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Cloud-Native IoT Reference Architecture with Arm SystemReady

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Declaration of honor

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Place, date: Sion, February 9, 2024

Signature:

A handwritten signature in black ink, appearing to read "Xavier Clivaz".

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Abstract

Cloud-Native IoT Reference Architecture with Arm SystemReady is an open-source project designed to meet the integration challenges between embedded systems and the cloud. This architecture facilitates the automatic provisioning of a cloud infrastructure and the integration of a fleet of embedded systems during their initial start-up. Designed for use with AWS, it focuses on core components while leveraging Arm processors and SystemReady certifications. The project aims to streamline collaboration between embedded systems engineers and cloud professionals, allowing them to focus on their end products. By following Cloud-Native best practice, the continuous integration and delivery tools guarantee a robust, functional architecture on an Arm-based embedded system that is SystemReady certified. The ultimate aim is to encourage the creation of a community around this architecture, enabling engineers to adopt it and apply it easily to their projects. In addition, a demonstration is included involving the deployment of a cloud infrastructure on AWS and the automatic configuration of cloud services for seamless interaction with an embedded system, plus data visualisation accessible via a minimal web interface.

Key words: Arm, Arm SystemReady, AWS, cloud, Cloud-Native, IoT, reference architecture

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1 | Introduction

For a long time, embedded systems evolved in silos, isolated from each other. However, technology is evolving, and it's time to unite these systems with the world of the [cloud](#). Hardware devices have had limitations in terms of resources, although designs have improved these aspects depending on the use case. However, physical limitations remain. The emergence of [cloud computing](#), without being for this problem, has offered partial solutions to these challenges.

[Cloud computing](#) offers a number of advantages, not least the freeing up of hardware resources to optimise the operation of embedded systems. These devices, often limited in their complex processing capabilities, can now benefit from the computing power of the [cloud](#). Storage, another constraint, also finds infinite solutions in [cloud computing](#), offering virtually unlimited storage capacity. However, the benefits of [cloud computing](#) are not limited to these aspects. They also include the constant scalability of infrastructures, with independent services that can be easily connected and the possibility of vertical and horizontal scaling.

This development has led to growing interest from engineers looking to interconnect their embedded systems with the [cloud](#), a practice now at the heart of the [Internet of Things \(IoT\)](#). Faced with increasingly ambitious projects, coupled with the growing integration of artificial intelligence, engineers are looking to [cloud computing](#) environments to eliminate constraints and facilitate interconnection. To simplify the use of a [cloud infrastructure](#), companies are offering [cloud](#) platforms providing a multitude of services tailored to different needs ([AWS](#), Microsoft Azure, etc.). This approach allows engineers to focus more on their business projects rather than spending time maintaining and securing the underlying infrastructure. In this context, the integration of embedded systems with the [cloud](#) is becoming crucial to meeting the growing demands of the [IoT](#).

1.1 56K.Cloud

[56K.Cloud](#) is a company established in Sion (Valais) in 2018, specialising in the provision of [cloud](#)-related services. It offers digital transformation to businesses looking to optimise their processes while reducing their costs. As a consultancy, it is committed to demystifying the [cloud](#) for customers who may find it difficult to grasp the concepts of the ever-changing digital world. Its collaborative partnerships enhance its skills, enabling it to deliver complete solutions to customers. In order to share its vision of the [cloud](#) more widely, [56K.Cloud](#) also has additional premises in Winterthur (Zurich).



Figure 1.1 [56K.Cloud](#) company logo [1]

Digital transformation is a global process aimed at integrating digital technologies into all aspects of a company, from its business model and organisational culture to its processes and operations.

Chapter 1. Introduction

As a consultant, [56K.Cloud](#) introduces customers to the [Cloud-Native](#) approach and explores concepts such as [DevOps](#) and containerisation.

1.2 Problem

As part of its core business focused on [cloud](#) solutions, [56K.Cloud](#) is also active in the [IoT](#) sector. Recently, the company identified a significant problem relating to collaboration between engineers specialising in embedded systems and those from the [cloud](#) domain. There is a growing demand for engineers to establish fast and efficient links between embedded devices and [cloud infrastructures](#). However, there is currently a lack of reference architectures for deploying an infrastructure while directly provisioning a fleet of embedded systems. A reference architecture is a preconceived model for a particular domain. It provides a solid foundation on which other architectures can be built, simplifying the work of software developers in that specific domain.

This problem stems from the time required and the complexity for engineers to establish the connection between hardware and a [cloud infrastructure](#). Because of specialist skills in two distinct areas, a [cloud](#) professional will need time to understand and implement an operating system capable of connecting to an infrastructure, while an embedded systems expert will need to acquire knowledge of [cloud infrastructure](#) by following [Cloud-Native](#) best practice.

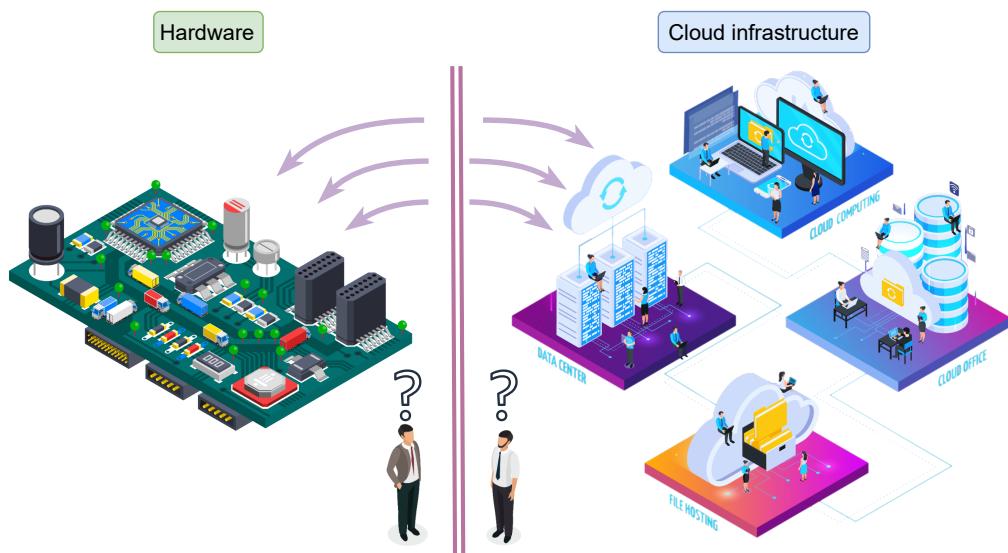


Figure 1.2 The problem of linking the hardware to the [cloud infrastructure](#) [2]

The need to find a solution arose when engineers began to approach electronic board manufacturers to design equipment offering services for linking to a [cloud infrastructure](#). However, these manufacturers, who specialise in hardware, are generally not inclined to devote the time to developing such solutions. The main players affected are hardware engineers, who struggle to meet new customer requirements, and [cloud](#) engineers, who have to invest time in integrating embedded concepts. This learning phase can quickly become time-consuming.

Although a few solutions are beginning to emerge, most of them remain proprietary. To date, there is no open source reference architecture capable of providing a comprehensive response to the needs of a wide range of products.

1.3 Objectives

The main objective of this project is to design a reference architecture facilitating the deployment of a [cloud infrastructure](#) while ensuring the automated provisioning of a fleet of embedded systems. The emphasis is on the ability to provision devices automatically when they are first started up. The infrastructure must contain the essential minimum of services to make the architecture as universal as possible. Since [56K.Cloud](#) is a partner of processor manufacturer [Arm](#), the use of embedded systems equipped with [Arm](#) processors and certified [Arm SystemReady](#) is favoured, in line with the quality standards set by [Arm](#). The [Arm SystemReady](#) programme guarantees that an operating system and the following software layers can function correctly in their processors.

The infrastructure will be deployed on the [AWS](#) platform, [56K.Cloud](#)'s partner [cloud provider](#). A fundamental aspect of this project is to promote open source, encouraging the formation of a community around this reference architecture. The aim is to enable engineers specialising in embedded systems and the [cloud](#) to adopt this architecture easily, while focusing on the development of their end products. [Cloud-Native](#) best practices are integrated into the development process, while the use of [Continuous Integration \(CI\)](#) and [Continuous Delivery \(CD\)](#) tools is crucial to ensure a robust architecture. Compatibility must be taken into account to ensure the functionality of the architecture on different embedded systems with [Arm](#) processors and [Arm SystemReady](#) certification.

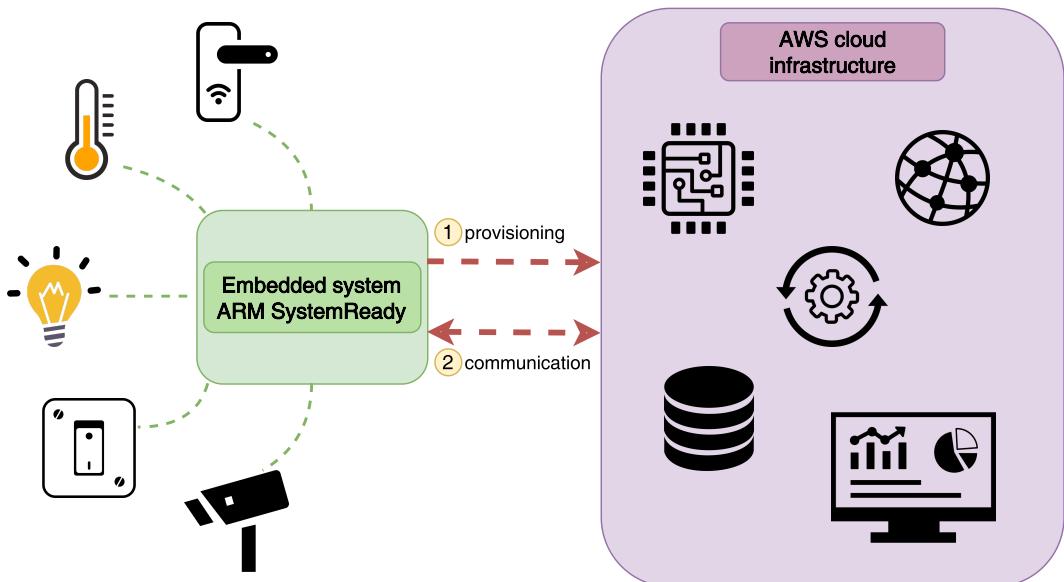


Figure 1.3 Overview of objectives

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In parallel with the reference architecture, a small demonstration project is to be based on it. This phase involves deploying a [cloud infrastructure](#) on [AWS](#), with the development of a few applications to be deployed on the embedded systems. The data must pass between these two environments, while offering the possibility of viewing it from a web interface.

1.4 Structure of this report

The [2](#) chapter ([Analysis](#)) provides important definitions related to the project and examines the state of the art by looking at similar existing solutions.

The [3](#) chapter ([Design](#)) provides a comprehensive overview of the reference architecture, encompassing [cloud infrastructure](#), embedded systems integration, the [CI/CD](#) process and applications.

The [4](#) chapter ([Implementation](#)) details the implementation of each component of the reference architecture, highlighting the tools used and their functionalities.

The [5](#) chapter ([Validation](#)) analyses the results of the tests carried out on the reference architecture, validating its performance.

The [6](#) chapter ([Project methodology](#)) sets out the project plan, the methodologies adopted and describes the tools used as well as the associated training.

The [7](#) chapter ([Conclusions](#)) closes the thesis by presenting the current state of the research, the obstacles encountered and the future directions of the project.

2 | Analysis

The analysis begins by detailing the fundamental concepts addressed throughout the project. It then reviews the state of the art in terms of the various tools, methods and technologies used in [cloud computing](#) and [IoT](#).

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2.1 Definitions

Before delving into the subject of this work, it is important to clarify the definitions of frequently used terms.

2.1.1 Reference architecture

A reference architecture is a solution model in a specific domain. It must be built so that an architecture can be established on its foundations, to make the task of software developers easier.

The aim is to generalise a solution that shows how it works from an overview. It must be possible to observe the relationships and their interactions between the multiple components of the application based on the reference architecture. There are different layers of abstraction depending on the field of application. A high level of abstraction means that it will be possible to understand the solution through more abstract elements such as the general components that will consolidate the application, for example. A low level of abstraction means that the solution will be more precise and certainly more specific to a use case. It will be possible to find detailed relationships between the services contained in the general components.

2.1.2 Cloud computing

The National Institute of Standards and Technology (NIST) [3] has standardised the definition of [cloud computing](#) as follows:

Cloud computing is a model for enabling ubiquitous, convenient, on-demand network access to a shared pool of configurable computing resources (e.g., networks, servers, storage, applications, and services) that can be rapidly provisioned and released with minimal management effort or service provider interaction. [3]

Their document [3] adds that this model of [cloud](#) consists of three service models and four deployment models. The service models are as follows:

- **[Infrastructure as a Service \(IaaS\)](#)** : The capability offered to the consumer consists of providing processing, storage, network and other fundamental computing resources where the consumer can deploy and run arbitrary software, which may include operating systems and applications. The consumer manages the operating systems, storage and applications.
- **[Platform as a Service \(PaaS\)](#)** : The capacity provided to the consumer consists of deploying on the [cloud infrastructure](#) applications created or acquired by the consumer using programming languages, libraries, services and tools supported by the provider. The consumer manages only the applications and storage.
- **[Software as a Service \(SaaS\)](#)** : The consumer has the option of using the supplier's applications running on a [cloud infrastructure](#). The consumer manages nothing of the infrastructure apart from any configuration of the applications.



Figure 2.1 Comparison of different service models [4]

The deployment models are as follows [3] :

- **Private cloud** : The **cloud infrastructure** is made available for exclusive use by a single organisation comprising multiple consumers. It may be owned, managed and operated by the organisation, by a third party or by a combination of both, and it may exist on the organisation's premises or off-site.
- **Community cloud** : The **cloud infrastructure** is reserved for the exclusive use of a specific community of consumers from organisations with common concerns. It may be owned, managed and operated by one or more organisations within the community, by a third party or by a combination of such organisations, and it may exist on or off premises.
- **Public cloud** : The **cloud infrastructure** is made available to the general public for open use. It may be owned, managed and operated by a business, educational institution or government organisation, or a combination of these. It is located on the provider's premises.
- **Hybrid cloud** : The **cloud infrastructure** is a composition of two or more distinct infrastructures (private, community or public) which remain unique entities, but which are linked by a standardised or proprietary technology that allows the portability of data and applications.

2.1.3 Cloud-Native

The **Cloud-Native** approach is a software development methodology focused on the design, implementation and management of applications, integrated in the **cloud**. It requires **cloud**, **Cloud computing** environments to run workloads efficiently.

Chapter 2. Analysis

This approach enables infrastructure to be deployed in private, public or hybrid [cloud](#) environments, promoting the development of modern applications with easy scalability. Flexibility is further enhanced by techniques for decoupling the different services within an application. [Cloud computing](#) also offers reliability and durability, with redundancy ensuring security in the event of an incident, with migration of an application's execution. It also facilitates real-time and recurring updates.

The main benefits include high efficiency, reduced costs and high availability. Applications can exploit optimal resources according to their needs, and the pay-as-you-go model means that you only pay for the actual use of resources, independently of other aspects such as maintenance and hardware security. Availability is ensured by the diversity of resources provided by the different [cloud](#) service providers.

2.1.4 Internet of Things

[Internet of Things \(IoT\)](#) refers to the interconnection between physical objects and the internet. These objects can encompass a variety of items, from light bulbs to medical devices, and many more, mainly in the home automation and medical fields.

The [IoT](#) aims to establish connections between various objects and applications, helping to create an automated world known as the [IoT](#) network. This growing expansion requires significant resources, made available thanks to [cloud computing](#). Fast and secure global accessibility is essential. Several communication technologies are used to ensure reliable connectivity.

2.2 Problem formulation

The general question for analysis is: "Which technologies are best suited to the development of this reference architecture".

To answer this question, a number of key aspects need to be considered :

- Technology
- Environment
- Tools
- Certification

With this in mind, the analysis begins by tracing the evolution of the [Cloud-Native](#) approach. Next, the integration of [IoT](#) in the context of [cloud computing](#) is studied through various research studies. An exploration of the [IoT](#) services offered by the various [cloud](#) service providers is undertaken to discern their specific features. Given that the reference architecture is based on [Infrastructure as Code \(IaC\)](#), an investigation is carried out into the [IaC](#) tools available. Finally, particular attention is paid to [Arm SystemReady](#) certification.

2.3 History of the Cloud-Native approach

Cloud-Native is a term that has been around for several years. Figure 2.2 shows the evolution of this term since 2006. The boom took place around 2016. It is undoubtedly due to the birth of Docker (2013) [5] and Kubernetes (2014) [6]. In 2015, the Cloud Native Computing Foundation [7] was created with the aim of making the Cloud-Native approach ubiquitous [8].

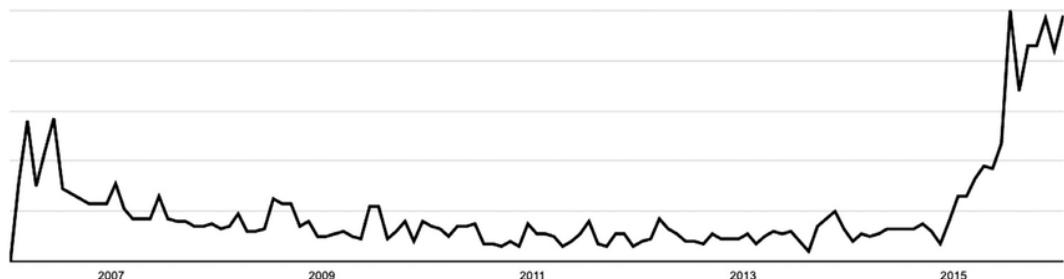


Figure 2.2 Google trends (01.01.2006 until 22.05.2016) of term Cloud-Native [9]

Before all this, there were only on-site data centres. Generally speaking, each company had its own servers running in its own data centre. This meant that servers were always set up for a specific application. [10]

2.3.1 The virtualisation

The first change came in the 2000s with the virtualisation of servers, although this has been around since the 1960s. When a new application had to be developed, new physical servers had to be bought. With virtualisation, it is no longer necessary to buy new ones. It is possible to run several applications on the same server using virtualisation, which limits the hardware resources for each application (figure 2.3). [10]

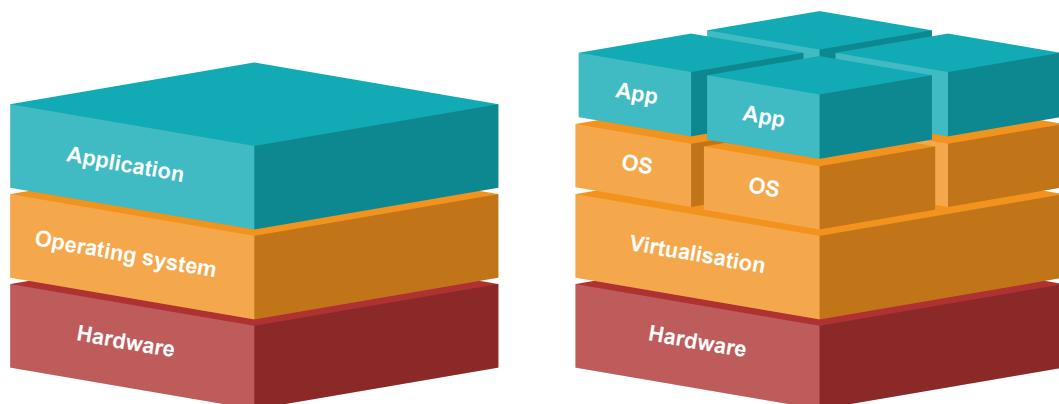


Figure 2.3 Traditional server (left) and virtualisation (right)

2.3.2 The hybrid

Despite this, a minimum of one server had to be purchased for an application to work. There were also resource limits for a certain number of applications. Unfortunately, not everyone could afford to buy new servers. In 2006, AWS launched three web services, Elastic Compute Cloud (EC2), Simple Storage Service (S3) and Simple Queue Service (SQS), to allow organisations and individuals to use Amazon's IT infrastructure on an

as-needed basis at basic prices [11]. This initiative stems from a restructuring of the Amazon platform for better scalability and this has made it possible to sell virtual servers as a service [12]. By extension, the myth of making money from servers not in use for the majority of the year has been debunked by Benjamin Black, co-founder of the EC2 [12]. This is where the world of **cloud** really began. Kratzke and Quint confirm this in their scientific article [9]. The purpose of the EC2 service, which is still used today, is to offer a virtual machine in the **cloud**. This is a **cloud computing** environment for running workloads. It is now possible to migrate your infrastructure from the database to the **cloud**. The corresponding expression is "Lift and Shift" [13]. These were undoubtedly the first so-called **Cloud-Native** approaches [10]. However, this term only appeared in papers for the first time in 2012 [9]. These were two conference papers proposing solutions for **Cloud-Native** applications [14, 15]. Organisations have learned a lot about only paying for what you use. From there, there's a move to hybrid where companies are still using their on-premises servers alongside servers in the **cloud**. [10]

2.3.3 All to the **cloud**

A new barrier has now been crossed. It's no longer a question of doing hybrid, but of transferring the entire infrastructure to the **cloud**. This was made possible when **cloud** service providers such as AWS, Microsoft Azure, Google Cloud and many others developed several services for all types of use. The reason there are different services is quite simply to decouple application functionality as much as possible. This is known as a microservices architecture. The risk of an entire application being interrupted in the event of a problem is much less likely. However, some organisations still have applications that are several decades old and have a monolithic architecture. It is not necessarily possible to decouple functionalities. That's why they work on a hybrid basis. [10]

2.3.4 The **Cloud-Native** approach

If we ask several engineers today about the definition of the **Cloud-Native** approach, we will find varying interpretations. There are currently three main schools of thought on this subject [10]. The first group considers that an approach is **Cloud-Native** when all the workloads run in the **cloud**. A minority within this group argue that you can be partially **Cloud-Native**, i.e. have one complete application deployed in the **cloud** while maintaining another application locally. However, others feel that this is still a hybrid approach. A second group says that to be truly **Cloud-Native**, you need to fully exploit the capabilities offered by the **cloud**. This means not only using the basic services of a **cloud** provider, but also making full use of the advanced features on offer, such as serverless functions. Some even consider that a company has to be born in the **cloud** to be truly **Cloud-Native**, a phenomenon that is increasingly being observed, with companies launching their first applications directly in the **cloud** without ever having used on-premises servers. [10]

In 2018, a brief history of **cloud** application architectures described the term **Cloud-Native** as follows :

Cloud infrastructures (IaaS) and platforms (PaaS) are built to be elastic. Elasticity is understood as the degree to which a system adapts to workload changes by provisioning and de-provisioning resources automatically. Without this, cloud computing is very often not reasonable from an economic

point of view. Over time, system engineers learned to understand this elasticity options of modern [cloud](#) environments better. Eventually, systems were designed for such elastic [cloud infrastructures](#), which increased the utilization rates of underlying computing infrastructures via new deployment and design approaches like containers, microservices or serverless architectures. This design intention is often expressed using the term [Cloud-Native](#). [16]

2.4 Integrating cloud computing with IoT embedded systems

With technology advancing at a rapid pace, today's world appreciates help in making everyday life better [17]. Embedded systems that have become increasingly connected now offer this service. However, since they need to attend any location for any domain and task, they need to be small for better integration. As a result, resources are limited for computing operations, data storage, data processing and so on. The built-in security and low energy consumption that these devices must also ensure are not enough to allow them to move forward. This is why [cloud computing](#) needs to be integrated to fill the gaps left by [IoT](#) [17]. Cloud of Things is one of the paradigms given to the combination of these two technologies in 2014 [18].

2.4.1 Overview

A model for integrating [cloud computing](#) into an embedded system is shown in figure 2.4.

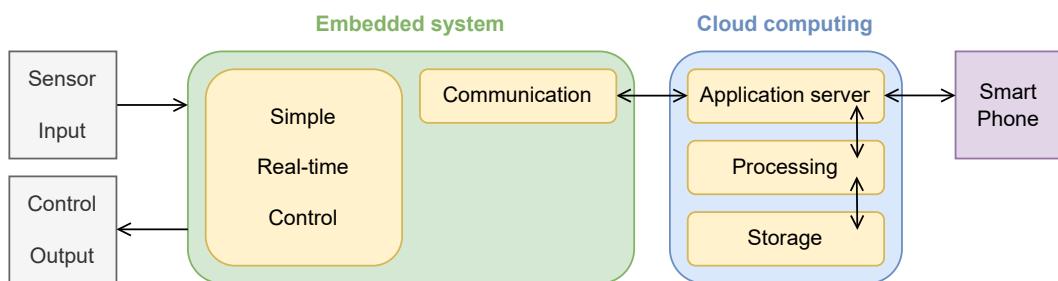


Figure 2.4 Model for integrating [cloud computing](#) into an embedded system [19]

The main idea is to use only what is necessary on the [IoT](#) device. In other words, all computing and storage functions should be moved to the [cloud](#). The device should only be linked to the input and output peripherals using a simple controller and establish communication with the [cloud](#). For the integration to work, the embedded system must have an internet connection. [Cloud computing](#) would contain the core of the application as well as various services to perform analysis, processing and calculation operations, and store data in real time. From there, it would also be possible to view the data from a smartphone. This approach is a solution that was thought up by Furuichi and Yamada in 2014 [20]. It offers a number of advantages, such as reduced energy consumption by eliminating large workloads and reduced size by freeing up electronic components. The [cloud](#) also makes it easy to scale up if necessary. The application can then be highly scalable. [19]

Chapter 2. Analysis

Furuichi and Yamada wanted to use a project to prove that their suggested approach worked. They developed a traffic jam detection system that recognises car licence plate numbers along with their location and time. For a plate number to be recognised from a raw image, image processing is carried out using machine learning. They found that this application, split between an IoT device and a [cloud computing](#) environment, scored much better than the same application managed entirely on a laptop or embedded system. The evaluation looked at cost, battery life, performance, scalability and reliability. [20]

2.4.2 Reference architecture

A reference architecture has been developed to support IoT objects in [cloud computing](#) (figure 2.5). The Standards Development Organization has decided to make this a standard by launching the Cloud Standards Customer Council programme to drive forward the adoption of [cloud computing](#). In this architecture, various aspects are taken into account: scalability, security, reliability and protection of privacy. [21]

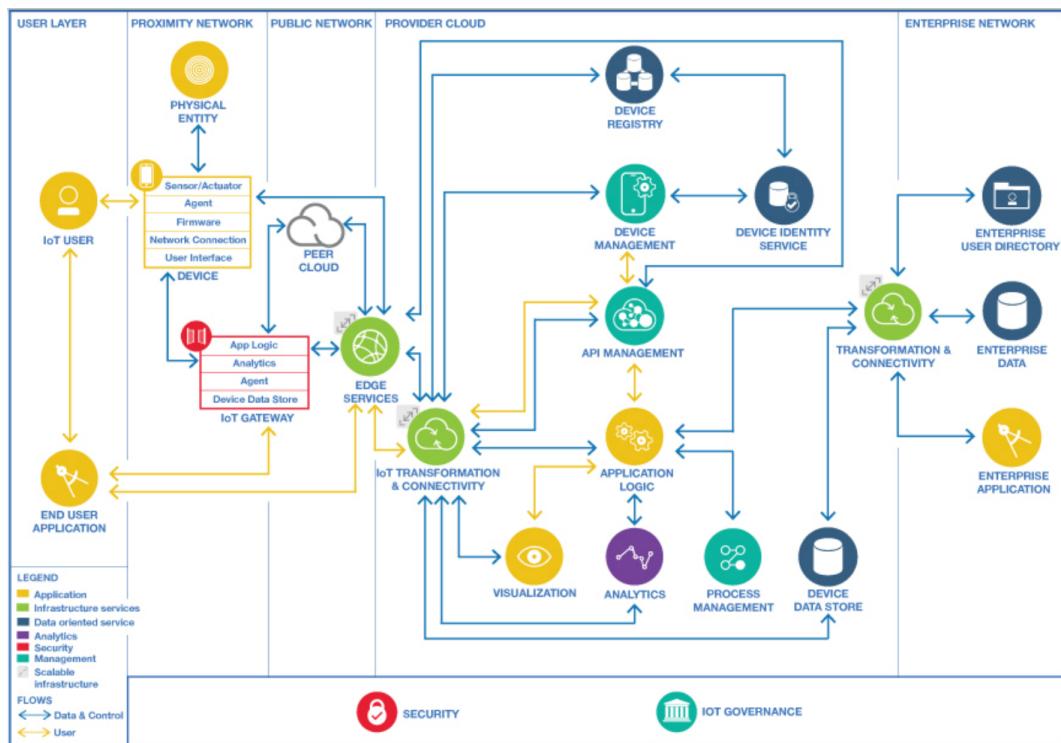


Figure 2.5 Cloud Customer Reference Architecture for IoT [21]

The architecture proposed in figure 2.5 is made up of components and their relationships. They are separated under a three-tier architecture model, called 3-Tier (presentation, logic, data). There is edge-tier, the platform tier and the enterprise tier.

The edge-tier contains the "Proximity Network" and "Public Network" parts. It represents the place where data is collected. It is collected from an IoT device in a proximity network. The data will either be sent to an IoT gateway or directly to the [cloud](#) provider.

