

# Asynchronous Service Access Protocol (ASAP) Version 1.0

# 4 Working Draft G, June 4, 2004

D -					
110	cum	Ont	100	ntit	ıor.
DU	Guill		IUC	:	

wd-asap-spec-01

#### 7 Location:

http://www.oasis-open.org/committees/asap/docs/

#### 9 Editors:

Jeffrey Ricker, Individual, <jricker@izarinc.com>
Mayilraj Krishnan, Cisco Systems, <mkrishna@cisco.com>
Keith Swenson, Fujitsu Software, <KSwenson@us.fujitsu.com>

#### **Committee Members:**

John Fuller, Individual, <jfuller@wernervas.com> Moshe Silverstein, Individual, <moses@silversteingroup.com> Sameer Pradhan, Fujitsu, <sameerp@us.fujitsu.com> Jeff Cohen, Individual

#### Abstract:

A standard protocol is needed to integrate asynchronous services across the Internet and provide for their interaction. The integration and interactions consist of control and monitoring of the services. *Control* means creating the service, setting up the service, starting the service, stopping the service, being informed of exceptions, being informed of the completion of the service and getting the results of the service. *Monitoring* means checking on the current status of the service and getting an execution history of the service. The protocol should be lightweight and easy to implement, so that a variety of devices and situations can be covered.

The Asynchronous Service Access Protocol (ASAP) is a proposed way to solve this problem through use of Simple Object Access Protocol (SOAP), and by transferring structured information encoded in XML. A new set of SOAP methods are defined, as well as the information to be supplied and the information returned in XML that accomplish the control and monitoring of generic asynchronous services.

This document will: provide an executive overview; specify the goals of ASAP; explain how the resource (object) model works; explain how uniform resource names (URI) are used to invoke methods of those resources; explain how to encode data to be sent or received; and specify preliminary details of the interface methods and parameters.

#### Status:

This document is updated periodically on no particular schedule. Send comments to the editor. Committee members should send comments on this specification to the asap@lists.oasis-open.org list. Others should subscribe to and send comments to the asap-comment@lists.oasis-open.org list. To subscribe, send an email message to asap-comment-request@lists.oasis-open.org with the word "subscribe" as the body of the message.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights section of the ASAP TC web page (http://www.oasis-open.org/committees/asap/).

# **Table of Contents**

48	1	Introduction	4
49		1.1 Summary	4
50		1.2 Not-so-technical executive summary	4
51		1.3 Problem statement	5
52		1.4 Things to achieve	5
53		1.5 Things not part of the goals	6
54		1.6 Terminology	6
55		1.7 Notation conventions	7
56		1.8 Related documents	7
57	2	Resource model	8
58		2.1 Overview	8
59		2.2 Instance	9
60		2.3 Factory	9
61		2.4 Observer	9
62		2.5 URI	9
63		2.6 ContextData and ResultData	9
64	3	Protocol	10
65		3.1 SOAP	10
66		3.2 Request header	10
67		3.3 Response header	11
68		3.4 Body	12
69	4	Instance resource	13
70		4.1 Instance resource properties	13
71		4.2 GetProperties	15
72		4.3 SetProperties	16
73		4.4 Subscribe	17
74		4.5 Unsubscribe	17
75		4.6 ChangeState	
76	5	,	
77		5.1 Factory resource properties	20
78		5.2 GetProperties	21
79		5.3 CreateInstance	21
80		5.4 ListInstances	23
81	6	Observer resource	25
82		6.1 Observer resource properties	25
83		6.2 GetProperties	25
84		6.3 Completed	25
85		6.4 StateChanged	26
86	7	3	
87		7.1 Context data and result data	28
88		7.2 Extensibility	
89		7.3 State type	28

90	7.4 History type	29
91	7.5 Exceptions and error codes	30
92	7.6 Language	31
93	7.7 Security	31
94	8 References	33
95	8.1 Normative	33
96	Appendix A. Schema	34
97	Appendix B. Acknowledgments	41
98	Appendix C. Revision History	42
99	Appendix D. Notices	43
100		

# 1 Introduction

# 1.1 Summary

101

102

110

111

112

113

114115

116

117

118

119 120

121

122

123

124

125

This protocol offers a way to start an instance of an asynchronous web service, monitor it, control it, and be notified when it is complete. This service instance can perform just about anything for any purpose. The key aspect is that the service instance is something that one would like to start remotely, and it will take a long time to run to completion. Short-lived services would be invoked synchronously with Simple Object Access Protocol (SOAP) [SOAP] and one would simply wait for completion. Because certain service instances last anywhere from a few minutes to a few months, they must be invoked asynchronously.

How does it work? You must start with the URI of a service definition called a *factory*. A SOAP request to this URI will cause this service definition to generate a service instance, and return the URI of this new service instance that is used for all the rest of the requests. The service instance can be provided with data (any XML data structure) by another SOAP request. The current state of the service instance can be retrieved with another SOAP request. The service instance can be paused or resumed with another SOAP request. There is also a pair of requests that may be used to give input data to the service instance, and to ask for the current value of the output data.

What happens when it is done? The service instance runs asynchronously and takes whatever time it needs to complete. The originating program can, if it chooses, keep polling the state of the service instance in order to find out when it is complete. This will consume resources unnecessarily both on the originating side as well as the performing side. Instead, the originator may provide the service instance with the URI of an observer. When the service instance is completed it will send a SOAP request to the URI of each observer. This allows the originator to be put to sleep, freeing up operating system as well as network resources while waiting for the service instance to complete.

# 1.2 Not-so-technical executive summary

What does this mean in English? Most existing Internet protocols like HTTP are based on an unwritten assumption of instant gratification. If a client asks for any resource that takes longer than about a minute to generate, then the request times out, that is, it fails. We call anything on the Internet like HTML pages and GIF images a *resource*. Most resources such as web pages are static or require a very simple database query to create, so they easily meet the instant gratification requirement.

As we have applied Internet technology to more and more scenarios, this assumption of instant gratification has become more strained. A good example is wireless Internet. With wireless, the resource may take more than a minute to generate simply because of a poor connection.

A more telling example is electronic commerce. In commerce, it may not be a simple database query that generates a document but rather an entire corporate business process with a human approval involved. Very few corporate business processes especially those requiring management approval, take less than a minute to complete.

139 What needed in real world scenarios is ability to ask for a resource and for that resource to be 140 able to respond, "The information isn't ready yet. Where would you like me to send it when I'm 141 done?" That is what ASAP considers as start an instance of a generic asynchronous service and 142 be notified when it is complete. Someone asking for the resource should be able to pester, just 143 like in the real world, with questions like, "Are you done yet? Where is that document I asked for?" 144 That is what ASAP considers as *monitor*. Finally the requestor asking resource change mind in 145 mid process, just like in the real world with statements like, "Change that to five widgets, not six." 146 That is what ASAP considers as control.

- 147 With such a protocol, business should be able to integrate not just applications but business
- 148 processes, which is what electronic commerce is really all about. With such a protocol, business
- should also be able to integrate within and between enterprises much faster because of the ability
- to have manual processes look and act to everything else on the Internet as if it were actually
- 151 automated.
- Here is an example. An ASAP message is sent to a server requesting inventory levels of a certain
- 153 part number. The server responds to the requestor "The information isn't ready yet. Where would
- 154 you like me to send it when I'm done?" The server then sends a message to Steve's two-way
- pager in the warehouse asking him to type in the inventory level of the certain part number. After
- a coffee break, Steve duly types in the number. The server creates the proper message and
- 157 responds to the requestor. To the outside world, an electronic message was sent and an
- 158 electronic message was received. The result is automated inventory level tracking. Nobody need
- to know that Steve walked down the aisle and counted by hand.

#### 1.3 Problem statement

- Not all services are instantaneous. A standard protocol is needed to integrate asynchronous
- services (processes or work providers) across the Internet and provide for their interaction. The
- 163 integration and interactions consist of control and monitoring of the service. Control means
- 164 creating the service, setting up the service, starting the service, stopping the service, being
- informed of exceptions, being informed of the completion of the service and getting the results of
- the service. *Monitoring* means checking on the current status and getting execution history of the
- 167 service.

160

181

182

183

184

- The protocol should be lightweight and easy to implement, so that a variety of devices and
- 169 situations can be covered.

# 170 1.4 Things to achieve

- In order to have a realizable agreement on useful capabilities in a short amount of time, it is important to be very clear about the goals of this effort.
- The protocol should not reinvent anything unnecessarily. If a suitable standard exists, it should be used rather than re-implement in a different way.
- The protocol should be consistent with XML Protocol and SOAP.
- This protocol should be easy to incorporate into other SOAP-based protocols that require
   asynchronous communication
- The protocol should be the minimal necessary to support a generic asynchronous service.

  This means being able to start, monitor, exchange data with, and control a generic asynchronous service on a different system.
  - The protocol must be extensible. The first version will define a very minimal set of functionality. Yet a system must be able to extend the capability to fit the needs of a particular requirement, such that high level functionality can be communicated which gracefully degrades to interoperate with systems that do not handle those extensions.
- Like other Internet protocols, ASAP should not require or make any assumptions about the platform or the technology used to implement the generic asynchronous service.
- Terseness of expression is not a goal of this protocol. Ease of generating, understanding and parsing should be favored over compactness.
- Regarding human readability, the messages should be self-describing for the programmer, but they are not intended for direct display for the novice end user. This specification attempts to
- adhere to Eric S. Raymond's ninth principle: "Smart data structures and dumb code works a lot
- better than the other way around," or, paraphrased from Frederick P. Brooks, "Show me your
- 193 [code] and conceal your [data structures], and I shall continue to be mystified. Show me your
- [data structures], and I won't usually need your [code]; it'll be obvious." [RAYMOND]

wd-asap-spec-01 Copyright © OASIS Open 2003-2004. All Rights Reserved.

# 1.5 Things not part of the goals

195

198

199

200

201

202

203

206

207

216

196 It is also good practice to clearly demark those things that are not to be covered by the first generation of this effort:

- The goal of ASAP do not include a way to set up or to program the generic services in any way. Especially for the case where the service is a workflow service, ASAP does not provide a way to retrieve or submit process definitions. The service can be considered to be a "black box" which has been pre-configured to do a particular process. ASAP does not provide a way to discover what it is that the service is really doing, only that it does it (given some data to start with) and some time later completes (providing some result data back).
- ASAP will not include the ability to perform maintenance of the asynchronous web service such as installation or configuration.
  - ASAP will not support statistics or diagnostics of collections of asynchronous web service.
     ASAP is designed for the control and monitoring of individual asynchronous web services.
- ASAP does not specify security. Rather, it relies on transport or session layer security. ASAP
   can adopt SOAP –specific security protocols once they are finalized.
- ASAP does not address service quality issues of transport such as guaranteed delivery,
   redundant delivery and non-repudiation. Rather, ASAP relies on the session layer, the
   transport layer, or other SOAP protocols to address these issues.
- These may be added in a later revision, but there is no requirement to support these from the first version, and so any discussion on these issues should not be part of ASAP working group meetings.

