}}{=} \int dr' I \delta^{(2)}(r, r' w) E(r', w).$$

最终，得到了推导的含时微扰线性下的形式：

定义 $n(r) = \langle \rho(r) \rangle$. $\Pi^R = C_{J_0 J_0}^R$ (顺磁) 流-流关联函数，
 $\Pi_{\alpha\beta}^R(r, r', t-t') = C_{J_0 J_0}^R J_{\alpha}(r) J_{\beta}(r') (t-t') = -i\theta(t-t') \langle [I]_{\alpha}(r, t), J_{\beta}(r', t') \rangle$

\Rightarrow 电导： $\delta(r, r', \omega) = \frac{e^2}{w} \Pi_{\alpha\beta}^R(r, r', \omega) + \frac{ie^2 n(r)}{wm} S(r-r') \delta_{\alpha\beta}$.

此式表明： $J = (\delta_{\alpha\beta}) (E)$ 中 $\delta_{\alpha\beta}$ 推迟项由： $\hat{J}(r, t)$. α 方向处于 r 处电流密度对 $J(r', t')$, β 方向处于 r' 电流密度在 t, t' 正推迟，作为剪切作用，以及数密度直接项组成。

(conductance) 电导表现在电路中称 conductance, 定义为 G_C .

$$G_C = I/V \quad (\text{电导率}).$$

$$\sigma = J/E \quad (\text{conductivity}).$$

$$G_C = (W/L) \sigma \quad L: \text{length. } W: \text{cross-section width}$$

这就涉及 $\alpha(\alpha, \beta)$ 方向在电路中的定义了。

比如直流电源中一段 wire $I = \int \vec{J}(\vec{s}) d\vec{s}$

$$= \int d\vec{s} \int d\vec{s}' \vec{s} \cdot \delta(r, r', w=0) \cdot \vec{s}' \cdot E(\vec{s}') \text{ (number).}$$

$d\vec{s} \cdot d\vec{x} = d\vec{s}' \cdot d\vec{s}'$

$$= \int d\vec{s} d\vec{s}' d\vec{s}' \vec{s} \cdot \delta(\vec{s}, \vec{s}', \vec{s}', \vec{s}', w=0) \text{ Matrix} \cdot \vec{s}' E(\vec{s}')$$

$\Rightarrow I = G_V = \int G_E(\vec{s}') d\vec{s}'$ 简化 + 近似 (取实部).

$$G = \lim_{\omega \rightarrow 0} \left[\text{Re} \frac{i\epsilon^2}{\omega} C_{I(t)I(t')}^R(w) \right] \text{ where } C_{I(t)I(t')}^R = -iD(t-t') \langle I(t), I(t') \rangle$$

which means:

[Miner Importance]. dielectric function.

Now if we consider $\phi = \phi_{\text{inner}} + \phi_{\text{outer}}$ fixed with Poisson Equation that $\nabla^2 \phi = -\frac{1}{\epsilon_0} f$, normally $\phi_{\text{outer}} + \phi_{\text{in}}$ 来源于自由 + 传导电子 that described by \vec{D} , where $\phi_{\text{eff}} = \epsilon^{-1} \phi_{\text{out}}$ ϵ (介质极化).

generally: $\phi_{\text{eff}} = \int d\tau' dt' \epsilon^{-1}(r\tau, r'\tau') \phi_{\text{out}}(r', t')$

微扰 Hamiltonian $\delta H = q\phi_{\text{eff}} = \int d\tau r_f \rho(r) \phi_{\text{out}}(r)$

ϕ_{out} 对应 δH . ϕ_{inner} 为非微扰 (原本 Coulomb).

类似上文, 则: $\phi_{\text{out}}^{\text{induced}} = \phi_{\text{eff}} - \phi_{\text{inner}}$ ($\phi_{\text{out}} \leftrightarrow \delta H$ 微扰)

$$\phi_{\text{out}}^{\text{induced}} = \int_{-\infty}^{\infty} C_{P(r)P(r')}^R(t, t') \phi_{\text{cr}, t'} dr' dt'$$

where $C_{P(r)P(r')}^R = -iD(t-t') \langle [\phi_{\text{eff}}(r, t), \phi_{\text{eff}}(r', t')] \rangle$

何为 \bar{C} ? $\phi = \bar{C}\phi_{\text{d}} = cE$ 本身 $\phi = \chi E \therefore \bar{C} = \chi_e^{(r, t, r', t')}$ 未尽

$$\phi_{\text{inner}} = \int \phi_{\text{induced}} dr V_c^{(r)} \lambda^3$$

$\therefore \phi_{\text{eff}} = \phi_{\text{out}} + \phi_{\text{inner}}$ 代入上式, 再代入 Dielectric function

$$\therefore \epsilon^{-1}(r, t, r', t') = \delta(r-r') \delta(t-t') + \int dr'' V(r-r'') \chi_e^{(r'', t'', r', t')}$$

剪切电常数由极化势能给出

利用连续性方程 $-i\omega \rho_{\text{eff}}(q, \omega) + \nu g J_q(q, \omega) = 0 \Rightarrow \epsilon^{-1} = 1 - \nu \frac{g^2}{\omega} V_C$

$$\chi_e^{(r)} \leftarrow \delta q, \phi$$

在格点系统中电子输运(transport)行为。

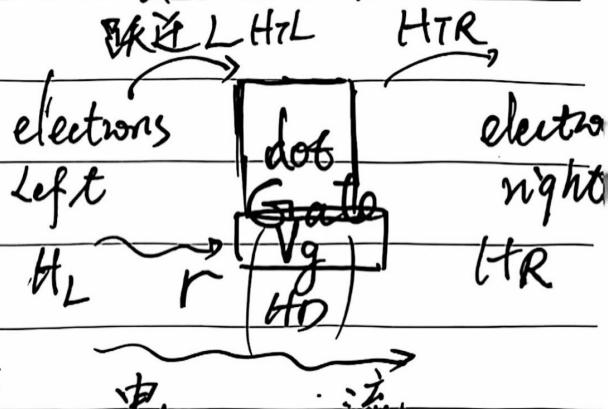
有这样一类系统(如图), 左右传导电子间都是 Non-Interacting 在量子点(dot)与 H_D 间有 interacting.

$\therefore H = H_{Left} (\text{自能}) + H_{Right} + H_D + H_{TLeft} + H_{TRight}$ (见上文 Anderson Model), 现实中 nanoelectric device 可以这样类系统 (AAOS+MOS)

Anderson:

$$H_{TL} = \sum_{V_L V_D} (t_{V_L V_D} C_{VL}^\dagger C_D + t^* C_D^\dagger C_V)$$

H 能表跃迁系。



$$H_{LD} = \int \langle \psi_L^\dagger H_{LD} | \psi_D \rangle dr \neq 0 \text{ 中间 bracket}$$

t_{LD} 即跃迁振幅, 由 H_{TL} 做 Second Quantization transport.

自能 \quad 自互作用能 (coulomb in dot).

$$H_D = H_{D0} + H_{int} = \sum_D \epsilon_D C_D^\dagger C_D + \sum_{1234} \frac{1}{2} V_{1234} C_1^\dagger C_2^\dagger C_3 C_4$$

(Understand).

在连续跃迁中 (Fermion Golden Rule).

beginning state *i*, at time t . probability at state f is
 $| \langle f | i(t) \rangle |^2 =$ 相互作用绘景线性响应, 微扰 $V(t) = V \cdot e^{i\omega t}$

$$| \langle f | e^{-i\hat{H}t} (1 + \frac{1}{i} \int_{t_0}^t e^{i\hat{H}t'} [V e^{i\omega t}] e^{-i\hat{H}t'} dt') e^{i\hat{H}t} | i \rangle |^2$$

$$= | \langle f | V | i \rangle e^{i(E_f - E_i)t} \int_{t_0}^t e^{i(E_f - E_i - i\gamma)t'} dt' |^2$$

$$P_{fi}(t) = | |^2 = |\langle f | V | i \rangle|^2 \frac{e^{-2\gamma t}}{(E_f - E_i)^2 + \gamma^2}$$

$$\frac{dP_{fi}}{dt} = \text{称为跃迁(连续)} = I_{fi} = |\langle f | V | i \rangle|^2 \cdot \frac{2\gamma e^{2\gamma t}}{(E_f - E_i)^2 + \gamma^2}$$

(per unit time)

更进一步：类比 $\int_R \delta(x) = 1$ 若 st: $\int_R \frac{e^{2\pi i E_0}}{(E)^2 + y^2} dE \cdot \frac{1}{C} = \frac{1}{C}$

则要求： y 为小量 when $\Delta E \neq 0 \sim 0$ $\Delta E \rightarrow \infty$

$$\text{积分} \Rightarrow \frac{1}{y} \arctan \frac{\Delta E}{y} \Big|_0^{+\infty} \times 2 = 2\pi \Rightarrow \text{系数} \theta = \frac{1}{2\pi} \Rightarrow \frac{1}{C} = 2\pi$$

\Rightarrow ^{* Fermi Golden Rule} $y \rightarrow 0$ 即 y 少变：

$$I_{fi} = 2\pi |\langle f | v_i | i \rangle|^2 \delta(E_f - E_i)$$

总跃迁为 $i \rightarrow f$ 与 $f \rightarrow i$ 之和。定态时为 0。

$$0 = \frac{\partial P(\alpha)}{\partial t} = - \sum_B I_{\beta \alpha} P(\alpha) + \sum_B I_{\alpha \beta} P(\beta)$$

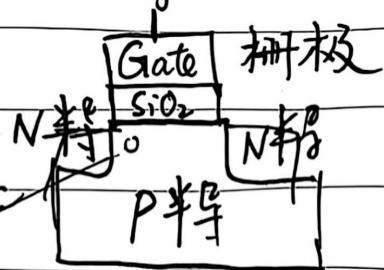
Tunnel out of α Tunnel in to α

库仑阻塞效应： $E(N)$

$$H_D = \sum \epsilon_0 c^\dagger c + \underbrace{4n_\uparrow n_\downarrow}_{= E_C N^2} \quad \text{Gate 电势} \quad E_C = \frac{e^2}{2c} + \frac{eVg N}{\sqrt{c}}$$

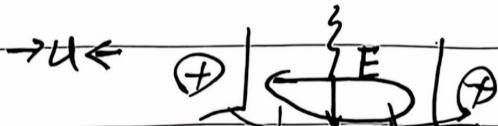
如图 N_{left} cross P to N_{left} at the help of V_g , $H_D = H_{\text{P结}} =$

$$E_p \text{ itself} + E_{\text{outer}} V_g \\ = E_C N^2 + eVg N \quad E_C = \frac{e^2}{2c}$$



Tunnelling: 跃迁：左 \rightarrow 中一个电子 V_g MOS

左和右，中加 $I C_d^\dagger C_{\text{left}}$



$$\Rightarrow \sum_{iN}$$

$$I_L^L = 2\pi \sum_{iN} \left| \langle C_d^\dagger C_L H_L | iN \rangle \right|^2 \delta(E'_N - E)$$

Now: E_T 空穴

空穴被 V_g 压下左右 \oplus 截流
在 U 下得以穿越

$$\langle f | = \langle c_d^+ c_L | \\ = \langle i | c_L^+ c_d |$$

(中, 右) 中每个态电子都空间可能发生此跃迁.

$$= 2\pi \left| \langle i_N | c_d^+ c_L^+ H_{TL} | e_N \rangle \right|^2 \cdot W_{iN} \cdot \delta(E(N+1) - E(N) + E_d - E_L)$$

$$\Rightarrow \sum_i \sum_j \frac{1}{r_{ij}} \frac{1}{r_{Nj}} \rightarrow \text{每个能级.}$$

未: Middle: $E_{(N+1)+E_d}$ Left: $E_d - E_L$
Left: 0 Middle: E_{CN}

每个左中格点. $W_{iN} = W_{iN_0} \times W_{iL}$ } 3能级5简并 \rightarrow 4能级3简并.

$$\text{代入 } H_T = t c_d^+ c_L \quad W = 5 \times 3$$

where: $|i_{\text{left}}\rangle \otimes |i_{\text{middle}}\rangle = |i_N\rangle$, 直和展开.

$$\langle i_N | c_d^+ c_L^+ H_{TL} | i_N \rangle = \langle i_{N_0} | \otimes \langle i_{N_0} | \cdot c_L^+ c_d c_D^+ c_L | i_L \rangle \otimes |i_{iN_0}\rangle$$

$$= \langle i_L | c_L^+ c_L | i_L \rangle - \langle i_{N_0} | c_d^+ c_d | i_{N_0} \rangle \quad (\text{dipole} n \rightarrow n+1 \text{ 中性对})$$

观察式: $\sum W_{iL} \langle i_L | c_L^+ c_L | i_L \rangle \stackrel{=1}{=} \text{只余 } W_{iL} \text{ 费米子 L 级简并}$

满足 $n_F = \text{Fermi-Dirac 分布} \rightarrow \text{化学势.}$

观察式: $\sum_{iN_0} W_{iN_0} \langle i_{N_0} | c_d^+ c_d | i_{N_0} \rangle \quad \text{why?}$

Dot 中本应 $N \text{ const}$ 正则系综, Now: 费米面 $\exp(-\beta E)$ 反正则
而跃迁率对 t 是 t^2 , 对其本征数扰动少, 同与电子压强正则

$$\sum_{L, r_0, r_L} |t|_L^2 \frac{1}{r_0 r_L} \cdot 2\pi = \int_R t^2 \cdot f(t) \cdot dE_L dE_D \quad \text{能量描述即可.}$$

$$\gamma_L^L = |t|_L^2 dE_L dE_D \quad \text{d}E_L \text{ 就是体积元. 在 } dE_D \text{ 小区间. 大为零.}$$

$$= \gamma_{\text{Left.}}^{\text{Left.}} \int_R dE_L dE_D$$

Left.

Middle.



$$\therefore I_{N+1, N}^{\text{Left.}} = \int_R^{E_d} dE_L dE_D \cdot n_F^{\text{Left.}} \cdot [1 - n_F(E_D - \mu_0)] \cdot \delta(E(N+1) - E(N) + E_d - E_L)$$

$$\cdot [1 - n_F(E_D - \mu_0)] \cdot \delta(E(N+1) - E(N) + E_d - E_L)$$

其中之一.

(总 $1 - n_F$)

$$(\text{可证已验}) \quad n_F(E_1)(n_F(E_2)) = n_{\text{Born}}(E_2 - E_1) \cdot [n_F(E_2) - n_F(E_1)]$$

$$(\text{以及}) \quad \int_R dE \cdot [n_F(E) - n_F(E + \omega)] = w$$

得到：

$$\left[\begin{array}{l} \text{Left or Right} \\ N+1, N \end{array} \right] = \int_R^{\text{left or right}} dE_L dE_D \cdot n_B(E_L - E_D + \mu_D - \mu_L).$$

$$[n_F(E_L - \mu_L) - n_F(E_D - \mu_D)] \delta(A + E_D - E_L)$$

$$= \gamma^2 \int dE_L \cdot n_B(E_L - A(E_L - A) + \mu_D - \mu_L) [n_F(E_L - \mu_L) - n_F(E_L - A)]$$

$$= \gamma^2 \left[dE_L \cdot n_B(A + \mu_D - \mu_L) \cdot \{n_F(E_L - \mu_L) - n_F[E_L - (A + \mu_D)]\} \right]$$

与 E_L 无关

$$\omega = \mu_L - \mu_D - A$$

$$= \gamma^2 n_B(A + \mu_D - \mu_L) \cdot (\mu_L - \mu_D - A)$$

$$= \gamma \cdot \frac{E(N+1) - E(N) + \mu_D - \mu_L}{\exp[\frac{1}{kT}(E(N+1) - E(N) + \mu_D - \mu_L)] - 1}$$

$$\therefore I_{N+1, N}^2 = f(E(N+1) - E(N) + \mu_D - \mu_L) \cdot \gamma^2. \quad f(E) = \frac{E}{e^{BE} - 1}.$$

用理：

$$I_{N-1, N}^2 = f(E(N) - E(N-1) - \mu_D + \mu_L) \cdot \gamma^2$$

因此，总跃迁率为：平衡时：

$$\frac{d}{dt} P(N) = -(I_{N+1, N} + I_{N-1, N}) P(N) + I_{N, N+1} (P(N+1)) + I_{N, N-1} (P(N-1)) =$$

dot 上有 N 个 \rightarrow 左末 N 个 \rightarrow 右末 N 个 \rightarrow

dot 上 +1 变为 N 个 $I_{N+1, N} P(N)$ > 从此后离开.
 dot 上 -1 变为 $N-1$ 从 N 个 $I_{N-1, N} P(N)$ 走 为负
 dot 上 +1 变为 N 个 增 为 $P(N+1)$ 态 \times 此跃迁概率 变为 N
 dot 上 +1 变为 N 个 从 $N-1$ 个 为 $P(N-1)$ 态 \times 此跃迁概率 为 +
 故之: $I_{N+1, N} = I_{N, N+1}$ obviously.

$$I_{N-1, N} P(N) = I_{N, N-1} P(N-1) \text{ 为迭代关系(数列).}$$



因此，流的定义为：向左。

$$\star I = (-e) \sum_N [I_{N+1, N}^{\text{Left.}} - I_{N-1, N}^{\text{Left.}}] P(N)$$

金属库仑阻塞。 $V = E_C N - eV_g N$ $V_{\min} = N = \frac{eV_g}{2E_C}$

对于不同金属外加电压 V_g ， N 就是 st. 能最低最优粒子数。

此时，经典 $\Phi_{\text{out}} > \Phi_{\text{漏}}$ ，两板静电能 $Q^2/2C$.

