Mammoth: Gearing Hadoop Towards Memory-Intensive MapReduce Applications

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Abstract—The MapReduce platform has been widely used for large-scale data processing and analysis recently. It works well if the hardware of a cluster is well configured. However, our survey has indicated that common hardware configurations in smalland medium-size enterprises may not be suitable for such tasks. This situation is more challenging for memory-constrained systems, in which the memory is a bottleneck resource compared with the CPU power and thus does not meet the needs of large-scale data processing. The traditional high performance computing (HPC) system is an example of the memory-constrained system according to our survey. In this paper, we have developed Mammoth, a new MapReduce system, which aims to improve MapReduce performance using global memory management. In Mammoth, we design a novel rule-based heuristic to prioritize memory allocation and revocation among execution units (mapper, shuffler, reducer, etc.), to maximize the holistic benefits of the Map/Reduce job when scheduling each memory unit. We have also developed a multi-threaded execution engine, which is based on Hadoop but runs in a single JVM on a node. In the execution engine, we have implemented the algorithm of memory scheduling to realize global memory management, based on which we further developed the techniques such as sequential disk accessing, multi-cache and shuffling from memory, and solved the problem of full garbage collection in the JVM. We have conducted extensive experiments with comparison against the native Hadoop platform. The results show that the Mammoth system can reduce the job execution time by more than 40% in typical cases, without requiring any modifications of the Hadoop programs. When a system is short of memory, Mammoth can improve the performance by up to 5.19 times, as observed for I/O intensive applications, such as PageRank. Given the growing importance of supporting large-scale data processing and analysis and the proven success of the MapReduce platform, the Mammoth system can have a promising potential and impact.

Index Terms—MapReduce, data processing, HPC		
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1 Introduction

In recent years, large-scale data mining and machine learning have become increasingly popular. Hadoop [1] is a well-known processing platform for large data sets and widely used in many domains (e.g. Google [12], Yahoo! [4], Facebook [9], LinkedIn [3]). Most of such companies have dedicated Hadoop clusters, which are equipped with plenty of disks and memory to improve the I/O throughput. Other institutes developed special systems for processing big data applications, such as TritonSort [29]. There are increasing data processing requirements from scientific domains as well. However, many of the scientific research institutes or universities do not have dedicated Hadoop clusters, while most of them have their own high performance computing (HPC) facilities [19], [27], [13], [5], [24].

We regard the HPC system as a type of memory-constrained system, in which the memory is a bottleneck resource compared with the CPU power. This is because the nature of HPC systems requires the equipment of powerful CPUs for computation, while the memory and disks are often limited, compared with CPU power. We have surveyed the Top 10 supercomputers on the Top500 lists from June 2010 to November 2012 that published their memory configurations (these supercomputers are Titan, Sequoia, K computer, JUQUEEN, Stampede, Tianhe-1A, Jaguar Curie thin nodes, TSUBAME 2.0, Roadrunner, Pleiades, Tianhe-1, BlueGene/L, and Red Sky). Most of these HPC servers are equipped with less than 2GB memory for one CPU core, and some of them with less than 1GB.

In Hadoop, the tasks are scheduled according to the number of CPU cores, without considering other resources. This scheduling decision leads to long waiting time of CPUs, which influences the total execution time due to the performance gap between the CPU and the I/O system. In Hadoop, every task is loaded with a JVM. Every task has an independent memory allocator. A Hadoop task contains several phases that involve memory allocation: task sort buffer, file reading and writing, and application-specific memory usage. Most memory allocation is pre-set with parameters in the job configuration without considering the real tasks' demand. Besides, it does not have a memory scheduler for all the tasks in a TaskTracker. These designs will lead to the problem of buffer concurrency among Hadoop tasks. Another issue is that disk operations in Hadoop are not scheduled cooperatively. Every task reads and writes data independently according to its demand without coordination, which potentially leads to heavy disk seeks. For instance, in the merge and shuffle phases, the overhead of uncoordinated disk seeks and the contention in accesses are so big that the I/O wait occupies up to 50% of the total time as observed, which significantly degrades the overall system performance.

To tackle the problem, we have developed a new MapReduce data processing system, named Mammoth ¹, for memory-constrained systems (e.g., HPC systems). Mammoth makes the

1. We have made Mammoth open source at the Github , and also added the patch at Apache Software Foundation. The web links are https://github.com/mammothcm/mammoth and https://issues.apache.org/jira/browse/MAPREDUCE-5605, respectively.

best effort to utilize the memory according to the existing hardware configuration. In Mammoth, each Map/Reduce task on one node is executed as a thread, and all the task threads can share the memory at the runtime. Thread-based tasks make sequential disk access possible. Mammoth utilizes the multi-buffer technique to balance data production from CPU and data consumption of disk I/Os, which implements the non-blocking I/O. Mammoth realizes the in-memory or hybrid merge-sort instead of simply external sorting from disks, in order to minimize the size of spilled intermediate data on disks. Mammoth also caches the final merged files output by Map tasks in memory to avoid re-reading them from disks before transferring them to remote reduce tasks. In order to coordinate various activities, these data buffers are managed by a global memory manager and an I/O scheduler on each node. The contributions of this paper are as follows:

- We designed a novel rule-based heuristic to prioritize memory allocation and revocation among execution units (mapper, shuffler, reducer etc), to maximize the holistic benefits of the Map/Reduce job when scheduling each memory unit.
- 2) We designed and implemented a multi-threaded execution engine. The engine is based on Hadoop, but it runs in a single JVM on each node. In this execution engine, we developed the algorithm for memory scheduling to realize global memory management, based on which we further implemented the techniques of disk accesses serialization, multi-cache, shuffling from memory, and solved the problem of full Garbage Collection (GC) in the JVM.
- 3) We conducted the extensive experiments with comparison against the native Hadoop platform. The experimental results show that Mammoth improved the performance dramatically in terms of the job execution time on the memory-constrained clusters.

The rest of this paper is organized as follows. In Section 2, we will demonstrate the performance problem of running Hadoop in the memory-constrained situation and discuss the motivations of this research. We will then present the overall design of the system in Section 3. In Section 4 and 5, we will present the techniques for global memory management and I/O optimization, respectively. The results of performance evaluation will be presented and analyzed in Section 6. Related work is discussed in Section 7. In the final section, we conclude this paper and discuss the potential future work.

2 BACKGROUND AND MOTIVATION

In this section, we first briefly introduce the MapReduce framework and Hadoop, and then demonstrate the main performance problems when running Hadoop in the memory-constrained situations, which motivates us to develop the Mammoth system.

2.1 Background

The MapReduce programming model consists of a Map function which translates the input data into < key,value > pairs and a Reduce function which merges the values with the same key and transmits the final output < key,value > pairs. Hadoop, a defacto implementation of the MapReduce model, is implemented with the Map tasks and the Reduce tasks. JobTracker schedules the Map/Reduce tasks to TaskTrackers according to the locations of the input data blocks and the spare Map/Reduce slots on each TaskTracker node. The former consideration ensures data locality, while the latter tries to achieve good parallelism. Once a TaskTracker obtains a task, one Map slot is consumed and the task is launched immediately and executed in a separate JVM.

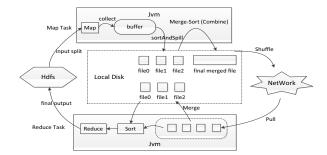


Fig. 1. Hadoop Architecture.

When the total Map/Reduce slots of a cluster cannot fit the number of the Map/Reduce tasks, they will be executed in several waves. Figure 1 further illustrates the execution procedure of a Map/Reduce task in more detail.

As shown in Figure 1, a big buffer (100M by default) for intermediate data is allocated from the JVM heap during the initialization of the Map task (which is called the *intermediate buffer* in the rest of the paper). The buffer size can be changed by setting the 'io.sort.mb' parameter in the job configuration. The Map task reads its input split from HDFS and collects the translated < key, value > pairs into the *intermediate buffer*. When the proportion of the used *intermediate buffer* exceeds a configured threshold, the collected < key, value > pairs will be sorted and spilled into the disk as the intermediate file. At the end of every Map task, all the intermediate files will be merged into a final sorted file.

Usually, the Reduce tasks are launched shortly after the Map tasks begin and try to read the latest written files directly from the cache of the local file system. When a Map task is executed successfully, all the Reduce tasks will be notified. Then the Reduce tasks will pull the Map task's output file through the network. This phase is called Shuffle. For each intermediate file, the Reduce task will cache it by allocating a buffer block from the JVM heap at runtime. Because the number of the Map tasks is big, a large number of intermediate files will have to be pulled. When the total size of the files exceeds a configured proportion of the heap, the files will be merged and spilled into the local disk. The output files of all Map tasks are fetched, and then sorted in the next phase called Sort. In the Sort phase, all values with the same key are aggregated. In the final phase, called *Reduce*, the user-defined Reduce function will merge the values with the same key and transmit the final < key, value > pairs to the HDFS.

2.2 Motivation

The existing MapReduce systems usually schedule the concurrent tasks to the compute nodes according to the number of task slots on each node. This approach relies on the system administrator to set the number of task slots according to the actual hardware resources. Most existing studies about Hadoop in the literature [39], [37] choose the number of slots according to the nodes' CPU cores, and do not take into consideration other resources such as memory or disks. This is why the actual per-node efficiency in a Hadoop cluster is often dramatically lower than the theoretical peak value [29].

