## Fast Equilibration of Oceanic Tracers Software (FEOTS)

User's Guide and Technical Documentation

Joseph Schoonover, Wilbert Weijer, Jiaxu Zhang

The Fast Equilibration of Ocean Tracers Software (FEOTS) is a set of Fortran modules and programs for post-processing output from LANL's Parallel Ocean Program (POP). However, it is written so that it can be extended for use with other General Circulation Models. Tools are provided for aiding in the diagnosis of sparse matrices that capture advection and diffusion operators that are consistent with GCM discretizations. FEOTS additionally offers tools to make use of the diagnosed operators to run offline tracer models in forward or equilibration modes.

Many thanks to Wilbert Weijer and Matthew Hecht for their support on developing this software.

- Joseph Schoonover

## Contents

I	Use	ers Guide	1
1	Get	ting Started	3
	1.1	System Requirements and Software Dependencies	3
		NetCDF	3
	1.2	Installation	3
2	FEC	OTS Workflow and Programs	5
	2.1	GenerateMeshOnlyFile	6
	2.2	GreedyColoring	6
	2.3	IRF Generation with POP	6
	2.4	Operator Diagnosis	6
	2.5	GenMask	6
	2.6	RegionalExtraction	7
	2.7	ExtractOceanState	7
	2.8	FEOTSInitialize	7
	2.9	FEOTSDriver	7
3	Con	figuring and Running FEOTS Programs	9
	3.1	Software Acceleration	9
	3.2	The Namelist File	10
		POPMeshOptions	10
		TracerModelOptions	13
		OperatorOptions	16

CONTENTS iii

		FileOptions	17
		JFNKOptions	21
	3.3	Setting up your own example	23
		Generating Impulse Fields for IRF Generation	24
		Setting your File I/O Options	24
		Regional Simulation with Lat/Lon Bounds	24
		Regional Simulation with a User-provided Mask	25
		Initial Conditions	25
		Water Mass Tagging	25
4	Exa	mples	27
	4.1	Parent Models	27
		POP03T	27
	4.2	Global Operator Diagnosis	27
		Generating the Impulse Fields	27
	4.3	Agulhas Regional Operator Diagnosis and Passive Dye Injection	30
		Configuration	31
		Regional Operator Extraction	32
		Running the Model	33
	4.4	Global Particulate-Radionuclide	34
11	Tec	chnical Documentation	35
5	Intr	oduction	37
6	Met	thods	39
	6.1	Offline Forward Integration	39
		Volume Correction	39
	6.2	Equilibration Techniques	39
		Fixed Point Problem with JFNK	39
		Galerkin Minimization	40

iv	CONTENTS
----	----------

	6.3	Operator Diagnosis	40
7	Sup	ported Tracer Models	41
	7.1	Dye Model	41
	7.2	Settling Tracers	41
	7.3	Particulate-Radionuclide Pair	41
	7.4	Buoyant Tracers	43
Bi	bliog	raphy	45

## Part I

Users Guide

## 1 Getting Started

## 1.1 System Requirements and Software Dependencies

At a minimum, you will need 750 KB of space for the FEOTS source code and a Fortran compiler. At present, FEOTS has been tested with the GNU Fortran compiler, version 4.9.3.

#### **NetCDF**

FEOTs uses the NetCDF-Fortran API libraries for handling file I/O. For downloading and installation instructions for the NetCDF-Fortran API, visit the website

 $http://www.unidata.ucar.edu/software/netcdf/docs/building\_netcdf\_fortran.html \\ for more information.$ 

### 1.2 Installation

Currently, an online repository for FEOTS is hosted through Los Alamos National Laboratory's internally facing gitlab site (https://gitlab.lanl.gov/schoonover/FEOTS). To gain access to the repository, send an e-mail to either Joseph Schoonover (jschoonover@lanl.gov) to be given access and downloading instructions. Currently, we are in the process of open-sourcing FEOTS, at which point it will be made publicly available on GitHub.

Once you have been given access to the FEOTS repository, the source code can be cloned,

git clone git@gitlab.lanl.gov:schoonover/FEOTS.git

This creates a directory called FEOTS that has the following subdirectories:

build/	Contains the master makefile for FEOTS.
doc/	Contains this documentation.
examples/	Contains example configurations that are documented in Chapter 4
src/	Contains the source code for the FEOTS core and for interfacing with POP.  Underneath this directory, a developer would add a subdirectory for interfacing with other GCMs.

Underneath the build/ directory there is a master makefile. Within the makefile, the LIB and INC variables must be set to the library and includes paths (respectively) for your local installation of the NetCDF-C and NetCDF-Fortran API libraries.

To verify that you have configured the makefile correctly, you can run

#### make all

This will attempt to compile all of the binaries associated with FEOTS. If successful, you can clean up this directory with

#### make clean

This removes all of the .o and .mod files that were generated during compilation.

#### Important!

If you experience any issues with compiling the binaries, contact Joe Schoonover at jschoonover@lanl.gov or schoonover.numerics@gmail.com . With your e-mail include your makefile and any output from running make all.

If you have successfully compile all of the binaries, you can proceed to the Examples problems. Keep in mind that many of the example problems depend on a database of transport operators. If you do not have such a database, one needs to be generated, as outlined in the "Global Operator Diagnosis" example in Section (4.2). Will we provide a public access database??