2.4 Integrating cloud computing with IoT embedded systems

The **cloud** provider tier manages the entire logical part of the application. It includes the collection, processing and analysis of data flows. Each device is also managed in this tier, as is its identity, for example.

The enterprise tier contains the "Enterprise Network" part. It includes the enterprise data and the application. Data from **cloud computing** can be stored in enterprise data.

2.4.3 Existing problems and solutions linked to integration

Integrating **IoT** and **cloud computing** poses a number of challenges. They are to be found both on the hardware side and in the **cloud**. [18]

There are many communication protocols. There are protocols for every type of use. On the **IoT** side, for example, there are Zigbee [22], Bluetooth and **Bluetooth Low Energy (BLE)** [23], LoRaWAN (long range) [24], WiFi, Thread [25] and the latest Matter protocol [26]. In an **IoT** network, there can be a multitude of sensors linked to a gateway. Interoperability is a problem in this case. Since 2022, version 1.0 of the Matter protocol has been published to address this problem. However, few devices are yet compatible. In research projects, Zigbee is very popular [17, 18, 27, 28]. Two main technologies are used for communication between the **IoT** gateway and the **cloud**: **Message Queuing Telemetry Transport (MQTT)** [29] and **Constrained Application Protocol (CoAP)** [30]. Several projects have used **CoAP** for resource reasons [31, 32, 33]. It is a protocol dedicated to constrained devices with small amounts of memory [30], using **UDP**. It is also suitable for devices that need to bind to web services as it uses the **REpresentational State Transfer (REST)** model. **REST** is an architectural style for web services [31]. It would have been possible to use the **Service-Oriented Architecture (SOA)** style, but it is less suited to this use case [31]. Service-based architecture styles allow a high degree of decoupling from the application. This is an advantage in the event of a problem with a service, so as not to completely freeze the application. Finally, **MQTT** is specially designed for **IoT** [29]. It uses the **TCP** transport protocol. **Cloud** provider **AWS** adopts the **MQTT** protocol in its **IoT** sector [34]. A communication between an **Arm** microcontroller and **AWS** proved that this protocol works [33]. In fact, the data passing through is often in **JavaScript Object Notation (JSON)** format [19, 28, 35]. This is a lightweight data representation syntax for storing and exchanging textual information [28]. There is also the **HyperText Transfer Protocol (HTTP)** communication protocol. It would be suitable for updating **IoT** devices. It allows more bytes to be sent per packet, which increases the update speed. Furthermore, in industry, **HTTP** is generally less constrained by firewalls than **MQTT** or **CoAP** [36].

A lot of energy is consumed in **IoT** devices. The reason for this is the increasing amount of data being transferred to the **cloud**. Energy needs to be managed efficiently, for example by setting a sleep mode. If this is not possible, natural energy could be used to provide power, such as solar, wind or vibration. [18]

Resource allocation is another debate. Each **IoT** object may have a different function. They do not necessarily require the same resources in the **cloud**. What's more, unexpected events could occur requiring a greater workload. The requirements could not necessarily be predictable. Even if they were, the cost of increasing unused resources for most of the time would be a loss. **Cloud** service providers offer a number of solutions to this problem. For example, **AWS** offers a service that balances the load in the **cloud**.

Chapter 2. Analysis

computing environment [37]. The combinatorial auction approach is popular for resource allocation in the **cloud** [38]. It must satisfy **Quality of Service (QoS)** constraints and maximise the **cloud** provider's profit. Provider and user profit, resource utilisation and quality of service are the dominant performance factors. Research has succeeded in optimising this approach [38]. Nevertheless, as soon as a new **IoT** node is added, a sample of data could be sent to the **cloud** to set up the minimum resources required. [18]

Identity management in an internet network is very important to ensure that each device is unique. Given the number of **IoT** devices today, IPv6 addressing would be the optimal solution. It would be sufficient to support this type of network. However, deployment remains problematic. The coexistence of IPv6 with IPv4 is not so easy [18]. There are three possible techniques for cooperation between the two [39]. The **IoT** device may support both types of addressing. Alternatively, IPv6 packets must be encapsulated in IPv4 packets, or a **Network Address Translation (NAT)** must be available that translates both types of packet.

The discovery of new **IoT** devices to be integrated into the **cloud** needs to be managed. At any time, a device can be part of a service and leave it. It is also necessary to monitor its status once it is connected and keep it up to date. **AWS** has developed a solution to this which must be installed on **IoT** devices. From there, the devices can be easily integrated. In addition, it is possible to perform **Over-The-Air (OTA)** updates [40] and monitor the state of devices [41]. Azure also provides these different services [42, 43]. An update method was designed in this area in 2022 [36]. It is based on the standard update architecture for **IoT** [44], proposed by the Internet Engineering Task Force (IETF).

Cloud computing environments must respond correctly to data flows, whether large or small. The urgency with which certain data is transmitted is also an integral part of this **QoS**. **QoS** is assessed in terms of bandwidth, delay, packet loss rate and delay in the transmission of data packets (jitter). [18]

Another point to consider is where the data is stored. Depending on the volume of data, it is best to store it in the physical location closest to the user. Sensitive data must also be managed in accordance with each country's data protection laws. Fortunately, **cloud** providers offer the option of choosing the region where data is stored. [18]

Security seems to be an issue in integration. In 2016, there was a lack of trust in **cloud** service providers and a lack of awareness of Service-Level Agreements (SLAs) [45]. A Service-Level Agreement is a document defining the provision of **cloud** services and the responsibilities of the provider and the customer. However, when data is transferred to the **cloud**, the location of databases is not always transparent. Distributing data over several locations, while ensuring high availability, also increases the chances of sensitive data being leaked. A distributed system of this kind is exposed to various potential attacks, such as SQL injections, cross-site scripting attacks and many others. Significant vulnerabilities can also be exposed, including session hijacking and virtual machine evasion. In addition, the computing power constraints associated with connected objects limit the application of public key cryptography to all layers of the system. Two years later, in 2018, in an attempt to partially address this problem, two security models have

been proposed using two encryption algorithms (AES and RSA) [27]. They can be used to integrate IoT and cloud computing. However, they remain sub-optimal and could be the subject of future research.

Unnecessary data communication is a current trend. IoT devices generate all kinds of data. It would be interesting to have an IoT gateway that manages traffic by letting data circulate only when it is necessary and only that which is necessary [18]. This would save energy and make better use of network and cloud resources. An architecture for this approach has been presented [46].

2.5 IoT cloud platforms

A number of projects have created their own cloud platform [47]. The University of Glasgow decided to create its own cloud computing environment based on a Raspberry Pi [48], called PiCloud [49]. It emulates every layer of a cloud stack, from resource virtualisation to network behaviour. A fleet of 56 Raspberry Pi devices was able to consolidate this cloud platform. This project is ideal for education, thanks to its low cost. Another study, based on the previous one, carried out the same style of cloud platform, but with 300 Raspberry Pi devices. Called the Bolzano Raspberry Pi [50], this cloud computing environment incorporates several Network Attached Storage (NAS) as storage units. However, these are traditional cloud infrastructures.

Cloud infrastructures have been adapted for the use of IoT. What differentiates them from traditional infrastructures is the processing of data generated by events in real time [51]. There are now a large number of public cloud service providers for IoT. There are also a number of open source IoT solution providers. The main ones today are AWS, Microsoft Azure and Google Cloud [52].

AWS [53] provides a cloud platform with over 200 services. Resources are distributed across 32 regions worldwide. It claims to be the most widely adopted platform in the world. Products include: compute, storage, databases, analytics, networking, mobile, development tools, management tools, IoT, security and enterprise applications. It offers its services on demand and payment is on a pay-as-you-go basis. Through a survey published in 2019 on the various platforms [54], a solution dedicated to IoT, called AWS IoT, was summarised. This service aims to collect, store and analyse data from devices. Amazon FreeRTOS and AWS IoT Greengrass are made available by AWS IoT, facilitating the development of embedded applications on these devices. For embedded systems, both Arm and x86 architectures with Linux are supported [55]. For device management, there is AWS IoT Core, which facilitates device connectivity with cloud services. This can be complemented by AWS IoT Things Graph to visualise the data emitted by devices. In terms of data processing, the platform provides AWS IoT Analytics, offering developers a convenient way to analyse the data generated. The results of this process can be routed to other devices or systems via the AWS IoT Events service [54] service. The aim of a thesis project was to define an IoT architecture for connecting Arm microcontrollers to AWS [33]. An example of a smart home application was made on this architecture. A machine learning service was used in the cloud computing to make predictions from data sent from the IoT devices. The company 56K.Cloud is also carrying out a few projects linking Arm devices with a cloud infrastructure AWS. It typically uses the AWS IoT Greengrass Core solution to run applications on embedded systems.

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Microsoft Azure [56] is another public [cloud](#) provider. Launched in 2008, it offers more than 200 [cloud](#) products and services. Its data centres are located in more than 60 regions around the world. The company operates in the healthcare, finance, public sector, manufacturing and retail sectors. It says it invests a billion dollars a year in security to protect customer data. As far as [IoT](#) is concerned, it offers a solution called Azure [IoT](#). This solution includes several services. Azure [IoT](#) Hub is a service that establishes connections, administers and develops the ability to manage billions of [IoT](#) devices, from the peripheral to the [cloud](#). Azure [IoT](#) Central provides a user interface and [APIs](#) for connecting and managing large-scale embedded systems. Data is visualised using Azure Time Series. Azure Sphere offers security to protect [IoT](#) devices. Physical device spaces can be replicated using the Azure Digital Twins service. System logic can be managed by Azure [IoT](#) Edge. For the development of integrated applications, there is Azure RTOS. [56]

Google [Cloud](#) [57] is one of the world's leading [cloud](#) providers. It currently distributes its resources in 39 regions across the globe. Launched in 2008, the provider is trying to keep up with the competition by offering around a hundred services for all types of business. However, Google has announced that it will be pulling out of the [IoT](#) business in 2023. The Google [Cloud](#) spokesperson said that the needs of their customers could be better served by specialist [IoT](#) partners [58].

There are other [cloud](#) providers offering [IoT](#) services. Some of these are mentioned in figure 2.6, where a comparison is made.

<i>IoT platform</i>	<i>Open source</i>	<i>Protocols</i>	<i>Data store</i>	<i>Push notification</i>	<i>Trigger</i>	<i>Visualisation</i>
AWS IoT	No	MQTT, HTTPS	Yes	Yes	Yes	Yes
Azure IoT	No	MQTT, AMQP, HTTPS	Yes	Yes	Yes	Yes
IBM Watson IoT Platform	No	MQTT, JMS	Yes	Yes	Yes	Yes
Kaa Enterprise IoT Platform	Yes	MQTT, HTTPS	Yes	Yes	Yes	Yes
ThingsBoard	Yes	MQTT, CoAP, HTTP, LwM2M, SNMP	Yes	Yes	Yes	Yes

Figure 2.6 Comparison of [IoT cloud](#) platform providers (sources from providers)

Looking at the back end of platforms, they would have an advantage in using containerisation over traditional virtual machines [59]. It is seen as a lightweight virtualisation solution, with greater flexibility. In addition, containers are proving particularly suitable for solving the platform issues commonly associated with [PaaS](#) services in the [cloud](#). This includes aspects such as application packaging and coordination [59]. Docker is the most popular container solution [5]. As for container orchestration, there's Kubernetes, an open source system [6].

2.6 Cloud infrastructure tools

When you want to host applications in the [cloud](#), you need to deploy a [cloud infrastructure](#). It is perfectly possible to build the infrastructure manually. All you have to do is visit a [cloud](#) provider's web page and select the resources you need from the services on offer. However, this infrastructure could be deployed several times. Fortunately, there are tools that can automatically deploy [cloud infrastructures](#), called [Infrastructure as Code \(IaC\)](#). The automatic deployment of [cloud infrastructures](#) corresponds to [provisioning](#). These tools use templates containing a description of the infrastructure based on executable code or a configuration file. They save time on deployment and ensure that the implementation policy behind the infrastructure is always the same (security, rules, etc.). In addition to [provisioning](#), [IaC](#) tools are capable of updating and deleting an infrastructure. There are universal [provisioning](#) tools for different [cloud](#) providers and there are integrated tools for each provider. [60]

2.6.1 Integrated IaC tools

Having mentioned the [AWS](#) and Microsoft Azure providers in section 2.5, each of them has its own [IaC](#) tool reserved for use with its platform. Google [Cloud](#) is not mentioned in this section due to the cessation of [IoT](#) activities.

At [AWS](#), there are two [IaC](#) tools. [AWS CloudFormation](#) [61] is the first. [AWS Cloud Development Kit \(CDK\)](#) [62] is the second for defining and building infrastructure in the [AWS cloud](#) environment. [AWS CDK](#) gives users the flexibility to define infrastructure in distinct programming languages in the form of an application, with imperative syntax. It uses [AWS CloudFormation](#) as the deployment engine and allows users to define the infrastructure using programming idioms to model the system design. The [CDK](#) application deployment process includes the construction of the defined elements, preparation, validation and synthesis of the deployment artefacts. The [CDK](#) application is then transferred to the [AWS CloudFormation](#) service for real deployment. An overview of the deployment is shown in figure 2.7. The basic elements of [CDK](#) applications are called "constructs", and they represent [cloud](#) components containing services. Constructs can be nested to create a hierarchy of dependencies called a "construct tree". Ultimately, this hierarchy defines how constructs are synthesized into resources in the final [AWS CloudFormation](#) model. In a [CDK](#) application, it is possible to define several stacks. These are unique deployment units, each containing a hierarchy of constructs. [60]

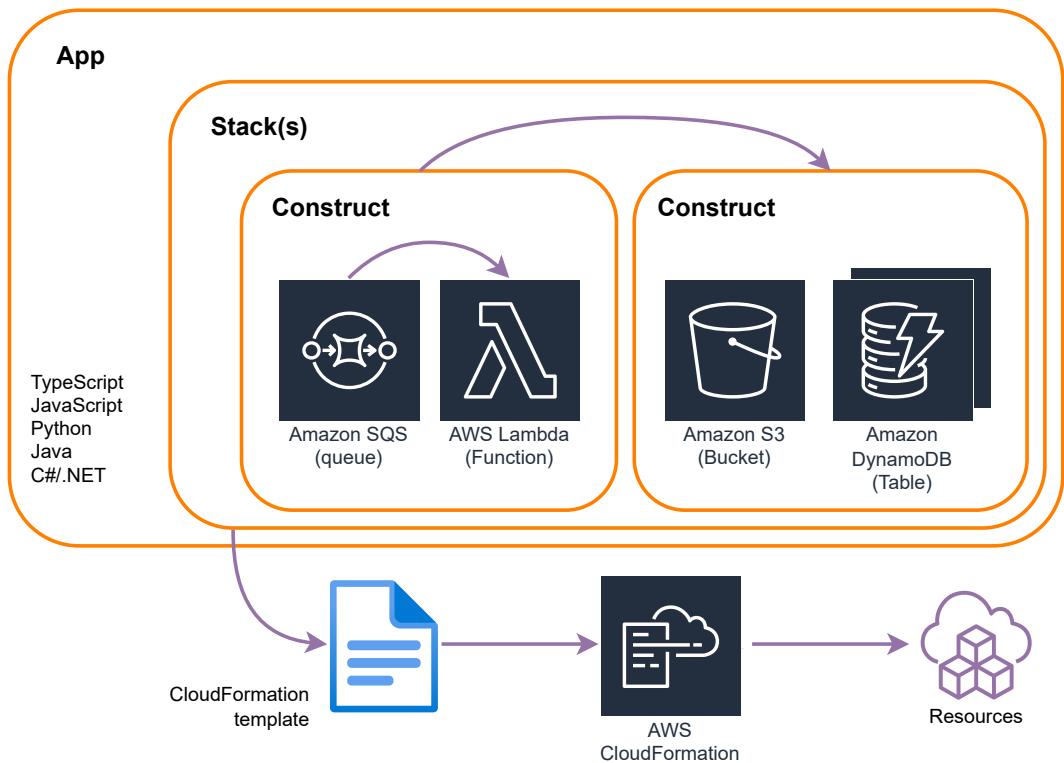


Figure 2.7 Overview of AWS CDK [62] deployment [62]

Microsoft Azure offers the [Azure Resource Manager \(ARM\)](#) deployment and management service [63]. All actions performed on resources by the user go through the [ARM](#) manager. It authenticates and authorises them. At the deployment level, there are [ARM](#) models, which are [JSON](#) files defining the infrastructure and configuration of a [cloud](#) environment. [JSON](#) uses declarative syntax. Before deployment, the [ARM API](#) checks the models for possible errors, guaranteeing successful deployment. [ARM](#) models ensure an identical architecture for deployments in different environments, such as development, testing and production, for example. The fewer dependencies there are, the faster [ARM](#) can deploy infrastructure resources in parallel. [ARM](#) models can be segmented into modular files for easy reuse. A group of resources deployed in the Azure platform can be extracted in the form of an [ARM](#) model. [60]

2.6.2 Universal IaC tools

There are several [IaC](#) tools compatible with different [cloud](#) platforms such as [AWS](#), Microsoft Azure, and many others. The [56K.Cloud](#) company mainly uses Terraform [64] and Pulumi [65].

Terraform is a tool created in 2014 by HashiCorp, written in the Go programming language [66]. It mainly provisions [Infrastructure as a Service](#), but can also deploy [Platform as a Service](#) and [Software as a Service](#). Terraform works using two inputs: configuration and state. The desired resources in the [cloud infrastructure](#) are described in a configuration file. This file works with the Terraform language using declarative syntax. The state corresponds to the current state of the infrastructure, or in other words the stack. The state is managed by the Terraform [API](#) and is stored locally by default. The deployment engine compares the desired infrastructure with the current

state and determines which resources need to be created, updated or deleted. Terraform uses provider plugins to interact with [cloud providers](#). It offers five basic commands for different stages: "init", "validate", "plan", "apply" and "destroy", enabling the infrastructure to be managed efficiently and easily [64]. The "init" command prepares the project directory. The "validate" command checks that the configuration is valid. The "plan" command displays the changes required by the current configuration. The "apply" command creates or updates the [cloud infrastructure](#). Finally, the "destroy" command destroys the [cloud infrastructure](#). An overview of the deployment can be seen in figure 2.8. [60]

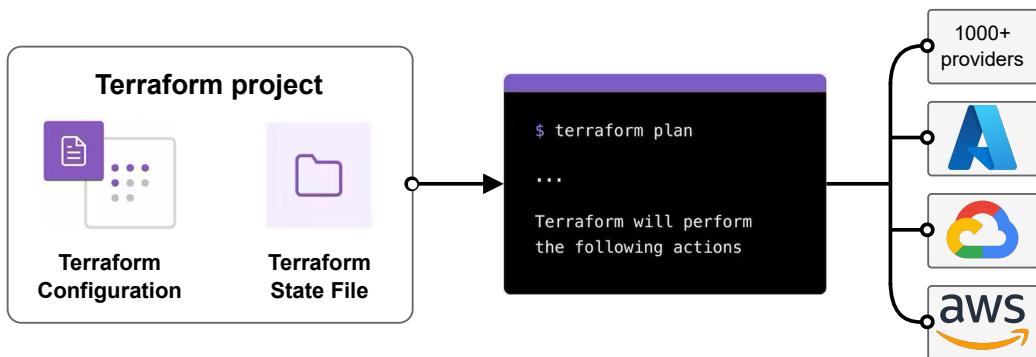


Figure 2.8 Overview of Terraform deployment [64]

Pulumi is an [IaC](#) tool similar to Terraform, but open source. It is written in the Go language [67]. Version 1.0 of Pulumi was released in 2019. This tool can be used with several programming languages, such as TypeScript, JavaScript, Python, Go and .NET. It therefore offers developers the possibility of creating [cloud infrastructures](#) using standard languages, unlike Terraform. Deployment follows the Terraform model, where a Pulumi application is run to schedule the desired resources in the [cloud infrastructure](#). The deployment engine compares these desired resources with the current state of the stack and determines the necessary actions. The state of the last infrastructure deployment is saved in the Pulumi [Cloud](#) platform by default. It can also be saved in other storage spaces (Amazon S3 e.g.). With the Pulumi tool, we're talking about applications, because it uses imperative syntax. Applications describe how the [cloud infrastructure](#) should be composed by allocating resource objects whose properties correspond to the desired state. Resources are deployed in parallel if possible, but some resources have dependencies between them. Deployment is managed by the Pulumi [CLI](#). An overview of deployment is shown in figure 2.9. [60]

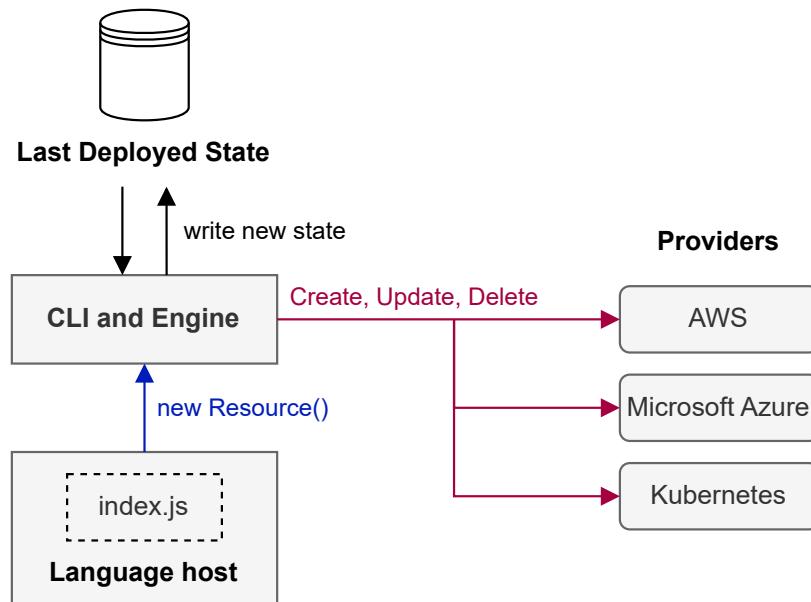


Figure 2.9 Overview of Pulumi deployment [65]

2.6.3 Comparison

Without even using the [IaC](#) tools, it is possible to identify a few differences. The tools integrated with the [cloud](#) providers cannot be used outside their platform. The other two tools, Terraform and Pulumi, can be provisioned by different providers. One notable point concerns the syntax. Terraform and Microsoft Azure use descriptive syntaxes. These limit the variety of programming languages. Terraform has its own language which you need to familiarise yourself with the first time. [AWS](#) and Pulumi use imperative syntax, which leaves the choice of programming languages open.

Focusing on the practical side, other disparities were noted. These stem from a project that was carried out with the aim of comparing the different [IaC](#) tools mentioned in this section 2.6 [60]. Terraform has several advantages, including clear documentation, an understandable configuration language, real-time execution plans and the ability to structure the infrastructure by dividing scripts into separate files. Because [cloud](#) providers have different services, it is impossible to reuse the same code with each of them. It is possible to maintain the overall architecture of the infrastructure if the entities are clearly separated in the scripts. On the Pulumi side, resources can be separated into classes or functions. Common code between providers is therefore limited to abstractions of classes and functions. Pulumi has developed a tool capable of converting Terraform scripts into Pulumi scripts. It differs from Terraform by using Pulumi [Cloud](#) for infrastructure state management by default instead of a self-managed [API](#). This is an advantage of not needing to store state files locally and manage them. Terraform and Pulumi generally use [APIs](#) from [cloud](#) providers to create resources. One case stands out with Pulumi, when it works with [AWS](#), it uses the [AWS SDK](#) service directly, which will create the resources. Concerning the [Azure Resource Manager](#) tool, it is less efficient than [AWS CDK](#) because of the complexity of the configuration files. [AWS CDK](#) offers better readability by being able to divide up the code as required. Despite all this, it should be noted that these four [IaC](#) tools were able to provision the project in question. [60]

2.7 Arm SystemReady

Manufacturer [Arm](#) has decided to introduce a certification programme called SystemReady [68]. In 2020, as part of [Arm](#)'s Cassini project, SystemReady was introduced to address the compatibility concerns of users planning to move from x86 architectures to systems based on [Arm](#)'s processors [69]. [Arm](#) defines its programme as follows:

Arm SystemReady is a compliance certification program based on a set of hardware and firmware standards: [Base System Architecture \(BSA\)](#) and [Base Boot Requirements \(BBR\)](#) specifications, plus a selection of supplements. This ensures that subsequent layers of software also 'just work'. The compliance certification program tests and certifies that systems meet the SystemReady standards, giving confidence that operating systems [OS](#) and subsequent layers of software just work. [68]

The [Arm BSA](#) specification establishes a hardware basis for system software, including [OSs](#), hypervisors and firmware, based on the 64-bit [Arm](#) architecture. This specification ensures that users can install, boot and run generic [OSs](#) and hypervisors [70]. The [BBR](#) specification complements [BSA](#) by defining the basic firmware requirements necessary to support any [OS](#) or hypervisor compatible with the [BSA](#) specification [71].

A number of advantages were mentioned in relation to the program [68]:

- Compliance allows [OSs](#) and workloads to operate seamlessly on different [Arm](#) platforms.
- Standardisation of a range of different devices and systems provides a stable basis and a choice of systems for all sectors.
- Compliance with standards inspires confidence in software compatibility, so developers can concentrate on innovation and adding value to their products.
- Compliance simplifies and accelerates time-to-market for partners.
- Compliance makes it easy to identify [Arm](#)-based devices thanks to the "System-Ready certified" stamp.
- Compliance makes it easy to deploy and maintain standard firmware interfaces, reducing maintenance costs.
- Compliance reduces the costs associated with adopting a new platform by eliminating custom firmware engineering.

2.7.1 SystemReady certifications

To be able to adapt to different types of devices and markets, [Arm](#) has introduced four certifications. The first is SystemReady SR. It ensures the smooth operation of servers or workstations on a [Arm](#) chip. It is specially designed for Windows, Linux, VMware and [Berkeley Software Distribution \(BSD\)](#) environments. It targets generic out-of-the-box [OSs](#) and also supports legacy [OSs](#) on new devices. [68]

SystemReady ES is designed to meet the needs of Windows, Linux, VMware and [BSD](#) ecosystems based on [Arm](#) embedded systems. It also targets generic out-of-the-box [OSs](#) and supports legacy [OSs](#) on new devices. [68]

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SystemReady IR ensures that Linux and [BSD](#) work perfectly on [Arm](#) embedded systems. It is ideally suited to the [IoT](#) sector. It mainly targets the Linux environment, but also custom images (Yocto, OpenWRT, buildroot) and pre-built images (Debian, Fedora, SUSE). [68]

SystemReady LS specifies the correct operation of Linux [OSs](#) on [Arm](#) chips designed for servers. This certificate is mainly for hyperscalers. Hyperscalers are large [cloud](#) service providers. [68]

At present, a multitude of hardware design companies are partnering with [Arm](#) to follow the SystemReady standards. Several embedded systems are already certified and on the market. This is the case with the Raspberry Pi 4, for example.

3 | Design

The design phase provides an overview of the various components of the reference architecture. It sets out the conceptualisation of the structure of the [cloud infrastructure](#) with the integration of multiple embedded systems. An overview of the automation process is presented, as well as an explanation of the general operation of each application included in this architecture.

Contents

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3.1 Reference architecture

The reference architecture is divided into two parts: the first concerns embedded systems based on [Arm](#) architectures and certified [Arm SystemReady](#) with a Linux [Operating System](#), while the second relates to the [cloud](#) and is hosted by the [AWS](#) provider. An overview is clearly shown in figure 3.1.

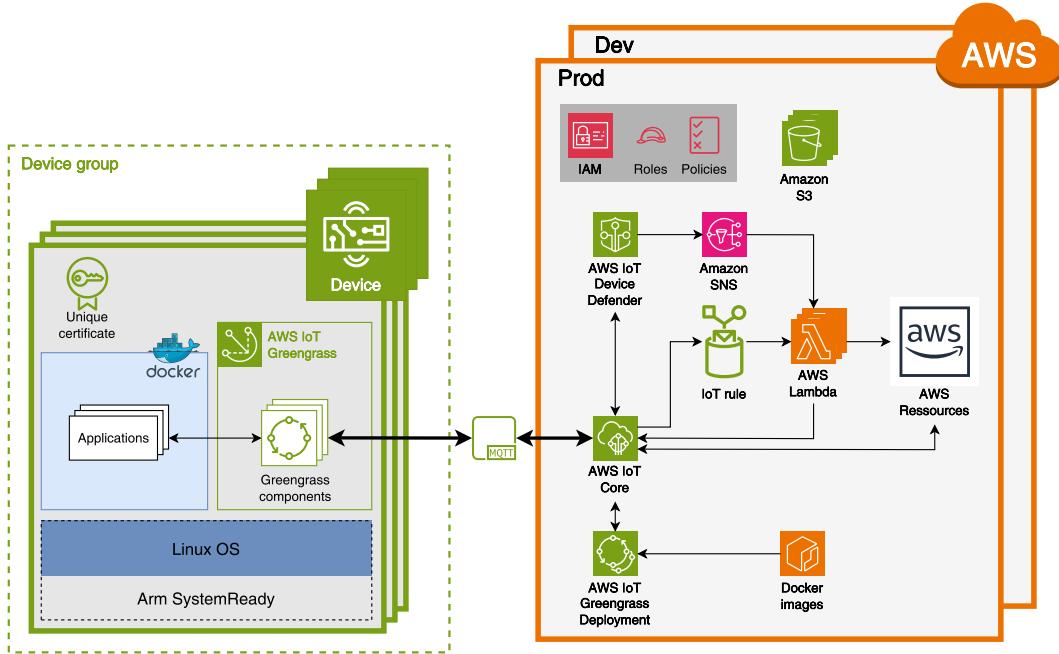


Figure 3.1 Reference architecture overview

3.1.1 Cloud infrastructure

In the [cloud](#), two distinct infrastructures are presented. The first infrastructure is dedicated to development, enabling all kinds of operations to be tested. The second infrastructure is the production infrastructure, encompassing the final product intended for customers. Each infrastructure uses different [AWS](#) services.

