The Systems Engineering of Consistent Pure Language with Effect Type System for Certified Applications and Higher Languages

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Abstract. This paper presents the design of **Om** language and implementation of its type checker and bytecode extraction to Erlang. Om is an intermediate language based on a pure type system with the infinite number of universes, so it is known to be consistent in dependent type theory. The type checker can be switched between predicative and impredicative hierarchies of universes. The need to natively support Erlang platform dictated the look and feel of this work. This system is expected to be usable as trusted core for certified applications which could be run inside Erlang virtual machines LING and BEAM. The syntax is compatible with Morte language and supports its base library, however, it extends the indexed universes. We show how to program in this environment and link with Erlang inductive and coinductive free structures. A very basic prelude library is shipped as a part of the work. We briefly describe the top-level language which compiles to pure type system core. As the results, we will show lambda evaluation performance on BEAM virtual machine.

Introduction

As a part of verification and validation process, according to IEEE¹ standard and ESA² regulatory document, exists a lot of tools and approaches. Most advanced techniques involve mathematical languages and notations. The age of verified math was started by de Bruin AUTOMATH prover and Martin-Löf[1] type theory and today we have Coq, Agda, Lean, Idris, F* languages based on Calculus of Inductive Constructions or CiC[2]. The core of CiC is Calculus of Constructions or CoC[3]. The further development leads to Lambda Cube[4] and Pure Type Systems as Henk[5] and Morte³. Pure Type Systems are custom languages based on CoC with single Pi-type and possibly other extensions. The known extensions are ECC, ECC with Inductive Types[6], K-rules[7]. The main motivation of Pure Type Systems is an easy reasoning about core, strong normalization and trusted external verification due to compact type checkers. Imagine one can implement their own type checker to run certified programs retrieved over untrusted channels. The applications of such minimal cores are 1) Blockchain smart-contract languages, 2) certified applications kernels, 3) payment processing, etc.

Generating Trusted Programs

According to Curry-Howard correspondence inside Martin-Löf Type Theory[1] proofs or certificates are lambda terms of particular types or specifications. As both specifications and implementations are done in a typed language with

¹IEEE Std 1012-2016 — V&V Software verification and validation

²ESA PSS-05-10 1-1 1995 – Guide to software verification and validation

³Gabriel Gonzalez. Haskell Morte Library

dependent types we can extract target implementation of a certified program just in any programming language. These languages could be so primitive as untyped lambda calculus which manifests (usually implements) as untyped interpreters (JavaScript, Erlang, PyPy, LuaJIT, K). The most advanced usage is code generation to higher-level languages such as C++ and Rust (which is already language with trusted features on memory, variable accessing, linear types, etc.). In this work, we present a simple code extraction to Erlang programming language as target interpreter. However, we have worked also on C++ and Rust targets as well.

System Architecture

Om as a programming language has a core type system, the PTS^{∞} — the pure type system with the infinite number of universes. This type system represents the core of the language. Higher languages form a set of front-ends to this core. Here is example of possible languages: 1) Language for inductive reasoning, based on CiC with extensions; 2) Homotopy Core with interval [0,1] for proving J and funExt; 3) Stream Calculus for deep stream fusion (Futhark); 3) Pi-calculus for linear types, coinductive reasoning and runtime modeling (Erlang, Ling, Rust). These languages desugar to PTS^{∞} as an intermediate language before extracting to target language⁴.

Not all terms from higher languages could be desugared to PTS. As was shown by Geuvers[8] we cannot build induction principle inside PTS, we need a fixpoint extension to PTS. And also we cannot build the J and funExt terms. But still PTS is very powerful, it's compatible with System F libraries. The properties of that libraries could be proven in Higher Languages with Induction and/or [0,1] Homotopy Core. Then runtime part could be refined to PTS, extracted to target and run in an environment.

We see two levels of extensions to PTS core: 1) Inductive Types support; 2) Homotopy Core with [0,1] and its eliminators. We will touch a bit this topic in the last section of this document.

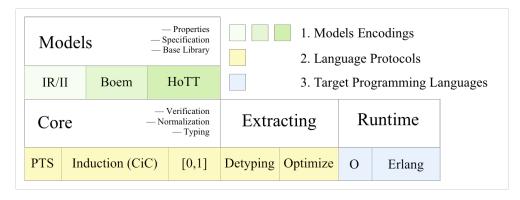


FIGURE 1. Process of Model Verifications

Place among other Languages

The product is a regular Erlang/OTP application, that provides dependent language services to the Erlang environment: 1) type checking; 2) normalization; 3) extraction. All parts of **Om** compiler is written in Erlang language and target/runtime language is Erlang.

