模式识别与机器学习作业-第三章

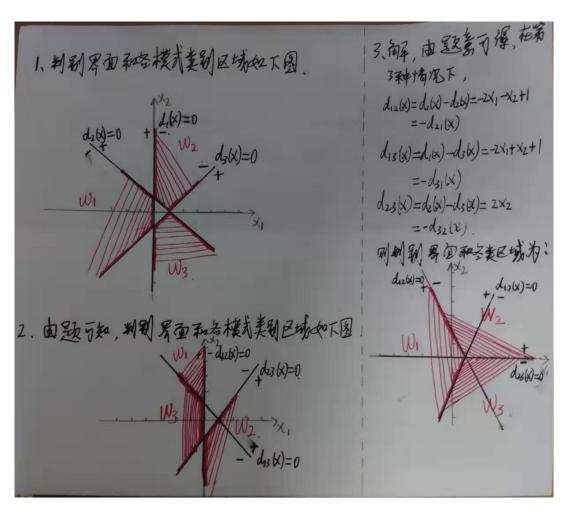
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1. 在一个 10 类的模式识别问题中,有 3 类单独满足多类情况 1,其余的类别满足多类情况 2。问该模式识别问题所需判别函数的最少数目是多少?

答:由题意,首先由于该模式种有三类单独满足多类情况 1,所以可以先把这一部分看成是 4 分类问题,此处需要 4 个判别函数;之后剩下的七个模式类别可以看作第四类中再进行二次划分的情况,这里需要 M(m-1)/2=21 中判别函数。所以整个问题一共最少需要判别函数为 21+4=25 个。

2. 一个三类问题,其判别函数为: d1(x)=-x1, d2(x)=x1+x2-1, d3(x)=x1-x2-1

- 1). 设这些函数是在多类情况 1 条件下确定的, 绘出其判别界面和每一个模式类别的区域。
- 2). 设为多类情况 2,并使: d12(x) = d1(x), d13(x) = d2(x), d23(x) = d3(x)。绘出其判别界面和多类情况 2 的区域。
 - 3). 设 d1(x), d2(x) 和 d3(x) 是在多类情况 3 的条件下确定的,绘出其判别界面和每类的区域。



- 3. 两类模式,每类包括 5 个 3 维不同的模式,且良好分布。如果它们是线性可分的,问权向量至少需要几个系数分量?假如要建立二次的多项式判别函数,又至少需要几个系数分量?(设模式的良好分布不因模式变化而改变。)
- 答:由题意,当两类模式线性可分且每类模式为3维时,权向量的分量至少为(n+1)=4个;当需要建立二次多项式判别函数时,此时需要的系数分量至少为 $\frac{(n+1)(n+2)}{2}=(4\times 5)/2=10$.

4. (1) 用感知器算法求下列模式分类的解向量 w:

$$w_1: \left\{ (0,0,0)^T, (1,0,0)^T, (1,0,1)^T, (1,1,0)^T \right\}$$

$$w_2: \left\{ (0,0,1)^T, (0,1,1)^T, (0,1,0)^T, (1,1,1)^T \right\}$$

答: 首先将 w_2 类的样本乘(-1),并写成增广向量形式,得到新的样本如下

$$w_1: \left\{ (0,0,0,1)^T, (1,0,0,1)^T, (1,0,1,1)^T, (1,1,0,1)^T \right\}$$

$$w_2: \left\{ (0,0,-1,-1)^T, (0,-1,-1,-1)^T, (0,-1,0,-1)^T, (-1,-1,-1,-1)^T \right\}$$