The central service managing the [IoT](#) is [AWS IoT Core](#), which exchanges data with all connected devices. Its preferred communication protocol is [MQTT](#). Provisioned devices are registered in a specific group within this service. Only authorised devices are provisioned. [AWS IoT Greengrass Deployment](#) handles the deployment of new Greengrass components or updates to a group of devices. A component, in the context of [AWS IoT Greengrass](#), is a unit of deployment and execution that encapsulates code, dependencies and resources, making it easy to deploy, manage and update [IoT](#) applications. In this architecture, Docker components are used, encapsulating containers to offer greater flexibility in software deployment. Docker images are stored in the Amazon [Elastic Container Registry \(ECR\)](#). Another service, [AWS IoT Device Defender](#), checks daily whether a certificate is about to expire, as each device has a unique certificate enabling a secure connection. If a certificate expires within 30 days, it is replaced by a new one. Other Lambda functions, triggered by rules, can enrich the architecture, as can other [AWS](#) resources, depending on the needs of each developer. Storage spaces such as Amazon S3 are used to save the state of the infrastructure, configuration files

for device [provisioning](#) and [OS](#) images. The configuration files contain a list of the serial numbers of all the [IoT](#) devices authorised to be provisioned. If a device is not listed and attempts to be provisioned, access will be denied. If a device is already provisioned and its serial number is removed from the list, its access will be withdrawn and it will be deleted from the [cloud](#). The IAM service is there to assign roles and policies, limiting authorised actions on resources to the strict minimum.

3.1.2 Embedded systems integration

Embedded systems must be based on [Arm](#) architecture and be [Arm SystemReady](#) certified. The devices are flashed with a custom Linux [OS](#) image. On first boot, two software programs are installed : Docker Engine for running Docker containers, and [AWS IoT Greengrass Core](#) for [provisioning](#), communicating with [AWS IoT Core](#) and managing Greengrass components. This software provisions the device by exchanging the claim certificate with a unique certificate, securing the connection of the device, which is then registered in the device group. Deployment of Greengrass components from the [cloud](#) to devices is automated when a new device is associated or a new version of a component becomes available. Applications are contained in Docker containers to ensure optimum portability.

3.2 CI/CD pipeline

The pipeline is made up of several workflows, each dedicated to a specific function. An overview of the various stages in the pipeline is shown in figure 3.2. The pipeline uses [CI/CD](#) methods to automate the deployment of the [cloud infrastructure](#), as well as preparing for the integration of embedded systems.

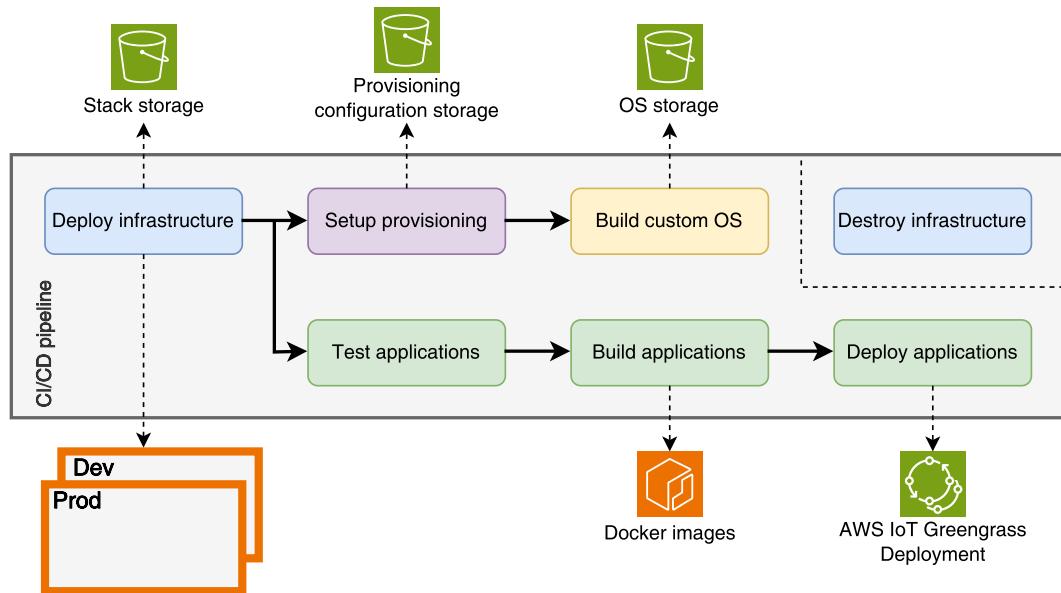


Figure 3.2 CI/CD workflows overview

The first job is to deploy the [AWS cloud infrastructure](#) (*Deploy infrastructure*). It can enable the deployment of development or production infrastructure. Since it uses a tool called [IaC](#), the state of the infrastructure must be stored. This flow also enables resources to be updated.

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If the entire infrastructure is deployed without error, the [provisioning](#) configuration workflow (*Setup provisioning*) takes care of preparing configuration files for [provisioning](#) in a storage space. It also manages device control. It checks the list of authorised devices to identify whether a previously provisioned device has been removed from the list. If so, it will be removed from the [cloud](#). It may also be the case that authorised devices have already been provisioned and need to be connected to the new infrastructure. This operation is also checked and carried out.

If the previous step has been successful, the next step (*Build custom OS*) is to prepare and create the [OS](#) image with all the files and scripts needed to automatically provision the devices when they start up for the first time. The image must be saved in a storage space that allows it to be downloaded for flashing the devices' SD cards.

In parallel with the previous two workflows, the application workflows are launched. The first (*Test applications*) performs various tests on the applications. It includes security and unit tests.

If the tests have not failed, the next job (*Build applications*) is to build the Greengrass components from the applications. A Docker image is first created for each of the applications, coded in a freely chosen programming language. These images are then linked to their respective components. Finally, the components must be saved in the [AWS IoT](#) Greengrass service to be ready for deployment.

The last flow (*Deploy applications*) takes care of deploying applications to the device group. It uses the [AWS IoT](#) Greengrass Deployment service.

After all these tasks, there is still the infrastructure dismantling workflow (*Destroy infrastructure*). It is independent and can be launched manually. It is available in the event that a problem occurs and the infrastructure needs to be redeployed.

3.3 Applications

Several applications have been developed. Some are essential to the reference architecture, while others are demonstration applications. An overview of these is shown in figure 3.3.

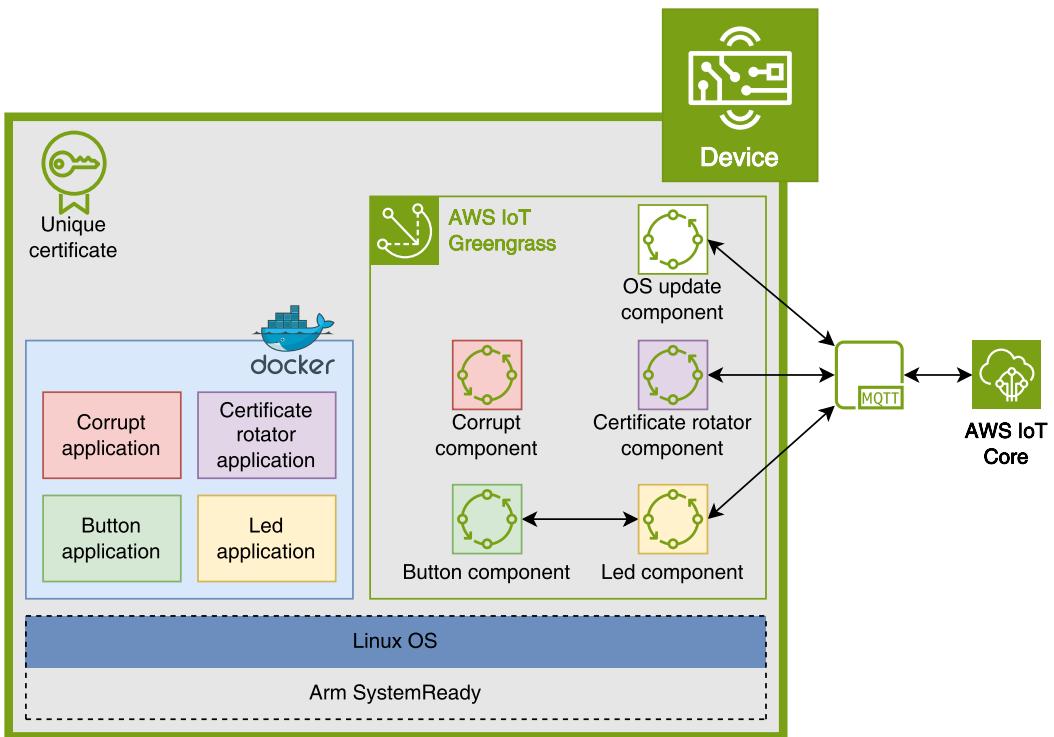


Figure 3.3 Applications overview

3.3.1 Certificate rotation

On embedded systems communicating with [AWS](#), unique certificates are used to establish secure connections via the protocols. Certificates have expiry dates for security reasons. The use of certificates with an expiry date makes it possible to limit the time during which a certificate is considered valid. This helps to reduce the risks associated with compromised private keys and ensures that certificates are regularly renewed, incorporating the latest security standards. It is therefore crucial to update certificates on embedded systems before their expiry date. If a certificate expires, secure connections with [cloud](#) services may be interrupted, leading to communication problems. To avoid this, this application must allow certificates to be managed automatically. It works with the [AWS IoT](#) Device Defender service, which alerts the application when it is time to change a certificate.

This application runs in a Docker container. An activity diagram illustrates its behaviour in figure 3.4.

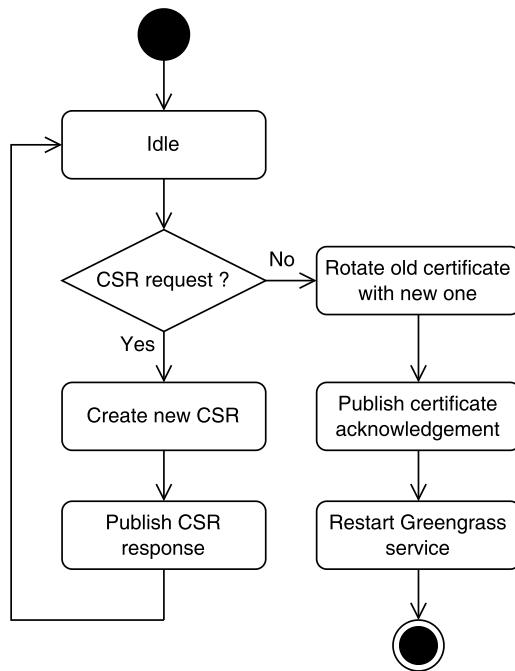


Figure 3.4 Certificate rotator application activity diagram

The general idea is that it is alerted by the [AWS IoT](#) Device Defender service when a certificate change is required. The application then creates a new private key and makes a certificate creation request ([Certificate Signing Request \(CSR\)](#)) to the Amazon root certificate authority. A signed certificate is returned. This will replace the old certificate and the old private key with the new one. In order for the [AWS IoT](#) Greengrass Core service to take the new certificate into account during future communications, this service must be restarted on the device. An overview of the certificate rotation is shown in figure 3.5. It shows the links between the [AWS](#) services and the application on the [IoT](#) device.

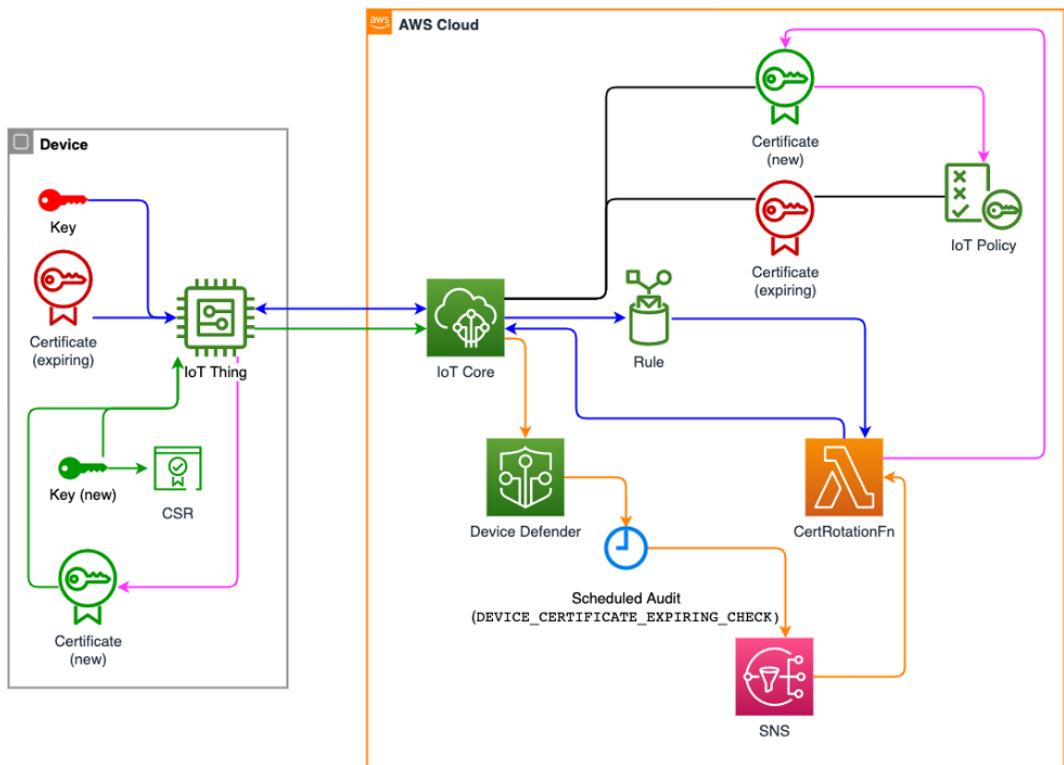


Figure 3.5 Certificate rotation overview [72]

3.3.2 Led application

This application is not essential to the reference architecture. It is used as a demonstration for [MQTT](#) communication with [AWS IoT](#). It is an application in a Docker container that blinks a led on an embedded system. The blinking frequency can be adjusted from an application associated with [AWS IoT](#) using the [MQTT](#) protocol. Blinking can also be enabled or disabled from the [button application](#). Two pieces of data, the blink frequency and the blink state, are transmitted to [AWS IoT](#) so that they can be viewed on an interface. This is shown in figure 3.6.

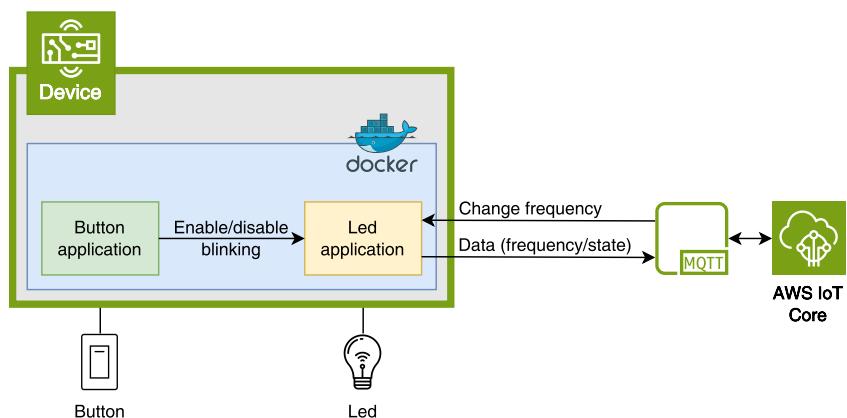


Figure 3.6 Led and button applications interactions

3.3.3 Button application

This application is connected to the [led application](#) while retaining its independence. It is designed as a demonstration of local [MQTT](#) communication. Also running in a Docker container, it simply interacts with the [led application](#) to activate or deactivate the blinking of the led. The user presses a button built into the device to reverse the blinking state. A high-level view is shown in figure 3.6.

3.3.4 Corrupt application

A corrupted application is also developed to examine the behaviour of the device with [AWS](#) services. The approach is to run a simple Docker application by deliberately providing an incorrect environment for launching the container.

3.3.5 OS update

The application used to update [Operating System](#) features is essential to the reference architecture. Keeping Linux up to date is essential for security, stability, performance and compatibility. Security updates correct vulnerabilities, minimising the risk of exploitation by malicious software. Bug fixes and performance optimisations improve system stability and efficiency. Updating also ensures compliance with security requirements and adaptation to new features and standards. By keeping a Linux [OS](#) up to date, users can ensure that their system runs at optimum performance while keeping pace with technological developments and industry requirements.

This application does not run in a Docker container, but directly in the Greengrass component. The operation of the application must be kept simple. An activity diagram in figure 3.7 shows this.

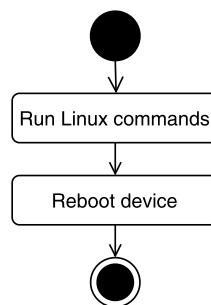


Figure 3.7 [OS update application activity diagram](#)

When the component starts up the first time the device is launched or after it has been updated, it will execute the Linux commands that have been written. To enable a complete update, the device will restart automatically. The component executes the Linux commands only once for each new version of the component.

4 | Implementation

The implementation sets out the concrete realisation of the reference architecture, detailing the tools used to automate the deployment of the [cloud infrastructure](#) and the integration of the embedded systems. Particular attention is paid to the in-depth description of the automated pipeline. The various applications that accompany this architecture are also highlighted.

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4.1 Reference architecture

4.1.1 Cloud infrastructure

An [Infrastructure as Code \(IaC\)](#) tool was selected to orchestrate the efficient deployment of the [cloud infrastructure](#) on [AWS](#), and Pulumi was chosen because of its choice of programming language, in this case Python. The use of the same programming language for the implementation of applications and the description of [AWS](#) resources provides consistency within the project.

Integrating Pulumi is simple. The resources required are described in Python files. The deployment is managed by the Pulumi [CLI](#), which records the state of the infrastructure in an Amazon S3 bucket. This bucket is located in the same [AWS](#) account as the infrastructure, using Amazon S3 to store the state rather than the default Pulumi [Cloud](#) platform, thus eliminating external dependencies. Figure 4.1 shows an overview of this part of the implementation.

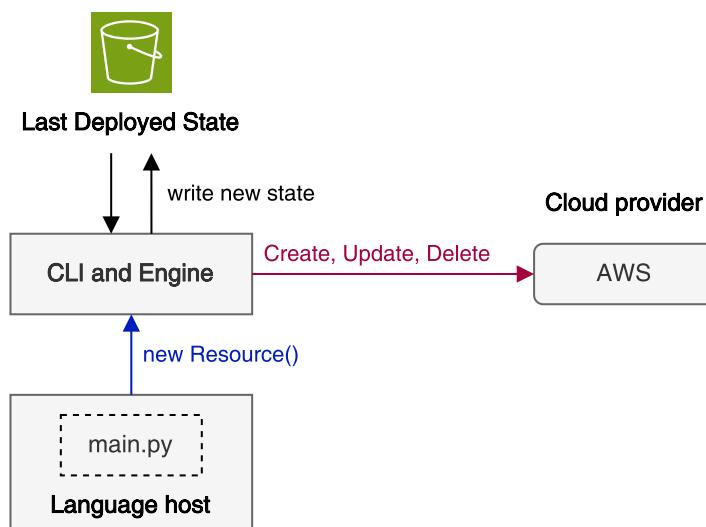


Figure 4.1 Overview of Pulumi's integration into the reference architecture

This [cloud infrastructure](#) part is implemented in a specific folder as follows :

```

cloud-infrastructure
├── Pulumi.yaml
├── Pulumi.dev.yaml
└── Pulumi.prod.yaml
└── main.py
└── iam.py
└── requirements.txt

```

The main configuration file for the Pulumi tool, `Pulumi.yaml`, includes crucial information such as the name of the stack and the programming language used, in this case Python.

This implementation aims to facilitate the deployment of two distinct infrastructures, namely the development environment and the production environment. Therefore, two additional configuration files, `Pulumi.dev.yaml` and `Pulumi.prod.yaml`, are present to

differentiate these two environments. *Pulumi.dev.yaml* contains parameters such as the AWS development account ID and the deployment region, while *Pulumi.prod.yaml* includes the same parameters with production-specific values. The identifiers of the AWS accounts must be different.

The Python files *main.py* and *iam.py* detail the description of the resources to be deployed. *iam.py* focuses on IAM roles and policies, while *main.py* mainly covers resources related to IoT and other resources.

Finally, the *requirements.txt* file lists the Pulumi libraries to be installed, including the main Pulumi library, which is essential for all IaC projects.

In terms of the Pulumi libraries used, AWS Native, a new preview, uses the AWS Cloud Control API to manage and provision AWS resources, generally aligning with the latest AWS features as they are released. The resources available in this library are based on those defined in the AWS CloudFormation registry. In addition, AWS Classic is another library that is used to fill in resources that are not yet available in AWS Native. This library uses the AWS SDK to manage and provision these resources.

4.1.2 Embedded systems integration

4.1.2.1 AWS IoT Greengrass

Integrating embedded systems with the AWS ecosystem is crucial to reaping the full benefits of cloud computing. To this end, AWS IoT Greengrass was chosen, a powerful solution that facilitates the execution of local processing on IoT devices, while enabling seamless interaction with AWS cloud services. AWS defined this solution as follows :

AWS IoT Greengrass is an open source Internet of Things (IoT) edge runtime and cloud service that helps you build, deploy and manage IoT applications on your devices. You can use AWS IoT Greengrass to build software that enables your devices to act locally on the data that they generate, run predictions based on machine learning models, and filter and aggregate device data. AWS IoT Greengrass enables your devices to collect and analyze data closer to where that data is generated, react autonomously to local events, and communicate securely with other devices on the local network. Greengrass devices can also communicate securely with AWS IoT Core and export IoT data to the AWS Cloud. You can use AWS IoT Greengrass to build edge applications using pre-built software modules, called components, that can connect your edge devices to AWS services or third-party services. You can also use AWS IoT Greengrass to package and run your software using Lambda functions, Docker containers, native operating system processes, or custom runtimes of your choice. [73]

In this implementation, AWS IoT Greengrass Core software is deployed on embedded systems, acting as an intelligent hub that manages interactions with the AWS cloud. It adapts perfectly to Linux OS. Greengrass components, encapsulating the necessary code, dependencies and resources, are then deployed automatically using mechanisms such as AWS IoT Greengrass Deployment.

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A component must be installed. *Greengrass nucleus* (`aws.greengrass.Nucleus`) is a mandatory component and the minimum requirement for running [AWS IoT Greengrass Core](#) software on a device. It is configurable to customize and update the [AWS IoT Greengrass Core](#) software [Over-The-Air \(OTA\)](#).

4.1.2.2 Communication

In this project, components running on an embedded system use the [AWS IoT Greengrass Core InterProcess Communication \(IPC\)](#) library, available in the [AWS IoT Device SDK](#), to exchange data with the [AWS IoT Greengrass nucleus](#) and other Greengrass components. The [IPC](#) interface supports the [Message Queuing Telemetry Transport](#) communication protocol. [MQTT](#) offers lightweight, asynchronous communication.

Publish/subscribe messaging allows messages to be sent and received in topics. Components can publish messages in topics to communicate with other components, and those who have subscribed to the topic can act on messages received. In this case, the communication is local to the [IoT](#) device.

The [IPC](#) interface also facilitates the sending and receiving of [MQTT](#) messages between [AWS IoT Greengrass](#) and [AWS IoT Core](#). Components can publish messages to [AWS IoT Core](#) and subscribe to topics to react to [MQTT](#) messages from other sources.

4.1.2.3 OTA update

All devices with [AWS IoT Greengrass Core](#) software can obtain [OTA](#) updates via [MQTT](#).

[AWS IoT Greengrass Core](#) software update

This functionality is built into the [AWS IoT Greengrass Core](#) software. It is made possible by the *Greengrass nucleus* component and other optional components. The following information is taken from the [AWS documentation](#) [40].

A few prerequisites must be met before an update can be carried out. Firstly, the Greengrass device must be connected to the [AWS cloud](#) in order to receive the deployment. It must be properly configured with certificates and authentication keys to interact with both the [AWS IoT Core](#) and [AWS IoT Greengrass](#). Finally, the [AWS IoT Greengrass Core](#) software must be configured and run as a system service.

There are a few things to bear in mind when upgrading. The Greengrass nucleus stops. This stops all the other components present. When the nucleus component is shut down, the device's connection to [AWS](#) is lost.

The following table summarises the behaviour of Greengrass updates :

4.1 Reference architecture

Action	Deployment configuration	Nucleus update behavior
Add new devices to a thing group targeted by an existing deployment without revising the deployment.	The deployment does not directly include Greengrass nucleus. The deployment directly includes at least one AWS -provided component, or includes a custom component that depends on an AWS -provided component or on the Greengrass nucleus.	On new devices, installs the latest patch version of nucleus that meets all component dependency requirements. On existing devices, does not update the installed version of the nucleus.
	The deployment directly includes a specific version of the Greengrass nucleus.	On new devices, installs the specified nucleus version. On existing devices, does not update the installed version of the nucleus.
Create a new deployment or revise an existing deployment.	The deployment does not directly include Greengrass nucleus. The deployment directly includes at least one AWS -provided component, or includes a custom component that depends on an AWS -provided component or on the Greengrass nucleus.	On all targeted devices, installs the latest patch version of the nucleus that meets all component dependency requirements, including on any new devices that you add to the targeted thing group.
	The deployment directly includes a specific version of the Greengrass nucleus.	On all targeted devices, installs the specified nucleus version, including any new devices that you add to the targeted thing group.

In this reference architecture, the version of *Greengrass nucleus* is not specified. However, there is one component provided by [AWS](#) (`aws.greengrass.Cli`) and custom components which depend on several components provided by [AWS](#).

Greengrass components update

For custom or public [AWS](#) components, the [AWS IoT](#) Greengrass Deployment service enables [OTA](#) updates.

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The prerequisites for an update are the same as for the software update. When an update is deployed, only components with a new version are updated. These components will then be stopped and restarted automatically after being updated. If a deployment error occurs, a rollback is performed and the components resume their course with the old version.

The following table explains two main actions:

Action	Components behaviour
Add new devices to a group of devices targeted by an existing deployment without modifying the deployment.	All the components and dependencies present in the deployment are automatically deployed with their latest version on the new devices. On existing devices, nothing happens.
Create a new version of one or more Greengrass components.	Only components with a new version will be deployed on all devices in the group of devices targeted for deployment.

4.1.2.4 Fleet provisioning

With [AWS IoT fleet provisioning](#), it is possible to securely set up [AWS IoT](#) to generate and distribute X.509 device certificates and private keys to each device when they first connect to [AWS IoT](#) [74]. These client certificates, signed by the Amazon Root Certificate Authority, are provided by [AWS IoT](#). It is very flexible to define specific device groups, device types and permissions for Greengrass Core devices to be provisioned using fleet provisioning. A [provisioning](#) template is used to describe the [provisioning](#) process for each device, detailing the device, policy and certificate resources to be created during [provisioning](#). This template is created from Pulumi.

[AWS IoT](#) Greengrass offers a dedicated [AWS IoT](#) fleet provisioning plugin (`aws.greengrass.FleetProvisioningByClaim`), which facilitates the installation of [AWS IoT](#) Greengrass Core software using the resources created by [AWS IoT](#) fleet provisioning. This plugin uses claim-based [provisioning](#), where devices use a [provisioning](#) claim certificate and private key to obtain a unique X.509 device certificate, along with a private key, enabling regular operations. The claim certificate and private key are integrated as soon as the Linux [OS](#) image is created, enabling devices to be activated later when they are connected. The same claim certificate and private key can be used for multiple devices.

To deploy [AWS IoT](#) Greengrass Core software with [AWS IoT](#) fleet provisioning, configuration of resources in the [AWS](#) account is required. These resources include a provisioning template, a claim certificate and a token exchange IAM role. Once these elements have been created from Pulumi, apart from the certificate from the [AWS CLI](#), they can be reused to provision several embedded systems within the same fleet. The token exchange IAM role authorises calls to [AWS](#) services from the [IoT](#) device.

In this architecture, devices are registered by their serial number to ensure that each one has a unique name.

