

# Computing the Length of Constraint Longest Common Subsequence

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# Outline

- ① Brief Introduction to the Problem
- ② Algorithms Description
  - Algorithm by Yin-Te Tsai
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- ③ Experimental Study
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# Brief Introduction to the Problem

## Definition (CLCS)

The **Constraint Longest Common Subsequence (CLCS)** problem is to find the longest subsequence common to all the given strings with respect to the constraint sequence. Thus, given two strings  $X$ ,  $Y$ , and a constraint string  $P$ , find the longest common subsequence  $Z$  of  $X$  and  $Y$  such that  $P$  is a subsequence of  $Z$ .

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## Example

For example, given input  $X = \text{"abc123"}$ ,  $Y = \text{"123abc"}$ , and  $P = \text{"ab"}$ , the LCS of  $X$  and  $Y$  is  $\text{"abc"}$  and  $\text{"123"}$ , while the CLCS with respect to  $P$  is  $\text{"abc"}$ .

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- Tsai's algorithm solves the problem in  $O(n^2m^2r)$  time.
- Chin's algorithm in  $O(nmr)$  time.

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- Technique

Dynamic Programming

# Algorithm by Yin-Te Tsai

Let  $L(x, y, x', y')$  denotes the length of LCS of strings  $X[x..x']$  and  $Y[y..y']$ .

Let  $L_k(i, j)$  denotes the length of CLCS of strings  $X[1..i]$  and  $Y[1..j]$  w.r.t  $P[1..k]$ .



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## Recursive Relation.

For  $1 \leq i \leq n$ ,  $1 \leq j \leq m$  and  $1 \leq k \leq r$ ,  $L_1(i, j) = L(1, 1, i - 1, j - 1) + 1$  if  $X[i] = Y[j] = P[1]$ , and  $-\infty$  otherwise.

For  $2 \leq k \leq r$ ,  $1 \leq i \leq n$ , and  $1 \leq j \leq m$ ,

$$L_k(i, j) = \begin{cases} \max\{L_{k-1}(x, y), L(x + 1, y + 1, i - 1, j - 1) + 1\} & \text{if } X[i] = Y[j] = P[k]; \\ -\infty & \text{otherwise} \end{cases} \quad (1)$$



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□

After obtaining  $L_k(\cdot, \cdot)$ , we compute the length of CLCS with the following formula:

$$|Z| = \max_{1 \leq i \leq n, 1 \leq j \leq m} \{L_r(i, j) + L(i + 1, j + 1, n, m)\} \quad (2)$$

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Example:  $X = \text{"aba"}$ ,  $Y = \text{"bab"}$ ,  $P = \text{"a"}$ .

$M_{1,1}$		b	a	b
	0	0	0	0
a	0	0	1	1
b	0	1	1	2
a	0	1	2	2

$M_{1,2}$		a	b
	0	0	0
a	0	1	1
b	0	1	2
a	0	1	2

$M_{1,3}$		b
	0	0
a	0	0
b	0	1
a	0	1

$M_{2,1}$		b	a	b
	0	0	0	0
b	0	1	1	1
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Finally, we compute the length of CLCS:

$$|Z| = \max_{1 \leq i \leq 3, 1 \leq j \leq 3} \{L_1(i, j) + L(i + 1, j + 1, 3, 3)\} = 2$$



# Algorithm by Francis Chin et al.

Given two strings  $X$ ,  $Y$  of length  $n$ ,  $m$  respectively, and a constraint string  $P$  of length  $r$ , we define  $L(i, j, k)$  as the CLCS length of  $X[1..i]$ ,  $Y[1..j]$  w.r.t  $P[1..k]$ .

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## Recursive Relation.

For any  $0 \leq i \leq n$ ,  $0 \leq j \leq m$  and  $0 \leq k \leq r$ :

$$L(i, j, k) = \begin{cases} 1 + L(i-1, j-1, k-1) & \text{if } i, j, k > 0 \text{ and } X[i] = Y[j] = P[k] \\ 1 + L(i-1, j-1, k) & \text{if } i, j > 0, X[i] = Y[j] \text{ and } (k = 0 \text{ or } X[i] \neq P[k]) \\ \max(L(i-1, j, k), L(i, j-1, k)) & \text{if } i, j > 0 \text{ and } X[i] \neq Y[j] \end{cases} \quad (3)$$

with boundary conditions,  $L(i, 0, 0) = L(0, j, 0) = 0$  and  $L(0, j, k) = L(i, 0, k) = -\infty$  for any  $0 \leq i \leq n$ ,  $0 \leq j \leq m$  and  $0 < k \leq r$ .  $\square$

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The length of CLCS of  $X$ ,  $Y$  with respect to  $P$  is given by  $L(n, m, r)$ .

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From the equation (3), the recursive relation of Chin's algorithm, we can use recursive backtracking from the relations to analysis the complexity of this algorithm.

From analysis of the computation path, we know that it takes at most  $O(n + m + r)$  steps. And we can also say that it takes  $O(n * m * r)$  time and space to build and calculate the constraint longest common sequence.