- Level 0 certified vectorized interpreter
- Level 1 consistent pure type system for type checking and normalization
- Level 2 higher language for theorem proving and models property checking

⁴Note that extracting from [0,1] Homotopy Core is an open problem

TABLE 1. List of languages, tried as verification targets

Target Language	Class	Higher Language	Type Theory
C++	compiler/native	HNC	System F
Rust	compiler/native	HNC	System F
JVM	interpreter/native	Java	F-sub ⁵
JVM	interpreter/native	Scala	System F-omega
GHC Core	compiler/native	Haskell	System D
GHC Core	compiler/native	Morte	CoC
Haskell	compiler/native	Coq	CiC
OCaml	compiler/native	Coq	CiC
BEAM	interpreter	Om	\mathbf{PTS}^{∞}
O	interpreter	Om	PTS^∞
K	interpreter	Q	Applicative
PyPy	interpreter/native	N/A	ULC
LuaJIT	interpreter/native	N/A	ULC
JavaScript	interpreter/native	PureScript	System F

Consistent Pure Type System as Intermediate Language

The **Om** language is a dependently typed lambda calculus PTS^{∞} , an extension of Coquand' Calculus of Constructions[3] with the predicative hierarchy of indexed universes. There is no fixpoint axiom, so there is no infinite term dependence, the theory is fully consistent and has strong normalization property.

All terms respect ranking **Axioms** inside the sequence of universes **Sorts** and complexity of the dependent term is equal to the maximum complexity of term and its dependency **Rules**. The universe system is completely described by the following PTS notation due to Barendregt[4]:

```
\begin{cases} Sorts = Type.\{i\}, \ i : Nat \\ Axioms = Type.\{i\} : Type.\{inc\ i\} \\ Rules = Type.\{i\} \leadsto Type.\{j\} : Type.\{max\ i\ j\} \end{cases}
```

The **Om** language is based on Henk languages described first by Erik Meijer and Simon Peyton Jones in 1997[5]. Later on in 2015 Morte implementation of Henk design appeared in Haskell, using the Böem-Berrarducci encoding of non-recursive lambda terms. It is based only on one type constructor Π , its intro λ and apply eliminator, infinite number of universes, and β -reduction. The design of Om language resemble Henk and Morte both in design and in implementation. This language intended to be small, concise, easy provable and able to produce the verifiable piece of code that can be distributed over the networks, compiled at the target platform with a safe linkage.

BNF and **AST**

Om syntax is compatible with CoC presented in Morte and Henk languages. However, it has extension in a part of specifying universe index as a **Nat** number. Traditionally we present the language in Backus-Naur form. Equivalent AST tree encoding from the right side.

Universes

As **Om** has infinite number of universes it should include metatheoretical **Nat** inductive type in its core. **Om** supports predicative and impredicative hierarchies.

$$U_0: U_1: U_2: U_3: ...$$

Where U_0 — propositions, U_1 — sets, U_2 — types and U_3 — kinds, etc.

$$\overline{Nat}$$
 (I)

$$\frac{o:Nat}{Type_o} \tag{S}$$

You may check if a term is a universe with the star function. If an argument is not a universe it returns {error, _}.

star (: star, N)
$$\rightarrow$$
 (: ok, N)
star \rightarrow (: error, "*")

Predicative Universes

All terms obey the **Axioms** ranking inside the sequence of **Sorts** universes, and the complexity **Rules** of the dependent term is equal to a maximum of the term's complexity and its dependency. Note that predicative universes are incompatible with Church lambda term encoding. You choose either predicative or impredicative universes with a type checker parameter.

$$\frac{i: Nat, j: Nat, i < j}{Type_i: Type_j}$$
(A₁)

$$\frac{i: Nat, j: Nat}{Type_i \to Type_j: Type_{max(i,j)}}$$
 (R₁)

Impredicative Universes

Propositional contractible bottom space is the only available extension to the predicative hierarchy which doesn't lead to inconsistency. However, there is another option to have the infinite impredicative hierarchy.

$$\frac{i:Nat}{Type_i:Type_{i+1}} \tag{A2}$$

$$\frac{i: Nat, \quad j: Nat}{Type_i \to Type_j: Type_j} \tag{R2}$$

Hierarchy Switching

Function **h** returns the target Universe of B term dependence on A. There are two dependence rules known as the predicative one and the impredicative one which returns max universe or universe of the last term respectively.

 $h A B \rightarrow dep A B om: hierarchy (impredicative)$

Contexts

The contexts model a dictionary with variables for type checker. It can be typed as the list of pairs or **List Sigma**. The elimination rule is not given here as in our implementation the whole dictionary is destroyed after type checking.