Memory is an important resource to bridge the gap between CPUs and I/O devices. However, we observed the following main problems for the memory usage in Hadoop. Firstly, the memory management in Hadoop is rather coarse-grained. The memory size available for a Map or Reduce task is set in a static configuration

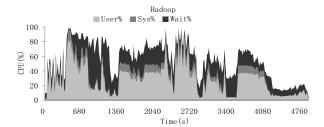


Fig. 2. CPU Utilization in Hadoop.

file, and is fixed at runtime. Therefore, even after the Map tasks are completed, the buffers used by those Map tasks cannot be used by the Reduce tasks. Secondly, the memory mainly used by the Map tasks is the intermediate buffer. When the buffer cannot accommodate the intermediate data, the entire intermediate data will be spilled to the local disk. For example, if the intermediate data for a Map task are 100MB, but the buffer size is only 80MB, then the intermediate data will be spilled to the disk as an 80MB file and a 20MB file. As the result, the final merge-sort phase in Hadoop will be largely affected by reading an 80MB file from the disk. Finally, although different Map tasks may produce the intermediate data with different sizes (e.g., the "grep" application), Hadoop does not provide the mechanism for the concurrently running Map tasks to coordinate their memory usage with each other.

The I/O operations may also cause very inefficient usage of resources. Firstly, a merge-sort algorithm is widely used in Hadoop [26]. In this algorithm, the operations of CPU computing (sort) and disk spilling are mashed together. There are a multitude of I/O waits during this procedure. Secondly, parallel I/O is performed in Hadoop whenever possible. However, it has been shown in previous literature [29] that parallel I/O may cause vast disk seeks. Especially, the situation may become even worse when there is only one disk on a node. Finally, as we have mentioned above, the Reduce tasks will have to pull the output files of the Map tasks, which should be performed as early as possible in order to improve the read performance. However, the file buffer in the file system has the lowest priority of using the memory in Linux, which will cause the so called long-tail effect revealed in [40].

In order to demonstrate the performance problems in Hadoop, we have conducted the following benchmark experiments. The experiment platform includes a cluster of 17 nodes. Each node is equipped with two 8-core 2.6GHz Intel(R) Xeon(R) E5-2670 CPUs, 32GB memory and a 300GB 10,000RPM SAS disk, and running RedHat Enterprise Linux 5 (Linux 2.6.18-308.4.1.el5). The version of Hadoop used in the experiment is 1.0.1, running on a 320GB dataset created by the built-in randomtextwriter. The application we used is WordCount. The job configurations are set to have the block size of 512MB, 8 Map-slots and 8 Reduce-slots. We learned from the log files of the pre-executed jobs that the ratio of intermediate data to input data is about 1.75. We adjusted the size of the map sort buffer so that the total map output can be exactly fitted into the buffer, thereby avoiding the costly spillmerge process. We used the "iostat" command in Linux to record the CPU-usage and I/O-wait information and plotted it in Figure 2, in which the x-axis represents the job runtime while the y-axis is the ratio of CPU to I/O wait.

As shown in Figure 2, the I/O wait time still accounts for a large proportion of the total CPU cycles, which means the I/O operations negatively impact the CPU performance. In the

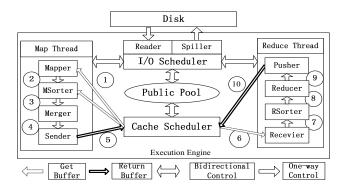


Fig. 3. Architecture and Workflow of Mammoth.

experiment, the number of map task waves is 5. From the Hadoop job log files, we found that map tasks in different waves needed increasingly more time to process the same sized data along with the job running. The reason is that the size of the data that the Map function produces is larger than what the I/O devices can consume at the same time. At the beginning, the generated intermediate data can fit into the memory buffer of the native file system in Linux. When the buffer cannot hold the data anymore, CPUs have to be idle and wait for the disks to finish all pending I/O operations. The situation would become even worse when the servers are equipped with multi-core CPUs but a single disk.

However, if we only consider what was discussed above, it appears that the memory usage in Hadoop has actually been adjusted to optimism: every Map task performed only one spill at the end. Then why was there so much I/O wait time? From Section 2.1, we can know that although each Map task can hold all the intermediate data in memory, the Reduce tasks must pull the Map tasks' results from the disk, that is, all the intermediate data will be read and written once. On the other hand, the Map tasks will use up at least $8 \times 512MB \times 1.75 = 7GB$ memory. After the Map tasks have finished, the Reduce tasks cannot realize there is now newly freed memory, because the reduce tasks can only use the amount of memory designated in the configuration file before the startup of a MapReduce job. This means that at least 7GB of memory is wasted. From the discussions in section 2.1, we know that this will in turn cause more I/O operations. Therefore, it can be concluded that Hadoop will cause serious I/O bottleneck on a memory-constrained platform.

Although we have adjusted the configurations to optimum in the above benchmarking experiments(e.g., the size of the sort buffer is adjusted so that all Map output can be accommodated), the CPUs still spent a considerable amount of time waiting for the completions of I/O operations. This is because the native Hadoop cannot utilize the memory effectively, and as the consequence has to perform a large number of unnecessary I/Os. Therefore, a more efficient strategy to utilize the memory is desired to improve the overall system performance. Motivated by these observations, we have designed and implemented a memory-centric MapReduce system, called Mammoth. The system adopts the global memory management strategy and implements a number of I/O optimization techniques to achieve the significant performance improvement on the memory-constrained platform. In the remainder of this paper, a overall system architecture of Mammoth is introduced in Section 3. Then Section 3.4 and 4.3 present the memory management strategies and the I/O optimization techniques, respectively, implemented into the Mammoth architecture.

3 System Design

This section presents the system architecture of Mammoth and how to reform the MapReduce execution model. To improve the memory usage, a thread-based execution engine is implemented in Mammoth. The overall architecture of the execution engine is presented in Section 3.1, and the detailed execution phases of a MapReduce job are presented in section 3.2, 3.3 and 3.4.

3.1 System Architecture

Figure 3 shows the overall architecture of the Execution Engine in Mammoth. The execution engine runs inside a single JVM (Java Virtual Machine). In Mammoth, all Map/Reduce tasks in a physical node run inside the execution engine, and therefore in a single JVM, which is one of the key architectural differences between Mammoth and Hadoop. Mammoth retains the upper cluster management model in Hadoop, i.e. the master JobTracker manages the slave TaskTrackers through heartbeats. When the TaskTracker obtains a new Map/Reduce task, the task is assigned to the execution engine through RPC (Remote Procedure Call) and the execution engine informs the TaskTracker of the task's realtime progress through RPC too. In Hadoop, a Map/Reduce task runs in a separate JVM. In the execution engine, the Map/Reduce tasks interact with the Cache Scheduler, which is responsible for processing the memory allocation and revocation requests from the tasks (i.e., the control flow for acquiring and returning the buffer) and more importantly, coordinating the memory demands among the memory management components through the Public Pool. The I/O Scheduler is responsible for reading the data from the disk (through the Reader) and spilling the data from the Public Pool to the disk (through the Spiller). Both a Map task and a Reduce task contain 4 functional components which will be discussed in detail in next subsection.

Mammoth implements the global memory management through the Public Pool. The Public Pool contains a few fundamental data structures which enable the effective memory management. The Public Pool consists of three types of memory areas: Element Pool, Cache Pool and Multi-buffer, each of which has a different data structure. The Element Pool consists of the Elements. An Element is a data structure consisting of index, offset, < key, value > contents, which is similar as the data structure in Hadoop. The Elements store the unsorted intermediate < key, value > pairs collected by the user-defined Map function (i.e., the Mapper in a Map Task in Fig. 3). The default size of an Element is 32K. The Cache Pool consists of the *cache units*. A *cache unit* is a byte array with the default size of 2M. Different from the Element Pool, the Cache Pool is used to hold the sorted intermediate data generated by other functional components in a Map/Reduce Task in Fig. 3. Multi-buffer is used by the I/O Scheduler to buffer the data to be read from and spilled to the disk. This is also why Figure 3 depicts the interaction between the I/O scheduler and the Public Pool. The data structure of the Multi-buffer will be discussed in detail when we discuss the I/O optimization in Section 5.

Similar as in Hadoop, the execution of a Map/Reduce job in Mammoth also has three phases: Map, Shuffle, and Reduce. However, in order to realize the global memory management through the above data structures and achieve better performance, the detailed execution flow in each phase is different, which is presented in the next subsection.

3.2 The Map phase

Figure 4 shows the details of the Map phase in Mammoth. The **Reader** component reads the input split from HDFS into its Multi-buffer. **Mapper** requests an empty Element (through the Cache

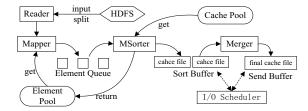


Fig. 4. The Map phase in Mammoth.

Scheduler) from the Element Pool, and reads the data from the Multi-buffer and collects the translated $\langle key, value \rangle$ pairs into the new Element. When the Element is full, the < key, value > pairs in the Element is sorted. The Element holding the sorted data is then added to the Element Queue. The Element Queue grows as more elements are added. When the size of the Element Queue reaches a threshold (10M by default), MSorter requests a corresponding number of cache units from the Cache Pool, and then merge-sorts the Element Queue and store the sorted data into those cache units. This batch of cache units is called a cache file. After the Element Queue is stored into the cache file, the corresponding Elements are recycled to the Element Pool. A Sort Buffer is designed to hold these cache files. The maximum size of the Sort Buffer is determined by the Cache Scheduler. After Mapper and MSorter have finished their work (i.e., the input data of the Map task has all been converted to the form of the cache files), Merger merges all intermediate cache files in the Sort Buffer to a final cache file in the Send Buffer. The Send Buffer holds the data to be consumed in the Shuffle phase. When the Sort Buffer or the Send Buffer cannot hold the intermediate data, it will have to be spilled to the local disk and be read into the buffer later. The I/O requests are managed by the I/O Scheduler.

