

RFC 221 Transaction Control

Draft

67 Pages

Abstract

10 point Arial Centered.

The OSGi Alliance Enterprise Expert Group has developed adaptations of the JDBC, JTA, and JPA Java EE specifications. These resulted in a disparate set of services, that is they provide factory services (DataSourceFactory and EntityManagerFactoryBuilder) and a JTA Transaction service. However, the native OSGi model is to be able to use configured instance services so they can directly be injected through DS and used together. This RFC proposes a client transaction management specification that fully leverages the OSGi service model and Java 8, whilst also making it easy to use resources as part of the transaction.



0 Document Information

0.1 License

DISTRIBUTION AND FEEDBACK LICENSE, Version 2.0

The OSGi Alliance hereby grants you a limited copyright license to copy and display this document (the "Distribution") in any medium without fee or royalty. This Distribution license is exclusively for the purpose of reviewing and providing feedback to the OSGi Alliance. You agree not to modify the Distribution in any way and further agree to not participate in any way in the making of derivative works thereof, other than as a necessary result of reviewing and providing feedback to the Distribution. You also agree to cause this notice, along with the accompanying consent, to be included on all copies (or portions thereof) of the Distribution. The OSGi Alliance also grants you a perpetual, non-exclusive, worldwide, fully paid-up, royalty free, limited license (without the right to sublicense) under any applicable copyrights, to create and/or distribute an implementation of the Distribution that: (i) fully implements the Distribution including all its required interfaces and functionality; (ii) does not modify, subset, superset or otherwise extend the OSGi Name Space, or include any public or protected packages, classes, Java interfaces, fields or methods within the OSGi Name Space other than those required and authorized by the Distribution. An implementation that does not satisfy limitations (i)-(ii) is not considered an implementation of the Distribution, does not receive the benefits of this license, and must not be described as an implementation of the Distribution. "OSGi Name Space" shall mean the public class or interface declarations whose names begin with "org.osgi" or any recognized successors or replacements thereof. The OSGi Alliance expressly reserves all rights not granted pursuant to these limited copyright licenses including termination of the license at will at any time.

EXCEPT FOR THE LIMITED COPYRIGHT LICENSES GRANTED ABOVE, THE OSGI ALLIANCE DOES NOT GRANT, EITHER EXPRESSLY OR IMPLIEDLY, A LICENSE TO ANY INTELLECTUAL PROPERTY IT, OR ANY THIRD PARTIES, OWN OR CONTROL. Title to the copyright in the Distribution will at all times remain with the OSGI Alliance. The example companies, organizations, products, domain names, e-mail addresses, logos, people, places, and events depicted therein are fictitious. No association with any real company, organization, product, domain name, email address, logo, person, place, or event is intended or should be inferred.

THE DISTRIBUTION IS PROVIDED "AS IS," AND THE OSGI ALLIANCE (INCLUDING ANY THIRD PARTIES THAT HAVE CONTRIBUTED TO THE DISTRIBUTION) MAKES NO REPRESENTATIONS OR WARRANTIES, EXPRESS OR IMPLIED, INCLUDING, BUT NOT LIMITED TO, WARRANTIES OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE, NON-INFRINGEMENT, OR TITLE; THAT THE CONTENTS OF THE DISTRIBUTION ARE SUITABLE FOR ANY PURPOSE; NOR THAT THE IMPLEMENTATION OF SUCH CONTENTS WILL NOT INFRINGE ANY THIRD PARTY PATENTS, COPYRIGHTS, TRADEMARKS OR OTHER RIGHTS.

NEITHER THE OSGI ALLIANCE NOR ANY THIRD PARTY WILL BE LIABLE FOR ANY DIRECT, INDIRECT, SPECIAL, INCIDENTAL OR CONSEQUENTIAL DAMAGES ARISING OUT OF OR RELATING TO ANY USE OR DISTRIBUTION OF THE DISTRIBUTION.

Implementation of certain elements of this Distribution may be subject to third party intellectual property rights, including without limitation, patent rights (such a third party may or may not be a member of the OSGi Alliance). The OSGi Alliance is not responsible and shall not be held responsible in any manner for identifying or failing to identify any or all such third party intellectual property rights.

The Distribution is a draft. As a result, the final product may change substantially by the time of final publication, and you are cautioned against relying on the content of this Distribution. You are encouraged to update any implementation of the Distribution if and when such Distribution becomes a final specification.

The OSGi Alliance is willing to receive input, suggestions and other feedback ("Feedback") on the Distribution. By providing such Feedback to the OSGi Alliance, you grant to the OSGi Alliance and all its Members a non-exclusive, non-transferable,



December 15, 2016

worldwide, perpetual, irrevocable, royalty-free copyright license to copy, publish, license, modify, sublicense or otherwise distribute and exploit your Feedback for any purpose. Likewise, if incorporation of your Feedback would cause an implementation of the Distribution, including as it may be modified, amended, or published at any point in the future ("Future Specification"), to necessarily infringe a patent or patent application that you own or control, you hereby commit to grant to all implementers of such Distribution or Future Specification an irrevocable, worldwide, sublicenseable, royalty free license under such patent or patent application to make, have made, use, sell, offer for sale, import and export products or services that implement such Distribution or Future Specification. You warrant that (a) to the best of your knowledge you have the right to provide this Feedback, and if you are providing Feedback on behalf of a company, you have the rights to provide Feedback on behalf of your company; (b) the Feedback is not confidential to you and does not violate the copyright or trade secret interests of another; and (c) to the best of your knowledge, use of the Feedback would not cause an implementation of the Distribution or a Future Specification to necessarily infringe any third-party patent or patent application known to you. You also acknowledge that the OSGi Alliance is not required to incorporate your Feedback into any version of the Distribution or a Future Specification.

I HEREBY ACKNOWLEDGE AND AGREE TO THE TERMS AND CONDITIONS DELINEATED ABOVE.

0.2 Trademarks

OSGi™ is a trademark, registered trademark, or service mark of the OSGi Alliance in the US and other countries. Java is a trademark, registered trademark, or service mark of Oracle Corporation in the US and other countries. All other trademarks, registered trademarks, or service marks used in this document are the property of their respective owners and are hereby recognized.

0.3 Feedback

This document can be downloaded from the OSGi Alliance design repository at https://github.com/osgi/design The public can provide feedback about this document by opening a bug at https://www.osgi.org/bugzilla/.

0.4 Table of Contents

0 Document Information	2
0.1 License	
0.2 Trademarks	
0.3 Feedback	
0.4 Table of Contents	
0.5 Terminology and Document Conventions	4
0.6 Revision History	4
1 Introduction	5
2 Application Domain	5
2.1.1 Data Source Factory	
2.2 JPA	
2.3 Spring Data	7
2.4 Transactions	
2.4.1 Client-side Transaction management	8
2.4.2 Infrastructure integration with Transactions	8
2.5 Versions and Migration	8
3 Problem Description	9
4 Requirements	10
4.1 General	
4.2 Data Source Service	10
4.3 Entity Manager Service	10



December	15,	2016
----------	-----	------

4.4 Database Versioning	10
5 Technical Solution	11
5.1 Client Transaction Management	
5.1.1 Beginning a transaction	11
5.1.2 Querying the current Transaction Context	12
5.1.3 Controlling Transaction Rollback	12
5.1.4 Client Exception Handling	13
5.1.5 Optimising Read Only transactions	14
5.2 Resource Providers	15
5.2.1 Generic Resource Providers	16
5.2.2 JDBC	16
5.2.3 JPA	
5.2.4 Connection Pooling	
5.2.5 Transaction Recovery	21
5.3 The TransactionContext	
5.3.1 Scoped Variables	22
5.3.2 The Transaction Key	22
5.3.3 Local Transactions.	
5.3.4 XA Transactions	24
5.3.5 Mixed mode transactions	25
5.3.6 Lifecycle callbacks	25
5.4 Interaction with the Coordinator Service	
5.5 Open Questions	21
6 Data Transfer Objects	27
7 Javadoc	27
8 Considered Alternatives	63
8.1 Should there be a way to set a transaction timeout?	
8.2 Integration with the Coordinator Service	
8.3 Transaction Recovery	64
9 Security Considerations	64
10 Document Support	64
10.1 References	
10.2 Author's Address.	
10.3 Acronyms and Abbreviations.	
10.4 End of Document	

0.5 Terminology and Document Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY" and "OPTIONAL" in this document are to be interpreted as described in 10.1.

Source code is shown in this typeface.

0.6 Revision History

The last named individual in this history is currently responsible for this document.



Revision	Date	Comments
Initial	Feb 09 2016	Initial version
0.1	Feb 24.2016	Updates after the EG call – Recovery, Exception Handling, and Coordinator integration
0.2	Feb 2016	Updates after the EEG call (Feb 24th)
0.3	Apr 15 2016	Updates ahead of the Chicago F2F
0.4	Apr 20 2016	Updates after the Chicago F2F
0.5	Jul 06 2016	Updates aftter the Darmstadt F2F based on implementation experience
0.6	Dec 13 2016	Updates to fix spelling and JavaDoc
0.7	Dec 15 2016	Add a release method to the factory services

1 Introduction

The EEG has developed adaptations of the JDBC and JPA Java specifications. These resulted in a non-managed model, that is they provided factory services (Data Source Factory and Entity Manager Factory Builder) and a JTA Transaction service, but no easy way to combine these services into a transactional programming model.

This is at odds with the typical OSGi model where configured instances of services can directly be injected through DS and used together in a simple way. This RFC proposes a client API for transaction management that leverages the OSGi service model and Java 8. This API will make it simple for client applications to use transactions with JDBC, JPA or other technologies, without the need for adapters or additional boilerplate.

This RFC originates from OSGi enRoute work, and from RFP-170. In the enRout project a number of services were identified, designed and implemented based on their needs for web based applications. These use cases help to define the problem that need to be solved.

2 Application Domain

Persistence is one of the most important aspects of modern applications. The current mainstream standard for Java is the Java Persistence Architecture (JPA), an Object Relational Mapping (ORM) framework that allows developers to work with objects and builds the corresponding SQL to persist those objects in a relational database.

JPA collaborates with the Java DataBase Connectivity (JDBC) and the Java Transaction Architecture (JTA) specifications. In the first OSGi Enterprise Release these Java EE specifications were adapted to OSGi to make them service based. Together these are called the OSGi *persistence services*. The OSGi persistence services are very much *factory* oriented instead of *instance* based. Instance based services are ready to use, they are usually configured by Configuration Admin, and have their dependencies resolved.

2.1.1 Data Source Factory

The JDBC Data Source Factory specification describes how a *database driver* can register a Data Source Factory service.



The Data Source Factory Service provides a number of methods to create a *Data Source*. A Data Source configures the underlying database and then provides a way to get the database *connections*. Since connections are expensive objects, implementations of a Data Source are often required to *pool* these connections. Pooling reuses connections for other requests after they are closed. Many libraries have been developed to optimize this pooling; these libraries often act as an intermediate between the actual Data Source or Driver and the application. They often use dynamically generated proxies since the JDBC API has gone through several non-backward compatible changes. This is so common that the API supports methods to unwrap these proxies.

There is also an XA Data Source, which provides access to the XA 'resource' protocol. The XA protocol is used by databases and other transaction aware resources to participate in transactions.

Since the underlying connections can be pooled, it is crucial that operations are properly *scoped*. That is, any obtained connections must be closed to allow them to returned to the pool. Connection Pooling is typically achieved using a library which "wraps" the DataSource. BoneCP, Apache DBCP and C3P0 are examples of libraries which offer this functionality.

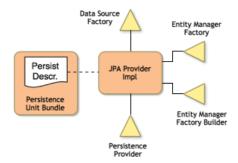
In the OSGi enRoute project the Data Source Factory model was extended with a component with a well known PID and set of configuration properties to automatically register Data Source services.