Single Axiom Language

This language is called one axiom language (or pure) as eliminator and introduction rules inferred from type formation rule. The only computation rule of Pi type is called beta-reduction. Computational rules of language are called operational semantics and establish equality of substitution and lambda application. Operational semantics in that way defines the rewrite rules of computations.

$$\frac{x:A \vdash B:Type}{\Pi(x:A) \to B:Type}$$

$$\frac{x:A \vdash b:B}{\lambda(x:A) \to b:\Pi(x:A) \to B}$$

$$\frac{f:(\Pi(x:A) \to B) \quad a:A}{f \ a:B \ [a/x]}$$

$$\frac{x:A \vdash b:B \quad a:A}{(\lambda(x:A) \to b) \ a=b \ [a/x]:B \ [a/x]}$$

$$\frac{x:A \vdash b:B \quad a:A}{(\lambda(x:A) \to b) \ a=b \ [a/x]:B \ [a/x]}$$

$$\frac{\pi_1:A \quad u:A \vdash \pi_2:B}{[\pi_1/u] \ \pi_2:B}$$
(Subst)

The theorems (specification) of PTS could be embedded in itself and used as Logical Framework for the Pi type. Here is the example in the higher language.

The proofs intentionally left blank, as it proofs could be taken from various sources. The equalities of computational semantics presented here as **Path** types in the higher language.

We extended the $PTS \infty$ with the remote AST node which means remote file loading from trusted storage, anyway this will be checked by the type checker. We deny recursion over the remote node. We also add an index to var for simplified de Bruijn indexes, we allow overlapped names with tags, incremented on each new occurrence.

```
data om = star (n: nat)
| var (n: name) (n: nat)
| remote (n: name) (n: nat)
| app (f a: om)
| lambda (x: name) (d c: om)
| arrow (d c: om)
| pi (x: name) (d c: om)
```

Functions

Func returns true if the argument is a functional space. Otherwise it returns {error, _}.

```
func ((: forall ,),(I,O)) \rightarrow true
func T \rightarrow (: error ,(: forall ,T))
```

Variables

Var returns true if the var N is defined in dictionary B. Otherwise it returns $\{error, \bot\}$.

```
var N B\rightarrow var N B (proplists:is_defined N B)var N B true\rightarrow truevar N B false\rightarrow (:error,("free var",N, proplists:get_keys(B)))
```

Shift

Shift renames var N in B. Renaming means adding a 1 to an index of that name.

Substitution

```
→ sub Term Name Value 0
sub Term Name Value
                        (I,O)) N V L \rightarrow (:arrow,
                                                             sub I N V L, sub O N V L);
sub (: arrow,
sub ((: for all, (N,0)), (I,0)) NVL \rightarrow ((: for all, (N,0)), sub INVL, sub ON(sh VN0)L+1)
sub ((: forall, (F,X)), (I,O)) N V L \rightarrow ((: forall, (F,X)), sub I N V L, sub O N(sh V F 0)L)
sub ((: lambda, (N,0)), (I,O)) N V L \rightarrow ((: lambda, (N,0)), sub I N V L, sub O N(sh V N 0)L+1)
sub ((: lambda, (F,X)), (I,O)) N V L \rightarrow ((: lambda, (F,X)), sub I N V L, sub O N(sh V F 0)L)
                        (F,A)) N V L \rightarrow (:app, sub F N V L, sub A N V L)
sub (: app,
                        (N,L)) N V L \rightarrow V
sub (: var,
                        (N, I) N V L when I>L \rightarrow (: var, (N, I-1))
sub (: var,
sub T
                                 T \to T.
```

Type Checker

For sure in a pure system, we should be careful with :remote AST node. Remote AST nodes like #List/Cons or #List/map are remote links to files. So using trick one should desire circular dependency over :remote. This is denied in the system. The same notes apply to normalization and definitional equality.

```
D \rightarrow (: star, N+1)
type (: star, N)
                                 D \rightarrow let true = var N D in keyget N D I
type (: var, (N, I))
                                 D \rightarrow cache type N D
type (: remote, N)
                                 D \rightarrow (: star, h(star(type I D)), star(type O D))
type (: arrow,(I,O))
type ((:forall, (N,0)), (I,0)) D \rightarrow (:star, h(star(type I D)), star(type O [(N, norm I)|D]))
type ((:lambda,(N,0)),(I,O)) D \rightarrow let star (type I D)
                                            NI = norm I
                                         in ((:forall,(N,0)),(NI,type(O,[(N,NI)|D])))
                                 D \rightarrow let T = type(F,D), true = func T,
type (:app,(F,A))
                                             ((:forall,(N,0)),(I,O)) = T, Q = type A D
                                             true = eq I Q in norm (subst O N A)
```