取 C = 1, $w(1) = (0,0,0,0)^T$, 则迭代过程如下:

episode1:

$$w^{T}(1)x_{1} = (0,0,0,0)(0,0,0,1)^{T} = 0 \neq 0, \qquad w(2) = w(1) + x_{1} = (0,0,0,1)^{T}$$

$$w^{T}(2)x_{2} = (0,0,0,1)(1,0,0,1)^{T} = 1 > 0, \qquad w(3) = w(2) = (0,0,0,1)^{T}$$

$$w^{T}(3)x_{3} = (0,0,0,1)(1,0,1,1)^{T} = 1 > 0, \qquad w(4) = w(3) = (0,0,0,1)^{T}$$

$$w^{T}(4)x_{4} = (0,0,0,1)(1,1,0,1)^{T} = 1 > 0, \qquad w(5) = w(4) = (0,0,0,1)^{T}$$

$$w^{T}(5)x_{5} = (0,0,0,1)(0,0,-1,-1)^{T} = -1 \neq 0, \qquad w(6) = w(5) + x_{5} = (0,0,-1,0)^{T}$$

$$w^{T}(6)x_{6} = (0,0,-1,0)(0,-1,-1,-1)^{T} = 1 > 0, \qquad w(7) = w(6) = (0,0,-1,0)^{T}$$

$$w^{T}(7)x_{7} = (0,0,-1,0)(0,-1,0,-1)^{T} = 0 \neq 0, \qquad w(8) = w(7) + x_{7} = (0,-1,-1,-1)^{T}$$

$$w^{T}(8)x_{8} = (0,-1,-1,-1)(-1,-1,-1,-1)^{T} = 3 > 0, \qquad w(9) = w(8) = (0,-1,-1,-1)^{T}$$

episode2:

$$w^{T}(9)x_{1} = (0, -1, -1, -1)(0, 0, 0, 1)^{T} = -1 \neq 0, \qquad w(10) = w(9) + x_{1} = (0, -1, -1, 0)^{T}$$

$$w^{T}(10)x_{2} = (0, -1, -1, 0)(1, 0, 0, 1)^{T} = 0 \neq 0, \qquad w(11) = w(10) + x_{2} = (1, -1, -1, 1)^{T}$$

$$w^{T}(11)x_{3} = (1, -1, -1, 1)(1, 0, 1, 1)^{T} = 1 > 0, \qquad w(12) = w(11) = (1, -1, -1, 1)^{T}$$

$$w^{T}(12)x_{4} = (1, -1, -1, 1)(1, 1, 0, 1)^{T} = 1 > 0, \qquad w(13) = w(12) = (1, -1, -1, 1)^{T}$$

$$w^{T}(13)x_{5} = (1, -1, -1, 1)(0, 0, -1, -1)^{T} = 0 \neq 0, \qquad w(14) = w(13) + x_{5} = (1, -1, -2, 0)^{T}$$

$$w^{T}(14)x_{6} = (1, -1, -2, 0)(0, -1, -1, -1)^{T} = 3 > 0, \qquad w(15) = w(14) = (1, -1, -2, 0)^{T}$$

$$w^{T}(15)x_{7} = (1, -1, -2, 0)(0, -1, 0, -1)^{T} = 1 > 0, \qquad w(16) = w(15) = (1, -1, -2, 0)^{T}$$

$$w^{T}(16)x_{8} = (1, -1, -2, 0)(-1, -1, -1, -1)^{T} = 2 > 0, \qquad w(17) = w(16) + x_{8} = (1, -1, -2, 0)^{T}$$

episode3:

$$w^{T}(17)x_{1} = (1, -1, -2, 0)(0, 0, 0, 1)^{T} = 0 \ge 0,$$
 $w(18) = w(17) + x_{1} = (1, -1, -2, 1)^{T}$

$$w^{T}(18)x_{2} = (1, -1, -2, 1)(1, 0, 0, 1)^{T} = 2 > 0, \qquad w(19) = w(18) = (1, -1, -2, 1)^{T}$$

$$w^{T}(19)x_{3} = (1, -1, -2, 1)(1, 0, 1, 1)^{T} = 0 \neq 0, \qquad w(20) = w(19) + x_{3} = (2, -1, -1, 2)^{T}$$

$$w^{T}(20)x_{4} = (2, -1, -1, 2)(1, 1, 0, 1)^{T} = 3 \neq 0, \qquad w(21) = w(20) = (2, -1, -1, 2)^{T}$$

$$w^{T}(21)x_{5} = (2, -1, -1, 2)(0, 0, -1, -1)^{T} = -1 \neq 0, \qquad w(22) = w(21) + x_{5} = (2, -1, -2, 1)^{T}$$