# 1.6 Terminology

- The key words must, must not, required, shall, shall not, should, should not, recommended, may,
- and optional in this document are to be interpreted as described in [RFC2119].
- 219 Other specific terms are as follows.
- 220 Web Service: W3C Web Service Architecture Group [W3C Arch] defined Web Service as "A
- 221 software system designed to support interoperable machine-to-machine interaction over a
- 222 network. It has an interface described in a machine-processable format (specifically WSDL).
- 223 Other systems interact with the Web service in a manner prescribed by its description using
- 224 SOAP messages, typically conveyed using HTTP with an XML serialization in conjunction with
- 225 other Web-related standards"
- 226 Service: synonymous with web service.
- 227 Asynchronous Web Service: A web service or set of web services designed around a mode of
- 228 operation where a request is made to start an operation, and a later separate request is made to
- communicate the results of the operation. A number of requests may be made in between in
- 230 order to control and monitor the asynchronous operation. The results of the operation may be
- 231 delivered either by polling requests from the originator, or else by a notification request originated
- by the performer.
- 233 Method: An individual interoperable function is termed a "method". Each method may be passed
- a set of request parameters and return a set of response parameters.
- 235 Resource types: Methods are divided into different groups to better identify their context. The
- 236 primary groups of methods required for interoperability are named Instance, Factory, and
- 237 Observer.
- 238 Instance: This is the resource implemented by the web service that is actually performing the
- requested work. These resources allow for the actual monitoring and controlling of the work.

- 240 Factory: This is the resource implemented by the service instance factory. Methods are provided
- 241 to start new service instances, to list or search for existing instances, and to provide definitional
- 242 information about the instances.
- Observer: This is a resource that a web service must implement in order to receive notification
- events from the service instance.
- 245 Context data: The XML data sent to initiate the service.
- 246 Results data: The XML data created by the successful completion of the service.

#### 1.7 Notation conventions

248 The following namespace prefixes are used throughout this document:

Prefix	Namespace URI	Definition
as	http://www.oasis-	ASAP namespace
	open.org/asap/0.9/asap.xsd	
env	http://schemas.xmlsoap.org/soap/envelope/	Envelope namespace from SOAP 1.1
enc	http://schemas.xmlsoap.org/soap/encoding/	Encoding namespace from SOAP 1.1
xsd	http://www.w3.org/2001/XMLSchema	XML Schema namespace

249 Table 1 Namespaces

247

255

This specification uses an informal syntax we call *pseudo-XML* to describe the XML grammar of an ASAP document. This syntax is similar to that employed by the WSDL 1.1 specification

Convention	Example
The syntax appears as an XML instance, but the values indicate the data types instead of values.	<p:tag name="nmtoken"></p:tag>
Paragraphs within tags are the description of the tag and should be thought of as commented out with	<pre><p:tag>   longer description of the   purpose of the tag. </p:tag></pre>
Characters are appended to elements and attributes as follows: "?" (0 or 1), "*" (0 or more), "+" (1 or more).	<p:tag>*</p:tag>
Elements names ending in "" indicate that elements/attributes irrelevant to the context are being omitted or they are exactly as defined previously.	<p:tag></p:tag>
Grammar in bold has not been introduced earlier in the document, or is of particular interest in an example.	<p:tag></p:tag>
"Extensible element" is a placeholder for elements from some "other" namespace (like ##other in XSD).	< extensible element>
The XML namespace prefixes (defined above) are used to indicate the namespace of the element being defined	
Examples starting with pseudo-xml? contain enough information to conform to this specification; others examples are fragments and require additional information to be specified in order to conform.	pseudo-xml?

- 252 Table 2 Pseudo-XML documentation conventions
- Formal syntax is available in supplementary XML Schema and WSDL specifications in the document.

#### 1.8 Related documents

An understanding of SOAP and how it works is assumed in order to understand this document.

# 2 Resource model

#### 2.1 Overview

257

258259

260

261

262

265

266267

268

269

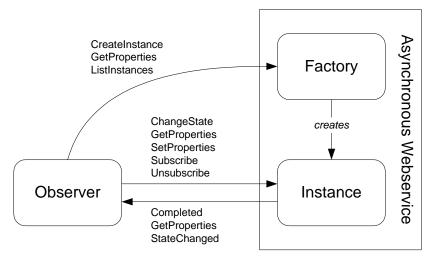
270

271

272

273

For the support of an asynchronous web service, three types of web services are defined to match the three roles of the interaction: Instance, Factory, and Observer. A web service type is distinguished by the group of operations it supports, and so there are three groups of operations.



263 Figure 1 Resource types of an asynchronous web service and the methods they use

264 Typical use of this protocol would be as follows:

- 1. A Factory service receives a CreateInstanceRq message that contains ContextData and the URI of an Observer
- 2. The Factory service creates an Instance service and subscribes the Observer to the Instance
- 3. The Factory responds to CreateInstanceRq message with a CreateInstanceRs message that contains the URI of the Instance
- 4. The Instance service eventually completes its task and sends a CompletedRq message that contains the ResultsData to the Observer

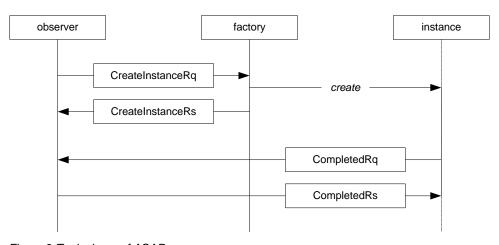


Figure 2 Typical use of ASAP

#### 2.2 Instance

275

286

298

310

- The Instance resource is the actual "performance of work". It embodies the context information 276
- 277 that distinguishes one performance of one asynchronous service from another. Every time the
- 278 asynchronous service is to be invoked, a new instance is created and given its own resource
- 279 identifier. A service instance can be used only once: it is created, then it can be started, it can be
- 280 paused, resumed, terminated. If things go normally, it will eventually complete.
- 281 When a service is to be enacted, a requestor will reference a service factory's resource identifier
- 282 and create an instance of that service. Since a new instance will be created for each enactment,
- 283 the service factory may be invoked (or instantiated) any number of times simultaneously.
- 284 However, each service instance will be unique and exist only once. Once created, a service
- instance may be started and will eventually be completed or terminated. 285

# 2.3 Factory

The Factory resource represents a "way of doing some work". It is the most fundamental 287 288 resource required for the interaction of generic services. It represents the description of a 289

- service's most basic functions, and is the resource from which instances of a service will be created. Since every service to be enacted must be uniquely identifiable by an interoperating
- 290 service or service requestor, the factory will provide a resource identifier. When a service is to be 291
- 292 enacted, this resource identifier will be used to reference the desired asynchronous service to be
- 293 executed. A service might be a set of tasks carried out by a group of individuals, or it might be
- 294 set of machine instructions that make up an executable program, or it might be any combination
- 295 of these. The important point to remember about a service factory is that while it embodies the
- 296
- knowledge of how work is performed, it does not actually do the work. The service instance does
- 297 the work.

## 2.4 Observer

- 299 The Observer resource provides a means by which a service instance may communicate
- 300 information about events occurring during its execution, such as its completion or termination.
- 301 Third-party resources may have an interest in the status of a given service instance for various
- 302 organization and business reasons. Observers subscribe to a service instance by providing a
- 303 URI. A service instance notifies all observers by sending SOAP messages to the observer URI's.

#### 2.5 URI 304

- 305 Each resource has an URI address, called the key. A given implementation has complete control
- 306 over how it wishes to create the URI that identifies the resource. It should stick to a single
- 307 method of producing these URI Keys, so that the names can serve as a unique identifier for the
- 308 resource involved. The receiving program should treat it as an opaque value and not assume
- 309 anything about the format of the URI. All instance keys must be unique.

#### 2.6 ContextData and ResultData

- 311 The heart of an asynchronous service is the ContextData and the ResultData. The
- 312 ContextData and the ResultData are the unique part of a particular service; everything else
- 313 is boilerplate. The ContextData is the query or the initial request to the service. The
- 314 ContextData dictates, determines or implies what the service instance should create. The
- 315 ResultData is what the service eventually creates for the observers.

# 3 Protocol

## **3.1 SOAP**

316

317

331

337 338

339 340

341 342

343

344

345

346

- 318 Simple Object Access Protocol (SOAP) [8] is a protocol that defines a simple way for two 319 programs to exchange information. The protocol consists of a client program that initiates a 320 request to a server program. Any given program may be capable of being both a client and a 321 server. Our use of these terms refers only to the role being performed by the program for a 322 particular connection, rather than to the program's capabilities in general. The request involves 323 the sending of a request message from the client to the server. The response involves the 324 sending of a response message from the server back to the client. Both the request and 325 response messages conform to the SOAP message format.
- 326 The root tag of an ASAP message is a SOAP envelope as defined by the SOAP standard.
- The message must contain a SOAP header as per the SOAP standard for addressing and routing the message. An ASAP message will contain within the SOAP header either a Request element or a Response element. A message from a client must contain the Request element and a message from a server must contain a Response element.

