

# **Easy Transparent Encryption File System**

(Minifilter Based)

ETEFS\_Mini - Version 4.2

## **SDK Reference**

## 0 Copyright notice

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## 1 Introduction

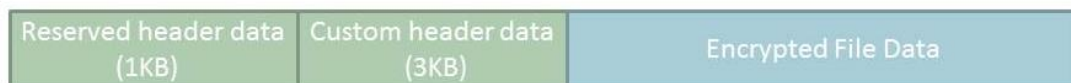
### Summary

This document is writing for developer who uses ETEFS\_Mini SDK to create their own data security related products. It will illustrate the architecture of ETEFS\_Mini and describe the API functions exported by ETEFS\_Mini in detail.

### Concept

#### ✓ Header Data

The header data is a data stream that bound to the normal data body for an encrypted file by ETEFS\_Mini. It is consist of two parts. One part is reserved by ETEFS\_Mini and another part is free for developer. The flowing diagram shows the layout of an encrypted file.



## 2 Features

The full feature list includes 4 functionality modules. They are “encryption application support”, “enhancement support for encryption”, “file event monitor” and “file access control”. We will give a table in detail for each functionality module.

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### ✓ Encryption application support

| Application Name  | Application Version                     | Comment      |
|-------------------|---|--------------|
| Notepad           | XP(SP3) Win7/Win8/Win8.1/Win10(X86/X64) | OS accessory |
| Wordpad           | XP(SP3) Win7/Win8/Win8.1/Win10(X86/X64) | OS accessory |
| Mspaint           | XP(SP3) Win7/Win8/Win8.1/Win10(X86/X64) | OS accessory |
| Office Word       | Office 2003、2007、2010、2012、2013、2016    |              |
| Office Excel      | Office 2003、2007、2010、2012、2013、2016    |              |
| Office PowerPoint | Office 2003、2007、2010、2012、2013、2016    |              |
| Foxit PDF         | Version 4.0                             |              |
| Adobe Acrobat     | Version 9.0                             |              |
| Adobe Reader      | V8.0/9.0/10.0/11.0                      |              |
| AutoCAD           | AutoCAD R14、2004、2008                   |              |

These policies for these applications are provided by ETEFS\_Mini itself. ETEFS\_Mini can support any type of application by giving a correct policy.

### ✓ Enhancement support for encryption

The flowing table shows all Enhancement polies.

| Name                | Description   | Supported application  |
|---------------------|---|------------------------|
| Random FEK          | Allocate a random file encryption key for each file               | All applications       |
| Binding custom data | Binding custom data into an encrypted file                        | All applications       |
| Encryption manually | Overrides the default on-access encryption option                 | All applications       |
| Faked exe checking  | Prevent the faked exe to read the plain data of an encrypted file | All applications       |
| Save-as encryption  | Encrypt the new file created from save-as operation               | NOTEPAD、WORDPAD、OFFICE |