{ 单子电转移 $(Q-1)^2/2C$ 需能

$\therefore Q^2/2C > (Q-1)^2/2C$ $Q > \frac{1}{2}$ ，若 $Q < \frac{1}{2}$ 转移不了，库仑阻塞。

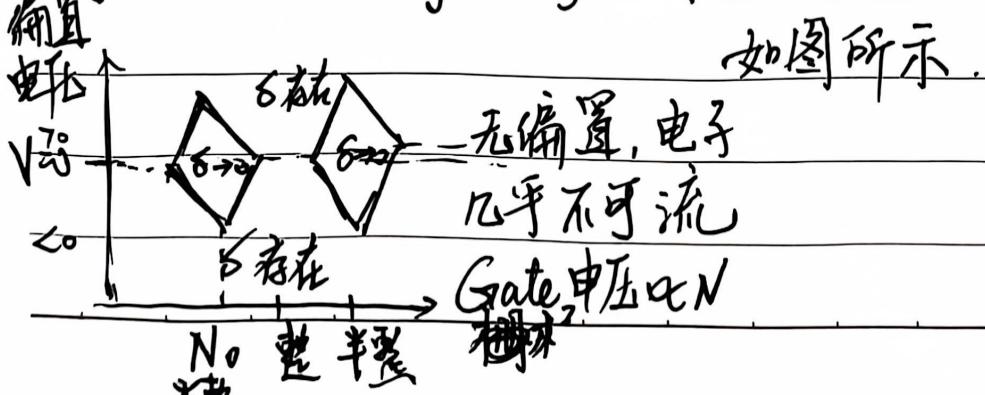
Now: 树漏极之间有一量子点(金属点)

求解 $P(N)$ 发现 $N_{\text{dot}} \in \mathbb{N}$, $P(N), P(N+1)$ 1 为 1, 0 为 0.

说明 dot 上有确切占据数。dot 与 Lead 有能差、库仑阻塞

N half-integer. $P(N), P(N+1)$ 简并: ~~0.5~~ 1/2 态。电子可跃迁,

电导 when: N half-integer 有道, $E_N \neq 0$ 为零 ($T \rightarrow 0$)



库仑阻塞 (dot 很小) 无统计意义 Fermi-Dirac, 需每粒子讨论.

$$\text{这次工为: } 2\pi \sum_{D,N} \left| \langle \beta_{D,N+1} | \sum_D t_{\alpha_D \gamma_L}^* C_{\gamma_D}^+ | \alpha_{D,N} \rangle \right|^2 n_F(\epsilon_L - \mu_L) \delta(\epsilon_{\beta_{D+1}} - \epsilon_{\gamma_L}) \\ = \gamma^L K \beta_{D,N+1} \left| \sum_D C_{\gamma_D}^+ | \alpha_{D,N} \rangle \right|^2 n_F(E_{\beta_{D+1}} - E_{\alpha_N} - \mu_L)$$

例子: 考虑仅一个量子点. 此 dot 上只可能 4 种情况: $P_{(0)}, P_{(\uparrow)}, P_{(\downarrow)}, P_{(\times)}$

$$\text{由主方程: } ① - (I_{\uparrow\uparrow 0} + I_{\downarrow\downarrow 0}) P_{(0)} + (I_{\uparrow\uparrow 0}^* + I_{\downarrow\downarrow 0}^*) P_{(\uparrow)} = \frac{d}{dt} P_{(0)} = 0.$$

$$② - (I_{\uparrow\uparrow\uparrow} + I_{\uparrow\uparrow\downarrow} + I_{\downarrow\downarrow\uparrow} + I_{\downarrow\downarrow\downarrow}) P_{(\uparrow)} + (I_{\uparrow\uparrow\uparrow}^* + I_{\uparrow\uparrow\downarrow}^* + I_{\downarrow\downarrow\uparrow}^* + I_{\downarrow\downarrow\downarrow}^*) P_{(\downarrow)} \\ + (I_{\uparrow\uparrow 0} + I_{\downarrow\downarrow 0}) P_{(0)} = \frac{d}{dt} P_{(\uparrow)} = 0$$

$$(\text{对称子对称}) - (I_{\uparrow\uparrow\uparrow} + I_{\downarrow\downarrow\downarrow}) P_{(2)} + (I_{\uparrow\uparrow\uparrow}^* + I_{\downarrow\downarrow\downarrow}^*) P_{(\downarrow)} = 0$$

$$\text{由于 } I_{\uparrow\uparrow\uparrow} = I_{\downarrow\downarrow\downarrow}, I_{\uparrow\uparrow\downarrow} = I_{\downarrow\downarrow\uparrow}, \text{ 则: 上文 } P_{(\uparrow)} = P_{(\downarrow)} \text{ 就是 } P_{(\downarrow)}.$$

$$- I_{\uparrow\uparrow 0} P_{(0)} + I_{\uparrow\uparrow 0}^* P_{(\uparrow)} = 0.$$

$$\left. \begin{aligned} & - (I_{\uparrow\uparrow\uparrow} + 2I_{\uparrow\uparrow 0}) P_{(\uparrow)} + I_{\uparrow\uparrow\uparrow}^* P_{(2)} + I_{\uparrow\uparrow 0} P_{(0)} = 0 \\ & - I_{\uparrow\uparrow\uparrow} P_{(2)} + I_{\uparrow\uparrow\uparrow}^* P_{(\downarrow)} = 0 \end{aligned} \right\}$$

suppose L : large: Kondo situation: $P_{(2)} = 0$. Then:

$$\text{And } P_{(0)} + P_{(\uparrow)} + P_{(\downarrow)} = 1$$

$$P_{(0)} = 1 - 2P_{(\uparrow)}$$

$$\Rightarrow P_{(0)} = \frac{I_{0\downarrow}}{I_{0\downarrow} + 2I_{10}} ; \quad P_{(\uparrow)} = P_{(\downarrow)} = \frac{I_{10}}{I_{0\downarrow} + 2I_{10}}$$

Now. there's a magnetic field: $P_{(\uparrow)}$ 余:

$$P_{(0)} = \frac{I_{0\uparrow}}{I_{0\uparrow} + I_{10}}, \quad P_{(\uparrow)} = \frac{I_{10}}{I_{0\uparrow} + I_{10}}$$

the current:

$$I = -e \left(\frac{\frac{R}{L} I_{0\uparrow} I_{10} - \frac{L}{R} I_{0\uparrow} I_{10}}{I_{0\uparrow} + I_{10}} \right)$$

上文公式:

$$\text{上文公式: } I_{\text{tot}}^x = I^x \cdot n_F \cdot (\varepsilon - \mu_x) \cdot |\langle \uparrow | C_+ | 0 \rangle|^2 \rightarrow 1$$

$$I_{\text{tot}}^x = I^x \cdot n_F (\varepsilon - \mu_x) \quad \downarrow \text{反对易-下}$$

$$I_{\text{tot}}^x = I^x \cdot n_F \cdot [1 - n_F(\varepsilon - \mu_x)] \cdot |\langle 0 | C_\uparrow | \uparrow \rangle|^2 \rightarrow 1$$

$$= I^x [1 - n_F(\varepsilon - \mu_x)]$$

代入

$$I = e [n_F(\varepsilon - \mu_{\text{right}}) - n_F(\varepsilon - \mu_{\text{left}})] \cdot \frac{I^R I^L}{I^R + I^L}$$

$$\text{电导: } G = I/V \quad \text{命: } \mu_L = \mu - ev/2 \quad \mu_R = \mu + ev/2$$

即: 偏压 V 为如此时. 计算工得电导.

(下文续上. 未画)

由上: $G = I/V$. 多体中电导如何求?

之前 $f_i, f \rightarrow i$, 也可以 $f \rightarrow i \rightarrow f \rightarrow i$ 等高阶项. or $f \overset{\curvearrowleft}{\rightarrow} i$
这些也要算之 G 中. 高阶 process 称 contourelling.

高阶 Fermi-Golden Rule 也要修正.

$$f \rightarrow i \quad |i\rangle = \frac{1}{i} \int_0^t dt_1 \hat{V}(t_1) + \frac{1}{i^2} \int_0^{t_1} dt_2 \hat{V}(t_2) \int_0^{t_1} dt_3 \hat{V}(t_3) + \dots$$

$$\therefore \langle f | i(t) \rangle = \sum_n \frac{1}{i^n} \langle f | \left[\int_{-\infty}^{t_n} dt_1 dt_2 \dots \hat{V}(t_1) \hat{V}(t_2) \dots \hat{V}(t_n) e^{i t_n} \right] | i \rangle$$

由上文方法相互作用绘景 $\hat{V} = e^{-\hat{H}t} V e^{\hat{H}t}$ 和出.

$$\text{命: T-Matrix: } T = V + \frac{1}{E_i - H_0 + i\gamma} V + V \frac{1}{E_i - H_0 + i\gamma} V + \frac{1}{E_i - H_0 + i\gamma} V + \dots$$

$$\text{or } T = V + \frac{1}{E_i - H_0 + i\gamma} T. \text{ we have.}$$

△

$$I_{fi} = 2\pi / \langle f | T | i \rangle^2 \delta(E_f - E_i)$$

$$|\langle f | i \rangle| = |\frac{e^{i\theta}}{E_f - E_i - i\gamma} \langle f | T | i \rangle|$$

Second Quantization 中，相互作用为跃迁项 H_T ，

$$\therefore \hat{T} = \hat{H}_T + H_T \frac{1}{E_i - E_0 + i\gamma} \hat{T}$$

$$I_{R.L}^{(2)} \text{ 第二阶类比上文: } 2\pi \sum_{f_B} | \langle f_B | \hat{H}_T \frac{1}{E_i - E_0} \hat{H}_T | i_R \rangle |^2 W_{i_R} \delta(E_{f_B} - E_i)$$

不是 $V(\hat{H}_1)$ 不是 $V(\hat{H}_2)$

当 $V(t_1) = V(t_2)$ 即如此，但讨论电子从左经 dot 至右，有 2 可能性

① 左 \rightarrow dot 随后 dot \rightarrow 右 $H_{T, \text{Right}} \cdot \frac{1}{E_i - E_0} H_{T, \text{Left}}$

② dot \rightarrow dot 随后 左 \rightarrow dot $H_{T, \text{Left}} \cdot \frac{1}{E_i - E_0} H_{T, \text{Right}}$

Kondo 表明 dot 能量可被改变了，也可不变。叫 virtual intermediate state

金属 Quantum dot 中：富于电子 $\xrightarrow{\text{交换散射此不一定等}} \text{故带一个} \downarrow \text{对} \downarrow E'$

Now: $|f\rangle = C_R^\dagger C_L^\dagger C_D^\dagger C_D^\dagger |i\rangle$ 对情况 1

不厌其烦地计算。



$$I_{R,L}^{(2)} = 2\pi \sum_{f_B i_R} | \langle f_B | H_{T,R} \frac{1}{E_{i_R} - E_0} H_{T,L} + H_{T,L} \frac{1}{E_{i_R} - E_0} H_{T,R} | i_R \rangle |^2$$

$$\times W_{i_R} \delta(E_{f_B} - E_{i_R})$$

$$[C_D^\dagger C_D^\dagger C_L^\dagger C_R^\dagger] \times t [C_R^\dagger C_D^\dagger C_D^\dagger C_L + C_D^\dagger C_L^\dagger C_R^\dagger C_D] \frac{1}{E_{i_R} - E_0}$$

$$\times W_{i_R} \delta(E_{f_B} - E_{i_R}).$$

$|f_B\rangle = |i_2\rangle \times |i_R\rangle \times |d_N\rangle$, 且上. Σ 时. calculate:

$$\sum W_{\text{Left}} C_L^\dagger C_L \times \sum W_{\text{Right}} C_R^\dagger C_R = n_F(\epsilon_L - \mu_L) [1 - n_F(\epsilon_R - \mu_R)]$$

以及: 作用对称不同，对易！ $|i_D\rangle \otimes |i'_D\rangle$

$$\begin{aligned} \sum W_D C_D^\dagger C_D [C_D^\dagger C_D + C_D^\dagger C_D] &= \sum W_D' C_D^\dagger C_D' \cdot \sum W_D C_D C_D^\dagger \\ &= n_F(\epsilon'_D - \mu_D) [1 - n_F(\epsilon_D - \mu_D)] \end{aligned}$$

从余下： $H_{TR} \cdot H_{TL}$ 中 $t_{D, left} \cdot t_{D, right}$ 项 $\leftrightarrow \gamma^R \cdot \gamma^L$ 项
 原中 $\frac{1}{E_{i2}-H_0}$ Fermi Golden Rule $\neq \langle f | i \rangle$ 皆一次穿，
 本为 2 次穿，但仍然， H_0 为一次穿后的 H_0
 而非 2 次穿后的 $E_{FB} - E_{i2}$
 . 此项为 $\frac{1}{E_{i2} - E_{FB}^2 H_0} + \frac{1}{E_{i2} - E_{FB}^2 H_0}$

$$[E(N) - E(N+1) + \epsilon_L - \epsilon_D]^{-1} + [E(N) - E(N+1) - \epsilon_R + \epsilon_D']^{-1}.$$

$$\begin{aligned} I_{R,L,D}^{(2)}(N) &= \frac{\gamma_L^L \gamma_R^R}{2\pi} \int_R d\epsilon_L d\epsilon_R d\epsilon_D d\epsilon_D' n_F(\epsilon_L - \mu) n_F(\epsilon_D' - \mu_D) [1 - n_F(\epsilon_R - \mu_R)] \\ &\cdot [1 - n_F(\epsilon_D - \mu_D)] \left\{ (E(N) - E(N+1) + \epsilon_L - \epsilon_D)^{-1} + [E(N) - E(N+1) - \epsilon_R + \epsilon_D']^{-1} \right\}^2 \delta(\epsilon_R - \epsilon_L + \epsilon_D) \end{aligned}$$

得出：(略)

Quantum Dot 中：离散能级下极大可能在相同 dot 上产生、湮灭粒子。那么 $C_R^+ C_D^+ C_D C_L \leftrightarrow C_R^+ C_L |f\rangle = C_R^+ C_L |c\rangle$
 $I_{R,L}^{(2)}$ 对于一个初始空 dot 来说，上文第二项不会发生，则。

$$\begin{aligned} I_{R,L}^{(2)(0)} &= \frac{I_L^L I_R^R}{2\pi} \int d\epsilon_L d\epsilon_R \delta(\epsilon_R - \epsilon_L) \cdot \left(\frac{1}{\epsilon_L - \epsilon_D} \right)^2 \times n_F(\epsilon_L - \mu_L) [1 - n_F(\epsilon_R - \mu_R)] \\ &\quad I^2 = 2\pi / t_R / \epsilon_L^2 d\epsilon \text{ 命 } \mu_L = eV/2 \quad \mu_R = -eV/2. \text{ assume: } |\epsilon_D| > eV \\ \text{得出: } & \int d\epsilon_L d\epsilon_R \delta(\epsilon_R - \epsilon_L) \cdot n_F(\epsilon_L - \mu_L) [1 - n_F(\epsilon_R - \mu_R)] \quad T \rightarrow 0 \\ &= n_B(eV) \times eV \cdot \Theta(v) \quad T \rightarrow 0 = eV \Theta(v). \end{aligned}$$

$$I_{R,L}^{(2)(0)} = \Theta(v) \cdot \frac{eV}{\epsilon_d^2} \cdot \frac{I_L^L I_R^R}{2\pi}$$

计算电导 - Chapter 4. Green Function. (电导 Part 2)

For Schrödinger Equation Hamiltonian $H_0(r)$ with perturbation $V(r)$, i.e.