3.3 The Shuffle phase

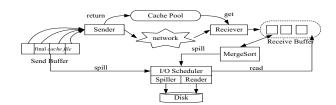


Fig. 5. The Shuffle phase in Mammoth.

In Hadoop, the reduce task starting time is decided by the parameter "mapreduce.reduce.slowstart.completed.maps", which is set to be 0.05 by default. It effectively means that the Reduce tasks will be launched shortly after the Map tasks begin. In most cases, it brings more benefits by launching reducers early rather than later. This is because i) it can overlap the shuffle network I/O with the map computation, and ii) if Reduce tasks start early, then it is more likely that they can pull the newly written data from the page cache, reducing the I/O data at the Map side. This is why in the default setting of Hadoop the Reducers are launched shortly after the Mappers begin. From the perspective of the Mammoth design, it is also more beneficial by launching the reducers shortly after the mappers start. This is because Mammoth manages the data buffer in the task execution engine, and tries to send the buffered data to the Reduce tasks as soon as possible.

There is a major difference in the Shuffle phase between Hadoop and Mammoth. In Hadoop, the data generated by the Map tasks is written to the local disk, and the Reduce tasks pull the data from the local disk in the Map side to the Reduce side, which we call passive pulling. In Mammoth, the intermediate data produced by the Map tasks (i.e., the final cache file in the send buffer) are actively pushed by the Map task to the Reduce tasks. When the memory is insufficient, the send buffer may only be able to hold part of the intermediate data and therefore some intermediate data have to be written to the disks. The sender on the map side knows the status of the buffer and the intermediate data in real time, and therefore it can push the intermediate data to the reduce side before they are written to disks. If pulling is used, the reduce side has no idea about the status of the intermediate data (in disks or in buffer) and may not be able to pull the data before they are written to disks (therefore cause more I/O operations).

The design details of the Shuffle phase in Mammoth are illustrated in Figure 5. As shown in Figure 5, there is a Sender and a Receiver in the Execution Engine on a node. Sender sends the *file cache file* in the send buffer to the Reduce tasks through the network. More specifically, The *final cache file* contains the data that need to be sent to different Reduce tasks. Sender consists of a group of send threads, each of which is responsible for sending the portion of data destined to a particular Reduce task. After **Sender** finishes sending the *final cache file*, its *cache units* are recycled to the Cache Pool.

At the Receiver side, Receiver also consists of a group of receive threads, called *subreceiver*. When **Receiver** receives a data-transfer request originated from a Send thread, it distributes the request to the corresponding Reduce task. Then, the Reduce task distributes the request to one of its *subreceiver*. The *subreceiver* requests a *cache file* from the Cache Pool to store the received data. The newly allocated *cache file* becomes a part of the Receive Buffer. The process described above implements the active pushing approach for shuffling, which differentiates the passive pulling approach in Hadoop. The data in the Receive Buffer will be processed next by the Reduce phase.

The maximum size allowed for the Receive Buffer (and for the Send Buffer introduced above) is decided by the Cache Scheduler at runtime, which will be discussed in Section 4 in detail. The Receiver can predict whether the Receive Buffer is not sufficient to hold all data being sent by the Map tasks. If it is not sufficient, the corresponding amount of existing data in the Receive Buffer will be merge-sorted and spilled to the local disk through the Spiller.

As shown in Fig. 5, the *final cache file* in the Send Buffer is also written to the disk through Spiller. But the writing is for the purpose of fault tolerance, and it occurs concurrently with Sender/Receiver sending/Receiving data. Therefore, the writing does not stall the progress of the Map/Reduce job. After **Spiller** finishes writing data, the Map task is deemed to be completed successfully. Note that Mammoth follows the same fault tolerance design as Hadoop. Firstly, the map tasks' output data are written to disks for fault tolerance. Secondly, when a machine goes down, Mammoth will re-execute the tasks scheduled to run on the machine.

3.4 The Reduce phase

Figure 6 shows the details of the Reduce phase. In typical cases, all data to be reduced are in memory (i.e., the Receive Buffer). The Reduce phase starts with RSorter using the merge-sort to sort the data in the Receive Buffer. However, for some data-intensive applications or in case that the cluster nodes have small memory sizes, the size of the total intermediate data may be more than that of the Cache Pool. Under this circumstance, some intermediate

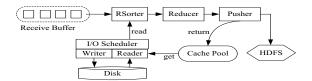


Fig. 6. Reduce phase in Mammoth.

data must be spilled to the disk. Therefore, in Figure 6, the sources of the data to be sorted by RSorter may be hybrid, i.e., from both memory and disk.

After RSorter has sorted the intermediate data, Reducer begins to process the aggregated < key, values > pairs using the user-defined Reduce Function. Pusher pushes the final output to HDFS. The cache units holding the final output are then returned to the Cache Pool for recycling. Both Reader and Pusher works in a non-blocking way to overlap CPU and I/O. Reader, RSorter-Reducer and Pusher forms three stages of a pipeline for processing the Reduce tasks. Note that we regard RSorter and Reducer together as one stage. This is because RSorter mergesorts the sorted intermediate files so that the <key, value> pairs with the same key can be aggregated during the sort procedure and can feed the Reducer simultaneously. Therefore, our view is that RSorter and Reducer are synchronized and should be treated as one pipeline stage together. The granularity of the pipeline is one cache unit, that is, after one cache unit is processed by a stage in the pipeline, it is handed over to the next stage for processing. After all these procedures are completed, the Reduce task is completed.

4 MEMORY MANAGEMENT

We can learn from Section 3 that the demonstrated components, i.e., the Map Phase, the Shuffle Phase and the Reduce Phase, are all related to a common component, the Cache Pool, which is managed by the Cache Scheduler. In fact, the memory management is the core of the system, which other components are all based on. In this section, we will first summarize the usage of the memory in Mammoth, describing various rules about the sharing of the global memory. And then we will present the two key components of the memory management: Cache Scheduler and Cache Pool. Finally we will describe the scheduling algorithms that are designed to achieve the efficient sharing of the global memory.

4.1 Memory Usage Analyses in Mammoth

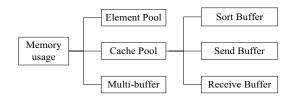


Fig. 7. Memory usage types

In Mammoth, in order to maximum the memory utilization, we design an execution engine, JVM, to manage most part of a node's memory in the application level. As discussed in section 3, there are three types of memory usage: Element Pool, Cache Pool and Multi-buffer (as illustrated in Figure 7). The Element Pool is used for the Map Phase, presented in section 3.2. The Multi-buffer is used in the I/O Scheduler, to be described in Section 5.2.

The Cache Pool consists of three types of buffer: Map tasks' Sort buffer, Map tasks' Send buffer and Reduce tasks' Receive Buffer.

As mentioned in Section 3.1, an Element is a data structure which contains the index, the offset arrays, and the content in the $\langle key, value \rangle$ pairs. The additional information is mainly used by quick-sort, which can reduce the overhead of CPU because the swapping of $\langle key, value \rangle$ pairs can be replaced by the simply swapping of their indices. However, since memory is constraint in many cases, So we make size of Element Pool relatively small and merge-sort the quick-sorted contents in Elements in the following steps. We have optimized in-memory merge-sort which will be demonstrated in section 5.3. For sharing more fairly between the Map tasks and recycling faster, the size of an Element will be relatively small too, which is 32KB by default.

The size of the Element Pool can be calculated using the following equation:

$$ElementPoolSize = SpillMemSize \times MapSlots$$
 (1)

In Equation (1), *ElementPoolSize* represents the total size of the Elements in the Element Pool, *SpillMemSize* represents the threshold memory size that a map task could use in the Element Pool, which is 10M by default, *MapSlots* represents the number of the Map slots in a TaskTracker node. Note that the size of the Element Pool is fixed at runtime.

The multi-buffer is mainly used by **Reader** and **Spiller** to overlap the I/O operations. However, it is likely that the CPU computation or the disk I/O operations become the performance bottleneck when both CPU computation and I/O operations are being performed. For example, if the CPU produces the data faster than the disk I/O, the disk I/O becomes the performance bottleneck and the data will accumulate in the buffer queue. Otherwise, the CPU computation is the bottleneck. This is the reason why every queue in **Spiller** or **Reader** has a threshold memory size of 10MB by default. Once the size of the accumulated data exceeds the threshold of the queue, the CPU will be blocked until there is the spare space in the queue. Once there are the data added to the queue, it will be written to the disk soon. The situation for **Reader** is the same, and therefore its discussion is omitted.

In the following, we will give a typical and concrete example of the memory usage. Suppose each node has 16 CPU cores with 1GB memory per core. The execution engine JVM is configured with the heap of size 15 GB, 2/3 of which is used for the intermediate buffer, i.e. 10GB. The number of the Map slots for each node is 12, while the number of the Reduce slots is 4. The Block size in HDFS is 512MB.

As for the Element Pool, since the parameters, *SpillMem-Size* and *MapSlots*, are configured in the configuration files and fixed at runtime, *ElementPoolSize* is relatively small and fixed, e.g. $10MB \times 12 = 120MB$. After carefully analysing the entire workflow in Mammoth, we find that a task will not issue more than two I/O operations at the same time. Thus, the memory used for multi-buffer will not exceed $16 \times 2 \times 10MB = 320MB$, and is usually much lower than this maximum size. The rest of the memory buffer is used by the Cache Pool, which is 10GB - 120MB - 320MB = 9560MB. Therefore, the main task of memory management in such a system is the management of the Cache Pool.