# 3.2 Request header

- The Request element contains the following elements.
- 333 SenderKey: The request MAY specify the URI or key of the resource that originated the request.
- This may be redundant with similar specifier in the transport layer.
- ReceiverKey The request MUST specify the key of the resource that the request is being made to. This may be redundant with similar specifier in the transport layer.
  - ResponseRequired: This optional tag may contain the following values: Yes, No, or IfError. If the value specified is "Yes", a response must be returned for this request in all cases, and it must be processed by the requesting resource. If the value specified is "No", a response may, but need not be returned for this request, and if one is returned it may be ignored by the requesting resource. If the value specified is "IfError", a response only needs to be returned for this request in the case where an error has occurred processing it, and the requesting resource must process the response. If this tag is not specified, the default value is assumed to be "Yes".
  - RequestID: The requester may optionally specify a unique ID for the request. If present, then this ID must be returned to the requester in the RequestID tag of the response in order to correlate that response with the original request. The value is assumed to be an opaque value.

```
347
            <?pseudo-xml?>
348
            <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
349
              <env:Header>
350
                <as:Request xmlns:as="http://www.oasis-open.org/asap/0.9/asap.xsd">
351
                  <as:SenderKey>? The URI of the sender </as:SenderKey>
352
353
                  <as:ReceiverKey> The URI of the receiver </as:ReceiverKey>
                  <as:ResponseRequired>Yes|No|IfError</as:ResponseRequired>
354
                  <as:RequestID>?
355
                   Unique ID for message correlation by the requestor
356
357
                  </as:RequestID>
                </as:Request>
358
              </env:Header>
359
              <env:Body>
360
361
              </env:Body>
362
            </env:Envelope>
```

#### Example 1 Request header

363

381

382 383

393

409

```
364
            <xsd:element name="Request">
365
              <xsd:complexType>
366
                <xsd:sequence>
367
                  <xsd:element name="SenderKey" type="xsd:anyURI" minOccurs="0"/>
368
                  <xsd:element name="ReceiverKey" type="xsd:anyURI"/>
369
                  <xsd:element name="ResponseRequired" type="YesNoIfError" minOccurs="0">
370
371
372
373
                  <xsd:element name="RequestID" type="xsd:anyURI" minOccurs="0"/>
                </xsd:sequence>
              </xsd:complexType>
            </xsd:element>
374
375
376
            <xsd:simpleType name="YesNoIfError">
              <xsd:restriction base="xsd:string">
                <xsd:enumeration value="Yes"/>
377
                <xsd:enumeration value="No"/>
378
                <xsd:enumeration value="IfError"/>
              </xsd:restriction>
380
            </xsd:simpleType>
```

Schema 1 Request header

# 3.3 Response header

- The presence of a Response element in the header indicates that this is an answer to a request.
- 384 SenderKey: The request MUST specify the URI or key of the resource that originated the 385 response. This may be redundant with similar specifier in the transport layer.
- 386 ReceiverKey The request MAY specify the key of the resource that the response is being made 387 to. This may be redundant with similar specifier in the transport layer.
- 388 Note that the ReceiverKey is mandatory in a request and the SenderKey is mandatory in a 389 response. The purpose is to enforce keys upon ASAP resources without placing an unnecessary 390 burden on resources that are merely employing ASAP resources. For instance, a Java program 391 that instantiates an AWS may not know its own URL.
- 392 RequestID. If the original request had a RequestID tag, then the response must carry one with that value in it. The requester can use this ID to correlate the response with the original request.

```
394
            <?pseudo-xml?>
395
            <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
396
              <env:Header>
397
                <as:Response xmlns:aws="http://www.ASAP.info/spec/1.0/">
398
                  <as:SenderKey> The URI of the sender </as:SenderKey>
399
                  <as:ReceiverKey>? The URI of the receiver </as:ReceiverKey>
400
                  <as:RequestID>?
401
                   Unique ID for message correlation by the requestor
402
                  </as:RequestID>
403
                </as:Response>
404
              </env:Header>
405
              <env:Body>
406
407
              </env:Body>
408
            </env:Envelope>
```

#### Example 2 Response header

```
410
            <xsd:element name="Response">
411
              <xsd:complexType>
412
                <xsd:sequence>
413
                  <xsd:element ref="SenderKey" minOccurs="0"/>
414
                  <xsd:element ref="ReceiverKey"/>
415
                  <xsd:element ref="RequestID" minOccurs="0"/>
416
                </xsd:sequence>
              </xsd:complexType>
418
            </xsd:element>
```

wd-asap-spec-01 Copyright © OASIS Open 2003-2004. All Rights Reserved.

# 420 **3.4 Body**

421 ASAP requires that there be one of the following elements within the body which represents the information needed for a specific operation:

	Factory	Instance	Observer
GetPropertiesRq	Х	X	Х
GetPropertiesRs	Х	Х	Х
SetPropertiesRq		X	
SetPropertiesRs		X	
CompletedRq			Х
CompletedRs			X
CreateInstanceRq	X		
CreateInstanceRs	Х		
ListInstancesRq	X		
ListInstancesRs	X		
ChangeStateRq		X	
ChangeStateRs		X	
StateChangedRq			Χ
StateChangedRs			Χ
SubscribeRq		X	
SubscribeRs		X	
UnsubscribeRq		X	
UnsubscribeRs		X	
env:Fault	Χ	Χ	Х

423 Table 3 The ASAP message body elements

These elements and their contents are described in detail in the sections on the specific operations.

# 4 Instance resource

426

- All resources that represent the execution of a long-term asynchronous service must implement the Service Instance resource. The purpose of this resource type is to allow the work to proceed asynchronously from the caller. The Instance represents a unit of work, and a new instance of the Instance resource must be created for every time the work is to be performed.
- 431 The performing of the work may take anywhere from minutes to months, so there are a number of 432 operations that may be called while the work is going on. While the work is proceeding, ASAP 433 requests can be used to check on the state of the work. If the input data has changed in the 434 meantime, new input values may be supplied to the Instance, though how it responds to new data 435 is determined by details about the actual task it is performing. Early values of the result data may be requested, which may or may not be complete depending upon the details of the task being 436 437 performed. The results are not final until the unit of work is completed. When the state of the 438 Instance changes, it can send events to the Observer informing it of these changes. The only 439 event that is absolutely required is the "completed" or "terminated" events that tell the requesting 440 resource that the results are final and the Instance resource may be disappearing.
- 441 While a business process will implement Instance, it is important to note that there are also many 442 other types of resources that will implement the Instance resource; it will also be implemented on 443 any discrete task that needs to be performed asynchronously. Thus a wrapper for a legacy CICS 444 transaction would implement the Instance resource so that that legacy application could be called 445 and controlled by any program that speaks ASAP. A driver for an actual physical device, such as 446 a numerical milling machine, would implement the Instance resource if that device were to be 447 controlled by ASAP. Any program to be triggered by a process flow system that takes a long time 448 to perform should implement the Instance resource, for example a program that automatically 449 backs up all the hard drives for a computer. Since these resources represent discrete units of 450 work (which have no subunits represented within the system) these resources will not need to 451 have any activities.

# 4.1 Instance resource properties

- 453 Key: A URI that uniquely identifies this resource.
- State: The current status of this resource. Please see more details on the status property later in
- section on Section 7.3 "State Type". This property is not directly settable, but can be changed
- through the ChangeState command.
- Name: A human readable identifier of the resource. This name may be nothing more than a
- 458 number.

452

- 459 Subject: A short description of this process instance. This property can be set using
- 460 SetProperties.
- 461 Description: A longer description of this process instance resource. This property can be set
- 462 using SetProperties.
- 463 FactoryKey: URI of the factory resource from which this instance was created.
- 464 Observers: A collection of URI's of registered observers of this process instance, if any exist.
- 465 ContextData: Context-specific data that represents the values that the service execution is
- 466 expected to operate on.
- 467 ResultData: Context-specific data that represents the current values resulting from process
- 468 execution. This information will be encoded as described in the section Process Context and
- 469 Result Data above. If result data are not yet available, the ResultData element is returned empty.

#### History: Describes the sequence of events and time stamp of the process instance.

```
471
            <?pseudo-xml?>
472
473
474
            <as:Key> URI </as:Key>
            <as:State>open.notrunning</as:State>
            <as:Name> string </as:Name>
476
            <as:Subject> string </as:Subject>
            <as:Description> string </as:Description>
478
            <as:FactoryKey> URI </as:FactoryKey>
479
            <as:Observers>
480
             <as:ObserverKey>* URI </as:ObserverKey>
481
            </as:Observers>
482
            <as:ContextData>
483
              <-- extensible element -->
484
            </as:ContextData>
485
            <as:ResultData>
486
              <-- extensible element -->
487
            </as:ResultData>
488
            <as:History xlink:href="url"/>
489
```

#### Example 3 Instance resource properties

470

490

```
491
            <xsd:group name="instancePropertiesGroup">
492
              <xsd:sequence>
493
                <xsd:element name="Key" type="xsd:anyURI"/>
494
                <xsd:element name="State" type="stateType"/>
<xsd:element name="Name" type="xsd:string"/>
495
496
                <xsd:element name="Subject" type="xsd:string"/>
497
                <xsd:element name="Description" type="xsd:string"/>
498
                <xsd:element name="FactoryKey" type="xsd:anyURI"/>
499
               <xsd:element name="Observers">
500
                 <xsd:complexType>
501
                   <xsd:sequence>
502
                     <xsd:element</pre>
                                               name="ObserverKey"
                                                                                 type="xsd:anyURI"
503
            maxOccurs="unbounded"/>
504
                   </xsd:sequence>
505
                  </xsd:complexType>
506
                </xsd:element>
507
                <xsd:element name="ContextData">
508
                       <xsd:complexType>
509
                               <xsd:sequence>
510
                                       <xsd:any
                                                     namespace="##any"
                                                                             processContents="lax"
511
            minOccurs="0" maxOccurs="unbounded"/>
512
513
514
515
516
517
                                </xsd:sequence>
                       </xsd:complexType>
               </xsd:element>
                <xsd:element name="ResultData">
                       <xsd:complexType>
                               <xsd:sequence>
518
519
                                        <xsd:anv
                                                                             processContents="lax"
                                                     namespace="##any"
            minOccurs="0" maxOccurs="unbounded"/>
520
                               </xsd:sequence>
521
522
523
                       </xsd:complexType>
               </xsd:element>
               <xsd:element name="History" type="historyType"/>
524
             </xsd:sequence>
525
526
527
            </xsd:group>
            <xsd:simpleType name="stateType">
528
529
             <xsd:restriction base="xsd:string">
              <xsd:enumeration value="open.notrunning"/>
530
              <xsd:enumeration value="open.notrunning.suspended"/>
531
              <xsd:enumeration value="open.running"/>
              <xsd:enumeration value="closed.completed"/>
533
              <xsd:enumeration value="closed.abnormalCompleted"/>
              <xsd:enumeration value="closed.abnormalCompleted.terminated"/>
535
              <xsd:enumeration value="closed.abnormalCompleted.aborted"/>
536
              <xsd:enumeration value="closed.abnormalCompleted.aborted"/>
```

```
537
538
539
540
541
542
543
            </xsd:simpleType>
            <xsd:element name="Event">
             <xsd:complexType>
              <xsd:sequence>
              <xsd:element name="Time" type="xsd:dateTime"/>
544
              <xsd:element name="EventType">
545
546
547
              <xsd:simpleType>
               <xsd:restriction base="xsd:string">
               <xsd:enumeration value="InstanceCreated"/>
548
549
               <xsd:enumeration value="PropertiesSet"/>
               <xsd:enumeration value="StateChanged"/>
550
551
552
553
554
               <xsd:enumeration value="Subscribed"/>
                <xsd:enumeration value="Unsubscribed"/>
                 <xsd:enumeration value="Error"/>
               </xsd:restriction>
              </xsd:simpleType>
555
              </xsd:element>
556
557
              <xsd:element name="SourceKey" type="xsd:anyURI"/>
              <xsd:element name="Details" type="xsd:anyType"/>
558
559
560
              <xsd:element name="OldState" type="as:stateType"/>
              <xsd:element name="NewState" type="as:stateType"/>
              </xsd:sequence>
561
             </xsd:complexType>
562
563
             </xsd:element>
            <xsd:complexType name="historyType">
564
              <xsd:sequence>
565
                <xsd:element ref="Event" maxOccurs="unbounded"/>
566
             </xsd:sequence>
567
            </xsd:complexType>
568
```