## **2 FEOTS Workflow and Programs**

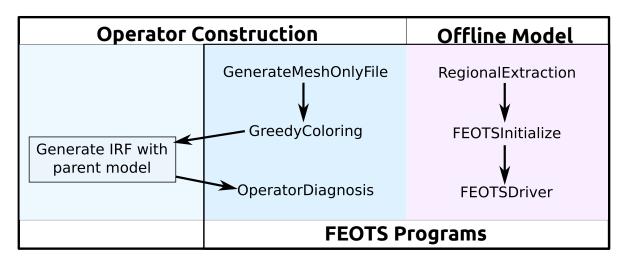


Figure 2.1: A schematic depicting the two-stage workflow of operator construction followed by the offline tracer model. To accomplish this workflow, FEOTS provides six programs for each step. The order of execution is indicated by the arrows. Prior to running the offline model, a database of transport operators must be diagnosed from a parent model.

FEOTS views the workflow for running an offline tracer model in two stages

- 1. Transport Operator Construction
- 2. Running the Offline Model

For each stage, FEOTS provides three programs that divide the workflow into incremental steps. This division of steps is purposeful and is meant to provide stopping points for the user to check for any mistakes before proceeding through the workflow.

In this section, a summary of the function of each program within the FEOTS workflow is given. Additionally, suggestions are given for

## 2.1 GenerateMeshOnlyFile

This program will take a netcdf file, output by POP, extract only the mesh information and write a the mesh to a netcdf file with an additional "mask" field needed for the GreedyColoring.

## 2.2 GreedyColoring

Generates the impulse fields given a mesh and a stencil name. Currently, only the Lax Wendroff stencil is included. The impulse fields are then written to a netcdf file for use in POP. Additionally, the adjacency graph and its coloring are written to a binary file for later use.

## 2.3 IRF Generation with POP

## 2.4 Operator Diagnosis

Uses the Adjacency graph and its coloring (generated by GreedyColoring) in addition to impulse response fields to extract the sparse matrix representation of the transport operators. Before calling this program, GreedyColoring must be called to generate impulse fields, and the impulse fields need to be passed through POP to generate the impulse response fields

## 2.5 GenMask

This program can be used to generate a mask for picking out a domain for a regional simulation. It is likely that you will need to get a copy of the source code (src/POP/programs/GenMask.f90) and modify it to suit your particular application. This source code can be placed in any example directory that you create.

## 2.6 RegionalExtraction

Given latitudinal and longitudinal boundaries, this program constructs a data structure that maps between the global mesh and a regional mesh. Masks and mappings are constructed that are used to extract the appropriate rows and columns of the sparse transport matrices. These mappings are written to a binary file for later usage. A regional POP mesh is constructed and a tracermask field is filled in to indicate boundary cells; the regional POP mesh is written to a netcdf file for user inspection. Care was taken to ensure a correct mapping occurs for regions that cross the prime-meridian. Currently the regional extraction tool has not been tested on regions around the tripole centers.

#### 2.7 ExtractOceanState

#### 2.8 FEOTSInitialize

This program is used to set the initial conditions, source terms, relaxation time scales, additional masks, and hard-set values for the tracer fields. The initial fields and other terms are written to a netcdf file (Tracer.init.nc) for user inspection, to verify the configuration before beginning the actual run.

## 2.9 FEOTSDriver

The driver program manages the call to the forward integrator or the equilibration routines, and handles file I/O. This portion is currently in testing right now

# 3 Configuring and Running FEOTS Programs

## 3.1 Software Acceleration

If you have access to multicore architectures and a Fortran compiler with OpenMP support, the FEOTSDriver can be accelerated by enabling OpenMP. To build an OpenMP accelerated binary for the FEOTSDriver, set the environment variable OMP to yes. In a bash shell,

export OMP=yes

and in tcsh

setenv OMP yes

With this variable set, executing

make FEOTSDriver

from an example directory will enable OpenMP accelerations during compilation. Before running the executable, you must set the environment variable OMP\_NUM\_THREADS to the desired number of threads.

provide scaling analysis on a few architectures and with a few additional openmp thread
 affinity options

## 3.2 The Namelist File

## **POPMeshOptions**

MeshType	
Type	Character
Description	Specifies the type of mesh used in the POP simulation. The mesh type is used to determine where periodic boundary conditions should be applied to aid in the construction of an adjacency graph and for performing regional mesh extraction.
Impacted Programs	GreedyColoring, OperatorDiagnosis, RegionalExtraction
Valid Options	"PeriodicTripole"
StencilType	
Type	Character
Description	Specifies the advection stencil used in the POP simulation. The stencil type is used to determine cell connectivity when building an adjacency graph
Impacted Programs	GreedyColoring, OperatorDiagnosis, RegionalExtraction
Valid Options	"LaxWendroff"

Regional	
Type	Logical
Description	A flag that specifies whether or not you are running a regional simulation. A regional domain, in FEOTS, is a domain that is a subset of the parent model. If Regional = .TRUE., you must also specify the bounding latitudes and longitudes.
Impacted Programs	RegionalExtraction, FEOTSInitialize, FEOTSDriver
Valid Options	.TRUE. , .FALSE.
south	
Type	Real
Description	Specifies the southern boundary of a regional domain in °N. Negative values indicate latitudes in the southern hemisphere, positive values indicate latitudes in the northern hemisphere.
Impacted Programs	RegionalExtraction
Valid Options	[-90.0,90.0], south < north
north	
Type	Real
Description	Specifies the northern boundary of a regional domain in °N. Negative values indicate latitudes in the southern hemisphere, positive values indicate latitudes in the northern hemisphere.
Impacted Programs	RegionalExtraction
Valid Options	[-90.0,90.0], north > south

