

Class Participation - No credit.

Huffman Encoding - Why we study it.
 Formal defⁿ
 Greedy Algorithm

Encoding: Representing symbols (in message) using binary codes 0/1.

Eg. msg = C A B D C

SYMBOL	CODES
A	00
B	01
C	10
D	11

2 bit string

Eg. In computer code (ASCII code)

A - 01000001 (65)

B - 01000010 (66)

8 bit string

"Variable Length Coding" - All codes need not be of same length.

Eg.

SYMBOL	CODES
A	0
B	100
C	101
D	11

Table →

length $\in [1, 3]$

Question - When can such encoding be useful.

Eg. freq: A-45, B-9, C-11, D-35
 in a msg of 100 char.
 $100 = 45 + 9 + 11 + 35$

$$\text{length of encoded msg.} = 45(1) + 9(3) + 11(3) + 35(2) = 175$$

12% improvement

If we were using 00, 01, 10, 11 as encoding, then length = 200
 len = 2

Is there ambiguity?

... = 101000011 ...

msg. = C A B A D



To take care of ambiguity we ensure

if $(x_1 \dots x_k)$ is CODE-WORD, then no prefix of it is CODE-WORD

Prefix Encoding : def

Eg Country call nos.

+91 -

+91 - India

+1 - USA. (10 digit)

+19 - Not a country code.

(9 digit)

Ambiguity

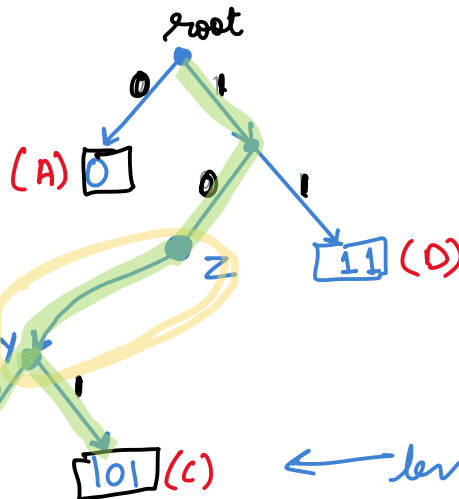
Huffman Encod-

⊗ JPEG/MP3 compress

⊗ Zipping a file

Tree Representation

CODES	
A	0
B	100
C	101
D	11



Property of Prefix

→ all symbols must be leaf nodes

Problem:

(B) 100 (C) 101 ← length = 4 → 3

Given: Symbols $(a_1 \dots a_n)$ with freq. vector $F = (f_1 \dots f_n)$

Find: Prefix encoding for which encoded msg has 'min'

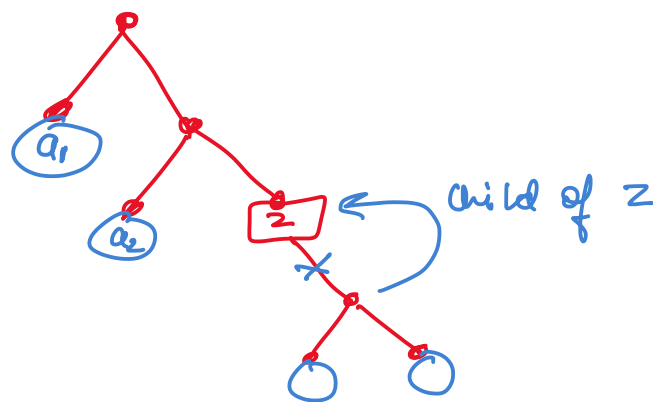
A binary tree T with leaves $(a_1 \dots a_n)$ such that

$$\left(\sum_{i=1}^n (f_i) (\text{depth of } a_i \text{ in } T) \right) \text{ be } m$$

Property 1: Each internal node should have 2 children

Proof: By contradiction

Take a tree T which is not complete & let internal node of degree 1.