Normalization

Normalization performs substitutions on applications to functions (beta-reduction) by recursive entrance over the lambda and pi nodes.

```
norm : none
                                    → :none
norm : any
                                    \rightarrow : any
norm (:app,(F,A))
                                    → case norm F of
                                        ((:lambda,(N,0)),(I,O)) \rightarrow norm (subst O N A)
                                                                  NF \rightarrow (:app,(NF,norm A)) end
                                    \rightarrow cache (norm N [])
norm (: remote, N)
                           (I,O)) \rightarrow ((:forall,("-",0)),(norm\ I,norm\ O))
norm (: arrow,
norm ((: forall, (N,0)), (I,O)) \rightarrow ((: forall, (N,0)), (norm I, norm O))
norm ((:lambda,(N,0)),(I,O)) \rightarrow ((:lambda,(N,0)),
                                                                (norm I, norm O))
                                    \rightarrow T
```

Definitional Equality

Definitional Equality simply checks the equality of Erlang terms.

```
eq ((: for all, ("_-", 0)), X) (: arrow, Y)
                                                \rightarrow eq X Y
eq (: app, (F1, A1))
                              (:app,(F2,A2)) \rightarrow let true = eq F1 F2 in eq A1 A2
eq (: star, N)
                              (: star ,N)
                                               → true
eq (: var, (N, I))
                              (: var, (N, I))
                                                → true
eq (: remote, N)
                              (: remote, N)
                                                → true
eq ((: farall, (N1,0)), (I1,O1))
    ((: forall, (N2,0)), (I2,O2)) \rightarrow
   let true = eq I1 I2
    in eq O1 (subst (shift O2 N1 0) N2 (:var,(N1,0)) 0)
eq ((:lambda,(N1,0)),(I1,O1))
    ((:lambda,(N2,0)),(I2,O2)) \rightarrow
   let true = eq I1 I2
    in eq O1 (subst (shift O2 N1 0) N2 (:var,(N1,0)) 0)
                                   \rightarrow (: error, (: eq, A, B))
eq(A,B)
```

Language Usage

In this section, we will lift PTS system to MLTT system by defining **Sigma** and **Equ** types using only **Pi** type. We will use Böhm inductive dependent encoding[9].

Sigma Type

Here we will show some examples of **Om** Language usage. The PTS system is extremely powerful even without **Sigma** type. But we can encode **Sigma** type similar how we encode **Prod** tuple pair in Böhm encoding. Let's formulate **Sigma** type as an inductive type in higher language.

```
data Sigma (A: Type) (P: A \rightarrow Type) (x: A): Type = (intro: P x \rightarrow Sigma A P)
```

The **Sigma-type** with its eliminators appears as example in Aaron Stump[10]. Here we will show desugaring to PTS^{∞} . In the comments you can find the AUTOMATH-like version of terms⁶.

⁶Pi type is denoted as [x: A] B x and lambda function is denoted as (x: A) M x

```
-- Sigma/@: (A:U) (P:A->U) (x:A) [exists:U] [intro:A->P x->e] e
    \ (A: *)
\rightarrow (P: A \rightarrow *)
\rightarrow \ (n: A)
\rightarrow \ \ (Exists: *)
\rightarrow \/ (Intro: A \rightarrow P n \rightarrow Exists)
\rightarrow Exists
-- Sigma/Intro: (A:U) (P:A->U) (x:A) (y:P x) (e:U) (i:A->P x->e) e=i x y
    \ (A: *)
\rightarrow (P: A \rightarrow *)
\rightarrow (x: A)
\rightarrow (y: P x)
-> \ (Exists: *)
\rightarrow \ (Intro: \/ (x:A) \rightarrow P x \rightarrow Exists)
\rightarrow Intro x y
-- Sigma/fst: (A:U) (P:A\rightarrow U) (x:A) (s:sig A P x) A = s A (z:A) (y:P x) z
    \ (A: *)
\rightarrow (B: A \rightarrow *)
-> \ (n: A)
\rightarrow \ (S: #Sigma/@ A B n)
\rightarrow S A ( \((x: A) \rightarrow \((y: B n) \rightarrow x)
-- Sigma/snd: (A:U) (P:A->U) (x:A) (s:sig A P x) P x = s (P x) ((z:A) (y:P x) y)
    \ (A: *)
\rightarrow (B: A \rightarrow *)
\rightarrow \ (n: A)
\rightarrow \ (S: #Sigma/@ A B n)
-> S (B n) ( \setminus (\_: A) -> \setminus (y: B n) -> y )
> om: fst (om: erase (om: norm (om: a ("#Sigma/test.fst")))).
\{\{\lambda, \{' Succ', 0\}\}\},\
 \{any, \{\{\lambda, \{'Zero', 0\}\}, \{any, \{var, \{'Zero', 0\}\}\}\}\}\}\}
    For using Sigma type for Logic purposes one should change the home Universe of the type to Prop. Here it is:
data Sigma (A: Prop) (P: A -> Prop): Prop =
      (intro: (x:A) (y:P x) \rightarrow Sigma A P)
                                             Equ Type
Another example of expressiveness is Equality type a la Martin-Löf.
data Equ (A: Type): A -> A -> Type :=
      (refl (a: A): Equ A a a)
-- Equ/@: (A: U) (x: A) (y: A) [equ: A -> A -> U] [refl: [z:A] equ z z] equ x y
    \ (A: *)
\rightarrow \ (x: A)
\rightarrow (y: A)
-> \ \ (Equ: A -> A -> *)
\rightarrow Equ x y
```