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### ✓ File event monitor

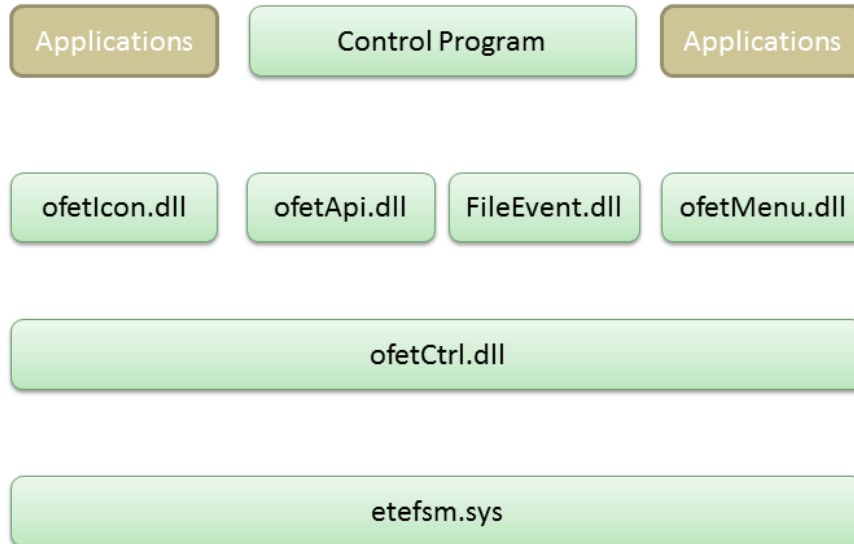
| Event Name      | Report Time  | Supported application  |
|-----------------|--|------------------------|
| QUERY_PRIVILEGE | When a file is opened, ETEFS_Mini will send this event to query access privilege for the file. | NOTEPAD、WORDPAD、OFFICE |
| FILE_PRINT      | When a user print this file  | NOTEPAD、WORDPAD、OFFICE |
| OPEN_FROM_API   | The first call of ZwCreateFile to access this file   | NOTEPAD、WORDPAD、OFFICE |
| CLOSE_FROM_WND  | When the window closed   | NOTEPAD、WORDPAD、OFFICE |
| FILE_SAVE_AS    | When a user save-as a file   | NOTEPAD、WORDPAD、OFFICE |
| FAKED_DETECTED  | When a faked exe is detected   | NOTEPAD、WORDPAD、OFFICE |

### ✓ File access control

| Event Name                     | Report Time                       | Supported application  |
|--------------------------------|-----------------------------------|------------------------|
| Read-only                      | Disable writing data to file      | NOTEPAD、WORDPAD、OFFICE |
| Disable Print                  | Disable printing file             | NOTEPAD、WORDPAD、OFFICE |
| Disable Open                   | Disable open file                 | NOTEPAD、WORDPAD、OFFICE |
| Disable copy data to clipboard | Disable coping data to clipboard  | NOTEPAD、WORDPAD、OFFICE |
| Disable SAVE-AS                | Disable SAVE-AS                   | NOTEPAD、WORDPAD、OFFICE |
| Disable Drag-and-Drop          | Disable drag data to other window | NOTEPAD、WORDPAD、OFFICE |

### 3 Architecture

The flowing diagram is the architecture of ETEFS\_Mini.



This table illustrates the function for each module.

| NO | Name          | Description   |
|----|---------------|---|
| 1  | ofetIcon.dll  | A shell plugin that overlays a small lock icon on the main icon for an encrypted file     |
| 2  | ofetMenu.dll  | A shell plugin that provides some menu items to manage the state of an encrypted file     |
| 3  | ofetApi.dll   | An interface DLL which is used to send, cancel policy and enable the enhancement features |
| 4  | FileEvent.dll | Push the event log to control program   |
| 5  | ofetCtrl.dll  | Capture file operation logs and do file access privilege control                          |
| 6  | Etefsm.sys    | Implementing the file transparent encryption feature with a minifilter driver             |

### 4 ofetApi Module

#### 4.1 Start/Stop Filtering

The developers of ETEFS\_Mini can use SetStartFiltering and GetStartFiltering to start, stop filtering and query the state. Before sending any process encryption policy you must use SetStartFiltering to set the filtering engine into running state. The proto type and parameters are shown below.