4.1.2.5 OS image

To enable the devices to be provisioned to integrate automatically on their first boot, a Linux **OS** image is created specifically for this purpose. The base image used for this architecture is *Raspberry Pi OS Lite* based on the Debian distribution. A directory dedicated to the creation of the image is present as follows:

```
os-image
  └── raspios-lite.json
  └── set_os.sh
  └── provision.sh
  └── config.yaml
```

Image creation is managed by Packer [75], an open source tool for automating image creation. Packer follows a process defined in a configuration file **JSON** (*raspios-lite.json*).

It works as follows :

1. **Packer configuration** (*raspios-lite.json*) : The Packer configuration file describes all the steps required to create the image. It specifies the constructors (in this case, the **Arm** constructor), the actions to be performed, the base image sources and other parameters.
2. **Running Packer** : In this project, Packer runs in a Docker environment. When the container is launched, the tool starts the build process following the specified configuration.
3. **Packer builder ARM plugin** : A plugin [76] to the Packer tool is used to build images specific to the **Arm** architecture. It uses the **Arm** build model to create a custom image based on the specification.
4. **Actions** : The actions in the configuration file are carried out to configure the image. This may involve installing software, configuring system parameters, etc. In this case, some system parameters are configured using the *set_os.sh* script. The **provisioning** script *provision.sh* used to install the necessary software is saved in the image and configured to run the first time the **OS** is booted. Finally, the claim certificate and its private key are saved in the image.
5. **Build complete** : Once all the build and configuration steps have been successfully completed, Packer creates a custom image in the specified format (.img in this case).

The **provisioning** file *provision.sh* is a bash script. When it first runs on a device, it first retrieves the device's serial number, so that the device is registered in **AWS IoT** under that name. The script then installs the Docker Engine software, paving the way for future deployment of Greengrass components in containers. After this step, **AWS IoT** Greengrass Core is installed with the plugin specially dedicated to fleet **provisioning**. Finally, the **provisioning** command is executed using the *config.yaml* configuration file, which contains various parameters such as the device serial number, as well as the paths to locate the claim certificate and private key.

4.1.3 Security

At AWS, security is a top priority [77]. Security is a shared responsibility between AWS and the developer :

- **Security of the cloud** : AWS is responsible for protecting the infrastructure that runs AWS services in the AWS cloud.
- **Security in the cloud** : The developer's responsibility is determined by the AWS service that he uses.

When using AWS IoT Greengrass, the developer is also responsible for securing these devices, the connection to the local network and the private keys.

4.1.3.1 Authentication

Greengrass devices use X.509 certificates for authentication. X.509 certificates are digital certificates that adopt the X.509 public key infrastructure standard to bind a public key to the identity specified in the certificate. These X.509 certificates are issued by a trusted entity known as a **Certificate Authority (CA)**. The CA holds one or more specific certificates, called CA certificates, which it uses to issue X.509 certificates. Only this CA has the privilege of accessing the certificates. In this process, the authority is Amazon Root Certificate Authority. When provisioning a device, the fleet provisioning plugin requests a unique client certificate from this authority. [77]

Only devices authorised to be provisioned will receive a certificate. In this implementation, a list allowing the serial numbers of authorised devices to be inserted has been created in a dedicated file (*allowlist.txt*). When the provisioning plugin attempts to provision a device, it will first trigger a Lambda function which will check the list to see if the device is authorised. If so, it will receive its unique certificate. If not, it will not receive it and will not be provisioned.

Greengrass devices store certificates in the default Greengrass root folder (/greengrass/v2/).

4.1.3.2 Authorization

Greengrass devices rely on AWS IoT policies for authorization. These AWS IoT policies determine the scope of operations permitted for AWS IoT devices. They specify, in detail, permissions or denials of access to AWS IoT Core and AWS IoT Greengrass data plane operations, including actions such as publishing MQTT messages. In this architecture, an AWS IoT policy is created directly from Pulumi. [77]

4.1.3.3 Data protection

Greengrass devices frequently collect data for transmission to AWS services for further processing. AWS IoT Greengrass uses encryption to protect data in transit (via the internet or local network) and at rest (stored in the AWS cloud). [77]

AWS IoT Greengrass offers two distinct modes of communication for data in transit :

- **Data in transit over the internet** : Communication over the internet between a device and AWS IoT is encrypted using the Transport Layer Security (TLS) protocol. TLS is a security protocol that guarantees the confidentiality and integrity of data when it is exchanged over a network. All data sent to the AWS cloud therefore uses the MQTT protocol based on TLS.
- **Data on the device** : Communication between components on the Greengrass device is not encrypted, as the data does not leave the device.

When it comes to data at rest, AWS IoT Greengrass adopts different approaches :

- **Data at rest in the AWS cloud** : Customer data stored in the AWS cloud is encrypted by the AWS IoT Greengrass service using AWS KMS keys under its management.
- **Data at rest on the Greengrass device** : Data at rest on the device is protected by Unix file permissions and full disk encryption (if enabled, not enabled in this implementation). The user is responsible for securing the file system and the device.

4.2 CI/CD pipeline

A CI/CD process derived from the DevOps methodology has been put in place. It automates several workflows by integrating all the tools and software already described. The entire reference architecture has been developed in a public GitHub repository [78].

4.2.1 GitHub Actions

GitHub Actions is the Continuous Integration (CI) and Continuous Delivery (CD) service integrated directly into the GitHub platform and used in this project. It automates various aspects of the software development lifecycle, from building code to deploying it.

GitHub Actions works like this :

1. **Definition of workflows** : These describe the specific steps to be carried out during particular events, such as code validations, new version releases, etc. Several workflows can be run sequentially or in parallel.
2. **Triggering workflows** : These are triggered automatically in response to specific events defined by the developers. For example, a workflow can be triggered every time a new branch is created.
3. **Execution of steps** : Each workflow is made up of steps (jobs) that are executed sequentially or in parallel. These steps can include predefined actions, custom scripts, tests, deployments, etc.
4. **Runtime environments** : GitHub Actions provides runtime environments managed by GitHub. In this context, the Ubuntu-based Linux environment is used.
5. **Notifications and reports** : The results of each stage of the workflow are recorded and presented in the GitHub interface. Developers can receive notifications of successful or unsuccessful execution of the workflow.
6. **Integration with other services** : GitHub Actions can be integrated with other services and tools, such as cloud deployment services, notification services, secret management tools, etc.

7. **Actions ecosystem** : GitHub Actions offers a rich ecosystem of pre-built actions that developers can use in their workflows. These actions are reusable units of functionality (tests, deployments, notifications, etc.).

All workflows are implemented in a specific folder as follows :

```
.github/workflows
  ├── deploy-infra.yml
  ├── setup-provisioning.yml
  ├── build-image.yml
  ├── test-apps.yml
  ├── build-apps.yml
  ├── deploy-apps.yml
  └── destroy-infra.yml
```

4.2.2 Access to AWS resources

GitHub Actions integrates seamlessly with the [AWS cloud](#). It uses the [OpenID Connect \(OIDC\)](#) credentials protocol to access resources when deploying or updating the [cloud infrastructure](#). [OIDC](#) allows workflows to interact with [AWS cloud](#) service provider resources without having to permanently store credentials as GitHub secrets. Before deploying the infrastructure, the [AWS](#) account configuration is adjusted to recognise the GitHub [OIDC](#) as a trusted federated identity. In addition, this identification is based on IAM roles. This limits the possible actions on resources to the minimum required. Everything must be configured before the [CI/CD](#) process is launched.

To enable this connection to be used, [AWS](#) provides a pre-built action which is integrated into the flows ([aws-actions/configure-aws-credentials](#)).

4.2.3 Infrastructure deployment

This initial flow is triggered each time a new modification is pushed into Git. Before deploying the [cloud infrastructure](#), the decision was taken to generate a claim certificate and store it in a dedicated S3 bucket. This operation is carried out only once, ensuring that the same claim certificate is always preserved, even if the infrastructure is destroyed and redeployed at a later date. This ensures that devices ready to be provisioned can always do so using the same claim certificate.

A second S3 bucket is specifically created to record the state of the infrastructure. Pulumi connects to it, orchestrating the deployment of the configured infrastructure, whether for the development or production environment.

Successful completion of this first stage simultaneously triggers two other processes: provisioning configuration and testing of the developed applications. If deployment fails, the entire [CI/CD](#) process is interrupted.

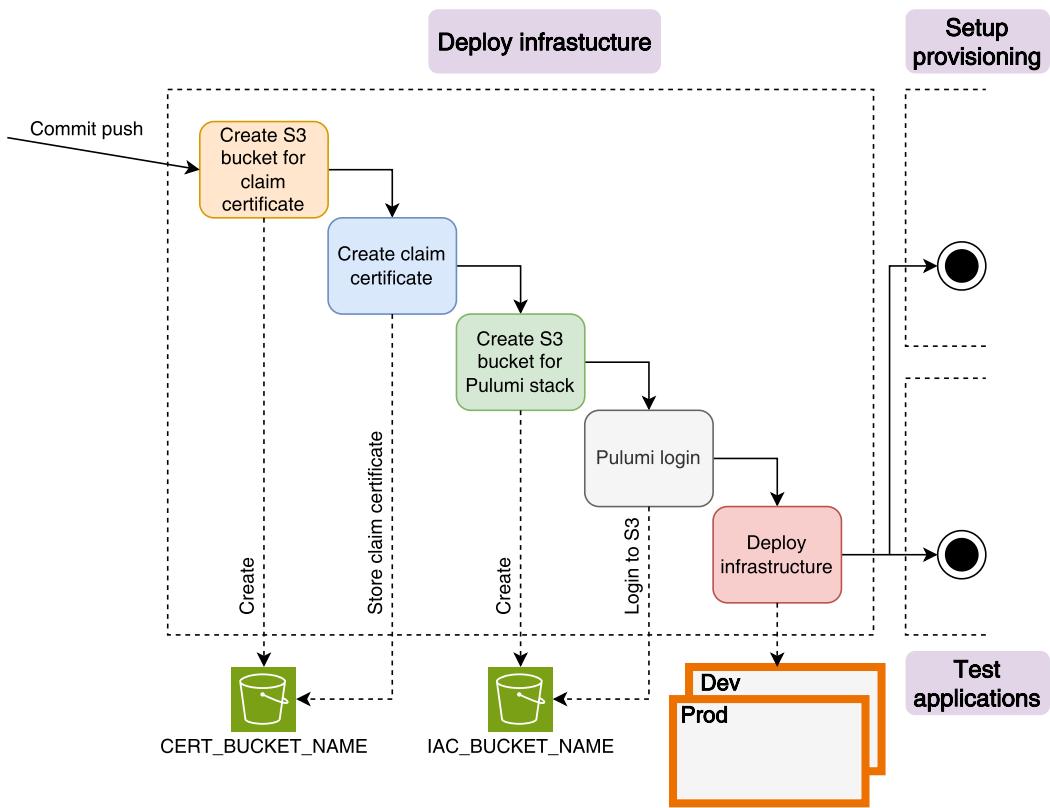


Figure 4.2 Infrastructure deployment workflow

4.2.4 Provisioning setup

This flow manages the preparation of configuration files for provisioning embedded systems, as well as checking devices that have already been provisioned. Two tasks are performed simultaneously. The first checks the serial numbers of devices in a dedicated list. If previously provisioned devices are no longer in the list, they are removed from the AWS cloud. Authorised devices are reassigned to the new infrastructure and their unique certificate is reassociated with the AWS IoT policy for actions in the cloud.

The second task, related to configuration, retrieves the IoT endpoints for future communications between the devices and AWS IoT. Next, the parameters are saved in a configuration file required for provisioning. This file is stored, along with the list of authorised devices, in the same S3 bucket as the claim certificate.

Only if this flow is successful will the creation of the Linux image begin.

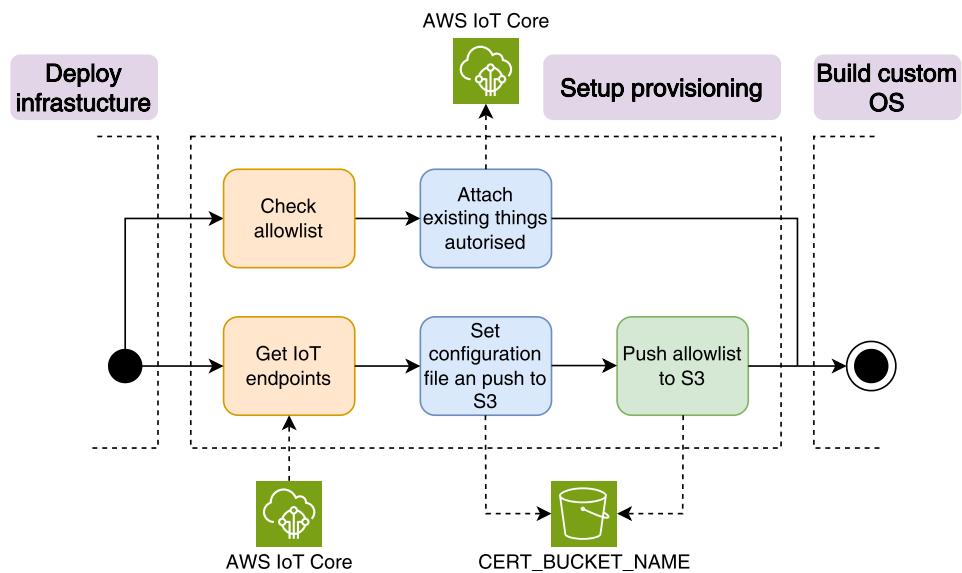


Figure 4.3 *Provisioning* setup workflow

4.2.5 OS image building

This process begins by retrieving the configuration file and the claim certificate, along with its associated private key, which have previously been saved in the S3 bucket. The image is then created using the Packer tool and its plugin. The image is created in a Docker container preconfigured with the necessary environment. Once the image has been generated, it is saved in its corresponding S3 bucket.

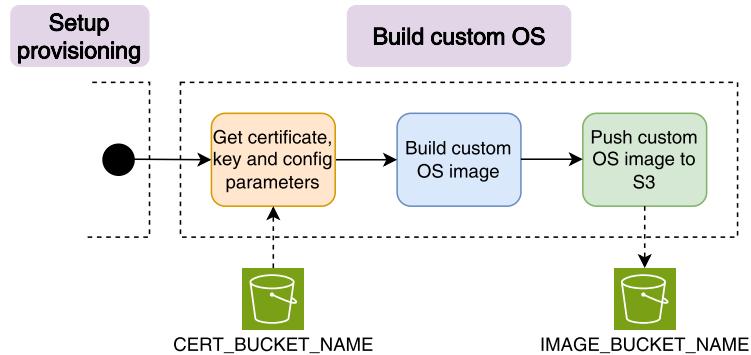


Figure 4.4 *OS* image building workflow

4.2.6 Applications testing

Simultaneously with the two previous workflows, this stage aims to test all the applications developed. It runs three tests concurrently. The first tests the linting of each application, helping to improve the quality of the code. The second performs static security tests for each application. Finally, unit tests are carried out using test code developed in parallel with the applications. The tools used in this task will depend on the programming language used.

If all the tests have been passed, the applications can be built.

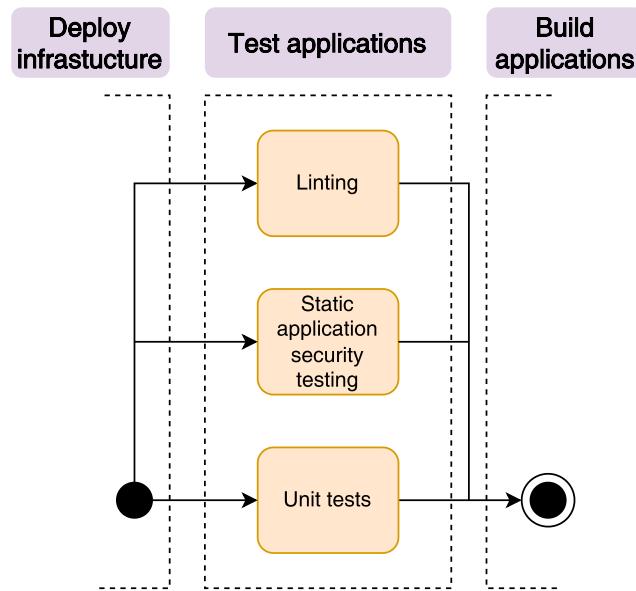


Figure 4.5 Applications testing workflow

4.2.7 Applications building

This process is divided into two stages. The first involves containerising applications using Docker, with this operation applied only to new applications or those that have undergone modifications. The resulting images are then stored in the dedicated Amazon ECR service.

The second part of the process focuses on creating a Greengrass component for each application. When a component is updated, a new version is created. However, only applications that have undergone modifications will see a new version of their component generated. It is also possible for a component's parameters to change, causing it to be updated. Each time a new version of a component is released, it is published in AWS IoT Greengrass, orchestrated by the AWS Greengrass Development Kit (GDK).

Once this workflow has been successfully completed, the Greengrass components are deployed.

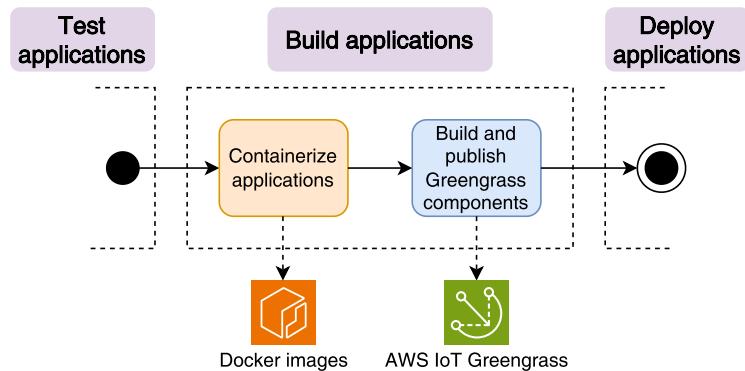


Figure 4.6 Applications building workflow

4.2.8 Applications deployment

This workflow supports the deployment of Greengrass components on a group of devices. It does this using the [AWS CLI](#). It doesn't matter whether the components have been updated or not, deployment takes place either way. [AWS IoT](#) Greengrass Deployment is smart enough to see if a new version of a component has been released. If this is the case, only the component with the new version will be deployed in the device group.

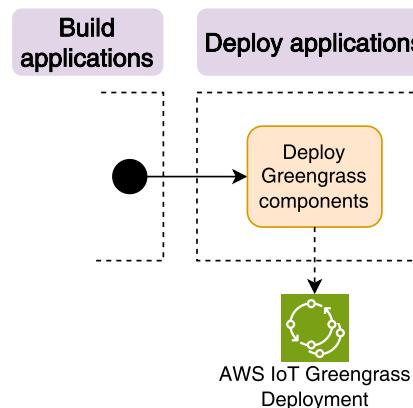


Figure 4.7 Applications deployment workflow

4.2.9 Infrastructure destruction

This last workflow is optional and has the task of dismantling the [AWS cloud infrastructure](#). To enable Pulumi to delete the S3 bucket containing the [OS](#) image, it must first be emptied. The [OS](#) image is then deleted. The bucket containing the configuration file and the list of authorised devices is emptied, with the exception of the claim certificate and its private key. Embedded systems integrated into the [AWS cloud](#) retain their unique certificate in [AWS](#), but lose their [AWS IoT](#) policy, dissociating them from any action. Finally, Pulumi connects to the S3 bucket containing the stack to completely remove the infrastructure. Once all the operations have been completed, the S3 bucket containing the stack is also deleted.

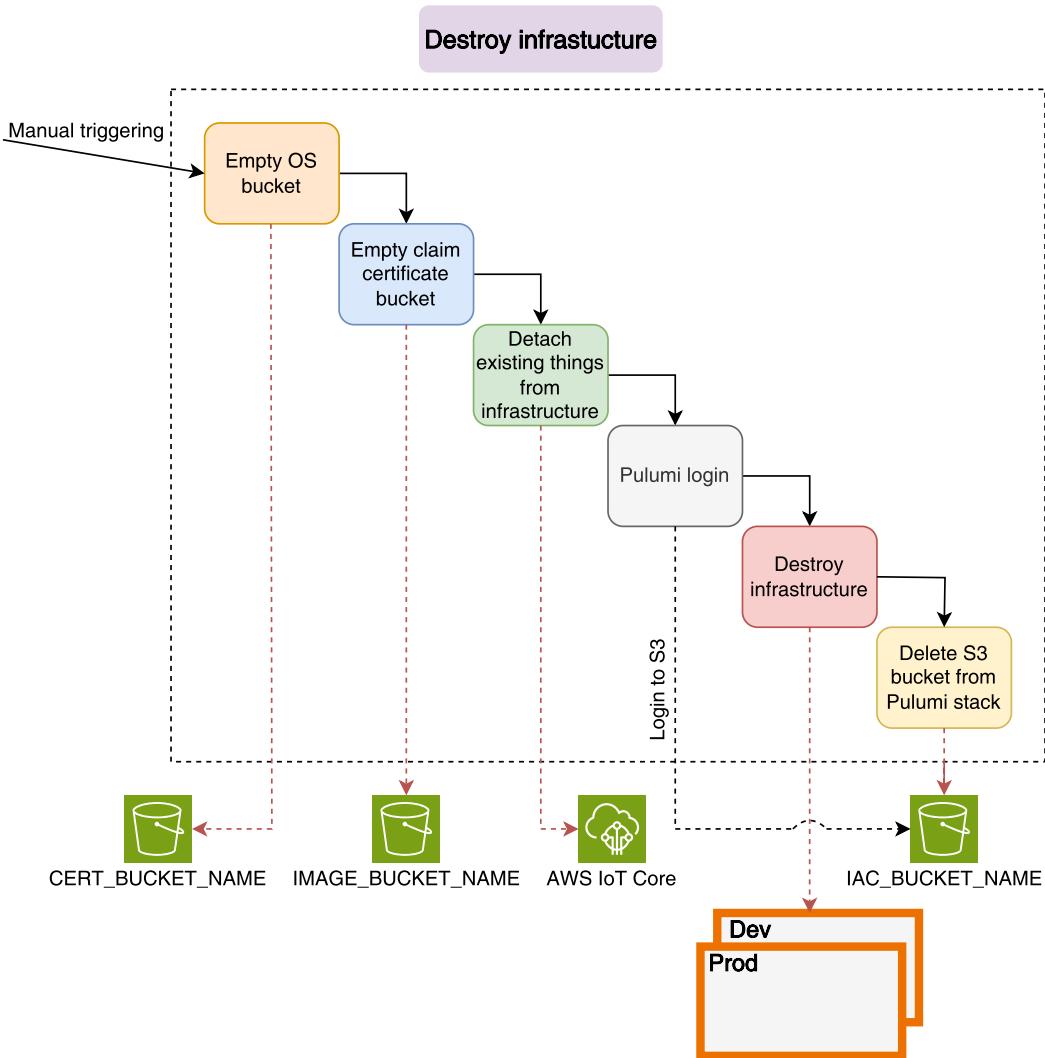


Figure 4.8 Infrastructure destruction workflow

4.3 Applications

4.3.1 Greengrass components

Greengrass components are software modules that are deployed on devices containing the [AWS IoT Greengrass](#) Core program. Components can be applications, runtime installers, libraries, or any code that is executable on a device. Components may depend on other components. For example, one component installs Python and other components depend on it to run Python applications. When components are deployed on a fleet of devices, [AWS IoT Greengrass](#) deploys only the software modules that the devices need. [79]

Here are some key points about Greengrass components :

- **Greengrass component definition** : A component can be defined as a combination of code (for example, a Python application), software dependencies and system resources. These may include libraries, configuration files, executables, etc.

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- **Component management** : [AWS IoT Greengrass](#) enables centralised management of components. Components can be created, published and managed in [AWS IoT Greengrass](#) and deployed to groups of Greengrass devices.
- **Types of components** : Two types of component are used
 - Nucleus (`aws.greengrass.nucleus`): The Greengrass nucleus is the mandatory installed component that provides the minimum functionality for the operation of the [AWS IoT Greengrass](#) Core software.
 - Generic (`aws.greengrass.generic`): The Greengrass nucleus executes lifecycle scripts for a generic component. This type is the default for custom components.
- **Deployment** : Components are deployed on groups of Greengrass devices to run device-level applications. Deployments are orchestrated and managed from the [AWS IoT Greengrass Deployment](#) service.
- **Versioning** : Components can be versioned, enabling different versions of the software to be managed and updates to be rolled out in a controlled manner.

In this project, a component is defined in a folder as follows :

```
component_1
└── gdk-config.json
└── recipe.yaml
```

The `gdk-config.json` file is specific to the [AWS GDK](#) tool, used to generate and publish a component to [AWS IoT Greengrass](#). It only includes parameters such as the component name, for example.

The `recipe.yaml` file describes the component, its required dependencies, its lifecycle and the location of the executable. An example of this file is shown below.

```
RecipeFormatVersion: "2020-01-25"
ComponentName: COMPONENT_NAME
ComponentVersion: COMPONENT_VERSION
ComponentDescription: COMPONENT_DESCRIPTION
ComponentPublisher: AUTHOR
ComponentConfiguration:
  DefaultConfiguration:
    var1: 1
    var2: "frequency"
    accessControl:
      aws.greengrass.ipc.mqttproxy:
        com.app:mqttproxy:1:
          policyDescription: "Allows access to subscribe to input
            ↳ topics from AWS IoT."
          operations:
            - "aws.greengrass#SubscribeToIoTCore"
        resources:
          - "topic1"
      com.app:mqttproxy:2:
        policyDescription: "Allows access to publish to output
          ↳ topics to AWS IoT."
        operations:
          - "aws.greengrass#PublishToIoTCore"
        resources:
          - "topic2"
ComponentDependencies:
```

```

aws.greengrass.DockerApplicationManager
Manifests:
- Platform:
  os: linux
  architecture: aarch64
Lifecycle:
  run:
    RequiresPrivilege: true
    Script: docker run DOCKER_IMAGE@DIGEST
Artifacts:
- URI:
  ↳ "docker:AWS_ACCOUNT_ID.dkr.ecr.AWS_REGION.amazonaws.com/DOCKER_IMAGE"

```

Starting at the top of the example, information about the component is presented. Next, the configuration (*ComponentConfiguration*) is detailed, allowing the creation of variables and the configuration of access controls (*accessControl*). The latter is used to define authorised operations, typically using the [MQTT](#) protocol, such as the topics to which the component can publish or subscribe. Next, the dependent components are specified (*ComponentDependencies*). Finally, the lifecycle (*Lifecycle*) is detailed, along with the specification of the environment platform. In this example, a Docker application is launched and its image has been retrieved from the Amazon [ECR](#) service via its URI.

4.3.2 Containerization

The Python applications developed in this project are run in Docker containers. The creation of the application image requires the use of a Dockerfile. This specifies the architecture of the embedded system on which the container is to run, as well as the base image used. Finally, the Dockerfile copies the directory containing the application into its environment, then simply executes a bash script. This bash script installs the necessary Python libraries and launches the Python application.

```

docker_app_1
├── app_1
│   ├── start.sh
│   ├── requirements.txt
│   └── main.py
└── Dockerfile

```

4.3.3 Certificate rotator application

This application, which is essential to ensure that [IoT](#) devices work properly, has been implemented in Python. Figure 4.9 illustrates the associated class diagram.

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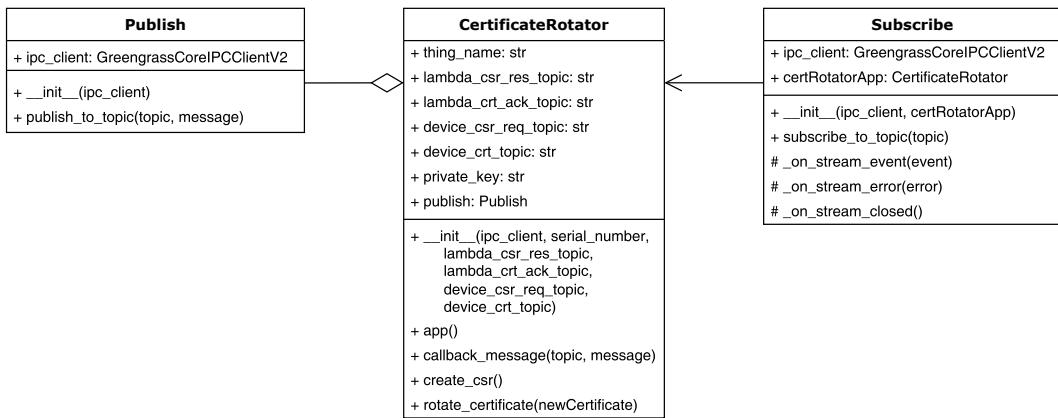


Figure 4.9 Certificate rotation application class diagram

Below is a description of the various classes :

- **CertificateRotator** : Main class that includes the program's main loop. It generates CSR and private keys. It also changes the old certificates to the new ones received.
- **Publish** : Class that publishes MQTT messages to AWS IoT.
- **Subscribe** : Class that receives MQTT messages from AWS IoT.

A sequence diagram illustrating how the application works is available in figure 4.10.