All leaf
→ still ok
 $\sum_{i=1}^n f_i \times$
is ok

Ques: Is it necessary that one child of each no

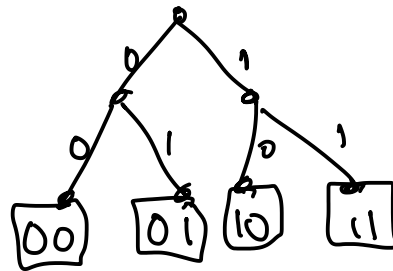
No.

A - 25

B - 25

C - 25

D - 25



Property 2: If $f_1 \geq f_2 \geq \dots \geq f_n$. Then in a

(i) $\text{depth}(a_1) \leq \text{depth}(a_2) \leq \dots \leq \text{depth}(a_n)$

(ii) $\text{depth}(a_n) = \text{depth}(a_{n-1})$

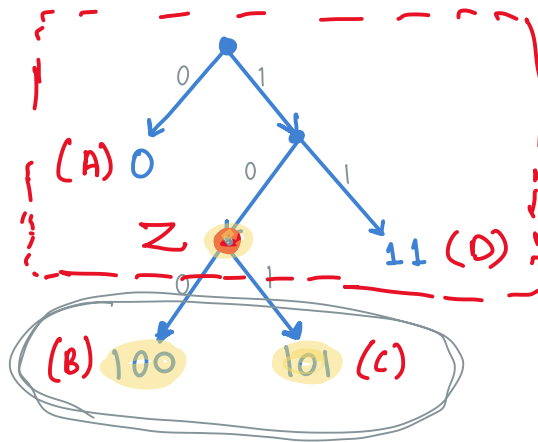
Proof of (ii): Both children of parent (a_n)

MAK -

are leaf nodes, & their
correspond to two minimum frequencies | depth

CODES	
A	0
B	100
C	101
D	11

Z



(F)

A	- 45
B	- 9
C	- 11
D	- 35

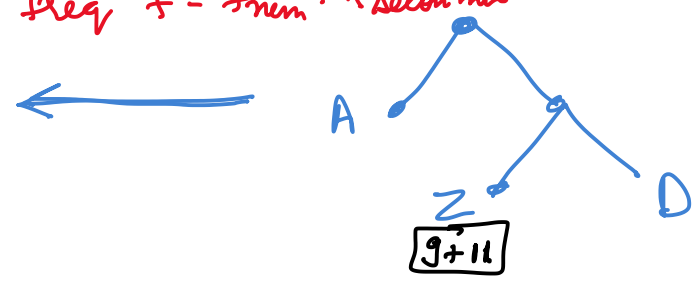
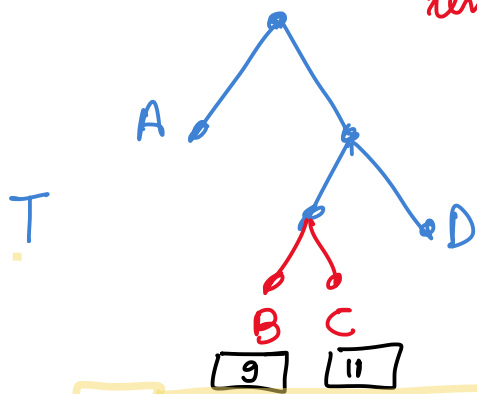
Greedy strategy

Take minimum
2 freq & replace
them with new
freq $F = f_{\min} + f_{\text{second min}}$

F'

A	- 45
Z	- 20
D	- 35

tree T'



$$|\text{encoded-msg}(F)| = |\text{encoded-msg}(F')| + (1) \text{ (diff in 1)}$$

H.W. - Correctness of this algo.

Theorem: If a_{n-1}, a_n have least frequency, then
problem

$\square^* - \square_{11} < 0 \quad 0 \quad 2 \quad \backslash \quad \backslash \quad 5 \quad 0 \quad 0$

$$F = (F \cup \{t_n + t_{n-1}\}) \setminus \{t_n, t_{n-1}\}$$

$$\text{opt}(F) = \text{opt}(F^*) + (t_{n-1} + t_n).$$

H.W. - Find an $O(n \log n)$ time implementation.