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- ② ( $X[1] \neq Y[1]$ )  $L(1, 1, 0) = \max\{L(0, 1, 0), L(1, 0, 0)\} = 0$ ;  
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- ④ ( $X[1] \neq Y[3]$ )  $L(1, 3, 0) = \max\{L(0, 3, 0), L(1, 2, 0)\} = 1$ ;  
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# Algorithm by Francis Chin

Example:  $X = \text{"aba"} , Y = \text{"bab"} , P = \text{"a"} .$

- ① (Boundary states)  $L(i, 0, 0) = L(0, j, 0) = 0$  for  $0 \leq i \leq 3, 0 \leq j \leq 3$ ;  
 $L(0, j, k) = L(i, 0, k) = -\infty$  for  $0 \leq i \leq 3, 0 \leq j \leq 3, 0 < k \leq 1$ ;
- ② ( $X[1] \neq Y[1]$ )  $L(1, 1, 0) = \max\{L(0, 1, 0), L(1, 0, 0)\} = 0$ ;  
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- ⑤ ( $X[2] = Y[1], k = 0$ )  $L(2, 1, 0) = 1 + L(1, 0, 0) = 1$ ;  
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Finally,  $|Z| = L(3, 3, 1) = 2.$

# Experimental Study

We took two steps to perform this study.

## ① **Correctness**

First we implemented the algorithms and tested the correctness of implementation with manually selected test cases and program-generated test cases.

# Experimental Study

We took two steps to perform this study.

## ① **Correctness**

First we implemented the algorithms and tested the correctness of implementation with manually selected test cases and program-generated test cases.

## ② **Performance**

Then we ran the programs with large program-generated test cases to assess the performance.

# Correctness

To test whether the algorithms were correctly implemented, we chose a few boundary cases to do white-box test, and generated a series of test cases for black-box test.

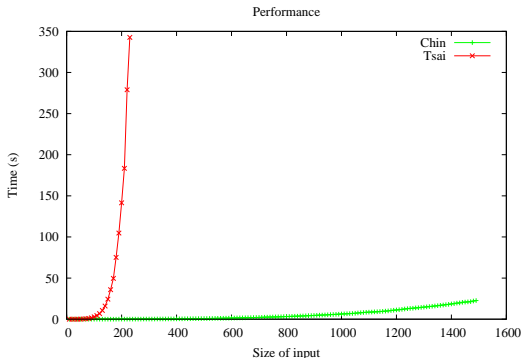
The program-generated test cases consist of all the possible combinations of the strings of length less than four. We have  $39^3 = 59319$  different test cases.

As the test cases are regularly generated, it is easy to manually find out the answers to the cases.

# Performance

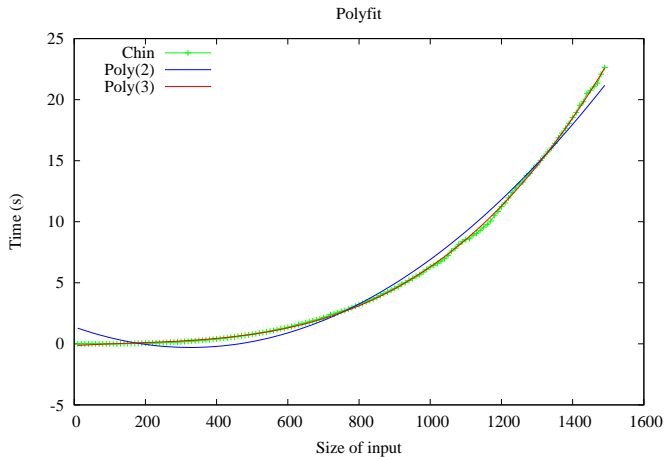
Randomly generated a series of 3-tuples as test cases. Each tuple consists of two strings and a constraint string, which are defined on the alphabet

$\Sigma = \{A, T, G, C\}$ . For the  $k$ -th tuple  $(X, Y, P)$ , the lengths of the elements satisfy the condition  $|X| = |Y| = 2|P| = 10k$ .



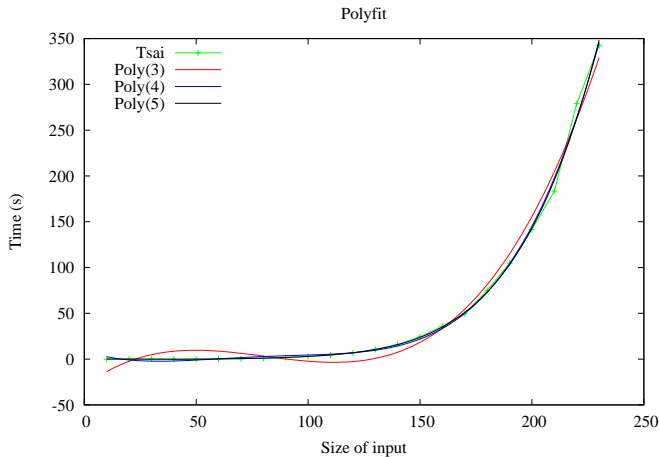
# Fitting Data with Polynomials

## Chin's algorithm



# Fitting Data with Polynomials

## Tsai's algorithm





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