$$w^{T}(22)x_{6} = (2, -1, -2, 1)(0, -1, -1, -1)^{T} = 2 > 0, \qquad w(23) = w(22) = (2, -1, -2, 1)^{T}$$

$$w^{T}(23)x_{7} = (2, -1, -2, 1)(0, -1, 0, -1)^{T} = 0 \neq 0, \qquad w(24) = w(23) + x_{7} = (2, -2, -2, 0)^{T}$$

$$w^{T}(24)x_{8} = (2, -2, -2, 0)(-1, -1, -1, -1)^{T} = 2 > 0, \qquad w(25) = w(24) + x_{8} = (2, -2, -2, 0)^{T}$$

$$episode4:$$

$$w^{T}(25)x_{1} = (2, -2, -2, 0)(0, 0, 0, 1)^{T} = 0 \neq 0, \qquad w(26) = w(25) + x_{1} = (2, -2, -2, 1)^{T}$$

$$w^{T}(26)x_{2} = (2, -2, -2, 1)(1, 0, 0, 1)^{T} = 3 > 0, \qquad w(27) = w(26) = (2, -2, -2, 1)^{T}$$

$$w^{T}(27)x_{3} = (2, -2, -2, 1)(1, 0, 1, 1)^{T} = 1 > 0, \qquad w(28) = w(27) = (2, -2, -2, 1)^{T}$$

$$w^{T}(28)x_{4} = (2, -2, -2, 1)(1, 1, 0, 1)^{T} = 1 > 0, \qquad w(29) = w(28) = (2, -2, -2, 1)^{T}$$

$$w^{T}(29)x_{5} = (2, -2, -2, 1)(0, 0, -1, -1)^{T} = 1 > 0, \qquad w(30) = w(29) = (2, -2, -2, 1)^{T}$$

$$w^{T}(30)x_{6} = (2, -2, -2, 1)(0, -1, -1, -1)^{T} = 3 > 0, \qquad w(31) = w(30) = (2, -2, -2, 1)^{T}$$

$$w^{T}(31)x_{7} = (2, -2, -2, 1)(0, -1, 0, -1)^{T} = 1 > 0, \qquad w(32) = w(31) = (2, -2, -2, 1)^{T}$$

$$w^{T}(32)x_{8} = (2, -2, -2, 1)(-1, -1, -1, -1)^{T} = 1 > 0, \qquad w(33) = w(32) = (2, -2, -2, 1)^{T}$$

episode5:

$$w^{T}(33)x_{1} = (2, -2, -2, 1)(0, 0, 0, 1)^{T} = 1 > 0, \qquad w(34) = w(33) = (2, -2, -2, 1)^{T}$$

$$w^{T}(34)x_{2} = (2, -2, -2, 1)(1, 0, 0, 1)^{T} = 3 > 0, \qquad w(35) = w(34) = (2, -2, -2, 1)^{T}$$

$$w^{T}(35)x_{3} = (2, -2, -2, 1)(1, 0, 1, 1)^{T} = 1 > 0, \qquad w(36) = w(35) = (2, -2, -2, 1)^{T}$$

$$w^{T}(36)x_{4} = (2, -2, -2, 1)(1, 1, 0, 1)^{T} = 1 > 0, \qquad w(37) = w(36) = (2, -2, -2, 1)^{T}$$

$$w^{T}(37)x_{5} = (2, -2, -2, 1)(0, 0, -1, -1)^{T} = 1 > 0, \qquad w(38) = w(37) = (2, -2, -2, 1)^{T}$$

$$w^{T}(38)x_{6} = (2, -2, -2, 1)(0, -1, -1, -1)^{T} = 3 > 0, \qquad w(39) = w(38) = (2, -2, -2, 1)^{T}$$

$$w^{T}(39)x_{7} = (2, -2, -2, 1)(0, -1, 0, -1)^{T} = 1 > 0, \qquad w(40) = w(39) = (2, -2, -2, 1)^{T}$$

$$w^{T}(40)x_{8} = (2, -2, -2, 1)(-1, -1, -1, -1)^{T} = 1 > 0, \qquad w(41) = w(40) = (2, -2, -2, 1)^{T}$$