569 Schema 3 Instance resource properties

# 4.2 GetProperties

570

571

582

594

- This is a single method that returns all the values of all the properties of the resource.
- 572 GetPropertiesRq: This is the main element present in the SOAP Body element.

```
<?pseudo-xml?>
574
            <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
575
576
              <env:Header>
                <as:Request...>
577
              </env:Header>
578
              <env:Body>
579
                <as:GetPropertiesRq/>
580
              </env:Body>
581
            </env:Envelope>
```

#### Example 4 Instance resource GetProperties method request

```
583
            <?pseudo-xml?>
584
            <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
585
              <env:Header>
586
                <as:Response..>
587
              </env:Header>
588
              <env:Body>
589
                <as:GetPropertiesRs>
590
                 <-- properties -->
591
                </as:GetPropertiesRs>
592
              </env:Body>
593
            </env:Envelope>
```

#### Example 5 Instance resource GetProperties method response

598

599

600

601 602

603

604

605

606

607 608

609

626

638

# 4.3 SetProperties

All resources implement SetProperties and allow as parameters all of the settable properties. This method can be used to set at least the displayable name, the description, or the priority of a process flow resource. This is an abstract interface, and the resources that implement this interface may have other properties that can be set in this manner. All of the parameters are optional, but to have any effect at least one of them must be present. This returns the complete info for the resource, just as the GetProperties method does, which will include any updated values.

Data: A collection of elements that represent the context of this Instance. The elements are from the schema defined by this resource. The context is considered to be the union of the previous context and these values, which means that a partial set of values can be used to update just those elements in the partial set having no effect on elements not present in the call.

```
610
            <?pseudo-xml?>
611
            <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
612
              <env:Header>
613
                <as:Request...>
614
              </env:Header>
615
              <env:Body>
616
617
                <as:SetPropertiesRq>
                  <as:Subject...>?
618
                  <as:Description...>?
619
                  <as:Priority...>?
620
                  <as:Data>
621
                    <-- extensible element -->
                  </as:Data>
623
                </as:SetPropertiesRq>
624
625
              </env:Body>
            </env:Envelope>
```

#### Example 6 Instance resource SetProperties method request

```
627
            <?pseudo-xml?>
628
            <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
629
              <env:Header>
630
631
                <as:Response...>
              </env:Header>
632
              <env:Body>
633
                <as:SetPropertiesRs...>
634
                  Returns the same response as GetProperties
635
                </as:SetPropertiesRs>
636
              </env:Body>
637
            </env:Envelope>
```

#### Example 7 Instance resource SetProperties method response

```
639
            <xsd:element name="SetPropertiesRq">
640
              <xsd:complexType>
641
                <xsd:sequence>
642
                <xsd:element name="Subject" type="xsd:string"/>
643
                <xsd:element name="Description" type="xsd:string"/>
644
                <xsd:element name="Priority" type="xsd:string"/>
645
                <xsd:element name="Data">
646
                      <xsd:complexType>
647
                             <xsd:sequence>
648
                                                  namespace="##any"
                                                                         processContents="lax"
                                      < xsd: any
649
           minOccurs="0" maxOccurs="unbounded"/>
650
                              </xsd:sequence>
651
                      </xsd:complexType
652
              </xsd:element>
653
               </xsd:sequence>
654
             </xsd:complexType>
655
            </xsd:element>
```

657 Schema 5 Instance resource SetProperties method

#### 4.4 Subscribe

658

661

662

663

664

665

666

667

668

669

670

671

672

673 674

675

676

678

688

697

698 699

700

701

702

To allow scalability, Instances will notify Observers when important events occur. Observers must register their URI's with the Instance in order to be notified.

The subscribe method is a way for other implementations of the Observer Operation Group to register themselves to receive posts about changes in process instance state. Not all Instance resources will support this; those that do not support, will return an exception value that explains the error.

ObserverKey: URI to a resource that both implements the Observer Operation Group and will receive the events

#### Example 8 Instance resource Subscribe method request

```
679
            <?pseudo-xml?>
680
            <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
681
            <env:Header>
682
              <as:Response...>
683
              </env:Header>
684
            <env:Body>
685
             <as:SubscribeRs/>
686
              </env:Body>
687
            </env:Envelope>
```

#### Example 9 Instance resource Subscribe method response

```
689
            <xsd:element name="SubscribeRq">
690
              <xsd:complexType>
691
                <xsd:sequence>
692
                  <xsd:element name="ObserverKey" type="xsd:anyURI"/>
693
                </xsd:sequence>
694
             </xsd:complexType>
695
            </xsd:element>
696
            <xsd:element name="SubscribeRs"/>
```

Schema 6 Instance resource Subscribe method

## 4.5 Unsubscribe

This is the opposite of the subscribe method. Resource removed from being observers will no longer get events from this resource. The URI of the resource to be removed from the observers list must match exactly to an URI already in the list. If it does match, then that URI will be removed. If it does not match exactly, then there will be no change to the service instance.

wd-asap-spec-01 Copyright © OASIS Open 2003-2004. All Rights Reserved.

#### Example 10 Instance resource Unsubscribe method request

#### Example 11 Instance resource Unsubscribe method response

Schema 7 Instance resource Unsubscribe method

# 4.6 ChangeState

714

724

725 726 727

730

733

734 735

736

748

760

This method requests a change of state in the service. The instance service should send a StateChanged message to all observers.

```
737
```

#### Example 12 Instance resource ChangeState method request

```
749

750

751

752

753

754

755

756

757

757

758

757

758

758

759

759

750

751

752

753

754

755

756

757

758

758

758

759

759

750

751

752

753

754

755

756

757

758

758

758

759

759

750

750

750

750

750

750

750

750

750

750

750

750

750

750

750

750

750

750

750

750

750

750

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760

760
<
```

#### Example 13 Instance resource ChangeState method response

775 Schema 8 Instance resource ChangeState method

# **5** Factory resource

# 5.1 Factory resource properties

- 778 Key: A URI that uniquely identifies this resource. All resources must have a Key property.
- 779 Name: A human readable identifier of the resource. This name may be nothing more than a 780 number.
- 781 Subject: A short description of this service. Note that the factory and the instance both have a
- 782 subject. The subject of the factory should be general. The subject of an instance should be
- 783 specific.

776

777

815

- 784 Description: A longer description of what the AWS will perform. . Note that the factory and the
- 785 instance both have a subject. The subject of the factory should be general. The subject of an
- 786 instance should be specific.
- 787 ContextDataSchema: An XML Schema representation of the context data that should be
- 788 supplied when starting an instance of this process. This element contains ContextDataType and
- should not contain any other global element.
- 790 ResultDataSchema: an XML Schema representation of the data that will generate and return as
- 791 a result of the execution of this process. This element contains ResultDataType and should not
- 792 contain any other global element.
- Expiration: The minimum amount of time the service instance will remain accessible as a resource after it has been completed for any reason. The requester must plan to pick up all data within this time span of service completion. Data might remain longer than this, but there is no guarantee. The value is expressed as an XML Schema duration data type. For instance, 120 days is expresses as "P120D".

```
<?pseudo-xml?>
799
            . . .
800
            <as:Key> URI </as:Key>
801
            <as:Name> xsd:string </as:Name>
802
            <as:Subject> xsd:string </as:Subject>
803
            <as:Description> xsd:string </as:Description>
804
            <as:ContextDataSchema>
805
                <xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema">
806
                <-- factory specific items of the context data schema -->
807
             </xsd:schema>
808
            <as:ResultDataSchema>
809
             <xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema">
810
               <-- factory specific items of the result data schema -->
811
             </xsd:schema>
812
813
            </as:ResultDataSchema>
            <as:Expiration> xsd:duration </as:Expiration>
814
```

#### Example 14 Factory resource properties

```
816
            <xsd:group name="factoryPropertiesGroup">
817
             <xsd:sequence>
818
                <xsd:element name="Key" type="xsd:anyURI"/>
819
                <xsd:element name="Name" type="xsd:string"/>
820
                <xsd:element name="Subject" type="xsd:string"/>
                <xsd:element name="Description" type="xsd:string"/>
821
822
823
                <xsd:element name="ContextDataSchema" type="ContextDataType"/>
                <xsd:element name="ResultDataSchema" type="ResultDataType"/>
824
                <xsd:element name="Expiration" type="xsd:duration"/>
             </xsd:sequence>
            </xsd:group>
```

```
<vsd:compleyType name="schemaType">
<xsd:any namespace="##other"/>
               <xsd:attribute name="href" type="xsd:anyURI"/>
830
             </xsd:complexType>
831
            <xsd:complexType name="ContextDataType">
832
                <xsd:sequence>
                   <xsd:any namespace="##other"/>
834
                </xsd:sequence>
835
             </xsd:complexType>
836
             <xsd:complexType name="ResultDataType">
837
                <xsd:sequence>
838
                    <xsd:any namespace="##other"/>
839
                </xsd:sequence>
840
             </xsd:complexType>
```

Schema 9 Factory resource properties

# 5.2 GetProperties

841

842843

844

845

846

847

848

849

850

851

852

853

854

855

867

868

869

870

871

872

The Factory resource <code>GetProperties</code> method request is exactly the same as the Instance resource <code>GetProperties</code> request. The response returns the properties particular to the factory resource.