west	
Type	Real
Description	Specifies the western boundary of a regional domain in °E. Negative values indicate longitudes west of the prime-meridian, positive values indicate longitudes east of the prime-meridian.
Impacted Programs	RegionalExtraction
Valid Options	[-360.0,360.0], west < east
east	
Type	Real
Description	Specifies the eastern boundary of a regional domain in °E. Negative values indicate longitudes east of the prime-meridian, positive values indicate longitudes east of the prime-meridian.
Impacted Programs	RegionalExtraction
Valid Options	[-360.0,360.0], east > west
MaskFile	
Type	Character
Description	Specifies the NetCDF file to read and write a regional mask for selecting the regional domain. When Regional is set to .FALSE. this option is ignored. When the MaskFile is set, the latitude and longitude bounds are ignored
Impacted Programs	GenMask, RegionalExtraction, FEOTSInitialize, FEOTSDriver
Valid Options	"LaxWendroff"

## **TracerModelOptions**

TracerModel		
Type	Character	
Description	Specifies the type of passive tracer model to	
	use. This influences the conditional evaluation in	
	src/solutionstorage/TracerStorage_Class.f90, subrou-	
	tine CalculateTendency_TracerStorage. If the Radionuclide	
	Model or Settling Model are used, the settling velocity needs to be	
	set.	
Impacted Programs	FEOTSInitialize, FEOTSDriver	
Valid Options	"DyeModel", "RadionuclideModel", "SettlingModel"	
WaterMassTagging		
Type	Logical	
Description	A flag that specifies whether or not you are using the water-mass	
	tagging capabilities in the code. If this feature is turned on and	
	you are running a regional configuration, you must generate a	
	database of the ocean state (temperature, salinity, density) using	
	the ExtractOceanState program.	
Impacted Programs	FEOTSInitialize, FEOTSDriver	
Valid Options	.TRUE. , .FALSE.	

settlingVelocity	
Type	Real (Double Precision)
Description	If TracerModel="RadionuclideModel" or "SettlingModel", the settling velocity is the fixed vertical velocity used to generate the vertical settling operator.
Impacted Programs	FEOTSInitialize, FEOTSDriver
Valid Options	"DyeModel", "RadionuclideModel", "SettlingModel", "Buoyant-Tracers"
nTracers	
Type	Integer
Description	The number of tracers for the offline model. If TracerModel="RadionuclideModel", this setting is ignored; the Radionuclide model supports 1 particulate and 1 radionuclide (2 tracers). For any other model, this parameter dictates how many tracer fields you want to work with. Since FEOTS operates on a shared memory parallelism, care must be taken to ensure that you do not run out of physical memory.
Impacted Programs	FEOTSInitialize, FEOTSDriver
Valid Options	≥ 1

runMode	
Type	Character
Description	Indicates whether you are running in "Forward" mode, in which transient behaviors of the tracers are desired, or "Equilibrium" mode in which an equilibrium solution is sought.
Impacted Programs	FEOTSDriver
Valid Options	"Forward", "Equilibrium"
dt	
Type	Real (Double Precision)
Description	The time step size for any forward integration. Note that this also impacts the equilibrium mode.
Impacted Programs	FEOTSDriver
Valid Options	> 0.0
nTimeSteps	
Type	Integer
Description	The number of desired time steps for running the FEOTSDriver in Forward mode. In Equilibrium mode, this option is ignored.
Impacted Programs	FEOTSDriver
Valid Options	> 0

nStepsPerDump	
Type	Integer
Description	In Forward mode, this is the number of time steps taken between each netcdf file output. In Equilibrium mode, this is the number of
	nonlinear iterations taken between each netcdf pickup file output.
Impacted Programs	FEOTSDriver
Valid Options	$\geq 1$

## **OperatorOptions**

OperatorPeriod	
Type	Real (Double Precision)
Description	The length of time (in seconds) for which each transport operator should be applied.
Impacted Programs	FEOTSDriver
Valid Options	> 0.0
nOperatorsPerCycle	
Type	Integer
Description	The number of transport operators to cycle over before repeating.  Ex.: If nOperatorsPerCycle=5, FEOTS will use the first five transport operators and continue integration by repeating over these operators.
Impacted Programs	FEOTSDriver
Valid Options	≥ 1

## **FileOptions**

extractRegionalOperators	
Type	Logical
Description	A flag used to indicate whether regional operators should be extracted. If set to .FALSE., the RegionalExtraction program will only generate a regional mesh and the local-to-global mapping. If set to .TRUE., the RegionalExtraction program will also diagnose regional transport operators.
Impacted Programs	RegionalExtraction
Valid Options	.TRUE., .FALSE.
graphFile	
Type	Character
Description	Specifies the file where the FEOTS Adjacency graph is stored for a particular parent model.
Impacted Programs	GreedyColoring, DiagnoseOperators, RegionalExtraction
Valid Options	File name with path less than or equal to 400 characters.