$$[H_0(r) + V(r)] \psi_E = E \psi_E$$

(量2) 中玻恩近似应利用 Green function 写出和分形式: i.e.:

$$H_0(r) \psi_0 = E_0 \psi_0$$

$$[\bar{E} - H_0(r)] G_0(r, r', E) = \delta(r - r')$$

$$\Psi_E(r) = \psi_0(r) + \int dr' G_0(r, r', E) V(r') \Psi_E(r')$$

V : perturbation $\Psi_E(r') \approx \psi_0(r') + V^{>0}(V^2)$.

$$\Rightarrow \Psi_E(r) = \psi_0(r) + \int dr' G_0(r, r', E) V(r') \psi_0(r') + O(V^3).$$

similarly. 含时情况下: $[i\partial_t - H_0 - V(r)] \Psi(rt) = 0$

$$[i\partial_t - H_0(r)] G_0(rt, r't') = \delta(r - r') \delta(t - t')$$

$$\text{or } [i\partial_t - H_0(r) - V(r)] G(rt, r't') = \delta(r - r') \delta(t - t')$$

$$\Psi(rt) = \psi_0(rt) + \int dr' dt' G_0(rt, r't') V(r') \Psi(r't')$$

$$\text{or } \Psi(rt) = \psi_0(rt) + \int dr' dt' G_0(r, r't, t') V(r') \Psi(r't')$$

∴ static case: $\Psi = \psi_0 + \int G_0 V \psi_0 + \int G_0 V \int G_0 V \psi_0 + \dots$

$$= \psi_0 + (\int G_0 + \int G_0 V \int G_0 + \int G_0 V \int G_0 V \int G_0) V$$

又: 含时 case: $\Psi = \psi_0 + \int G V \psi_0$

类似

$$\int G V \psi_0 = (\int G_0 + \int G_0 V \int G_0 + \dots) V \psi_0$$

去掉积分, 去掉 $V \psi_0$

$$G = G_0 + G_0 V [\int G_0 + \int G V [G_0 + \dots]]$$

Dyson Equation: $G(rt, rt') = G_0(rt, rt') + G_0(rt, rt') V(r') G(rt - r', t')$

$$[i\partial_t - H_0(r) - V(r)] G(r, r') \delta(t-t') = \delta(r-r') \delta(t-t')$$

$\int [G \psi(r,t) dr] = \psi(r,t')$. 代入 Schrödinger Equation:

$$\Rightarrow [i\partial_t - H_0(r) - V(r)] \int [G \psi(r,t')] dr dt' = 0$$

$$= \int \left[[i\partial_t - H_0(r) - V(r)] G \int \psi dr dt + \int G \cdot i\partial_t - H_0(r) - V(r) \psi(r,t') dr dt' \right]$$

$\psi(r,t) \delta(t-t')$ (先后发生) 为

$$\therefore \psi(r,t) = \int G(r,t') dr' \psi(r',t')$$

这说明了：某 t' 时刻体积分 dr' 所有处 ψ 在 $t-t'$ 时间内这些 ψ 恰好在 t 时抵达 r 处 ψ . 描述此传播振幅

同时 Sakurai 上关于传播子定义为：

$$\psi(x'',t) = \int dx' K(x'',t, x', t_0) \psi(x', t_0)$$

此式是从时间演化角度来看的，以及 $K(x'',t-x',t_0) = \langle x'' | e^{-iH(t-t')} | x' \rangle$

因此 Green function 又可写作 ψ satisfy 传播子 Schrödinger Equation (Sakurai)

$$G(r,t, r', t') = -i\theta(t-t') \langle r | e^{-iH(t-t')} | r' \rangle$$

Retarded (因果律) \Rightarrow 电流必为推迟势

$$G(r,t, r', t') = i\theta(t'-t) \langle r | e^{-iH(t-t')} | r' \rangle$$

Advanced

上文为 single-particle Green function. Now apply this to many-body

先写出 Green-function in Second Quantization. Remind $\langle A \rangle = \frac{\text{Tr} [I_A]}{\text{Tr} [I]}$

Remind 流-流关联也是传播. 凡含时演化必离不开相互作用下的表示式，即 $\langle I[A, B] \rangle$. 即 Green function 为 ψ_A^\dagger 与 ψ_B 两场算符 (波函数) 之关联，ie:

$$G^R(r, t, r', t') = -i\theta(t-t') \left\langle \hat{I}_A^\dagger(r, t), \hat{I}_B(r', t') \right\rangle_{\text{Fermion}}$$

{

3 Fermion

定义: $G^> = -i \langle \psi(t) | \psi(0) \rangle$, $G^< = -i (\pm) (\psi^+(t) \psi(t))$. st.

st: $\begin{cases} G^R = \theta(t-t') (G^> - G^<) \\ G^A = \theta(t'-t) (G^< - G^>) \end{cases}$

例: 自由电子格林函数. $\hat{H} = \sum_{k\sigma} E_{k\sigma} C_{k\sigma}^\dagger C_{k\sigma}$

$G(r, t) \rightarrow G(k, t)$ 作表象变换

$$[Y^f, \psi] \Rightarrow \sum_{k\sigma} Q[\langle r|k\rangle] [C_k^\dagger, C_\sigma]$$

前项积分归一 $\Rightarrow [C_k^\dagger, C_\sigma]$

Therefore: 自由电子无势传播距离 $(t-t')$ 仅与时间差 $(t-t')$ 有关

$$G_0^>(k, t-t') = -i \langle C_k(t) C_k^\dagger(t') \rangle$$

相互作用能量算符

$$\text{由 } \frac{\partial}{\partial t} a_j^\dagger(t) = i[H, a_j^\dagger(t)] = i e^{-iHt} [H, a_j] e^{iHt} \quad \hat{H} = a_j^\dagger a_j E_j \propto \propto$$

$$\Rightarrow a_j^\dagger(t) = e^{-iE_j t} a_j^\dagger$$

$$\Rightarrow G_0^>(k, t-t') = -i \underbrace{\langle C_k C_k^\dagger \rangle}_{1 - \langle n \rangle} e^{-iE_k(t-t')}$$

$$1 - \langle n \rangle = 1 - n_{\text{Fermi-Dirac}}$$

Therefore: $G_0^>(k, t-t') = -i [1 - n_F(E_k)] e^{-iE_k(t-t')}$.

$$G_0^<(k, t-t') = i n_F(E_k) e^{-iE_k(t-t')}$$

比如 $\beta(k, k', t-t') = -i \langle C_k^\dagger \text{Ground state} | e^{-iH(t-t')} | C_{k'}^\dagger \text{Ground} \rangle$
 $T=0$ (基态). $\times e^{iE_0(t-t')}$. 船2

clearly depicts: 态1: k 多1电子基态 态2: k' 多1电子基态
 在 $t-t'$ 时间中从态1船1至态2的传播.

Fourier Transform: (不直接对 ψ 做, 对 $t-t'$ 做. 在时间差对后一步之后换回坐标空间) k 代表 $\vec{r} \rightarrow$ 距离差 $|\vec{r}-\vec{r}'|$ ie:

$$G_0^>(\vec{r}, \vec{r}', \omega) = (2\pi)^3 \cdot [\text{Density 3D}](\omega) \left[1 - n_F(\omega) \right] \frac{\sin K_{\text{max}} |\vec{r}-\vec{r}'|}{|\vec{r}-\vec{r}'|/K_{\text{max}}} \quad \text{其中 } K_{\text{max}}^2 = 2m$$

Lehmann Representation: spectral function (谱函数) $\text{Tr}[\text{PA}]$.

引入谱函数: $\hat{x}\hat{d}$ fermions:

$$\begin{aligned} G^> &= -i \langle C_K b(t) C_K^\dagger g(t') \rangle = -i \frac{1}{Z} \sum_n \langle n | e^{-\beta H} C_J(t) C_J^\dagger(t') | n \rangle \\ (t-t') &= -i \frac{1}{Z} \sum_{nn'} e^{-\beta E_n} \langle n | C_J^\dagger(n') \langle n' | C_J(t') | n \rangle e^{i(E_n-E_n')(t-t')} \\ &\quad \downarrow \langle n | e^{-i\beta t} C_J^\dagger e^{i\beta t} | n \rangle = e^{i(E_n-E_n')t} C_J^\dagger \\ G^>(v,w) &= -\frac{2\pi i}{Z} \sum_{nn'} e^{-\beta E_n} \langle n | C_J^\dagger(n') \langle n' | C_J^\dagger | n \rangle \delta(E_n-E_n'+w) \\ G^<(v,w) &= \frac{2\pi i}{Z} \sum_{nn'} e^{-\beta E_n} \langle n | C_J(n') \langle n' | C_J^\dagger | n \rangle \delta(E_n-E_n-w) \\ &= 2\pi i / Z \sum_{nn'} e^{-\beta(E_n+w)} \langle n | C_J(n') \langle n' | C_J^\dagger | n \rangle \delta(E_n'-E_n-w) \end{aligned}$$

$$\therefore G^<(v,w) = -G^>(v,w) e^{-\beta w} \quad (\text{imp } E_n \rightarrow E_n' \text{ } E_n' \rightarrow E_n).$$

Therefore:

$$G_R^R(v,w) \neq \text{ (补充): when } G_R^R(t-t') = -i \theta(t-t') \langle [A(t), B(t')] \rangle$$

如何对 $t-t'$ 做 Fourier Transform? when it only depends on $t-t'$ one variable? For Retarded functions: Normally.

$$G(w) = \int_R e^{-iwt} G(it) \quad t \leftrightarrow t-t'$$

$$t \leq 0 \text{ 由 } \theta(t-t') \Rightarrow 0 \therefore G = \int_R e^{-iwt} G(it) dt \quad \text{衰减}$$

Remind: Kramers-Kronig 公式. 除了定义 δ 函数外, 引入虚项 $e^{-\eta t}$ 也是解决 Fourier 处不 decay 的方法. 比如 $S(w+1) - S(w-1) = \int e^{-iwx} \sin x dx$ 也可以 $e^{-iwx} e^{-\eta x} \sin x dx = \int e^{-iwt-\eta x} e^{ix} dx \quad f(w-\eta+1) = \frac{1}{w-\eta+i\eta}$ 利用复平面上围道积分. Anyway. Now we define: Retarded Function:

$$G(w) = G(w) \int_{(\gamma_0)} R e^{-iwt} e^{-\eta t} G(it) dt \quad \underbrace{\omega' = \omega + i\eta}_{\text{复频率}}.$$

$$G(it) = \frac{1}{2\pi} \int_R e^{iwt} G(w) dw \quad \left| \text{做围道积分, } \eta > 0 \right.$$

$$G_{\text{Advanced}}: \omega' = \omega - i\eta$$

例子: Fourier Transform. $f(t) = -i\theta(t) \exp(-i\omega t)$. $\rightarrow e^{-\omega t}$ decay

$$-i \int \exp(-i(\omega + \eta)t) dt = \frac{1}{\omega + \eta + i\eta} \exp(i(\omega + \eta)t) \Big|_{0}^{+\infty} = 1$$

$$\boxed{\mathcal{F}[e^{-i(\omega + \eta)t}]} = \frac{1}{\omega + \eta + i\eta}$$

之后的重要结论

Inverse Fourier Transform

$$\frac{1}{2\pi} \int \frac{1}{\omega - \omega_0 + i\eta} e^{-i\omega t} d\omega \quad \text{奇点 } \omega = \omega_0 - i\eta \text{ 处}$$

-t轴下圆道



$$\int_{C_R} = 0 \quad \therefore \int_R = 2\pi i \operatorname{Res}_{\omega = \omega_0 - i\eta} \frac{e^{-i\omega t}}{\omega - \omega_0 + i\eta} \Big|_{\omega = \omega_0 - i\eta} = \left(\frac{1}{2\pi} \cdot 2\pi \right) i \frac{e^{-i(\omega_0 - i\eta)(\omega_0 - i\eta)}}{1}$$

$$= i e^{-i\omega_0 t} \cdot e^{\eta t} \quad \eta \rightarrow 0 = \exp(-i\omega_0 t) \quad \text{转反了!}$$

Now: we apply this to G^R , we have

$$(G^R(\gamma, w)) = \int dt e^{i(\omega + i\eta)t} \frac{1}{2} (\langle n | G | n' \rangle \langle n' | G^\dagger | n \rangle e^{i(E_n - E_{n'})t} + \langle n | G^\dagger | n' \rangle \langle n' |$$

$$| n \rangle e^{i(E_n - E_{n'})t}) \text{ 和出:}$$

$$= \frac{1}{2} \sum_{nn'} [\langle n | G | n' \rangle \langle n' | G^\dagger | n \rangle] \times \frac{1}{w + E_n - E_{n'} + i\eta} \times (e^{-\beta E_n} + e^{-\beta E_{n'}})$$

$$(w + i\eta)^{-1} = f(\frac{1}{w}) + -i\pi \delta(w) \text{ 取第二项, 则虚部为:}$$

$$2I_m(G^R(\gamma, w)) = -\frac{2\pi}{2} \sum_{nn'} \frac{\langle n | G | n' \rangle \langle n' | G^\dagger | n \rangle}{w + E_n - E_{n'} + i\eta} (e^{-\beta E_n} + e^{-\beta E_{n'}}) \delta(w + E_n - E_{n'})$$

$$\approx -\frac{2\pi}{2} \sum_{nn'} \langle n | G | n' \rangle \langle n' | G^\dagger | n \rangle e^{-\beta E_n} (1 + e^{-\beta \eta}) \delta(w + E_n - E_{n'})$$

$$= -i(1 + e^{-\beta \eta}) G^>(\gamma, w)$$

这即是著名的涨落-耗散定理. 对涨落: $G^>$: 从 $n \rightarrow n'$ 态跳跃概率至程度越高, 其带来的耗散: $I_m G^R$ 为耗散项. η : 电极化, 越大

比如谐振子能级 Δ : $\Delta G^> \uparrow$: $G^R \uparrow$.

我们定义谱函数为: $A(\nu, w) = -2I_m G^R(\nu, w)$.

性质: ① $iG^>(\nu, w) = A(\nu, w)[1 - n_F(w)]$

② $-iG^<(\nu, w) = A(\nu, w) n_F(w)$

③ $G^R(\nu, w) = \int dw' \frac{1}{2\pi} \cdot A(\nu, w') \cdot \frac{1}{w-w'+i\eta}$

④. ⑤. $I_m G^R(\nu, w) = \sum_{nn'} (m) (\delta)$

$$\int I_m G^R \frac{1}{w-w'+i\eta} dw' = \sum_{nn'} (m) \cdot \frac{1}{w-w'+i\eta} S(w'+E_n - E_n') dw' \quad \therefore w' = E_n' - E_n.$$

$$= \sum_{nn'} \frac{(m)}{w+E_n-E_n'+i\eta} \quad \text{正是 } G^R \text{ 形式:}$$

Similarly: $G^A(\nu, w) = [G^R]^\star = \int \frac{dw'}{2\pi} \cdot \frac{A(\nu, w')}{w-w'-i\eta}$

④ 自由电子 $A_0(k_b, w) = 2\pi \delta(w - E_k)$

$$G_0^R(k_b, w) \stackrel{\lambda G}{\approx} (w - E_k + i\eta)^{-1}$$

⑤ 恒正 (尽管 mostly 为 0).

⑥ $\exists - \int dw \cdot \frac{1}{2\pi} A(\nu, w) = 1$.