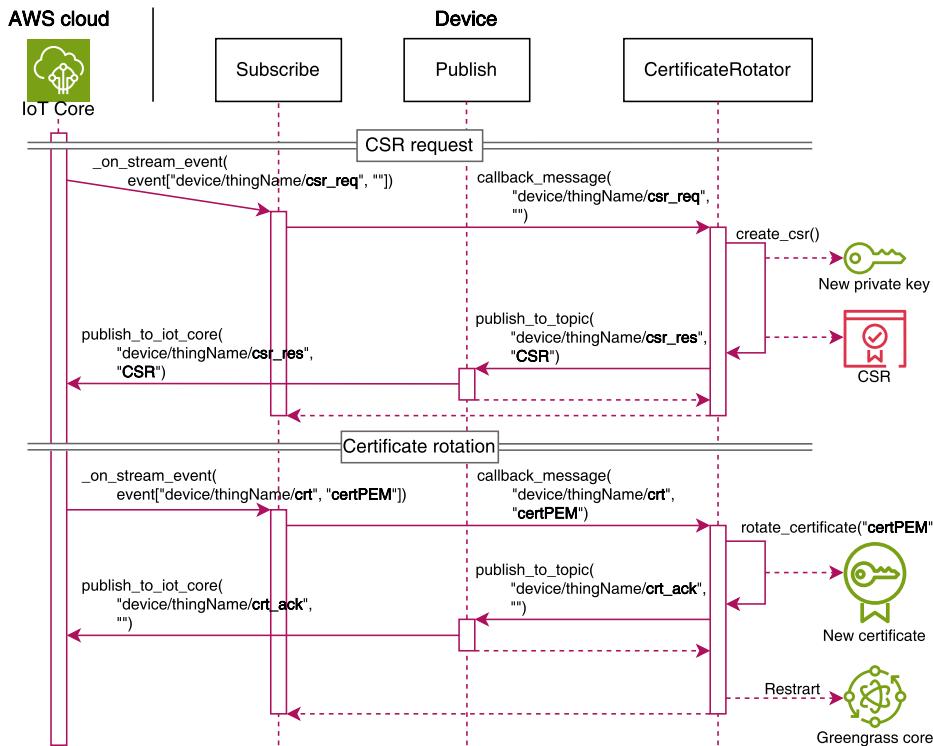


Figure 4.10 Certificate rotation application sequence diagram

The sequence diagram 4.10 highlights the two main actions of the application : the **Certificate Signing Request (CSR)** and the certificate replacement. When the MQTT broker integrated into AWS IoT Core transmits a message relating to the creation of the

CSR, the corresponding method is triggered. This method then sends the CSR to AWS IoT Core, which responds by providing a new certificate, thus initiating the rotation process.

Another sequence diagram is shown in Figure 4.11, detailing the process of integrating the application into the IoT device in collaboration with AWS services.

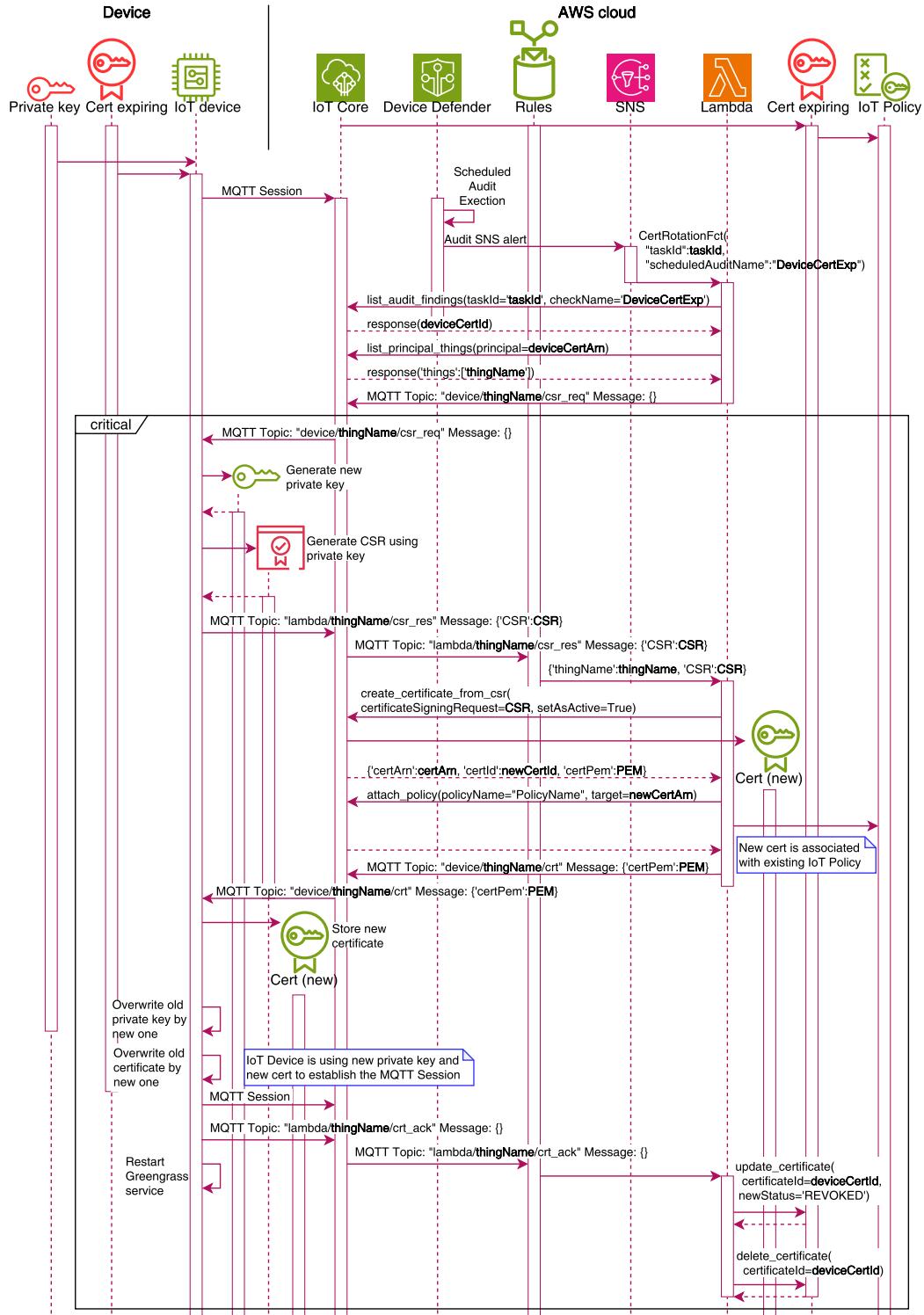


Figure 4.11 Certificate rotation sequence diagram with AWS services [72]

When a device's certificate expires, [AWS IoT](#) Device Defender sends a notification to the device via the Amazon SNS service and a Lambda function. The device then creates a [CSR](#), which it sends to [AWS IoT](#). This service then generates a new unique certificate by signing it with the Amazon Certificate Authority. The new certificate is then sent to the device, which replaces it with the old one. Finally, confirmation of the rotation is sent to the [cloud](#). [AWS IoT](#) then triggers a Lambda function that revokes and deletes the old certificate. Meanwhile, the Greengrass service on the [IoT](#) device is restarted to support the new certificate for all new communications.

4.3.4 Led application

The led application was developed in Python using the class diagram shown in figure 4.12.

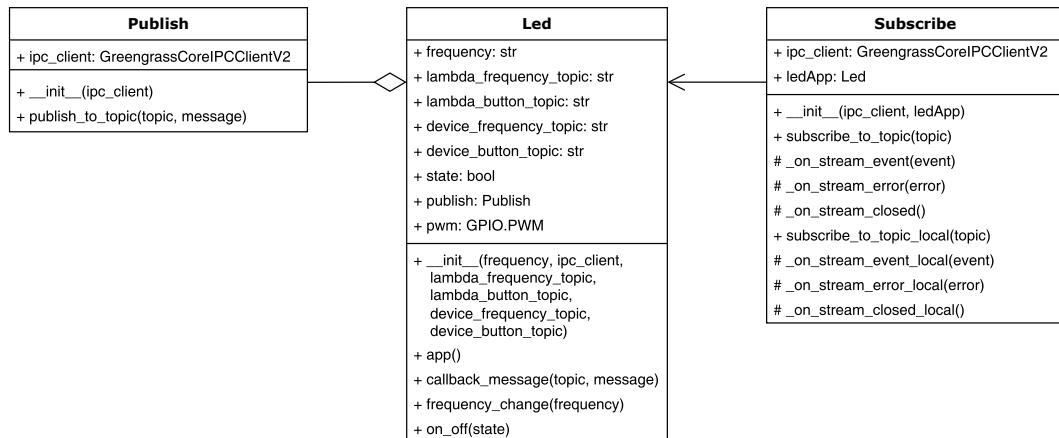


Figure 4.12 Led application class diagram

Below is a description of the various classes :

- **Led** : Main class that includes the program's main loop. It changes the blinking frequency of the led according to the messages received. It also manages the activation and deactivation of the blinking.
- **Publish** : Class that publishes [MQTT](#) messages to [AWS IoT](#).
- **Subscribe** : Class that receives [MQTT](#) messages from [AWS IoT](#) and the button application at local level.

The application's behaviour is shown in figure 4.13 by a sequence diagram.

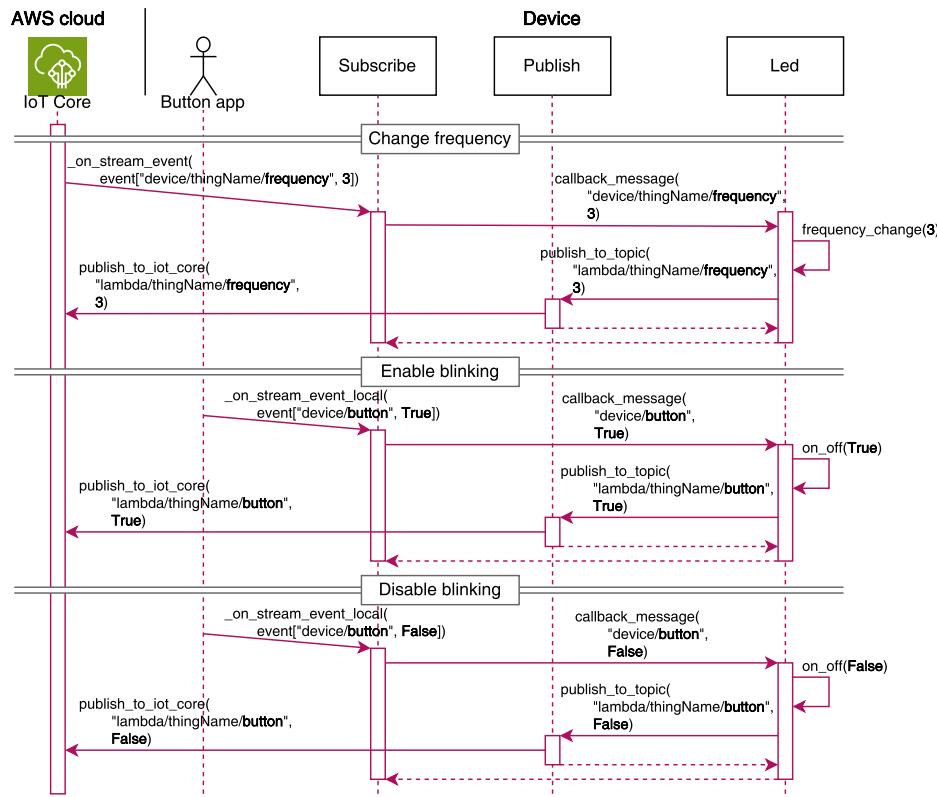


Figure 4.13 Led application sequence diagram

The frequency is modified from an interface associated with **AWS IoT** Core, which sends messages asynchronously. The message is routed to the core class, which responds by sending an **MQTT** message to **AWS IoT** to confirm receipt and optionally logs this data in an interface. Activation of the blinking is controlled by a button on the device, managed by the button application. Depending on the events, a local **MQTT** message is transmitted to the main class. The **Led** class sends a confirmation to **AWS IoT** with the status of the blinking, so that it can be logged if necessary. When the external button is pressed a second time, the status is logically reversed.

4.3.5 Button application

The button application has been implemented using the following class diagram 4.14. The programming language remains Python.

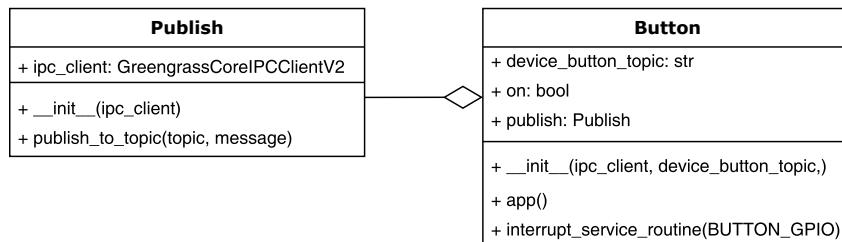


Figure 4.14 Button application class diagram

Here is a description of the different classes :

- *Button* : Main class that contains the program's main loop. This is the class that manages the interrupts each time the external button is pressed. **MQTT** messages are prepared by this class.
- *Publish* : Class which publishes local **MQTT** messages to the led application.

The sequence diagram 4.15 describes the internal workings of the application.

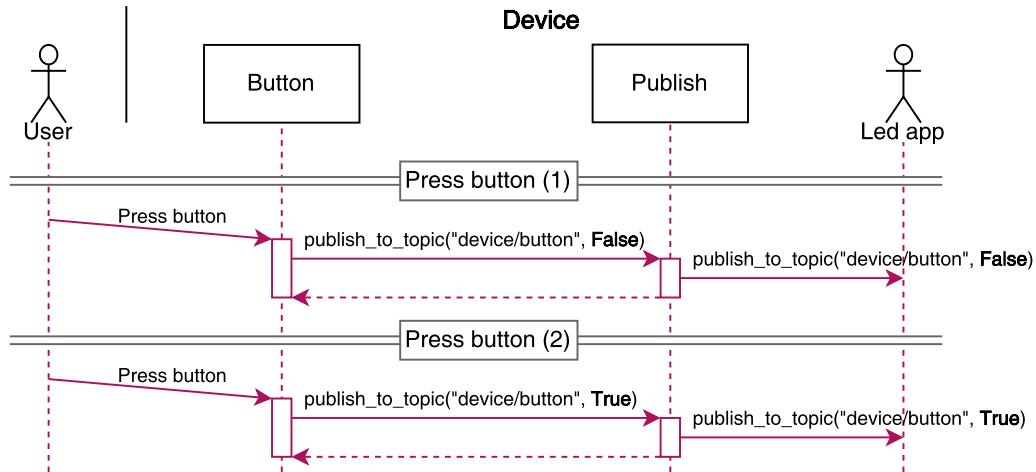


Figure 4.15 Button application sequence diagram

The user can press the external button connected to the **IoT** device at any time. An interrupt is triggered in the main class, reversing the current state of the blinking. In the sequence diagram scenario, blinking was initially enabled. The first time it is pressed, the blinking is deactivated. An **MQTT** message is then sent to the led application. When the button is pressed again, the status is reversed and the blinking should reactivate.

4.3.6 Corrupt application

As mentioned in the section on containerization 4.3.2, it is possible to specify the processor architecture on which the Docker container should run. On **Arm SystemReady** certified embedded systems, the **Arm** processor architecture is *arm64*. To make this application ineligible to run, an incorrect architecture is specified. In this case, the *amd64* architecture has been deliberately specified in the Dockerfile, as follows :

```

FROM --platform=linux/amd64 python:latest
CMD ["echo", "Corrupt Docker container"]
  
```

The Greengrass component itself is correctly implemented and will run normally. However, when executing the Docker command with the incompatible image, an error occurs and the application is stopped.

4.3.7 OS update

This application simply consists of command lines. These are written directly in the structure of the Greengrass component. The corresponding file, *recipe.yaml*, is constructed as follows :

```
RecipeFormatVersion: "2020-01-25"
ComponentName: "COMPONENT_NAME"
ComponentVersion: "{COMPONENT_VERSION}"
ComponentDescription: "This is a component to update OS."
ComponentPublisher: "{COMPONENT_AUTHOR}"
ComponentConfiguration:
DefaultConfiguration:
    update_no: "./update_1"
Manifests:
- Platform:
    os: linux
    architecture: aarch64
Lifecycle:
run:
    RequiresPrivilege: true
    Script: |-
        if [ ! -f {configuration:/update_no} ] ; then
            touch {configuration:/update_no}

            # Write your commands
            apt-get update
            shutdown -r now
        else
            echo "Already updated."
        fi
```

Each time the `OS` needs to be updated, a new version must be assigned to the `update_no` variable. This represents the name of a file with its path, ensuring that the update is only carried out once. In the script section, a condition checks whether this file has already been created. If so, this means that the `OS` update has already been performed. If not, the file is created and the commands specified under the comment "`# Write your commands`" are executed sequentially. These commands, chosen by the developer, are responsible for updating the functionality of the `OS` and are executed with full administrator privileges. The file created keeps a record that the update has already taken place when the device reboots to ensure the update.

5 | Validation

This chapter outlines the tests carried out, along with their results, to demonstrate the proper functioning of the reference architecture as a whole. In addition, it examines the notion of cost linked to the [cloud infrastructure](#).

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5.1 Project configuration

Before pushing this reference architecture into a new GitHub repository, a few project configurations have been made. Firstly, it is essential to add the GitHub Actions user as a trusted identity so that AWS can grant him access to its resources. In the AWS account on which the infrastructure is deployed, the GitHub identity provider is specified (figure 5.1).

The screenshot shows the 'Identity providers' page in the AWS Management Console. It displays a single provider entry:

Provider	Type	Creation time
token.actions.githubusercontent.com	OpenID Connect	Now

Figure 5.1 GitHub identity provider

An IAM role has been set up to authorise only the project's GitHub repository to access resources. A policy is linked to limit the actions allowed on the resources (figure 5.2).

The screenshot shows the 'OIDCRole' page in the AWS Management Console. It includes a 'Summary' section with creation date and last activity information, and a 'Permissions' tab selected. Under the 'Permissions' tab, it shows a list of attached permissions policies:

Policy name	Type
OIDCPolicy	Customer managed

Figure 5.2 IAM OIDC role

The secret and visible variables that need to be configured have been added to the GitHub repository (figures 5.3 and 5.4).

5.1 Project configuration

The screenshot shows the 'Repository secrets' section of a GitHub repository. It includes a table with two rows:

Name
OIDC_ROLE_AWS
PULUMI_CONFIG_PASSPHRASE

Figure 5.3 GitHub secret variables

The screenshot shows the 'Repository variables' section of a GitHub repository. It includes a table with five rows:

Name	Value
AWS_REGION	eu-central-1
CERT_BUCKET_NAME	cert-key-config-provisioning-dev
IAC_BUCKET_NAME	pulumi-infra-dev
IAC_STACK_NAME	dev
IMAGE_BUCKET_NAME	os-image-dev

Figure 5.4 GitHub visible variables

The configuration file for the Pulumi tool was configured by specifying the AWS account ID and the region (figure 5.5).

```
! Pulumi.dev.yaml M ●
cloud-infrastructure > ! Pulumi.dev.yaml
1 config:
2   | iot-ref-arch:aws-account-id: 211125652522
3   | iot-ref-arch:aws-region: eu-central-1
```

Figure 5.5 Pulumi configuration file (development environment)

Finally, the serial numbers of the devices authorised to be provisioned have been listed. In this case, only a Raspberry Pi 4 is authorised (figure 5.6).

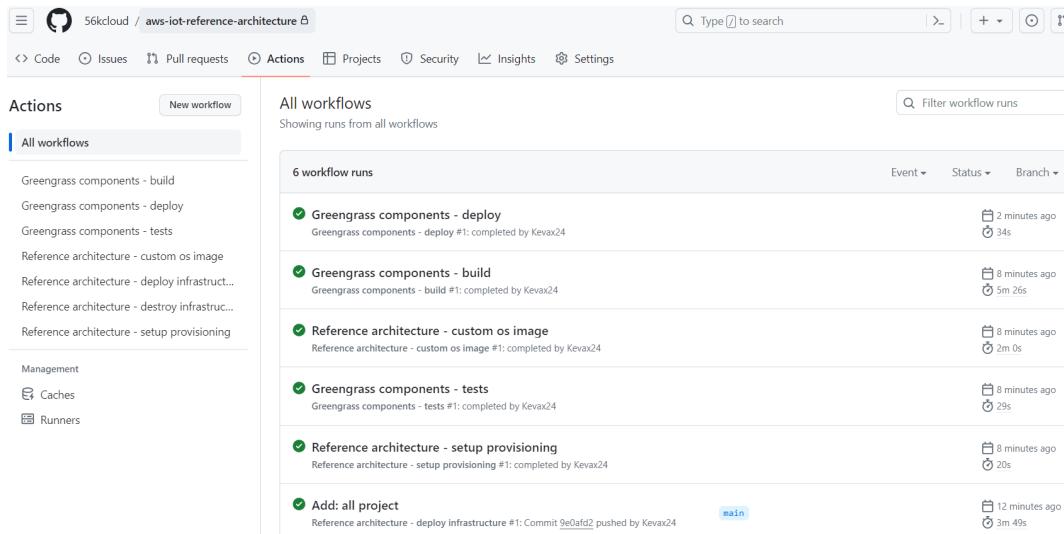
```
allowlist.txt M X
cloud-infrastructure > allowlist.txt
1 100000000f566ff7
```

Figure 5.6 Embedded systems allowlist

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5.2 CI/CD pipeline

After the previous configuration, the project was pushed into the GitHub repository. The **CI/CD** pipeline started automatically, beginning with the deployment of the **cloud infrastructure**. All tasks were completed successfully. The different times for each workflow can be seen on the right-hand side of figure 5.7. Total process time is just over 10 minutes.



The screenshot shows the GitHub Actions interface for the repository '56kcloud / aws-iot-reference-architecture'. The left sidebar lists 'Actions' and 'All workflows'. The main area displays '6 workflow runs' with the following details:

Workflow	Last Run	Duration
Greengrass components - deploy	2 minutes ago	34s
Greengrass components - build	8 minutes ago	5m 26s
Reference architecture - custom os image	8 minutes ago	2m 0s
Greengrass components - tests	8 minutes ago	29s
Reference architecture - setup provisioning	8 minutes ago	20s
Add: all project	12 minutes ago	3m 49s

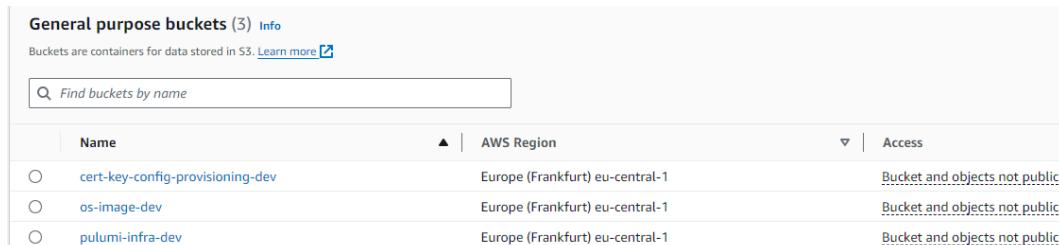
Figure 5.7 Successful workflows

The **cloud infrastructure** has been deployed on the development account specified and in the **eu-central-1** region. The configuration for the provisioning of the embedded systems was carried out correctly with the creation of the **OS** image. The base image used is **Raspberry Pi OS Lite** based on the Debian distribution.

At the same time, the applications were tested, including the led application, the button application and the certificate rotation application. Five Greengrass components were created and published in **AWS**. These components were also deployed.

5.3 Cloud infrastructure deployment

All the resources described in Pulumi have been created correctly. In this sequel, they are not all mentioned and presented in images due to the sheer number of resources. An example is shown in figure 5.8 where the S3 buckets have been created. There is the storage space for the state of the infrastructure, another for the provisioning configuration and finally one where the **OS** image is stored.



The screenshot shows the AWS S3 console under the 'General purpose buckets' section. It lists three buckets:

Name	AWS Region	Access
cert-key-config-provisioning-dev	Europe (Frankfurt) eu-central-1	Bucket and objects not public
os-image-dev	Europe (Frankfurt) eu-central-1	Bucket and objects not public
pulumi-infra-dev	Europe (Frankfurt) eu-central-1	Bucket and objects not public

5.3 Cloud infrastructure deployment

Figure 5.8 S3 buckets

The IoT device group has been created under the GreengrassGroup name. Two policies were created for provisioning and for interactions once provisioned (figure 5.9). The claim certificate has also been prepared and linked to the provisioning policy.

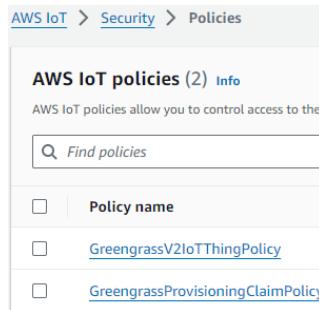


Figure 5.9 IoT policies

The Greengrass components have been successfully published (figure 5.10). Docker images of the applications are also available in the Amazon ECR service.

AWS IoT > Greengrass > Components

Greengrass components [Info](#)

My components | Public components | Community components

My components (5)

Your components are private components that only you can see and deploy to core devices. [Learn more](#)

Name	Publisher	Version	Operating systems	Architectures
os_update	Kevax24	1.0.0	linux	aarch64
docker_led	Kevax24	1.0.0	linux	aarch64
docker_corrupt	Kevax24	1.0.0	linux	aarch64
docker_certificate_rotator	Kevax24	1.0.0	linux	aarch64
docker_button	Kevax24	1.0.0	linux	aarch64

Figure 5.10 Greengrass components

A deployment service is ready to deploy applications as soon as devices are provisioned. It targets the group created (figure 5.11).

AWS IoT > Greengrass > Deployments

Greengrass deployments [Info](#)

Greengrass deployments (1)

Deployment	Target name	Target type	Status
Deployment from CI/CD pipeline	GreengrassGroup	Thing group	Active

Figure 5.11 AWS IoT Greengrass Deployment

5.3.1 Second environment deployment

Above, the development environment has been deployed. This section deals with testing the deployment of the production infrastructure. To do this, the variables configured in GitHub were adjusted (figure 5.12). A new OIDC connection has been set up. By specifying the environment to the `IAC_STACK_NAME` variable, it will call the associated Pulumi configuration file (`Pulumi.prod.yaml`).

Repository variables	
Name	Value
<code>AWS_REGION</code>	eu-central-1
<code>CERT_BUCKET_NAME</code>	cert-key-config-provisioning-prod
<code>IAC_BUCKET_NAME</code>	pulumi-infra-prod
<code>IAC_STACK_NAME</code>	prod
<code>IMAGE_BUCKET_NAME</code>	os-image-prod

Figure 5.12 GitHub visible variables for the production environment

The CI/CD pipeline was launched manually from the GitHub interface. All workflows passed. Figure 5.13 shows part of the deployment of the production infrastructure. The S3 buckets are named in accordance with the variables defined in GitHub. In addition, figure 5.13 is a screenshot from an AWS account separate from the development account, specifically dedicated to production.

General purpose buckets (3) Info			
Buckets are containers for data stored in S3. Learn more			
<input type="text"/> Find buckets by name			
Name	AWS Region	Access	
<code>cert-key-config-provisioning-prod</code>	Europe (Frankfurt) eu-central-1	Bucket and objects not public	
<code>os-image-prod</code>	Europe (Frankfurt) eu-central-1	Bucket and objects not public	
<code>pulumi-infra-prod</code>	Europe (Frankfurt) eu-central-1	Bucket and objects not public	

Figure 5.13 S3 buckets in the production environment

5.4 Provisioning a Raspberry Pi 4

The integration of an IoT device was a success. A Raspberry Pi 4 was provisioned. The OS image was first downloaded from the S3 bucket. It was then flashed into an SD card (figure 5.14).

5.4 Provisioning a Raspberry Pi 4

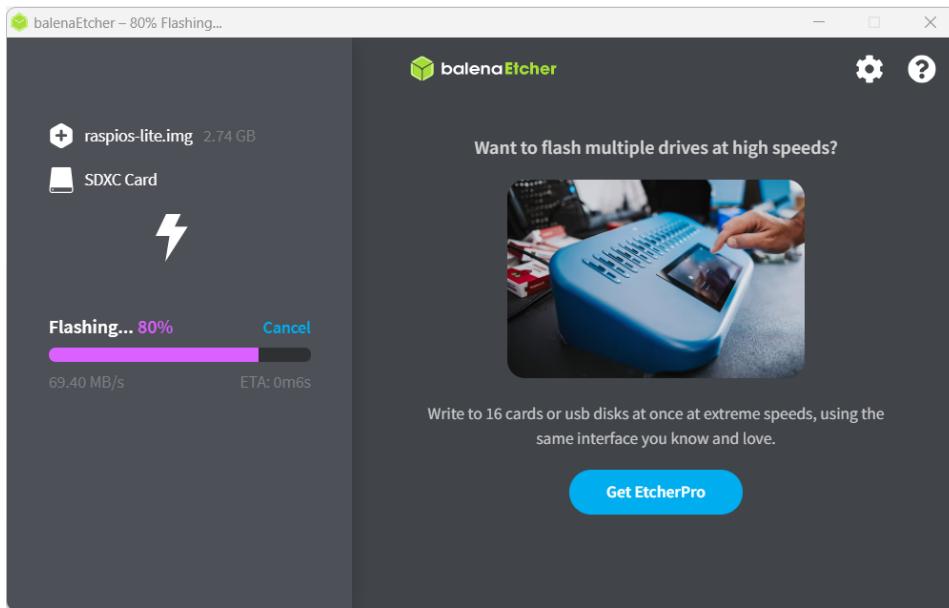


Figure 5.14 OS image flashing

The Raspberry Pi 4 was then powered up and booted for the first time. All the software was installed and [provisioning](#) was successfully completed (figure 5.15).