#### Example 15 Factory resource GetProperties method request

```
856
            <?pseudo-xml?>
857
            <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
858
              <env:Header>
859
                <as:Response..>
860
              </env:Header>
861
              <env:Body>
862
                <as:GetPropertiesRs>
863
                  <-- properties -->
864
                </as:GetPropertiesRs>
865
              </env:Body>
866
            </env:Envelope>
```

#### Example 16 Factory resource GetProperties method response

```
<xsd:element name="GetPropertiesRq"/>
<xsd:element name="GetPropertiesRs" type="factoryPropertiesGroup"/>
```

Schema 10 Factory resource GetProperties method

#### 5.3 CreateInstance

- Given a process definition resource, this method is how instances of that process are created.

  There are two modes: create the process, with data, and start it immediately; or just create it and put the data on it and start it manually.
- StartImmediately element holds a Boolean value to say whether the process instances that is created should be immediately started, or whether it should be put into an initial state for later starting by use of the "start" operation. If this tag is missing, the default value is "Yes".

- ObserverKey: holds the URI that will receive events from the created process instance. This observer resource (if it is specified) is to be notified of events impacting the execution of this process instance such as state changes, and most notably the completion of the instance.
- Name: A human readable name of the new instance. There is no commitment that this name be used in any way other than to return this value as the name. There are no implied uniqueness constraints.
- Subject: A short description of the purpose of the new instance.
- Description: A longer description of the purpose of the newly created instance.
- ContextData: Context-specific data required to create this service instance. Must conform to the schema specified by the ContextDataSchema.
- InstanceKey: The URI of the new Instance resource that has been created. This is NOT the same as the key for the factory that is in the Response header.

```
891
           <?pseudo-xml?>
892
            <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
893
              <env:Header>
894
               <as:Request...>
895
             </env:Header>
896
             <env:Body>
897
               <as:CreateInstanceRg>
898
                 <as:StartImmediately>Yes|No</as:StartImmediately>
899
                 <as:ObserverKey>? URI </as:ObserverKey>
900
                 <as:Name>? string </as:Name>
901
                 <as:Subject>? string </as:Subject>
902
903
                 <as:Description>? string </as:Description>
                 <as:ContextData>
904
                   <-- extensible element -->
905
                 </as:ContextData>
906
                </as:CreateInstanceRq>
907
             </env:Body>
908
            </env:Envelope>
```

#### Example 17 Factory resource CreateInstance method request

909

923

```
910
911
            <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
912
              <env:Header>
913
                <as:Response>
914
915
                  <as:Key>URI of the process definition receiving this request</as:Key>
                </as:Response>
916
917
              </env:Header>
              <env:Body>
918
                <as:CreateInstanceRs>
919
                  <as:InstanceKey> URI </as:InstanceKey>
920
                </as:CreateInstanceRs>
921
              </env:Body>
922
            </env:Envelope>
```

#### Example 18 Factory resource CreateInstance method request

```
924
            <xsd:element name="CreateInstanceRq">
925
             <xsd:complexType>
926
               <xsd:sequence>
927
                   <xsd:element name="StartImmediately" type="xsd:boolean"/>
928
                   <xsd:element name="ObserverKey" type="xsd:anyURI" minOccurs="0"/>
929
                   <xsd:element name="Name" type="xsd:string" minOccurs="0"/>
930
                   <xsd:element name="Subject" type="xsd:string" minOccurs="0"/>
931
                    <xsd:element name="Description" type="xsd:string" minOccurs="0"/>
932
                    <xsd:element name="ContextData">
933
                      <xsd:complexType>
934
                              <xsd:sequence>
935
                                     <xsd:anv
                                                  namespace="##any"
                                                                        processContents="lax"
936
           minOccurs="0" maxOccurs="unbounded"/>
```

Schema 11 Factory resource CreateInstance method

#### 5.4 ListInstances

946

947 948

949

965

982

- This method returns a collection of process instances, each instance described by a few important process instance properties.
- 950 Filter: Specifies what kinds of process instance resource you are interested in.
- 951 FilterType: indicates what language the filter is expressed in.

```
952
            <?pseudo-xml?>
953
            <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
954
              <env:Header>
955
                <as:Request...>
956
957
              </env:Header>
              <env:Body>
958
                <as:ListInstancesRq>
959
                  <as:Filter filterType="nmtoken">?
960
                     string
961
                  </as:Filter>
962
                </as:ListInstancesRq>
963
              </env:Body>
964
            </env:Envelope>
```

#### Example 19 Factory resource ListInstances method request

```
966
            <?pseudo-xml?>
967
            <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
968
              <env:Header>
969
                <as:Response...>
970
971
972
              </env:Header>
              <env:Body>
                <as:ListInstancesRs>
973
974
                  <as:Instance>*
                    <as:InstanceKey> URI </as:InstanceKey>
975
976
                    <as:Name...>?
                    <as:Subject...>?
977
                    <as:Priority...>?
978
                  </as:Instance>
979
                </as:ListInstancesRs>
980
              </env:Body>
981
            </env:Envelope>
```

#### Example 20 Factory resource ListInstances method response

```
983
            <xsd:element name="ListInstancesRq">
984
             <xsd:complexType>
985
                <xsd:sequence>
986
                  <xsd:element name="Filter" type="FilterType">
987
                  </xsd:element>
988
                </xsd:sequence>
989
             </xsd:complexType>
990
           </xsd:element>
991
            <xsd:complexType name="FilterType">
992
            <xsd:simpleContent>
993
              <xsd:extension base="xsd:string">
994
               <xsd:attribute name="filterType" type="xsd:NMTOKEN"/>
995
               </xsd:extension>
```

wd-asap-spec-01 Copyright © OASIS Open 2003-2004. All Rights Reserved.

```
996
998
998
                </ved:eimnleContent>
</xsd:complexType>
 999
                <xsd:element name="ListInstancesRs">
1000
                <xsd:complexType>
1001
1002
                <xsd:sequence>
                 <xsd:element ref="Instance" maxOccurs="unbounded" minOccurs="0"/>
1003
                 </xsd:sequence>
1004
1005
1006
                </xsd:complexType>
                </xsd:element>
1000
1007
1008
1009
1010
                <xsd:element name="Instance">
                  <xsd:complexType>
                     <xsd:sequence>
                       <xsd:element name="InstanceKey" type="xsd:anyURI"/>
1011
1012
                       <xsd:element name="Name" type="xsd:string" minOccurs="0"/>
                       <xsd:element name="Subject" type="xsd:string" minOccurs="0"/>
<xsd:element ref="Priority" type="xsd:int" minOccurs="0"/>
1013
1014
                     </xsd:sequence>
1015
                  </xsd:complexType>
1016
                </xsd:element>
```

Schema 12 Factory resource ListInstances method

1017

# 6 Observer resource

## 6.1 Observer resource properties

- The Observer resource can receive events about the state changes of a service instance. An observer is expected to have a Key.
- 1022 Key: a URI that uniquely identifies this resource. All resources must have a Key property.

```
1023
<xsd:element name="Key" type="xsd:anyURI"/>
```

1024 Schema 13 Observer resource properties

# **6.2 GetProperties**

This method is the same as it was with Instance and Factory resources.

Schema 14 Observer resource GetProperties method

10291030

1031

1038 1039

1040

1055

1025

1018

1019

# 6.3 Completed

- The Completed method indicates that the Instance has completed the work. This is the 'normal' completion.
- This function signals to the observer resource that the started process is completed its task, and will no longer be processing. There is no guarantee that the resource will persist after this point in time.
- 1037 InstanceKey: The URI of a process that is performing this work
  - ResultData: Context-specific data that represents the current values resulting from process execution. This information will be encoded as described in the section Process Context and Result Data above. If result data are not yet available, the ResultData element is returned empty.

```
1041
             <?pseudo-xml?>
1042
             <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
1043
               <env:Header>
1044
                 <as:Request...>
1045
               </env:Header>
1046
               <env:Body>
1047
                 <as:CompletedRq>
1048
                   <as:InstanceKey> URI </as:Instance>
1049
                   <as:ResultData>
1050
                     <-- extensible element -->
1051
                   </as:ResultData>
1052
                 </as:CompletedRq>
1053
               </env:Body>
1054
             </env:Envelope>
```

#### Example 21 Observer resource Completed method request

```
1056

<
```

#### Example 22 Observer resource Completed method response

```
1066
             <xsd:element name="CompletedRq">
1067
               <xsd:complexType>
1068
                 <xsd:sequence>
1069
                   <xsd:element name="InstanceKey" type="xsd:anyURI"/>
1070
                   <xsd:element name="ResultData">
1071
                        <xsd:complexType>
1072
                               <xsd:sequence>
1073
                                       <xsd:any
                                                    namespace="##any"
                                                                          processContents="lax"
1074
             minOccurs="0" maxOccurs="unbounded"/>
1075
                               </xsd:sequence>
1076
                       </xsd:complexType>
1077
                </xsd:element>
1078
                 </xsd:sequence>
1079
               </xsd:complexType>
1080
             </xsd:element>
1081
             <xsd:element name="CompletedRs"/>
```

Schema 15 Observer resource Completed method

# 6.4 StateChanged

1065

1082

10831084

1085

1086

1099

1109

Observers receive a StateChanged message from the Instance when the state of the Instance changes. The response to a notify event is not necessary. Typically, the header request tag will specify that no response is necessary.

```
1087
             <?pseudo-xml?>
1088
             <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
1089
               <env:Header>
1090
                 <as:Request...>
1091
               </env:Header>
1092
               <env:Body>
1093
                 <as:StateChanged>
1094
                   <as:State> ...
1095
                   <as:PreviousState> ...
1096
                 </as:StateChanged>
1097
               </env:Body>
1098
             </env:Envelope>
```

#### Example 23 Observer resource StateChanged method request

```
1100
             <?pseudo-xml?>
1101
             <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
1102
               <env:Header>
1103
                 <as:Response...>
1104
               </env:Header>
1105
               <env:Body>
1106
                 <as:StateChangedRs/>
1107
               </env:Body>
1108
             </env:Envelope>
```

#### Example 24 Observer resource StateChanged method response

```
1110
             <xsd:element name="StateChangedRq">
1111
               <xsd:complexType>
1112
                 <xsd:sequence>
1113
                   <xsd:element name="State" type="as:stateType"/>
1114
                   <xsd:element name="PreviousState" type="as:stateType"/>
1115
                 </xsd:sequence>
1116
               </xsd:complexType>
1117
             </xsd:element>
1118
             <xsd:element name="StateChangedRs"/>
```

1119 Schema 16 Observer resource StateChanged method

# 7 Data encoding

#### 7.1 Context data and result data

- 1122 The heart of an asynchronous service is the ContextData and the ResultData. The
- 1123 ContextData and the ResultData are the unique part of a particular service; everything else
- 1124 is boilerplate. The ContextData is the query or the initial request to the service. The
- 1125 ContextData dictates, determines or implies what the service instance should create. The
- 1126 ResultData is what the service eventually creates for the observers.
- 1127 The service factory should provide a schema for the ContextData element and ResultData
- 1128 element. The schema may be XML Schema or Relax NG. ASAP follows the SOAP and XML
- 1129 Schema data type encoding specifications.