2.2 JPA

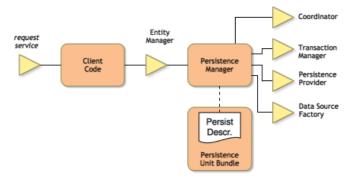
The JPA specification defines how a JPA provider can discover a persistence descriptor in a bundle. After this discovery, the provider registers an Entity Manager Factory when the persistence descriptor has sufficient information and can be associated with a Data Source Factory. It also registers an Entity Manager Factory Builder that can be used by the application to provide additional properties for configuration and that can create an Entity

December 15, 2016

Manager Factory. Applications that created an Entity Manager from this factory whenever they had to execute a request. Entity Managers are not thread safe.

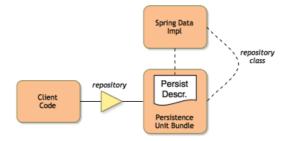


In OSGi enRoute, a component was defined with a well known PID and properties schema that registered an Entity Manager if an appropriate Data Source was available. This required the Entity Manager to proxy an actual Entity Manager since now the life cycle could be managed per thread. All requests would start a Transaction for the request thread. This allowed the Entity Manager proxy to detect the first request and it would then join the Transaction Synchronization Registry. At the end of the transaction, the resources used by the Entity Manager, like pooled connections, could then be cleaned up automatically. A similar model is used in Apache Aries



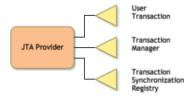
2.3 Spring Data

Christian Baranowski [4]. was inspired by OSGi enRoute and Spring Data. Spring Data provides a model where the methods on an interface are used to specify the query. That is, findBlogByTitle("hello") would translate to a request to the database to retrieve the blogs that match the title "hello". He provides an implementation that gets the class name for the repository, which must extend a provided base class, and then registers the repository service for that persistent unit.



2.4 Transactions

The OSGi JTA specification only provides access to the different Transaction objects via services. It does not provide any other features than that are available from the existing JTA specification.



2.4.1 Client-side Transaction management

A Transaction is started by a client by beginning a transaction. It then executes the request code inside a block. If the requests is successful, the transaction is committed, otherwise it is rolled back. In general the catch block and finally block are used to ensure proper termination of the transaction. For example:

```
Transaction transaction = tm.getTransaction();
try {
    ... do work
    transaction.commit();
} catch( Throwable t) {
    transaction.rollback();
    throw t;
}
```

In general, applications must minimize any code inside a transaction since transactions lock database tables. In applications build from different parts, this creates the Transaction Composability problem, see [3]. When a method gets called it can be called inside a transaction or outside a transaction. However, it can require that no transaction is active, that a transaction should be active, or that it requires a new transaction. Handling this inline increases the boiler plate code significantly. For this reason, Spring provides transaction annotations.

```
@Transactonal(readOnly = false, propagation = Propagation.REQUIRES_NEW)
public void doWork() {...}
```

These annotations require a proxy that sets up a transaction before the method is executed. This implies that the method cannot call methods on the this object since this bypasses the proxy.

In containers like Java EE the transaction manager is often not directly used. The container provides an Entity Manager that is already associated with a transaction manager. Since the container knows when a request is finished, it can perform any required cleanup. This is called *managed transactions*.

2.4.2 Infrastructure integration with Transactions

For work to be included in a transaction It is necessary, but not sufficient, for a client to run code within a transaction. The other critical point is that any resource access that occurs within the transaction must be registered with the transaction manager. Typically this work is not performed by the client, but by a middleware component. In a Java EE application server this role is usually performed by a JCA Resource Adapter.

The integration point is responsible for registering the connection with the transaction. If a two-phase commit (XA) transaction is needed then the XAResource associated with the XAConnection must be registered with the Transaction. This enables the Transaction manager to log the details of the resource (to enable recovery) and to prepare/commit/rollback any work. If no XA transaction is required then the resource adapter must register a synchronization with the transaction. This synchronization is responsible for rolling back or committing the work performed with that connection.



2.5 Versions and Migration

Code has an actual dependency on the layout of the database but this tight version dependency is rarely managed. New bundles often require a *migration* of the database but do not have standard support. New columns and tables must be added and sometimes data must be converted. This is often a manual error prone operation. An interesting development is Liquibase [6]. and Flyaway [7]. These projects allow refactoring of databases.

Terminology + Abbreviations

3 Problem Description

The Java EE persistence model with its statics and factories is not natural for OSGi and therefore offers a number of challenges to use. Additionally, the primary providers of JPA Hibernate, OpenJPA, and Eclipselink have varying levels of OSGi adoption/toleralnce, which makes it hard to find a complete solution. The Apache Aries project provides most parts but the Gemini project has all but died. However, even with a full set of implementations the model is non-trivial to use since it still requires the client code to handle a lot of configuration details.

Overall, JPA persistence has its problems in Java EE due to portability problems but in OSGi using JPA is really hard and clearly does not provide the plug and play as well as the collaborative component model that OSGi promotes. The current specifications are not very well matched to the OSGi service model that is configured instance based and not factory based (let alone statics).

Additionally, there are a number of promising developments on the horizon.

- Java 8 lambdas will make it easier to use transactions in a way that is as easy as annotations without the corresponding drawbacks
- The Spring Data JPA Repository looks very interesting for simple database models. Though large
 enterprise applications might have no use for this, it would lower the threshold for OSGi if it was easier to
 get started with small models. Obviously there should be a migration path to go to the Entity Manager and
 Data Source.
- Migration of databases and dependency management on the installed db version is becoming increasingly important. Obviously, the OSGi require-capability and extender model make excellent mechanisms to provide this kind of support.

This RFC therefore seeks a comprehensive service based model for transaction management persistence in OSGi leveraging Java 8 features.



4 Requirements

4.1 General

- G0010

 The service solutions must be able to work with JDBC 4 and JPA 2.1
- G0020 Provide a simplified way to handle the transaction composition that does not require boiler plate code nor suffers from the problem that methods on the 'this' pointer are different..
- G0030 The solution must not require that configured Data Access resources are publicly available. This
 prevents other bundles having access to database credentials.

4.2 Data Source Service

- D0010 Provide a configuration model for a Data Source service
- D0014 Must be able to transparently register connections with an ongoing transaction.
- D0017 Must be able to support both two-phase (XA) resources, and non XA resources
- D0020 Must be able to transparently handle connection pooling of "plain" DataSources
- D0030 Must support all OSGi JDBC Factory defined possibilities and properties

4.3 Entity Manager Service

- E0010 Provide a configuration model for an Entity Manager service
- E0020 Must be able to use the Data Source service from 4.2
- E0030 Must be able to have managed transactions. That is, the client uses an injected Entity Manager.
- E0050 Must provide access to the underlying Data Source

4.4 Database Versioning

- V0010 Provide a require-capability model for handling the version of the database
- V0020 Provide a model so that bundles can be used to migrate and rollback a database.



5 Technical Solution

OSGi components and services are designed to be modular, lightweight and easily deployable across a variety of environments. Whilst this model has many benefits, it means that transaction management is difficult when compared to centralised container solutions. This solution therefore needs to offer a simple, way to manage transactions in OSGi components.

5.1 Client Transaction Management

In Java EE and Spring application containers transactions are typically managed declaratively via annotations.

```
@Transactonal(readOnly = false, propagation = Propagation.REQUIRES_NEW)
public void doWork() {...}
```

The problem with this model is that it requires a third-party to proxy the doWork() method, adding the necessary transaction management semantics. Furthermore, the doWork() method must be public and if the doWork() method is called from within the object, or called without using the proxy (for example in Test code), then no transaction management will occur.

Due to the limitations of proxy-based approaches, the undesirability of universal proxying in an OSGi container, and the lambda expression support added in Java 8, this RFC proposes a programmatic transaction management model using callback functions. These callback functions are known as "work".

Another issue with transaction management is that both the Java EE and Spring transaction models have a poorly defined behaviour when no transaction is active. This RFC therefore proposes the following three states:

- Unscoped: if code execution is unscoped then it means that the TransactionControl service has no context associated with it (i.e. it is not being run as a piece of work). No callbacks, data storage, or transaction management are available to unscoped executions
- No Transaction Scope: if a piece of work has "No Transaction" scope then the Transaction Control service has not created a transaction, but has created a scope to represent the piece of work. The scope will end when the piece of work has completed. Clients may use scoped variables and register for a final callback at the end of the piece of work, but they may not register resources to participate in a transaction.
- Active Transaction Scope: if a transaction is active this means that the TransactionControl service has created a transaction *and* a scope around a piece of work. Resources used during the transaction will be committed or rolled back based on the outcome of the piece of work.

5.1.1 Beginning a transaction

Client management of transactions occurs through the TransactionControl service. This service allows for simple management of transaction lifecycles using four methods:

Method	Behaviour
required(Callable)	Begins a new Active Transaction scope around the piece of work if there is no Active Transaction, otherwise the existing transaction scope continues.
requiresNew(Callable)	Begins a new Active Transaction scope around the piece of work, Suspending the the existing transaction if it exists. Afterwards the suspended transaction is resumed



December 15, 2016

Method	Behaviour
supports(Callable)	Continues an Active Transaction or No Transaction scope if it exists, otherwise a new No Transaction scope is begun around the piece of work
notSupported(Callable)	Continues an existing No Transaction scope if it exists, otherwise a new No Transaction scope is begun around the piece of work, suspending an ongoing Active Transaction if necessary. Afterwards the suspended transaction is resumed

A client can therefore begin a transaction as follows:

5.1.2 Querying the current Transaction Context

The TransactionControl Service provides methods that can be used to query the current transaction context.

Method	Behaviour
activeTransaction()	Returns true if there is an Active Transaction Scope
activeScope()	Returns true if there is an Active Transaction or a No Transaction scope
<pre>getCurrentContext()</pre>	Gets the current transaction context, or null if none exists

These methods can be used to verify that particular a particular transaction scope is active, or to interact with the transaction lifecycle.

5.1.3 Controlling Transaction Rollback

By default a transaction will commit automatically when the piece of work complletes normally. If this is not desired (for example the work's business logic determines that the transaction should not complete) then the work may trigger a rollback in one of two ways:

1. Throw an exception from the piece of work. By default all exceptions will cause the transaction to be rolled back. Note that this is different from Java EE behaviour, where checked exceptions do not trigger rollback. This is a deliberate difference as many applications get the wrong behaviour based on this default. For example SQLException is a commonly thrown Exception in JDBC, but is rarely, if ever, a "normal return". Forgetting to override this means that production code will fail to enforce the correct transaction boundaries.

2. Call setRollbackOnly() on the Transaction Control object. This will mark the transaction for rollback so that it will never commit, even if the method completes normally. This is a one-way operation, and the rollback state can be queried using getRollbackOnly().



December 15, 2016

```
// This work should not be committed!
txControl.setRollbackOnly();
return result;
});
```

5.1.3.1 Avoiding Rollback

Sometimes it is preferrable for a piece of work to throw an exception, but for that exception not to trigger a rollback of the transaction. For example some business exceptions may be considered "normal", or it may be the case that the work performed so far must be persisted for audit reasons.

There are two ways to prevent a transaction from rolling back when a particular exception occurs:

- 1. The TransactionControl service provides a TransactionBuilder. This builder can be used to define sets of Exception types that should, or should not, trigger rollback. The most specific match will be used to determine whether the transaction should roll back or not.
- 2. The Transaction Control service provides an ignoreException() method. This can be used from within an Active Transaction to declare a specific Exception object that should not trigger rollback.

5.1.4 Client Exception Handling

The transactional work provided by the client to the TransactionControl API is passed as a Callable, meaning that the work may throw an Exception. If the work throws an Exception then this will trigger rollback or not as appropriate. The client exception will then be wrapped in a ScopedWorkException and rethrown by the Transaction Control service. If a number of scopes are nested then the ScopedWorkException should not be repeatedly wrapped, but instead a new ScopedWorkException should be created initialised with the original cause. The received ScopedWorkException must then be added as a supressed Exception. This prevents clients from having to deeply introspect the exception cause chain to locate the original error.

The purpose of the ScopedWorkException is twofold:

- To clearly differentiate Exceptions that occur within the client work code from Exceptions that occur at other times. For example a database commit may result in an SQLException that would otherwise appear to be from the client
- As an unchecked Exception the ScopedWorkException avoids the need for clients to explicitly handle
 failures that occur within the scoped work. These exceptions can be handled locally if it is desirable, but if
 no remedial action can be taken then the Exception will reach the original caller and can be reported to
 the user.

