

Y.K. Agarwal &
Associates



Mission Statement

We believe:

Success: Your Success is our Business.

Change is the only permanent thing in life. It is therefore, important for us to always remain abreast with changes in business environment and law affecting accounting and taxation.

Knowledge is never complete. It is our belief a human being remains a student throughout life. We are always strive to assimilate the wealth of knowledge.

Knowledge is most essential and important in profession. In our profession, knowledge of legal aspects and its application is vital. It is therefore, our mission to be a powerhouse in knowledge in the field of accounting and fiscal laws.

Knowledge is not complete unless it is shared with others. It is therefore, our endeavor to share whatever knowledge we have with all.

- ◆ We always make efforts to complete the assignment on time.

Particulars

Name of the firm	:	Y.K. Agarwal & Associates <i>Chartered Accountants</i>
Principal Offices At:	:	09, Civil Lines, Bareilly
Email	:	caykagarwal@yahoo.com
ICAI Registration No.	:	ICAI – 0013402C
PAN No.	:	AACFY0770B
C & A G Registration No.	:	CR3221
Details of Partners	:	CA. Yogesh Kumar Agarwal, FCA CA. Palash Agarwal, ACA
Team Members – Professionals	:	Er. Vivek Krishna B.Tech , M.B.A (Govt. Approved Valuer) Shubham Shukla, C.S. Anil. B. Mathur Advocate Rajendra Khanna Advocacte
Number of Partners	:	02 (Two)
Number of Professional Staff	:	10 (Five)
Junior Staff	:	08 (Five)

Introduction

The firm “**Y.K. Agarwal & Associates**” was set up in the year 2006 by CA. Yogesh Kumar Agarwal who has more than 22 years of experience in the profession.

Y.K. Agarwal & Associates strives to provide quality services in the areas of Audit, Investigation, Due Diligence, Accounting outsourcing, Taxation (Direct and Indirect), Company Law Matters for both domestic and foreign companies, Management Consultancy, Fixed Assets Verification, Information System Audit and FEMA.

- ☐ The firm is well geared to take up any professional assignments in the above areas. It has association with firms of Chartered Accountants, Management Consultants, Company Secretaries and I T Professionals etc. to provide complete range of services.
- ☐ The partners of the firm having specialised knowledge and experience head each service department.
- ☐ The firm is in the panel of C & A G in the category of “**Chartered Accountant firms qualifying for major Public Sector Undertakings Audit**”.
- ☐ In line with the Mission Statement, we always strive to acquire more and more knowledge in such ever changing laws.

Value Addition

The firm strives to position itself in the given fields as a customized service provider as per the business requirement by working as follows: -

Right Team, Right Experience

Planning the business resources coupled with compliances of law has become a challenge today. The above requires extensive theoretical knowledge and practical experience. The partners of Y.K. Agarwal & Associates and their associates have sound theoretical knowledge and vast practical experience. By sharing their knowledge and practical experience with the team members and clients, we ensure effective and timely redressed of issues arising on routine basis or that can arise later.

The partners are well versed with company law (including accounting standards), Indirect Taxes and Direct Taxes. They are in a position to provide efficient, effective and cost effective professional service in the above area.

The firm is in a position to take up assignments of Statutory audit, Internal audit, management audit, compliance audit, direct and indirect taxation, specially consulting in the field of Income Tax, Goods And Service tax and business process outsourcing.

The firm has taken many assignments of field Investigation and Certifications for Banks and NBFC.

The experience of the partners and other team members of the firm will support the clients in the best possible manner with cost optimization.

Services Rendered

The firm has identified the following critical parameters for an organization's success, which range from optimum utilization of resources to legal compliances. Based on the diverse requirements of business, the firm is in a position to provide the following services to enable the organizations meet their objectives: -

BUSINESS START UP

- Formation of a Legal entity (LLP, Co., OPC. & Partnership Etc)
- Legal Drafting.
- Special Economic Zone.
- Business Advisory.
- Various other registrations for startups under various laws.

Audits

- Tax Audits.
- Internal & Statutory Audits of Indian Companies & Foreign operations in India.
- Stock & Book Debt Audits.
- System Audits.
- Investigations & Special Audits as required by the management. Fixed
- Assets Verification etc

Taxation

- Tax planning & Filing of Returns for all entities viz., Individuals, HUF's, Firms, Companies, and Trusts etc.
- Transfer Pricing Certification under the (Indian) Income Tax Act, 1961.
- Income Tax Consultancy Work including guidance for transfer pricing, proper tax planning.
- Indirect tax advisory services - including VAT and Service Tax.
- Representing clients before tax authorities.

Company Law Matters

- Incorporation of company.
- Consultancy on Company Law matters.
- Planning for Mergers, Acquisitions, De-mergers, and Corporate re-organizations.
- Filing of annual returns and various forms, documents.
- Clause 49 review for compliance with fiscal, corporate and tax laws.
- Secretarial Matters including share transfers.
- Maintenance of Statutory records.
- Consultancy on Public/Rights/Bonus Issue of shares.
- Change of Name, Objects, Registered Office, etc.

Fund Raising

Financing is necessary at every stage of a business life cycle. We build detailed forecast models and advise on ideal & minimum size of funding required for the business, based on multiple sensitivity scenarios. We leverage on its experience in negotiating with all parties involved, to bargain the best deal for its client company and its promoters.