#### SetStartFiltering

| ITEM                | CONTENT  |
|---------------------|--|
| <b>Declaration</b>  | DWORD SetStartFiltering (BOOL bStart);   |
| <b>Function</b>     | Set the working state of ETEFS_Mini engine   |
| <b>Parameter</b>    | bStart – TRUE. Set ETEFS_Mini engine into running state<br>FALSE. Set ETEFS_Mini engine into stopped state   |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure.  |
| <b>Comment</b>      | You must use SetStartFiltering to set the filtering engine into running state; otherwise ETEFS_Mini will not accept any process encryption policy. |

#### GetStartFiltering

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | DWORD GetStartFiltering (BOOL* bStart);   |
| <b>Function</b>     | Query the running state of filtering engine                                       |
| <b>Parameter</b>    | bStart – an output parameter to receive the state value. If running, set to TRUE. |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure.             |
| <b>Comment</b>      | N/A   |

## 4.2 Set/Get cipher configuration

## SetDriverCryptConfig

| ITEM                | CONTENT  |
|---------------------|--|
| <b>Declaration</b>  | DWORD SetDriverCryptConfig(DWORD CihperID, char* szKeyBuffer, int nKeyLength);   |
| <b>Function</b>     | Set cipher configuration for ETEFS_Mini  |
| <b>Parameter</b>    | <p>CihperID–CIPHER_ID_XTEA. Tell ETEFS_Mini using XTEA as cipher.</p> <p>CIHPER_ID_AES. Tell ETEFS_Mini using AES as cipher.</p> <p>szKeyBuffer – pointer to the key buffer</p> <p>nKeyLength– if using XTEA, nKeyLength must be equal to 16</p> <p>if using AES, nKeyLength must be equal to 32</p> |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure.  |
| <b>Comment</b>      | N/A  |

## GetCurrentCipherID

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | DWORD GetCurrentCipherID (DWORD* CihperID);                           |
| <b>Function</b>     | Get cipher configuration currently used by ETEFS_Mini                 |
| <b>Parameter</b>    | CihperID– An output parameter to receive the Cipher ID                |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      | N/A   |

## 4.3 Set/Get random key mode

### SetRandomKeyMode

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | DWORD SetRandomKeyMode(DWORD Value);                                  |
| <b>Function</b>     | Get the encryption configuration from the encrypted file              |
| <b>Parameter</b>    | Value– Set TRUE to enable the random file encryption key feature.     |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      |   |

### GetRandomKeyMode

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | DWORD GetRandomKeyMode(DWORD* Value);                                 |
| <b>Function</b>     | Get the encryption configuration from the encrypted file              |
| <b>Parameter</b>    | Value– If random key is enables, this parameter is set to TRUE.       |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      |   |

## 4.4 Get file encryption configuration

### GetFileCryptConfig

| ITEM               | CONTENT   |
|--------------------|---|
| <b>Declaration</b> | DWORD GetFileCryptConfig(WCHAR* wszFileName, PFILE_CRYPT_CONFIG cryptConfig);           |
| <b>Function</b>    | Get the encryption configuration from the encrypted file                                |
| <b>Parameter</b>   | wszFileName- Full file path name<br>cryptConfig – Receive the encryption configuration. |



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|                     |   |
|---------------------|---|
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure.   |
| <b>Comment</b>      | <pre>typedef struct _FILE_CRYPT_CONFIG {     ULONG    dwCipherID;     ULONG    bRandomKeyEnabled;     CHAR     szFileKey[128]; }FILE_CRYPT_CONFIG,*PFILE_CRYPT_CONFIG;</pre> <p>Unless the file encryption key is correctly set, the szFileKey field will not receive the corresponding file key.</p> |

### IsEncryptedFile

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | DWORD IsEncryptedFile(WCHAR* wszFileName);                              |
| <b>Function</b>     | To check whether the file is encrypted or not                           |
| <b>Parameter</b>    | wszFileName- Full file path name  |
| <b>Return Value</b> | If encrypted, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      | N/A   |

## 4.5 Encrypting and decrypting file

### efs\_EncryptFile

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | BOOL efs_EncryptFile( WCHAR* wszFileName );                           |
| <b>Function</b>     | Encrypting a file   |
| <b>Parameter</b>    | wszFileName- Full file path name                                      |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      |   |