For many data-incentive applications, e.g. Sort, PageRank, etc., the size of the intermediate data is the same as that of the input data. Therefore, since there are 12 Map tasks in one wave and the block size in HDFS is 512MB, the size of the intermediate data

generated in one wave will be $12 \times 512MB = 6GB$. Usually, the Shuffle phase can run simultaneously with the Map process and is the bottleneck for data-incentive applications. Consequently, the intermediate data generated in different waves of Map tasks will accumulate. The strategies we adopt to share the Cache Pool among the Sort Buffer, Send Buffer and Receive Buffer will be discussed in section 4.3.

4.2 Memory Management Structure

In Mammoth, the Cache Scheduler is responsible for the memory management, which mainly operates on the data structure, Cache Pool, which consists of a linked list of Cache Units, is the main data structure that the memory management operations have to operate on.

4.2.1 Cache Scheduler

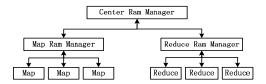


Fig. 8. Cache Scheduler Architecture.

In Mammoth, the component handling the global memory management is the Cache Scheduler, whose role has been illustrated in Figure 8. The tasks executing in the execution engine interact with the Cache Scheduler for memory allocation and release. The Cache Scheduler executes as a single model (i.e., as a single instance) in the execution engine. A simple design is to design a single component that is responsible for all memory allocations and revocations. However, such a design will cause the Cache Scheduler to be come a performance bottleneck at runtime. This is because the cache scheduler must interact with the map/reduce tasks at runtime to assign and recycle memory for them, and the interactions can be quite frequent. In this work, therefore, we designed a hierarchical structure for the Cache Scheduler, which is shown in Figure 8.

There are two layers in the Cache Scheduler. The top layer is called the Central Ram Manager (CRM), and the middle layer is called Ram Manager (RM). CRM uses a global memory management algorithm to dynamically adjust the memory proportions among RMs, based on the conditions of the global memory usage. The algorithm will be described in Section 4.2. There are two types of RMs: Map Ram Manager (MRM) and Reduce Ram Manager (RRM). MRM is responsible for managing the memory used by the Map tasks, while RRM is for managing the memory used by the Reduce tasks. The tasks (Map tasks or Reduce tasks) request or free the memory directly from RMs (MRMs or RRM). When RMs allocate the memory to the tasks, it comes down to allocating the memory to the three types of buffers: Sort, Send and Receive. RMs will update CRM of the value of their parameters at runtime, which will be used by CRM to make the global memory management decisions. With this hierarchical cache scheduler, adjusting the quotas is conducted by the central ram, while interacting with tasks is performed by the map/reduce ram manager, which helps prevent the Cache Scheduler from becoming a performance bottleneck at runtime.

RMs allocate the memory to the tasks based on the memory status at runtime. However, the following two general rules are used by the Cache Scheduler for memory allocations.

- a) the priority order of the three types of buffer is: Sort > Send > Receive (the reason for this priority setting will be explained in Section 4.3. This means that when CRM distributes the memory between MRM and RRM , MRM is deemed to have the higher priority than RRM (i.e., the Sort buffer and the Send buffer have the higher priority than the Receive buffer), and that when MRM allocates the memory to the Sort buffer and the Send buff, the Sort buffer has the higher priority than the Send buffer.
- b) Memory revocation is conducted using the priority order that is opposite to that in memory allocation. This means that when the memory is insufficient, Mammoth would spill the in-memory data to the disk based on the order of Receive > Send > Sort. However, different from the allocation, the memory can be recycled unit by unit

4.2.2 Cache Pool

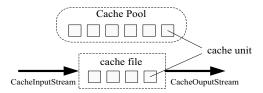


Fig. 9. Data Structure for Memory Management.

Since there are frequent memory allocations and revocations in Mammoth, there will be the big pressure on Garbage Collection (GC) if we simply rely on JVM [25] for GC. Another problem is that if we rely on the file system's buffer to cache the intermediate data for shuffle. It is very likely that the shuffled data are still in memory while the intermediate data produced later is flushed to the disk, which will cause the long-tail effect. Our solution is to design an application-level mechanism to reclaim the unused cache space [35]. The Cache Pool in the Mammoth is responsible for this purpose. Moreover, an data structure, called *cache file*, is designed for the Cache pool to control the management of the data cache.

As shown in Figure 9, the Cache Pool is made of a list of cache units. When an operation requests a memory block, a cache file, composed of a queue of cache units, will be returned. There are the java classes, CacheInputStream and CacheOuputStream, associated with a cache file. Therefore, accessing a cache file is just like accessing a typical file in Java. In doing so, the way of accessing the memory is unified and consequently the file buffer can be managed in the application level. Memory allocation and revocation in the Cache pool is performed by inserting and deleting the Cache Units in the Cache pool. Since the Cache Units are organized as a linked list in Cache pool. The overhead of Memory allocation and revocation in Cache pool is rather low. The pressure for garbage collection is therefore greatly reduced. Further, Although the memory is allocated in the unit of a cache file, the memory can be recycled by cache units in Mammoth in order to accelerate the memory circulation, i.e., if any part of a cache file is not needed any more, the corresponding cache units can be freed.

4.2.3 Interfaces for Memory Usage

In Mammoth, memory management is achieved by the Cache Scheduler based on the Cache Pool. The objective is to serve multiple tasks simultaneously executing in the execution engine. The interfaces accessible to the tasks are summarized in Table 1.

When a Map task (or a Reduce task) is launched, the *register* operation is called to register to the MRM (or the RRM). When

TABLE 1 Interfaces of memory management

Function	Description
CacheFile reserve(task_id,size,type)	request memory from RM
void unreserve(task_id,CacheUnit,type)	free memory to RM
void register(task_id)	register a task on start up
void unregister(task_id)	unregister a task on completion

the task is ended, *unregister* is called. Through the two functions, the Cache Scheduler can know the current number of the Map and Reduce tasks. The tasks use the functions *reserve* and *unreserve* to request or release memory dynamically. Note that the return value of the reserve operation is a *cache file*, while a parameter of the unreserve operation is *cache unit*. This reflects the memory management principle discussed above, i.e., the memory is allocated in *cache files*, but is released in *cache units*. The *type* parameter in the reserve and unreserve operations refers to the usage type of the memory, i.e., Sort, Send or Receive. In this way, the Cache Scheduler knows the usage states of the memory in terms of the three types of buffer. The information, including the number of Map and Reduce tasks and the usage states of the memory, will be used in the memory management algorithms discussed in the next section.

4.3 Memory Management Algorithms

This section discusses the memory management algorithms of the Cache Scheduler. In Mammoth, all tasks are executed as the threads in a execution engine to achieve the global memory management. The following issues need to be addressed in order to optimize the efficiency of memory usage.

- 1) Since multiple types of buffer may be used simultaneously in the system, the memory has to be allocated properly among these different types of buffer.
- 2) Memory allocation has to be adjusted dynamically. This is because the memory demands will be different in different execution stages of MapReduce jobs.

This section aims to tackle the above two issues.

From last section, we know that the memory needed by the Element Pool and the Multi-buffer is relatively small. So our attention is mainly focused on how to manage the Cache Pool.

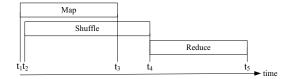


Fig. 10. Execution flow for MapReduce.

In order to allocate the memory properly among different types of buffer, i.e., Sort Buffer, Send Buffer and Receive Buffer, we first analyze their usage pattern over time. For a MapReduce Job, there are some important time points in its life cycle. They are illustrated in Figure 10. The Map phase begins at t_1 . The Shuffle phase begins shortly after at t_2 . After Map ends at t_3 , Shuffle is performed for another period of time and ends at t_4 . Once Shuffle ends, the Reduce phase begins, and it finally ends at t_5 . In different phases of the lifecycle, there are different memory usage patterns. The Sort Buffer is only used before t_3 ; The Send Buffer is used

between t_2 and t_4 ; The utilization of the Receive Buffer occurs within the time period from t_2 to t_5 . These features give us the chance to assign the three types of buffers with different priority at runtime

Every intermediate <key, value> pair flows from Sort Buffer to Send Buffer and then from Send Buffer to Receive Buffer, which forms a buffer stream. If the upstream buffer does not have higher priority, the memory will gradually be accumulated towards the downstream buffer and eventually, the upstream buffer will end up having no memory to use. For example, if the Sort Buffer does not have higher priority than the Send Buffer, then when one wave of map tasks finish, the memory they use will be transferred to the Send buffer and the memory in the Sort Buffer will be recycled. When the next wave of tasks request the memory for the Sort Buffer, they cannot force the Send Buffer to be recycled and the intermediate data generated by them have to be spilled to the disk. Therefore, more disk I/Os will be generated. The situation will also happen with the pair of Send Buffer and Receive Buffer.

Our aim is that only the intermediate data that exceed the total size of the physical memory on one node should be spilled and read once. As shown in Figure 4, after the Map phase is completed at t_3 , the Sort Buffer is not needed anymore, and no new data (i.e., the final cache file) will be produced (by the Merger component in Figure 4 and consume the Send Buffer. In order to accelerate the Reduce phase, the memory allocated to the Map tasks (through MRM) is gradually returned to the Cache Pool over the time duration between t_3 and t_4 , so that it can be reused by the Reduce tasks (through RRM). In doing so, the received data that have been spilled to the disk can be read back to the Receive Buffer in an overlapping way, which has been described in section 3.3. We can know that only between t_2 and t_3 , may the Sort, Send and Receive phase request the memory simultaneously. In order to optimize performance as discussed above, we set the priorities of the three types of buffers in the following order: Sort Buffer > Send Buffer > Receive Buffer.