IRFListFile	
Type	Character
Description	Specifies the file that contains a list of all of the Impulse Response Function NetCDF files generated by the parent model. Ex:  If the environment variable IRFDIR defines the path to the IRF files, this file can be generated with the command ls \$IRFDIR > IRFfiles.txt . Then, in the namelist file (runtime.params),  IRFListFile = IRFfiles.txt, .
Impacted Programs	DiagnoseOperators, RegionalExtraction
Valid Options	File name with path less than or equal to 400 characters.
IRFStart	
Type	Integer
Description	Specifies the file that contains a list of all of the Impulse Response Function NetCDF files generated by the parent model. Ex:  If the environment variable IRFDIR defines the path to the IRF files, this file can be generated with the command ls \$IRFDIR > IRFfiles.txt. Then, in the namelist file (runtime.params),  IRFListFile = IRFfiles.txt,.
Impacted Programs	DiagnoseOperators, RegionalExtraction
Valid Options	$0 < {\tt IRFStart} \le {\tt nIRFFiles}$

nIRFFiles	
Type	Integer
Description	The number of Impulse Response Function NetCDF files gener-
	ated by the parent model. $Ex$ : If the environment variable
	IRFFiles.txt is set as the IRFListFile and the number of IRF
	files is not known a'priori, the command wc -l IRFFiles.txt will
	return the number of IRF files.
Impacted Programs	DiagnoseOperators, RegionalExtraction
Valid Options	> 0
feotsOperatorDirecto	ory
Type	Character
Description	Specifies the directory where the transport operators for a given
	parent model should be stored or read from.
Impacted Programs	DiagnoseOperators, RegionalExtraction, FEOTSDriver
Valid Options	File name with path less than or equal to 400 characters.
regionalOperatorDire	ectory
Type	Character
Description	Specifies the directory where a set of regional transport operators
	should be stored or read from.
Impacted Programs	RegionalExtraction, FEOTSDriver
Valid Options	File name with path less than or equal to 400 characters.

operatorBaseName	
Type	Character
Description	When the transport operators are written to file, a connectivity
	(.conn) and data (.data) file are written for advection and difus-
	sion operators with the same "base-name". operatorBaseName
	determines this base name. $Ex$ : If operatorBaseName
	= pop_03_tripole, then the transport operator files will
	be written in files pop_03_tripole_advect.NNNNN.data,
	<pre>pop_03_tripole_advect.NNNNN.conn,</pre>
	<pre>pop_03_tripole_diffu.NNNNN.data</pre>
	pop_O3_tripole_diffu.NNNNN.conn, where the NNNNN is an
	integer padded with zeros indicating which operator it corresponds
	to.
Impacted Programs	DiagnoseOperators, RegionalExtraction, FEOTSDriver
Valid Options	String less than or equal to 100 characters.
meshFile	
Type	Character
Description	Specifies the NetCDF file that stores the POP Mesh information
	associated with the full parent model in addition to a mask gener-
	ated from the KMT field.
Impacted Programs	GenerateMeshOnlyFile, DiagnoseOperators,
	RegionalExtraction, FEOTSInitialize, FEOTSDriver
Valid Options	File name with path less than or equal to 400 characters.

regionalMeshFile	
Type	Character
Description	Specifies the NetCDF file that stores a regional mesh with its mask
	for a given parent model and regional configuration.
Impacted Programs	RegionalExtraction, FEOTSInitialize, FEOTSDriver
Valid Options	File name with path less than or equal to 400 characters.

## **JFNKOptions**

maxItersJFNK	
Type	Integer
Description	Specifies the maximum number of outer, nonlinear, iterations for the "Newton part" of the JFNK solver.
Impacted Programs	FEOTSDriver
Valid Options	> 0
toleranceJFNK	
Type	Real (Double Precision)
Description	The error tolerance (stop criteria) for the nonlinear solver.
Impacted Programs	FEOTSDriver
Valid Options	$> 0.0 \; , \; Default : \; 10^{-7}$

maxItersGMRES	
Type	Integer
Description	Specifies the maximum number of restarts for the GMRES-with-restarts inner, linear, solver; the "Krylov" part of the JNFK solver.
Impacted Programs	FEOTSDriver
Valid Options	> 0
mInnerItersGMRES	
Type	Integer
Description	Specifies the size of the Krylov subspace to search for a solution on the inner iterates of the GMRES solver.
Impacted Programs	FEOTSDriver
Valid Options	> 0
toleranceGMRES	
Type	Real (Double Precision)
Description	The error tolerance (stop criteria) for the GMRES linear solver.
Impacted Programs	FEOTSDriver
Valid Options	$>0.0$ , $Default: 10^{-7}$
JacobianStepSize	
Type	Real (Double Precision)
Description	The step size for approximating the Jacobian matrix action.
Impacted Programs	FEOTSDriver
Valid Options	$> 0.0, Default: 10^{-2}$

isPickupRun	
Type	Logical
Description	Indicates whether the equilibration run is a pickup run. If
	set to .TRUE., the FEOTSDriver will attempt to open a file
	Tracer.pickup.nc as the initial condition. If set to .FALSE., the
	FEOTSDriver will attempt to open a file Tracer.init.nc as the
	initial condition.
Impacted Programs	FEOTSDriver
Valid Options	.TRUE., .FALSE.

## 3.3 Setting up your own example

When developing your own example problem for the offline tracer model, it is easiest to copy an existing example that is most similar to your desired setup, and make modifications from there. In any situation, you will want to copy the following files from a pre-configured example:

FEOTSInitialize.f90	Fortran source code for setting up the initial conditions, source terms, masks, and hard-set tracer values.	
GenMask.f90	An optional Fortran source code for generating a regional mask.	
makefile	A local makefile that manages calls to the master makefile and assures compilation of the local FEOTSInitialize.f90 source code for the FEOTSInitialize program.	
runtime.params	Namelist file for configuring your model simulation	

#### **Generating Impulse Fields for IRF Generation**

Disclaimer: Currently, FEOTS is only setup for working with the POP Tripole Grid and the Lax-Wendroff advection scheme (7 point stencil). However, adding other grids and advection schemes is quite easy. Contact schoonover.numerics@gmail.com about adding more functionality.