-- Equ/Refl: (A: U) (x: A) (equ: $A \rightarrow A \rightarrow U$) (refl: [z: A] equ z z) refl x

```
\ (A: *)
-> \ (x: A)
-> \ (Equ: A -> A -> *)
-> \ (Refl: \/ (z: A) -> Equ z z)
-> Refl x
```

You cannot construct a lambda that will check different values of A type is they are equal, however, you may want to use built-in definitional equality and normalization feature of type checker to actually compare two values:

```
> om: print(
  om:type(
  om:a("(\\ (z: #Equ/@ #Nat/@ #Nat/One #Nat/One) -> #Prop/True)"++
        ' (#Equ/Refl #Nat/@ (#Nat/Succ #Nat/Zero))"))).
   -> \/ (Intro: True)
-> True
ok
> om: print(
  om: type (
  om:a("(\\ (z: #Equ/@ #Nat/@ #Nat/One #Nat/One) -> #Prop/True)"++
        (#Equ/Refl #Nat/@ #Nat/Zero)"))).
** exception error: no match of right hand side value
   \{error, \{"==", 
          {app, {{ var, { 'Succ', 0}}}, { var, { 'Zero', 0}}}},
          {var, { 'Zero', 0}}}
```

Effect Type System

This work is expected to compile to a limited number of target platforms. For now, Erlang, Haskell, and LLVM are awaiting. Erlang version is expected to be used both on LING and BEAM Erlang virtual machines. This language allows you to define trusted operations in System F and extract this routine to Erlang/OTP platform and plug as trusted resources. As the example, we also provide infinite coinductive process creation and inductive shell that linked to Erlang/OTP IO functions directly.

IO protocol. We can construct in pure type system the state machine based on (co)free monads driven by **IO/IOI** protocols. Assume that **String** is a **List Nat** (as it is in Erlang natively), and three external constructors: getLine, putLine and pure. We need to put correspondent implementations on host platform as parameters to perform the actual IO.

```
String: Type = List Nat
data IO: Type =
    (getLine: (String -> IO) -> IO)
    (putLine: String -> IO)
    (pure: () -> IO)
```

Infinity I/O Type

Infinity I/O Type Spec.

```
-- IOI/@: (r: U) [x: U] [[s: U] -> s -> [s -> #IOI/F r s] -> x] x
\ (r: *)
-> \/ (x: *)
-> (\/ (s: *)
-> s
-> (s -> #IOI/F r s)
-> x)
```

```
-> x
-- IOI/F
   \ (a : *)
-> \ (State : *)
-> \/ (IOF : *)
-> \/ (PutLine_ : #IOI/data -> State -> IOF)
-> \/ (GetLine_ : (#IOI/data -> State) -> IOF)
-> \/ (Pure_ : a -> IOF)
-> IOF
-- IOI/MkIO
   \ (r : *)
-> \ (s : *)
\rightarrow \ (seed : s)
\rightarrow \ (step : s \rightarrow #IOI/F r s)
\rightarrow (x : *)
\rightarrow \ (k : forall (s : *) \rightarrow s \rightarrow (s \rightarrow #IOI/F r s) \rightarrow x)
-> k s seed step
-- IOI/data
#List/@ #Nat/@
    Infinite I/O Sample Program.
-- Morte/corecursive
( \ \ (r: *1)
 -> ( (((#IOI/MkIO r) (#Maybe/@ #IOI/data)) (#Maybe/Nothing #IOI/data))
    ( \ (m: (#Maybe/@ #IOI/data))
     -> (((((#Maybe/maybe #IOI/data) m) ((#IOI/F r) (#Maybe/@ #IOI/data)))
             ( \ (str: #IOI/data)
              \rightarrow ((((#IOI/putLine r) (#Maybe/@ #IOI/data)) str)
                  (#Maybe/Nothing #IOI/data))))
          (((#IOI/getLine r) (#Maybe/@ #IOI/data))
           (#Maybe/Just #IOI/data))))))
    Erlang Coinductive Bindings.
copure() ->
    fun ( ) \rightarrow fun (IO) \rightarrow IO end end.
cogetLine() ->
    fun(IO) -> fun(_) ->
         L = ch: list(io: get_line(">")),
         ch:ap(IO,[L]) end end.
coputLine() ->
    fun(S) \rightarrow fun(IO) \rightarrow
         X = ch: unlist(S),
         io:put_chars(":"++X),
         case X of "0 \ n" -> list([]);
                         _ -> corec() end end end.
corec() ->
    ap('Morte': corecursive(),
         [copure(), cogetLine(), coputLine(), copure(), list([])]).
```

```
> om_extract:extract("priv/normal/IOI").
ok
> Active: module loaded: {reloaded, 'IOI'}
> om:corec().
> 1
: 1
> 0
: 0
#Fun < List.3.113171260 >
                                            I/O Type
I/O Type Spec.
-- IO/@
   \ (a : *)
-> \/ (IO : *)
\rightarrow \/ (GetLine_ : (#IO/data \rightarrow IO) \rightarrow IO)
\rightarrow \/ (PutLine_ : #IO/data \rightarrow IO \rightarrow IO)
\rightarrow \/ (Pure_ : a \rightarrow IO)
-> IO
-- IO/replicateM
   \setminus (n: #Nat/@)
-> \ (io: #IO/@ #Unit/@)
-> #Nat/fold n (#IO/@ #Unit/@)
                  (\#IO/[>>] io)
                  (#IO/pure #Unit/@ #Unit/Make)
    Guarded Recursion I/O Sample Program.
-- Morte/recursive
((#IO/replicateM #Nat/Five)
 ((((#IO/[>>=] #IO/data) #Unit/@) #IO/getLine) #IO/putLine))
    Erlang Inductive Bindings.
pure() ->
     fun(IO) -> IO end.
getLine() ->
     fun(IO) \rightarrow fun(_) \rightarrow
         L = ch: list(io: get_line(">")),
         ch:ap(IO,[L]) end end.
putLine() ->
     fun(S) \rightarrow fun(IO) \rightarrow
          io:put_chars(": "++ch:unlist(S)),
          ch:ap(IO,[S]) end end.
rec() ->
     ap('Morte': recursive(),
          [getLine(), putLine(), pure(), list([])]).
    Here is example of Erlang/OTP shell running recursive example.
```

```
> om: rec().
> 1
: 1
> 2
: 2
> 3
: 3
> 4
: 4
> 5
: 5
#Fun<List.28.113171260>
```