The following equations will be used by the Cache Pool to determine the sizes of different types of buffer at runtime, in which MaxSize is the maximum size of memory that can be used for intermediate data, EMPoolSize is the total size of the Element Pool, *MultiBufSize* is the total size of memory used by I/O Scheduler for the I/O buffer, TotalSize is the total size of Cache Pool, MRMSize is the size of memory that MRM can use to allocate among Map tasks, RRMSize is the size of memory RRM that can use among Reduce tasks, SortBufSize is the size of Sort Buffer, CurSendBufSize is the current size of Send Buffer, MapNum is the number of Map tasks that are running currently, CurSortBufSize is the current size of Sort Buffer, MapSortPeak is the peak size of each map task's sort buffer (initialized to be 0), i.e., the maximum size of the sort buffer in the running history of the MapReduce job. By using the MapSortPeak, Mammoth can reserve enough space for map tasks and prevent frequent memory recycling from the send or receive buffer when the memory is constrained.

$$TotalSize = MaxSize - EMPoolSize - MultiBufSize \\ RRMSize = TotalSize - MRMSize \\ MRMSize = Min(SortBufSize + CurSendBufSize, TotalSize) \\ SortBufSize = \begin{cases} MapSortPeak \times MapNum & MapSortPeak \neq 0 \\ CurSortBufSize & MapSortPeak = 0 \end{cases}$$

When one Map task is launched or completed, it will register or unregister to MRM. In this way, MRM can know the value of MapNum. MergeSort reserves (i.e., requests) the cache files for Sort Buffer from MRM, and Sender unreserves (i.e., returns) the cache units that have been shuffled from the Send Buffer and returns them to MRM. This is how MRM counts SortBufSize and CurSendBufSize. Every Map task calculates its MapSortPeak at runtime, and just before it is completed, it reports to MRM its MapSortPeak. When the values of these variables change, MRM informs CRM and CRM decides the heap sizes for both MRM and RRM, as shown in Algorithm 1. Similar to Map, when a Reduce task begins or ends, it registers or unregisters to RRM, and RRM then divides RRMSize evenly among the executing Reduce tasks. Receiver reserves the memory for the Receive Buffer from RRM, while Pusher unreserves the memory from the Receive Buffer and returns it to RRM. RRM informs CRM of its memory usage condition, which is used to determine when memory is a constraint for the Map tasks (which will be described in Algorithm 2). During the procedure of the whole workflow, the memory usage for RMs will change and their memory quotas will be adjusted dynamically. When the new heap size for RM is larger than before, there is enough memory for the tasks to use. On the contrary, when the new heap size is smaller than before, it suggests that the memory is not sufficient and certain parts of the buffer have to be spilled to the disk promptly.

Algorithm 1 CRM schedules memory between MRM and RRM

Require: MRM informs CRM

Ensure: MRM has higher priority than RRM

- /*TotalSize is initialized at the beginning, MRM will inform CRM about the newly changed parameters*/
- 2: **if** MapSortPeak = 0 **then**
- 3: SortBufSize ← CurSortBufSize
- 4: else
- $5: \quad SortBufSize \leftarrow MapSortPeak \times MapNum$
- 6: end if
- 7: MRMSize ← min(SortBufSize + CurSendBufSize, TotalSize)
- 8: setRMHeap(MRM, MRMSize)
- 9: setRMHeap(RRM, TotalSize MRMSize)

Algorithm 2 outlines the process of a Map task reserving the memory from MRM. There is a threshold for memory usage for RMs. For MRM, the threshold is MRMSize, which can be determined in Equation (2). When a Map task requests the memory allocation and the requested memory size plus the current memory size being used by MMR exceeds MRMSize, some buffered data need to be released. In this case, the type of buffer with the lower allocation priority has the higher revocation priority, as shown between line 5 and line 14 in Algorithm 2. Since the Receive buffer has the lowest allocation priority, MRM first inquires CRM of RRM's memory state (Line 4). If RRM has enough memory, CRM will move a certain amount of memory from RRM to MRM in order to meet the request (Line 5-7). Otherwise, MRM will first consider spilling the memory in the Send Buffer (Line 8-10), since the send buffer has the second lowest allocation priority. If the current Send buffer is not big enough for the requested memory size, MRM then spills the memory in the Sort Buffer (Line 11-13). Finally, a cache file is allocated and MRM updates CRM of the change.

5 I/O OPTIMIZATION

In Mammoth, in addition to optimizing memory management as presented above, we have also developed the strategies to optimize the I/O performance: 1) designing a unified I/O Scheduler to

Algorithm 2 Memory Application of MRM

```
Input: task_id, size (request size), request type
Output: a cache file
 1: /*CRM sets MRMSize in runtime, used is a statistic value*/
 2: total ← size + used
 3: if total > MRMSize then
 4:
      /*left memory can not fit size*/
 5:
      if total < CRM.TotalSize then
 6:
        /*CRM retrieve memory from RRM*/
        CRM.setRRMSize(RRMSize - size)
 7:
      else if CurSendBufSize > size then
 8:
 9:
        /*MRM retrieve memory from Send Buffer*/
10:
        spill(SendBuffer, size)
11:
      else
        /*MRM retrieve memory from Sort Buffer*/
12:
        spill(SortBuffer, size)
13:
      end if
14:
15: end if
16: get a cache file from cache pool
17: used \leftarrow used + size
18: inform(CRM)
19: return cache file
```

schedule I/O requests at runtime; 2) tackling the problem that parallel I/O causes massive disk seeks; 3) optimizing the Mergesort in Hadoop.

5.1 I/O Scheduler

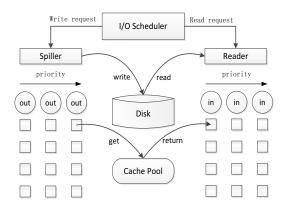


Fig. 11. I/O Scheduler architecture.

In Hadoop, because every task is executed in an individual JVM and does not interact with each other, parallel I/Os that are performed can cause massive disk seeks, and therefore decrease the I/O performance (this phenomenon is also observed in the literature [29]). To implement sequential I/O and overlap the CPU computing and disk I/O, we have developed an I/O scheduler in Mammoth, whose architecture is shown in Figure 11. There are two components: **Spiller** and**Reader**, responsible for write and read operations respectively. Both components have request buffer queues, with each queue correspond to one I/O request, these buffer called multi-buffer. Each buffer queue has a priority, used by **Spiller** or **Reader** to reorder the write/read operations.

In Mammoth, the I/O operations are divided into two types: passive I/O and active I/O. An I/O operation is called passive I/O when the I/O operation is generated because the intermediate data can not be hold in the buffer and they have to be spilled to the disk temporally. Other I/O operations are called active I/O, such as reading the input data from HDFS, writing the final

results to HDFS, and writing the Map tasks' intermediate results for fault tolerance. Active I/O has higher priority than passive I/O, because Active I/O is more important for the job progress and should be performed as promptly as possible. For passive I/Os, the operations that operate on the type of buffer with higher allocation priority have the lower spill priority and the higher read priority. For example, since the Sort buffer has the higher allocation priority than the Send buffer. When the system is short of memory, the data from the Send Buffer has the higher spill priority to generate spare memory. If both types of buffer have spilled the data, the Sort buffer has the higher read priority.

Algorithm 3 Spill in I/O Scheduler

```
1: /*determine the priority for this request*/
 2: if type=Active then
      priority←4
 3:
 4: else if type=Receive then
      priority←3
 5:
 6: else if type=Send then
      priority←2
 8: else if type=Sort then
      priority\leftarrow 1
10: end if
11: queue ← initializeQueue(priority)
   add queue to Spiller's queueList
   /*Spiller spills the data in buffer queues*/
14:
   while queueList is not empty do
      p \leftarrow the biggest priority of queues
15:
      for all queue ∈ queueList do
16:
        if p=queue.priority then
17:
           spill(queue[0])
18.
         end if
19:
      end for
20:
21: end while
```

As shown in Algorithm 3, when a write request is submitted to the I/O Scheduler, the I/O Scheduler will assign this request a priority based on its type (Line 2-10), and then initialize a queue and add the queue to the queue list in **Spiller**. Line 14-21 show how **Spiller** spills the data in different queues. Requests with higher priority will be fulfilled first. For the requests with the same priority, **Spiller** will poll their queues and write one block at a time in a round-robin fashion, which we call interleaved-I/O. **Reader** processes a read request in the similar way as **Spiller** and therefore its discussion is not repeated here.

5.2 Parallel I/O

We have conducted an experiment about three I/O types: parallel, sequential, and interleaved on a cluster node. The node is equipped with one disk and 8 CPU cores, running CentOS Linux 5 and its memory is almost used up by the applications. The program is coded in java and executed with jdk-1.6.0. For each of the three types of I/O, $8 \times 200MB$ files are spilled three times, each with different sizes of write buffer. The completion time of each spill is recorded and the average results are plotted in Figure 12, in which the x-axis is the size of write buffer, while the y-axis is the completion time of the spill, representing the spill speed. What we can observe from the results are as follows: Firstly, in most cases, the order of the completion time is: parallel > interleaved > sequential; Secondly, when the buffer gets bigger, the speed of parallel I/O decreases rapidly.