- Preparation Bank Loan Application
- Preparation of project report
- Preparation of CMA data
- Working Capital
- Project Finance

Due Diligence/Field Investigation

Y.K. Agarwal & Associates has been associated with State Bank Of India for Verification. Later On, We have linked up with many Banks, Private Companies for CPV (Contact Point Verification), RCU/FCU (Risk and Fraud control unit), DV (Document Verification) and Audit. Y.K. Agarwal & Associates is working hard to achieve great heights, Speed, accuracy and respect for you and your business is guaranteed. Our experience includes verification for different category of borrowers like:

- o Auto loan
- o Bank's Recent Accounts
- o Agriculture Loan
- o Business Loan Customers

- Medium Bank Loan Customers

<p>Focus of Y.K. Agarwal & Associates</p>
--

Focus on Client Satisfaction and Communication

The firm aspires to render sound professional services importance to client's satisfaction in terms of timely and effective delivery of services. The firm recognizes that open and ongoing communications with the client is imperative for ensuring timely response to the client's requirements and execution to be foremost. The firm, by utilizing the experience and expertise of the partners, has devised a risk-based audit approach to ensure that the audits, besides ensuring compliance, help in identification of critical areas where business related controls require improvements thus enabling the management to take actions to strengthen the controls. A gap between the clients' expectation and perception of the client's requirements is highly undesirable. Thus, emphasis is on defining clients' requirements, feedback and using this communication process to enhance services rendered.

Partners Detail

The Core Team

The core team of the firm comprises of Chartered Accountants, lawyers and banking professionals having diverse experience ranging from taxation, audit, business consultancy, information technology, corporate laws and financing. The profile of the partners of the firm is as follows:

CA. Yogesh Kumar Agarwal, B. Com, LLB, MBA, F.C.A.

He is in the practice for the past 22 years beginning by setting up own practice and building it up.

Thus, he has deep knowledge of departmental working at lower level up to the appellate level.

He has varied experience in all areas of Audit, Investigation, Due Diligence, Taxation (Direct and Indirect), Company Law Matters for both domestic and foreign companies, Management Consultancy, Information System Audit and FEMA.

Work with clientele includes companies (large and medium sized), firms, banks, trusts, societies, charitable and educational institutions besides few renowned individuals.

CA. Palash Agarwal B.Com, A.C.A.

More than 4 years of diverse industries experience in all areas of Audit, Investigation, Due Diligence, Taxation (Direct and Indirect), Company Law Matters for both domestic and foreign companies, Management Consultancy, Information System Audit and FEMA.

Well geared to take up any professional assignments in the above areas. It has association with firms of Chartered Accountants, Management Consultants, Company Secretaries and I T Professionals etc.

Work with clientele includes companies (large and medium sized), firms, banks, trusts, societies, charitable and educational institutions besides few renowned individuals.

Clients in Public Sector

Public Sector Undertakings

Concurrent Audit

- ☐ Allahabad Bank

Branch Statutory Auditor

- ☐ Canara Bank.
- ☐ State Bank of India.
- ☐ Punjab National Bank.
- ☐ Nainital Bank

Due Diligence/Field Investigation

- ☐ State Bank of India
- ☐ Bank Of Baroda
- ☐ Central Bank
- ☐ Allahabad Bank

Empanelment's

Comptroller & Audit General of India (C & AG) the firm's listed to conduct audits of Large PSUs

MEF Empanelment

The firm is eligible to be conduct statutory audits of PSU Banks. The firm is otherwise category 1 audit firms eligible to conduct large branches of PSU Banks.

Some of Our Clients

- ☐ Nagar Nigam, Bareilly
- ☐ Mahatma Jyotibha Phule, Rohilkhand University, Bareilly
- ☐ Bareilly Smart City Limited
- ☐ The Indian Turpentine And Rosins Limited

~ ~ ~ ~ ~

About Y. K. Agarwal & Associates

Y.K. Agarwal & Associates have begun its journey on 2001. Firstly, Y.K. Agarwal & Associates has been associated with State Bank of India for Verification. Later On, Y.K. Agarwal & Associates have linked up with many Banks, Private Companies for CPV (Contact Point Verification), RCU/FCU (Risk and Fraud control unit), DV (Document Verification) and Audit. Y.K. Agarwal & Associates is working hard to achieve great heights, Speed, accuracy and respect for you and your business is guaranteed.

THE WEALTHY EXPERIENCE INCLUDES VERIFICATION FOR DIFFERENT CATEGORY OF BORROWERS LIKE:

- Auto loan
- Bank's Recent Accounts
- Agriculture Loan
- Business Loan Customers
- Mortgage Bank Loan Customers
- Commercial Vehicle Loan
- Personal Bank Loan Customers

Why Us:

- We all guarantee accuracy in figuring out by using software's, an approved checklist for evaluating, competent staff to apply it and a compliance manager who executes a final audit.
- We guarantee impartiality in controlling conflicts by using skilled and informed staff, not really involve in the conflict, to resolve the problems by hand.
- We guarantee confidentiality regarding published client evidence by choosing utmost care that the evidence in not revealed to any third party.

OFFICES:

- 21-22 Nagar Nigam Market, Civil Lines, Bareilly, 243001
- 9 Civil Lines, Bareilly, 243001

Contact Us:

+91-94560-45855

+91-96905-55333

caykagarwal@yahoo.com

capalashagarwal@gmail.com