所以最终的解向量为 $w = (2, -2, -2, 1)^T$,相应的判别函数为 $d(x) = 2x_1 - 2x_2 - 2x_3 + 1$

5. 用多类感知器算法求下列模式的判别函数:

 $w_1: (-1,-1)^T$ $w_2: (0,0)^T$ $w_3: (1,1)^T$

答: 首先将模式样本写成增广向量形式,得到新的样本如下

$$x_1 = (-1, -1, 1)^T$$
, $x_2 = (0, 0, 1)^T$, $x_3 = (1, 1, 1)^T$

限
$$C=1, w_1(1)=w_2(1)=w_3(1)=(0,0,0)^T$$
、则迭代证程如下:
$$episode1: k=1, x_1=(-1,-1,1)^T, \\ d_1(1)=w_1^T(1)x_1=(0,0,0)(-1,-1,1)^T=0 \\ d_2(1)=w_2^T(1)x_1=(0,0,0)(-1,-1,1)^T=0 \\ d_3(1)=w_3^T(1)x_1=(0,0,0)(-1,-1,1)^T=0 \\ d_1(1)\neq d_2(1), \ d_1(1)\neq d_3(1) \\ w_1(2)=w_1(1)+x_1=(0,0,0)^T+(-1,-1,1)^T=(-1,-1,1)^T \\ w_2(2)=w_2(1)-x_1=(0,0,0)^T-(-1,-1,1)^T=(1,1,-1)^T \\ w_3(2)=w_3(1)-x_1=(0,0,0)^T-(-1,-1,1)^T=(1,1,-1)^T \\ episode2: k=2, x_2=(0,0,1)^T, \\ d_1(2)=w_1^T(2)x_2=(-1,-1,1)(0,0,1)^T=1 \\ d_2(2)=w_2^T(2)x_2=(1,1,-1)(0,0,1)^T=-1 \\ d_3(2)=w_3^T(2)x_2=(1,1,-1)^T+(0,0,1)^T=(1,1,0)^T \\ w_2(3)=w_2(2)+x_2=(1,1,-1)^T-(0,0,1)^T=(1,1,0)^T \\ w_2(3)=w_2(2)+x_2=(1,1,-1)^T-(0,0,1)^T=(1,1,0)^T \\ w_3(3)=w_3(2)-x_2=(1,1,-1)^T-(0,0,1)^T=(1,1,-2)^T \\ episode3: k=3, x_3=(1,1,1)^T, \\ d_1(3)=w_1^T(3)x_3=(-1,-1,0)(1,1,1)^T=2 \\ d_2(3)=w_2^T(3)x_3=(1,1,0)(1,1,1)^T=2 \\ d_3(3)=w_1^T(3)x_3=(1,1,-2)(1,1,1)^T=0 \\ d_3(3)>d_1(3), \ d_3(3)\neq d_2(3) \\ w_1(4)=w_1(3)=(-1,-1,0)^T \\ w_2(4)=w_2(3)-x_3=(1,1,0)^T-(1,1,1)^T=(0,0,-1)^T \\ w_3(4)=w_3(3)+x_3=(1,1,-2)^T+(1,1,1)^T=(2,2,-1)^T \\ episode4: k=4,x_1=(-1,-1,1)^T, \\ d_1(4)=w_1^T(4)x_1=(-1,-1,0)(-1,-1,1)^T=1 \\ d_3(4)=w_2^T(4)x_1=(0,0,-1)(-1,-1,1)^T=-1 \\ d_3(4)=w_2^T(4)x_1=(0,0,-1)(-1,-1,1)^T=-5 \\ d_1(4)>d_2(4), \ d_1(4)>d_3(4) \\ w_1(5)=w_1(4)=(0,0,1)^T \\ w_2(5)=w_2(4)=(0,0,-1)^T \\ w_3(5)=w_3(4)=(2,2,-1)^T \\ \end{cases}$$