In theory, parallel I/Os will cause overhead due to massive disk seeks, while sequential I/Os do not have this problem. When

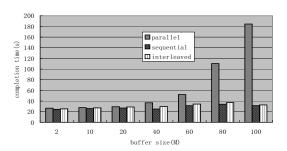


Fig. 12. Comparison among different I/O types

there is sufficient memory, Linux file system can buffer the I/O requests and solve this problem effectively through a series of optimizations. However, for data intensive applications, there will be little memory left for the file system, and the inefficiency of parallel I/Os will appear. In this case, sequential I/Os can access the disk more effectively (without massive disk seeks). However, sequential I/Os causes the problem of unfairness. When the granularity of interleaved I/O is set appropriate, we can achieve a balance between efficiency and fairness. Since most memory is used as a sequence of cache units in Mammoth, the granularity is set as one *cache unit*. When spilling a *cache file*, the data is added to the corresponding I/O queue *cache unit* by *cache unit*. For the **Reader**, when a *cache unit* is full, it will pop out from the queue and be read.

5.3 Merge-Sort

In Mammoth, the merge-sort operations are mainly performed on the data stored in the memory, which we call in-memory merge-sort. In the conventional Hadoop, however, the sort algorithm is mainly performed over the data stored in the disks, which we call the external sort. As the result, the CPU-bound sort instructions and disk I/Os are interleaved in executions. Consequently, after the buffer is full of the sorted data, the CPU must block and wait. With the multi-buffer described above, we can implement non-blocking I/Os. As for the **Spiller**, the units of a *cache file* are added to the **Spiller**'s buffer queue. After a *cache unit* is written to the disk, it will be returned to the Cache Pool immediately.

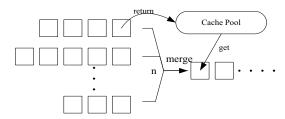


Fig. 13. Merge-sort in Mammoth.

As we know, the space complexity of the traditional merge-sort algorithm is relatively high. In Mammoth, the cached data has a common data structure, i.e., the *cache file*, which is composed of multiple cache units. As shown in Figure 13, assume that there are n sorted cache files to be merged, that the size of one cache unit is U, and that the i-th $(1 \le i \le n)$ cache file contains L_i cache units. Then the space complexity of the traditional mergesort is $\sum_{i=1}^{n} L_i \times U$. In Mammoth, however, after a cache unit is not used anymore, it can be returned to the Cache Pool. Its space complexity is $n \times U$.

TABLE 2 Configurations of Hadoop

Parameter	Values
mapred.tasktracker.map.tasks.maximum	8
mapred.tasktracker.reduce.tasks.maximum	8
mapred.reduce.child.java.opts	2GB
mapred.map.child.java.opts	2.4GB
io.sort.factor	100
io.sort.mb	1.7GB
io.sort.spill.percent	0.9
io.sort.record.percent	0.5
dfs.block.size	512MB

6 EVALUATION

In this section, performance evaluation is presented to verify the effectiveness of the Mammoth system. Section 6.1 evaluates the performance relative to typical intermediate data size. Section 6.2 studies the performance under different memory configurations. The scalability test will be presented in section 6.3, and some typical applications from scientific and data mining areas are evaluated in section 6.4. The settings of the experiments are described in corresponding sections. The performance of Mammoth is compared against Hadoop of version 1.0.1.

6.1 Intermediate Data Size

As discussed in Section 2, the size of intermediate data has a big impact on performance of Hadoop. Three typical built-in benchmark applications in Hadoop are used in these experiments: WordCount without Combiner, Sort and WordCount with combiner. These three benchmarks represent different relations between intermediate data and input data. WordCount without combiner, Sort, and WordCount with combiner represent the cases where the size of intermediate data is larger than, equal to and smaller than the size of input data, respectively.

WordCount is a canonical MapReduce application, in which the Map function translates every word in the input data to a < word, 1 > pair in the intermediate data and the Reduce function sums the word's occurrences and transmits a < word, N > pair. WordCount without combiner refers to this version of WordCount. Suppose that the average length of the words is x bytes. In the intermediate data, the value of the < key, value > pair is an integer and its length is 4 bytes in Java. Then the ratio between the size of the intermediate data and the size of the input data is $\frac{x+4}{x}$. The WordCount with Combiner refers to WordCount application with a combiner function. The combiner function aggregate the Map task's results with summing the word's occurrences and transmitting a < word, N > pair for a map task. Based on this function, the intermediate data will be < word, N >pairs, which will be smaller than the input words. Sort is the useful measurement of MapReduce performance, in which the

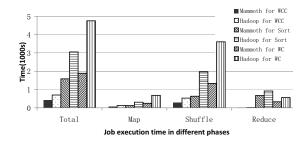


Fig. 14. The performance relative to intermediate data size.

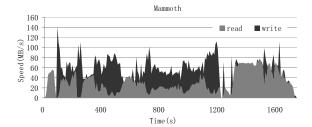


Fig. 15. The disk speed in Hadoop and Mammoth.

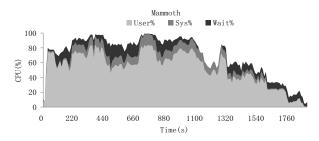


Fig. 16. The CPU usage and I/O wait on one node.

Hadoop 80 CPU (%) 60 40 20 0 0 680 1360 2040 2720

Hadoop

Time(s)

■read ■write

160

140

120

40

20

Speed (MB/s) 100 80

MapReduce framework will sort the data automatically, and the Map function just transmits all the input < key, value > items as the intermediate items.

17 nodes are used in this set of experiments. Each node is equipped with two 8-core Xeon-2670 CPUs, 32GB memory and one SAS disk. One node works as the master and the rest 16 nodes work as slaves. We will compare the performance of Mammoth and Hadoop in terms of i) job execution time, ii) CPU utilization, and iii) I/O utilizations. The input dataset of Sort is produced by randomwriter (native in Hadoop). The size of the dataset for Sort is 320GB, 20GB for each slave node. The input dataset for WordCount is produced by randomtextwriter (native in Hadoop too), and the size is also 320GB with 20GB for each slave node. Each job is run independently for three times and the collected results are then averaged. The job configurations of Hadoop are shown in Table 2. This is the best configuration for Hadoop, and the analyses will be presented in section 6.2. The configurations of Mammoth are the same as Hadoop, except that the whole memory that all tasks are sharing the heap of the same JVM. The heap size of the execution engine JVM in Mammoth is 32GB, which is the total memory size in a node. Note that in Table 2, the block size is set to be 512MB. This is because the work in [26] shows that setting the bloack size to be 512MB in Hadoop (instead of the common configuration of 64MB) can reduce the map startup cost and increase the performance. Therefore, we are not comparing Mammoth against Hadoop with the disadvantaged configurations in the experiments.

Figure 14 shows the total execution times of three different applications as well as the breakdown of the execution times in three different execution phases, where WCC is WordCount with combiner and WC is WordCount without combiner. It can be seen from the figure, when running WC, Mammoth reduces the job execution time significantly compared with Hadoop. The execution time of WC in Hadoop is 4754s, while the execution time in Mammoth is 1890s, which amounts to a speedup of 2.52x in job execution time. The reasons why Mammoth achieves the performance improvement are as follows:

1) Mammoth reduces the number of disk accesses. Although in Hadoop the 1.7GB intermediate buffer in the Map tasks can hold all the intermediate data in memory, which minimizes the I/O operations for intermediate data, Hadoop still writes the intermediate data once and read once for the Shuffle phase. Mammoth pushes the intermediate data to the Reduce tasks directly from memory and performs fault-tolerance spilling in an asynchronous manner. Therefore, there are no I/O waits in the shuffle phase. The decrease in disk I/Os greatly improves the Map tasks' performance. As shown in Figure 14, the average time (over three applications) taken by the Map phase of Mammoth is 249s, compared to 624s of Hadoop.

In this experiment, the number of the Map task waves is 5 $(\frac{20GB}{512MB\times8} = 5)$. In Mammoth, Shuffle is performed from Map tasks' memory to Reduce tasks' memory, and the Shuffle phase is performed concurrently with the Map Phase. Therefore, the Shuffle phase in Mammoth is not the bottleneck in the MapReduce model. As shown in Figure 14, the shuffle time is 1331s, which is almost equal to the total Map tasks' time $(5 \times 249s = 1245s)$. However, the Hadoop Shuffle time is 3606s, which is larger than Map tasks' total time $(604s \times 5 = 3020s)$.

At the Reduce side of Hadoop, the heap size for one Reduce task JVM is 2GB and a Reduce task can use up to 70% of its heap size (i.e. 1.4GB), called maxsize, to hold the intermediate data from the Map tasks. When the size of the intermediate data grows to 66.7% of maxsize, the Reduce task will write all the intermediate data into the disk. The size of the intermediate data for a Reduce task is about 4GB. So a Reduce task will have to spill about $1.4GB \times 66.7\% \times \lfloor \frac{4G}{1.4GB \times 66.7\%} \rfloor = 3.7GB$ intermediate data into the disk and read the intermediate data from the disk for the Sort and Reduce operations. As for Mammoth, the heap size is 32GB. Mammoth only needs to spill $4GB \times 8 - 32 \times 70\% = 9.6GB$ intermediate data into the disk, compared to $3.7GB \times 8 = 29.6GB$ in Hadoop. This is why Mammoth gains 70% performance improvement over Hadoop in the Reduce Phase.

2) The second reason why Mammoth achieves the shorter job execution time is because we improved the I/O efficiency for data spilling. As mentioned in section 5.1 and section 5.2, a large number of parallel I/Os will incur massive disk seeks, and the sequential or interleaved I/O is adopted, which improves the read/write speed. CPU computing and disk accessing are also overlapped. In Hadoop, merge-sort is widely used. The mergesort operations cause the CPU to wait until the disk writes finish. Mammoth utilizes a Multi-buffer technique to implement the asynchronous I/O and achieves the optimized in-memory mergesort. Consequently, CPU does not need to wait for the completion of I/O operations, which improves the resource utilization.

