

# **Easy Transparent Encryption File System**

(Minifilter Based)

ETEFS\_Mini - Version 4.2

## **Product White Paper**

## 0 Copyright notice

Please read the “license.doc” to get enough copyright information first. If you do not agree with this license term, please don’t use any software provided by ETEFS.COM.

## 1 Introduction

ETEFS\_Mini is a transparent file encryption SDK based on minifilter technology. It runs in ring 0 mode. ETEFS\_Mini can encrypt those files containing sensitive data generated by the selected applications, such as Microsoft Word, Excel and Power Point. All files are stored in encrypted form on the disk. The encryption progress is executed at background on-the-fly, and does not change the normal operation behavior of the end user. It is easy to integrate ETEFS\_Mini into your software product in a short development cycle. Besides of the core transparent file encryption functionality, ETEFS\_Mini also provides some extension functionalities that are very useful in developing data security related software product, including a file access control module and an operation event monitor module. With the help of ETEFS\_Mini, software developers can rapidly build various document security products from scratch, like DLP (Data Loss Prevent) system, document right management system and document operation auditing system, etc.

## 2 Product Benefits

### Easy to use

The API set of ETEFS\_Mini is comprised of several functions programming in “C” language exported by a DLL library. By using these functions, it’s very easy to build a basic transparent file encryption system. These API functions can also be called from other programming language, like “C#” and “VB”.

### Easy to extend

To add file encryption support for a new application is also very easy. ETEFS\_Mini provides two functions to support adding and deleting the file encryption policy. ETEFS\_Mini can support most common applications by sending correct policy.

### Provides useful extension modules

ETEFS\_Mini includes a file access control module and an operation event monitor module. The

## Easy Transparent Encryption File System

---

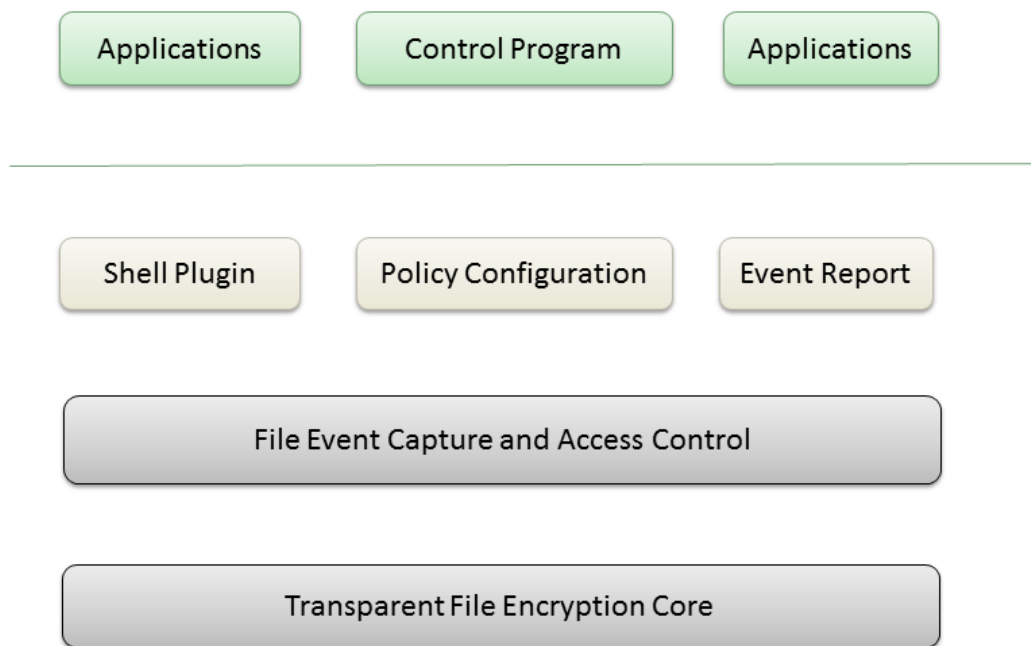
file access control module is used to restrict the operation on the currently opened file issued by the user according to the access control policy. The operation event monitor is used to capture the user's action on the encrypted file, such as "file opened" and "file closed" event, etc.

### Cut down the development cycle

Developing a driver is difficult. Developing a file system driver is even more difficult. If you want to create an engine from scratch, this may take a long development cycle and cause the loss of marketing.

## 3 System Architecture

The following diagram shows the architecture of ETEFS\_Mini:



Architecture Diagram

### Applications

The applications diagram represents the selected executable to be encrypted, such as Microsoft Word, Excel and Power Point. The document files generated by these applications will be encrypted automatically.

### **Control program**

The control program diagram represents the process sending the policy to the transparent file encryption system core and receiving the file operation event log. If this program exits, all policy set by it will not take effect.

### **Policy configuration**

The policy configuration diagram represents the module that receives the policy from control program. It stores the policy data to a file mapping object. Other modules can get the corresponding policy by reading the file mapping object.