### efs\_EncryptFileAlone

| ITEM                | CONTENT  |
|---------------------|--|
| <b>Declaration</b>  | DWORD efs_EncryptFileAlone(WCHAR* wszFileName, int CipherID, int bUseRandomKey, char* FileKey);  |
| <b>Function</b>     | Encrypting a file with a specified key.  |
| <b>Parameter</b>    | wszFileName- Full file path name<br><br>CipherID– The cipher ID<br><br>bUseRandomKey– whether allocates a random key or not<br><br>FileKey – the file key. |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure.  |
| <b>Comment</b>      |  |

### efs\_DecryptFile

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | DWORD efs_DecryptFile(WCHAR* wszFileName);                            |
| <b>Function</b>     | Decrypting a file   |
| <b>Parameter</b>    | wszFileName- Full file path name                                      |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      | N/A   |

### efs\_DecryptFileAlone

| ITEM               | CONTENT   |
|--------------------|---|
| <b>Declaration</b> | DWORD efs_DecryptFileAlone( WCHAR* wszFileName, int CipherID, char* FileKey); |
| <b>Function</b>    | Decrypting a file with a specified key.                                       |
| <b>Parameter</b>   | wszFileName- Full file path name<br><br>CipherID– The cipher ID               |

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|                     |   |
|---------------------|---|
|                     | FileKey – the file key.   |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      | N/A   |

### 4.6 Reading and writing custom header data

#### SetCustomData

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | DWORD SetCustomData(WCHAR* wszFileName, void* CustomDataBuffer, ULONG BufferSize );                                       |
| <b>Function</b>     | Writing custom header data to an encrypted file.  |
| <b>Parameter</b>    | wszFileName- Full file path name<br>CustomDataBuffer –pointer to buffer<br>BufferSize –size of buffer, must be 1024 bytes |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure.   |
| <b>Comment</b>      | #define CUSTOM_DATA_SIZE 1024   |

#### SetCustomDataAlone

| ITEM               | CONTENT  |
|--------------------|--|
| <b>Declaration</b> | DWORD SetCustomDataAlone(WCHAR* wszFileName, PVOID CustomDataBuffer, ULONG BufferSize, int CipherID, char* FileKey, int KeyLength);  |
| <b>Function</b>    | Writing custom header data to an encrypted file with a specified key.  |
| <b>Parameter</b>   | wszFileName- Full file path name<br>CustomDataBuffer –pointer to buffer<br>BufferSize –size of buffer, must be 1024 bytes<br>CipherID – Cipher ID<br>FileKey – the file key. |

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|                     |   |
|---------------------|---|
|                     | KeyLength – length o key.   |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      | #define CUSTOM_DATA_SIZE 1024   |

### GetCustomData

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | DWORD GetCustomData(WCHAR* wszFileName, PVOID CustomDataBuffer, ULONG BufferSize );                                       |
| <b>Function</b>     | Reading custom header data from an encrypted file   |
| <b>Parameter</b>    | wszFileName- Full file path name<br>CustomDataBuffer –pointer to buffer<br>BufferSize –size of buffer, must be 1024 bytes |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure.   |
| <b>Comment</b>      | #define CUSTOM_DATA_SIZE 1024   |

### GetCustomDataAlone

| ITEM               | CONTENT   |
|--------------------|---|
| <b>Declaration</b> | DWORD GetCustomDataAlone(WCHAR* wszFileName, PVOID CustomDataBuffer, ULONG BufferSize, int CipherID, char* FileKey, int KeyLength);   |
| <b>Function</b>    | Reading custom header data from an encrypted file with a specified key.   |
| <b>Parameter</b>   | wszFileName- Full file path name<br>CustomDataBuffer –pointer to buffer<br>BufferSize –size of buffer, must be 1024 bytes<br>CipherID – Cipher ID<br>FileKey – the file key.<br>KeyLength – length o key. |

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|                     |   |
|---------------------|---|
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      | #define CUSTOM_DATA_SIZE 1024   |