From Figure 14, we can also see that the performance improvements of Mammoth in the other two applications (Sort and WCC) are not as significant as WC (a speedup of 1.93x and 1.66x in job execution time for Sort and WCC, respectively). The reason for this can be explained as follows. The size of the output data produced by a Map task is 850MB for WCC, 512MB for Sort and 30MB for WC, respectively. As the size of the intermediate data decreases, the problem of I/O waits becomes less serious. The CPU power becomes a more critical element to determine the job execution time.

To further show the reason behind the performance improvement by Mammoth, we recorded the information about disk accessing (shown in Figure 15) and CPU utilization (shown in Figure 16) in the experiments with WC conducted above. The x-axis of these two figures represents the timeline. The y-axis in Figure 15 represents the I/O speed during job executions, while the y-axis in Figure 16 is CPU utilization.

The Figure 15 shows that I/O speed of Mammoth is higher than that of Hadoop, which supports the analyses above. Figure 16 shows that the I/O wait time of Mammoth is much shorter than that of Hadoop, which indicates more CPU power is utilized for data processing in Mammoth. In Figure 16, the 'user%' in Mammoth is steadily close to 70% during the execution (until it approaches the completion). In Hadoop, the value of 'user%' is less than 60% in most of the time and fluctuates dramatically. This suggests that Mammoth orchestrates the CPU and I/O resources well in data processing.

6.2 Impact of memory

In order to evaluate the impact of memory, we conducted two sets of experiments. In both sets of experiments, 17 nodes are used and WC is run in the same way as in Section 6.1. But in the first set of experiments, we changed the size of map sort buffer in Hadoop and the heap size of the execution engine in Mammoth in order to study the effects of memory settings on the performance of both systems, while in the second set of experiments, we examined the performance of both Mammoth and Hadoop under different size of physical memory on each node.

The results of the first set of experiments are shown in Figure 17, in which both job execution time and the breakdown of the total execution time for different phases are plotted.

In Figure 17, the job execution time (i.e., JobTime in the figure) in Mammoth varies from 1890s to 2577s, while the execution time in Hadoop is much longer, from 4754s to 7330s. In the best case, Mammoth achieved the speedup of 3.9x against Hadoop. Even in the worst case, the speed up is 1.8x. These results suggest Mammoth is able to achieve consistent performance improvement over Hadoop, even if the system administrator may not set the best memory configurations for Mammoth. We can also observe that the job execution times in Hadoop vary a lot with different memory configurations (from 4754s to 7330s), while the execution times in Mammoth is more stable (from 1890s to 2577s). The explanation of the experiment results are as follows.

In Hadoop, different Map buffer sizes mean different styles of spilling for intermediate data as mentioned in section 2.1. When the Map buffer can not hold all the intermediate data generated by the Map task, the intermediate data will be spilled into several intermediate files on the local disk. At the end of the

Map task, the intermediate files will be read and merged to one final sorted file on the local disk. When the Map buffer is just big enough to hold the intermediate data, the intermediate data will be sorted in memory and spilled to the disk only once. Hadoop can usually gain the best performance in this memory setting. When the Map buffer is even bigger, the extra memory will be wasted and even restrict the amount of memory that the Reduce tasks can use. From the history log, we can know that the actual size of the intermediate < key, value > pairs is about 850MB. In Hadoop, each < key, value > pair has additional information, such as index, key offset, value offset and partition. For WordCount, the additional information will use about the same size of memory as the actual data. So we can see from the figure that Hadoop gains the best performance when the sort buffer size is set to 1700MB.

As for Mammoth, the heap size of the execution engine determines the maximum size of memory it can use in the application level, while the remaining memory can be used as the file cache of the file system. We observed from Figure 17 that the variance of performance in Mammoth was smaller than that in Hadoop. This is because Mammoth takes global memory management and is able to adjust better to different memory conditions. When the heap size is set close to the node's total memory size, the best performance can be achieved. This is because Mammoth can have control over more memory and optimize its usage.

In summary, the comparison logic in Figure 17 is as follows. In the figure for Hadoop, we conducted the experiments under different memory configurations in Hadoop. We found that the achieved performance varies a lot as the memory configuration changes. The reason why we chose the size of the sort buffer as the varying parameter for the experiment for Hadoop is because the size of sort buffer is the key memory configuration for Hadoop. This is because the size of the sort buffer determines how much of the intermediate data generated by map tasks can be hold in the memory, which consequently determines the amount of I/O data during the map stage. Moreover, the sort buffer also determines the amount of memory that is available in the reduce stage, which in turn determines the amount of I/O data in the reduce stage. We conducted the experiments under a wide range of sort buffer size in Hadoop and found that 1700MB is the best configuration for the sort buffer. In the figure for Mammoth, we conducted the experiments under different memory configurations (i.e., the heapsize since it is the only memory configuration that the users have to adjust in Mammoth). We found that even the lowest performance achieved by Mammoth is better than the highest performance by Hadoop. Therefore, the results of this set of experiments verify that Mammoth outperforms Hadoop.

The results of the second set of experiments are shown in Figure 18. In these experiments, each node is equipped with 64GB physical memory in total, and we modify the grub configuration file of the Linux OS to set the runtime available memory volume.

In order to get the highest performance, the heap size in

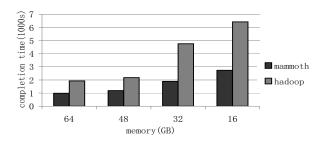


Fig. 18. Performance relative to available memory.

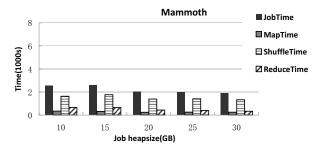


Fig. 17. Performance relative to memory parameters.

Mammoth and the size of map sort buffer in Hadoop were tuned adaptively according to the physical memory capacity. The following observations can be made from Figure 18.

First, as the memory size decreases, the job execution time of both Mammoth and Hadoop increases. This suggests that the memory resource has the inherent impact on the performance of data intensive applications.

Second, when the memory is sufficient(the cases of 64GB and 48GB in this figure), both Hadoop and Mammoth gains good performances in terms of job execution time. In this case, Mammoth achieves about 1.9x speedup against Hadoop. When the memory becomes a constraint (i.e., 32GB and 16GB in the figure), the job execution time in Hadoop increases much more sharply than that in Mammoth. Under these circumstances, Mammoth gains up to 2.5x speedup over Hadoop.

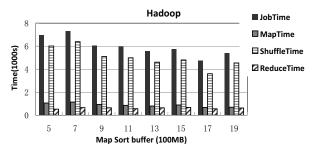
The reason for this can be explained as follows. When the memory becomes constrained, both Hadoop and Mammoth will spill intermediate data to the local disk. As explained in section 6.1, however, Hadoop performs some unnecessary disk accesses and the coarse-grained memory usage causes the problem of memory constraint even more serious.

In both sets of experiments, it can be seen that Mammoth can adapt to different memory conditions and achieve consistent performance improvement. In Hadoop, the performance mainly relies on the cluster administrators, who must be very familiar with the operating mechanism of Hadoop. For each job, the various configurations have to be different in order to achieve the optimal performance. This is not an ideal situation for system management, especially when the users are application scientists, not computer scientists. For Mammoth, the setup is simple: the users only needs to tell Mammoth how much memory it can use on each node. this is a much easier and more flexible way to manage the system.

6.3 Scalability

We have also conducted the experiments on an increasing number of nodes to examine the scalability of Mammoth in terms of jobs' execution time. The experimental results are shown in Figure 19. In these experiments, two applications, WC and Sort, are run on 17, 33, 65 and 129 nodes, with one node as the master and the rest as slaves. Each node is equipped with 32GB memory and the bandwidth of the network interconnecting the nodes is 1Gbps. For each application, there are 20GB data on every slave node.

As shown in Figure 19, the execution time of WC increases under both Hadoop and Mammoth as the number of nodes increases. We carefully profiled the processing of the application. We find that the reason for the increase in the execution time of WC is the high straggling effect occurring in the execution of WC. The WC application is to count the occurrence frequency of the words in the input data. Since the occurrence frequencies



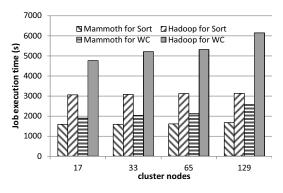


Fig. 19. Performance relative to scalability

of different words could be very different, the straggling effect is easy to occur. And as the input data becomes bigger, the likelihood of having a longer tail is higher, which causes the increases in the execution time. Our observation and profiling of the WC running support this analysis, because we found that the sizes of the input data to different reduce tasks varied greatly. This phenomenon was also observed in [30], which is summarized as the problem of partitioning skew in [30].

Mammoth uses the task speculative execution, which is inherited from Hadoop, to deal with stragglers. Also, Mammoth can coordinate the resource usage between tasks, and tries to allocate more memory to the tasks which demand more memory and consequently reduce the amount of data that have to be spilled out. This is helpful in mitigating task straggling.

The trend of the execution time of the Sort application is different from that of WC. It can be seen from the figure that the execution time of Sort is stable under both Mammoth and Hadoop as the number of nodes increases from 17 to 129. This is because in Sort the data partitioning among tasks is fairly even and therefore there is no such problem of partitioning skew seen in WC. The stable execution time over an increasing number of nodes suggests that Mammoth has the similar scalability as Hadoop.