5.1.4.1 Rethrowing Exceptions

In the general case clients will not need to catch a ScopedWorkException, and it can be left to report/handle at a higher level. Sometimes, however, the Exceptions thrown by a piece of transactional work represent an important part of the API, and need to be thrown on without a wrapper. The ScopedWorkException provides a simple mechanism to do this. The client simply calls one of the asOneOf(Class, Class) methods which will throw the Exception as one of the supplied types (or directly as an unchecked Exception if possible). Whilst the asOneOf() methods always throw an Exception, the method return value can be used to simplify the act of rethrowing the cause.



December 15, 2016

```
return result;
});
} catch (ScopedWorkException swe) {
  throw swe.asOneOf(IOException.class, ClassNotFoundException.class);
}
```

5.1.4.2 Why not simply throw Exception?

The TransactionControl Service could throw the original Exceptions from the client's work without wrapping them if the scoping methods threw Exception. This, however, has a number of drawbacks

- By throwing client exceptions in the raw it becomes hard to disambiguate client business-logic errors from
 infrastructure errors. Ensuring that the client exception is always wrapped in a specific type avoids this
 issue.
- By making the transaction control API throw Exception clients are either forced to change the throws declarations throughout their codebases, or to catch each Exception and then be wrap it in a RuntimeException.
- Throwing Exception from a method violates the coding standards set by many large companies, and would prevent the use of this API, except with boilerplate try/catch logic around every call
- Carefully written client code may handle all checked exceptions possible within the work, but the user would stil be forced to catch or declare Exception types again
- The underlying checked Exception types thown by the client work are hidden from the API by the throws
 Exception statement. This means that there is no compiler help to indicate which types of checked
 exception may occur.

Overall the trade-offs required when throwing Exception provide a less consumable API for the majority of users.

5.1.5 Optimising Read Only transactions

Resources accessed within a transaction are frequently used to update persistent data, however in some cases it is known in advance that no changes will be made to the data. In the case where no changes are going to be made then different, more optimal, algorithms can be used by the resource to improve performance. It is therefore useful for applications to be able to indicate when resources are going to be used in a read-only way.

To indicate that a transaction is read only the TransactionBuilder must be used.

The readOnly method provides a hint to the TransactionControl service that the scoped work only uses read access to resources. The TransactionControl service is free to ignore this hint if it does not offer read-only optimisations.

December 15, 2016

In addition read only states only apply to transaction scopes. No Transaction scopes always ignore the call to readOnly.

5.1.5.1 Determining whether a transaction is read only

The TransactionContext provides access to whether the transaction is read only using the isReadOnly() method. This method will return true if the transaction was started using the read only flag, and the TransactionControl service supports read-only optimization.

This method is primarily available so that resource providers can set their read-only status correctly when they first enlist with the transaction. Resource providers are free to ignore the read only status as it is provided for optimization only.

5.1.5.2 Writing to resources using in a read only transaction

When a client begins a transaction in read-only mode there is no API restriction that prevents them from writing to one or more resources. If the scoped work does write to the resource then the write may succeed, or it may result in an exception, triggering a rollback.

Clients should avoid declaring a transacton as read only unless they are certain that no resources are updated within the scope of the work. This also applies to external services called within the scope.

5.1.5.3 Changing the read state in nested transactions

When a client defines a transactional scope using the required method then it inherits the existing transaction if it exists. It is not possible to change the writability of an inherited transaction.

In the case where the inherited transaction is a writable transaction then the readOnly() state declared for the nested scope will be ignored. In the case where the inherited transaction is read only then an attempt to change the transaction to a writable transaction will fail with a TransactionException.

If the nested transaction is declared using requiresNew then it will create a new transaction which may have a different writability from the outer scope.

5.2 Resource Providers

Whilst it is important that clients can easily control the transaction boundaries within their application it is equally important that the resources that the clients use participate in these transactions. In a Java EE Application server this is achieved by having the central application container create and manage all of the resources. In the Spring framework the Application context is responsible for ensuring that the resources are linked to a Transaction Manager.

In existing OSGi solutions this is the weakest part of the transactional persistence story. Typically libraries provide some level of transaction enlistment by shadowing partially configured services, and the way in which the resources are linked to the active transaction is poorly defined.

This RFC defines the concept of a Resource Provider as a generic way to ensure that a particular resource will be enlisted with the correct transaction context.

5.2.1 Generic Resource Providers

The purpose of a ResourceProvider is to provide the client with a configured resource which will automatically integrate with the correct transaction context at runtime.



December 15, 2016

public <T> T getResource(TransactionControl txControl);

The returned resource is a proxy to an underlying resource factory. When the resource is accessed then the proxy will check the current transaction scope. If this is the first time the resource has been accessed in this scope then the factory returns a new copy of the resource. If the scope is an Active Transaction then the resource must also be enlisted into the transaction at this point. Subsequent resource access within the same scope must use the same backing resource.

5.2.1.1 Resource Lifecycle

When a scope finishes any resources associated with the scope must be cleaned up without action required by the client. This rule applies to both the Active Transaction scope and the No Transaction scope, meaning that a client can safely write code using TransactionControl#supports(Callable) without being concerned about resource leaks.

5.2.1.2 Unscoped Resource Access

If a resource is accessed by unscoped code then it may throw a TransactionException to indicate that it cannot be used.

5.2.1.3 Closing Resources

If a client attempts to close a scoped resource then this operation should be silently ignored. The resource will be automatically cleaned up when the current scope completes. If the resource were prematurely closed then it may prevent other services from accessing the resource within this scope. Also in an Active Transaction the resource cannot be committed or rolled back until the commit operation occurs.

5.2.1.4 Releasing Resources

In some cases a Resource Provider is created by the client using a service from the service registry. In this case the lifecycle of the Resource Provider must be bounded by the lifecycle of the service that created it. In particular if the client bundle releases the service which created the Resource Provider then the Resource Provider must also be released. This mechanism ensures that Resource Providers do not need to be explicitly released by a client bundle when it stops. In addition services which create Resource Provider instances should provide a method which can be used to immediately release a particular Resource Provider instance without releasing service which created it. This allows client bundles to independently manage the lifecycle of multiple Resource Providers, and also to dynamically replace a Resource Provider instance.

5.2.2 JDBC

One of the most common resources to use in a transaction is a JDBC Connection. This RFC defines a specialised resource provider for obtaining JDBC Connections called a JDBCConnectionProvider. The purpose of this type is simply to reifiy the generic type of the ResourceProvider.

5.2.2.1 JDBC Active Transaction behaviours

When enlisted in an Active Transaction a JDBC connection will have autocommit set to false. Also the following methods must not be called by the client and will trigger a TransactionException.

- commit()
- rollback() and rollback(Savepoint)



Draft December 15, 2016

- setAutoCommit()
- setSavepoint(), setSavepoint(String) and releaseSavepoint()

If the Active Transaction commits the JDBC Connection must commit any work performed in the transaction. Similarly if the Active Transaction rolls back then the JDBC Connection must roll back any work performed in the transaction. After the transaction completes the JDBC connection must be cleaned up in an appropriate way, for example by closing it or returning it to a connection pool.

5.2.2.2 JDBC No Transaction behaviours

When accessed with from the No Transaction scope the JDBC connection may have autocommit set to true or false depending on its underlying configuration. This value may be changed by the client within the scope of the resource access, but will be reset after the end of the scope.

In the No Transaction context the JDBC connection will not be committed or rolled back, it is therefore the client's responsibility to call commit() or rollback() as appropriate. Savepoints may be used for partial rollback if desired.

After the end of the scope the JDBC connection must be automatically cleaned up in an appropriate way, for example by closing it or returning it to a connection pool.

5.2.2.3 Closing the JDBC connection

As with normal resource providers, calls to Connection.close() should be ignored by a scoped connection. JDBC connections also have an abort() method. Abort is effectively an asynchronous close operation for a JDBC connection, and so must also be ignored for an enlisted connection.

5.2.2.4 JDBCConnectionProviderFactory

The JDBCConnectionProvider may be provided directly in the OSGi service registry, however this may not be acceptable in all use cases. JDBC Connections are often authenticated using a username and password. If the username and password relate to a specific bundle then it may not be appropriate to have the configured connections available in the Service Registry. In this case the JDBCConnectionProviderFactory offers several factory methods that can programatically create a JDBCConnectionProvider.

Provider Configuration

Each factory method here supplies a set of properties which are used to configure the ResourceProvder, including the connection pooling behaviour, and whether the ResourceProvider can be enlisted with XA and/or Local transactions.

By default the JDBCConnectionProvider will have a pool of 10 connections with a connection timeout of 30 seconds, an idle timeout of 10 minutes and a maximum connection lifetime of 3 hours. The JDBCConnectionProvider will also, by default, work with Local and XA transactions.

If the JDBCConnectionProvider is configured to enable XA then the DataSourceFactory or DataSource must support the creation of an XADataSource

Creating a JDBCConnectionProvider Using a DataSourceFactory

JDBCConnectionProvider getProviderFor(DataSourceFactory dsf, Properties jdbcProperties, Map<String,Object> resourceProviderProperties);



December 15, 2016

In this case the client provides the DataSourceFactory that should be used, along with the properties that should be used to create the DataSource/XADataSource. If XA transactions are enabled then the factory must create an XADataSource, otherwise the "osgi.use.driver" property can be used to force the creation of a Driver instance rather than a DataSource.

Creating a JDBCConnectionProvider Using a DataSource

```
JDBCConnectionProvider getProviderFor(DataSource ds, Map<String,Object>
resourceProviderProperties);
```

In this case the client provides a preconfigured DataSource that should be used. If XA transactions are enabled then the datasource must either implement XADataSource or be "unwrappable" to XADataSource.

Creating a JDBCConnectionProvider Using an XADataSource

```
JDBCConnectionProvider getProviderFor(XADataSource xaDs,
Map<String,Object> resourceProviderProperties);
```

In this case the client provides a preconfigured XADataSource that should be used.

Creating a JDBCConnectionProvider Using a Driver

```
JDBCConnectionProvider getProviderFor(Driver driver, Properties
jdbcProperties, Map<String,Object> resourceProviderProperties);
```

In this case the client provides the pre-loaded driver class that should be used, along with the properties that should be used to create the JDBC connection. XA transactions may not be enabled when using a Driver instance.

5.2.2.5 Client examples

Setting up a transactional Data Access service in DS:

Reading data from a table:

```
txControl.supports(() -> {
    ResultSet rs = connection.createStatement()
```



December 15, 2016

5.2.3 JPA

JPA is a popular Object Relational Mapping (ORM) framework used to absract away the low-level database access from business code. As an alternative means of accessing a database it is just as important for JPA resources to participate in transactions as it is for JDBC resources. This RFC therefore defines the JPAEntityManagerProvider interface as a specialised resource provider for JPA.

5.2.3.1 JPA Active Transaction behaviours

When enlisted in an Active Transaction a JPA EntityManager will automatically track the state of persisted entity types and update the database as necessary. When participating in a transaction it is forbidden to call getTransaction on the EntityManager as manual transaction management is disabled.

If the Active Transaction commits then the JPA EntityManager must commit any work performed in the transaction. Similarly if the Active Transaction rolls back then the JPA EntityManager must roll back any work performed in the transaction. After the transaction completes the JDBC connection must be cleaned up in an appropriate way, for example by closing it or returning it to a connection pool.

5.2.3.2 JPA No Transaction behaviours

When accessed with from the No Transaction scope the JPA EntityManager will not be committed or rolled back, it is therefore the client's responsibility to set up an EntityTransaction and to call commit() or rollback() as appropriate.

After the end of the scope the EntityManager must be automatically cleaned up in an appropriate way, for example by closing it or returning it to a pool.

5.2.3.3 RESOURCE LOCAL and JTA EntityManagerFactory instances

When defining a JPA Persistence Unit the author must declare whether the EntityManagerFactory integrates with JTA transactions, or is suitable for resource local usage. The JPAEntityManagerProvider must take this into account when creating the transactional resource.

