

CLASS RECORDING: 60% attendance ( $\geq 80$ )

Tutorials: M/T/Th/Fri 1-1:50 PM

## Minimum Spanning Tree

Given: A connected graph  $G=(V,E,wt)$  where  $wt: E \rightarrow \mathbb{R}$

Find: A spanning tree  $T$  of  $G$  such that  

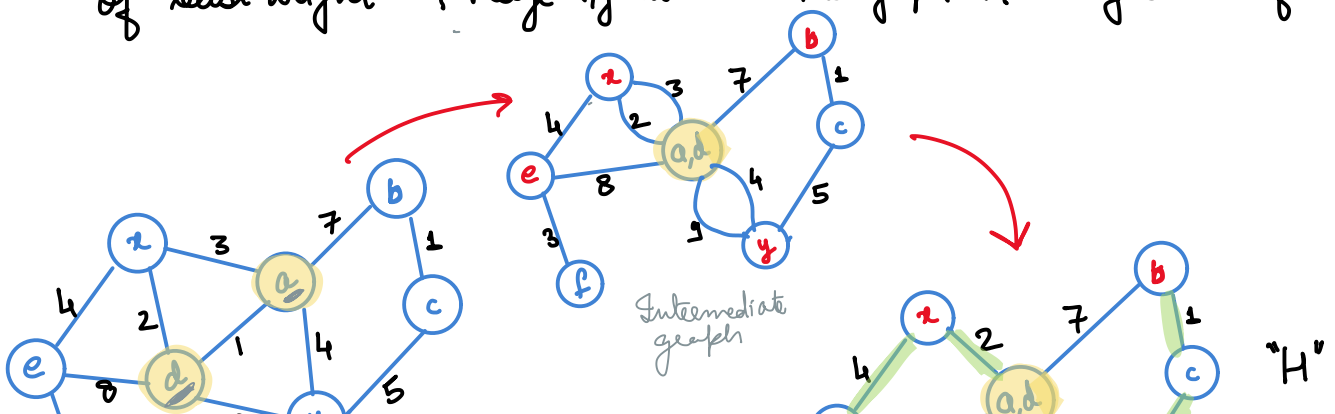
$$\sum_{e \in T} wt(e) \text{ is minimized.}$$

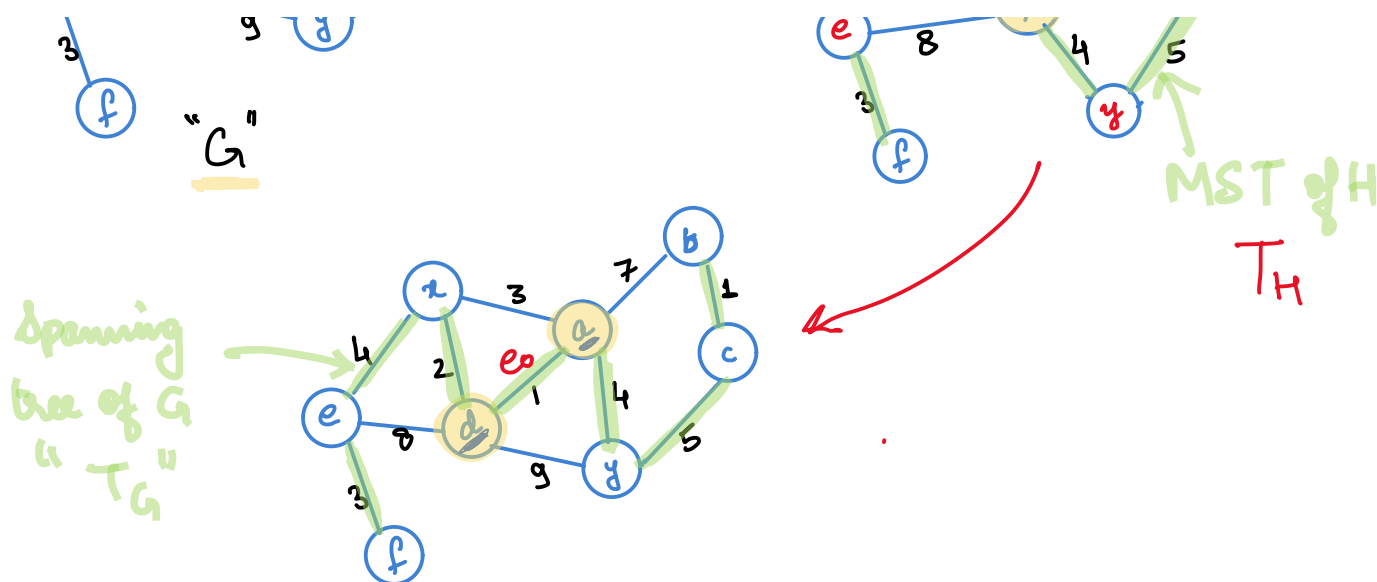
### Observation 1 (Last class):

If  $e_0=(x,y)$  is edge of least weight, then there exists at least one Minimum Spanning Tree (MST) of  $G$  that contains " $e_0$ ".