Higher Language with Inductive Types

Despite we can encode inductive types in PTS, the best usage of inductive types comes with recursors and fixpoint type that allow recursive type check for special cases. As was shown by Herman Geuvers[8] the induction principle is not derivable in second-order dependent type theory. However there a lot of ways doing this. For example, we can build in induction principal into the core for every defined inductive type. We even can allow recursive type check for only terms of induction principle, which have recursion base — that approach was successfully established by Peng Fu and Aaron Stump[10]. In any case for derivable induction principle in PTS $^{\infty}$ we need to have fixpoint somehow in the core.

So-called Calculus of Inductive Constructions[2] is used as a top language on top of PTS to reason about inductive types. Here we will show you a sketch of such inductive language model which intended to be a language extension to PTS system. CiC is allowing fixpoint for any terms, and base checking should be performed during type checking such terms.

Our future top language is a general-purpose functional language with Π and Σ types, recursive algebraic types, higher order functions, corecursion, and a free monad to encode effects. It compiles to a small MLTT core of dependent type system with inductive types and equality. It also has an Id-type (with its recursor) for equality reasoning, Case analysis over inductive types.

BNF

```
<> ::= #option
[] ::= #1ist
 | ::= #sum
 1 ::= #unit
 I ::= #identifier
U ::= Type < #nat >
T ::= 1 | (I : O) T
 F ::= 1 \mid I : O = O , F
B ::= 1 \mid [ \mid I \mid I \mid ] \rightarrow O ]
O ::= I | (O) |
       U \mid O \rightarrow O
                                      100
          | fun (I : O) \rightarrow O
                                      | fst O
                                      | id O O O
          | snd O
          | J O O O O O
                                     let F in O
          | (I : O) * O
                                     | (I : O) \rightarrow O
          | data I T : O := T
                                     | record I T : O := T
          | case O B
```

The AST of higher language is formally defined using itself. Here you can find telescopes (context lists), split and its branches, inductive data definitions.

```
= emp \mid tel (n: name) (b: A) (t: tele A)
data tele (A: U)
data branch (A: U) =
                             br (n: name) (args: list name) (term: A)
data label (A: U) =
                            lab (n: name) (t: tele A)
data ind
   = star
                                   (n: nat)
    var
            (n: name)
                                   (i: nat)
                       (f a: ind)
   | app
   | lambda (x: name) (d c: ind)
            (x: name) (d c: ind)
   | pi
            (n: name) (a b: ind)
   | sigma
                       (d c: ind)
   arrow
     pair
                       (a b: ind)
    fst
                       (p:
                              ind)
    snd
                             ind)
                       (p:
    id
                       (a b: ind)
     idpair
                       (a b: ind)
                       (a b c d e: ind)
    idelim
            (n: name) (t: tele ind) (labels:
                                                  list (label ind))
     data_
            (n: name) (t: ind)
    case
                                      (branches: list (branch ind))
                                                  list ind)
    ctor
            (n: name)
                                      (args:
```

The Erlang version of parser encoded with OTP library **yecc** which implements LALR-1 grammar generator. This version resembles the model and slightly based on cubical type checker by Mortberg[11] and could be reached at Github repository⁷.