# 7.2 Extensibility

- 1131 Actual implementations of these resources may extend the set of properties returned. This
- document defines the required minimum set, as well as an optional set. Every implementation
- 1133 MUST return the required properties. The implementation may optionally return additional
- properties. Those additional properties should be elements of a namespace that is not ASAP.
- 1135 Use of extended properties must be carefully considered because this may limit the ability to
- interoperate with other systems. In general no system should be coded so as to require an
- 1137 extended attribute. Instead it should be able to function is the extended properties are missing.
- 1138 Future versions of this specification will cover the adoption of new properties to be considered
- 1139 part of the specification.

# 7.3 State type

- 1141 The overall status of the asynchronous web service is defined by a state property value. This is a
- 1142 string value composed of words separated by periods. The status value must start with one of
- the seven defined values below, but the value can be extended by adding words on the end of
- 1144 the status separated by periods. The extension must be a refinement of one of the seven states
- defined here, such that it is not necessary to understand the extension. The intention is that these
- 1146 extensions may be proposed for future inclusion in the standard. The seven defined base states
- 1147 are:

1120

1130

1140

- 1148 open.notrunning: A resource is in this state when it has been instantiated, but is not currently
- 1149 participating in the enactment of a work process.
- open.notrunning.suspended: A resource is in this state when it has initiated its participation in
- the enactment of a work process, but has been suspended. At this point, no resources contained
- 1152 within it may be started.
- 1153 open.running: A resource is in this state when it is performing its part in the normal execution of
- 1154 a work process.
- 1155 closed.completed: A resource is in this state when it has finished its task in the overall work
- 1156 process. All resources contained within it are assumed complete at this point.
- 1157 closed.abnormalCompleted: A resource is in this state when it has completed abnormally. At
- this point, the results for the completed tasks are returned.
- 1159 closed.abnormalCompleted.terminated: A resource is in this state when it has been terminated
- 1160 by the requesting resource before it completed its work process. At this point, all resources
- 1161 contained within it are assumed to be completed or terminated.

closed.abnormalCompleted.aborted: A resource is in this state when the execution of its process has been abnormally ended before it completed its work process. At this point, no assumptions are made about the state of the resources contained within it.

```
1165
1166
            <xsd:simpleType name="stateType">
1167
             <xsd:restriction base="xsd:string">
1168
              <xsd:enumeration value="open.notrunning"/>
1169
              <xsd:enumeration value="open.notrunning.suspended"/>
1170
              <xsd:enumeration value="open.running"/>
1171
              <xsd:enumeration value="closed.completed"/>
1172
              <xsd:enumeration value="closed.abnormalCompleted"/>
              <xsd:enumeration value="closed.abnormalCompleted.terminated"/>
1173
1174
              <xsd:enumeration value="closed.abnormalCompleted.aborted"/>
1175
              <xsd:enumeration value="closed.abnormalCompleted.aborted"/>
1176
             </xsd:restriction>
1177
            </xsd:simpleType>
```

11781179

1162

1163

1164

- Schema 17 stateType
- 1180 These state values come from the Workflow Management Coalition standards.

# **7.4 History type**

- The history is optional. It contains a list of events. An event is a state change that can occur in the asynchronous service that is externally identifiable. Notifications can be sent to an observer in
- order to inform it of the particular event.
- 1185 Time: the date/time of the event that occurred
- 1186 EventType: One of an enumerated set of values to specify event types: InstanceCreated,
- 1187 PropertiesSet, StateChanged, Subscribed, Unsubscribed, Error. The event types correspond to
- the message types that the resource can receive.
- 1189 SourceKey: The URI of the resource that triggered this event, usually an observer resource but
- 1190 perhaps the instance resource itself.
- 1191 Details: A catchall element for containing any data appropriate.
- 1192 OldState: The state of the instance resource before this event occurred.
- 1193 NewState: The state of the instance resource before this event occurred.

```
1194
             <xsd:element name="Event">
1195
             <xsd:complexType>
1196
              <xsd:sequence>
1197
              <xsd:element name="Time" type="xsd:dateTime"/>
1198
              <xsd:element name="EventType">
1199
              <xsd:simpleType>
1200
              <xsd:restriction base="xsd:string">
1201
               <xsd:enumeration value="InstanceCreated"/>
1202
               <xsd:enumeration value="PropertiesSet"/>
1203
1204
               <xsd:enumeration value="StateChanged"/>
               <xsd:enumeration value="Subscribed"/>
1205
                <xsd:enumeration value="Unsubscribed"/>
1206
                <xsd:enumeration value="Error"/>
1207
              </xsd:restriction>
1208
              </xsd:simpleType>
1209
              </xsd:element>
1210
              <xsd:element name="SourceKey" type="xsd:anyURI"/>
              <xsd:element name="Details" type="xsd:anyType"/>
              <xsd:element name="OldState" type="as:stateType"/>
1213
              <xsd:element name="NewState" type="as:stateType"/>
             </xsd:sequence>
             </xsd:complexType>
```

1222 Schema 17 complexType

1223

1228

1229

1230

1231

1248

1249

1250

# 7.5 Exceptions and error codes

All messages have the option of returning an exception. Exceptions are handled in the manner specified by SOAP 1.2. The header information should be the same, but in the body of the response, instead of having an ASAP element such as GetPropertiesRs or CreateInstanceRs, there will be the SOAP exception element env:Fault.

Multi server transactions: ASAP does not include any way for multiple servers to participate in the same transactions. It will be up to individual systems to determine what happen if a ASAP request fails; In some cases it should be ignored, in some cases it should cause that transaction to fail, and in some cases the operation should be queued to repeat until it succeeds.

```
1232
              <?pseudo-xml?>
1233
1234
              <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
                <env:Header>
1235
                  <as:Response..>
1236
1237
1238
1239
1240
                </env:Header>
                <env:Body>
                  <env:Fault>
                         <faultcode>env:Sender</faultcode>
                         <faultstring>Header specific error</faultstring>
                         <detail>
1242
                                 <as:ErrorCode>104</as:ErrorCode>
1243
                                 <as:ErrorMessage>Invalid key</as:ErrorMessage>
1244
                         </detail>
1245
                  </env:Fault>
1246
                </env:Body>
1247
              </env:Envelope>
```

Example 25 Exception

These error codes are chosen to be specific with the error codes defined by the Workflow Management Coalition Wf-MXL 1.1 specification.

1251	Header-specific	100 Series
1252	These exceptions deal with missing or invalid parameters in the hear	der.
1253	ASAP_PARSING_ERROR	101
1254	ASAP_ELEMENT_MISSING	102
1255	ASAP_INVALID_VERSION	103
1256	ASAP_INVALID_RESPONSE_REQUIRED_VALUE	104
1257	ASAP_INVALID_KEY	105
1258	ASAP_INVALID_OPERATION_SPECIFICATION	106
1259	ASAP_INVALID_REQUEST_ID	107
1260		
1261	Data	200 Series
1262	These exceptions deal with incorrect context or result data	
1263	ASAP_INVALID_CONTEXT_DATA	201
1264	ASAP_INVALID_RESULT_DATA	202
1265	ASAP_INVALID_RESULT_DATA_SET	203
1266		
1267	Authorization	300 Series
1268	A user may not be authorized to carry out this operation on a particu	lar resource, e.g., may
1269	not create a process instance for that process definition.	

1270	ASAP_NO_AUTHORIZATION	301
1271		
1272	Operation	400 Series
1273	The operation can not be accomplished because of some	e temporary internal error in the
1274	workflow engine. This error may occur even when the in	put data is syntactically correct
1275	and authorization is permitted.	
1276	ASAP_OPERATION_FAILED	401
1277		
1278	Resource Access	500 Series
1279	A valid Key has been used, however this operation cann	not currently be invoked on the
1280	specified resource.	•
1281	ASAP_NO_ACCESS_TO_RESOURCE	501
1282	ASAP_INVALID_FACTORY	502
1283	ASAP_MISSING_INSTANCE_KEY	503
1284	ASAP_INVALID_INSTANCE_KEY	504
1285		
1286	Operation-specific	600 Series
1287	These are the more operation specific exceptions. Typica	ally, they are only used in a few
1288	operations, possibly a single one.	
1289	ASAP_INVALID_STATE_TRANSITION	601
1290	ASAP_INVALID_OBSERVER_FOR_RESOURCE	602
1291	ASAP_MISSING_NOTIFICATION_NAME	603
1292	ASAP_INVALID_NOTIFICATION_NAME	604
1293	ASAP_HISTORY_NOT_AVAILABLE	605
1294		

004

ACAD NO ALITHODIZATION

#### 7.6 Language 1295

4070

1296 ASAP messages should indicate their preferred language using the xml:lang attribute either in the SOAP Envelope element (the root element) or in the ASAP Request or Response element. 1297

# 7.7 Security

1298

1301

- 1299 HTTP provides for both authenticated as well as anonymous requests. Because of the nature of 1300 process flow in controlling access to resources, many operations will not be allowed unless accompanied by a valid and authenticated user ID. There are two primary means that this will be 1302 provided: HTTP authorization header or transport level encryption such as SSL.
- 1303 The first and most common method of authentication over HTTP is through the use of the 1304 Authorization header. This header carries a user name and a password that can be used to 1305 validate against a user directory. If the request is attempted but the authentication of the user 1306 fails, or the Authorization header field is not present, then the standard HTTP error "401 1307 Unauthorized" is the response. Within this, there are two authentication schemes:
- 1308 Basic involves carrying the name and password in the authorization field and is not considered secure. 1309
- 1310 authentication for HTTP is specified in **IETF** RFC-2069 1311 [http://ietf.org/rfc/rfc2069.html], which offers a way to securely authenticate without sending the password in the clear. 1312
- 1313 Second, encryption at the transport level, such as SSL, can provide certificate based 1314 authentication of the user making the request. This is much more secure than the previous option, and should be used when high security is warranted. 1315

wd-asap-spec-01 Copyright © OASIS Open 2003-2004. All Rights Reserved.

