## Lecture 7 (Huffman Encoding cotd)

## 1 Theorem

Let  $F = (f_1, f_2 \cdots, f_n)$  represent frequencies of symbols  $(a_1, a_2, \cdots, a_n)$  and i, j be such that  $\exists$  an optimal tree in which  $a_i, a_j$  are siblings. Then  $F^* = (F \setminus \{f_i, f_j\}) \cup \{f^*\}$  where  $f^* = f_i + f_j$  satisfies

$$opt(F) = f_i + f_j + opt(F^*)$$

## 2 Proof

$$2.1 \quad opt(F) \le opt(F^*) + f_i + f_j$$

This is obvious from construction (too lazy to write it formally here)

$$3 \quad opt(F^*) \le opt(F) - (f_i + f_j)$$

Consider the optimal tree for F, i, j are siblings and the total length of string of  $F^*$  will be  $f_i + f_j$  lesser than the length of F since we have *atleast* one level lesser for  $f^*$ . Thus, we have an upper bound for  $opt(F^*)$ .

## 4 BFS

Discussed in the later half of the lecture