Inductive Type Encoding

There are a number of inductive type encodings: 1) Commutative square encoding of F-algebras by Hinze, Wu[12]; 2) Inductive-recursive encoding, algebraic type of algebraic types, inductive family encoding by Dagand[13]; 3) Encoding with motives inductive-inductive definition, also with inductive families, for modeling quotient types by Altenkirch, Kaposi[14]; 4) Henry Ford encoding or encoding with Ran,Lan-extensions by Hamana, Fiore[15]; 5) Church-compatible Böhm-Berarducci encoding Böhm, Berarducci[9]. Om is shipped with base library in Church encoding and we already gave the example of IO system encoded with runtime linkage. We give here simple calculations behind this theory.

Polynomial Functors

Least fixed point trees are called well-founded trees. They encode polynomial functors.

```
Natural Numbers: \mu X \to 1 + X

List A: \mu X \to 1 + A \times X

Lambda calculus: \mu X \to 1 + X \times X + X

Stream: \nu X \to A \times X

Potentialy Infinite List A: \nu X \to 1 + A \times X

Finite Tree: \mu X \to \mu Y \to 1 + X \times Y = \mu X = List X
```

As we know there are several ways to appear for a variable in a recursive algebraic type. Least fixpoint is known as a recursive expression that has a base of recursion In Chuch-Böhm-Berarducci encoding type are store as non-recursive definitions of their right folds. A fold in this encoding is equal to id function as the type signature contains its type constructor as parameters to a pure function.

⁷http://github.com/groupoid/infinity/tree/master/priv

List Example

The data type of lists over a given set A can be represented as the initial algebra $(\mu L_A, in)$ of the functor $L_A(X) = 1 + (A \times X)$. Denote $\mu L_A = List(A)$. The constructor functions $nil : 1 \to List(A)$ and $cons : A \times List(A) \to List(A)$ are defined by $nil = in \circ inl$ and $cons = in \circ inr$, so in = [nil, cons]. Given any two functions $c : 1 \to C$ and $h : A \times C \to C$, the catamorphism $f = ([c, h]) : List(A) \to C$ is the unique solution of the simultaneous equations:

$$\begin{cases} f \circ nil = c \\ f \circ cons = h \circ (id \times f) \end{cases}$$

where f = foldr(c, h). Having this the initial algebra is presented with functor $\mu(1 + A \times X)$ and morphisms sum $[1 \to List(A), A \times List(A) \to List(A)]$ as catamorphism. Using this encoding the base library of List will have following form:

```
\begin{cases} list = \lambda \ ctor \rightarrow \lambda \ cons \rightarrow \lambda \ nil \rightarrow ctor \\ cons = \lambda \ x \rightarrow \lambda \ xs \rightarrow \lambda \ list \rightarrow \lambda \ cons \rightarrow \lambda \ nil \rightarrow cons \ x \ (xs \ list \ cons \ nil) \\ nil = \lambda \ list \rightarrow \lambda \ cons \rightarrow \lambda \ nil \rightarrow nil \end{cases}
```

Here traditionally we show the List definition in higher language and its desugared version in Om language.

```
data List: (A: *) \rightarrow * :=
        (Cons: A \rightarrow list A \rightarrow list A)
        (Nil: list A)
-- List/@
   \ (A : *)
-> \/ (List: *)
-> \/ (Cons: \/ (Head: A) -> \/ (Tail: List) -> List)
-> \/ (Nil: List)
\rightarrow List
-- List/Cons
   \ (A: *)
-> \ (Head: A)
-> \ (Tail:
   -> \/ (Cons: \/ (Head: A) -> \/ (Tail: List) -> List)
   -> \/ (Nil: List)
   \rightarrow List)
-> \ (List: *)
-> \ (Cons:
       -> \/ (Tail: List)
   \rightarrow List)
-> \ (Nil: List)
-> Cons Head (Tail List Cons Nil)
-- List/Nil
   \ (A: *)
-> \ (List: *)
-> \ (Cons:
      -> \/ (Tail: List)
   \rightarrow List)
-> \ (Nil: List)
-> Nil
```

```
record lists: (A B: *) := (len: list A \rightarrow integer)

((++): list A \rightarrow list A \rightarrow list A)

(map: (A \rightarrow B) \rightarrow (list A \rightarrow list B))

(filter: (A \rightarrow bool) \rightarrow (list A \rightarrow list A))

\begin{cases} foldr = ([f \circ nil, h]), f \circ cons = h \circ (id \times f) \\ len = ([zero, \lambda a n \rightarrow succ n])) \\ (++) = \lambda xs ys \rightarrow ([\lambda(x) \rightarrow ys, cons])(xs) \\ map = \lambda f \rightarrow ([nil, cons \circ (f \times id)])) \end{cases}
\begin{cases} len = foldr (\lambda x n \rightarrow succ n) 0 \\ (++) = \lambda ys \rightarrow foldr cons ys \\ map = \lambda f \rightarrow foldr (\lambda x xs \rightarrow cons (f x) xs) nil \\ filter = \lambda p \rightarrow foldr (\lambda x xs \rightarrow if p x then cons x xs else xs) nil \\ foldl = \lambda f v xs = foldr (\lambda xg \rightarrow (\lambda \rightarrow g (f a x))) id xs v \end{cases}
```