JTA scoped EntityManager instances may not manage their own transactions and must throw a JPA TransactionRequiredException if the client attempts to use the EntityTransaction interface. In effect the EntityManager behaves as a Synchronized, Transaction-Scoped, Managed Persistence Context as per the JPA 2.1 Specification. It is important to ensure that the Database connections used in a JTA Persistence Unit are integrated with the ongoing transaction.



December 15, 2016

RESOURCE_LOCAL scoped EntityManager instances may not participate in XA transactions, but otherwise behave in much the same way as JTA EntityManager instances. The one significant difference is that RESOURCE_LOCAL EntityManager instances may obtain an EntityTransaction when running in the No Transaction context.

5.2.3.4 JPAEntityManagerProviderFactory

The JPAEntityManagerProvider may be provided directly in the OSGi service registry, however this may not be acceptable in all use cases. Database Connections are often authenticated using a username and password. If the username and password relate to a specific bundle then it may not be appropriate to have the configured connections available in the Service Registry. In this case the JPAEntityManagerProviderFactory offers several factory methods that can programatically create a JPAEntityManagerProvider.

Creating a JPAEntityManagerProvider Using an EntityManagerFactoryBuilder

JPAEntityManagerProvider getProviderFor(EntityManagerFactoryBuilder emfb,
Map<String,Object> jpaProperties, Map<String,Object>
resourceProviderProperties);

In this case the client provides the EntityManagerFactoryBuilder that should be used, along with the properties that should be used to create the EntityManagerFactory. The "osgi.jdbc.provider" property can be passed to the resource provider defining the JDBCConnectionProvider that should be converted into a DataSource and passed to the EntityManageFactoryBuilder using the javax.persistence.jtaDataSource property.

Creating a JPAEntityManagerProvider Using an EntityManagerFactory

JPAEntityManagerProvider getProviderFor(EntityManagerFactory emf,
Map<String,Object> jpaProperties, Map<String,Object>
resourceProviderProperties);

In this case the client provides the configured EntityManagerFactory that should be used, along with the properties that should be used to create the EntityManager.

5.2.4 Connection Pooling

Database connections are usually heavyweight objects that require significant time to create. They may also consume physical resources such as memory or network ports. Creating a new database connection for every request is therefore wasteful, and adds unnecessary load to both the application and the database. Caching of database connections is therefore a useful way of improving performance. On the other hand applications must be careful not to create too many database connections. If one thousand requests arrive simultaneously then creating one thousand database connections is likely to crash the database server. These two requirements make database connections an excellent candidate for pooling. A small number of connections are made available and recycled after use. This saves the cost of recreating the connection and limits the overall load on the database.

In fact pooling is an excellent solution for many transactional resources, including JMS and EIS access.

5.2.4.1 Connection Pooling in OSGi

Pooling has traditionally been difficult in OSGi because most connection pooling libraries use reflective access to load the underlying resource connector. This obviously fails unless the pooling library creates a static wiring to the connector, or has dynamic package imports. Both of these "solutions" are bad practices which create brittle dependencies.

The correct way to obtain Database connections in OSGi is to use a DataSourceFactory, however this offers no Connection Pooling. There is no real equivalent of a DataSourceFactory for JMS ConnectionFactory instances, but they also require manual decoration to enable connection pooling.



December 15, 2016

As pooling is such a core requirement for transactional resource access it is required for JDBCConnectionProviderFactory instances to offer connection pooling. The resource provider properties can be used to override the connection pooling configuration defaults (or to disable connection pooling entirely).

Property	Default	Purpose
osgi.connection.pooling.enabled	true	Whether connection pooling is enabled for this ResourceProvider
osgi.connection.timeout	30 seconds	The maximum time that a client will wait for a connection
osgi.idle.timeout	3 minutes	The time that a connection will remain idle before being closed
osgi.connection.lifetime	3 hours	The maximum time that a connection will remain open
osgi.connection.min	10	The minimum number of connections that will be kept alive
osgi.connection.max	10	The maximum number of connections that will exist in the pool

5.2.5 Transaction Recovery

The XA transaction protocol defines a recovery mechanism which can be used to resolve in-doubt transactions. This is based upon the interaction of an XA Transaction Manager with an XAResource. In an OSGi environment resources may come and go at any time, as may Transaction Manager instances. Transaction recovery is therefore a continuous, rather than a one-time process.

There are two main recovery scenarios that must be resolved by a Transaction Manager

- 1. Failure of one or more remote resources before the end of the transaction. In this case the Transaction Manager remains running and can roll-back or commit the other resources as appropriate. When the failed resource(s) eventually become available again the Transaction Manager can complete the in-doubt Transaction branch by committing it or rolling it back as appropriate.
- 2. Failure of the Transaction Manager before the end of the transaction. In this case the Transaction Manager must use its recovery log to discover any in-doubt transaction branches. When the resources associated with the in-doubt transaction branches become available the Transaction Manager can resolve the in-doubt branch by committing or rolling it back as appropriate.

In both of these cases it is cruicial that the Transaction Manager can uniquely identify the resource that is being recovered. The Transaction Manager must be able to tell that a returning resource is suitable for recovering an indoubt transaction branch.

The transaction branch itself has an Xid, which could theoretically be used to identify the resource. The problem with this, however, is that if the resource has already completed the transaction branch (for example if the failure occurred after sending a commit operation) then the resource may have discarded the Xid. We therefore require another identifier for a resource. The identifier must be unique to the Transaction Manager, but need not be Globally Unique. The identifier must also be persistent, i.e. the same resource must have the same identifier after a restart of the OSGi framework. This ensures that transaction recovery can occur after a system crash.

One important factor is that multiple ResourceProviders may point to a single physical resource, e.g. two bundles make use of tables contained in the same database, but use different ResourceProvider services with different credentials. These resources must have different identifiers as otherwise the Transaction Manager may attempt to resolve an in-doubt transaction using the wrong resource, and therefore the wrong credentials.

Draft December 15, 2016

5.2.5.1 Enlisting a Recoverable Resource in a Transaction

When a recoverable XA resource is associated with a TransactionContext using the registerXAResource method the resource identifier String is passed as a second argument. This is the identifier that will be used to locate the resource during recovery. If the XAResource is not recoverable then it may simply pass null as the second argument when registering.

5.2.5.2 Providing an XAResource for Recovery

When recovery is required the Transaction Manager may or may not be actively processing transactions involving the required recoverable resource. Therefore the Transaction Control service must be able to locate and obtain an XAResource instance for a named ResourceProvider.

To enable this the ResourceProvider must provide a whiteboard service which implements the RecoverableXAResource interface. This interface provides the resource identifier, and acts as a factory for XAResources that can be used to recover Transaction Branches. T

The Transaction Control service can use this whiteboard to locate the correct XAResource to use. It may be, however, that when recovery is attempted it is not possible to provide a valid XAResource. In this case the RecoverableXAResource service may throw an exception. For example if the ResourceProvider is providing pooling and the pool is currently fully used then this may result in an exception.

Once the Transaction Control service has finished recovering a Transaction branch then it must release the XAResource it obtained from the RecoverableXAResource using the releaseXAResource method.

5.3 The TransactionContext

When a client uses the TransactionControl service to scope a piece of work, the scope gains an associated Transaction Context. The current transaction context is not normally needed by clients, but is an important integration point for ResourceProviders, and for clients that wish to register transaction completion callbacks.

The current TransactionContext is available using the getCurrentContext() method of the TransactionControl service. The context will be null if the currently executing code is unscoped. If the current work has a No Transaction scope then a TransactionContext will be returned, however it will report its status as NO TRANSACTION.

5.3.1 Scoped Variables

A Transaction context may be used to store scoped variables. These variables are attached to the TransactionContext, and will be de-referenced once the Context finishes.

Variables may be added to the scope using putScopedValue() and retrieved using getScopedValue(). These methods are valid both for Active Transactions and the No Transaction scope.

5.3.2 The Transaction Key

Every Active Transaction has an associated key, which will be unique within the lifetime of the TransactionControl service's registration i.e. a registered TransactionControl will never reuse a key. The key object is opaque, but is guaranteed to be suitable for use as a key in a HashMap. Note that the Transaction Key is not globally unique, but only unique to the registered TransactionControl service. In particular, two concurrently registered TransactionControl services may simultaneously use the same key, and/or a TransactionControl implementation may reuse keys if it unregisters and then re-registers its service with a different service id.

December 15, 2016

TransactionContexts for the NoTransaction scope have a null key

5.3.3 Local Transactions

A LocalTransaction is not persistent, and therefore not recoverable. It also may not be atomic or consistent if multiple resources are involved (although it is if only a single resource is used). Local transactions do, however, provide isolation and durability, even when mulitple resources are involved.

A Local Transaction is therefore a very good choice when a single resource is involved as it is extremely lightweight and provides ACID behaviour. Local Transactions do provide benefits when multiple resources are involved, however it is important to realise that Local Transactions may end up in a state where some commits have succeeded and others failed.

5.3.3.1 The Local Transaction Lifecycle

The transaction context for a local transaction begins in the ACTIVE state, and may enter the MARKED ROLLBACK state if the client calls setRollbackOnly().

A local transaction will return true from the supportsLocal() method, indicating that LocalResource participants may be registered using the registerLocalResource(LocalResource) method.

Once the transactional work has completed the transaction will be proceed as follows:

Work state	Action		
ACTIVE TransactionContext, successful return	Set the Transaction Status to COMMITTING		
	Call commit on each LocalResource.		
	 If the first LocalResource fails to commit then set the Transaction status to ROLLING_BACK, rollback all subsequent resources and throw a TransactionRolledBackException with its cause set to the first failure. Subsequent failures must be added as suppressed Exceptions 		
	 If the first LocalResource commits and then one or more LocalResource instances fail to commit then a TransactionException must be thrown with the cause set to the first failure. Subsequent failures must be added as suppressed Exceptions 		
	Set the Transaction Status to COMMITTED or ROLLED_BACK as appropriate		
	The return value is returned by the Transaction		
ACTIVE TransactionContext, noRollbackFor Exception	As for a successful return, but rethrow the exception, wrapped in a ScopedWorkException afterwards rather than returning a value		
ACTIVE TransactionContext, rollbackFor Exception	Set the Transaction Status to ROLLING_BACK		
Tollbacki of Exception	Call rollback on each LocalResources gathering any exceptions that occur.		
	Set the Transaction Status to ROLLED_BACK as appropriate		
	Throw a ScopedWorkException with the cause set to the original Exception and suppressed exceptions for any rollback failures		
MARKED_ROLLBACK TransactionContext.	Set the Transaction Status to ROLLING_BACK		
successful return	Call rollback on each LocalResources gathering any exceptions that occur.		
	Set the Transaction Status to ROLLED_BACK as appropriate		



December 15, 2016

Work state	Action
	If no rollback failures occurred then return the successful return value, otherwise throw a TransactionException with the cause set to the first rollback exception and any subsequent failures as suppressed exceptions
MARKED_ROLLBACK TransactionContext, noRollbackFor Exception	As for a successful return, but rethrow the exception wrapped in a ScopedWorkException afterwards rather than returning a value
MARKED_ROLLBACK TransactionContext, rollbackFor Exception	Same as ACTIVE TransactionContext, rollbackFor Exception

5.3.3.2 Local Transaction Support Service Properties

A TransactionControl Service which supports local transactions may be identified using the "osgi.local.enabled" property which will be set to Boolean.TRUE.

5.3.4 XA Transactions

An XA transaction is persistent, and therefore can be recoverable. It is also atomic and consistent even if multiple resources are involved.

An XA Transaction is therefore a very good choice when a multiple resources are involved as it provides ACID behaviour. XA transactions are, however, more heavyweight than local transactions, and should only be used where they are needed.

5.3.4.1 The XA Transaction Lifecycle

The transaction context for an XA transaction begins in the ACTIVE state, and may enter the MARKED ROLLBACK state if the client calls setRollbackOnly().

An XA transaction will return true from the supportsXA() method, indication that XAResource participants may be registered using the registerXAResource(XAResource, String) method.