#### Setting your File I/O Options

## Regional Simulation with Lat/Lon Bounds

Regional domains and transport operators can be constructed using one of two strategies:

- 1. Specifying latitude and longitude boundaries
- 2. Providing a mask in a NetCDF file

In either approach, the Regional parameter underneath the POPMeshOptions namelist must be set to .TRUE. .

The simplest method for performing a regional simulation is to specify the latitude and longitude boundaries using the south, north, west, and east parameters. In this case, the POPMeshOptions namelist within the runtime.params namelist file would look similar to the example given below

#### &POPMeshOptions

```
Regional = .TRUE.,

south = -60.0,

north = -20.0,

west = -10.0,

east = -20.0,
```

With this configuration, in addition to the File Options being configured properly, you can compile and run the RegionalExtraction program to generate the necessary files for

performing a regional experiment. By default, this program will generate a NetCDF file that contains the regional mesh and will subsample all of the transport operators. If you need to fine-tune the regional extraction and temporarily avoid extracting all of the operators, you can set the extractRegionalOperators parameter to .FALSE. under the FileOptions namelist. In this mode, the RegionalExtraction program will only create the NetCDF file corresponding to the name provided in the regionalMeshFile parameter.

## Regional Simulation with a User-provided Mask

#### **Initial Conditions**

Of these three files, only FEOTSInitialize.f90 and runtime.params need to be modified to design a new example, provided you have access to an existing set of transport operators. Within FEOTSInitialize.f90, there is one subroutine that can be modified to set up the initial tracer distribution and the source and mask terms: InitialConditions

To explain how to assign the initial conditions, a brief overview of the POP\_FEOTS data structure is needed.

## **Water Mass Tagging**

**Disclaimer**: Currently, water mass tagging is configured to work only with regional experiments. Enabling water mass tagging on a global simulation has not been tested.

The development of an experiment with water mass taggging begins at the RegionalExtraction stage of the FEOTS workflow. If your region is chosen without providing a region mask, then all of the boundary points by default are set as prescribed boundary points. This means that water mass tagging will occur at all boundary points. Alternatively, if you provide a region mask, setting grid points to -1 corresponds to that point being a prescribed point. When performing water mass tagging experiments, it is recommended that you supply a region mask as this provides you with the most control on where to prescribe tracer injections.

In addition to setting up the regional domain and performing regional operator extraction, you must compile and run the ExtractOceanState program to generate a database of NetCDF files that contain the temperature, salinity, and potential density output from

POP for your region. Successful execution of the ExtractOceanState program should result in Ocean.\*.nc files in the directory specified by regionalOperatorDirectory parameter in the runtime.params namelist file. At this point, you can run the FEOTSInitialize program.

Before running the FEOTSDriver program, there are a few options in the namelist file that need to be set.

## 4 Examples

#### 4.1 Parent Models

#### POP03T

Need documentation on the 0.3 degree POP Tripole configuration

## 4.2 Global Operator Diagnosis

FEOTS/examples/POPO3T\_OperatorDiagnosis

This example summarizes the procedure for diagnosing transport operators for use with the FEOTS Offline tracer models; it demonstrates the first stage of the FEOTS workflow.

## Generating the Impulse Fields

In the first step towards generating the transport operators, the POP model should be configured and run for a single time step to produce a NetCDF file that contains the mesh of the parent model. In this example, the parent model is POP03T, which is described in Section 4.1. The contents of the runtime.params namelist file is shown here

```
&POPMeshOptions
MeshType = 'PeriodicTripole',
StencilType = 'LaxWendroff',
/
&TracerModelOptions
```

```
&OperatorOptions
&FileOptions
                          ='/usr/projects/cesm/FastSolver/feots/
meshfile
                            database/POP_0.3_Operators_5DayAvg/POP_03deg_mesh.nc',
                          ='/usr/projects/cesm/FastSolver/feots/
graphfile
                            database/POP_0.3_Operators_5DayAvg/
                            pop_03_periodic-tripole_laxwendroff',
                          = 'pop_03_periodic-tripole',
operatorBaseName
feotsOperatorDirectory
                          ='/usr/projects/cesm/FastSolver/feots/
                            database/POP_0.3_Operators_5DayAvg/Global/',
IRFListFile
                          = 'IRFList_5dayAvg.txt',
IRFStart
                          = 1,
nIRFFiles
                          = 365,
&JFNKOptions
```

The MeshType is set to PeriodicTripole and the StencilType is set to LaxWendroff, consistent with the configuration of the POP03T parent model. The FileOptions namelist determines where the read the parent model mesh from, and where to write the adjacencey graph file and the transport operators. A description of each of the options in the namelist file are given in Sections 3.2 and 3.2.

To generate the impulse fields that will be passed to the POP model, the GreedyColoring program is used. Underneath the directory FEOTS/examples/POPO3T\_OperatorDiagnosis, run

#### make GreedyColoring

This compiles source code for generating the executable for generating the Impulse Fields.

This program is run by executing

/	Greedy	$\tau$ Col	oring
٠/	ar cea	у СОТ	שווד דט.

The output from running this program for the POP03T parent model is shown in Figure



Figure 4.1: This image shows the dye source on the southeast coast of Africa...