Base Library

The base library includes basic type-theoretical building blocks starting from **Unit**, **Bool**, **Either**, **Maybe**, **Nat**, **List** and **IO**. Here some examples how it looks like. The full listing of Base Library folder is available at **Om** GitHub repository⁸.

```
data Nat: Type :=
        (Zero: Unit \rightarrow Nat)
        (Succ: Nat \rightarrow Nat)
  data List (A: Type) : Type :=
        (Nil: Unit \rightarrow List A)
        (Cons: A \rightarrow List A \rightarrow List A)
record String: List Nat := List. Nil
  data IO: Type :=
        (getLine: (String \rightarrow IO) \rightarrow IO)
        (putLint: String \rightarrow IO)
        (pure: () \rightarrow IO)
record IO: Type :=
        (data: String)
        ([>>=]: ...)
record Morte: Type :=
        (recursive: IO.replicateM Nat. Five
                       (IO.[>>=] IO.data Unit IO.getLine IO.putLine))
```

Measurements

The underlying **Om** type checker and compiler is a target language for higher level languages. The overall size of **Om** language with extractor to Erlang is 265 lines of code.

⁸http://github.com/groupoid/om

TABLE 2. Compiler Passes

Module	LOC	Description
om_tok	54 LOC	Handcoded Tokenizer
om_parse	81 LOC	Inductive AST Parser
om_type	60 LOC	Term normalization and typechecking
om_erase	36 LOC	Delete information about types
om_extract	34 LOC	Extract Erlang Code

We benchmarked the unrolling of inductive list type in Church encoding extracted with OM with native erlang lists:foldl.

TABLE 3. Performance

Operation	Type	Time
Pack/Unpack 1 000 000	Inductive Nat	776407 us
Pack/Unpack 1 000 000	Inductive List	1036461 us
Pack/Unpack 1 000 000	Erlang/OTP List	148084 us
Typechecking	Om ShadowTrans	4.972s
Typechecking	Morte ShadowTrans	57.867s

Conclusion

We have proposed a modified version of calculus of constructions, the pure type system, with predicative and impredicative switchable infinitary hierarchies. This system is known to be consistent, supports strong normalization and resembles the type system based on foundations of modern provers, like Coq, Lean, Agda.

Discoveries. During this investigation were made following discoveries: 1) baning recursion caused impossibility of encoding a class of theorems based on induction principle. As was shown by Peng Fu, Aaron Stump[16], the only needed ingredient for induction in CoC is Self-Type, weak form of fixpoint recursion in the core. 2) however for running applications at runtime it is enough System F programs or Dependent Types without Fixpoint. So we can prove properties of these programs in higher languages with fixpoint (and thus induction) and then erase theorems from a specification and convert runtime parts of the specification into PTS^{∞} with later extraction to any functional language. 2) there are a lot of theorems, that could be expressed without fixpoint, such as theorems from higher order logic. 3) this system could be naturally translated into untyped lambda interpreters.

Advantages over existing pure languages. 1) refined version of type checker and the clean implementation in 265 LOC. This will make more trust to the core by external institutions. 2) supporting both predicative and impredicative hierarchies of PTS^{∞} configuration. 3) comparing to other languages, Om is much faster on big terms thanks to fast Erlang lambda evaluations and a cache layer. 4) Om is a production language.

Scientific and Production usage. 1) The language could be used as a trusted core for certification sensitive parts of applications, such as in finance, math or other domains with the requirement for totality. 2) This work could be used as embeddable runtime library. 3) In the academia PTS^{∞} could be used as teaching instrument for logic, type systems, lambda calculus, functional languages.

Further research perspective. 1) Extend the host languages from Erlang to Rust and prove the Om within Coq or Cubical. 2) Build a theory of compilation and erasing from higher languages to PTS^{∞} . 3) Build a certified interpreter (replace Erlang) in future higher level language. 4) Make Induction Principle switchable with PTS^{∞} in future.

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