Once the transactional work has completed the transaction will be proceed as follows: (TransactionContext Status changes follow the XA 2PC algorithm)

Work state	Action
ACTIVE TransactionContext, successful return	Begin committing the XA Transaction
	If the commit fails then throw a TransactionRolledBackException with its cause set to the XA failure. Otherwise the return value is returned by the Transaction
ACTIVE TransactionContext, noRollbackFor Exception	As for a successful return, but rethrow the exception wrapped in a ScopedWorkException afterwards rather than returning a value
ACTIVE TransactionContext, rollbackFor Exception	Begin rolling back the XA Transaction
·	Throw a ScopedWorkException with its cause set to the thrown Exception. If the rollback fails then add the XA failure as a suppressed Exception.
MARKED_ROLLBACK TransactionContext,	Begin rolling back the XA Transaction
successful return	If the rollback fails then throw a TransactionException with its cause set to the XA failure. Otherwise the return value is returned by the Transaction
MARKED_ROLLBACK	As for a successful return, but rethrow the exception wrapped in a



Draft December 15, 2016

Work state	Action
TransactionContext, noRollbackFor Exception	ScopedWorkException afterwards rather than returning a value
MARKED_ROLLBACK TransactionContext, rollbackFor Exception	Same as ACTIVE TransactionContext, rollbackFor Exception

:

5.3.4.2 XA support service properties

A TransactionControl Service which supports local transactions may be identified using the "osgi.xa.enabled" property which will be set to Boolean.TRUE.

5.3.5 Mixed mode transactions

Some TransactionControl Providers will support the use of both XAResources and LocalResources within the same transaction. In this case they should register service properties for both resource types. The TransactionControl service may place restrictions on mixed resource registration. For example it may only be possible to register one LocalResource in addition to a number of XAResources (as per the last resource gambit). If the Transaction cannot support the registration of a resource then it must throw a TransactionException when that resource registration is attempted.

If a TransactionControl implementation supports the use of both XAResources and LocalResources, but not within the same transaction, then the implementation must register separate services (with the relevant service properties) for each type of resource.

In the case where both XAResources and LocalResources are in the same transaction the XAResources should be prepared first, then the LocalResource(s) committed, then the XAResources committed afterwards. If any of the LocalResources experience a failure then the overall transaction should roll back. If some LocalResources have committed then the Transaction Control service must log which of these are in an inconsistent state..

5.3.6 Lifecycle callbacks

In addition to registering Resources with the TransactionContext clients or resources may register callback functions. Callback functions may run either before or after the transaction commits, depending as to whether they are registered using preCompletion or postCompletion to register their callbacks.

Precompletion callbacks are run after the transactional work, but immediately before the commit operation begins. PostCompletion callbacks are run after the commit process. In the case of a No Transaction context there is no commit, so the postcompletion callbacks immediately follow the precompletion callbacks, and are passed a status of NO TRANSACTION.

5.3.6.1 Exceptions from callbacks

Exceptions generated by precompletion callbacks are gathered. If any of the generated Exceptions would trigger rollback then the transaction is treated as having failed with the first of those exceptions. Any other exceptions are added as suppressed exceptions.

Exceptions generated by postcompletion callbacks are unable to affect the outcome of the transaction, and must therefore be logged, but not acted on further by the TransactionControl service.

5.4 Interaction with the Coordinator Service

Coordinations and the Coordinator service offer a useful way to bracket repeated pieces of work so that they can be optimised without requiring a specific hook into other services, such as the TransactionControl service.

When performing a large number of database updates it is often preferable to batch the updates into a single transaction. Rather than expanding the scope of the transactional work to include large numbers of method calls, which may hold database locks for a long time, a better option is to use the Coordinator service.

To optimize calls clients may use the coordinator to batch updates, for example:

```
public class BulkUpdateTest implements Participant {
    @Reference
    TransactionControl txControl;
   @Reference
   Coordinator coordinator;
    @Reference
    JDBCConnectionProvider provider;
   Connection c;
   @Activate
    void start() {
        c = provider.getResource(txControl);
    public void persistMessage(String message) {
        if(coordinator.addParticipant(this)) {
            ((List<String>)coordinator.peek().getVariables()
                .computeIfAbsent(getClass(), k -> new ArrayList<String>()))
                .add(message);
        } else {
            txControl.required(() -> {
                    PreparedStatement ps = c.prepareStatement(
                            "Insert into TEST_TABLE values ( ? )");
                    ps.setString(1, message);
                    return ps.executeUpdate();
                });
        }
   }
   @Override
   public void ended(Coordination coord) throws Exception {
        txControl.required(() -> {
                List<String> l = (List<String>) coord.getVariables()
                                .get(getClass());
                PreparedStatement ps = c.prepareStatement(
                        "Insert into TEST_TABLE values (?)");
                1.stream().forEach(s -> {
                        ps.setString(1, s);
                        ps.addBatch();
```

```
});

return ps.executeBatch();
});

@Override
public void failed(Coordination arg0) throws Exception { }
```

5.5 Open Questions

6 Data Transfer Objects

RFC 185 defines Data Transfer Objects as a generic means for management solutions to interact with runtime entities in an OSGi Framework. DTOs provides a common, easily serializable representation of the technology.

For all new functionality added to the OSGi Framework the question should be asked: would this feature benefit from a DTO? The expectation is that in most cases it would.

The DTOs for the design in this RFC should be described here and if there are no DTOs being defined an explanation should be given explaining why this is not applicable in this case.

This section is optional and could also be provided in a separate RFC.

7 Javadoc

December 15, 2016



OSGi Javadoc

15/12/16 17:23

Package Sum	mary	Page
rackage Suili	illary	rage
org.osgi.servic e.transaction.c ontrol	Transaction Control Service Package Version 1.0.	29
org.osgi.servic e.transaction.c ontrol.jdbc	Transaction Control JDBC Package Version 1.0.	52
org.osgi.servic e.transaction.c ontrol.jpa	Transaction Control JPA Package Version 1.0.	58
org.osgi.servic e.transaction.c ontrol.recov ery	Transaction Control Service Recovery Package Version 1.0.	63

Package org.osgi.service.transaction.control

@org.osgi.annotation.versioning.Version(value="1.0.0")

Transaction Control Service Package Version 1.0.

See:

Description

Interface Sum	Interface Summary	
LocalResource	Resources that can integrate with local transactions should do so using this interface	31
ResourceProvi der	A resource provider is used to provide a transactional resource to the application	32
TransactionCon text	A transaction context defines the current transaction, and allows resources to register information and/or synchronisations	39
TransactionCon trol	The interface used by clients to control the active transaction context	43
TransactionStar ter	Implementations of this interface are able to run a piece of work within a transaction	47

Class Summa	ary	Page
TransactionBui Ider	A builder for a piece of transactional work	36

Enum Summ	Enum Summary	
	The status of the transaction A transaction may not enter all of the states in this enum, however it will always traverse the enum in ascending order.	49

Exception Summary		Page
ScopedWorkE xception	An Exception that is thrown when a piece of scoped work exits with an Exception.	33
TransactionEx ception	An Exception indicating that there was a problem with starting, finishing, suspending or resuming a transaction	45
TransactionRol ledBackExcept ion	An Exception indicating that the active transaction was unexpectedly rolled back	46

Package org.osgi.service.transaction.control Description

Transaction Control Service Package Version 1.0.

Bundles wishing to use this package must list the package in the Import-Package header of the bundle's manifest. This package has two types of users: the consumers that use the API in this package and the providers that implement the API in this package.

Example import for consumers using the API in this package:

```
Import-Package: org.osgi.service.transaction.control; version="[1.0,2.0)"
```

Example import for providers implementing the API in this package:

 ${\tt Import-Package: org.osgi.service.transaction.control; version="[1.0,1.1)"}$

Interface LocalResource

org.osgi.service.transaction.control

public interface LocalResource

Resources that can integrate with local transactions should do so using this interface

Method Summary		Pag e
void	commit () Commit the resource	31
void	rollback () Roll back the resource	31

Method Detail

commit

Commit the resource

Throws:

<u>TransactionException</u>

rollback

void rollback()

throws <u>TransactionException</u>

Roll back the resource

Throws:

<u>TransactionException</u>

Interface ResourceProvider

org.osgi.service.transaction.control

All Known Subinterfaces:

JDBCConnectionProvider, JPAEntityManagerProvider

public interface ResourceProvider

A resource provider is used to provide a transactional resource to the application

Method Summary	Pag e	3
<pre> <u>T</u> getResource (TransactionControl txControl)</pre>	32	,
Get a resource which will associate with the current transaction context when used	32	

Method Detail

getResource

 $\begin{array}{ccc} \underline{T} & \textbf{getResource} \left(\underline{TransactionControl} & txControl \right) \\ & & throws & \underline{TransactionException} \end{array}$

Get a resource which will associate with the current transaction context when used

Returns:

The resource which will participate in the current transaction

Throws:

<u>TransactionException</u> - if the resource cannot be registered with the transaction

Class ScopedWorkException

org.osgi.service.transaction.control

```
java.lang.Object
    Ljava.lang.Throwable
    Ljava.lang.Exception
    Ljava.lang.RuntimeException
    Lorg.osgi.service.transaction.control.ScopedWorkException
```

All Implemented Interfaces:

Serializable

```
public class ScopedWorkException
extends RuntimeException
```

An Exception that is thrown when a piece of scoped work exits with an Exception.

If the scope was inherited and therefore is still active when this exception is raised then the current $\underline{\mathtt{TransactionContext}}$ will be available from the $\underline{\mathtt{ongoingContext}}$ () method.

Constructor Summary	Pag e
ScopedWorkException (String message, Throwable cause, TransactionContext context)	33
Creates a new TransactionException with the supplied message and cause	55

Method	Method Summary	
Т	as (Class <t> throwable) Throws the cause of this Exception as a RuntimeException the supplied Exception type.</t>	34
RuntimeExc eption	asOneOf (Class <a> a, Class b) Throws the cause of this Exception as a RuntimeException or one of the supplied Exception types.	34
RuntimeExc eption	asOneOf (Class <a> a, Class b, Class<c> c) Throws the cause of this Exception as a RuntimeException or one of the supplied Exception types.</c>	35
RuntimeExc eption	asOneOf (Class <a> a, Class b, Class<c> c, Class<d> d) Throws the cause of this Exception as a RuntimeException or one of the supplied Exception types.</d></c>	35
RuntimeExc eption	<u>asRuntimeException</u> ()	34
Transactio nContext	<pre>ongoingContext()</pre>	34

Constructor Detail

ScopedWorkException

Creates a new TransactionException with the supplied message and cause

Method Detail

ongoingContext

```
public <u>TransactionContext</u> ongoingContext()
```

Returns

The ongoing transaction context if the current scope was still active when this exception was raised or null otherwise. Note that this property will not be persisted during serialization.

asRuntimeException

```
public RuntimeException asRuntimeException()
```

Returns:

The cause of this Exception as a RuntimeException if it is one, or this otherwise

as

```
public T as(Class<T> throwable)
     throws T
```

Throws the cause of this Exception as a RuntimeException the supplied Exception type.

Usage is of the form:

```
public void doStuff() throws IOException {
    try {
        ...
    } catch (ScopedWorkException swe) {
        throw swe.as(IOException.class);
    }
}
```

Returns:

This method will always throw an exception

Throws:

Т

asOneOf

Throws the cause of this Exception as a RuntimeException or one of the supplied Exception types.

Usage is of the form:

```
public void doStuff() throws IOException, ClassNotFoundException {
    try {
        ...
} catch (ScopedWorkException swe) {
        throw swe.asOneOf(IOException.class, ClassNotFoundException.class);
}
}
```

Returns:

This method will always throw an exception

Throws:

A B

asOneOf

Throws the cause of this Exception as a RuntimeException or one of the supplied Exception types.

Returns:

This method will always throw an exception

Throws:

A B

С

See Also:

asOneOf(Class, Class)

asOneOf

Throws the cause of this Exception as a RuntimeException or one of the supplied Exception types.