## 4.3 Agulhas Regional Operator Diagnosis and Passive Dye Injection

FEOTS/examples/agulhas

In this example, the equation

$$c_t + \vec{u} \cdot \nabla c = r(c_f - c) \tag{4.1}$$

is solved, where c is the concentration of a passive "dye" tracer,  $\vec{u}$  is the velocity field,  $c_f$  is a relaxation field, and r is a spatially dependent relaxation frequency. For this example, the relaxation field and frequency are specified as a Gaussian in latitude and longitude and

Table 4.1:

$c_0$	5.0
$r_0$	$2.31 \times 10^{-5} \text{ s}^{-1}$
$x_0$	$31.5^{\circ}E$
$y_0$	31.0°S
L	0.354°

independent of the vertical coordinate z,

$$c_f = c_0 \tag{4.2a}$$

$$r = r_0 e^{-\left(\frac{(x-x_0)^2 + (y-y_0)^2}{2L^2}\right)}$$
(4.2b)

where the parameters  $c_0$ ,  $r_0$ ,  $x_0$ ,  $y_0$ , and L are shown in Table 4.1 and the dye source field at the surface layer is shown in Figure 4.1.

The advection is modeled using one year repeat cycles of the 5-day averaged transport operators diagnosed from the POP03T parent model; the advection operator is diagnosed from the 2nd order Lax Wendroff advection scheme.

#### Configuration

The setup for this model can be described by the contents of the runtime.params namelist file. Here, the impact of each option in the namelists are explained. The first namelist is POPMeshOptions and is shown below.

#### &POPMeshOptions

```
MeshType = 'PeriodicTripole',
StencilType = 'LaxWendroff',
Regional = .TRUE.,
south = -60.0,
east = 50.0,
west = -10.0,
north = -20.0,
```

The mesh-type is specified as a periodic tripole mesh, to correspond to the type of mesh that is used in the POP03T parent model. The stencil-type is specifies that the advection scheme is the second order Lax-Wendroff. These options only influence the GreedyColoring and the OperatorDiagnosis programs; they do not influence the initialization and driver programs.

The "Regional" flag is set to .TRUE. to indicate that we are running a regional simulation, and the latitudinal and longitudinal boundaries are set encompass the southern tip of Africa and the Agulhas retroflection. When a regional simulation is desired, it is necessary to run the RegionalExtraction program first in order to build a database of transport operators for the specified region.

#### **Regional Operator Extraction**

When extracting regional operators, you must have global operators already diagnosed from the parent model. The FileOptions namelist is used to set up the necessary parameters for the RegionalExtraction program. Here, the relevant options are shown and discussed

```
&FileOptions
extractRegionalOperators = .TRUE.,
                          ='/usr/projects/cesm/FastSolver/feots/database/
meshfile
                            POP_0.3_Operators_5DayAvg/POP_03deg_mesh.nc',
regionalmeshfile
                          ='Agulhas_mesh.nc',
                          ='/usr/projects/cesm/FastSolver/feots/database/
graphfile
                            POP_0.3_Operators_5DayAvg/
                            pop_03_periodic-tripole_laxwendroff',
operatorBaseName
                          = 'pop_03_periodic-tripole',
                          ='/usr/projects/cesm/FastSolver/feots/database/
feotsOperatorDirectory
                            POP_0.3_Operators_5DayAvg/Global/',
regionalOperatorDirectory ='/usr/projects/cesm/FastSolver/feots/database/
                            POP_0.3_Operators_5DayAvg/Agulhas/',
```

The extractRegionalOperators flag is set to .TRUE. to indicate that we want to extract regional operators. The meshfile points to the location of a NetCDF containing the global mesh for the parent model. The regionalmeshfile points to the desired location to write out the regional mesh after it has been extracted from the global mesh. The graphfile points to the location of the FEOTS generated graph-file associated with parent model's global mesh and advection scheme; this was generated with the GreedyColoring program. feotsOperatorDirectory points to the directory that contains the global transport operators and regionalOperatorDirectory points to a directory where you will write the regional operators to hard disk.

To build the RegionalExtraction executable, run

make RegionalExtraction

Then, to run this executable

./RegionalExtration

#### **Running the Model**

After the regional operators have been extracted, the namelists TracerModelOptions and OperatorOptions must be set up to run the initialization and driver programs. The settings for these namelists are shown here:

```
&TracerModelOptions
TracerModel = 'DyeModel',
dt = 1080.0,
runMode = 'Forward',
nStepsPerDump = 80,
iterInit = 0,
nTimeSteps = 160,
/
&OperatorOptions
```

operatorPeriod = 432000.0,

```
nOperatorsPerCycle = 73,
```

In the TracerModelOptions, it is indicated that the tracer model is the Passive Dye Model. The simulation will proceed in Forward Mode, so that we can model the transient behavior of the dye spreading from the source. The integration uses a time step of 1080 seconds and every 80 time steps, an output file is written. Currently the configuration shows only 160 time steps to be done. The OperatorOptions indicate that each operator should be applied for intervals of 432,000 seconds (5 days) and we will use repeat cycles of 73 operators (1 year).