### Greedy Algo 1:

- ① Compute  $e_0=(x,y)$  of least weight
- ② Put  $e_0=(x,y)$  in sol<sup>n</sup>. Merge  $x,y$  to create new graph  $H$
- ③ Find MST of  $H$  and use it to get MST of  $G$ .





### Analysis of Time Complexity

$$T(n) = T(n-1) + \underbrace{O(m)}$$

- finding min weight edge
- Finding  $H$  from  $G$
- Finding  $T_G$  from  $T_H$

$$\Rightarrow T(n) = O(m \cdot n).$$

### Correctness Proof (To show $\text{wt}(T_G)$ is optimal)

**Observation:**  $\text{wt}(T_G) = \text{wt}(T_H) + \text{wt}(e_0) = \text{OPT}(H) + \text{wt}(e_0)$

So to show  $\text{wt}(T_G) = \text{OPT}(G)$ , it suffices to show  
 $\text{"OPT}(G) = \text{opt}(H) + \text{wt}(e_0)\text{"}$

$$(1) \text{opt}(G) \leq \text{opt}(H) + \text{wt}(e_0)$$

H.W.

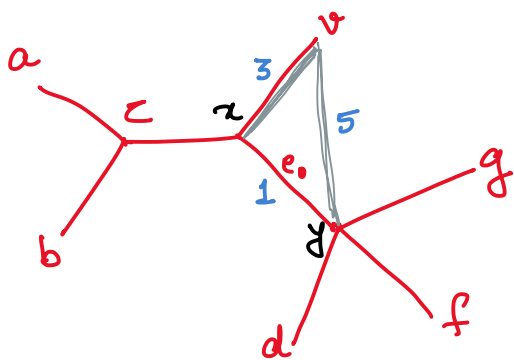
$$\textcircled{2} \text{opt}(H) \leq \text{opt}(G) - \text{wt}(e_0)$$

let  $T_0$  be opt spanning tree of  $G$  having  $e_0$ .

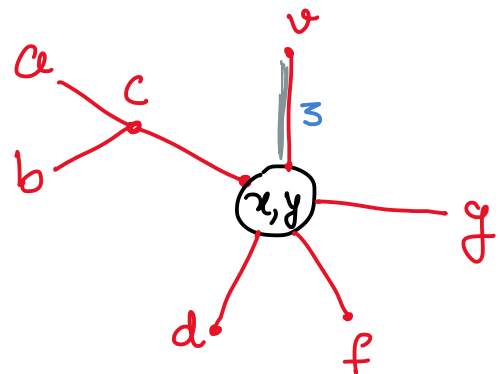
(It was shown in last class such  $T_0$  exists).

let  $T$  be obtained from  $T_0$  by  
merging endpoints  $x$  and  $y$  of  $e_0$ .

Claim 1:  $T$  is spanning tree of  $H$



MST  $T_0$  of graph  $G$



Tree  $T$  in  $H$

Claim 2:  $\text{wt}(T) = \text{wt}(T_0) - \text{wt}(e_0)$ .

Proof:

(i) By Def<sup>n</sup> of  $H$ : For any  $v$  neighbor of both  $x, y$  in  $G$ ,  
 $\text{wt}_H(v, \{x, y\}) = \text{Min}(\text{wt}_G(v, x), \text{wt}_G(v, y))$

(ii) By def<sup>n</sup> of MST: For any  $v$  neighbor of both  $x, y$  in  $G$

(ii) ~~if  $(v, x)$  and  $(v, y)$  are both in  $MST$  of  $G$ , then~~  
 if  $(v, x)$  or  $(v, y)$  lie in  $MST$   $T_0$  of  $G$ , then

Both  
 $(v, x)$  &  $(v, y)$   
 can't lie in  $T_0$   
 (why)?

$$wt_G(v, x) \leq wt_G(v, y) \Rightarrow (v, x) \in MST$$

$$wt_G(v, y) \leq wt_G(v, x) \Rightarrow (v, y) \in MST$$

$$(i) \text{ and } (ii) \Rightarrow wt(T) = wt(T_0) - wt(e_0)$$

As  $T$  is spanning tree of  $H$  with weight " $wt(T_0) - wt(e_0)$ ",  
 we get,  $OPT(H) \leq wt(T_0) - wt(e_0)$

So, ① and ② implies  $opt(G) = opt(H) + wt(e_0)$

\_\_\_\_\_ x \_\_\_\_\_ x \_\_\_\_\_

## Algorithm 2

Main Idea: Keep edges of smaller weight in the tree.