$$\begin{aligned} episode5: &k = 5, x_2 = (0,0,1)^T, \\ &d_1(5) = w_1^T(5)x_2 = (-1,-1,0)(0,0,1)^T = 0 \\ &d_2(5) = w_2^T(5)x_2 = (0,0,-1)(0,0,1)^T = -1 \\ &d_3(5) = w_3^T(5)x_2 = (2,2,-1)(0,0,1)^T = -1 \\ &d_2(5) \not> d_1(5), \quad d_2(5) \not> d_3(5) \\ &w_1(6) = w_1(5) - x_2 = (-1,-1,0)^T - (0,0,1)^T = (-1,-1,-1)^T \\ &w_2(6) = w_2(5) + x_2 = (0,0,-1)^T + (0,0,1)^T = (0,0,0)^T \\ &w_3(6) = w_3(5) - x_2 = (2,2,-1)^T - (0,0,1)^T = (2,2,-2)^T \end{aligned}$$

$$\begin{aligned} episode6: &k = 6, x_3 = (1,1,1)^T, \\ &d_1(6) = w_1^T(6)x_3 = (-1,-1,-1)(1,1,1)^T = -3 \\ &d_2(6) = w_2^T(6)x_3 = (0,0,0)(1,1,1)^T = 0 \\ &d_3(6) = w_3^T(6)x_3 = (2,2,-2)(1,1,1)^T = 2 \\ &d_3(6) > d_1(6), \quad d_3(3) > d_2(3) \\ &w_1(7) = w_1(6) = (-1,-1,-1)^T \\ &w_2(7) = w_2(6) = (0,0,0)^T \\ &w_3(7) = w_3(6) = (2,2,-2)^T \end{aligned}$$

$$\begin{aligned} episode7: &k = 7, x_1 = (-1,-1,1)^T, \\ &d_1(7) = w_1^T(7)x_1 = (-1,-1,-1)(-1,-1,1)^T = 1 \\ &d_2(7) = w_2^T(7)x_1 = (0,0,0)(-1,-1,1)^T = 0 \\ &d_3(7) = w_3^T(7)x_1 = (2,2,-2)(-1,-1,1)^T = -6 \\ &d_1(7) > d_2(7), \quad d_1(7) > d_3(7) \\ &w_1(8) = w_1(7) = (-1,-1,-1)^T \\ &w_2(8) = w_2(7) = (0,0,0)^T \\ &w_3(8) = w_3^T(8)x_2 = (-1,-1,-1)(0,0,1)^T = -1 \\ &d_2(8) = w_1^T(8)x_2 = (-1,-1,-1)(0,0,1)^T = -2 \\ &d_2(8) > d_1(8), \quad d_2(5) > d_3(5) \\ &w_1(9) = w_1(8) - x_2 = (-1,-1,-1)^T \\ &w_2(9) = w_2(8) + x_2 = (0,0,0)^T \\ &w_3(9) = w_3(8) - x_2 = (2,2,-2)^T \end{aligned}$$

因此, 权向量的解为

$$w_1 = \begin{pmatrix} -1 \\ -1 \\ -1 \end{pmatrix}, \quad w_2 = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}, \quad w_3 = \begin{pmatrix} 2 \\ 2 \\ -2 \end{pmatrix}$$

相应的判别函数为

$$\begin{cases} d_1 = -x_1 - x_2 - 1 \\ d_2 = 0 \\ d_3 = 2x_1 + 2x_2 - 2 \end{cases}$$

6. 采用梯度法和如下准测函数,式中实数 b>0,试导出两类模式的分类算法。

$$J(w,x,b) = \frac{1}{8{\|x\|}^2} \Big[\left(w^T x - b \right) - \left| w^T x - b \right| \Big]^2$$

答:由题意可知,对准则函数 J 进行微分,得:

$$\frac{\partial J}{\partial w} = \frac{1}{4 \| x \|^2} \left[(w^T x - b) - |w^T x - b| \right] \times \left[x - x \times sign(w^T x - b) \right]$$
where, $sign(w^T - b) = \begin{cases} 1, & w^T x - b > 0 \\ -1, & w^T x - b \le 0 \end{cases}$