### 4.7 Enable/Disable binding custom header data

#### SetCustomDataResident

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | DWORD SetCustomDataResident(DWORD dwValue );                          |
| <b>Function</b>     | Enable or disable binding custom header data                          |
| <b>Parameter</b>    | dwValue – 1 or TRUE to enable, 0 or false to disable                  |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      |   |

#### GetCustomDataResident

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | DWORD GetCustomDataResident(DWORD* dwValue );                           |
| <b>Function</b>     | Get the configuration of binding custom header data                     |
| <b>Parameter</b>    | dwValue – (output) 1 or TRUE equals enabled, 0 or false equals disabled |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure.   |
| <b>Comment</b>      |   |

### 4.8 Enable/Disable manually encryption

#### SetManualEncryptFile

| ITEM               | CONTENT                                     |
|--------------------|---|
| <b>Declaration</b> | DWORD SetManualEncryptFile(DWORD dwValue ); |

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|                     |   |
|---------------------|---|
| <b>Function</b>     | Enable or disable manually encryption                                 |
| <b>Parameter</b>    | dwValue – 1 or TRUE to enable, 0 or false to disable                  |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      |   |

### GetManualEncryptFile

|                     |   |
|---------------------|---|
| <b>ITEM</b>         | <b>CONTENT</b>  |
| <b>Declaration</b>  | DWORD GetManualEncryptFile( DWORD* dwValue );                         |
| <b>Function</b>     | Get the configuration of manually encryption                          |
| <b>Parameter</b>    | dwValue – 1 or TRUE to enable, 0 or false to disable                  |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      |   |

## 4.9 White directory configuration

### AddWhiteDir

|                     |  |
|---------------------|--|
| <b>ITEM</b>         | <b>CONTENT</b>   |
| <b>Declaration</b>  | DWORD AddWhiteDir(WCHAR* wszDir);  |
| <b>Function</b>     | Add a white directory to system. Encryption and decryption will be disabled if the target file located in a white dir. |
| <b>Parameter</b>    | wszDir – Full path name  |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure.  |
| <b>Comment</b>      |  |

### RemoveWhiteDir

|                    |                                      |
|--------------------|--------------------------------------|
| <b>ITEM</b>        | <b>CONTENT</b>                       |
| <b>Declaration</b> | DWORD RemoveWhiteDir(WCHAR* wszDir); |

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|                     |   |
|---------------------|---|
| <b>Function</b>     | Remove a white directory from system.                                 |
| <b>Parameter</b>    | wszDir – Full path name   |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      |   |

### 4.10 Loading/Unloading process encryption policy

#### AddPolicy

|                     |  |
|---------------------|--|
| <b>ITEM</b>         | <b>CONTENT</b>   |
| <b>Declaration</b>  | DWORD AddPolicy(WCHAR* wszExeName, WCHAR* wszExtNameList, ULONG nFlags );  |
| <b>Function</b>     | Adding one process encryption policy.  |
| <b>Parameter</b>    | wszExeName– process name. example “NOTEPAD.EXE”.<br>wszExtNameList– extension name list. “.TXT .DOC ” , must be end with “ ”.<br>nFlags –PROC_FLAG_ENCRYPT_ON_OPEN |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure.  |
| <b>Comment</b>      | #define PROC_FLAG_ENCRYPT_ON_OPEN 0x00000002   |

#### CancelPolicy

|                     |   |
|---------------------|---|
| <b>ITEM</b>         | <b>CONTENT</b>  |
| <b>Declaration</b>  | DWORD CancelPolicy (WCHAR* wszExeName);                               |
| <b>Function</b>     | Deleteing one process encryption policy.                              |
| <b>Parameter</b>    | wszExeName– process name. example “NOTEPAD.EXE”.                      |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      |   |

### 4.11 Built in process encryption polices

Please reference these functions name with “load or unload” prefix in the ofetapi.h file.