⑦ (6): $\int dw \delta(w+E_n - E_n') \sum_{nn'} \langle c_n | G^R | n' \rangle \langle n' | c_j^\dagger | n \rangle / (e^{\beta(E_n + E_n')} + e^{-\beta(E_n - E_n')})$
 $1 \cdot (\langle c_j c_j^\dagger \rangle + \langle c_j^\dagger c_j \rangle) = \langle c_j^\dagger c_j + c_j c_j^\dagger \rangle = \langle 1 \rangle = 1$

⑦ 能平均占据数:

$$\bar{n}_j = \langle c_j^\dagger c_j \rangle = -iG^<(\nu, \Delta t=0) = i \int dw \frac{1}{2\pi} e^{-i\omega t} G^<(\nu, w) \Big|_{t=0}$$

$$= \int_R \frac{dw}{2\pi} A(\nu, w) n_F(w)$$

类似 $\Phi(r't') = \int_R G(t', r't) \Phi(r=t)$ A 作用将不同能级 w 的粒子按

$\bar{n}_j = \int_R A(\nu, w) n_F(w)$ 映至能级 ν 上. $A(\nu, w)$ 代表了这些能量的关联. 对自由电子, 代入 ④. $\bar{n}_j = \int_{\omega=E_k} S(\omega) n_F(w) = n_F(\omega)$ 是 Fermi-Dirac Distribution, 系: 谱函数仅 $w=E_k$ 有尖峰. 无相互作用时代替填入一个 w 能量, 100% 是通过引入 $w=E_k$ 的电子而

不是利用相互作用。100% 是 $\omega = E_K$ 的电子贡献率。其它相互作用时， $A(\nu, \omega)$ 就代表一种概率密度（性质：归一）， $n_j = \int n_F(\omega) A(\nu, \omega) d\omega$ 代表 ω_j 的粒子 $n_F(\omega)$ 有百分之 $A(\nu, \omega_j)\%$ 变为 n_j 。 $\omega_1 \dots A(\nu, \omega_1)\%$ 不同初态粒子对末态粒子数的贡献权重。比如 $T \rightarrow 0$ 时， $e^{-\beta E_n}$ 项 $\beta \rightarrow \infty$ 仅基态 $|0\rangle$ 此项非零，余下项尽是向基态跃迁 $\langle n | C_j^+ | 0 \rangle \propto \delta(E_j - E_0)$ 要产生一个 $|j\rangle$ 可通过不同态 $|n'\rangle$ 跃迁，那么转移能量 $\omega = E_{n'} - E_0$ 必然，跃迁概率由 $\langle n' | C_j^+ | 0 \rangle$ 决定。谱有展宽 ($T \neq 0$, 相互)

Actually 代表谱不能用 δ 表示，即 Green function 不再 $\propto e^{i\omega t - iE_j t}$ ，亦存衰减项 $e^{-\gamma t}$ ，比如： $G_L = -i\theta(t) e^{-i\omega t} e^{-t/\tau} = A(\nu, \omega) = \frac{2}{\pi} \left(\frac{1}{(\omega - \omega_0)^2 + (\frac{\gamma}{2})^2} \right)$ 说明多体相互作用下单体 Green function 描述能力欠缺，相当后面再议。

接下来又回到隧道了，我们利用 Green function 写出电流，再写出电子数改变定义为电流。(e) Ni 先就 Quantum Dot 为真空(绝缘体)讨论

$$\therefore \hat{I} = i[H, N_{\text{left}}] \quad H = H_L$$

$$= i \sum_{j, m} [C_{Lj}^+, C_{Rm}^- T_{Rm} - C_{Rm}^+ C_{Lj}^- T_{Rm}^*] = -i(\hat{L} - \hat{L}^*)$$

更进一步，相互框架中 $\langle I \rangle$ 用 Kubo Formula 关联函数写出

$$\langle \hat{I} \rangle_{(t)} = \int_R dt' G_{IHT}^R(t-t')$$

$$G_{IHT}^R(t-t') = -i\theta(t-t') \langle [\hat{I}_{(t)}, \hat{L}_{(t')}^+ L_{(t')}^+] \rangle.$$

$$(L-L^+), (L^+L^+)$$

类 $L \cdot L^+$ 项，不可能自发地 Left 多 2 个电子，Right 少 2 个电子，对每个 subsystem，electron 守恒 (extra: 超导)，仅剩下

$$(L^+L + LL^+) = L^+L + (L^+L)^* = 2\text{Re}(L^+L)$$

$$\text{原式} = \langle I_P(t) \rangle = 2\text{Re} \int_R dt' \theta(t-t') \sum_{j, m} \sum_{j', m'} T_{Rm}^* T_{Rm'} \langle [C_{Rm}^+(t) C_{Lj}^+(t)], C_{Lj'}^+(t') C_{Rm'}^-(t') \rangle$$

$$\langle | \rangle \Rightarrow | \rangle_L \otimes | \rangle_R$$

$$\langle \rangle_0 = \langle C_L^\dagger(+) C_{L'}^\dagger(+)\rangle_0 \langle C_R^\dagger(+) C_{R'}^\dagger(+)\rangle_0 - \langle C_L^\dagger(+) C_{R'}^\dagger(+)\rangle_0 \langle C_{R'}^\dagger(+) C_{R'}^\dagger(+)\rangle_0$$

Left 与 Right 不同之处在化学势不同，体现为电压 $V_{left} > V_{right}$ 宏观上电流右走，相位 $e^{-i(r-eV)t}$ $V = V_L, V_R$ 除去相位因子后从相同，那么 L-R 可用单粒子 Green function 表述，即 $C_{L,R}^\dagger e^{-i(r-eV)t} \tilde{C}_{L,R}^\dagger(+)$ ，而 $\langle \tilde{C}_{L,R}^\dagger(+) \tilde{C}_{L,R}^\dagger(+) \rangle$ 上文为 $G_L^>(r,t, r', t')$ ，并且 H 不显含时 $G(r, r', t' - t)$ 仅与时间差有关；并且，我们并不取叠加态 $\langle \rangle_0$ 。对 OP 价 Hamiltonian 特征态 $|n\rangle$ 仅 $r = r'$ 才非零，即对角。综上，we have：[命变换 $t' - t = t'$]

$$\langle I_p^{(+)}) \rangle = 2\text{Re} \int_{-\infty}^0 dt' \sum_{\gamma\mu} |T_{\gamma\mu}|^2 e^{i(-e)(V_{left} - V_{right})t'} [G_L^>(\gamma, -t') G_R^<(\mu, t')]$$

$$- G_L^<(\gamma, -t') G_R^>(\mu, t')]$$

作 Fourier 变换，对前一半 $G_L^> G_R^<$ $V = V_1 - V_2$

$$2\text{Re} = \frac{1}{4\pi^2} \int dw dw' \sum_{\gamma\mu} |T_{\gamma\mu}|^2 G_1^>(w) G_2^<(w') \int_{-\infty}^0 dt' \exp[i(-e(V_1 - V_2) + w - w')] t' \alpha$$

而 $2\text{Re} \int_{-\infty}^0 dt' \exp[i] t'$ 同样先取 $e^{\gamma t}$ 再 $\gamma \rightarrow 0$ 以先收敛，毕竟 \int_R 才为 δ function，而 $\int_{-\infty}^0$ 是否尚需验证。

$$2\text{Re} \int_{-\infty}^0 dt' \exp[i] t' e^{-\gamma t} = \text{Re} \frac{1 \times 2}{\gamma + i(-eV + w - w')} = \frac{\gamma}{\gamma^2 + [(-eV) + w - w']^2}$$

$\gamma \rightarrow 0$ ，ie: $\pi \delta(-eV + w - w')$ (\arctan 原函数出一个 π)。

把这个 $\delta(-e(V_1 - V_2) + w - w')$ 代入 $dw dw'$ 积分去掉 dw' ，则合并两项。

$$I_p(t) = \frac{1}{2\pi} \int dw \sum_{\gamma\mu} |T_{\gamma\mu}|^2 G_L^>(\gamma, w) G_R^<(\mu, w - eV) - G_L^<(\gamma, w) G_R^>(\mu, w - eV)$$

用 Spectral function 替代 $G^>, G^<$ 得到：

$$I_p = \int_R \frac{dw}{2\pi} \sum_{\gamma\mu} |T_{\gamma\mu}|^2 A_1(\gamma, w) A_2(\mu, w - eV) [n_F(w - eV) - n_F(w)]$$

表强弱

$w - eV \sim w$ 化学势差推动速率

DATE

W是 $\frac{1}{\sum}$

对谱函数求K和是什么，比如自由电子。

 $\frac{dw}{d\omega}$

W(E)

$$\sum_{k\delta} A_{\delta}(w) = \sum_{k\delta} 2\pi \delta(w - E_k)$$

$$= 2 \int \frac{V dk}{(2\pi)^3} [2\pi \delta(w - E_k)] = \frac{2 \frac{4\pi V}{(2\pi)^3}}{R^3} \int_{R^3} d\vec{k} K^2 [2\pi \delta(w - E_k)]$$

$$E_K = \frac{p^2}{2m} = \frac{\vec{k}^2}{m}$$

$$= \frac{24\pi V}{(2\pi)^3} \int_{R^3} \left[\frac{(kd\vec{k})}{dE_K} \cdot \sqrt{E_K} \right] \Leftrightarrow \frac{2(4\pi)}{(2\pi)^3} \int dE_K \frac{\frac{2\pi E_K}{\hbar^2} \frac{m}{\hbar^2}}{2\pi \delta(w - E_K)}$$

$$= 2\pi g(w) \quad g \text{正是 } 3\text{-D 态密度} : k^2 dk = g(w) dw$$

对上面例子，态密度即：

$$\sum_m |T_{km}|^2 A_R(m, w - ev)$$

上式理解： $|T|^2 \int A [n_{F_1} - n_{F_2}]$ 即 $(n_{F_1} - n_{F_2})|T|^2$ 为可利用电子 numbers 与电子跃迁振幅 (possibility) 表概率，即单位可用电子振幅：电流。实验上，电导比 $\sum A_L \sum A_R$ 直接测某材料态密度困难，我们测电导把 Right 材料含金属 (态密度变化迟慢)，在 Left ~ Right ~ Dot 体系测电子之尖峰即某材料态密度峰值所在。

双粒子关联函数，极化率。

我们之前看到所有 $\langle I, J \rangle$ ，都是两单体算符间作用，体现为量子系统对多个粒子之响应 [e.g. Current-current correlation]，中有 4 个产生湮灭算符之乘积，是两体 Green function。

在 Chapter 3 中，Dielectric function 解极化率给出公式：

$$\operatorname{Re} \chi(q, \omega) = -\omega^2/q \chi^R(q, \omega).$$

以及极化反映为电荷-电荷关联 $\langle [\hat{p}_1, \hat{p}_2] \rangle$ ，具体计算：

把 Chapter 3 中 $p(r, t)$ 先 Fourier 变为 $p_{CK}(t)$ 。得到：令 $r-r' \rightarrow k$

$$\chi^R(k, t-t') = -i\theta(t-t') \frac{1}{V} \langle [p_{CK}(t), p_{-k}(t')] \rangle$$

ρ 为电荷密度： $\rho = \hat{\psi}_c^\dagger \hat{\psi}_c = \sum_k a_k^\dagger e^{ikr} a_k e^{-ik'r}$ k' 命 $k' = k + q$

$$p_{Cq} = \sum_k a_{k+q}^\dagger a_{k+q}$$

海森堡框架： $p_{CK}(t) = \sum_{Kq} C_{Kq}^\dagger C_{Kq} e^{i(E_K - E_{K+q})t}$

$$\chi^R(q, t-t') = -i\theta(t-t') \frac{1}{V} \sum_{Kq} [n_F(E_K) - n_F(E_{K+q})] e^{i(E_K - E_{K+q})(t-t')}$$

Fourier $t-t' \leftrightarrow \omega + iq$ Retard

$$\chi^R(q, \omega) = \frac{1}{V} \sum_{Kq} \frac{n_F(E_K) - n_F(E_{K+q})}{E_K - E_{K+q} + \omega + iq} \quad \text{Lindhard 函数}$$

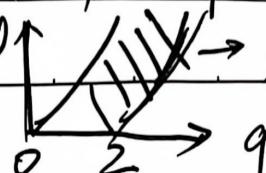
电子-空穴配对激发：

$$\text{耗散 } \alpha = - \int \frac{d\omega}{2\pi} \frac{1}{V} \frac{1}{q} |E(q, \omega)|^2 \frac{\omega^2}{q^2} I_m \chi^R(q, \omega).$$

可见，极化率虚部 / 电导实部致能损失。

$$I_m \chi = \delta(\epsilon_{K+q} - \epsilon_K + \omega) * (\alpha) \quad \omega = \epsilon_{K+q} - \epsilon_K = \frac{1}{2m} (q^2 - k \cdot q)$$

那么作 $\omega \sim q$ 图对不同的 k ，其义为 q 对应 k 的光子打入 R 的电子中，激发到至 k' 散射出而原体系失能， $\omega = \epsilon_{K+q} - \epsilon_K$ (ϵ_{K+q} 逸出而配对即只有一些 ω 能激发，对于 k ： $T \rightarrow 0$ 时 $k < k_F$ 才束缚而 $k+q > k_F$ 才散射图；故 $\omega \in [\frac{1}{2m} q^2 - v_F q, \frac{1}{2m} q^2 + v_F q]$ $v_F \in (-v_F, v_F)$ 变化的 R)。作图如下，比如 $(\omega, q) = (1, 1)$ impossible ω 怎么也打不出 Fermi 海中 q 动量量的变化



Exercises:

①. 末电导: 1-D 电子气 $\hat{H} = \frac{1}{L} \sum_{\mathbf{k}} \left(\frac{\mathbf{k}^2}{2m} - \mu \right) C_{\mathbf{k}}^+ C_{\mathbf{k}}$

回忆起 Chapter 3 末 conductance 对直流水, 只要 $C_{zz} \rightarrow \pi_{zz}$ 就可代表含时演化了. $G_t = (ie/\hbar) \cdot \pi_{zz}^{(t)}$

$$\pi_{zz}^{(x-x', t-t')} = -i\theta(t-t') \langle [I_p(xt), I_p(x't')] \rangle$$

稍后会知.

1. 写出 $I_p(x)$ 这就是单纯的 Current. 也不是上文隧穿电流, 故直

接代入 $I = i[\hat{N}, \hat{H}] \Rightarrow \frac{\partial I}{\partial t} + \nabla \cdot J = 0 \quad \frac{\partial I}{\partial t} = \frac{i}{\hbar} [P, H]$

$$\Rightarrow J = \pm^+ \mp = \sum q e^{ikq} a_k^+ a_{k+q}^- \Rightarrow \frac{i}{\hbar} [P, H] = \sum_{kq} e^{i(k+\frac{q}{2})x} a_{k+q}^- a_k^+$$

仅 $k' = k$ $k = k+q$ 不对易

$$\Rightarrow \nabla \cdot J(x) = \frac{d}{dx} J(x) = \sum_{kq} e^{i(k+\frac{q}{2})x} (k+\frac{q}{2}) q a_k^+ a_{k+q}^-$$

$$\Rightarrow J(x) = (\int dx e^{i k x}) w = \frac{1}{q} \cdot q \cdot (k+\frac{q}{2}) = k+\frac{q}{2}$$

1 →

$$\Rightarrow J(x) = I(x) \Rightarrow I(x) = \sum_{kq} e^{i(k+\frac{q}{2})x} (k+\frac{q}{2}) q a_k^+ a_{k+q}^- \cdot \frac{\hbar}{mL}$$

2. 求解 π_{zz} .

$$= -i\theta(t-t') \left(\frac{\hbar}{mL}\right)^2 \left\langle \left[\sum_{kq} (k+\frac{q}{2}) C_{kq}^+ C_{k+q}^- e^{i k x}, \sum_{k'q'} C_{k'q'}^+ C_{k'+q'}^- e^{i k' x} \right] \right\rangle$$

对易非零

$$\textcircled{1} K = K' \Rightarrow 0 \quad \textcircled{2} K = k+q, 它与 K = k'+q' 两项相消$$

$$\textcircled{3} K = k+q 以及 K = k'+q', 则原式 =$$

$$\left\langle C_{k+q}^+ C_k^- e^{i(k+k')x}, (k+\frac{k-k'}{2}) \cdot (t) \right\rangle$$

$$C_{k+q}^+ C_k^- e^{i(k+k')x} (k+\frac{k-k'}{2}) (t) \rangle$$

$$= \left\langle [C_K^+ C_K - C_{K'}^+ C_K] \times \left(\frac{K+K'}{2}\right)^2 \langle t \rangle \langle t' \rangle \right\rangle \text{ if } K' = K + q$$

$$\Rightarrow \langle \langle t \rangle \langle t' \rangle \rangle = \langle n(t) \langle t' \rangle | n \rangle = C_{K+q}(t) | n \rangle : \exp i E_{K+q} t \\ C_K(t) | n \rangle : \exp i E_K t'$$

和 $\Rightarrow \langle \langle t \rangle \langle t' \rangle \rangle$ Altogether: $\exp[i(E_K - E_{K+q})(t' - t)]$

$$\Rightarrow \exp[iCK' - K] \propto \Rightarrow \exp(iq\alpha)$$

$$\Rightarrow [(K'+K)/2]^2 = (K + \frac{q}{2})^2$$

$$\Rightarrow \langle C_K^+ C_K \rangle = \langle n_F \rangle \quad \langle C_{K+q}^+ C_{K+q} \rangle = n_F(E_{K+q})$$

$$\therefore \text{原式} = \overline{\Pi}_m^R(x-\alpha', t-t') = -i\partial(t-t') \left(\frac{k}{mL} \right)^2 \sum_{Kq\delta} \left(n_F(E_K) - n_F(E_{K+q}) \right) \times \\ (K + \frac{q}{2})^2 \exp[i(E_K - E_{K+q})(t-t')] \exp[iq(x-\alpha')]$$

$$3. \text{ set } \alpha' = x \text{ 情况: } \left[\overline{\Pi}_{\vec{r}} = \int \delta(r \cdot r', \omega) \vec{E} dr' \right]$$