A screenshot of a terminal window on a Raspberry Pi. The prompt "pi@raspberrypi: ~" is at the top. The terminal output shows the deployment of AWS IoT Greengrass software: "inflating: GreengrassInstaller/META-INF/MANIFEST.MF" through "inflating: GreengrassInstaller/bin/loader.cmd", followed by "version of the AWS IoT Greengrass Core software : 2.12.1", "Done", and "***** Download the AWS IoT fleet provisioning plugin... *****". It then continues with "Done", "***** Install the AWS IoT Greengrass Core software and provision device o", "n AWS... *****", "Successfully set up Nucleus as a system service", and "Done". A small green progress bar icon is at the bottom left.

Figure 5.15 End of [provisioning](#) on the device

[Provisioning](#) is confirmed in figure 5.16. AWS has created a digital twin of this device on the [AWS IoT](#) service. The name corresponds to its serial number. It is linked to the group created earlier. A unique X.509 certificate has been provided.

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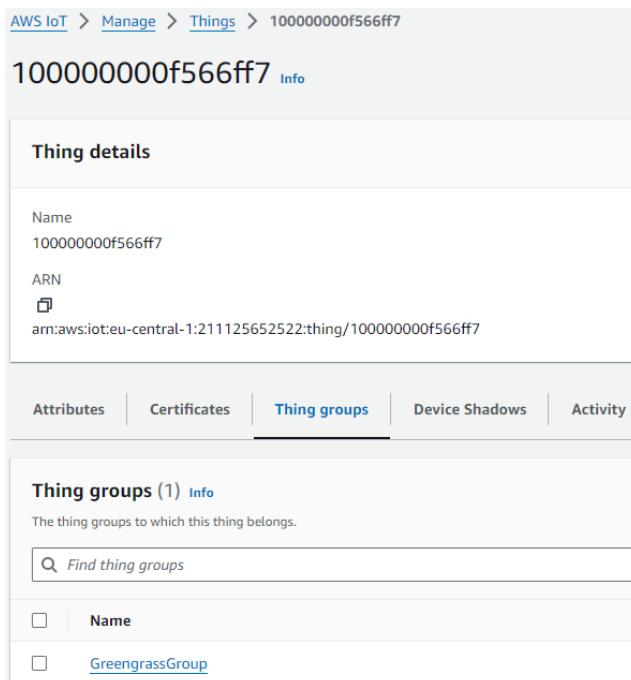


Figure 5.16 Confirmed provisioning on AWS

It should be noted that the power supply to the Raspberry Pi 4 was removed several times. Each time it was reconnected, the device reconnected to AWS IoT Core.

5.4.1 Adding a second Raspberry Pi 4

A second Raspberry Pi 4 has been successfully provisioned. Its serial number has been added to the list of authorised devices. A proof of its digital twin can be seen in figure 5.17.

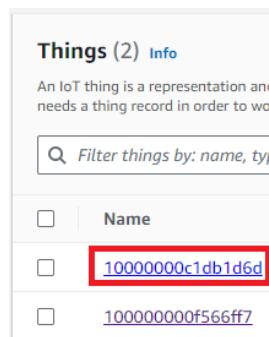


Figure 5.17 Second provisioning confirmed on AWS

The components have been deployed correctly. A message exchange can be seen on the AWS MQTT client interface (figure 5.18). A new blinking frequency was sent to the second Raspberry Pi 4 and a message from it was returned to AWS IoT.

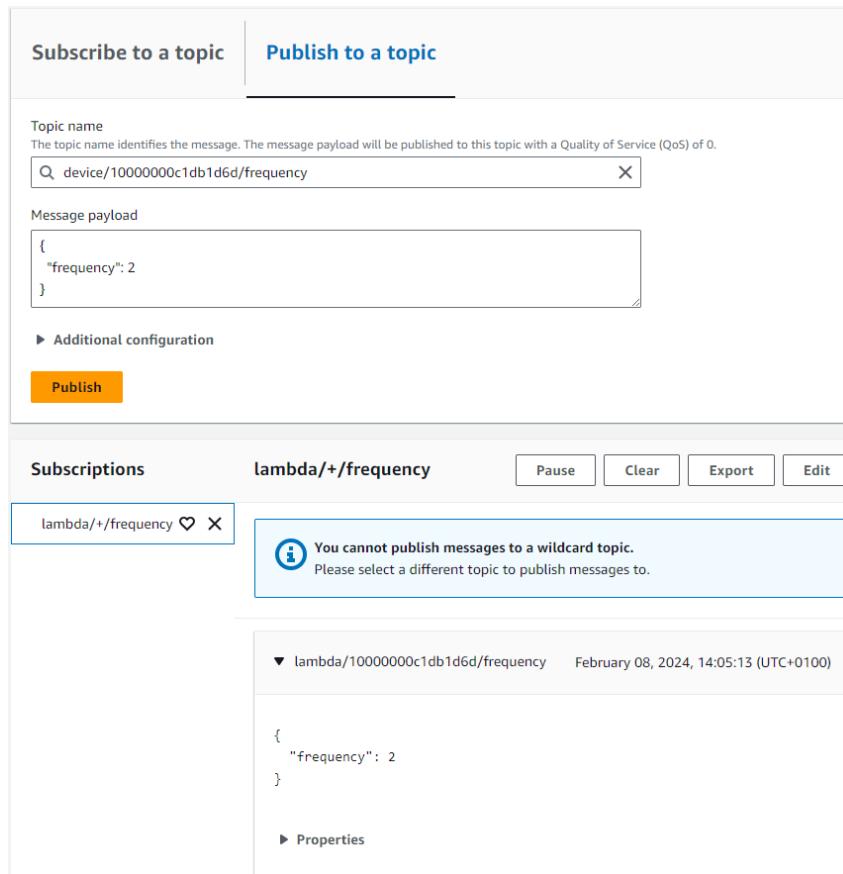
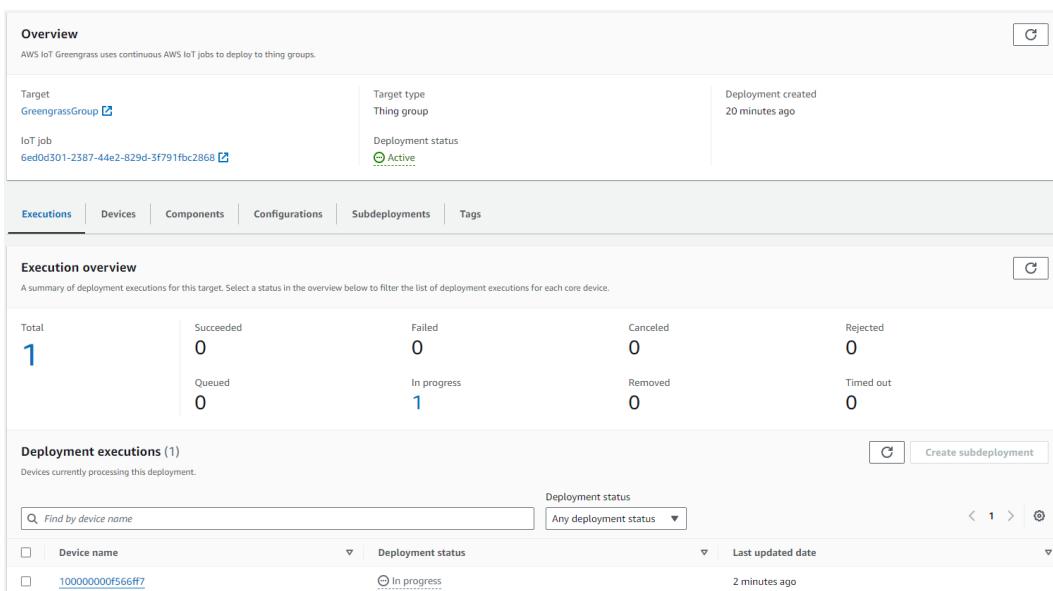


Figure 5.18 Exchanging **MQTT** messages with the second Raspberry Pi 4

5.5 Applications

All the applications were deployed on the Raspberry Pi 4. As soon as it was provisioned, the deployment started. This can be seen in figure 5.19.



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Figure 5.19 Deployment of Greengrass components in progress

Deployment took just a few minutes. The Greengrass components acting as intermediaries for the applications can be seen in figure 5.20. The dependencies are also installed in addition to the Greengrass nucleus `aws.greengrass.Nucleus`.

```
pi@raspberrypi: ~
Components currently running in Greengrass:
Component Name: UpdateSystemPolicyService
  Version: 0.0.0
  State: RUNNING
  Configuration: null
Component Name: aws.greengrass.Nucleus
  Version: 2.12.1
  State: FINISHED
  Configuration: {"awsRegion":"eu-central-1","compdicStatusPublishIntervalSeconds":86400.0,"greengrasazonaws.com","iotDataEndpoint":"awlx55ulwrl-ats.ic"spooler":{}}, "networkProxy": {"proxy": {}}, "platformCComponent Name: aws.greengrass.DockerApplicationMana
  Version: 2.0.11
  State: RUNNING
  Configuration: {}
Component Name: aws.greengrass.TokenExchangeService
  Version: 2.0.3
  State: RUNNING
  Configuration: {"activePort":41095.0}
Component Name: FleetStatusService
  Version: null
  State: RUNNING
  Configuration: null
Component Name: docker_corrupt
  Version: 1.0.0
  State: BROKEN
  Configuration: {}
Component Name: TelemetryAgent
  Version: 0.0.0
  State: RUNNING
  Configuration: null
Component Name: docker_led
  Version: 1.0.0
  State: RUNNING
  Configuration: {"accessControl":{"aws.greengrassribe to input topics.", "resources": ["device/+frequencytopics"], "resources": ["lambda/+frequency", "lambda/low access to subscribe to input topics at local level", "lambda button topic": "lambda/+button", "lambda frComponent Name: os_update
  Version: 1.0.0
  State: FINISHED
  Configuration: {"update_no":"/tmp/update_1"}
Component Name: DeploymentService
  Version: 0.0.0
  State: RUNNING
  Configuration: null
Component Name: docker_certificate_rotator
  Version: 1.0.0
  State: RUNNING
  Configuration: {"accessControl":{"aws.greengrassaccess to subscribe to input topics.", "resources": [{"option": "Allows access to publish to output topics."}], "da_crt_ack_topic": "lambda/+crt_ack", "lambda_csr_resComponent Name: aws.greengrass.Cli
  Version: 2.12.1
  State: RUNNING
  Configuration: {"AuthorizedPosixGroups":null, "AuComponent Name: docker_button
  Version: 1.0.0
  State: RUNNING
```

Figure 5.20 Greengrass components deployed

It is possible to see an overview of the Raspberry Pi 4 on AWS as shown in figure 5.21. Its state of health is poor because a component failed when it was launched. In fact, the corrupted component was unable to start up correctly and was interrupted. The other components are in a state of execution or completion.

5.5 Applications

The screenshot shows the AWS IoT Greengrass Core device overview for device `100000000f566ff7`. The top navigation bar includes links for AWS IoT, Greengrass, Core devices, and the specific device ID. The main title is `100000000f566ff7`. Below the title is the **Overview** section, which states that Greengrass core devices are AWS IoT things that run the Greengrass Core software. It displays the following information:

Thing	Status
<code>100000000f566ff7</code>	Unhealthy

Greengrass Core software version: 2.12.1. To the right, there are **Logs** and a link to **View in Cloudwatch**.

Below the overview are tabs for Components, Deployments, Thing groups, Client devices, and Tags. The **Components** tab is selected, showing a table of components:

Name	Dependency type	Version	Status	Status changed	Status reported
os_update	Root	1.0.0	Finished	4 days ago	1 hour ago
aws.greengrass.Cli	Root	2.12.1	Running	1 hour ago	1 hour ago
docker_led	Root	1.0.0	Running	4 days ago	1 hour ago
docker_button	Root	1.0.0	Running	4 days ago	1 hour ago
docker_corrupt	Root	1.0.0	Broken	1 hour ago	1 hour ago
docker_certificate_rotator	Root	1.0.0	Running	4 days ago	1 hour ago

A search bar is located above the component table.

Figure 5.21 Overview of the Raspberry Pi 4 from AWS

5.5.1 Applications interaction

The applications were then tested. The first was the led application. The AWS web interface was used to send MQTT messages and view the data received. A message is sent to the Raspberry Pi 4 to change its led blinking frequency. A response was sent back to AWS IoT in another topic including the new frequency. A proof can be found in figure 5.22.

Chapter 5. Validation

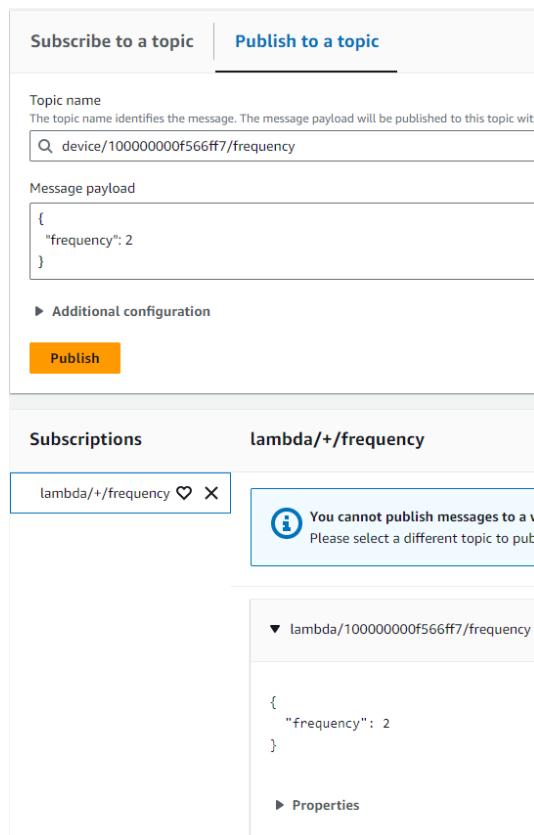


Figure 5.22 Frequency change on AWS

Figure 5.23 shows the led application output message thread. It is possible to view a frequency received on the Raspberry Pi 4.

```
pi@raspberrypi: ~
2024-01-29T08:25:55.146Z [WARN] (Copier) docker_led: stderr. [notice] To update, run: pip install --upgrade pip. (scriptName=services.docker_led.lifecycle.run.Script, serviceName=docker_led, currentState=RUNNING)
2024-01-29T08:52:17.032Z [INFO] (Copier) docker_led: stdout. Received new message on topic device/100000000f566ff7/frequency: {. (scriptName=services.docker_led.lifecycle.run.Script, serviceName=docker_led, currentState=RUNNING)
2024-01-29T08:52:17.033Z [INFO] (Copier) docker_led: stdout. {"frequency": 2. (scriptName=services.docker_led.lifecycle.run.Script, serviceName=docker_led, currentState=RUNNING)
2024-01-29T08:52:17.033Z [INFO] (Copier) docker_led: stdout. }. (scriptName=services.docker_led.lifecycle.run.Script, serviceName=docker_led, currentState=RUNNING)
```

Figure 5.23 Frequency change on the Raspberry Pi 4

The button application was also tested. The external button on the Raspberry Pi 4 was pressed twice. MQTT messages were transmitted to the led application locally and then published to AWS IoT. The messages can be seen in figure 5.24. The first press deactivates the blinking of the led and the second reactivates it.

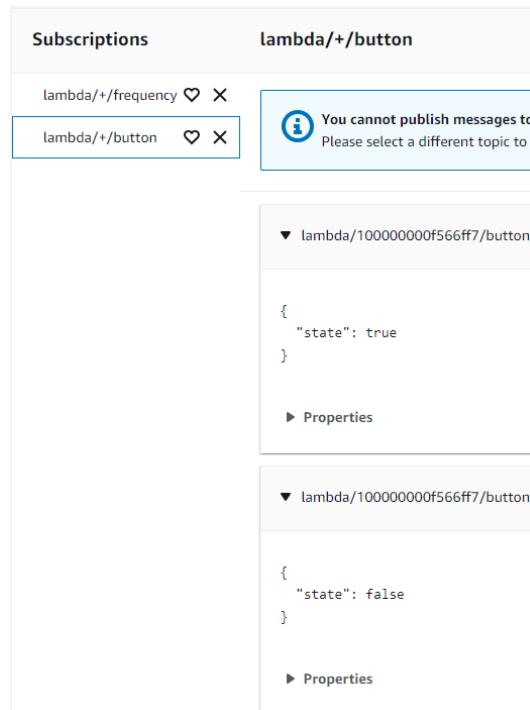


Figure 5.24 Blink status changes on AWS

Figure 5.25 shows the output message thread of the led application. It is possible to view the MQTT messages received from the button application on the Raspberry Pi 4.

```
pi@raspberrypi: ~
2024-01-29T08:25:55.146Z [WARN] (Copier) docker_led: stderr. [notice] To update, run: pip install --upgrade pip. (scriptName=services.docker_led.lifecycle.run.Script, serviceName=docker_led, currentState=RUNNING)
2024-01-29T08:52:17.032Z [INFO] (Copier) docker_led: stdout. Received new message on topic device/10000000f566ff7/frequency: {. (scriptName=services.docker_led.lifecycle.run.Script, serviceName=docker_led, currentState=RUNNING)
2024-01-29T08:52:17.033Z [INFO] (Copier) docker_led: stdout. "frequency": 2. (scriptName=services.docker_led.lifecycle.run.Script, serviceName=docker_led, currentState=RUNNING)
2024-01-29T08:52:17.033Z [INFO] (Copier) docker_led: stdout. Received new message on topic device/button: {"state": false}. (scriptName=services.docker_led.lifecycle.run.Script, serviceName=docker_led, currentState=RUNNING)
2024-01-29T08:53:48.567Z [INFO] (Copier) docker_led: stdout. Received new message on topic device/button: {"state": true}. (scriptName=services.docker_led.lifecycle.run.Script, serviceName=docker_led, currentState=RUNNING)
```

Figure 5.25 Blink status changes on the Raspberry Pi 4

Finally, the certificate was rotated. The triggering of a change alert was simulated from the AWS MQTT interface by requesting the creation of CSR on the Raspberry Pi 4. Several MQTT messages were exchanged. These are partly visible from the AWS interface in figure 5.26. When analysing the digital twin, the certificate identifier was changed, proving that the rotation had indeed taken place. The Greengrass service was also restarted to take the new certificate into account.

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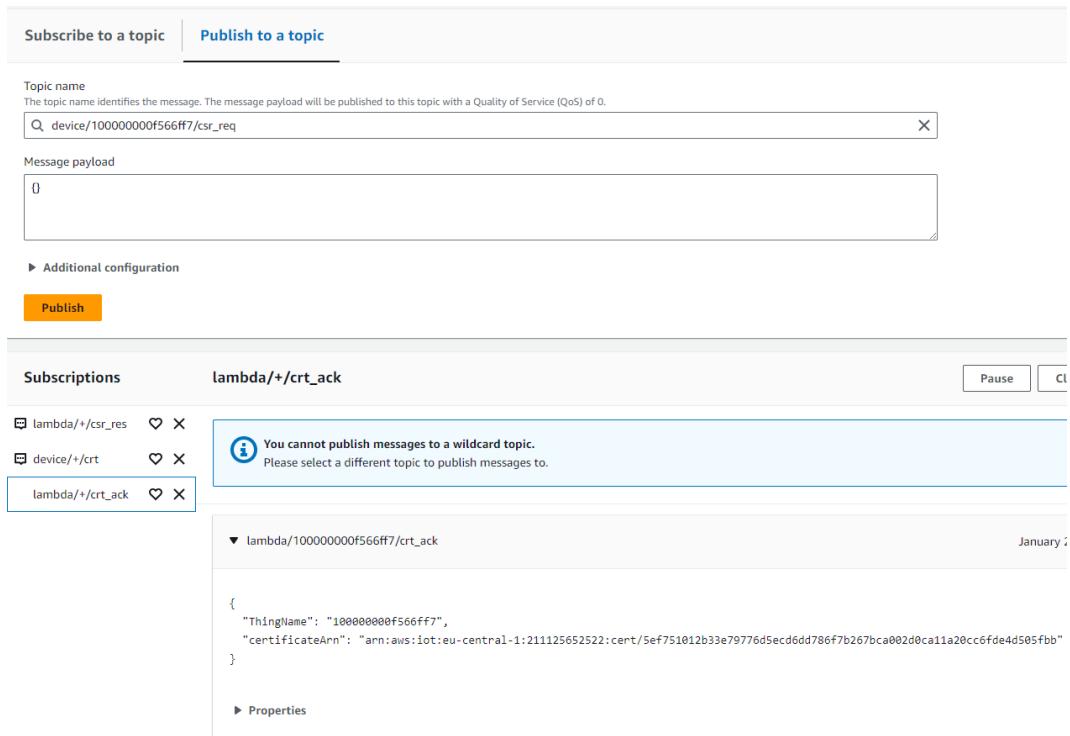


Figure 5.26 Certificate rotation on AWS

Figure 5.27 shows the output message thread of the certificate rotation application. It is possible to view the MQTT messages received from AWS. A request for CSR has been received as well as the new certificate.

```
pi@raspberrypi: ~
2024-01-29T08:25:52.093Z [WARN] (Copier) docker_certificate_rotator: stderr. [notice] To update, run: pip install --upgrade pip. (scriptName=services.docker_certificate_rotator.lifecycle.run.Script, serviceName=docker_certificate_rotator, currentState=RUNNING)
tail: '/greengrass/v2/logs/docker_certificate_rotator.log' has become inaccessible: No such file or directory
tail: '/greengrass/v2/logs/docker_certificate_rotator.log' has appeared; following new file
2024-01-29T09:06:32.124Z [INFO] (Copier) docker_certificate_rotator: stdout. Received new message on topic device/100000000f566ff7/csr_req: []
  (scriptName=services.docker_certificate_rotator.lifecycle.run.Script, serviceName=docker_certificate_rotator, currentState=RUNNING)
2024-01-29T09:06:35.285Z [INFO] (Copier) docker_certificate_rotator: stdout. Received new message on topic device/100000000f566ff7/crt: ("certificateArn": "arn:aws:iot:eu-central-1:211125652522:cert/5ef751012b33e79776d5ecd6dd786f7b267bca002d0ca11a20cc6fde4d505fbb", "certificateIa": "", "certificatePem": "-----BEGIN CERTIFICATE-----
-----END CERTIFICATE-----"). (scriptName=services.docker_certificate_rotator.lifecycle.run.Script, serviceName=docker_certificate_rotator, currentState=RUNNING)
```

Figure 5.27 Certificate rotation on the Raspberry Pi 4

Due to the incorrect platform specified for the corrupted application, it was unable to start up correctly. This is clearly shown in figure 5.28. Greengrass tries to start the application three times before stopping.

```
pi@raspberrypi:~ $ sudo cat /greengrass/v2/logs/docker_corrupt.log
2024-02-06T17:29:40.385Z [INFO] (pool-2-thread-14) docker_corrupt: shell-runner-start. {scriptName=services.docker_corrupt.lifecycle.RUNNING, command=["docker stop docker_corrupt || true\ndocker rm docker_corrupt || true\ndocker run..."]}
2024-02-06T17:29:45.911Z [INFO] (Copier) docker_corrupt: stdout. docker_corrupt. {scriptName=services.docker_corrupt.lifecycle.run.Sc}
2024-02-06T17:29:46.166Z [INFO] (Copier) docker_corrupt: stdout. docker_corrupt. {scriptName=services.docker_corrupt.lifecycle.run.Sc}
2024-02-06T17:29:46.860Z [WARN] (Copier) docker_corrupt: stderr. WARNING: The requested image's platform (linux/amd64) does not match
ififi platform was requested. {scriptName=services.docker_corrupt.lifecycle.run.Script, serviceName=docker_corrupt, currentState=RUNNING}
2024-02-06T17:29:48.909Z [WARN] (Copier) docker_corrupt: stderr. exec /usr/bin/echo: exec format error. {scriptName=services.docker_c
currentState=RUNNING}
2024-02-06T17:29:51.216Z [INFO] (Copier) docker_corrupt: Run script exited. {exitCode=1, serviceName=docker_corrupt, currentState=RUNN
2024-02-06T17:29:51.257Z [INFO] (pool-2-thread-13) docker_corrupt: shell-runner-start. {scriptName=services.docker_corrupt.lifecycle.R
2024-02-06T17:29:51.335Z [INFO] (Copier) docker_corrupt: stdout. docker_corrupt. {scriptName=services.docker_corrupt.lifecycle.run.Sc
2024-02-06T17:29:51.451Z [INFO] (Copier) docker_corrupt: stdout. docker_corrupt. {scriptName=services.docker_corrupt.lifecycle.run.Sc
2024-02-06T17:29:53.609Z [WARN] (Copier) docker_corrupt: stderr. WARNING: The requested image's platform (linux/amd64) does not match
ififi platform was requested. {scriptName=services.docker_corrupt.lifecycle.run.Script, serviceName=docker_corrupt, currentState=RUNNING}
2024-02-06T17:29:54.356Z [WARN] (Copier) docker_corrupt: stderr. exec /usr/bin/echo: exec format error. {scriptName=services.docker_c
currentState=RUNNING}
2024-02-06T17:29:54.994Z [INFO] (Copier) docker_corrupt: Run script exited. {exitCode=1, serviceName=docker_corrupt, currentState=RUNN
2024-02-06T17:29:55.042Z [INFO] (pool-2-thread-13) docker_corrupt: shell-runner-start. {scriptName=services.docker_corrupt.lifecycle.R
2024-02-06T17:29:55.127Z [INFO] (Copier) docker_corrupt: stdout. docker_corrupt. {scriptName=services.docker_corrupt.lifecycle.run.Sc
2024-02-06T17:29:55.240Z [INFO] (Copier) docker_corrupt: stdout. docker_corrupt. {scriptName=services.docker_corrupt.lifecycle.run.Sc
2024-02-06T17:29:55.684Z [WARN] (Copier) docker_corrupt: stderr. WARNING: The requested image's platform (linux/amd64) does not match
ififi platform was requested. {scriptName=services.docker_corrupt.lifecycle.run.Script, serviceName=docker_corrupt, currentState=RUNNING}
2024-02-06T17:29:56.471Z [WARN] (Copier) docker_corrupt: stderr. exec /usr/bin/echo: exec format error. {scriptName=services.docker_c
currentState=RUNNING}
2024-02-06T17:29:57.129Z [INFO] (Copier) docker_corrupt: Run script exited. {exitCode=1, serviceName=docker_corrupt, currentState=RUN
```

Figure 5.28 Launching the corrupt application on the Raspberry Pi 4

During testing of the components, an additional observation was made. If a component is corrupted and subsequently deployed with updates to other components, these components will retain the old version. As long as the corrupted application is not corrected, all the components remain frozen on the last version deployed, and not on the last version of the component.

5.5.2 Updating an application

In order to test the deployment of an update, the corrupted application was corrected by specifying the correct architecture. The Greengrass component has been updated since it has changed version (figure 5.29).

My components (5)		
Your components are private components that only you can see and deploy to core devices. Learn more		
<input type="text"/> Find by name, operating system, or architecture		
Name	Publisher	Version
docker_corrupt	Kevax24	1.0.1

Figure 5.29 New version of the corrupted application on AWS

Looking at the Raspberry Pi 4 console in figure 5.30, the application ran correctly. A text was printed as agreed.

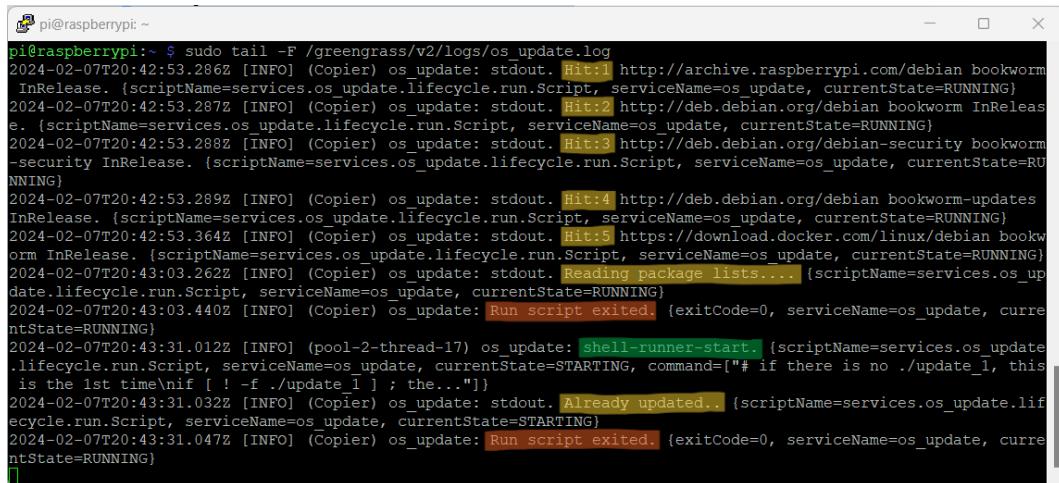
```
pi@raspberrypi:~ $ sudo cat /greengrass/v2/logs/docker_corrupt.log
2024-02-07T08:44:09.832Z [INFO] (pool-2-thread-33) docker_corrupt: shell-runner-start. {scr
RTING, command=["docker stop docker_corrupt || true\ndocker rm docker_corrupt || true\ndock
2024-02-07T08:44:10.163Z [INFO] (Copier) docker_corrupt: stdout. docker_corrupt. {scriptNam
2024-02-07T08:44:10.327Z [INFO] (Copier) docker_corrupt: stdout. docker_corrupt. {scriptNam
2024-02-07T08:44:18.300Z [INFO] (Copier) docker_corrupt: stdout. Corrupt Docker container.
=RUNNING}
2024-02-07T08:44:18.718Z [INFO] (Copier) docker_corrupt: Run script exited. {exitCode=0, se
```

Figure 5.30 Preview of the corrupted application on the Raspberry Pi 4

Now that no components have been corrupted, the health of the IoT device is good. This was noted on the AWS console.