To run the model, initial conditions must first be generated. This is then followed by the driver program

```
make FEOTSInitialize FEOTSDriver
./FEOTSInitialize
./FEOTSDriver
```

### 4.4 Global Particulate-Radionuclide

# Part II

# Technical Documentation

## 5 Introduction

Biological and chemical tracers can be transported throughout the world's oceans through advection and diffusion processes. Additionally, these tracers can change their concentration/activity levels through coupled interactions that are often nonlinear. The time scale for equilibration of most oceanic passive<sup>1</sup> tracers is on the order of hundreds to thousands of years. Global simulations of the ocean are now routinely being conducted at resolutions of 10 km and smaller, for which the explicit advective CFL limit yields a time step on the order of  $\frac{1}{10}$  day. Online simulations, in which tracers are advected in tandem with forward integration of the fluid momentum equations, will typically have even more restrictive stability constraints due to the presence of other, faster modes in the momentum equations. Offline simulations do not suffer from the additional overhead associated with a full-blown GCM, though a tremendous hurdle remains in using the velocity and diffusivity fields in a manner consistent with GCM discretization and parameterizations. The Fast Equilibration of Ocean Tracers Software (FEOTS) offers the ability to overcome this hurdle by providing tools to

- 1. Aid in the diagnosis of advection and diffusion operators from online fluid simulations,
- 2. Perform offline forward integration of passive tracers, and
- 3. Rapidly equilibrate passive tracer systems through root-finding and minimization strategies.

FEOTS is inspired by the work of those before us, particularly Bardin et al. (2014), Primeau (2005) and Khatiwala et al. (2005), and has been guided into existence through

<sup>&</sup>lt;sup>1</sup>Passive, here, means that the tracer does not impact the momentum of mass balances of the fluid's governing equations

communications with Francois Primeau (UC Irvine,) Anne Bardin (UC Irvine), and Keith Lindsay (NCAR). FEOTS currently has an interface to work with the Parallel Ocean Program (POP), but has been designed to remain rather agnostic to choice in General Circulation Model. In this manual, our view of passive tracer systems are described along with a generic strategy for diagnosing transport operators online and applying them offline are given. The tools provided for working with POP are described to clearly illustrate the FEOTS workflow.

## 6 Methods

## 6.1 Offline Forward Integration

#### **Volume Correction**

### 6.2 Equilibration Techniques

The motivating problem for the offline tracer model is to obtain an "equilibrated" solution to

$$\vec{c}_t + \nabla \cdot (\vec{u}(\vec{x}, t)\vec{c}) = \vec{s}(\vec{c}, t) + \vec{f}_{mix}(\vec{c}, t), \tag{6.1}$$

where  $\vec{c}$  is a vector of passive tracers,  $\vec{u}$  is the fluid velocity field,  $\vec{s}$  is any source, sink, or tracer coupling terms, and  $\vec{f}_{mix}$  is a representation of unresolved mixing. When spatially discretized, (6.1) becomes

$$\frac{d\vec{C}}{dt} = \mathbf{A}(t)\vec{C} + \vec{S}(\vec{C}, t) \tag{6.2}$$

where  $\vec{C}$  is a vector of discrete tracer values (a vector of vectors),  $\boldsymbol{A}(t)$  is the time-depend matrix that represents the advection and mixing operators, and  $\vec{S}$  is the discretized source/sink or coupling terms.

#### **Fixed Point Problem with JFNK**

For a steady flow in the absence of time dependent sources or sinks, steady state solutions to (6.1) are well-defined. So long as there is time dependence present in any of these operators, a true steady state solution for the tracers does not exist. Primeau (2005) outlined

a problem formulation that has proven to be successful for coarse, non-eddying, resolution ocean simulations; this approach is outlined in the next section.

Given an initial condition for the discretized tracer conservation laws, (6.2) can be integrated over a time interval from  $t = t_0$  to  $t = t_0 + T$ , which gives

$$\vec{c}(t_0 + T) = \vec{c}(t_0) + \int_{t_0}^{t_0 + T} (\mathbf{A}(t)\vec{C} + \vec{S}(\vec{C}, t)) dt.$$
(6.3)

One can view this time integration as a map that transforms  $\vec{c}(t_0)$  into  $\vec{c}(t_0 + T)$ , ie,

$$\vec{M}(\vec{c}_0) = \vec{c}(t_0) + \int_{t_0}^{t_0+T} (\mathbf{A}(t)\vec{C} + \vec{S}(\vec{C}, t)) dt.$$
(6.4)

Primeau suggested that an equilibrium solution to (6.2) is defined as the fixed point of the map. It is the initial condition that, when integrated over the interval  $[t_0, t_0 + T]$ , returns back to itself. In this formulation, the problem is stated as follows:

Find the tracer state  $\vec{c}_0$  s.t.

$$\vec{M}(\vec{c}_0) = \vec{c}_0 \tag{6.5}$$

In general, the mapping function  $\vec{M}$  is nonlinear in the tracer state. In this case, it has been common practice to use the Jacobian-Free Newton Krylov (JFNK) method to approximate solutions to (6.5). However, if the source and coupling terms are linear in the tracer state, then (6.5) is linear in the tracer field and can be solved approximately with an iterative solver (e.g. GMRES, BiCGStab).

#### **Galerkin Minimization**

### 6.3 Operator Diagnosis

# 7 Supported Tracer Models

## 7.1 Dye Model

$$c_t + \vec{u} \cdot \nabla c = r(c_f - c) \tag{7.1}$$

### 7.2 Settling Tracers

$$c_t + \vec{u} \cdot \nabla c - w_s c_z = r(c_f - c) \tag{7.2}$$

#### 7.3 Particulate-Radionuclide Pair

In this section, the equations for modeling naturally occurring radionuclides in the oceans. This simplified models is motivated by the desire to better understand the relationship of sedimentary  $^{231}Pa/^{230}Th$  distributions as a function of

- 1. Particulate flux distributions
- 2. Particulate settling velocities
- 3. Particulate concentrations and scavenging efficiencies
- 4. Ocean circulation strength and patterns

However, this model can be applied to any pair of settling particulate and scavenged radionuclide pairs. In this model, particulates are modelled by a concentration field (with units of  $\frac{mass\ particles}{mass\ fluid}$ ). The particulates are assumed to have a constant vertical settling velocity given by  $w_s$ . Additionally, they can be transported through the fluid through advective and diffusive processes. To model the particulate field we use the conservation law,

$$P_t + \underbrace{\nabla \cdot (\vec{u}P - \kappa \nabla P)}_{OceanCirculation} - \underbrace{w_s P_z}_{Settling} = 0$$
(7.3)

where P is the particle concentration field and  $\vec{u}$  and  $\kappa$  are the velocity field diffusivity tensor (respectively) associated with the ocean circulation.