由此得到迭代式并化简后得:

$$w(k+1) = w(k) + \frac{C}{4 \|x\|^2} \left[\left(w^T(k)x - b \right) - |w^T(k)x - b| \right] \times \left[x - x \times sign(w^T(k)x - b) \right]$$
==>,

$$w(k+1) = w(k) + C \begin{cases} 0, & w^{T}(k)x - b > 0 \\ \frac{(b - w^{T}(k)x)}{\|x\|^{2}} x, & w^{T}(k)x - b \le 0 \end{cases}$$

7. 用二次埃尔米特多项式的势函数算法求解以下模式的分类问题

$$w_1: \left\{ (0,1)^T, (0,-1)^T \right\}, \quad w_2: \left\{ (1,0)^T, (-1,0)^T \right\}$$

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解:二次爆发品米特约顶式的表达式为
        H_0(x)=1, H_1(x)=2x, H_2(x)=4x^2-2
    国出华正多函数缝合为:
    Q(X) = Q(X, X2) = Ho(X1) Ho(X2) = 1
    \varphi_2(x) = \varphi_2(x_1, x_2) = H_0(x_1) H_1(x_2) = 2x_2
    93(X) = 93(X, 1/2) = HO(X) 1/2(XL) = 4X2-2
    (94X) = 94(X1, X2) = H1(X1) H0(X2) = 2X1
    80(x) = 85(x1, x2) = H1(x1) H1 (x2) = 4x1x2
    Q6(x) = Q6(x,1x2) = H.(x1)H2(x2) = 2x1(4x2²-2)
    9,(X)=9,(X,x)= H2(X)H0(X)=4X,2-2
    (48(x) = (28(x_1, x_2) = H_2(x_1) H_1(x_2) = 2x_2(4x_1^2-2)
    90(X) = (90(X, 1/2) = H2(X1) H2(X2) = (4X12-2)(4X2-2)
    刚势函数为
      K[X,Xk] = = 92(X) (22(Xk) = |+ 4x2Xk2 + (4x2-2)(4xk2-2) + 4x7kk1 +6X1X2Xk1Xk2
              +4X,(4X2-2)XK,(4Xk2-2)+(4X12-2)(4Xk1-2)+4X2(4X12-2)Xk,(4Xk1-2)
              +(4x_1^2-1)(4x_2^2-2)(4x_1x_1^2-2)(4x_2^2-2)
 第18, 取义=(1) GW1,故K1(X)=-15+20X2+40X2+24X,2-64X12X22-32X12X2
 第2岁,取以=(9)6W1,则 K1(X2)=570, 故 K2(以=K1(X).
 第3岁,取以=(b)EW2,则 K2(X3)=970, 故处故
                         K_3(x) = K_2(x) - K(x, x_3) = 20x_2 + 16x_2^2 - 20x_1 + 16x_1^2
  第4岁, 取以=(7)6W2, 则 K3(以4)=4>0, 故
                         K4(X) = K3(X)-K(X,X4)=15+20X2-56X2-8X2-32X1X2+64X1X,2
  第5岁,取冬=(1)6W1, MK4(x5)=2770, 校 K5(X)=K4(X)
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FLYMYDREAM

第6生, 取 X6=[9] EW1, 则 K5(X6)=-13<0, 故 K6(X)= K5(X)+ k(X,X6)=-32X1+32X2 第74、 取 X-=(1) EW2、 则 K1(X2)--32<0, 故

第7岁,取火7=(b) EWz,则K6(X7)=-32<0,故 K7(X)=K6(X)

第8岁, 取冬=(7) EW2,则 K1(18)=-32<0,故 K8(X)=K7(X)

第9岁,取Xq=(9)EW,,则kg(Xq)=3270,故 Kq(X)=kg(X)

第10岁,取义1月)(EW1, 网) kg(X10)=32>0, 效 k10以)=kg(X)

至此,全部棒本分类正确,所以函数已收敛子判别函数,因此,制制函数为.

 $d(x) = K_{10}(x) = -32x_1^2 + 32x_2^2$

8. 用下列势函数 $K(x,x_k) = e^{-\alpha \|x-x_k\|^2}$ 求解以下模式分类问题:

 $w_1: \left\{ (0,1)^T, (0,-1)^T \right\}, \quad w_2: \left\{ (1,0)^T, (-1,0)^T \right\}$