- Because the lower protocol levels are providing the user ID, ASAP does not specify how to send the client user ID. The authenticated user ID can be assumed to be present in the server at the time of handling the request.
- 1319 Note that since most ASAP interactions are between programs that we would normally consider 1320 to be servers (i.e. process flow engine to process flow engine) the conclusion can be made that 1321 all such process flow engines will need a user id and associated values (e.g. password or certificate) necessary to authenticate themselves to other servers. Servers must be configured 1322 1323 with the appropriate safeguards to assure that these associated values are protected from view. 1324 Under no circumstances should a set of process flow engines be configured to make anonymous 1325 ASAP requests that update information since the only way to be sure that the request is coming 1326 from a trustable source is through the authentication.
- With the authentication requirements above, of either HTTP authorization header field or SSL secure transport, ASAP should be able to protect and safeguard sensitive data while allowing interoperability to and from any part of the Internet.

wd-asap-spec-01 Copyright © OASIS Open 2003-2004. All Rights Reserved.

# 8 References

1330

1331	8.1 Normative	
1332 1333	[RFC2119]	S. Bradner, Key words for use in RFCs to Indicate Requirement Levels, http://www.ietf.org/rfc/rfc2119.txt, IETF RFC 2119, March 1997.
1334	[SOAP]	Simple Object Access Protocol
1335	[W3C Arch]	Web Services Architecture Working Group,
1336		http://www.w3.org/TR/2004/NOTE-ws-arch-20040211/
1337	[XSD]	XML Schema Part 1 & Part 2
1338		http://www.w3.org/TR/xmlschema-1/ and
1339		http://www.w3.org/TR/xmlschema-2/
1340	[RAYMOND]	The Art of Unix Programming by Eric S. Raymond, Addision Wesley
1341		Publishers
1342		
1343		

# Appendix A. Schema

1344

```
1345
       <?xml version="1.0"?>
1346
       <xsd:schema</pre>
1347
       targetNamespace="http://www.oasisopen.org/asap/0.9/asap.xsd"
1348
       xmlns:xsd="http://www.w3.org/2001/XMLSchema"
1349
       xmlns:as="http://www.oasis-open.org/asap/0.9/asap.xsd",
1350
        elementFormDefault="qualified">
1351
1352
       <xsd:annotation>
1353
          <xsd:documentation xml:lang="en">
1354
              Asynchronous Service Access Protocol
1355
1356
              Jeffrey Ricker
1357
              DRAFT
1358
              Original 2002.02.18
              Revised 2003.11.04
1359
1360
              Revised 2004.02.26, John Fuller
1361
1362
              Edited to match up with wd-asap-spec-01d.doc
1363
1364
              Revised 2004.04.14, Sameer Pradhan
1365
              Edited to allow optional elements to be absent.
1366
1367
              Revised 2004.06.04 Mayilraj Krishnan
1368
              Edited for Context and Result Data and Indent the schema
1369
1370
          </xsd:documentation>
1371
       </xsd:annotation>
1372
1373
       <!-- ==== simple property elements ===== -->
1374
1375
       <xsd:complexType name="schemaType">
1376
          <xsd:sequence>
1377
             <xsd:any namespace="##other"/>
1378
          </xsd:sequence>
1379
          <xsd:attribute name="href" type="xsd:anyURI"/>
1380
       </xsd:complexType>
1381
1382
       <xsd:complexType name="ContextDataType">
1383
          <xsd:sequence>
1384
             <xsd:any namespace="##other"/>
1385
          </xsd:sequence>
1386
       </xsd:complexType>
1387
1388
       <xsd:complexType name="ResultDataType">
1389
          <xsd:sequence>
1390
             <xsd:any namespace="##other"/>
1391
          </xsd:sequence>
1392
       </xsd:complexType>
1393
1394
       <xsd:simpleType name="stateType">
1395
          <xsd:restriction base="xsd:string">
1396
             <xsd:enumeration value="open.notrunning"/>
```

```
1397
             <xsd:enumeration value="open.notrunning.suspended"/>
1398
             <xsd:enumeration value="open.running"/>
1399
             <xsd:enumeration value="closed.completed"/>
1400
             <xsd:enumeration value="closed.abnormalCompleted"/>
1401
             <xsd:enumeration value="closed.abnormalCompleted.terminated"/>
1402
             <xsd:enumeration value="closed.abnormalCompleted.aborted"/>
1403
          </xsd:restriction>
1404
       </xsd:simpleType>
1405
1406
       <xsd:element name="Event">
1407
          <xsd:complexType>
1408
             <xsd:sequence>
1409
                <xsd:element name="Time" type="xsd:dateTime"/>
1410
                <xsd:element name="EventType">
1411
                   <xsd:simpleType>
1412
                      <xsd:restriction base="xsd:string">
1413
                         <xsd:enumeration value="InstanceCreated"/>
                         <xsd:enumeration value="PropertiesSet"/>
1414
                         <xsd:enumeration value="StateChanged"/>
1415
1416
                         <xsd:enumeration value="Subscribed"/>
1417
                         <xsd:enumeration value="Unsubscribed"/>
1418
                         <xsd:enumeration value="Error"/>
1419
                      </xsd:restriction>
1420
                   </xsd:simpleType>
1421
                </xsd:element>
1422
                <xsd:element name="SourceKey" type="xsd:anyURI"/>
1423
                <xsd:element name="Details" type="xsd:anyType"/>
                <xsd:element name="OldState" type="as:stateType"/>
1424
1425
                <xsd:element name="NewState" type="as:stateType"/>
1426
             </xsd:sequence>
1427
          </xsd:complexType>
1428
       </xsd:element>
1429
1430
       <xsd:complexType name="historyType">
1431
          <xsd:sequence>
1432
             <xsd:element ref="as:Event" maxOccurs="unbounded">
1433
             </xsd:element>
1434
          </xsd:sequence>
1435
       </xsd:complexType>
1436
1437
       <xsd:simpleType name="YesNoIfError">
1438
          <xsd:restriction base="xsd:string">
1439
             <xsd:enumeration value="Yes"/>
1440
             <xsd:enumeration value="No"/>
1441
             <xsd:enumeration value="IfError"/>
         </xsd:restriction>
1442
1443
       </xsd:simpleType>
1444
1445
       <!-- ==== headers ===== -->
1446
1447
       <xsd:element name="Request">
1448
          <xsd:complexType>
1449
             <xsd:sequence>
1450
                <xsd:element name="SenderKey" type="xsd:anyURI"</pre>
1451
                 minOccurs="0"/>
1452
                <xsd:element name="ReceiverKey" type="xsd:anyURI"/>
```

```
1453
                <xsd:element name="ResponseRequired" type="as:YesNoIfError"</pre>
1454
                 minOccurs="0"/>
1455
                <xsd:element name="RequestID" type="xsd:anyURI"</pre>
1456
                 minOccurs="0"/>
1457
             </xsd:sequence>
1458
          </xsd:complexType>
1459
       </xsd:element>
1460
1461
       <xsd:element name="Response">
1462
          <xsd:complexType>
1463
             <xsd:sequence>
1464
                <xsd:element name="SenderKey" type="xsd:anyURI"/>
1465
                <xsd:element name="ReceiverKey" type="xsd:anyURI"</pre>
1466
                 minOccurs="0"/>
1467
                <xsd:element name="RequestID" type="xsd:anyURI"</pre>
1468
                 minOccurs="0"/>
1469
             </xsd:sequence>
1470
          </xsd:complexType>
1471
      </xsd:element>
1472
1473
       <!-- ==== properties ==== -->
1474
1475
       <xsd:group name="instancePropertiesGroup">
1476
          <xsd:sequence>
1477
             <xsd:element name="Key" type="xsd:anyURI"/>
1478
                <xsd:element name="State" type="as:stateType"/>
1479
                <xsd:element name="Name" type="xsd:string"/>
1480
                <xsd:element name="Subject" type="xsd:string"/>
1481
                <xsd:element name="Description" type="xsd:string"/>
1482
                <xsd:element name="FactoryKey" type="xsd:anyURI"/>
1483
                <xsd:element name="Observers">
1484
                   <xsd:complexType>
1485
                       <xsd:sequence>
1486
                          <xsd:element name="ObserverKey" type="xsd:anyURI"</pre>
1487
                           maxOccurs="unbounded" minOccurs="0"/>
1488
                       </xsd:sequence>
1489
                   </xsd:complexType>
1490
             </xsd:element>
1491
             <xsd:element name="ContextData">
1492
                <xsd:complexType>
1493
                    <xsd:sequence>
1494
                       <xsd:any namespace="##any" processContents="lax"</pre>
1495
                        minOccurs="0" maxOccurs="unbounded"/>
1496
                    </xsd:sequence>
1497
                </xsd:complexType>
1498
             </xsd:element>
1499
             <xsd:element name="ResultData">
1500
                <xsd:complexType>
1501
                    <xsd:sequence>
1502
                       <xsd:any namespace="##any" processContents="lax"</pre>
1503
                        minOccurs="0" maxOccurs="unbounded"/>
1504
                   </xsd:sequence>
1505
                </xsd:complexType>
1506
             </xsd:element>
1507
             <xsd:element name="History" type="as:historyType"/>
1508
          </xsd:sequence>
1509
       </xsd:group>
```

```
1510
1511
       <xsd:group name="factoryPropertiesGroup">
1512
          <xsd:sequence>
1513
             <xsd:element name="Key" type="xsd:anyURI"/>
1514
             <xsd:element name="Name" type="xsd:string"/>
1515
             <xsd:element name="Subject" type="xsd:string"/>
1516
             <xsd:element name="Description" type="xsd:string"/>
1517
             <xsd:element name="ContextDataSchema" type="as:ContextDataType"/>
1518
             <xsd:element name="ResultDataSchema" type="as:ResultDataType"/>
1519
             <xsd:element name="Expiration" type="xsd:duration"/>
1520
          </xsd:sequence>
1521
       </xsd:group>
1522
1523
       <xsd:group name="observerPropertiesGroup">
1524
          <xsd:sequence>
1525
             <xsd:element name="Key" type="xsd:anyURI"/>
1526
          </xsd:sequence>
1527
       </xsd:group>
1528
1529
       <!-- ===== messages ===== -->
1530
1531
       <xsd:element name="GetPropertiesRq"/>
1532
1533
       <xsd:element name="GetPropertiesRs">
1534
          <xsd:complexType>
1535
             <xsd:choice>
1536
                <xsd:group ref="as:instancePropertiesGroup"/>
1537
                <xsd:group ref="as:factoryPropertiesGroup"/>
1538
                <xsd:group ref="as:observerPropertiesGroup"/>
1539
             </xsd:choice>
1540
          </xsd:complexType>
1541
      </xsd:element>
1542
1543
       <xsd:element name="SetPropertiesRq">
1544
          <xsd:complexType>
1545
             <xsd:sequence>
1546
                <xsd:element name="Subject" type= "xsd:string"/>
1547
                <xsd:element name="Description" type="xsd:string"/>
1548
                <xsd:element name="Priority" type="xsd:int"/>
1549
                <xsd:element name="Data">
1550
                   <xsd:complexType>
1551
                      <xsd:sequence>
1552
                         <xsd:any namespace="##any" processContents="lax"</pre>
1553
                          minOccurs="0" maxOccurs="unbounded"/>
1554
                      </xsd:sequence>
1555
                   </xsd:complexType>
1556
                </xsd:element>
1557
             </xsd:sequence>
1558
          </xsd:complexType>
1559
       </xsd:element>
1560
1561
       <xsd:element name="SetPropertiesRs">
1562
         <xsd:complexType>
1563
            <xsd:choice>
               <xsd:group ref="as:instancePropertiesGroup"/>
1564
1565
               <xsd:group ref="as:factoryPropertiesGroup"/>
1566
               <xsd:group ref="as:observerPropertiesGroup"/>
```

```
1567
            </xsd:choice>
1568
         </xsd:complexType>
1569
       </xsd:element>
1570
1571
       <xsd:element name="SubscribeRq">
1572
          <xsd:complexType>
1573
             <xsd:sequence>
1574
                <xsd:element name="ObserverKey" type="xsd:anyURI"/>
1575
             </xsd:sequence>
1576
          </xsd:complexType>
1577
       </xsd:element>
1578
1579
       <xsd:element name="SubscribeRs"/>
1580
1581
       <xsd:element name="UnsubscribeRq">
1582
          <xsd:complexType>
1583
             <xsd:sequence>
1584
                <xsd:element name="ObserverKey" type="xsd:anyURI"/>
1585
             </xsd:sequence>
1586
          </xsd:complexType>
1587
       </xsd:element>
1588
1589
       <xsd:element name="UnsubscribeRs"/>
1590
1591
       <xsd:element name="CreateInstanceRq">
1592
          <xsd:complexType>
1593
             <xsd:sequence>
                <xsd:element name="StartImmediately" type="xsd:boolean"/>
1594
1595
                <xsd:element name="ObserverKey" type="xsd:anyURI"</pre>
1596
                 minOccurs="0"/>
1597
                <xsd:element name="Name" type="xsd:string" minOccurs="0"/>
1598
                <xsd:element name="Subject" type="xsd:string" minOccurs="0"/>
1599
                <xsd:element name="Description" type="xsd:string"</pre>
1600
                 minOccurs="0"/>
1601
                <xsd:element name="ContextData">
1602
                   <xsd:complexType>
1603
                      <xsd:sequence>
1604
                          <xsd:any namespace="##any" processContents="lax"</pre>
1605
                           minOccurs="0" maxOccurs="unbounded"/>
1606
                      </xsd:sequence>
1607
                   </xsd:complexType>
1608
                </xsd:element>
1609
             </xsd:sequence>
1610
          </xsd:complexType>
1611
       </xsd:element>
1612
1613
       <xsd:element name="CreateInstanceRs">
1614
          <xsd:complexType>
1615
             <xsd:sequence>
1616
                <xsd:element name="InstanceKey" type="xsd:anyURI"/>
1617
             </xsd:sequence>
1618
          </xsd:complexType>
1619
       </xsd:element>
1620
1621
       <xsd:complexType name="FilterType">
1622
          <xsd:simpleContent>
1623
             <xsd:extension base="xsd:string">
```

```
1624
                <xsd:attribute name="filterType" type="xsd:NMTOKEN"/>
1625
             </xsd:extension>
1626
          </xsd:simpleContent>
1627
      </xsd:complexType>
1628
1629
       <xsd:element name="ListInstancesRq">
1630
          <xsd:complexType>
1631
             <xsd:sequence>
1632
                <xsd:element name="Filter" type="as:FilterType">
1633
                </xsd:element>
1634
             </xsd:sequence>
1635
          </xsd:complexType>
1636
       </xsd:element>
1637
1638
       <xsd:element name="Instance">
1639
          <xsd:complexType>
1640
             <xsd:sequence>
1641
                <xsd:element name="InstanceKey" type="xsd:anyURI"/>
1642
                <xsd:element name="Name" type="xsd:string" minOccurs="0"/>
1643
                <xsd:element name="Subject" type= "xsd:string" minOccurs="0"/>
1644
                <xsd:element name="Priority" type="xsd:int" minOccurs="0"/>
1645
             </xsd:sequence>
1646
          </xsd:complexType>
1647
       </xsd:element>
1648
1649
      <xsd:element name="ListInstancesRs">
1650
          <xsd:complexType>
1651
             <xsd:sequence>
1652
                <xsd:element ref="as:Instance" maxOccurs="unbounded"</pre>
1653
                 minOccurs="0"/>
1654
             </xsd:sequence>
1655
          </xsd:complexType>
1656
      </xsd:element>
1657
1658
       <xsd:element name="CompletedRq">
1659
          <xsd:complexType>
1660
             <xsd:sequence>
1661
                <xsd:element name="InstanceKey" type="xsd:anyURI"/>
                <xsd:element name="ResultData">
1662
1663
                   <xsd:complexType>
1664
                       <xsd:sequence>
1665
                          <xsd:any namespace="##any" processContents="lax"</pre>
1666
                           minOccurs="0" maxOccurs="unbounded"/>
1667
                       </xsd:sequence>
1668
                   </xsd:complexType>
1669
                </xsd:element>
1670
             </xsd:sequence>
          </xsd:complexType>
1671
1672
       </xsd:element>
1673
1674
       <xsd:element name="CompletedRs"/>
1675
1676
       <xsd:element name="ChangeStateRq">
1677
          <xsd:complexType>
1678
             <xsd:sequence>
1679
                <xsd:element name="State" type="as:stateType"/>
1680
             </xsd:sequence>
```

```
1681
          </xsd:complexType>
1682
       </xsd:element>
1683
1684
      <xsd:element name="ChangeStateRs">
1685
          <xsd:complexType>
1686
             <xsd:sequence>
1687
                <xsd:element name="State" type="as:stateType"/>
1688
             </xsd:sequence>
1689
          </xsd:complexType>
1690
      </xsd:element>
1691
1692
      <xsd:element name="StateChangedRq">
1693
          <xsd:complexType>
1694
             <xsd:sequence>
1695
                <xsd:element name="State" type="as:stateType"/>
1696
                <xsd:element name="PreviousState" type="as:stateType"/>
1697
             </xsd:sequence>
1698
          </xsd:complexType>
1699
      </xsd:element>
1700
1701
       <xsd:element name="StateChangedRs"/>
1702
1703
       </xsd:schema>
1704
1705
```