$x = x'$ TV space invariant. 时 $w \rightarrow 0$, 代表直流极限 situation.

$$\text{ie. } \overline{\Pi}_V(0, w) = (w) \int_0^\infty \exp[i(E_K - E_{K+q})(t-t')] e^{-yt} e^{iwt} dt$$

$$\stackrel{\text{虚部}}{=} \sum_{Kq\delta} (-i\partial(t-t')) w \times \frac{(E_K - E_{K+q} + iy + w)^{-1}}{(w + E_K - E_{K+q}) + y} [n_F(E_K) - n_F(E_{K+q})]$$

$$\stackrel{w \rightarrow 0}{\rightarrow} \frac{n_F(E_K) - n_F(E_{K+q})}{(w + E_K - E_{K+q})^2 + y^2} \quad \text{在 } w + E_K = E_{K+q} \text{ 时} \quad n_F(E_{K+q}) - n_F(E_K) \\ = \frac{\partial n_F(E_K)}{\partial E_K} \cdot w$$

$$\Rightarrow \frac{\overline{\Pi}_V}{w + E_K - E_{K+q}} w \frac{\partial n_F(E_K)}{\partial E_K} \cdot \delta(w + E_K - E_{K+q}) \cdot \left[f(x+\Delta x) - f(x) \right] \quad (\Delta x = \frac{w}{E_K - E_{K+q}})$$

$$\left(\frac{x}{x+q} \right) \stackrel{\uparrow}{\lim}_{x \rightarrow 0} = 1 - \left(1 + \frac{x}{q} \right)^{-1} - \frac{x}{q} = \frac{w}{E_K - E_{K+q}}$$

$$\Rightarrow \overline{\Pi}_V = \lim_{w \rightarrow 0} \overline{\Pi}_m^R(w) = -k_w \overline{\Pi}_V \left(\frac{k}{mL} \right)^2 \sum_{Kq\delta} -\frac{\partial n_F(E_K)}{\partial E_K} \delta(E_K - E_{K+q}) (K + \frac{q}{2})$$

$$\text{命: } \frac{f(k+q)^2}{2m} = u. \text{ 原式} =$$

$$\frac{1}{2} \int dq \cdot L (k + \frac{q}{2})^2 2\pi \delta\left(\frac{\hbar k}{2m} - u\right)$$

$$= \frac{1}{L} \int du \frac{1}{|k+q|} (k + \frac{q}{2})^2 2\pi \delta\left(\frac{\hbar k}{2m} - u\right) = \frac{1}{L} \int du \cdot f(u) f(A-u) \cdot L \cdot 2$$

$$= 2\pi_L f(A) = 2\pi_L \frac{(k + \frac{q}{2})^2}{k+q} \Big|_{q=0} = 2\pi_L \frac{k^2}{|k|}$$

\Rightarrow 在 Fourier Transition $\neq 0$, 又 \sum_q 連續 Integral, 则.

$$\lim_{w \rightarrow p} I_m \Pi^R(w) = \hbar w \pi \left(\frac{t}{m}\right)^2 \frac{1}{\pi^2} \frac{1}{2\pi L} \sum_{k \in \mathbb{Z}} \left[-\frac{\partial}{\partial q_k} n_F(E_k) \right] \frac{k^2}{|k|}$$

Then: Integral over k

$$\int_R dk \frac{-\frac{\partial}{\partial k} n_F(E_k)}{|k|} = 2 \int_{R+} dk \cdot \frac{1}{k} \cdot k - \frac{\partial}{\partial k} n_F(E_k) = 2[n_F(\infty) - n_F(0)]$$

$$\therefore \text{原式} = \frac{w}{\pi L} e^{-\beta u + 1}$$

Take the limit: $u \gg kT$ (大量粒子) 1 particle: $(n \ll 1)$, Then..

$$G = \frac{ie^2}{w} I_m \Pi^R(w) \Rightarrow \text{取 } I_m \approx \frac{ie^2}{w} \frac{1}{\pi L} e^{-\beta u + 1} \quad h = (2\pi)^{-1} \hbar$$

$$G_{1-D} = \frac{2e^2}{h} \quad \begin{array}{l} \text{近似: ① 大量粒子} \\ \text{② 直流平衡} \end{array}$$

Green Function Equation of Motion:

已知 Green Function 关联形式由上文：

单粒子 $G^R(r, t, r', t') = -i\theta(t-t') \langle [\bar{\psi}(r, t), \bar{\psi}^\dagger(r', t')] \rangle_{B.F}$

对 G^R 对 t (非 t') 偏导，不加证明得到：

$$[i\dot{r}_t + \nabla_r^2 - \frac{1}{im}] G^R(r, t, r', t') = \delta(r-r') \delta(t-t') + D^R(r, t, r', t')$$

$$D^R(r, t, r', t') = -i\theta(t-t') \langle [-[V_{\text{interact}}, \bar{\psi}(r)]_t, \bar{\psi}^\dagger(r', t')] \rangle_{B.F.}$$

同理，另一表象中

$$D^R(r, t, r', t') = -i\theta(t-t') \langle [a_y(r, t), a_y^\dagger(r', t')] \rangle$$

$$\sum_{r''} (\delta_{rr''} \partial_{r,t} - \tau_{r,r''}) G^R(r, t, r', t') = \delta(t-t') \delta_{rr'} + D^R(r, t, r', t')$$

$$D^R(r, t, r', t') = -i\theta(t-t') \langle [E_{\text{int}}, a_y]_t, a_y^\dagger(r', t') \rangle_{B.F.}$$

is the equation of motion (ODE) of G^R . so when we perform Fourier transformation for retard equation. we have $\partial_t \rightsquigarrow (iw) \rightsquigarrow (\omega + i\gamma)$, ie.

$$\sum_{r''} [\delta_{rr''}(\omega + i\gamma) - \tau_{r,r''}] G^R(r, t, r', \omega) = \delta_{rr'} + D^R(r, t, \omega)$$

$$D^R(r, t, \omega) = -i \int_R dt' \exp[i(\omega + i\gamma)(t-t')] \theta(t-t') \langle [E_{\text{int}}, a_y]_t, a_y^\dagger(t') \rangle$$

so that we can solve G^R

例：Equation of Motion solving G^R of Anderson Model. using the Mean-Field Approximation.

$$\hat{H} = \sum_{kS} (\epsilon_{kS} - \mu) C_{kS}^\dagger C_{kS} + \sum_{dS} (\epsilon_{dS} - \mu) C_{dS}^\dagger C_{dS} + U \sum_{kS} n_{kS} n_{kS} + \sum_{kS} \tau_{kS} C_{kS}^\dagger C_{kS} + \text{h.c.}$$

We need to find the change of in the dot: d via time.

$$G^R(d\delta, t'-t).$$

$$G^R(d\delta, t'-t) = -i\theta(t'-t) \langle \{C_{d\delta}(t), C_{d\delta}^\dagger(t')\} \rangle$$

why: $G^R \sim \langle \{ \cdot, \cdot \} \rangle_{B.F.}$ fermion

$$\chi, \delta, \Pi \sim \langle \{ \cdot, \cdot \} \rangle_{B.F.}$$

设 $t = E_d - \mu$ 为固定 $G(\nu' \nu' w)$ 时，我们有：

$$\textcircled{1} \quad \nu'' = k, \nu' = d \quad \textcircled{2} \quad \nu'' = d, \nu' = k$$

$$\textcircled{1}: (\omega + i\gamma - E_d + \mu) G^R(d\delta, w) - \sum_k t_k G^R(k\delta, d\delta, w) = 1 + U D^R(d\delta, w)$$

$$\textcircled{2}: (\omega + i\gamma - E_k + \mu) G^R(k\delta, d\delta, w) - \sum_k t_k G^R(d\delta, w) = 0$$

where $D^R(d\uparrow, t-t') = -i\theta(t-t') \langle n_{d\downarrow}(t) | c_{d\uparrow}(t), c_{d\uparrow}^\dagger(t') \rangle$ $\textcircled{3}$

$$MF = \frac{n_{d\uparrow} n_{d\downarrow}}{n_{d\downarrow}} = \langle n_{d\uparrow} \rangle n_{d\downarrow} + \langle n_{d\downarrow} \rangle n_{d\uparrow} + \lambda : \textcircled{1}, \textcircled{3}$$

$$D(d\uparrow, t-t') = \langle n_{d\downarrow} \rangle G^R(d\uparrow, t-t')$$

连立 $\textcircled{1}, \textcircled{2}$ ，我们有： $G^R(d\uparrow, w) = [w - E_d - \mu + U \langle n_{d\downarrow} \rangle - \sum_k^R t_k w]$
 $G^R(w) = \sum_k |t_k|^2 \times (w - E_k + \mu + i\gamma)^{-1}$

- 通近似：spectral function

$$A(d\uparrow, w) = \frac{I}{(w - E_d + \mu - U \langle n_{d\downarrow} \rangle)^2 + (I/2)^2} \quad \text{中 } \langle n_{d\uparrow} \rangle = \int A n_F(c\omega)$$

$d \langle n_{d\uparrow} \rangle = f(\langle n_{d\downarrow} \rangle)$ 关系给出，称自洽平均场方程。

例：极化率： $\chi^R(t, t')$ = $-i\theta(t-t') \langle [p(t), p(t')] \rangle$

随机相位近似 (RPA)

体系：(1) 自由电子 $q=0$ 与阴极 均匀 接触

from F \rightarrow K 表象 $f = \sum_k C_k^\dagger C_{k+q}$ (e^{iqr} 项与等号左相消)

$$\chi^R(q, t-t') = -i\theta(t-t') \frac{1}{V} \langle [p(q, t), p(-q, t')] \rangle \quad K' = k+q$$

求 \ddot{x}_t ：Equation of Motion： 自由子 (无负) $K = K' + q'$

$$i\partial_t \chi^R(q, t-t') = \sum_{K, q} \left[\delta(t-t') \frac{1}{V} \langle p(q, t), p(-q, t') \rangle \right] \quad p = \sum_K C_K^\dagger C_{K+q}$$

$$- i\theta(t-t') \left[\left[[H, C_K^\dagger C_{K+q}], p(-q, t') \right] \right]$$

$$\hat{H} = \sum_k E_k C_k^+ C_k + \frac{1}{2V} \sum_{k'k'q \neq 0} V(q) C_{k+q}^+ C_{k'-q}^+ C_{k'} C_k = H_0 + V_{\text{int}}$$

$$[[-H, C_k^+ C_{k+q}], f(c-q, t')] \\ \text{① } [H_0, C_k^+ C_{k+q}] = [\sum_k E_k C_k^+ C_k', C_k^+ C_{k+q}] \\ \text{② } -E_{k+q} C_k^+ C_{k+q} + \stackrel{(k'=k)}{E_k C_k^+ C_{k+q}}$$

$$\boxed{\text{②}} [V(q) C_{k+q}^+ C_{k'-q}^+ C_{k'} C_k, C_k'' C_{k''+q}''] =$$

$$\text{① } k''=k \quad \text{② } k''=k' \quad \text{③ } k''+q'=k+q \quad \text{④ } k''+q'=k'-q$$

Attention: 试图 $(C_{k''})^2 = 0$ $k''=k+q$, 又 $k''=k'$ 是①特殊,
相当于 (k'', q, q') 替代 (k, k', q, q') 少一参数, 不遍历.

$$\text{原式} = \frac{1}{2V} \sum_{k''} V(q') [C_{k+q}^+ C_{k'-q}^+ C_k C_{k+q} + C_{k'+q}^+ C_{k-q}^+ C_{k+q} C_k, \\ -C_{k+q}^+ C_k^+ C_{k+q} C_{k+q} C_k' - C_k^+ C_{k+q}^+ C_k' C_{k+q} C_k]$$

用 HF 近似 (Chapter 1, 4 打 8).

$$\text{Hartree} \quad C_{k+q}^+ C_{k'-q}^+ C_k C_{k+q} + C_{k+q}^+ C_{k+q} \\ C_{k+q}^+ C_{k+q} < C_{k'-q}^+ C_{k'} > + < C_{k+q}^+ C_{k+q} > C_{k+q}^+ C_{k'} \quad \text{第一项打} 3.$$

以及: < > < >

第一项 < > $\neq 0$ 要求 $q' \neq 0$ 而 $q \neq 0 \therefore \text{不} \neq 0$

二项 $q' = q \Rightarrow \sum_{k'} C_{k'-q}^+ C_{k'} < n_{k+q} >$

三项 < > \times < > $\neq 0$.

综上: Hartree 项:

$$IV_{\text{int}}, C_k^+ C_{k+q}] = \frac{V(q)}{\sqrt{V}} [\langle n_{k+q} \rangle - \langle n_k \rangle] \sum_{k'} C_{k'-q}^+ C_{k'}^+$$

$$\boxed{\text{③}} [C_k^+ C_{k+q}, f(c-q)] = \sum_{k'} [C_k^+ C_{k+q}, C_{k'-q}^+ C_{k'}^+] = n_k - n_{k+q} \cdot \cancel{\chi}$$

综上: $[H_0 + V_{\text{int}}, C_k^+ C_{k+q}] \cdot \cancel{\chi}$

$$= [n_k - n_{k+q}, \cancel{\chi}] + \frac{V(q)}{\sqrt{V}} (\langle n_{k+q} \rangle - \langle n_k \rangle) \left[\sum_{k'} C_{k'-q}^+ C_{k'}^+, f(c-q) \right] \\ n_k - n_{k+q}$$

$$\Rightarrow \sum_{\mathbf{k}} \frac{V(q)}{\sqrt{N}} \left[\langle n_{\mathbf{k}+q} \rangle - \langle n_{\mathbf{k}} \rangle \right] = i \partial_q N$$

Fourier transform: $(w + ig + E_{\mathbf{k}} - E_{\mathbf{k}+q}) X$

Therefore:

$$X^R(q, w) = \frac{1}{V} \sum_{\mathbf{k}} \frac{\langle n_{\mathbf{k}} \rangle - \langle n_{\mathbf{k}+q} \rangle}{w + E_{\mathbf{k}} - E_{\mathbf{k}+q} + ig} [1 + V(q) X^R(q, w)]$$

$$\Rightarrow X^R(q, w) = \frac{X_0^R(q, w)}{1 - V(q) X_0^R(q, w)}$$

$X_0^R(q, w)$ 为三页前仅考虑 Free particle 的 X_0 . Now 考虑了 Vine. 并作 Hartree-Fock 近似 其中 $\langle n_{\mathbf{k}} \rangle, \langle n_{\mathbf{k}+q} \rangle = n_{\text{Fermi-Dirac}}$. 前文 Free-particle 之 $X \rightarrow E$ 也仅电子-自旋配对激发. Now 通过 Equation of Motion, 同上激发考虑 $\text{Im } X$. 写 X^R 及函数取 Im 部. 得: $1 - V(q) X_0^R(q, w) = 0$ 有激发. 即等离激元 (后文)

之前考虑了空(绝缘体)为中间介质左右隧穿, 现在继续本 Anderson impurity model 的 conductance 之前的进展给出 $I_{N,N+1}$ 的形式. 利用 Fermi Golden Rule, Now 引入 Green function 关联, 可最终求出 G , 我们延续之前 $I = \dot{N}$ 的定义, 不过更换为了 I_L, I_R . 并且 $I = \alpha I_L + (1-\alpha) I_R$ 有这么 N 粒子左 \rightarrow 右 N_1 右 \rightarrow 左 N_2 遂 $N_1 + N_2 = N$. 代表 I . $N_1 = \rho N$ 代表 I_L R : ~~反射率~~ = $\frac{t_{LR}^2}{t_{LR}^2 + t_{LL}^2}$ = α $t_{LR}^2 / (t_{LR}^2 + t_{LL}^2)$, 原因:

① 对称稳(直流动) $\langle I \rangle = \langle I_L \rangle = \langle I_R \rangle$ α 可任选.

② $I = (L^+ - L)$ 奇偶性有异, Left + Right 加不了,
 $HT = (L^+ + L)$ 需通过线性变换纠正之.