### ALGO

① Sort edges of  $G$  in non-decreasing order of weight

$$wt(e_1) \leq wt(e_2) \leq \dots \leq wt(e_m)$$

② Set  $T = (V, \emptyset)$

③ For  $i = 1$  to  $m$ :

Invariant:  
 $T$  is acyclic

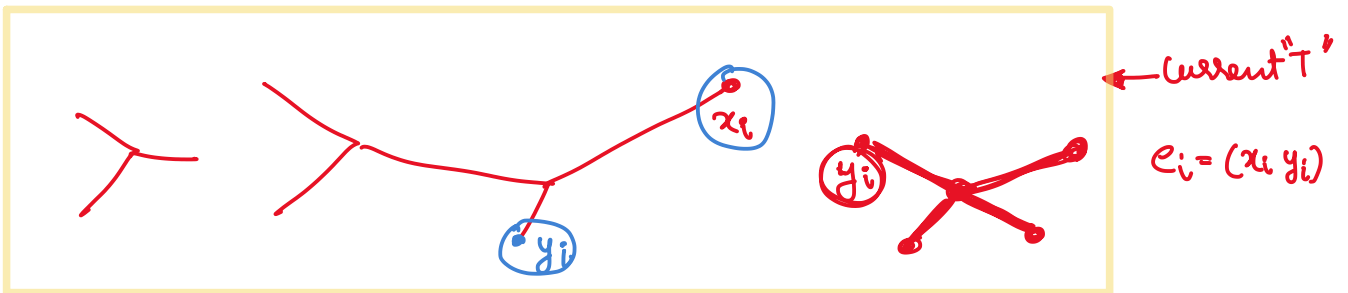
If  $(T \cup e_i)$  is acyclic : add  $e_i$  to  $T$

④ Return  $T$ .

Time complexity :  $O(m \log n + m \cdot \overbrace{n}^{\substack{\uparrow \\ \text{Edges in } T}}}) = O(mn)$

⑤ Improve this to  $O(m \log n)$

$\uparrow$   
Edges in  $T$



Time of tree traversal from  $y_i = O(\text{no of edges in graph } T)$   
 $= O(n-1) \quad (|\text{Edges of } T| \leq n-1)$

### Correctness Proof

① To show final  $T$  is a spanning tree (H.W.)

② Claim :  $T_0$  is MST.

Let  $\tilde{e}_1, \dots, \tilde{e}_{n-1}$  be edges in  $T_0$

$H(n-1) \Rightarrow \exists$  a MST  
with edge  
 $\tilde{e}_1, \dots, \tilde{e}_{n-1}$

Hypothesis  $H(i)$  :  $\exists$  a MST of  $G$  having edges  $\tilde{e}_1, \dots, \tilde{e}_i$ .

Proof of  $H(i)$  : Last class,  $\exists$  a MST with edge of least weight.

$H(i) \Rightarrow H(i+1)$  :

Take a MST  $T'$  having edges  $\tilde{e}_1, \dots, \tilde{e}_i$ .

have a list, say  $L$ , having nodes  $v_1, \dots, v_n$

Case 1 :  $e_{i+1} \in T' \Rightarrow H(i+1)$  is true

Case 2 :  $e_{i+1} \notin T'$

H.W. : Find a MST  $T''$  from  $T'$   
(New class) s.t.  $\tilde{e}_1 \dots \tilde{e}_i \tilde{e}_{i+1} \in T''$

## ALGO

① Sort edges of  $G$  in non-decreasing order of weight  
 $wt(e_1) \leq wt(e_2) \leq \dots \leq wt(e_m)$

② Set  $T = (\mathcal{V}, \emptyset)$

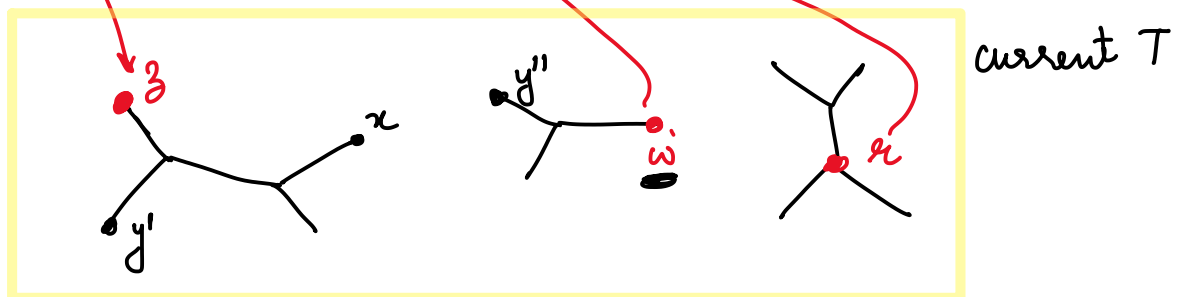
③ For  $i = 1$  to  $m$ :

If  $(T \cup e_i)$  is acyclic : add  $e_i$  to  $T$

④ Return  $T$ .

Representative element

checking this efficiently



Given 2 vertices  $x, y$  tell if  $x$  &  $y$  are connected.

$x, y'' \rightarrow$  No

$x, y' \rightarrow$  Yes

| Think :

Find ( $x$ ) =  $z$

Find ( $y$ ) =  $z$

Find ( $y'$ ) =  $w$

How can representative  
function - "Find"

be used to judge  
if 2 vertices are  
connected.