 $^{231}Pa$  and  $^{230}Th$  are produced by the radioactive decay of  $^{235}U$  and  $^{234}U$  respectively. Uranium activities have been shown to be consistent with a steady state distribution (?), and have often been regarded as uniform in the ocean (?).  $^{235}U$  comprises 0.711% of all naturally occuring Uranium and has a half-life of (approximately) 703.8 Myr. In contrast,  $^{234}U$ , a decay product of  $^{238}U$  accounts for only 0.0055% of all naturally occuring Uranium and has a relatively short half-life of  $2.245 \times 10^{-1}$  Myr.  $^{231}Pa$  and  $^{230}Th$  exhibit radioactive decay to  $^{227}Ac$  and  $^{226}Ra$  respectively and have half-lives of 32.76 Kyr and 75.38 Kyr respectively. The difference in the half-lives and concentrations of the parent Uranium isotopes and the radioactive decay of Protactinium and Thorium results in a net production ratio (Protactinium to Thorium) of 0.0926 (?).

Being suspended in the ocean, Protactinium and Thorium are also transported by the ocean through advective and diffusive processes. These radionuclides are also "particle-reactive". They can be adsorbed onto particulates (e.g. biogenic opal, carbonate) and transported into marine sediments as the particulates settle out of the water column. This process is known as "scavenging". The scavenging flux is modeled (here and in?) as the product of the particulate settling velocity and the fraction of radionuclide that adsorbs onto the particulates,

$$F_{sc} = -w_s R_p. (7.4)$$

The particle settling velocity is  $w_s$  and  $R_p$  is the fraction of particulate associated radionuclide. This fraction is calculated using two rules:

1. The total radionuclide activity is the sum of a particulate associated fraction and the

dissolved  $(R_d)$  fraction,

$$R = R_p + R_d. (7.5)$$

2. The ratio of particulate associated to dissolved radionuclide is proportional to the particle concentration,

$$\frac{R_p}{R_d} = kP. (7.6)$$

This second rule, given by (7.6), is called the **Equilibrium-Scavenging model** and k is the "scavenging efficiency coefficient". Combining (7.5) and (7.6) allows us to write the scavenging flux in terms of the total radionuclide concentration

$$F_{sc} = -\frac{kP}{1+kP}w_sR. (7.7)$$

The conservation law for a scavenged radionuclide is then

$$R_t + \underbrace{\nabla \cdot (\vec{u}R - \kappa \nabla R)}_{OceanCirculation} - \underbrace{\left(w_s \frac{kP}{1 + kP} R\right)_z}_{Scavenqing} = Q, \tag{7.8}$$

where Q is the uniform and constant source of the radionuclide activity from the net radioactive decay.

The single pair particulate-radionuclide equations are

$$P_t + \nabla \cdot (\vec{u}P - \kappa \nabla P) - w_s P_z = 0 \tag{7.9a}$$

$$R_t + \nabla \cdot (\vec{u}R - \kappa \nabla R) - \left(\frac{kP}{1 + kP} w_s R\right)_z = Q \tag{7.9b}$$

### 7.4 Buoyant Tracers

In this section, the equations for a buoyant passive tracer are derived. This model is motivated by the need to predict the locations of high microplastic concentrations in the oceans.

In addition to the background transport by the ocean circulation, the vertical motion of a constant volume particle of density  $\rho_p$  in a fluid of density  $\rho$  is governed by

$$(w_p)_t = \underbrace{-\frac{(\rho_p - \rho)g}{\rho_p}}_{buoyancy} - \underbrace{C_d w_p}_{Drag}$$
(7.10)

where  $w_p$  is the vertical velocity of the particle. For a large collection of particles that are modeled as a continuum of noninteracting particle, the Eulerian velocity is equivalent to the particle velocity. Additionally, if it is assumed that the particle velocities are at equilibrium, then the vertical velocity can be determined as a balance between the particle drag and the buoyancy forces,

$$w_p = -\frac{(\rho_p - \rho)g}{\rho_p C_d}. (7.11)$$

The equation governing a continuum of buoyant particles is then

$$c_t + \nabla \cdot (\vec{u}c - \kappa \nabla c) + w_p c_z = s(x, y, z, t)$$
(7.12)

where s is a non-conservative source/sink term and  $w_p$  is diagnosed in (7.11).

# **Bibliography**

- A. Bardin, F. Primeau, and K. Lindsay. An offline implicit solver for simulating prebomb radiocarbon. *Ocean Modelling*, 73:45–58, 2014.
- S. Khatiwala, M. Visbeck, and M.A. Cane. Accelerated simulation of passive tracers in ocean circulation models. *Ocean Modelling*, 9:51–69, 2005.
- F. Primeau. Characterizing transport between the surface mixed layer and the ocean interior with a forward and adjoint global ocean transport model. *J. Phys. Oceanogr.*, 35:545–564, 2005.