定义此线性变换：

$$H_{TLorR} = \sum_{\delta} [t_{LorR} C_{y_{0\delta}}^+ C_{d\delta} + t_L^* C_{d\delta}^+ C_{LorR\delta}]$$

$$\begin{aligned} & \text{高阶} \\ & \begin{pmatrix} C_{y_{0\delta}} \\ C_{y_{0\delta}} \end{pmatrix} = \frac{1}{\sqrt{|t_L|^2 + |t_R|^2}} \begin{pmatrix} -t_L^* & t_R^* \\ -t_R & t_L \end{pmatrix} \begin{pmatrix} C_{y_{0\delta}} \\ C_{R\delta} \end{pmatrix}, \quad \text{st. } C_{y_0} \text{ 偶算符} \\ & C_{y_0} \text{ 奇算符} \end{aligned}$$

$$H_T = \sqrt{|t_L|^2 + |t_R|^2} [C_{y_{0\delta}}^+ C_{d\delta} + C_{d\delta}^+ C_{y_{0\delta}}] \quad L^+ + L^-$$

$$I_{LorR} = \sum_{\delta} [t_{LorR} t_{y_{0\delta}}^+ C_{d\delta} - t_{LorR}^* C_{d\delta}^+ t_{y_{0\delta}}]$$

$$I = \alpha I_L + (1-\alpha) I_R \quad \alpha = |t_R|^2 / (|t_L|^2 + |t_R|^2)$$

$$\therefore I = \frac{-i}{\sqrt{|t_L|^2 + |t_R|^2}} \sum_{\delta} [t_L t_R C_{y_{0\delta}}^+ C_{d\delta} + t_L^* t_R^* C_{d\delta}^+ C_{y_{0\delta}}] \quad L^+ - L^-$$

现在计算 G , 需先计算: $C_{II}^{R(t)} = i \partial(t) \langle [I(t), I(0)] \rangle$

$$I(t_0) = L(t_0) - L^+(t_0)$$

$\therefore \langle [I(t), I(0)] \rangle \Rightarrow \langle (L(t) - L^+(t)) L^+(t_0) \rangle = \text{cl加2个 } L \text{ 少2个 impossible 粒子直}$
 $\text{流不守恒了, similarly } \langle L(t) L^+(0) \rangle = 0, \text{ therefore.}$

$$\langle [L(t), L^+(0)] \rangle \text{ 余下} = \frac{i t_L^* t_R^*}{|t_L|^2 + |t_R|^2} \sum_{\delta} \langle C_{y_{0\delta}}^+ C_{d\delta}^{(t)} C_{d\delta}^+ C_{y_{0\delta}}^{(0)} \rangle$$

且 $\langle \cdot \rangle = |d\rangle \otimes |0\rangle$ 则可拆于空间 $- \langle C_{d\delta}^+ C_{y_{0\delta}}^+ C_{y_{0\delta}}^{(0)} C_{d\delta}^{(t)} \rangle$

$$= \langle C_{y_{0\delta}}^{(t)} C_{y_{0\delta}}^{(0)} \rangle \langle C_{d\delta}^+ C_{d\delta}^{(t)} \rangle - \langle C_{d\delta}^+ C_{d\delta}^{(t)} \rangle \langle C_{y_{0\delta}}^{(0)} C_{y_{0\delta}}^{(t)} \rangle$$

= 取本征态基 $\langle \cdot \rangle$ 对角 $y_0' = y_0 \quad \delta' = \delta$ 而 $G^{\gamma} = -i \langle \cdot \rangle$, 则:

$$\text{原式} = \sum_{\delta} \frac{i t_L^* t_R^*}{|t_L|^2 + |t_R|^2} G^{\gamma}(y_{0\delta}, -t) G^{\gamma}(c_{d\delta}, t) - G^{\gamma}(y_{0\delta}, -t) G^{\gamma}(c_{d\delta}, t) \quad (c_{y(t)} c_y^+)^* = (c_{y(t)}^*)^T (c_y)^T$$

$$C_{II}^{R(w)} = \sum_{\delta} C_{II}^{R(t)} e^{i w t} dt \quad \text{有4项. -}$$

$$= -i \int_{\mathbb{R}^+} (4 \text{项}) e^{i a t} dt.$$

conductance 为 $C(w)$ 虚部 那么 $[G^{\gamma}(c_{d\delta}, t)]^* = (-i \langle c_{y(t)} c_y^+ \rangle)^*$

$$= i \langle c_{y(t)} c_y^+ \rangle_{y_0} \oplus i \langle c_y c_y^+ \rangle = -G^{\gamma}(y, -t)$$

$\therefore G = -i \times \text{Real} \Rightarrow G \propto G \propto R$ 取虚部为:

$$\int dt e^{i\omega t} f(t) g(-t) = \int dw' / 2\pi g(w') f(w+w')$$

利用 $\int h(s-s') g(s) ds = F_{\text{order}}[h(\omega) g(\omega)]$ 卷积定理 \Rightarrow

$$= I_m C_{II}^R(w) = \frac{1}{2} \int_R dw' / 2\pi \cdot \frac{|TL|^2 |TR|^2}{|TL|^2 + |TR|^2} [G^>(dw, w')] [G^<(\nu_0 \delta, w' + w)] \\ [G^<(\nu_0 \delta, w' - w)] - G^<(dw, w') [G^>(\nu_0 \delta, w' + w) - G^>(\nu_0 \delta, w - w)]$$

引入 spectral function: A (上文) 同上文方法直流 $w \rightarrow 0$

写作偏导形式, 对于 $A(\nu_0 \delta, w) w \rightarrow 0$ 时. 引入左. 右 Lead 电容
尽是无相互作用 即 $A(\nu_0 \delta, w) = 2\pi \delta(w - \varepsilon_{\nu_0}) w \rightarrow 0$. therefore.

$$A(\nu_0 \delta, w) = \cancel{\int} \delta(w - \varepsilon_{\nu_0}) \times P_F(-\text{偏导})$$

得到:

$$\text{而 } G = \lim_{w \rightarrow 0} \text{Re} \left[\frac{i e^2}{w} C_{II}^R(w) \right]$$

$$= e^2 \sum_{\nu_0 \delta} \frac{|TL|^2 |TR|^2}{|TL|^2 + |TR|^2} A(dw, \varepsilon_{\nu_0}) \left[-\frac{\partial P_F(\varepsilon_{\nu_0})}{\partial \varepsilon_{\nu_0}} \right]$$

回想起 $\nu^2: \int |T_{21}|^2 d\omega dL$ 为成 $I_{N+1, N}$ 附前系数, 代表能级宽度

令 I^R, I^L 即 ν^2 由于 $\sum_{\nu_0 \delta} = \int d\varepsilon_0 \cdot \frac{1}{\Delta(\varepsilon_0)}$ 则: $\frac{|TL|^2 + |TR|^2}{|TL|^2 + |TR|^2} \cdot \frac{1}{\Delta}$
 $= I^R I^L / (I^R + I^L)$

$$\therefore G = e^2 \sum \int \frac{d\varepsilon}{2\pi} \frac{I^L I^R}{I^L + I^R} A(dw, \varepsilon) - \left[\frac{\partial P_F(\varepsilon)}{\partial \varepsilon} \right]$$

* 分析这个电导, 近似: ① 直流平衡 ② 自由电子 Lead ③ $I^L - I^R$
在小范围能带中隧穿振幅为恒定 ④ 左. 右导线同权

G 与 $I^{(0)}, I^{(2)}, \dots$) 包含一切类型隧穿, 稍后把 $I^{(0)}, I^{(2)}$
分别对应一部分 G 讨论以验证中间态隧穿之影响

之前讨论了金属库仑阻塞，说 $E(P_{\text{eff}})$ 与 $E(P(N))$ 之差，当跃迁概率很大时，不可跃迁，电导为零。Now apply it to the Anderson Impurity Model 格点上反 ($\text{d}\uparrow, \text{d}\downarrow$) 电子对。

之前说屏蔽与密度与格点占据数有关。Now 求 $A(\text{d}\sigma, E)$ 可知，上文利用 Equation of Motion 求 A ，同样用此方法：

$$\text{定义 } D^R(\text{d}\uparrow, t-t') = -i\theta(t-t') \langle \{n_{\text{d}\downarrow}(t), c_{\text{d}\uparrow}^\dagger(t')\} \rangle$$

$$\text{我们有: } D^R(\text{d}\uparrow, t-t') = \langle n_{\text{d}\downarrow} \rangle G^R(\text{d}\uparrow, t-t')$$

$$\text{对: } G^R(\text{d}\sigma, t) = -i\theta(t) \langle [c_{\text{d}\sigma}, c_{\text{d}\sigma}^\dagger] \rangle$$

$$\text{求 } D: \quad \left| \begin{array}{l} |t=0 \\ |t'=0 \end{array} \right.$$

$$= \delta(t) \langle \{n_{\text{d}\downarrow}(c_{\text{d}\uparrow}(t)), c_{\text{d}\uparrow}^\dagger(0)\} \rangle - i\theta(t) \langle [H, n_{\text{d}\downarrow}(t)c_{\text{d}\uparrow}(t)], c_{\text{d}\uparrow}^\dagger(0) \rangle$$

不厌其烦地再重复求 D 利用 Equation of Motion 时的过程

$$H = H_D + H_T \quad H_D = \sum_{\sigma} c_{\sigma\uparrow}^\dagger c_{\sigma\uparrow} \quad H_T = \sqrt{|t_L|^2 + |t_R|^2} [c_{\text{res}}^\dagger c_{\text{d}\sigma} + c_{\text{d}\sigma}^\dagger c_{\text{res}}]$$

$$\text{Therefore: } H'_D = \sum_{\sigma} c_{\sigma\uparrow}^\dagger c_{\sigma\uparrow} E_{\sigma}$$

$$\boxed{1} \quad E[H_D, n_{\text{d}\downarrow}(t)c_{\text{d}\uparrow}(t)] = (-E_{\text{d}\uparrow} - U) n_{\text{d}\downarrow} c_{\text{d}\uparrow}$$

$$\boxed{2} \quad [H_T, n_{\text{d}\downarrow}(t)c_{\text{d}\uparrow}(t)] = \tilde{\epsilon} \sum_{\sigma} \langle [c_{\sigma\uparrow}^\dagger c_{\text{res}} + c_{\text{res}}^\dagger c_{\sigma\uparrow}], n_{\text{d}\downarrow}(t)c_{\text{d}\uparrow}(t) \rangle$$

$$\text{验证} \quad -n_{\text{d}\downarrow} \sum_{\sigma} \tilde{\epsilon} c_{\sigma\uparrow}^\dagger + \sum_{\sigma} \tilde{\epsilon} (c_{\text{res}}^\dagger c_{\text{d}\sigma} - c_{\text{d}\sigma}^\dagger c_{\text{res}}) c_{\text{d}\uparrow}. \quad \tilde{\epsilon} = [t_L^2/t_L + t_R^2/t_R]$$

$$\boxed{3} \quad \text{代入: } \{-E_{\text{d}\uparrow} - U\} n_{\text{d}\downarrow} c_{\text{d}\uparrow} = D^R(\text{d}\uparrow, t). (-E_{\text{d}\uparrow} - U).$$

$$\text{定义 } F^R(\text{v}, t) = -i\theta(t) \langle (n_{\text{d}\downarrow} c_{\text{res}})(t), c_{\text{d}\uparrow}^\dagger \rangle$$

$$\text{代入: } \langle c_{\text{res}}^\dagger c_{\text{d}\sigma} c_{\text{d}\uparrow} c_{\text{d}\sigma}^\dagger \rangle = \langle c_{\text{res}}^\dagger c_{\text{d}\sigma} \rangle$$

* 此项为更高阶项，消去 $\text{d}\downarrow$ ，产生 c_{res} 消去 $c_{\text{d}\sigma}$ ，产生 $c_{\text{d}\uparrow}$ Kondo 效应，此不议。下文议 Kondo 再议，代表自旋反转，直接丢掉。

对 Equation of Motion 全用上文 F.D 反代，有：

$$\left\{ \begin{array}{l} (i\partial_t - \mathcal{E}_{d\uparrow} - U) D_{(d\uparrow, t)}^R = \langle n_{d\downarrow} \rangle + \sum_j \hat{F}_{j\uparrow, t}^R \\ (i\partial_t - \mathcal{E}_{r\uparrow}) F_{(r\uparrow, t)}^R = \hat{F} D_{(d\uparrow, t)}^R \end{array} \right.$$

Fourier transform:

$$(i(i\omega) - \mathcal{E}_{d\uparrow} - U) D_{(d\uparrow, \omega)}^R = \langle n_{d\downarrow} \rangle + \sum_j \hat{F}_{j\uparrow, \omega}^R$$

$$(i(i\omega) - \mathcal{E}_{r\uparrow}) F_{(r\uparrow, \omega)}^R = \hat{F} D_{(d\uparrow, \omega)}^R$$

Therefore:

$$[\omega - \mathcal{E}_{d\uparrow} - U - \sum_{(w)}^R] D_{(d\uparrow, \omega)}^R = \langle n_{d\downarrow} \rangle$$

代入 G ~ D 关系中：上面为 Mean-Field G ~ D. 关系，更上文给出：

$$[\omega - \mathcal{E}_{d\uparrow} - \sum_{(w)}^R] G_{(d\uparrow, \omega)}^R = 1 + U D_{(d\uparrow, \omega)}^R$$

Therefore: 代入 $\sum_{(w)}^R = \sum_j \frac{1/\tau_j^2}{\omega - \mathcal{E}_{r\uparrow} + i\eta} = \frac{1}{2\pi} \frac{d\mathcal{E}_r}{\omega - \mathcal{E}_{r\uparrow} + i\eta}$, we have :

$$G_{(d\uparrow, \omega)}^R = \frac{1 - \langle n_{d\downarrow} \rangle}{\omega - \mathcal{E}_{d\uparrow} - \sum_{(w)}^R} + \frac{\langle n_{d\downarrow} \rangle}{\omega - \mathcal{E}_{d\uparrow} - U - \sum_{(w)}^R}$$

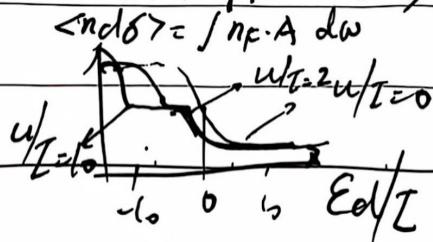
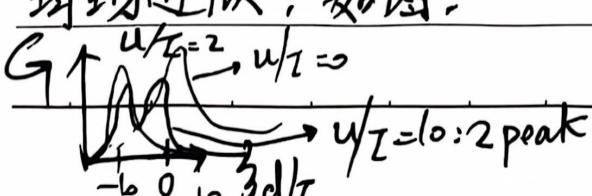
$$A(d\uparrow, \omega) = -2 I_m G^R$$

$$= \frac{[1 - \langle n_{d\downarrow} \rangle] \mathbb{I}}{(\omega - \mathcal{E}_{d\uparrow}^2) + (\mathbb{I}/2)^2} + \frac{\langle n_{d\downarrow} \rangle \mathbb{I}}{(\omega - \mathcal{E}_{d\uparrow} - U)^2 + (\mathbb{I}/2)^2} \quad \text{and } \lim_{\omega \rightarrow 0}$$

这样一来：电导 conductance $G(\langle n_d \rangle, (I_R I_L), \mathcal{E}_d, U)$ 关系已知。

第一项：即 $D^R \propto \langle n_d \rangle = 0$ dot 为定向向 dot 上流一电子的态密度；第二项：已经增了一个电子“1”再增一电子的态密度。

因此 第二个电子还要考虑与第一个电子的 Coulomb 作用 Interaction
即上项。仅当 $I > U$ 时，跃迁不被库仑场影响可认为作平均场近似，如图：



Rondo Model, 电导:

由上文“一般的隧道”所描述：电子多阶隧道均贡献工. i.e.:

$$\hat{T} = H_S^{(1)} + H_S^{(2)} \frac{1}{E_i - (E_D + H_{LR}) + i\gamma} \hat{T}$$

$$T_{RL} = 2\pi \sum_i \frac{1}{2} p_i \sum |t^{(1)} + t^{(2)} + \dots|^2 \delta(\xi_L - \xi_R)$$

$$t^{(1)} = \langle f_{Y_R \delta Y_L \delta} | H_S^{(1)} | i \rangle$$

$$t^{(2)} = \langle f_{Y_R \delta Y_L \delta} | H_S^{(2)} \frac{1}{E_i - (E_D + H_{LR}) + i\gamma} H_S^{(1)} | i \rangle$$

$$H_S^{(2)} = \sum_{Y_L Y_R} J_{LR} S_d \cdot S_{Y_L Y_R} + \sum_{Y_L Y_R} W_{LR} C_{Y_R \delta}^+ C_{Y_L \delta}$$

$$J_{LR} = \frac{2U t_L t_R}{(E_d + U)(-E_d)} \quad W_{LR} = \frac{(2E_d + U)t_L t_R}{2(E_d + U)(-E_d)}$$