5.5.3 OS update

An update simulation was tested. The commands written were executed correctly and the Raspberry Pi 4 rebooted automatically without restarting the command lines. Evidence of this can be seen in figure 5.31. The first time it was run, the *apt-get update* command was issued, followed by the restart command. When the Greengrass component is launched a second time, it is noted that the update has already taken place.



A terminal window titled 'pi@raspberrypi: ~' showing log output from a script named 'os_update'. The log shows several 'Copier' events where files are being copied from various URLs to the '/greengrass/v2/logs/os_update.log' file. These URLs include archive.raspberrypi.com, deb.debian.org, and download.docker.com. The log also shows the script reading package lists and performing a 'Run script exited' command. The final message indicates that the infrastructure is already updated.

```
pi@raspberrypi: ~ $ sudo tail -F /greengrass/v2/logs/os_update.log
2024-02-07T20:42:53.286Z [INFO] (Copier) os_update: stdout. Hit:1 http://archive.raspberrypi.com/debian bookworm InRelease. {scriptName=services.os_update.lifecycle.run.Script, serviceName=os_update, currentState=RUNNING}
2024-02-07T20:42:53.287Z [INFO] (Copier) os_update: stdout. Hit:2 http://deb.debian.org/debian bookworm InRelease. {scriptName=services.os_update.lifecycle.run.Script, serviceName=os_update, currentState=RUNNING}
2024-02-07T20:42:53.288Z [INFO] (Copier) os_update: stdout. Hit:3 http://deb.debian.org/debian-security bookworm-security InRelease. {scriptName=services.os_update.lifecycle.run.Script, serviceName=os_update, currentState=RUNNING}
2024-02-07T20:42:53.289Z [INFO] (Copier) os_update: stdout. Hit:4 http://deb.debian.org/debian bookworm-updates InRelease. {scriptName=services.os_update.lifecycle.run.Script, serviceName=os_update, currentState=RUNNING}
2024-02-07T20:42:53.364Z [INFO] (Copier) os_update: stdout. Hit:5 https://download.docker.com/linux/debian bookworm InRelease. {scriptName=services.os_update.lifecycle.run.Script, serviceName=os_update, currentState=RUNNING}
2024-02-07T20:43:03.262Z [INFO] (Copier) os_update: stdout. Reading package lists... {scriptName=services.os_update.lifecycle.run.Script, serviceName=os_update, currentState=RUNNING}
2024-02-07T20:43:03.440Z [INFO] (Copier) os_update: Run script exited. {exitCode=0, serviceName=os_update, currentState=RUNNING}
2024-02-07T20:43:31.012Z [INFO] (pool-2-thread-17) os_update: shell-runner-start. {scriptName=services.os_update.lifecycle.run.Script, serviceName=os_update, currentState=STARTING, command="# if there is no ./update_1, this is the 1st time\nif [ ! -f ./update_1 ] ; then\n"}
2024-02-07T20:43:31.032Z [INFO] (Copier) os_update: stdout. Already updated.. {scriptName=services.os_update.lifecycle.run.Script, serviceName=os_update, currentState=STARTING}
2024-02-07T20:43:31.047Z [INFO] (Copier) os_update: Run script exited. {exitCode=0, serviceName=os_update, currentState=RUNNING}
```

Figure 5.31 Behaviour of the OS update application

5.6 Cloud infrastructure destruction

The *cloud infrastructure* destruction was tested. To do this, the corresponding workflow was launched manually from the GitHub Actions console and passed successfully (figure 5.32). It takes about 4 minutes. All the resources created by the Pulumi tool have been deleted. The Raspberry Pi 4 remains provisioned with its unique certificate. The claim certificate remains available in the S3 bucket with its private key. The Greengrass components have not been removed either.

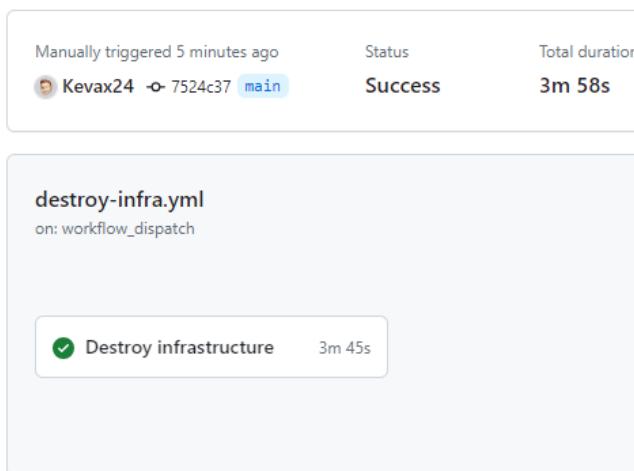


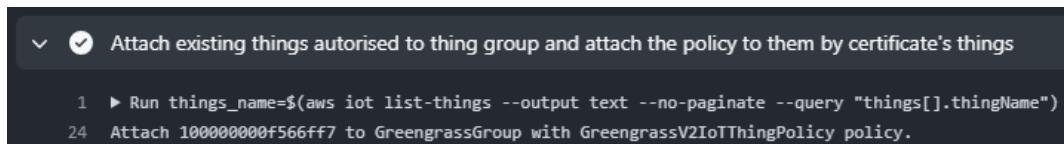
Figure 5.32 *Cloud infrastructure* destruction successfully completed

The Raspberry Pi 4's digital twin is still contained within [AWS IoT](#). However, it has lost any policy for interacting with the [cloud](#). The [MQTT](#) connection is therefore interrupted except locally on the device between the components. This was proven by pressing the external button on the Raspberry Pi 4 just once. The led stopped blinking and no status message was received on [AWS IoT](#).

5.7 Management of authorised embedded systems

5.7.1 Infrastructure deployment with a provisioned embedded system

Following the logic of the tests, the infrastructure was redeployed with the Raspberry Pi 4 already provisioned. Its digital twin was attached to the group of devices and to an [IoT](#) policy. [MQTT](#) communication with the [cloud](#) is now back up and running. Figure 5.33 shows the attachment of the device to the new [cloud infrastructure](#) in the GitHub Actions console.

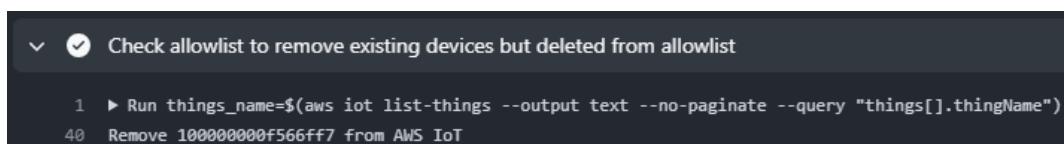


```
✓ Attach existing things autorised to thing group and attach the policy to them by certificate's things
  1 ► Run things_name=$(aws iot list-things --output text --no-paginate --query "things[].thingName")
  24 Attach 100000000f566ff7 to GreengrassGroup with GreengrassV2IoTThingPolicy policy.
```

Figure 5.33 Integration of Raspberry Pi 4 already provisioned

5.7.2 Deleting a provisioned embedded system

It is possible to remove a device from the [AWS cloud](#) by deleting its serial number from the list of authorised devices. The serial number of the provisioned Raspberry Pi 4 has therefore been removed and this change has been pushed into Git. By following the GitHub Actions console in figure 5.34, it is possible to confirm that the device has been deleted. By browsing the [AWS](#) console, its digital twin no longer exists and no [MQTT](#) message reaches [AWS IoT](#).



```
✓ Check allowlist to remove existing devices but deleted from allowlist
  1 ► Run things_name=$(aws iot list-things --output text --no-paginate --query "things[].thingName")
  40 Remove 100000000f566ff7 from AWS IoT
```

Figure 5.34 Removal of the provisioned Raspberry Pi 4

For this Raspberry Pi 4 to be provisioned again, it must be authorised again and its SD card must be flashed again with the [OS](#) image.

5.7.3 Unauthorised provisioning of an embedded system

This same Raspberry Pi 4 was tested for reintegration into the [cloud infrastructure](#) without being authorised. Its serial number was therefore not included in the list of authorised devices. Its SD card was flashed with the same [OS](#) image as for all the other tests carried out. Both software, Docker Engine and [AWS IoT](#) Greengrass, were successfully installed. During the provisioning attempt, the Lambda function, triggered at each attempt, detected that this Raspberry Pi 4 was not authorised to be provisioned.

Its certificate, which had been prepared and was supposed to be delivered to it, was therefore left waiting to be activated. After a while, the certificate disappears. This can be seen in figure 5.35.

Certificates (2)	
<input type="text"/> Find certificates	
<input type="checkbox"/>	Certificate ID
<input type="checkbox"/>	9f6d6a1c1a83e09e1391d09b88f16c1ea19b64a2d9cc7c9db76ffd6ad4762107
<input type="checkbox"/>	61f174fa10103cc833950105cba8c5641d7aeee25f2697948c62a2b06c63241e

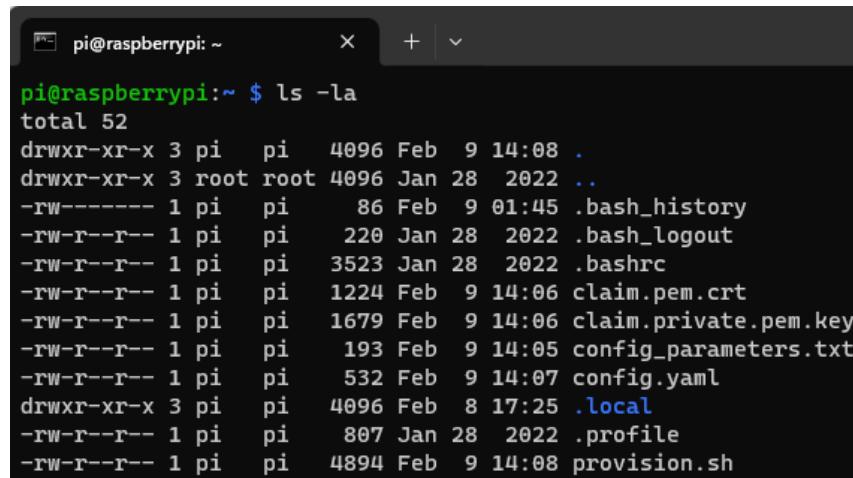
Figure 5.35 Certificate pending activation

5.8 Provisioning of other Arm SystemReady certified embedded systems

The aim was to extend the provisioning capability to other [Arm SystemReady](#) certified embedded systems, beyond the Raspberry Pi 4. Unfortunately, this attempt was not successful. Another device tested was the I-Pi SMARC IMX8M Plus board, which is [Arm SystemReady](#) IR certified. Despite flashing the same [OS](#) image as the Raspberry Pi 4 onto the SD card of this new device, booting failed. It seems that the *Raspberry Pi OS Lite* base image is specific to Raspberry devices. It is likely that each manufacturer prepares separate [OS](#) images based on the same Linux distribution for their devices, even with [Arm SystemReady](#) certification. Another custom [OS](#) image would surely have had to be created specifically for the I-Pi SMARC IMX8M Plus board to boot. Each image can be adapted using the Packer tool and its plugin for [Arm](#) architectures.

As a partial workaround to this failure, a virtual Raspberry Pi 4 was tested on an [Arm](#) development tool called [Arm Virtual Hardware \(AVH\)](#) [80]. AVH enables [IoT](#) development kits, [Arm](#)-based processors and cloud-based systems to be virtualised, speeding up the development of [IoT](#) software. In this type of tool, it was not possible to integrate the custom [OS](#) image. Among the options available, the basic *Raspberry Pi OS* image was selected. By connecting via SSH, the provisioning files were manually copied to the virtual device (figure 5.36). An arbitrary serial number was specified and added to the list of authorised devices.

5.8 Provisioning of other Arm SystemReady certified embedded systems



```
pi@raspberrypi:~ $ ls -la
total 52
drwxr-xr-x 3 pi pi 4096 Feb 9 14:08 .
drwxr-xr-x 3 root root 4096 Jan 28 2022 ..
-rw----- 1 pi pi 86 Feb 9 01:45 .bash_history
-rw-r--r-- 1 pi pi 220 Jan 28 2022 .bash_logout
-rw-r--r-- 1 pi pi 3523 Jan 28 2022 .bashrc
-rw-r--r-- 1 pi pi 1224 Feb 9 14:06 claim.pem.crt
-rw-r--r-- 1 pi pi 1679 Feb 9 14:06 claim.private.pem.key
-rw-r--r-- 1 pi pi 193 Feb 9 14:05 config_parameters.txt
-rw-r--r-- 1 pi pi 532 Feb 9 14:07 config.yaml
drwxr-xr-x 3 pi pi 4096 Feb 8 17:25 .local
-rw-r--r-- 1 pi pi 807 Jan 28 2022 .profile
-rw-r--r-- 1 pi pi 4894 Feb 9 14:08 provision.sh
```

Figure 5.36 Access to the Raspberry Pi 4 on AVH

The `provision.sh` script was run manually as administrator. The installation of Docker Engine and AWS IoT Greengrass Core was successful, as was the provisioning. Its digital twin was created in AWS IoT, as shown in figure 5.37.

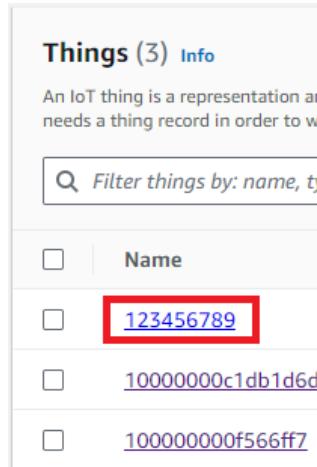


Figure 5.37 Virtual device provisioning confirmed on AWS

Finally, the Greengrass components were successfully deployed (figure 5.38). The health status of the device returned to AWS is not good due to the led and button applications not launching correctly. This is due to the GPIO library reserved exclusively for Raspberry that is not available on AVH. It is nevertheless remarkable that the certificate rotation application is running. In addition, the OS update application completed correctly and the device rebooted as expected. The corrupted application was executed correctly since in an earlier test its problem had been solved.

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The screenshot shows the AWS IoT Greengrass Core devices interface. At the top, the navigation path is: AWS IoT > Greengrass > Core devices > 123456789. The device ID '123456789' is prominently displayed. Below it, the 'Overview' section indicates the device is 'Unhealthy'. The 'Components' tab is selected, showing a list of 13 components deployed to the device. The components and their statuses are:

Name	Dependency type	Version	Status
docker_led	Root	1.0.0	✖ Broken
os_update	Root	1.0.3	✓ Finished
docker_corrupt	Root	1.0.2	✓ Finished
aws.greengrass.Cli	Root	2.12.1	⋯ Running
docker_button	Root	1.0.0	✖ Broken
docker_certificate_rotator	Root	1.0.0	⋯ Running

Figure 5.38 Deploying Greengrass components on the virtual device

5.9 Cloud infrastructure cost

An assessment of the costs of the [AWS cloud infrastructure](#) was carried out in order to understand the monthly and annual expenses to be expected. This estimate was carried out using the [AWS Pricing Calculator](#). The estimate is shown in figure 5.39.

5.9 Cloud infrastructure cost

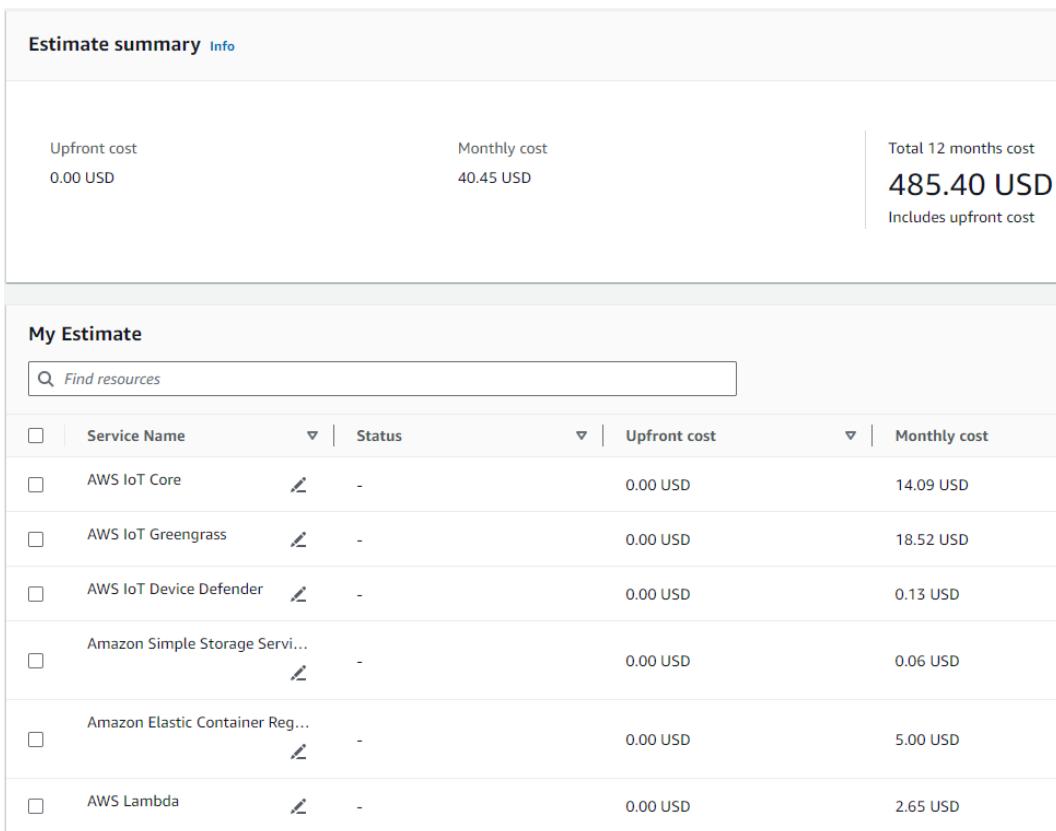


Figure 5.39 *Cloud infrastructure cost estimate*

The evaluation is based on a number of factors, assuming that it is the cost of the infrastructure in the production environment. The region chosen is Frankfurt (*eu-central-1*). A fleet of 100 embedded systems is considered.

AWS IoT Core is a high-cost service. Here is a list of factors taken into account :

- Number of devices : 100
- Protocol : **MQTT**
- Average size per message : 10 kB
- Number of messages per month per device : 43'800 (1 per minute)
- Number of rules triggered per month per device : 43'800

Each device sends one 10 kB **MQTT** message per minute. Each message received in **AWS IoT** Core triggers a rule that diverts the message to another service. For example, Lambda functions can be called by these rules depending on the message heading.

AWS IoT Greengrass is the most expensive service. Here are the factors taken into consideration:

- Number of devices : 100
- Activity period per month : 43'800 minutes (100% activity)
- Number of **MQTT** topics : 1000

With 1000 **MQTT** topics, each device can have 10 unique topics each.

The [AWS IoT](#) Device Defender service is used to perform a daily audit by monitoring a single aspect, namely the expiry of certificates. The cost is virtually insignificant.

The [AWS Lambda](#) service is used to execute operations when a function is triggered by a received message. It is estimated that each message received triggers a function, with a total of 4'380'000 messages sent per month for the fleet of 100 embedded systems. The average execution time for a Lambda function is one second.

Amazon [Elastic Container Registry \(ECR\)](#) is used to store the Docker images generated for the applications developed. A monthly storage limit of 50 GB has been defined. None of the application images in this project exceeds 400 MB. Therefore, there can be up to 125 images of this size to reach the 50 GB limit.

Amazon S3 is also used for storage, with three S3 buckets in use. The bucket containing the [OS](#) image for this reference architecture weighs 2.6 GB. The total of the three buckets remains at 2.6 GB, indicating that the Pulumi files on the state of the infrastructure, in addition to the provisioning files, do not exceed 100 MB.

The Amazon SNS service used in the reference architecture is not taken into account, as it is triggered every so many years (a certificate has a lifetime of several years by default). Consequently, its cost is negligible.

5.10 Observations

During this study, deployment incidents were occasionally noted when using the Pulumi tool. It was observed that certain resources, which are dependent on one another, can sometimes be called up before their creation is complete, despite this dependency being specified in the infrastructure description. This leads to errors during deployment. To solve this problem, simply restart the deployment workflow to allow the infrastructure to create the last missing resources.

Another observation concerns [AWS](#) regions. It should be noted that this reference architecture cannot be deployed in all the regions offered by [AWS](#). Some recent resources, such as [AWS IoT Greengrass](#), are not yet available in all regions. Development has focused exclusively on the Frankfurt region (*eu-central-1*).

6 | Project methodology

This chapter covers all aspects of project management. A project plan is outlined, describing how the research was carried out. The Agile and KanBan methodologies are presented. Finally, the chapter concludes with a description of the management tools used and an account of the training received.

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6.1 Project plan

At the start of the project, a research plan was drawn up. It is presented in figure 6.1 in the form of work packages.

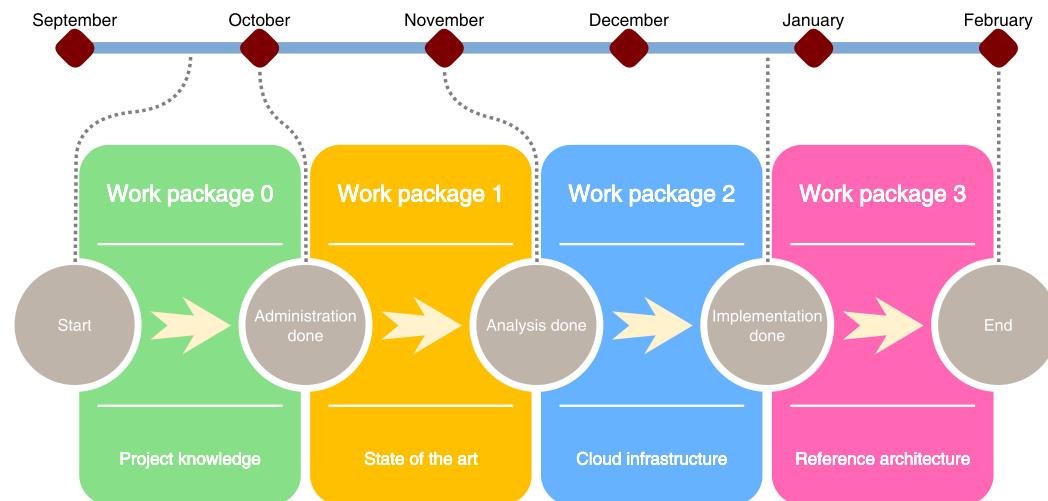


Figure 6.1 Project plan

The first work package covers all administrative activities. The aim of this initial phase was to gain an in-depth understanding of the project, become aware of the issues and clearly define the specifications. It lasted around two weeks.

About a month was spent researching existing solutions that were closest to the project through a state of the art, involving consultation of scientific articles and other documentation.

The third work package focuses on the cloud infrastructure, with around two months dedicated to this implementation phase. This part includes the creation of the CI/CD process.

In the latest work package, completion of the reference architecture was scheduled with just over a month remaining. It is at this stage that the final functionalities are developed. This is also the phase when the whole package must undergo testing and validation before being released as open source on a shared repository.

6.2 Research methodology

The research methodology adopted in this thesis began with a state of the art survey of the existing solutions most relevant to this project. Next, an overview of the reference architecture was established to facilitate implementation. A judicious choice of development tools was also made. In parallel, the implementation was carefully designed, followed by validation of the results through a proof of concept.

Throughout the process, agile methodology, in particular the Scrum method, was used, enabling iterative project management. Weekly meetings were scheduled with the professor responsible for the work, as well as with certain company staff on an optional

basis. To ensure that the project progressed efficiently, the [KanBan](#) method was used. This approach uses virtual cards to represent each task, organised in a table to clearly track progress. It is also used to break the project down into smaller parts to more accurately estimate the time required throughout the process.

6.3 Literature search

Literature search has focused on academic search engines. The following search engines were used :

- [Google Scholar](#)
- [IEEE Xplore](#)
- [ScienceDirect](#)

The sources were mainly conference papers. Some information was found on websites. The following keywords were used to find sources that met the requirements of this analysis:

- integration
- reference architecture
- embedded systems
- IoT
- Cloud-Native
- cloud
- cloud computing
- cloud providers
- cloud platforms
- Infrastructure as Code
- IaC tools
- Arm SystemReady

6.4 Agile methodology

Agile methodology is the main project management method used in this work. It enables the project to be managed from the specifications to the final product. The idea for this method was conceived in the 70s or even before [81]. The idea was to change the development process in software engineering by using iterative techniques. Traditional methods worked with sequential techniques, often called Waterfall. Figure 6.2 shows the difference between these two approaches.

Chapter 6. Project methodology

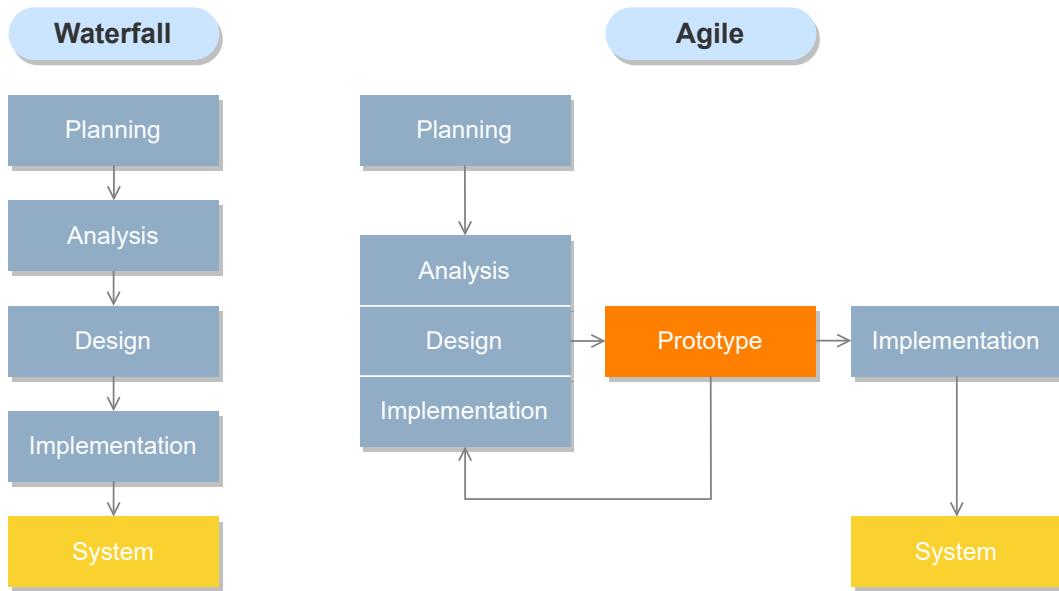


Figure 6.2 Sequential and iterative process [82]

The Waterfall methodology is considered cumbersome. There are deliverables after each phase. Approval is required before moving on to the next phase. It is difficult to go backwards (by moving up the phases). Professors explained this very well during a Masters course given at the HES-SO [82]. They added that this method is best used in very large projects where it is difficult to split teams into small groups to work iteratively.

These same teachers [82] described the agile methodology as follows:

"AGILE" is about values and principles, not practices, but many practices support them. It's not about doing agile, it's about being agile.

Several agile process frameworks were created before the 2000s. Examples include Scrum, XP, RUP, etc. Between the 11th and the 13th of February 2001, 17 people from these different frameworks met to find an alternative to cumbersome, documentation-driven software development processes. They created the agile software development manifesto [83] :

*Individuals and interactions over processes and tools
Working software over comprehensive documentation
Customer collaboration over contract negotiation
Responding to change over following a plan*

The central principle of agile is to have very rapid iterations to build the first business values. The customer is at the centre of the process thanks to frequent communication with the development team [82]. The process framework chosen for this project is Scrum. It is very well suited to small projects with small teams. It enables the first software prototypes to be delivered quickly. It adapts easily to changes, unlike a sequential method. It is also based on experience. The idea is to learn continuously through

iterations. This is an important point in terms of learning from new developments in the project. This is one of the most popular agile methods. This approach was launched in 1995 by Ken Schwaber and Jeff Sutherland [84].

6.4.1 Scrum roles

Figure 6.3 shows the different roles in a **Scrum** team.