# 1706 Appendix B. Acknowledgments

- The following individuals were members of the committee during the development of this specification:
- 1709 Jeffrey Ricker
- 1710 Keith Swenson, Fujitsu
- 1711 Moshe Silverstein, iWay Software
- 1712 John Fuller, for EasyASAP
- 1713 Jeff Cohen, for .Net ASAP
- 1714 A number of people have participated in the development of this document and the related ideas
- 1715 that come largely from earlier work:
- 1716 Mike Marin, FileNET
- 1717 Edwin Kodhabakchien, Collaxa Inc.
- 1718 Dave Hollingsworth, ICL/Fujitsu
- 1719 Marc-Thomas Schmidt, IBM
- 1720 Greg Bolcer, Endeavors Technology, Inc
- Dan Matheson, CoCreate
- George Buzsaki and Surrendra Reddy, Oracle Corp.
- 1723 Larry Masinter, Xerox PARC
- 1724 Martin Adder
- 1725 Mark Fisher, Thomson
- 1726 David Jakopac and David Hurst, Lisle Technology Partners
- 1727 Kevin Mitchell
- 1728 Paul Lyman, United Technologies
- 1729 Ian Prittie
- 1730 Members of the Workflow Management Coalition
- 1731 And many others....

# 1732 Appendix C. Revision History

Rev	Date	By Whom	What
wd-01d	2003-09-09	Jeffrey Ricker	Draft for first meeting
wd-01e	2004-04-22	Mayilraj Krishnan	Draft for Publishing
Wd-01 f	2004-06-01	Mayilraj Krishnan	Schema and Minor changes

1733

# 1734 Appendix D. Notices

- 1735 OASIS takes no position regarding the validity or scope of any intellectual property or other rights 1736 that might be claimed to pertain to the implementation or use of the technology described in this 1737 document or the extent to which any license under such rights might or might not be available; 1738 neither does it represent that it has made any effort to identify any such rights. Information on 1739 OASIS's procedures with respect to rights in OASIS specifications can be found at the OASIS 1740 website. Copies of claims of rights made available for publication and any assurances of licenses 1741 to be made available, or the result of an attempt made to obtain a general license or permission 1742 for the use of such proprietary rights by implementors or users of this specification, can be 1743 obtained from the OASIS Executive Director.
- OASIS invites any interested party to bring to its attention any copyrights, patents or patent applications, or other proprietary rights which may cover technology that may be required to implement this specification. Please address the information to the OASIS Executive Director.
- 1747 Copyright © OASIS Open 2003. All Rights Reserved.
- 1748 This document and translations of it may be copied and furnished to others, and derivative works 1749 that comment on or otherwise explain it or assist in its implementation may be prepared, copied, 1750 published and distributed, in whole or in part, without restriction of any kind, provided that the 1751 above copyright notice and this paragraph are included on all such copies and derivative works. 1752 However, this document itself does not be modified in any way, such as by removing the 1753 copyright notice or references to OASIS, except as needed for the purpose of developing OASIS 1754 specifications, in which case the procedures for copyrights defined in the OASIS Intellectual 1755 Property Rights document must be followed, or as required to translate it into languages other 1756 than English.
- The limited permissions granted above are perpetual and will not be revoked by OASIS or its successors or assigns.
- This document and the information contained herein is provided on an "AS IS" basis and OASIS
  DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO
  ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE
  ANY RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A
- 1763 PARTICULAR PURPOSE.