下面我们将不厌其烦地集前所有之大成，step1: 计算 $t^{(1)}$ 贡献.

$$t_{Y_L Y_R \delta \delta}^{(1)} = \langle f_{Y_R \delta Y_L \delta} | \sum_{Y_L Y_R} J_{LR} S_d \cdot S_{Y_L Y_R} + \sum_{Y_L Y_R} W_{LR} C_{Y_R \delta}^+ C_{Y_L \delta} | i \rangle$$

考虑 W 贡献: 势能散射自旋不变 $\delta_f = \delta_i$, i.e. $|f\rangle = C_{Y_R \delta}^+ C_{Y_L \delta} |i\rangle$

$$= \sum_{Y_L Y_R \delta} \delta_{\delta_f \delta_i} \langle i | C_{Y_R \delta_f}^+ C_{Y_L \delta_i} C_{Y_R \delta_f}^+ C_{Y_L \delta_i} | i \rangle W_{RL} \quad \text{单据态直接用}$$

$$= \delta_{\delta_f \delta_i} n_{Y_L \delta_i} (1 - n_{Y_R \delta_f}) W_{RL} \quad \text{↑ 自旋可表} \quad \text{dot空间 Lead in}$$

若考虑 J 贡献, 交换散射自旋改变, i.e. $|f\rangle = |\delta\rangle \otimes |i\rangle$

$$= \sum_{Y_L Y_R} J_{LR} \langle i | C_{Y_L \delta}^+ C_{Y_R \delta} \frac{1}{2} \sum_{\delta_j \delta_i} C_{Y_R \delta_j}^+ T_{\delta_j \delta_i}^j C_{Y_L \delta_i} | i \rangle$$

$$x \langle \delta_f | \frac{1}{2} \sum_{\delta_j \delta_i} C_{\delta \delta_j}^+ T_{\delta_j \delta_i}^j C_{\delta \delta_i} | \delta_i \rangle \quad \text{然后对 } j=(x,y,z) \text{ 求和}$$

$\delta_f = \delta$ 必然 [otherwise $|f\rangle$ or $|i\rangle$ 只能为 δ' because $\delta' \neq \delta$. 此项却加]

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$$= \frac{1}{2} J_{LR} \sum_j \langle \delta_f | (n) | \delta_i \rangle \times I_{\delta\delta'} n_{Y_L \delta'} (1 - n_{Y_R \delta})$$

$$\text{而} \Rightarrow \langle \delta_f | \frac{1}{2} \sum_{\delta\delta'} C_{\delta\delta'}^T I_{\delta\delta'}^j C_{\delta\delta'} | \delta_i \rangle = \frac{\delta = \delta_f}{\delta' = \delta_i} \langle \delta_f | C_{\delta\delta_f}^T = \langle o |$$

$$\Rightarrow \langle o | o \rangle I_{\delta_f \delta_i}^j \langle \delta_f | C_{\delta\delta_f}^T = \langle o |$$

$$= \frac{1}{2} J_{LR} \left(\sum_j I_{\delta_f \delta_i}^j I_{\delta\delta'}^j \right) n_{Y_L \delta'} (1 - n_{Y_R \delta})$$

$$\text{求 } |T_{Y_L Y_R \delta\delta'}^{(1)}|^2$$

$$|W| = (n_{Y_L \delta})^2 (1 - n_{Y_R \delta})^2 \cdot |W_{RL}|^2 \cdot \langle \delta_f | \delta_i' \rangle \cdot \delta_{\delta_f \delta_i} \delta_{\delta_f \delta_i}$$

$$= (n_{Y_L \delta})^2 (1 - n_{Y_R \delta})^2 |W_{RL}|^2 \sum_{\substack{\delta = \delta' \\ \delta_f = \delta_i}} \cdot 1 \quad \begin{array}{l} \delta_f \text{ 有 } 2 \text{ 个} \\ \delta \text{ 有 } 2 \text{ 个} \end{array} : 4 \times 1 = 4$$

$$= (n_{Y_L \delta})^2 (1 - n_{Y_R \delta})^2 4 |W_{RL}|^2.$$

$$|\bar{I}| = \frac{1}{16} |J_{LR}|^2 \left[\sum_{jk} I_{\delta_f \delta_i}^j I_{\delta\delta'}^k \left(I_{\delta_f \delta_i}^k I_{\delta\delta'}^k \right) \right] (n_{Y_L \delta})^2 (1 - n_{Y_R \delta})^2$$

$$= \frac{1}{16} |J_{LR}|^2 (n_{Y_L \delta})^2 (1 - n_{Y_R \delta})^2 \sum_{jk} I_{\delta_f \delta_i}^j I_{\delta\delta'}^k I_{\delta_f \delta_i}^k I_{\delta\delta'}^k$$

$$I_{\delta_f \delta_i}^j = \langle \delta_f | I^j | \delta_i \rangle \quad \text{Pauli Matrix}$$

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \text{ eg } \Rightarrow \text{number} = \sum_{jk} \left(I_{\delta_f \delta_i}^j I_{\delta_f \delta_i}^k \right) \times \left(I_{\delta\delta'}^j I_{\delta\delta'}^k \right)$$

$$\text{Two Pauli 相乘} \quad (\pm)(\pm) I^j \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} I^k \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$= \langle \delta_f | I^j I^k | \delta_f \rangle$$

$$\text{Two Pauli 相乘矩阵反对称} \quad \sum_{jk} \left(\text{Tr}[I^j I^k] \right)^2$$

$$\langle \delta | A | \delta \rangle = \text{Tr}(A) \quad \rightarrow \text{only } j = k \text{ Tr} \neq 0, \text{ i.e.}$$

$$\text{Tr}[I^x I^x + I^y I^y + I^z I^z]^2 = 4 \times 3 = 12$$

$$\therefore |\bar{J}| = \frac{1}{16} |\bar{J}_{LR}|^2 \times 12 = \frac{3}{4} |\bar{J}_{LR}|^2 (n_{Y_L \delta})^2 (1 - n_{Y_R \delta'})^2$$

$$2 \times |\bar{J}| \times W = (W) \times \sum_{\delta \delta' \delta_i \delta_f} \delta_{\delta \delta'} \delta_{\delta_i \delta_f} I_{\delta_i \delta_f}^j I_{\delta \delta'}^j \xrightarrow{\delta_i \delta_f} \begin{pmatrix} 1 & 0 \\ 0 & -1 \\ 0 & 1 \\ -1 & 0 \end{pmatrix} \xrightarrow{\text{Tr}} \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 0 \\ -1 & 0 \end{pmatrix} \text{Tr} = 0$$

$$\text{而 } \sum_{\delta \delta'} I_{\delta_i \delta_f}^j I_{\delta_i \delta_f}^j = \sum_{\delta_i} I_{\delta_i \delta_i}^j \times \sum_{\delta_f} I_{\delta_f \delta_f}^j = \text{Tr}[I] \times \text{Tr}[I] = 0 \times 0 = 0$$

综上所述: $|t|^2 = |W_{RL} \delta_{\delta \delta'} \delta_{\delta_i \delta_f}| + \frac{|J_{RL}|}{4} \sum_j I_{\delta_i \delta_f}^j I_{\delta_i \delta_f}^j (n_{Y_L \delta})^2 (1 - n_{Y_R \delta'})^2$

$$= 4 |W_{RL}|^2 (n_{Y_L \delta})^2 (1 - n_{Y_R \delta'})^2 + \frac{3}{4} |\bar{J}_{LR}|^2 (n_{Y_L \delta})^2 (1 - n_{Y_R \delta'})^2$$

因此: $I_{RL}^{(2)} = 2\pi \sum_i \frac{1}{2} P_i \sum_{Y_L Y_R \delta \delta' \delta_i \delta_f} I_{Y_L Y_R \delta \delta'}^{(1)} |t_{Y_L Y_R \delta \delta'}^{(1)}|^2 \delta(\epsilon_{Y_L} - \epsilon_{Y_R})$

$\Rightarrow P_i$ (初态电子分布函数, 同上文 W_i 脉冲量).

$n^2, (1-n)^2$ 非零即一, 就是 $n \sum n_i = n_{\text{Fermi-Dirac}}$. 那么:

$$\sum_{Y_R} = \int d\epsilon_R \cdot d \quad \sum_{Y_L} = \int d\epsilon_L \cdot d, \text{ 原式} =$$

$$= (4 |W_{RL}|^2 + \frac{3}{4} |\bar{J}_{LR}|^2) \int_R d\epsilon_R d\epsilon_L \frac{d^2}{d\epsilon_L^2} 2\pi n_F(\epsilon_L - \mu_L) \delta(\epsilon_L - \epsilon_R)$$

由函数积出: $\epsilon_{Y_R} = \epsilon_{Y_L}$ 总密度单位 $\epsilon_R + \epsilon_L$ 个数

$$= \frac{1}{2} 2\pi d_0^2 \int d\epsilon n_F(\epsilon - \mu_L) (1 - n_F(\epsilon - \mu_R)) (n)$$

$$\therefore I_{RL}^{(2)} \approx 2\pi d_0^2 \int d\epsilon n_F(\epsilon - \mu_L) [1 - n_F(\epsilon - \mu_R)] \left(W_{RL}^2 + \frac{3}{8} \bar{J}_{RL}^2 \right)$$

这就是 $t^{(1)}$ 贡献了. 可积出

Step 2: 计算 $t^{(2)}$ 贡献: 为 $t^{(1)} t^{(2)}$ 耦合项 $|t^{(1)} + t^{(2)}\rangle \langle \dots|$

$$I_{RL}^{(3)} = \boxed{4t} \sum_{Y_R Y_L \delta\delta' \delta\delta' f_i} \frac{1}{2} P_i \operatorname{Re}\{E^{(2)}\} t^{(2)} \delta(Y_R - Y_L)$$

$$t^{(2)} = \langle f | \langle \delta_f | \cdot H_S^{(2)} \cdot \frac{1}{E_i - H_{LR} + i\eta} H_S^{(2)} | \delta_i \rangle | i \rangle$$

$$H_{SAB}^{(2)} = \sum_{Y_A Y_B} \sum_{LR} \left| J_{AB} \cdot \frac{1}{2} \sum_{\delta\delta'} C_{AB}^+ C_{AB}^{\delta\delta'} C_{AB}^{\delta\delta'} \cdot S_d' + W_{AB} S_{AB} \right|^2 C_{AB}$$

$$\text{定义 } L_{AB} S_d' = \cancel{W_{AB} S_{AB}} + \frac{J_{AB}}{2} \sum_j S_d^j \otimes I_{AB}^j$$

$$H_{SAB}^{(2)} = \sum_{Y_A Y_B} C_{AB}^+ C_{AB}^{\dagger} \cdot L_{AB} S_d' \quad H_S^{(2)} = \sum_{AB} H_{SAB}^{(2)}$$

$$|\delta_f \rangle |f\rangle = C_{Y_R \delta}^+ C_{Y_L \delta'}^{\dagger} |i\rangle |\delta_i\rangle$$

全部代入 t 中，不厌其烦地再计算之：

重要说明 (※※※)，以下公式：

$$H_S^{(2)} = f(J_{LL}) + f(J_{LR}) + f(J_{RR}) + f(J_{RL}) + f(W)$$

$H_S^{(2)}(w) H_S^{(2)}$ 十六项 J , 如何选择非零？ $\langle h \rangle$

$$\begin{aligned} \text{方1: 粒子守恒. 比如: } J_{LR} J_{LR} &= C_L^+ C_R^+ C_L C_R \langle f | J_{LR} J_{LR} | i \rangle \\ &= C_R C_L^+ C_L^+ C_R^+ C_L C_R \end{aligned}$$

相当于左加1. 右减1. 不守恒. 必零.

$$\begin{aligned} \text{方2: } J_{RR} J_{LR} &= C_R^+ C_R C_L^+ C_L \langle f | J_{RR} J_{LR} | i \rangle \\ &= C_R C_L^+ C_R^+ C_R C_L^+ C_L \end{aligned}$$

左加不减, 非零.

方2: 我们要理解何为更高阶的跃迁, Fermi-Golden Rule 更高阶
究竟为何, 哪些项能提供电导?

$$\text{回忆: } \langle f | i(t) \rangle = \sum_n \int_0^t \int_0^{t_n} V(t_1) V(t_2) \dots dt_1 \dots dt_n e^{-i E_n t_n}$$

Fermi-Golden Rule - 3阶: $V(t_1) \rightsquigarrow H^{(2)} = H(t_1)$ 表从0到t只在 V_{t_1} 的作用下跃迁, 此时左 \rightarrow 右仅 J_{LR} 可行; 二阶 $V(t_1) V(t_2) = V(t_1) \frac{1}{E - H + ig} V(t_2)$ 表从0到t先由 t_1 作用再由 t_2 作用, 跃迁分为两个阶段, 那么想从左到右有电导只有 $J_{LL} J_{LR}$ 左原地 \rightarrow (左 \rightarrow 右) 与 $J_{LR} J_{RR}$ 可行, 比如 $J_{LR} J_{LR}$ 左 \rightarrow 右 \rightarrow 左又回来了, 零电导. J_{LR} 代表反转d使得左 \rightarrow 右跃迁, J_{RR} 代表反转d 左 \rightarrow 中 \rightarrow 右跃迁, 类似, 三阶有三阶段, 理应 $J_{LL} J_{LR} J_{RR}$, $J_{LL} J_{LR}$, $J_{LR} J_{RR}$, $J_{LR} J_{RL} J_{LR}$ 非零有电导. 因此我们可以把t简化至以下两项 (仅讨论一半, $\times 2$ 即可) 同样类之前讨论: $J_{LR} J_{RR}$ 代表先左 \rightarrow 右再右 \rightarrow 右. or $J_{RR} J_{LR}$, 先右边自嗨, 再左 \rightarrow 右一个对 $t^{(2),R}$. 情况为: $\tau_{Y_R Y_L S S'}^{R(2)} =$

$$\langle i | \delta_f | G_R C_L^+ \left(\sum_{Y_R Y_L} C_{Y_L}^+ C_{Y_R}^+ \right) L_{LRS} \frac{1}{E_i - H_{LR} + ig} \left(\sum_{Y_R Y_L} C_{Y_R}^+ C_{Y_L}^+ \right) L_{RRS} | \delta_i \rangle | i \rangle$$

$$= \underbrace{- \sum_{Y_R Y_L} C_{Y_L}^+ C_{Y_R}^+ L_{RRS}}_{Y_R Y_L} \quad \text{or} \quad \sum_{Y_R Y_L} C_{Y_R}^+ C_{Y_L}^+ L_{LRS}$$

① ~~$Y_R = Y_L = Y$~~ $Y_R = Y_L = Y_L = Y_L$, $(\delta)^{''} = (\delta)^{''} = \delta'$, $(\delta)^{''} = (\delta)' = 6$ 不需要, \forall
 ③ $\langle i | C_{Y_L}^+ C_L | i \rangle = n_L^i$, $\forall (Y_1 Y_2 Y_3)$ 均为(R)的Y

$$= n_L^i \sum_{\delta_1 \delta_2 \delta_3 Y_1 Y_2 Y_3} \langle i | C_{Y_R}^+ C_{Y_L}^+ C_{Y_R}^+ C_{Y_L}^+ \frac{\langle \delta_f | L_{RRS} \delta' L_{RRS} | \delta_i \rangle}{E_i - H_{LR} + ig} | C_{Y_2}^+ C_{Y_3}^+ | i \rangle$$

$$+ \langle i | C_{Y_R}^+ C_{Y_L}^+ C_{Y_R}^+ C_{Y_L}^+ \frac{\langle \delta_f | L_{RRS} \delta_2 \delta_3 L_{R1} \delta_1 \delta' | \delta_i \rangle}{E_i - H_{LR} + ig} | C_{Y_1}^+ | i \rangle$$

$\Rightarrow \delta_2, \delta_3$ 自嗨船级随意是要累加的66是 δ_i 的一部分了.

问: 是 $Y_R \delta = \delta Y_L$ 还是 $Y_R \delta = Y_2 \delta_2$. 都不是就OK了.