Returns:

This method will always throw an exception

Throws:

A B

С

See Also:

asOneOf(Class, Class)

Class TransactionBuilder

org.osgi.service.transaction.control

java.lang.Object

igspace org.osgi.service.transaction.control.TransactionBuilder

All Implemented Interfaces:

TransactionStarter

abstract public class **TransactionBuilder** extends Object implements <u>TransactionStarter</u>

A builder for a piece of transactional work

Field Su	Field Summary	
protected List <class <? extends Throwable></class 	noRollbackFor The list of Throwable types that must not trigger rollback	37
protected List <class <? extends Throwable></class 	The list of Throwable types that must trigger rollback	36

Constructor Summary	Pag e
<u>TransactionBuilder</u> ()	37

Method Summary		Pag e
Transactio nBuilder	noRollbackFor (Class extends Throwable t, Class extends Throwable throwables) Declare a list of Exception types (and their subtypes) that must not trigger a rollback.	37
abstract Transactio nBuilder	reaconty ()	38
Transactio nBuilder		37

Methods inherited from interface org.osgi.service.transaction.control.<u>TransactionStarter</u>

<u>notSupported</u>, <u>required</u>, <u>requiresNew</u>, <u>supports</u>

Field Detail

rollbackFor

protected final List<Class<? extends Throwable>> rollbackFor

The list of ${\tt Throwable}$ types that must trigger rollback

noRollhackFor

protected final List<Class<? extends Throwable>> noRollbackFor

The list of Throwable types that must not trigger rollback

Constructor Detail

TransactionBuilder

public TransactionBuilder()

Method Detail

rollbackFor

Declare a list of Exception types (and their subtypes) that *must* trigger a rollback. By default the transaction will rollback for all Exceptions. If a more specific type is registered using noRollbackFor(Class, Class...) then that type will not trigger rollback. If the same type is registered using both rollbackFor(Class, Class...) and noRollbackFor(Class, Class...) then the transaction will not begin and will instead throw a TransactionException

Note that the behaviour of this method differs from Java EE and Spring in two ways:

- In Java EE and Spring transaction management checked exceptions are considered "normal returns" and do not trigger rollback. Using an Exception as a normal return value is considered a *bad* design practice. In addition this means that checked Exceptions such as java.sql.SQLException do not trigger rollback by default. This, in turn, leads to implementation mistakes that break the transactional behaviour of applications.
- In Java EE it is legal to specify the same Exception type in rollbackFor and noRollbackFor. Stating that the same Exception should both trigger and not trigger rollback is a logical impossibility, and clearly indicates an API usage error. This API therefore enforces usage by triggering an exception in this invalid case.

Parameters:

throwables - The Exception types that should trigger rollback

Returns:

this builder

noRollbackFor

Declare a list of Exception types (and their subtypes) that *must not* trigger a rollback. By default the transaction will rollback for all <code>Exceptions</code>. If an Exception type is registered using this method then that type and its subtypes will *not* trigger rollback. If the same type is registered using both rollbackFor(Class, Class...) and noRollbackFor(Class, Class...) then the transaction will not begin and will instead throw a TransactionException

Note that the behaviour of this method differs from Java EE and Spring in two ways:

• In Java EE and Spring transaction management checked exceptions are considered "normal returns" and do not trigger rollback. Using an Exception as a normal return value is considered a *bad* design practice. In addition this means that checked Exceptions such as

java.sql.SQLException do not trigger rollback by default. This, in turn, leads to implementation mistakes that break the transactional behaviour of applications.

• In Java EE it is legal to specify the same Exception type in rollbackFor and noRollbackFor. Stating that the same Exception should both trigger and not trigger rollback is a logical impossibility, and clearly indicates an API usage error. This API therefore enforces usage by triggering an exception in this invalid case.

Parameters:

 ${\tt t}$ - An exception type that should not trigger rollback ${\tt throwables}$ - further exception types that should not trigger rollback

Returns:

this builder

readOnly

public abstract <u>TransactionBuilder</u> readOnly()

Indicate to the Transaction Control service that this transaction will be read-only. This hint may be used by the Transaction Control service and associated resources to optimise the transaction.

Note that this method is for optimisation purposes only. The TransactionControl service is free to ignore the call if it does not offer read-only optimisation.

If a transaction is marked read-only and then the scoped work performs a write operation on a resource then this is a programming error. The resource is free to raise an exception when the write is attempted, or to permit the write operation. As a result the transaction may commit successfully, or may rollback.

Returns:

this builder

Interface TransactionContext

org.osgi.service.transaction.control

public interface TransactionContext

A transaction context defines the current transaction, and allows resources to register information and/or synchronisations

Method	Summary	Pag e
boolean	getRollbackOnly() Is this transaction marked for rollback only	40
Object	getScopedValue (Object key) Get a value scoped to this transaction	39
Object	Get the key associated with the current transaction	39
Transactio nStatus	<pre>getTransactionStatus()</pre>	40
boolean	<pre>isReadOnly()</pre>	41
void	postCompletion (Consumer <transactionstatus> job) Register a callback that will be made after the scope completes</transactionstatus>	41
void	preCompletion (Runnable job) Register a callback that will be made before a scope completes.	40
void	<pre>putScopedValue (Object key, Object value) Associate a value with this transaction</pre>	40
void	registerLocalResource (LocalResource resource) Register a Local resource with the current transaction	42
void	registerXAResource (XAResource resource, String recoveryId) Register an XA resource with the current transaction	41
void	setRollbackOnly() Mark this transaction for rollback	40
boolean	<pre>supportsLocal()</pre>	41
boolean	<pre>supportsXA()</pre>	41

Method Detail

getTransactionKey

Object getTransactionKey()

Get the key associated with the current transaction

Returns:

the transaction key, or null if there is no transaction

getScopedValue

Object **getScopedValue**(Object key)

Get a value scoped to this transaction

Returns:

The resource, or null

putScopedValue

Associate a value with this transaction

getRollbackOnly

Is this transaction marked for rollback only

Returns:

true if this transaction is rollback only

Throws:

IllegalStateException - if no transaction is active

setRollbackOnly

Mark this transaction for rollback

Throws:

IllegalStateException - if no transaction is active

getTransactionStatus

```
TransactionStatus getTransactionStatus()
```

Returns:

The current transaction status

preCompletion

Register a callback that will be made before a scope completes.

For transactional scopes the state of the scope will be either $\underline{\mathtt{TransactionStatus.ACTIVE}}$ or $\underline{\mathtt{TransactionStatus.MARKED_ROLLBACK}}$. Pre-completion callbacks may call $\underline{\mathtt{setRollbackOnly}}$ () to prevent a commit from proceeding.

For no-transaction scopes the state of the scope will always be TRANSACTION.

Exceptions thrown by pre-completion callbacks are treated as if they were thrown by the scoped work, including any configured commit or rollback behaviours for transactional scopes.

Parameters:

job - The action to perform before completing the scope

Throws:

IllegalStateException - if the transaction has already passed beyond the TransactionStatus.MARKED ROLLBACK state

postCompletion

```
\begin{tabular}{ll} void $ \textbf{postCompletion}(Consumer < $$\frac{TransactionStatus}{TransactionStatus}$) job) \\ throws $ IllegalStateException $$ \end{tabular}
```

Register a callback that will be made after the scope completes

For transactional scopes the state of the scope will be either TransactionStatus.COMMITTED or TransactionStatus.ROLLED BACK.

For no-transaction scopes the state of the scope will always be <u>TransactionStatus.NO TRANSACTION</u>.

Post-completion callbacks should not throw <code>Exceptions</code> and cannot affect the outcome of a piece of scoped work

Throws:

IllegalStateException - if no transaction is active

supportsXA

boolean **supportsXA**()

Returns:

true if the current transaction supports XA resources

supportsLocal

boolean supportsLocal()

Returns:

true if the current transaction supports Local resources

isReadOnly

boolean isReadOnly()

Returns:

true if the TransactionContext supports read-only optimisations *and* the transaction was marked read only. In particular it is legal for this method to return false even if the transaction was marked read only by the initiating client.

registerXAResource

Register an XA resource with the current transaction

Parameters:

 ${\tt recoveryId}$ - The resource id to be used for recovery, the id may be ${\tt null}$ if this resource is not recoverable.

If an id is passed then a ${\tt RecoverableXAResource}$ with the same id must be registered in the service registry for recovery to occur

Throws:

IllegalStateException - if no transaction is active, or the current transaction is not XA capable

registerLocalResource

```
\begin{tabular}{ll} \begin{tabular}{ll} void & {\bf registerLocalResource} & (\underline{LocalResource} & resource) \\ & throws & IllegalStateException \\ \end{tabular}
```

Register a Local resource with the current transaction

Throws:

 ${\tt IllegalStateException} \textbf{-} \textbf{ if no transaction is active, or the current transaction does not support local resources.}$

Interface TransactionControl

org.osgi.service.transaction.control

All Superinterfaces:

<u>TransactionStarter</u>

public interface TransactionControl
extends TransactionStarter

The interface used by clients to control the active transaction context

Method	Summary	Pag e
boolean	activeScope()	44
boolean	<pre>activeTransaction()</pre>	43
Transactio nBuilder	build () Build a transaction context to surround a piece of transactional work	43
Transactio nContext	<pre>getCurrentContext()</pre>	44
boolean	getRollbackOnly() Gets the rollback status of the active transaction	44
void	ignoreException (Throwable t) Marks that the current transaction should not be rolled back if the supplied Exception is thrown by the current transactional work	44
void	setRollbackOnly() Marks the current transaction to be rolled back	44

Methods inherited from interface org.osgi.service.transaction.control.<u>TransactionStarter</u> notSupported, required, requiresNew, supports

Method Detail

build

TransactionBuilder build()

Build a transaction context to surround a piece of transactional work

Returns:

A builder to complete the creation of the transaction

activeTransaction

boolean activeTransaction()

Returns:

true if a transaction is currently active

activeScope

boolean activeScope()

Returns:

true if a transaction is currently active, or if there is a "no transaction" context active

getCurrentContext

TransactionContext getCurrentContext()

Returns:

The current transaction context, which may be a "no transaction" context, or null if there is no active context

getRollbackOnly

Gets the rollback status of the active transaction

Returns:

true if the transaction is marked for rollback

Throws:

IllegalStateException - if no transaction is active

setRollbackOnly

Marks the current transaction to be rolled back

Throws:

IllegalStateException - if no transaction is active

ignoreException

Marks that the current transaction should not be rolled back if the supplied Exception is thrown by the current transactional work

Parameters:

t - The exception to ignore

Throws:

IllegalStateException - if no transaction is active

Class TransactionException

org.osgi.service.transaction.control

All Implemented Interfaces:

Serializable

Direct Known Subclasses:

TransactionRolledBackException

```
\label{local_public_class} \begin{tabular}{ll} \textbf{public class $TransactionException} \\ \textbf{extends RuntimeException} \end{tabular}
```

An Exception indicating that there was a problem with starting, finishing, suspending or resuming a transaction

Constructor Summary	Pag e
TransactionException (String message) Creates a new TransactionException with the supplied message	45
TransactionException (String message, Throwable cause) Creates a new TransactionException with the supplied message and cause	45

Constructor Detail

TransactionException

public TransactionException(String message)

Creates a new TransactionException with the supplied message

TransactionException

```
\label{eq:public_transaction} \mbox{{\tt Exception}} \mbox{(String message, \\ Throwable cause)}
```

Creates a new TransactionException with the supplied message and cause

Class TransactionRolledBackException

org.osgi.service.transaction.control

All Implemented Interfaces:

Serializable

```
\label{eq:public_class} \begin{array}{l} \texttt{TransactionRolledBackException} \\ \texttt{extends} \ \ \underline{\texttt{TransactionException}} \end{array}
```

An Exception indicating that the active transaction was unexpectedly rolled back

Constructor Summary	Pag e
TransactionRolledBackException (String message) Create a new TransactionRolledBackException with the supplied message	46
<u>TransactionRolledBackException</u> (String message, Throwable cause) Create a new <u>TransactionRolledBackException</u> with the supplied message	46

Constructor Detail

TransactionRolledBackException

public TransactionRolledBackException(String message)

Create a new TransactionRolledBackException with the supplied message

TransactionRolledBackException

Create a new TransactionRolledBackException with the supplied message

Interface TransactionStarter

org.osgi.service.transaction.control

All Known Subinterfaces:

TransactionControl

All Known Implementing Classes:

TransactionBuilder

public interface TransactionStarter

Implementations of this interface are able to run a piece of work within a transaction

Method	Method Summary	
Т	notSupported (Callable <t> work) The supplied piece of work must be run outside the context of a transaction.</t>	48
Т	required (Callable <t> work) A transaction is required to run the supplied piece of work.</t>	47
Т	requiresNew (Callable <t> work) A new transaction is required to run the supplied piece of work.</t>	47
Т	<pre>supports (Callable<t> work) The supplied piece of work may run inside or outside the context of a transaction.</t></pre>	48

Method Detail

required

T required(Callable<T> work)

throws <u>TransactionException</u>,

TransactionRolledBackException,

ScopedWorkException

A transaction is required to run the supplied piece of work. If no transaction is active then it must be started and associated with the work and then completed after the transactional work has finished.