## 5 ofetCtrl Module

### 5.1 Start/Stop Control Module

#### StartControlModule

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | DWORD StartControlModule ( );   |
| <b>Function</b>     | Start the control module and efsKrnI                                  |
| <b>Parameter</b>    | NONE  |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      |   |

#### StopControlModule

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | DWORD StopControlModule( );   |
| <b>Function</b>     | Stop the control module and efsKrnI                                   |
| <b>Parameter</b>    | NONE  |
| <b>Return Value</b> | If succeed, returns ERR_SUCCESS an appropriate error code on failure. |
| <b>Comment</b>      |   |



## 5.2 Start/Stop event report

### SetEventReportValue

| ITEM                | CONTENT  |
|---------------------|--|
| <b>Declaration</b>  | BOOL SetEventReportValue(BOOL bValue);                       |
| <b>Function</b>     | Start or stop event reporting                                |
| <b>Parameter</b>    | bValue – 1. Start event reporting<br>0. Stop event reporting |
| <b>Return Value</b> | If succeed, returns TURE, FALSE on failure.                  |
| <b>Comment</b>      |  |

### GetEventReportValue

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | BOOL GetEventReportValue(BOOL bValue);                                    |
| <b>Function</b>     | Query the state of event reporting module                                 |
| <b>Parameter</b>    | bValue – 1. Event reporting has started<br>0. Event reporting has stopped |
| <b>Return Value</b> | If succeed, returns TURE, FALSE on failure.                               |
| <b>Comment</b>      |   |

## 5.3 Start/Stop access control module

### SetPrivilegeControlValue

| ITEM                | CONTENT  |
|---------------------|--|
| <b>Declaration</b>  | BOOL SetPrivilegeControlValue(BOOL bValue);                              |
| <b>Function</b>     | Start or stop access control module                                      |
| <b>Parameter</b>    | bValue – 1. Start access control module<br>0. Stop access control module |
| <b>Return Value</b> | If succeed, returns TURE, FALSE on failure.                              |
| <b>Comment</b>      |  |

### GetPrivilegeControlValue

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | BOOL GetPrivilegeControlValue(BOOL* bValue);  |
| <b>Function</b>     | Query the state of access control module  |
| <b>Parameter</b>    | bValue – 1. Access control module has started<br>0. Access control module has stopped |
| <b>Return Value</b> | If succeed, returns TURE, FALSE on failure.   |
| <b>Comment</b>      |   |

### 5.4 Start/Stop faked exe checking

#### SetFakedExeCheckValue

| ITEM                | CONTENT  |
|---------------------|--|
| <b>Declaration</b>  | BOOL SetFakedExeCheckValue (BOOL bValue);                          |
| <b>Function</b>     | Start or stop faked exe checking                                   |
| <b>Parameter</b>    | bValue – 1. Start faked exe checking<br>0. Stop faked exe checking |
| <b>Return Value</b> | If succeed, returns TURE, FALSE on failure.                        |
| <b>Comment</b>      |  |

#### GetFakedExeCheckValue

| ITEM                | CONTENT   |
|---------------------|---|
| <b>Declaration</b>  | BOOL GetFakedExeCheckValue (BOOL* bValue);                                      |
| <b>Function</b>     | Query the state of faked exe checking   |
| <b>Parameter</b>    | bValue – 1. faked exe checking has started<br>0. faked exe checking has stopped |
| <b>Return Value</b> | If succeed, returns TURE, FALSE on failure.                                     |
| <b>Comment</b>      |   |

### 6 Compiling and deployment

To compile ETEFS\_Mini requires WDK 7600.16385.0 and Visual Studio 2010 or above. Open ETEFS\_Mini.sln and compiles the UsingSDK project. Copy the Usingsdk.exe into program directory of ETEFS\_Mini demo install package, typically it is located in "C:\Program Files\ETEFS\_Mini".