而 $\delta_2 \neq \delta_3$ (反转!) 故只能 $Y_R \delta = Y_2 \delta_2$ 了,

$$Y_1 \delta_1 = Y_3 \delta_3$$

并且: $(E_i - H_{LR} + i\gamma)^{-1}$ 由于之后 $\delta(\beta_R - \beta_L)$ 项, $\beta_R = \beta_L$ 必然. 第一项: step 1 是右向左故此 step $E_i - H_{LR} + i\gamma = E_3 - \underbrace{E_2}_{H_{RR}} + i\gamma$, E_1 和 E_0 和 E 变化多一个 E_3 少一个 E_2 即: $E_3 - E_2 + i\gamma$. H_{RR} E_2 就是: $\nu_{R\delta} = Y_{25}' E_2 = E_R$; $E_3 = E_1 \Rightarrow \beta_3 - \beta_R + i\gamma$; 第二项: step 1 是左 \rightarrow 右: $E_i - H_0 = \beta_L - \beta_R$ (E_i 以后的 E , H_0 , 一次跃迁之后 E). 因此:

$$\begin{aligned} \frac{\partial \beta_R}{\partial \nu_{R\delta}'} &= -n_L [1 - n_{Y_R}] \sum \frac{\langle \delta_f | L_{RL} \delta_1 \delta' | \delta_i \rangle}{\beta_{Y_1} - \beta_{Y_R} + i\gamma} n_{Y_1} \\ &\quad + \frac{\langle \delta_f | L_{RR} \delta_1 \delta' | \delta_i \rangle}{\beta_{Y_R} - \beta_{Y_L} - i\gamma} [1 - n_{Y_R}] \end{aligned}$$

? 第一项左 \rightarrow 右 \rightarrow 右 classical energy-conserving, 第二项好像右 \rightarrow 左的时候预言了左 \rightarrow 右的发生, 极不寻常虚拟过程, 但仍有振幅提供.

而提出第2项=1": $\sum_{Y_1} = d\nu \int_{-D}^D d\epsilon_Y (\omega) \times 2D$ 中态密度 $d\nu$ 守恒:

$$= \int_{-D}^D \frac{1}{\epsilon_1 - \epsilon_{Y_R}} \langle \delta_f | L_{RR} L_{RL} | \delta_i \rangle d\epsilon_Y \ln(\epsilon_1 - \epsilon_{Y_R}) \Big|_{-D}^D$$

$\propto \frac{1}{D - \epsilon_{Y_R}} \left[\frac{D - \epsilon_{Y_R}}{D + \epsilon_{Y_R}} \right] \underset{\epsilon_{Y_R} \rightarrow 0}{\underset{\epsilon_1 \rightarrow 0}{\longrightarrow}} \approx 0$

原式:

$$\frac{\partial \beta_R}{\partial \nu_{R\delta}'} = -n_L (1 - n_{Y_R}) \sum_{\delta_1 \delta'} \frac{\langle \delta_f | [L_{RL} \delta_1 \delta', L_{RR} \delta_1 \delta'] | \delta_i \rangle}{\epsilon_1 - \epsilon_R + i\gamma} n_{Y_1} \delta_1$$

因此, 计算:

$$\langle [L_{RL} \delta_1 \delta', L_{RR} \delta_1 \delta'] \rangle$$

即可.

$$\text{此式为: } \left[(W_{RL} \delta_{6,6'} + \frac{J_{RL}}{2} \sum_j \langle S_d^j | I_{6,6'}^j \rangle), (W_{RR} \delta_{6,6} + \frac{J_{RR}}{2} \sum_j \langle S_d^j | I_{6,6}^j \rangle) \right]$$

$\Rightarrow W_{RL} W_{RR}, W_{RL} J_{RR}, W_{RR} J_{RL}$ 都对易, (非算符, 所以就不存在一般)

的散射在 $t^{(2)}$ 中了, 必反转自旋 $S_2 \leftrightarrow S_3$ 的讨论

$$= \frac{J_{RL} J_{RR}}{4} \sum_{jk} \langle S_f | [S_d^j, S_d^k] \delta_i \rangle \sum_{\delta_1} I_{6,6_1}^K I_{6,6}^j$$

再次利用 Pauli 矩阵的性质. 搜 $I^{(3)}$ 的项.

$$I^{(3)} \approx \text{Re} [(t^{(1)})^* t^{(2)}] , \text{ 有项:}$$

$$t^{(1)} = W_{RL} \delta_{66'} \delta_{\delta_i \delta_f} + \frac{J_{RL}}{4} \sum_j I_{\delta_f \delta_i}^j I_{66'}^j$$

$$\sum_{\delta_i \delta_f} \delta_{66'} \delta_{\delta_i \delta_f} \cdot \sum_{jk} \langle \delta_f | [S_d^j, S_d^k] | \delta_i \rangle \sum_{\delta_1} I_{6,6_1}^K I_{\delta_i \delta'}^j$$

$$\text{代: } \delta = \delta' \quad \delta_i = \delta_f$$

$$= \sum_{\delta \delta_i} \sum_{jk} \langle \delta_i | [S_d^j, S_d^k] | \delta_i \rangle \sum_{\delta_1} I_{6,6_1}^K I_{6,6}^j$$

$$= \delta_d^i = \sum_{\delta \delta'} C_{d6}^+ I_{66'}^i C_{d6}^- \frac{1}{2} = \sum_{\delta \delta} C_{d6}^+ \langle \delta | I | \delta' \rangle C_{d6}^- \frac{1}{2} = \langle 0 | I | 0 \rangle$$

$$\Rightarrow \delta_d^i \Leftrightarrow \frac{1}{2} \text{ 代入. Pauli 性质: } I^j I^K = \delta_{jk} + i \sum_L \epsilon_{jkl} I^L$$

$$\langle \delta_i | I I^j, I^K | \delta_i \rangle = \langle \delta_i | i \sum_L I^L [\epsilon_{jkl} - \epsilon_{kjl}] | \delta_i \rangle \hookrightarrow \text{cancel}$$

$$= \sum_L (\epsilon_{jkl} - \epsilon_{kjl}) \langle \delta_i | i \sum_L I^L | \delta_i \rangle$$

$$= \sum_L (m) \text{Tr}(I^L) = \sum_L (\sim) \times 0 = 0$$

此项为零

另一项：

$$\sum_{LSS'6,\delta_f} \langle \delta_i | S_d^L | \delta_f \rangle I_{66}^L \sum_{JK} \langle \delta_f | [S_d^j, S_d^k] | \delta_i \rangle \frac{\sum I_{\delta\delta_1}^k I_{\delta\delta_1}^j}{\delta_1}.$$

$$S_d^i = I/2 \quad ① \quad \langle \delta_i | S_d^L | \delta_f \rangle = \langle \delta_f | I S_d^j, S_d^k | \delta_i \rangle \text{ 对 } \delta_f \text{ 求导}$$

$$= \langle \delta_i | S_d^L \cdot I S_d^j, S_d^k | \delta_i \rangle = \text{Tr}(S_d^L, [S_d^j, S_d^k]) = \frac{1}{8} \text{Tr}(I^L, I I^j, I^k)$$

$$② I_{66}^L I_{\delta\delta_1}^k I_{\delta\delta_1}^j = \langle \delta_i | I^L | \delta_i \rangle \langle \delta_i | I^k | \delta_i \rangle \langle \delta_i | I^j | \delta_i \rangle \text{ 对 } \delta_i \text{ 求导}$$

$$= \text{Tr}(I^L I^k I^j)$$

$$\therefore \text{原式} = \sum_{ijk} \frac{1}{8} \text{Tr}(I^L, I I^j, I^k) \text{Tr}(I^L I^k I^j)$$

$$= \sum_{ijk} \frac{1}{4} \text{Tr}(I^L I^j I^k) \text{Tr}(I^k I^L I^j)$$

$$\text{利用: } I^L I^j = \delta_{Lj} + i \sum_k \epsilon_{jkl} I^k \Rightarrow \text{Tr}(I^L I^j I^k) = \text{Tr}\left(i \sum_k \epsilon_{jlk} I^k\right) I^k \\ \Rightarrow \sum_{ijk} \epsilon_{jlk}$$

$$\Rightarrow \sum_{ijk} (-1) \epsilon_{jlk} \epsilon_{klj} = -\sum_{jkl} \epsilon_{jlk}^2 = \boxed{-6}$$

Therefore:

$$J_{RL}^{(3)} = 4\pi \sum_i \frac{1}{2} P_i \cdot \text{Re} \left\{ -n_{YL} [1 - n_{YR}] \sum_{\delta_1 \delta_1} \left(\frac{1}{4} \frac{J_{RL} J_{RR}}{3n - 3_R + i} \right) \times (-6) \times n_{YL} \right\}$$

$$\delta(Y_R - Y_L) \cdot \underbrace{J_{RL}}_{t^{(1)}} \quad \text{t}^R \text{ 项, t}^L \text{ 项为:}$$

$J_{RL} J_{LL}$ 不变余下

① $\sum_i n_F(\varepsilon_i) (1 - n_F(\varepsilon_R))$ 变积分, suppose d_0 态密度不变

② $\gamma_R = \gamma_L$ delta function 积分结果代入

③ $\sum_{\varepsilon_i} = d_0 \int_{-\infty}^{\infty} n_F(\varepsilon_i) \frac{1}{\varepsilon_i - \varepsilon_R + i\eta}$ 代入. $\eta \rightarrow 0$

$$\therefore I_{RL}^{(3)} = -\frac{3\pi}{2} (d_0 J_{RL})^2 \int d\varepsilon \cdot n_F(\varepsilon; \mu_L) [1 - n_F(\varepsilon - \mu_R)]$$

$$\times (J_{LL} + J_{RR}) d_0 \int_{-\infty}^{\infty} d\varepsilon_i \frac{n_F(\varepsilon_i)}{\varepsilon_i - \varepsilon}$$

Step 3:

我们来求 G :

甚早之前给出 I 与 G 的公式.

$$I = (-e) \sum_N [I_{N+1, N}^{\text{Left}} - I_{N-1, N}^{\text{Left}}] P(N) \quad (\text{向左}).$$

这里对 J_{RL} 为总的.

$$I = \frac{e}{\pi} (I_{RL}^{(3)} + I_{RL}^{(2)} - I_{LR}^{(3)} - I_{LR}^{(2)}) \text{ 代入 } I^{(3)}, I^{(2)} \text{ 形式:}$$

$$\begin{aligned} &\text{区别在 } n_F(\varepsilon - \mu_L) [1 - n_F(\varepsilon - \mu_R)] - n_F(\varepsilon - \mu_R) [1 - n_F(\varepsilon - \mu_L)] \\ &= [n_F(\varepsilon - \mu_L) - n_F(\varepsilon - \mu_R)] \quad \text{本质还是化学势驱动电流} \end{aligned}$$

另外, 亦给出过 G 的形式:

$$G = e^2 \sum \int \frac{d\varepsilon}{2\pi} \frac{I^L I^R}{I^L + I^R} A(d\varepsilon, \varepsilon) - \frac{\partial n_F(\varepsilon)}{\partial \varepsilon}.$$

$$\text{当: } I = e \sum \int \frac{d\varepsilon}{2\pi} \frac{I^L I^R}{I^L + I^R} A(d\varepsilon, \varepsilon) (n_F(\varepsilon - \mu_L) - n_F(\varepsilon - \mu_R)) \text{ 时}$$

可见:

把 I 中 $(n_F - n_F)$ 改为 $-\frac{\partial n_F}{\partial \varepsilon}$ 令 $\mu_L = \mu_R = 0$ 即可:

$G = I/v \rightarrow$ 除掉了 chemical potential.

终于，我们得到：

$$I = \frac{e}{h} \int dE [n_F(E - \mu_L) - n_F(E - \mu_R)] T(E)$$

$$G = \frac{e^2}{h} \int dE - \frac{\partial n_F(E)}{\partial E} T_{\mu_L = \mu_R = 0}(E)$$

$$T(E) = 4\pi^2 (W_{RL}d_0)^2 + \frac{3}{2}\pi^2 (J_{RL}d_0)^2 \left(1 - 2(J_{LL} + J_{RR}) \right) \int_{-D}^P dE_1 \frac{n_F(E_1)}{E_1 - E}$$

从中还可知道 $A(d\sigma, E)$ 。毕竟 $T^L = (t^L) d\sigma^2$ 而 t^L, t^R 可有 J_{LL}, J_{RR} 的关联。

空穴
电子-自旋对称点？

若令： $W_{RL} = 0$ ，积分： $P \int_{-D}^{+D} dE_1 \frac{n_F(E_1)}{E_1 - E}$ 。

$$\Rightarrow \int dE \int_{-D}^{+D} dE_1 - \frac{\partial n_F(E)}{\partial E} \left(\frac{n_F(E_1)}{E_1 - E} \right)$$

$$= \int \frac{1}{T} \exp(\frac{E_1}{T}) \cdot \frac{-1}{(e^{\frac{E_1}{T}} + 1)^2} \cdot \int_{-D}^{+D} dE_1 \times \frac{n_F(E_1)}{E_1 - E} + \frac{1 - n_F(E_1)}{-E_1 - E} dE_1$$

$$= \int dE \int_0^D dE_1 \underbrace{\frac{1}{T} \exp(\frac{E_1}{T})}_{\text{偶}} \cdot \frac{-1}{(e^{\frac{E_1}{T}} + 1)^2} \cdot \left(\frac{2E_1 n_F(E_1)}{E_1^2 + E^2} + \frac{1}{E_1 + E} \right)$$

(偶) 此项： $(e^{\frac{E_1}{T}} + 2 + e^{-\frac{E_1}{T}})$ 此项：(奇) 对 E 积分为 0。

余下： $\int dE \int_0^D dE_1 \frac{1}{T} \frac{-\exp(\frac{E_1}{T})}{(e^{\frac{E_1}{T}} + 1)^2} \frac{1}{E_1 + E}$

$$= \int dE \ln \frac{D+E_1}{D-E_1} \cdot \frac{1}{T} \frac{\exp(\frac{E_1}{T})}{(e^{\frac{E_1}{T}} + 1)^2} \quad \text{令 } x = E_1/KT$$

$$= \int_R^P dx \cdot \frac{e^x}{(e^x + 1)^2} \cdot \left(\ln \frac{x}{KT} - \ln x \right) \ln \left(\frac{D}{KT} + 1 \right)$$

总之和出大差不差: $G_0 = \frac{3\pi^2 e^2}{2h} \cdot (T_R d_0)^2 (1 - 2(J_{LL} + J_{RR}) f(D/T))$

 $f(x) = \ln(x) + 0.568 \dots \approx \ln(x) \text{ for } x: D/kT \ll 1$

anyway, $T \rightarrow 0$, $f(x) \rightarrow \infty$, $G_0 \rightarrow \infty$. why?

We use perturbation theory, Fermi Golden Rule valid when:

$\delta(E_i - E_j)$: 高密度 $|t|^2 d_0$ 在 E_i 间不变, 然 $T \rightarrow 0$ $(E_i - E_j) \sim E_F$, 距 V_F 一段距离 $(E_i - E_j) \sim n$ 态密度骤变, 不适用.

$$\begin{aligned} \text{when } T_K &= D \exp(-\frac{1}{2(J_{LL} + J_{RR})}) \cdot f(D/T) 2(J_{LL} + J_{RR}) \\ &= \ln \exp\left[\frac{1}{2(J_{LL} + J_{RR})} D\right] 2(J_{LL} + J_{RR}) = 1 - 1 = 0 \end{aligned}$$

∴ 定义 Kondo Temperature when $G = 0$,

$$T_K = D \exp\left[-\frac{1}{2(J_{LL} + J_{RR})}\right]$$

- 张图:

$T^{(3)}, T^{(2)}$ 中的 2 个过程可以描述为 $T_{RR} T_{RL}$: $L \rightarrow R R \rightarrow R$, 反转两次自旋, 用算符即为 $\underbrace{S\bar{S}^+ C_{R\uparrow}^+ C_{R\downarrow} C_{R\downarrow}^+ C_{L\uparrow}}_{Step 1} + \underbrace{S^+ S^- C_{R\uparrow}^+ C_{L\downarrow} C_{R\downarrow}^+}_{Step 2}$

其中第一项 $S\bar{S}^+ C_{R\uparrow} C_{R\downarrow} C_{R\downarrow}^+ C_{L\uparrow}$ S : 自旋算符, $S = S_x - iS_y$
 $S^+ S^- = C_s^+ C_s - C_s^+ C_s + C_s^+ C_s$ 两消两生两反转过程.

spin: localized, lead 作用为 $S \cdot S = S \cdot S^+ + S^+ \cdot S^- + S_z \cdot S_z$.

$$T^{(2)} \propto T_{RR} T_{RL} \sum_y \left| \frac{S^+ S^- (1 - n_y)}{-\zeta_y} \right. \text{后四项} \left. - \frac{S^+ S^- n_y}{\zeta_y} \right)$$

由于 $[S^+, S^-] = S_z \neq 0$, 成和 $T^{(2)} \propto S_z \int \frac{1}{\zeta_y} d\zeta_y$

以 \ln 发散, 体现大量 ζ_y 中间态叠加之多体效应.

Kondo Model 下文还会反复议多次.

上文方法用丁矩阵九阶直接解同样可得到.