Returns:

The value returned by the work

Throws:

<u>TransactionException</u> - if there is an error starting or completing the transaction <u>TransactionRolledBackException</u> - if the transaction rolled back due to a failure in one of the resources or an internal error in the TransactionControl service <u>ScopedWorkException</u> - if the supplied work throws an Exception

requiresNew

T requiresNew(Callable<T> work)

throws TransactionException,
 TransactionRolledBackException,
 ScopedWorkException

A new transaction is required to run the supplied piece of work. If an existing transaction is active then it must suspended and a new transaction started and associated with the work. After the work has completed the new transaction must also complete and any suspended transaction be resumed.

Returns:

The value returned by the work

Throws:

<u>TransactionException</u> - if there is an error starting or completing the transaction <u>TransactionRolledBackException</u> - if the transaction rolled back due to a failure <u>ScopedWorkException</u> - if the supplied work throws an Exception

notSupported

The supplied piece of work must be run outside the context of a transaction. If an existing transaction is active then it must be suspended and a "no transaction" context associated with the work. After the work has completed any suspended transaction must be resumed.

The "no transaction" context does not support resource enlistment, and will not commit or rollback any changes, however it does provide a post completion callback to any registered functions. This function is suitable for final cleanup, such as closing a connection

Returns:

The value returned by the work

Throws:

 $\frac{\texttt{TransactionException}}{\texttt{ScopedWorkException}} \text{ - if there is an error starting or completing the transaction} \\ \frac{\texttt{ScopedWorkException}}{\texttt{ScopedWorkException}} \text{ - if the supplied work throws an } \texttt{Exception}$

supports

The supplied piece of work may run inside or outside the context of a transaction. If an existing transaction or "no transaction" context is active then it will continue, otherwise a new "no transaction" context is associated with the work. After the work has completed any created transaction context must be completed.

The "no transaction" context does not support resource enlistment, and will not commit or rollback any changes, however it does provide a post completion callback to any registered functions. This function is suitable for final cleanup, such as closing a connection

Returns:

The value returned by the work

Throws:

<u>TransactionException</u> - if there is an error starting or completing the transaction ScopedWorkException - if the supplied work throws an Exception

Enum TransactionStatus

org.osgi.service.transaction.control

```
java.lang.Object
    Ljava.lang.Enum<<u>TransactionStatus</u>>
    Lorg.osgi.service.transaction.control.TransactionStatus
```

All Implemented Interfaces:

Comparable < Transaction Status >, Serializable

```
public enum TransactionStatus
extends Enum<<u>TransactionStatus</u>>
```

The status of the transaction A transaction may not enter all of the states in this enum, however it will always traverse the enum in ascending order. In particular if the TransactionStatus is reported as X then it will never proceed into a state Y where X.compareTo(Y) >= 0;

Enum Constant Summary	Pag e
ACTIVE	50
A transaction is currently in progress	30
COMMITTED	50
The transaction has committed	30
COMMITTING	50
The transaction is in the process of being committed	30
MARKED_ROLLBACK	50
A transaction is currently in progress and has been marked for rollback	
NO_TRANSACTION	50
No transaction is currently active	
<u>PREPARED</u>	50
A two phase commit is occurring and the transaction has been prepared	30
<u>PREPARING</u>	50
A two phase commit is occurring and the transaction is being prepared	30
ROLLED_BACK	50
The transaction has been rolled back	30
ROLLING_BACK	50
The transaction is in the process of rolling back	30

Method	Summary	Pag e
static Transactio nStatus	<pre>valueOf(String name)</pre>	51
static Transactio nStatus[]	<pre>values()</pre>	51

Enum Constant Detail

NO TRANSACTION

public static final TransactionStatus NO_TRANSACTION

No transaction is currently active

ACTIVE

public static final TransactionStatus ACTIVE

A transaction is currently in progress

MARKED ROLLBACK

 $\verb"public static final $\underline{\tt TransactionStatus}$ $\underline{\tt MARKED_ROLLBACK}$$

A transaction is currently in progress and has been marked for rollback

PREPARING

public static final TransactionStatus PREPARING

A two phase commit is occurring and the transaction is being prepared

PREPARED

public static final TransactionStatus PREPARED

A two phase commit is occurring and the transaction has been prepared

COMMITTING

public static final TransactionStatus COMMITTING

The transaction is in the process of being committed

COMMITTED

public static final TransactionStatus COMMITTED

The transaction has committed

ROLLING_BACK

public static final TransactionStatus ROLLING_BACK

The transaction is in the process of rolling back

ROLLED BACK

public static final TransactionStatus ROLLED_BACK

The transaction has been rolled back

Method Detail

values

public static <u>TransactionStatus[]</u> values()

valueOf

public static <u>TransactionStatus</u> valueOf(String name)

Package org.osgi.service.transaction.control.jdbc

 $\verb§@org.osgi.annotation.versioning.Version(value="1.0.0")$

Transaction Control JDBC Package Version 1.0.

See:

Description

Interface Summary		Page
JDBCConnecti onProvider	A specialised ResourceProvider suitable for obtaining JDBC connections.	53
JDBCConnecti onProviderFact ory	A factory for creating JDBCConnectionProvider instances	54

Package org.osgi.service.transaction.control.jdbc Description

Transaction Control JDBC Package Version 1.0.

Bundles wishing to use this package must list the package in the Import-Package header of the bundle's manifest. This package has two types of users: the consumers that use the API in this package and the providers that implement the API in this package.

Example import for consumers using the API in this package:

```
Import-Package: org.osgi.service.transaction.control.jdbc; version="[1.0,2.0)"
```

Example import for providers implementing the API in this package:

```
Import-Package: org.osgi.service.transaction.control.jdbc; version="[1.0,1.1)"
```

Interface JDBCConnectionProvider

org.osgi.service.transaction.control.jdbc

All Superinterfaces:

ResourceProvider<Connection>

public interface JDBCConnectionProvider
extends ResourceProvider

A specialised ResourceProvider suitable for obtaining JDBC connections.

Instances of this interface may be available in the Service Registry, or can be created using a JDBCConnectionProviderFactory.

 $\label{lem:methods} \textbf{Methods inherited from interface org.osgi.service.} transaction.control. \underline{\textbf{ResourceProvider}}$

getResource

Interface JDBCConnectionProviderFactory

org.osgi.service.transaction.control.jdbc

 $\verb"public interface" \textbf{JDBCConnectionProviderFactory"}$

A factory for creating JDBCConnectionProvider instances

This factory can be used if the <u>JDBCConnectionProvider</u> should not be a public service, for example to protect a username/password.

Field Su	ımmary	Pag e
String	CONNECTION_LIFETIME The property used to set the maximum amount of time that connections in the pool should remain open	55
String	CONNECTION POOLING ENABLED The property used to determine whether connection pooling is enabled for this resource provider	55
String	CONNECTION_TIMEOUT The property used to set the maximum amount of time that the pool should wait for a connection	55
String	The property used to set the maximum amount of time that connections in the pool should remain idle before being closed	55
String	The property used to determine whether local enlistment is enabled for this resource provider	55
String	MAX_CONNECTIONS The property used to set the maximum number of connections that should be held in the pool	55
String	MIN_CONNECTIONS The property used to set the minimum number of connections that should be held in the pool	55
String	The property used to set the maximum number of connections that should be held in the pool	56
String	XA_ENLISTMENT_ENABLED The property used to determine whether XA enlistment is enabled for this resource provider	55

Method	Summary	Pag e
JDBCConnec tionProvid er	<pre>getProviderFor (Driver driver, Properties jdbcProperties, Map<string,object> resourceProviderProperties) Create a private JDBCConnectionProvider using an existing Driver.</string,object></pre>	56
JDBCConnec tionProvid er	<pre>getProviderFor (DataSource ds, Map<string,object> resourceProviderProperties) Create a private JDBCConnectionProvider using an existing DataSource.</string,object></pre>	56
JDBCConnec tionProvid er		56

JDB(tion	CConnec nProvid er	<pre>getProviderFor (org.osgi.service.jdbc.DataSourceFactory dsf, Properties jdbcProperties, Map<string,object> resourceProviderProperties) Create a private JDBCConnectionProvider using a DataSourceFactory.</string,object></pre>	56	
	void	<pre>releaseProvider(JDBCConnectionProvider provider)</pre>	57	Ī
		Release a <u>JDBCConnectionProvider</u> instance that has been created by this factory.	37	

Field Detail

XA ENLISTMENT ENABLED

public static final String XA_ENLISTMENT_ENABLED = "osgi.xa.enabled"

The property used to determine whether XA enlistment is enabled for this resource provider

LOCAL ENLISTMENT ENABLED

public static final String LOCAL ENLISTMENT ENABLED = "osgi.local.enabled"

The property used to determine whether local enlistment is enabled for this resource provider

CONNECTION_POOLING_ENABLED

public static final String CONNECTION_POOLING_ENABLED = "osgi.connection.pooling.enabled"

The property used to determine whether connection pooling is enabled for this resource provider

CONNECTION TIMEOUT

public static final String CONNECTION TIMEOUT = "osgi.connection.timeout"

The property used to set the maximum amount of time that the pool should wait for a connection

IDLE TIMEOUT

public static final String IDLE_TIMEOUT = "osgi.idle.timeout"

The property used to set the maximum amount of time that connections in the pool should remain idle before being closed

CONNECTION LIFETIME

public static final String CONNECTION LIFETIME = "osgi.connection.lifetime"

The property used to set the maximum amount of time that connections in the pool should remain open

MIN CONNECTIONS

public static final String MIN_CONNECTIONS = "osgi.connection.min"

The property used to set the minimum number of connections that should be held in the pool

MAX CONNECTIONS

public static final String MAX CONNECTIONS = "osgi.connection.max"

The property used to set the maximum number of connections that should be held in the pool

USF DRIVER

```
public static final String USE_DRIVER = "osgi.use.driver"
```

The property used to set the maximum number of connections that should be held in the pool

Method Detail

getProviderFor

Create a private <u>JDBCConnectionProvider</u> using a DataSourceFactory.

Parameters:

jdbcProperties - The properties to pass to the org.osgi.service.jdbc.DataSourceFactory in order to create the underlying DataSource

resourceProviderProperties - Configuration properties to pass to the JDBC Resource Provider runtime

Returns:

A JDBCConnectionProvider that can be used in transactions

getProviderFor

Create a private <u>JDBCConnectionProvider</u> using an existing DataSource.

Parameters:

 ${\tt resourceProviderProperties} \textbf{ - Configuration properties to pass to the JDBC Resource Provider runtime}$

Returns:

A ${\tt JDBCConnectionProvider}$ that can be used in transactions

getProviderFor

Create a private <u>JDBCConnectionProvider</u> using an existing Driver.

Parameters:

jdbcProperties - The properties to pass to the Driver in order to create a Connection resourceProviderProperties - Configuration properties to pass to the JDBC Resource Provider runtime

Returns:

A JDBCConnectionProvider that can be used in transactions

getProviderFor

Create a private JdbcconnectionProvider using an existing xadataSource.