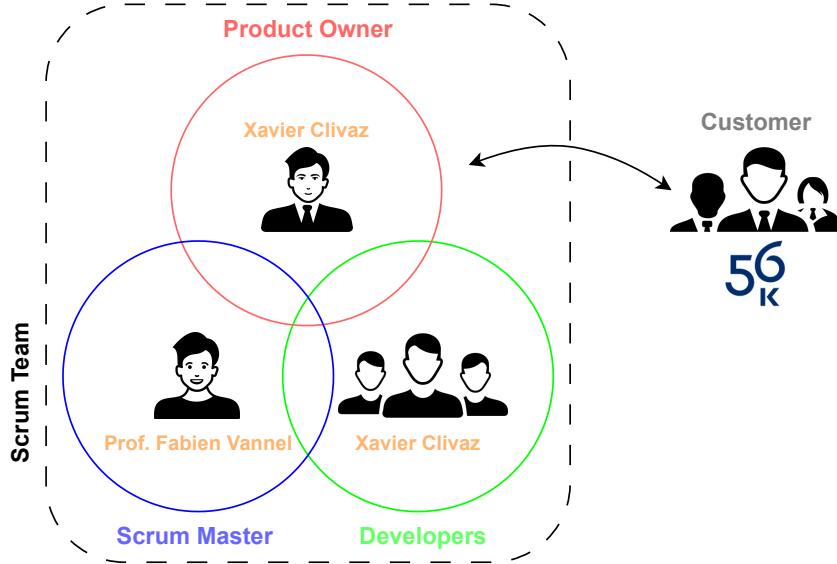


Figure 6.3 **Scrum** roles

A **Scrum** guide has been written by Jeff Sutherland and Ken Schwaber to explain the rules [85]. First of all, there is the **Product Owner (PO)**. This is the customer's spokesperson. It is he who defines the product's functionalities. They are recorded in a Product Backlog in the form of tasks. He must manage these tasks by prioritising some of them. He is also responsible for the value and return on investment (**ROI**) of the product. The development team is generally a small one (around seven people [82]). It is capable of self-management. There are no specific roles or positions. Developers have to deal with tasks that are chosen from the Sprint Backlog. Finally, the **Scrum Master** is required to be of service to the team. He accompanies the team of developers and prevents obstacles from getting in the way of progress. It is the **Scrum** Master who establishes the practices and rules of **Scrum**.

6.4.2 Scrum process

The **Scrum** process is relatively simple. It follows the theory described in the official **Scrum** guide [85]. The life cycle is shown in figure 6.4.

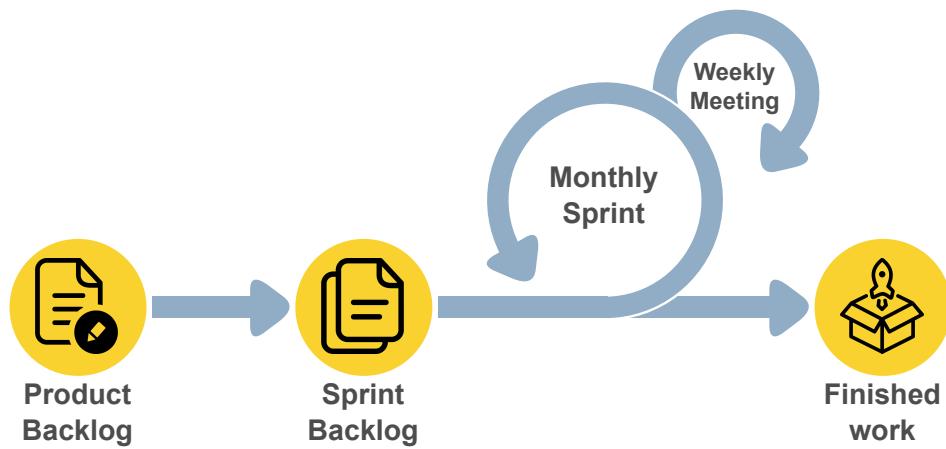


Figure 6.4 *Scrum* life cycle

The process is made up of multiple elements. It begins on the left of the diagram with the Product Backlog. This is a backlog that is filled by the PO. Since the Product Backlog is generally filled with many functionalities divided into tasks, only one part needs to be selected to be placed in the Sprint Backlog. A Sprint generally corresponds to a period of one month during which the tasks in the Sprint Backlog must be completed. During a Sprint, team meetings are organised every day. These are called Daily Meetings and usually last 15 minutes. A Daily Meeting ensures that, at least once a day, the entire team is available to get support on any problems encountered. In this project, only weekly meetings are held, depending on the distance separating the Scrum Master and the team of developers. Before each Sprint, a Sprint Planning is carried out to determine the tasks from the Product Backlog to be put into the Sprint Backlog. At the end of a Sprint, an inspection is made of how the Sprint went, with the aim of improving quality and efficiency. This is called a Sprint Retrospective. Finally, it's important to remember that at the end of each Sprint, one stage of the final product is completed. Sometimes this is called an increment.

6.5 KanBan methodology

The KanBan methodology is very well integrated into Scrum. Its aim is to manage the workflow as efficiently as possible. According to the KanBan guide produced by Scrum.org [86], several fundamental metrics should be taken into account for the flow:

- **Work In Progress (WIP)** : the number of tasks/items started and not completed.
- **Cycle Time** : the time elapsed between the start and end of a task.
- **Work Item Age** : the time elapsed between the start of the task and now.
- **Throughput** : the number of tasks completed per unit of time.

The average cycle time can be predicted using Little's Law [87]:

$$\text{average cycle time} = \frac{\text{average WIP}}{\text{average throughput}} \quad (6.1)$$

6.6 Project management tools

It explains that the more tasks there are in progress, the longer it will take to complete them. A cycle time in [KanBan](#) can be correlated to a Sprint in the [Scrum](#) methodology. Using this methodology, it is therefore possible to define the right number of tasks for each Sprint Planning. Note that the more you divide a feature into smaller tasks, the easier it will be to predict the cycle time.

[KanBan](#) does more than just limit the [WIP](#). It provides a transparent display of the workflow. Tasks can be represented in a table, as shown in figure 6.5. In this case, the table is virtual and can be viewed from anywhere by the whole [Scrum](#) team.

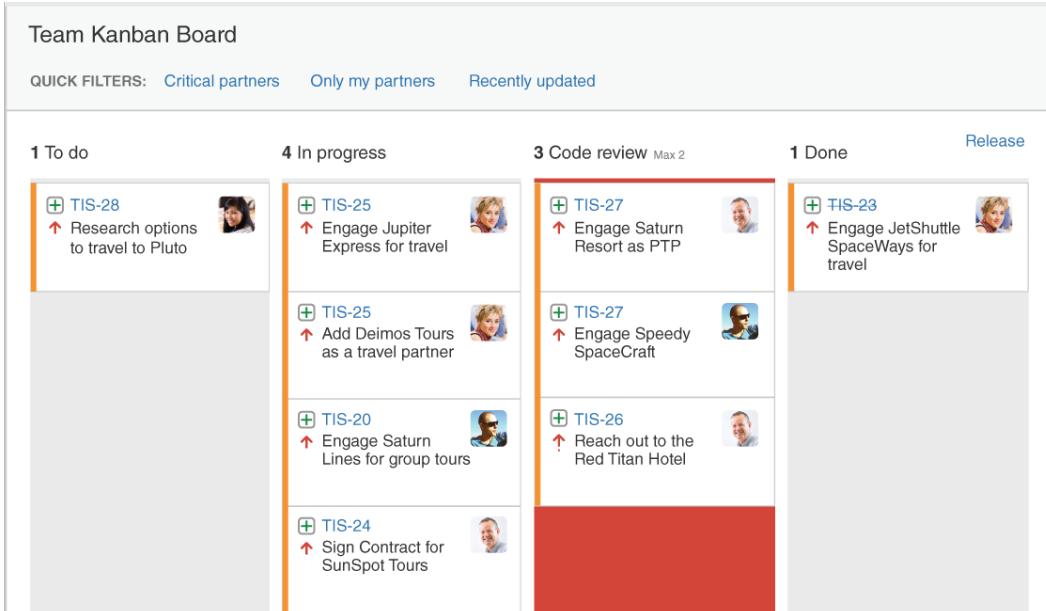


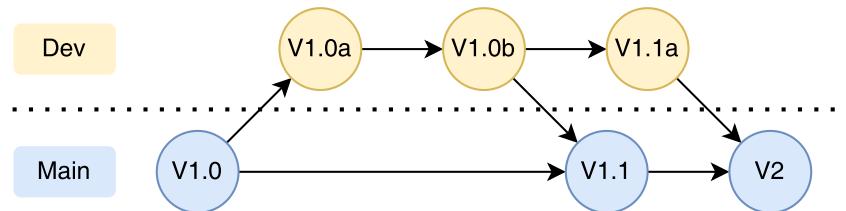
Figure 6.5 Example of a virtual [KanBan](#) table [88]

Each label in the table corresponds to a task. A task can contain a priority level and is often assigned to a member of the [Scrum](#) team. All this is defined during Sprint Planning. The labels are categorised in columns to show their progress.

6.6 Project management tools

6.6.1 Version management

Version management, embodied by tools such as Git, remains an essential part of the software development ecosystem. This practice offers a structured approach to tracking and maintaining changes to a project's code. One of the major benefits lies in its ability to keep a complete history of changes, facilitating collaboration between team members working on the same project.



Chapter 6. Project methodology

Figure 6.6 Example of version management with two branches

An example of a visual representation of a project is shown in figure 6.6, comprising several distinct versions and branches. Branches allow developers to work on alternative versions of the source code, facilitating parallel development, feature isolation and efficient change management without affecting the main branch.

When part of the development is considered complete, it becomes common practice to create a new version. This version freezes the current state of the project at a specific point in time, providing a clear and stable reference. This ability to create versions means that it is possible to return to previous states of the project if problems arise, whether identified by members of the development team or by external stakeholders, such as customers.

In short, version management, embodied by Git, transcends its simple function of tracking changes to become a central pillar of collaborative working, risk management and preserving the integrity of a software project. Its widespread adoption across the industry is testament to its continuing importance in delivering robust, scalable software development projects.

6.6.2 Continuous Intergration (CI) and Continuous Delivery (CD)

CI/CD (Continuous Intergration/Continuous Delivery) integrates synergistically with version management, and in particular with the DevOps framework, as illustrated in figure 6.7. The aim of the DevOps method is to automate software development processes, thereby encouraging collaboration between development teams (grey area) and operational teams (pink area). Two major components of CI/CD have emerged from this approach, namely Continuous Intergration (CI) and Continuous Delivery (CD), which have become fundamental pillars for developers.

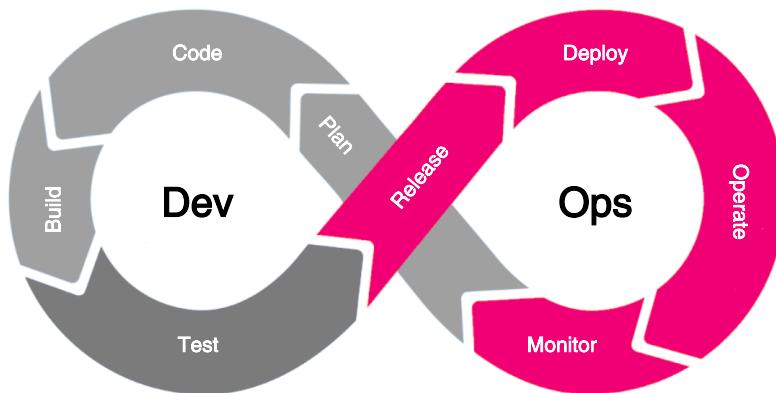


Figure 6.7 DevOps method

CI involves automating various processes with each new software release. These processes typically include building, testing and deploying the software design, as shown in figure 6.7. The main motivation for inventing the CI was to reduce the time needed between launching a project and bringing it to market, a concept known as time-to-market. This automation takes advantage of the constant evolution of machines, contrasting with

the physical and moral limits of human beings. The benefits of CI also extend to team collaboration, simplified debugging, reduced costs, improved software quality, and much more.

CD goes hand in hand with the CI, aimed at automating the deployment of software to production environments. It eliminates the tedious manual steps associated with deployment, ensuring fast, reliable and frequent delivery of new features and software updates.

CI/CD represents a modern and essential approach to the software development lifecycle, offering efficiency gains, improved team collaboration, accelerated time-to-market and an overall improvement in the quality of software products.

6.6.3 Work packages

Work packages are specific, delimited elements of a project which are assigned to a team or an individual for completion. Each work package represents a well-defined task or set of tasks, often associated with concrete deliverables. They facilitate the management and monitoring of the project by allowing a clear division of responsibilities and helping to assess progress. In short, work packages are manageable units that contribute to the achievement of an overall project.

6.7 Training

6.7.1 AWS Certified Cloud Practitioner

AWS offers a number of certifications designed to validate the skills and knowledge of IT professionals working on the AWS platform. These certifications are designed to cover a variety of areas, from cloud architecture and operations management to security, databases, application development and more.

These certifications are widely recognised in the industry and can help professionals demonstrate their skills and progress in their cloud careers. It is important to note that the AWS certification programme is evolving, and new certifications may be added as new services and features are introduced to the AWS platform.

The certification achieved as part of this project was as follows : **AWS Certified Cloud Practitioner**. This is the first certification to give a general overview of a cloud platform to professionals with no previous experience in this field. AWS defines it as follows :

The AWS Certified Cloud Practitioner validates foundational, high-level understanding of AWS Cloud, services, and terminology. This is a good starting point on the AWS Certification journey for individuals with no prior IT or cloud experience switching to a cloud career or for line-of-business employees looking for foundational cloud literacy. [89]

Online lessons were taken at the start of the project and, within a month, an official exam was successfully passed.

Chapter 6. Project methodology



Figure 6.8 AWS Certified Cloud Practitioner

7 | Conclusions

7.1 Project summary

In summary, this project has resulted in the creation of an infrastructure that enables the fluid, automated and secure integration of IoT devices into an AWS cloud environment. The reference architecture aims to simplify the integration of embedded systems within an AWS cloud infrastructure dedicated to the Internet of Things (IoT). The devices used in this IoT network feature Arm architecture and are Arm SystemReady certified. To improve this integration, a DevOps approach has been put in place thanks to a continuous integration and distribution process orchestrated by GitHub Actions. The central tool for deploying the infrastructure is Pulumi, which enables AWS cloud infrastructure to be deployed on various environments. Python applications have been specially developed to demonstrate how integration works via MQTT communication between devices and AWS IoT. In the CI/CD process, these applications are tested, published in AWS and then deployed to a fleet of devices. During these deployments, the applications run in Docker containers, ensuring greater portability. A custom OS image, based on Raspberry Pi OS Lite, has been developed, incorporating Docker for applications and AWS IoT Greengrass Core, which is essential for provisioning and integration. This OS image is downloaded, flashed onto an SD card and inserted into an embedded system, which is automatically provisioned in a few minutes when it is first booted up. The successful integration of a first Raspberry Pi 4 has been extended to a second Raspberry Pi 4, demonstrating the scalability of the architecture. To guarantee network reliability, security mechanisms are built into the architecture, making communications within the IoT system even more robust and protected.

7.2 Comparison with the initial objectives

7.3 Encountered difficulties

7.4 Future perspectives

A | Plannings

A.1 Forward planning

	Task	Duration	Begin	End	Week 1	Week 2	Week 3	Week 4	Week 5	Week 6	Week 7	Week 8	Week 9	Week 10	Week 11	Week 12	Week 13	Week 14	Week 15	Week 16	Week 17	Week 18	Week 19
1	Project duration	19 weeks	18.09.2023	09.02.2024																			
2	Project knowledge	4 weeks	18.09.2023	15.10.2023																			
3	Administration	1 week	18.09.2023	24.09.2023																			
4	Documentation classification	1 week	18.09.2023	24.09.2023																			
5	Specifications	2 weeks	18.09.2023	01.10.2023																			
6	Planning	3 weeks	25.09.2023	15.10.2023																			
7	State of the art	5 weeks	25.09.2023	29.10.2023																			
8	Formulating the problem	1 week	25.09.2023	01.10.2023																			
9	Literature search	2 weeks	02.10.2023	15.10.2023																			
10	Evaluation documentation	2 weeks	09.10.2023	22.10.2023																			
11	Analysis and interpretation	2 weeks	16.10.2023	29.10.2023																			
12	Presentation	2 weeks	16.10.2023	04.02.2024																			
13	Implementation	18 weeks	18.09.2023	01.10.2023																			
14	Familiarisation with 56KCloud	2 weeks	18.09.2023	01.10.2023																			
15	IaC testing	1 week	30.10.2023	05.11.2023																			
16	GitHub Actions testing	1 week	30.10.2023	05.11.2023																			
17	IaC reference architecture	6 weeks	06.11.2023	17.12.2023																			
18	CI/CD pipeline	1 week	13.11.2023	19.11.2023																			
19	Reference architecture testing	2 weeks	11.12.2023	22.12.2023																			
20	Clean code	1 week	18.12.2023	22.12.2023																			
21	Reference architecture documentation	1 week	18.12.2023	22.12.2023																			
22	Proof of concept	4 weeks	08.01.2024	04.02.2024																			
23	Thesis	19 weeks	18.09.2023	09.02.2024																			
24	Preparation	1 week	18.09.2023	24.09.2023																			
25	Writing	19 weeks	18.09.2023	09.02.2024																			
26	Validation	1 week	05.02.2024	09.02.2024																			

POC | Thesis

Reference architecture

State of the art

Milestones

A.2 Effective planning

	Task	Duration	Begin	End	Week 1	Week 2	Week 3	Week 4	Week 5	Week 6	Week 7	Week 8	Week 9	Week 10	Week 11	Week 12	Week 13	Week 14	Week 15	Week 16	Week 17	Week 18	Week 19
1	Project duration	19 weeks	18.09.2023	09.02.2024																			
2	Project knowledge	4 weeks	18.09.2023	15.10.2023																			
3	Administration	1 week	18.09.2023	24.09.2023																			
4	Literature search	1 week	18.09.2023	24.09.2023																			
5	Documentation classification	2 weeks	18.09.2023	01.10.2023																			
6	Specifications	3 weeks	25.09.2023	15.10.2023																			
7	Planning	3 weeks	25.09.2023	15.10.2023																			
8	State of the art	1 week	25.09.2023	01.10.2023																			
9	Formulating the problem	2 weeks	02.10.2023	16.10.2023																			
10	Literature search	2 weeks	09.10.2023	22.10.2023																			
11	Evaluation documentation	2 weeks	10.10.2023	26.10.2023																			
12	Analysis and interpretation	3 weeks	12.10.2023	01.11.2023																			
13	Presentation	3 weeks	18.09.2023	01.10.2023																			
14	Implementation	2 weeks	18.09.2023	01.10.2023																			
15	Familiarisation with 56KCloud	2 weeks	03.11.2023	07.11.2023																			
16	IaC testing	3 days	02.11.2023	02.11.2023																			
17	GitHub Actions testing	1 day	10.11.2023	09.01.2024																			
18	Cloud infrastructure	6 weeks	20.11.2023	22.01.2024																			
19	CI/CD pipeline	7 weeks	22.01.2024																				
20	Reference architecture testing	3 weeks	22.12.2023	19.01.2024																			
21	Clean code	2 weeks	23.01.2024	26.01.2024																			
22	Reference architecture documentation	1 week	07.11.2023	19.01.2024																			
23	Applications	5 weeks	18.09.2023	09.02.2024																			
24	Thesis	19 weeks	18.09.2023	24.09.2023																			
25	Preparation	1 week	18.09.2023	09.02.2024																			
26	Writing	19 weeks	05.02.2024	09.02.2024																			
27	Validation	1 week																					

Reference architecture

Cloud infrastructure

State of the art

Milestones

Thesis

B | Estimated cost of cloud infrastructure

Contact your AWS representative: [Contact Sales](#)

Export date: 07.02.2024 15:11:59

Language: English

Estimate URL: <https://calculator.aws/#/estimate?id=10cd9e0e4cd44fece32b23298f60ee2e7f7c399f>

Estimate summary

Upfront cost	Monthly cost	Total 12 months cost
0.00 USD	40.45 USD	485.40 USD
Includes upfront cost		

Detailed Estimate

Name	Group	Region	Upfront cost	Monthly cost
AWS IoT Core	No group applied	EU (Frankfurt)	0.00 USD	14.09 USD

Status: -**Description:**

Config summary: Number of devices (MQTT) (100), Average size of each message (10 KB), Average size of each record (1 KB), Average size of each record (1 KB), Average number of actions executed per rule (1), Average size of each message (10 KB), Number of messages for a device (43800), Number of rules triggered (43800)

AWS IoT Greengrass	No group applied	EU (Frankfurt)	0.00 USD	18.52 USD
--------------------	------------------	----------------	----------	-----------

Status: -**Description:**

Config summary: Number of greengrass core devices (100), Activity Period in minutes per month (43800), MQTT topics with cloud as source (optional) (1000)

AWS IoT Device Defender	No group applied	EU (Frankfurt)	0.00 USD	0.13 USD
-------------------------	------------------	----------------	----------	----------

Status: -**Description:**

Config summary: Disconnect Duration (Not Included), Number of devices (100), Number of devices (Rules) (100), Number of metrics (Rules) (1), Number of times metric datapoint(s) are reported daily (Rules) (1)

Amazon Simple Storage Service (S3)	No group applied	EU (Frankfurt)	0.00 USD	0.06 USD
---	------------------	----------------	----------	----------

Status: -

Description:

Config summary: S3 Standard storage (2.6 GB per month), PUT, COPY, POST, LIST requests to S3 Standard (100), GET, SELECT, and all other requests from S3 Standard (100), Data returned by S3 Select (0 GB per month), Data scanned by S3 Select (0 GB per month) DT Inbound: Internet (0 TB per month), DT Outbound: Not selected (0 TB per month)

Amazon Elastic Container Registry	No group applied	EU (Frankfurt)	0.00 USD	5.00 USD
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Status: -

Description:

Config summary: Amount of data stored (50 GB per month)

AWS Lambda	No group applied	EU (Frankfurt)	0.00 USD	2.65 USD
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Status: -

Description:

Config summary: Invoke Mode (Buffered), Architecture (Arm), Architecture (x86), Number of requests (4380000 per month), Amount of ephemeral storage allocated (512 MB)

Acknowledgement

AWS Pricing Calculator provides only an estimate of your AWS fees and doesn't include any taxes that might apply. Your actual fees depend on a variety of factors, including your actual usage of AWS services. [Learn more](#) 

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Glossary

Arm Arm is a British company that designs processors with RISC-type (Reduced Instruction Set Computer) architectures. [viii](#), [ix](#), [3](#), [5](#), [8](#), [13](#), [15](#), [21](#), [22](#), [24](#), [25](#), [37](#), [52](#), [55](#), [72](#), [73](#), [79](#), [87](#)

AWS AWS stands for Amazon Web Services. It is a company that provides a cloud platform with over 200 services. The resources are spread over more than 32 regions around the world. [x](#), [xi](#), [1](#), [3](#), [4](#), [9](#), [10](#), [13](#), [14](#), [15](#), [17](#), [18](#), [20](#), [24](#), [25](#), [26](#), [27](#), [28](#), [29](#), [30](#), [31](#), [32](#), [33](#), [34](#), [35](#), [36](#), [37](#), [38](#), [39](#), [40](#), [41](#), [43](#), [44](#), [45](#), [46](#), [48](#), [49](#), [50](#), [51](#), [56](#), [57](#), [58](#), [60](#), [61](#), [62](#), [64](#), [65](#), [66](#), [67](#), [68](#), [69](#), [70](#), [71](#), [73](#), [74](#), [75](#), [76](#), [77](#), [85](#), [86](#), [87](#)

cloud The term cloud refers to a remote infrastructure made up of servers that can be accessed from anywhere in the world via an internet connection. [v](#), [viii](#), [x](#), [xi](#), [1](#), [2](#), [3](#), [5](#), [6](#), [7](#), [8](#), [9](#), [10](#), [11](#), [12](#), [13](#), [14](#), [15](#), [16](#), [17](#), [18](#), [19](#), [20](#), [22](#), [24](#), [25](#), [26](#), [27](#), [32](#), [33](#), [34](#), [38](#), [39](#), [40](#), [41](#), [44](#), [50](#), [71](#), [77](#), [79](#), [85](#), [86](#), [87](#)

cloud infrastructure A cloud infrastructure is the set of computing resources in the cloud that make up an IT environment. This includes servers, storage, network and security. [viii](#), [ix](#), [x](#), [xi](#), [1](#), [2](#), [3](#), [4](#), [6](#), [7](#), [10](#), [11](#), [15](#), [17](#), [18](#), [19](#), [23](#), [24](#), [25](#), [31](#), [32](#), [40](#), [44](#), [55](#), [58](#), [59](#), [70](#), [71](#), [74](#), [75](#), [78](#), [87](#), [93](#)

HES-SO The HES-SO is a Swiss university based in French-speaking Switzerland. It offers a wide range of specialisations in different fields such as technology, health, social work, economics and art. It has several buildings spread across the French-speaking cantons. [80](#)

KanBan KanBan is a project management tool designed to efficiently manage a workflow over a given period. It is widely adopted in agile methodology. [xi](#), [77](#), [79](#), [82](#), [83](#)

provisioning Provisioning means the automated creation and installation of an IT infrastructure. Device provisioning involves creating the resources needed to integrate it into an IT infrastructure and integrating it into the existing infrastructure. [viii](#), [xi](#), [10](#), [17](#), [25](#), [26](#), [31](#), [36](#), [37](#), [38](#), [41](#), [42](#), [55](#), [59](#), [60](#), [61](#), [62](#)

Scrum Scrum is a process framework based on agile methodology for software engineering. It is suitable for small development teams. It ensures good communication between the customer and the team. It enables the first versions of a software product to be delivered quickly.. [xi](#), [77](#), [78](#), [80](#), [81](#), [82](#), [83](#)

work package In project management, work packages are commonly used to define a plan. The plan provides an overview of the progress of the project. [77](#), [78](#), [85](#)

Acronyms

API Application Programming Interface. 16, 18, 20

ARM Azure Resource Manager. 18, 20

AVH Arm Virtual Hardware. xi, 72, 73

BBR Base Boot Requirements. 21

BLE Bluetooth Low Energy. 13

BSA Base System Architecture. 21

BSD Berkeley Software Distribution. 21, 22

CA Certificate Authority. 38

CD Continuous Delivery. viii, x, 3, 4, 23, 25, 31, 39, 40, 41, 43, 55, 58, 60, 77, 78, 84, 85, 87

CDK Cloud Development Kit. x, 17, 18, 20

CI Continuous Integration. viii, x, 3, 4, 23, 25, 31, 39, 40, 41, 43, 55, 58, 60, 77, 78, 84, 85, 87

CLI Command Line interface. 19, 32, 36, 44

CoAP Constrained Application Protocol. 13

CSR Certificate Signing Request. 28, 48, 49, 50, 67, 68

DevOps Development and Operations. xi, 2, 39, 84, 87

ECR Elastic Container Registry. 24, 43, 47, 59, 76

HTTP HyperText Transfer Protocol. 13

IaaS Infrastructure as a Service. 6, 10, 18

IaC Infrastructure as Code. 5, 8, 17, 18, 19, 20, 25, 32, 33, 79

IoT Internet of Things. viii, x, xi, 1, 2, 5, 8, 11, 12, 13, 14, 15, 16, 17, 22, 24, 25, 26, 27, 28, 29, 33, 34, 35, 36, 37, 38, 39, 41, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 59, 60, 61, 62, 65, 66, 70, 71, 72, 73, 75, 76, 79, 87

IPC InterProcess Communication. 34

Acronyms

JSON JavaScripT Object Notation. [13](#), [18](#), [37](#)

MQTT Message Queuing Telemetry Transport. [xi](#), [13](#), [24](#), [29](#), [30](#), [34](#), [38](#), [39](#), [47](#), [48](#), [50](#), [51](#), [52](#), [62](#), [63](#), [65](#), [66](#), [67](#), [68](#), [71](#), [75](#), [87](#)

NAS Network Attached Storage. [15](#)

NAT Network Address Translation. [14](#)

OIDC OpenID Connect. [x](#), [40](#), [56](#), [60](#)

OS Operating System. [x](#), [xi](#), [21](#), [22](#), [23](#), [24](#), [25](#), [26](#), [30](#), [31](#), [33](#), [36](#), [37](#), [42](#), [44](#), [52](#), [53](#), [55](#), [58](#), [60](#), [61](#), [70](#), [71](#), [72](#), [73](#), [76](#), [87](#)

OTA Over-The-Air. [14](#), [34](#), [35](#)

PaaS Platform as a Service. [6](#), [10](#), [16](#), [18](#)

PO Product Owner. [81](#), [82](#)

QoS Quality of Service. [14](#)

REST REpresentational State Transfer. [13](#)

ROI Return On OInvestment. [81](#)

SaaS Software as a Service. [6](#), [18](#)

SDK Software Development Kit. [20](#)

SOA Service-Oriented Architecture. [13](#)

TCP Transmission Control Protocol. [13](#)

TLS Transport Layer Security. [39](#)

UDP User Datagram Protocol. [13](#)

WiFi Wireless Fidelity. [13](#)

WIP Work In Progress. [82](#), [83](#)