### **Event report**

The event report module receives the operation log data from the capture module and pushes these log data to the control program. It uses the named pipe as the data transport mechanism.

### **File event capture and access control**

The functionality of this module has been described in chapter 2.

### **Transparent file encryption core**

This is the key component of ETEFS\_Mini. It implements transparent file encryption by a file system minifilter driver. It decrypts data while an application loads data from disk and encrypts data while an application writes data to disk.

## **4 Product Features**

### **Implementing in kernel mode**

The core module of ETEFS\_Mini is implemented in kernel mode. The minifilter framework is highly recommended by Microsoft. It is very convenient to intercept the file I/O requests and insert encryption module on file system stack.

### **Random file encryption key**

ETEFS\_Mini supports the random file encryption key mechanism. After enable this feature, ETEFS\_Mini will allocate a random file encryption key for each file. Using this method to encrypt file is much more safety than using fixed file encryption key.

### Custom data binding

ETEFS\_Mini adds an extended file header data to each encrypted file. A part of this header data is reservedly used by ETEFS\_Mini itself to save some basic information about encryption. Another part of this header data is free for developer. Once this area of header data is set by the developer, it is firmly bind to the encrypted file forever by ETEFS\_Mini. While editing the file, this custom data is still bonded with the encrypted file. The kind of data can be used to save the extended control information for the encrypted file.

### Manually encryption

By default, once an unencrypted file is opened by a user, it will be encrypted by ETEFS\_Mini automatically. If the developer enables “manually encryption” policy, ETEFS\_Mini will not encrypt the file automatically. Users must use the encryption tools, for example a shell menu item, provided by the developer to convert the file into encrypted state.

### Save-as encryption

When a user saves a currently opened file to a new file, the newly created file will be encrypted by ETEFS\_Mini if the currently opened file is encrypted. This mechanism is used to keep the file containing sensitive data is always in encrypted state.

### File event capture

ETEFS\_Mini supports capturing common file operation event, such as “open”, “close “and “print” etc.

### File access control

ETEFS\_Mini supports controlling the access right of the file, such as “read-only”, “disable save-as” and “print” etc.

### Faked exe checking

ETEFS\_Mini identifies the process to be encrypted by the name of the process. This mechanism is not perfect. Because some skillful users may rename an executable file to the name of the target process, these faked executable are able to get the plain data of the encrypted file. ETEFS\_Mini provides an extension feature to identify these faked executable files. To achieve this, ETEFS\_Mini checks the MD5 of the faked executable files or the digital signature if any. Once a faked

## **Easy Transparent Encryption File System**

---

executable is detected, the transparent file encryption core will stop encryption and decryption services for this faked process.

### **Application support**

ETEFS\_Mini supports transparent file encryption feature for any type of process. ETEFS\_Mini can support most common applications by sending correct policy. It may require some debugging works for these complicated applications.

### **File system support**

ETEFS\_Mini supports any type of file system only if the file system is available in windows. The supported file system list includes fastfat, ntfs, network file system, cdfs and udfs. ETEFS\_Mini is compatible with the encryption and compression feature in ntfs.

### **Cipher support**

ETEFS\_Mini integrates the XTEA and AES encryption algorithm into the transparent file encryption core. ETEFS\_Mini can support any type of block encryption algorithm by customized development.

### **OS support**

32-bit (x86) and 64-bit (X64) architectures of Windows XP and later.

## 5 Support and Services

### License type

Clients can evaluate ETEFS\_Mini for 1 month. ETEFS\_Mini supports two kinds of license term.

#### 1. SDK license

The SDK package includes these items shown in the flowing list:

- ✓ Executable binary files for both x86 and x64 Windows OS
- ✓ Header and lib files for compiling and linking
- ✓ A full source code demo project that describes the usage of ETEFS\_Mini API
- ✓ ETEFS\_Mini SDK reference.pdf
- ✓ ETEFS\_Mini user's guide.pdf

#### 2. Full source code license

The full source code package includes these items shown in the flowing list:

- ✓ Executable binary files for both x86 and x64 Windows OS
- ✓ Full source code for all modules of ETEFS\_Mini.
- ✓ ETEFS\_Mini SDK reference.pdf
- ✓ ETEFS\_Mini user's guide.pdf
- ✓ ETEFS\_Mini source code guide.pdf

#### 3. Both SDK and source code license are no limitation on number of copy installation.

### Technical support

License of ETEFS\_Mini includes one year of technical support, including questions, bug support, and access to framework maintenance updates. Licensees will also have options to secure major updates (functional enhancements) and OS upgrades as well.

### Custom development

Some clients may want to customize core components of ETEFS\_Mini to meet their product needs. In addition to providing full source license, we can be engaged to provide custom development services to modify ETEFS\_Mini to client specifications.