Parameters:

resourceProviderProperties - Configuration properties to pass to the JDBC Resource Provider runtime

Returns:

A JDBCConnectionProvider that can be used in transactions

releaseProvider

void releaseProvider(<u>JDBCConnectionProvider</u> provider)

Release a <u>JDBCConnectionProvider</u> instance that has been created by this factory. Released instances are eligible to be shut down and have any remaining open connections closed.

Note that all <u>JDBCConnectionProvider</u> instances created by this factory service are implicitly released when the factory service is released by this bundle.

Throws

 ${\tt IllegalArgumentException - if the supplied resource was not created by this factory service instance.}$

Package org.osgi.service.transaction.control.jpa

@org.osgi.annotation.versioning.Version(value="1.0.0")

Transaction Control JPA Package Version 1.0.

See:

Description

Interface Summary		Page
JPAEntityMana gerProvider	A specialised ResourceProvider suitable for obtaining JPA EntityManager instances.	59
JPAEntityMana gerProviderFac tory	A factory for creating JPAEntityManagerProvider instances	60

Package org.osgi.service.transaction.control.jpa Description

Transaction Control JPA Package Version 1.0.

Bundles wishing to use this package must list the package in the Import-Package header of the bundle's manifest. This package has two types of users: the consumers that use the API in this package and the providers that implement the API in this package.

Example import for consumers using the API in this package:

```
Import-Package: org.osgi.service.transaction.control.jpa; version="[1.0,2.0)"
```

Example import for providers implementing the API in this package:

Import-Package: org.osgi.service.transaction.control.jpa; version="[1.0,1.1)"

Interface JPAEntityManagerProvider

org.osgi.service.transaction.control.jpa

All Superinterfaces:

ResourceProvider<EntityManager>

public interface JPAEntityManagerProvider
extends ResourceProvider<EntityManager>

A specialised ResourceProvider suitable for obtaining JPA EntityManager instances.

Instances of this interface may be available in the Service Registry, or can be created using a JPAEntityManagerProviderFactory.

 $\label{lem:methods} \textbf{Methods inherited from interface org.osgi.service.} transaction.control. \underline{\textbf{ResourceProvider}}$

getResource

Interface JPAEntityManagerProviderFactory

org.osgi.service.transaction.control.jpa

public interface JPAEntityManagerProviderFactory

A factory for creating JPAEntityManagerProvider instances

This factory can be used if the <u>JPAEntityManagerProvider</u> should not be a public service, for example to protect a username/password.

Field Summary		Pag e
String	LOCAL ENLISTMENT ENABLED The property used to determine whether local enlistment is enabled for this resource provider	60
String	PRE_ENLISTED_DB_CONNECTION The property used to indicate that database connections will be automatically enlisted in ongoing transactions without intervention from the JPA provider	61
String	TRANSACTIONAL_DB_CONNECTION The property used to provide a <pre>JPAEntityManagerProvider</pre> to the resource provider.	61
String	XA_ENLISTMENT_ENABLED The property used to determine whether XA enlistment is enabled for this resource provider	60

Method Summary		Pag e
JPAEntityM anagerProv ider	resourceProviderProperties) Create a private JPAEntityManagerProvider using an existing	61
JPAEntityM anagerProv ider	EntityManagerFactory. getProviderFor (org.osgi.service.jpa.EntityManagerFactoryBuilder emfb, Map <string,object> jpaProperties, Map<string,object> resourceProviderProperties) Create a private JPAEntityManagerProvider using an org.osgi.service.jpa.EntityManagerFactoryBuilder</string,object></string,object>	61
void	<u>releaseProvider</u> (<u>JPAEntityManagerProvider</u> provider) Release a <u>JPAEntityManagerProvider</u> instance that has been created by this factory.	61

Field Detail

XA_ENLISTMENT_ENABLED

public static final String XA ENLISTMENT ENABLED = "osgi.xa.enabled"

The property used to determine whether XA enlistment is enabled for this resource provider

LOCAL_ENLISTMENT_ENABLED

public static final String LOCAL_ENLISTMENT_ENABLED = "osgi.local.enabled"

The property used to determine whether local enlistment is enabled for this resource provider

TRANSACTIONAL DB CONNECTION

public static final String TRANSACTIONAL DB CONNECTION = "osgi.jdbc.provider"

The property used to provide a <u>JPAEntityManagerProvider</u> to the resource provider. This will be converted into a DataSource by the factory, and passed to the

org.osgi.service.jpa.EntityManagerFactoryBuilder using the javax.persistence.jtaDataSource property

PRE_ENLISTED_DB_CONNECTION

public static final String PRE_ENLISTED_DB_CONNECTION = "osgi.jdbc.enlisted"

The property used to indicate that database connections will be automatically enlisted in ongoing transactions without intervention from the JPA provider

Method Detail

getProviderFor

Create a private JPAEntityManagerProvider using an

org.osgi.service.jpa.EntityManagerFactoryBuilder

Parameters:

jpaProperties - The properties to pass to the

org.osgi.service.jpa.EntityManagerFactoryBuilder in order to create the underlying EntityManagerFactory and EntityManager instances

resourceProviderProperties - Configuration properties to pass to the JPA Resource Provider runtime

Returns:

A <u>JPAEntityManagerProvider</u> that can be used in transactions

getProviderFor

<u>JPAEntityManagerProvider</u> **getProviderFor**(EntityManagerFactory emf, Map<String,Object> resourceProviderProperties)

Create a private <u>JPAEntityManagerProvider</u> using an existing EntityManagerFactory.

Parameters:

resourceProviderProperties - Configuration properties to pass to the JDBC Resource Provider runtime

Returns:

A JPAEntityManagerProvider that can be used in transactions

releaseProvider

void releaseProvider(<u>JPAEntityManagerProvider</u> provider)

Release a <u>JPAEntityManagerProvider</u> instance that has been created by this factory. Released instances are eligible to be shut down and have any remaining open connections closed.

Note that all <u>JPAEntityManagerProvider</u> instances created by this factory service are implicitly released when the factory service is released by this bundle.

Throws:

IllegalArgumentException - if the supplied resource was not created by this factory service instance.

Package org.osgi.service.transaction.control.recovery

@org.osgi.annotation.versioning.Version(value="1.0.0")

Transaction Control Service Recovery Package Version 1.0.

See:

Description

Interface Summary		
RecoverableXA	A RecoverableXAResource service may be provided by a ResourceProvider if	64
Resource	they are able to support XA recovery operations.	04

Package org.osgi.service.transaction.control.recovery Description

Transaction Control Service Recovery Package Version 1.0.

Bundles wishing to use this package must list the package in the Import-Package header of the bundle's manifest. This package has two types of users: the consumers that use the API in this package and the providers that implement the API in this package.

Example import for consumers using the API in this package:

```
Import-Package: org.osgi.service.transaction.control.recovery; version="[1.0,2.0)"
```

Example import for providers implementing the API in this package:

Import-Package: org.osgi.service.transaction.control.recovery; version="[1.0,1.1)"

Interface RecoverableXAResource

org.osgi.service.transaction.control.recovery

public interface RecoverableXAResource

A <u>RecoverableXAResource</u> service may be provided by a <u>ResourceProvider</u> if they are able to support XA recovery operations. There are two main sorts of recovery:

- Recovery after a remote failure, where the local transaction manager runs throughout
- Recovery after a local failure, where the transaction manager replays in-doubt transactions from its log

This service is used in both of these cases. The identifier returned by getId() provides a persistent name that can be used to correlate usage of the resource both before and after failure. This identifier must also be passed to TransactionContext.registerXAResource(XAResource, String) each time the recoverable resource is used.

Method Summary		Pag e
String	getId() Get the id of this resource.	64
XAResource	Get a new, valid XAResource that can be used in recovery This XAResource will be returned later using the releaseXAResource (XAResource) method	64
void	releaseXAResource (XAResource xaRes) Release the XAResource that has been used for recovery	65

Method Detail

getId

String getId()

Get the id of this resource. This should be unique, and persist between restarts

Returns:

an identifier, never null

getXAResource

Get a new, valid XAResource that can be used in recovery This XAResource will be returned later using the $\underline{releaseXAResource}$ (XAResource) method

Returns:

a valid, connected, XAResource

Throws:

Exception - If it is not possible to acquire a valid XAResource at the current time, for example if the database is temporarily unavailable.

releaseXAResource

void releaseXAResource(XAResource xaRes)

Release the XAResource that has been used for recovery

Parameters:

xaRes - An XAResource previously returned by getXAResource()

Java API documentation generated with DocFlex/Doclet v1.5.6

DocFlex/Doclet is both a multi-format Javadoc doclet and a free edition of DocFlex/Javadoc. If you need to customize your Javadoc without writing a full-blown doclet from scratch, DocFlex/Javadoc may be the only tool able to help you! Find out more at www.docflex.com

8 Considered Alternatives

For posterity, record the design alternatives that were considered but rejected along with the reason for rejection. This is especially important for external/earlier solutions that were deemed not applicable.

8.1 Should there be a way to set a transaction timeout?

No – this can be set globally for transaction services that support it, but in general it does not make sense to do per scope. For example how would a nested scope extend or curtail the timeout of an existing transaction?

8.2 Integration with the Coordinator Service

The Coordinator service is used in OSGi to supply context information between otherwise disparate services. There is a strong link between the purpose of the Coordinator Service and the TransactionControl service, and therefore the TransactionControl service must take into account the lifecycle of any coordinations.

- Any scoped work must also have an active coordination associated with it. If a Coordination is active before
 a No Transaction Scope begins then it can be inherited, otherwise a Coordination must be begun at the
 same time as the scope, and ended when the scope completes
- The active scope must register with the ongoing coordination. If the coordination fails before the scope completes then the scope must be immediately marked for rollback (where applicable). If the coordination fails after the scope has completed then the scope is unaffected
- If the scope completes with an exception then the associated Coordination must be marked as a failure, unless the exception is marked as not for rollback.
- If the coordination was created specifically to enclose a scope and the coordination is failed at the end of the work then either:

- If the work competed normally then the TransactionControl service will throw a TransactionRolledBackException with the cause set to the Coordination failure
- If the work completed exceptionally then the transaction will roll back. The TransactionControl service will throw a ScopedWorkException with its cause set to the exceptional return of the work. The coordination failure will be added as a suppressed exception.

8.3 Transaction Recovery

The XA transaction protocol defines a recovery mechanism which can be used to resolve in-doubt transactions. This is based upon the interaction of an XA Transaction Manager with an XAResource. In an OSGi environment resources may come and go at any time, as may Transaction Manager instances. Transaction recovery is therefore a continuous, rather than a one-time process.

When a recoverable XA transaction manager starts up it must register a TransactionRecovery service to resolve any in-doubt transactions. This whiteboard service provides a single method with which the TransactionRecovery service may be notified of XAResources.

Upon creation any XA enabled ResourceProvider must listen for the registration of TransactionRecovery services. When a TransactionRecovery service is found then it must be provided with an XAResource which will then be used to recover any in-doubt transactions of which the resource is a part.

Any recovery failures must be logged, but must not be thrown to the ResourceProvider.

9 Security Considerations

Description of all known vulnerabilities this may either introduce or address as well as scenarios of how the weaknesses could be circumvented.

10 Document Support

10.1 References

- [1]. Bradner, S., Key words for use in RFCs to Indicate Requirement Levels, RFC2119, March 1997.
- Software Requirements & Specifications. Michael Jackson. ISBN 0-201-87712-0
- [3]. http://blog.osgi.org/2013/11/the-transaction-composability-problem.html
- [4]. http://www.slideshare.net/tux2323/osgi-and-spring-data-for-simple-web-application-development
- 5]. http://projects.spring.io/spring-data/
- [6]. http://www.liquibase.org/
- [7]. http://flywaydb.org/

Add references simply by adding new items. You can then cross-refer to them by chosing <Insert><Cross Reference><Numbered Item> and then selecting the paragraph. STATIC REFERENCES (I.E. BODGED) ARE NOT ACCEPTABLE, SOMEONE WILL HAVE TO UPDATE THEM LATER, SO DO IT PROPERLY NOW.

10.2 Author's Address

Name	
Company	
Address	
Voice	
e-mail	

10.3 Acronyms and Abbreviations

10.4 End of Document