It can be seen in Figure 19 that Mammoth outperforms Hadoop for both WC and Sort. This is because Mammoth can coordinate the memory usage among tasks. This result verifies the effectiveness of Mammoth.

6.4 Experiments with Real Applications

CloudBurst [31] is a MapReduce implementation of the RMAP algorithm for short-read gene alignment, which is a common task in bioinformatics. We evaluated the performance of running CloudBurst with the lakewash_combined_v2 data set obtained from the University of Washington [20]. Pegaus [23] is a MapReduce library for the graph algorithms written in Java. We

TABLE 3
Performance comparison

Application	Running Time		Speedup
Application	Mammoth	Hadoop	Бреешр
PageRank	2760s	13090s	4.74x
Concmpt	2380s	12364s	5.19x
DegDist	438s	737s	1.68x
Radius	3365s	12250s	3.64x
CloudBurst	1395s	2313s	1.66x

also conducted the experiments with four frequently used graph computing applications: 1) PageRank, a graph algorithm that is widely used by the search engines to rank web pages; 2) Concmpt, an application for connected component detecting, often used as the basic building block in the complex graph mining applications; 3) Radius, an application for graph radius computing; 4) DegDist, an application for vertex degree counting.

We ran all these applications except CloudBurst with the same dataset on 17 nodes, with the 20GB data for each node. Each node is equipped with 32GB memory. We ran CloudBurst on one node. The reason for this is because the lakewash_combined_v2 data set of CloudBurst is too small to be run on multiple nodes. The results are shown in Table 3.

In PageRank and Concmpt, both Map and Reduce Phases are I/O intensive. Therefore the improvements of Mammoth over Hadoop are high for these two applications. Radius is also an I/O-intensive application, but the intensity is not as big as that of PageRank and Concmpt. Therefore, its speedup is consequently smaller than that of PageRank and Concmpt. CloudBurst's intermediate data are big. But it is also a CPU-intensive application. Therefore, the extent of I/O bottleneck is not very big. That is why the improvement for CloudBurst is relatively small. DegDist has relatively small size of intermediate data and therefore is not an I/O-intensive application, which explains why its achieved performance improvement is also relatively small.

7 RELATED WORKS

Extensive studies have been carried out in the near past to improve Hadoop or other MapReduce implementations. Most of the work can be classified into two main categories: 1) management of cluster resources and task/data scheduling under different constraints, such as [43], [21], [41], [18], [15], [14], [7], and 2) performance improvement of execution frameworks, which is closer to our work. We discuss the related work from the following three different aspects.

7.1 I/O efficient MapReduce

MapReduce Online [11] breaks the strict barrier between the map phase and the reduce phase to allow continuously running reduce tasks to produce "early returns" to users. To achieve this, it proposes a pipelined push shuffle approach, which could also alleviate disk I/O overhead at a certain degree. Mammoth's "cache and push" shuffling is similar to the pipelined approach. But its memory usage makes the tradeoffs between sort buffers and map output caches.

Li et al. [26] conduct both theoretical and experimental studies to show that the sort-merge technique used in the Hadoop shuffle phase results in significant CPU and I/O bottlenecks. They replace the sort-merge technique with a disk-based external hash technique, which sacrifices the guarantee of the ordered input in the original MapReduce model. Mammoth eliminates the I/O

bottleneck of external sorting by intelligent memory allocation and preserves the ordered guarantee for reduce functions.

TritonSort [29] is an efficient sorting system, which selects the balanced hardware suitable for sorting application, and utilizes the pipeline-oriented software to improve sorting performance.

ThemisMR [30] is an MapReduce system based on the Trition-Sort idea. These two systems assume that the hardware system for data processing can be tuned as requested, which is suitable for building a new hardware system for data processing, not for utilizing the existing HPC facilities.

Sailfish [28] is a Map-Reduce framework which is centered around aggregating intermediate data, specifically the data produced by map tasks and consumed later by reduce tasks, to improve performance by batching disk I/Os. This gains more improvement on the hardware equipped with multiple disks on one node.

Hadoop-A [38] improves the shuffle performance based on the Infiniband network. The work focuses on the improvement of network performance.

7.2 In-memory data analytics

PACMan [8] makes the underlying HDFS cache the input data blocks of the MapReduce jobs in the nodes' main memory. It uses a per-job "all-or-nothing" cache replacement strategy to accelerate small interactive jobs, especially the jobs with only one-wave map tasks, on the data centers shared by multiple jobs.

Several newly proposed systems [10], [42], [34] store all the job data in memory if possible, which significantly reduces the response time of many interactive queuing and batched iterative applications. All of these systems aim at making full use of the rich memory resources of modern data center servers, but do not address the associated problems when total memory demand of the job exceeds the physical memory size and different datasets compete the limited capacity. Mammoth is designed for the servers equipped with moderate memory sizes. Our heuristic memory scheduling algorithm tries to maximize the holistic benefits of the MapReduce job when scheduling each memory unit.

Similar to Mammoth, Storm presented in [2] also uses a thread to run a task and the tasks on a node can share the same JVM memory. However, STORM leaves the multi-task memory management completely to the JVM. In fact, Storm has different design goals from Mammoth. Storm is designed for real-time streaming processing, and such low-latency streaming applications require the support for the fast task bootstrap based on JVM reuse. The main challenges and novelty of our work are to carry out the insightful observations and analyses for the characteristics of the disk accessing behaviors and the memory usages in the MapReduce phases as well as the relation between these characteristics and the potential opportunities for I/O performance optimization, and exploit these characteristics to design and implement memory scheduling for buffer spilling avoidance and disk accessing optimization. As the result, our work is able to utilize the system resources more intelligently instead of just opportunistically. These designed optimization measures are especially important (and therefore the benefits of these measures are even more prominent) when the system resources are restricted.

7.3 Global resource management in data centers

Some other newly proposed "data-center schedulers" such as Apache YARN, Twitter's Mesos and Google's Omega [36], [18], [32] also support the fine-grained resource sharing in the data

center. However, the design objective and the implementation methods of these data-center schedulers are very different from Mammoth in terms of the following aspects. First, the target of these data-center schedulers is to enforce resource allocation strategy based on fairness or priorities when sharing the resources of large-scale data-centers among multi jobs/users, while Mammoth is aimed at improving the performance of each job. Second, these data-center schedulers make the scheduling decisions globally at the cluster level, but the Mammoth execution engine on each node schedules memory locally and independently. Finally, The data-center scheduling frameworks reserve the applied memory capacity on the corresponding node for each task, and use the system-level isolation methods such as virtualization and Linux container to guarantee that the tasks can use and only use the reserved memory spaces. The Mammoth tasks dynamically request the memory allocation without the pre-determined pertask limit.

7.4 Techniques from other communities

The database community has been conducting the MapReducerelated research for several years. Jiang et al. [22] first conducted an in-depth performance study on Hadoop and suggested to build the indexes on the frequently queried data sets. Their fingerprinting-based sort algorithm can be used in Mammoth to further alleviate the CPU bottleneck problem in Hadoop's inmemory quicksort, which is planned to be our future work.

HadoopDB [6] replaces the Hadoop's task execution engine with a relational database, and thus avoids re-implementing the indexing module from scratch.

Starfish [17], [16] can make a task run in a good configuration on Hadoop after acquiring some information through job profiling. But it needs professional skills to adjust the job configurations. Mammoth can dynamically determine the key system parameters during the jobs' runtime without any pre-runs for job profiling.

MRAP [33] is a novel MapReduce-based framework to support the HPC analytics applications with file access patterns. MRAP modified the program interface of HDFS to enable the MapReduce jobs to fetch the scientific data directly from HDFS without application-specific pre-processings. Mammoth is not adjusted for any specific HPC application but for the generic memory-constrained platform.

8 CONCLUSIONS AND FUTURE WORK

In this paper, we propose a MapReduce system called Mammoth that is suitable for a memory-constrained platform, such as the HPC clusters. In Mammoth, a global memory management scheme is designed for all tasks on a node, which is fundamentally different from the separated memory management in Hadoop. This new design increases the memory utilization for tasks and balances the performance between the CPUs and the I/O system. Mammoth also leverages a multi cache for sequential and interleaved disk accesses, which improves the data access rate. This paper have conducted experiments on the HPC clusters. The experiment results show that Mammoth achieves a speedup of up to 5.19x over Hadoop in terms of job execution time.

It is worthy noting that the Mammoth system does not change the Hadoop processing phases. It is easy to integrate the existing techniques into Mammoth. Furthermore, the MapReduce applications can be transparently run on the Mammoth system without any modifications required.

The following research issues are planned for the future work.

First, the current version of Mammoth processes one job at a time to achieve the optimized performance using the strategies developed in this paper. We plan to optimize the performance for running multiple jobs simultaneously in Mammoth. In order to realize the multi-job mode, i.e., run multiple jobs simultaneously, the same methodology as in this paper (i.e., the global memory and I/O management) can be applied. In order to optimize the performance in the multi-job mode, the key additional consideration is to take into account each job's characteristics. We plan to explore the following two potential approaches to achieving this.

- (a) We can integrate Mammoth with Mesos [18] or Yarn [36], which are job-wise resource management systems. Each job can first request the essential resources from Mesos or Yarn, and then the tasks in the job share the allocated resources and follow the methodology described in this paper. This approach aims to make use of the existing job management capability in Mesos or Yarn.
- (b) We can run the tasks of different jobs in a single task execution engine JVM and share the global resources (memory, disk, network and CPU) on each node. This way, some runtime information of each individual job may need to be obtained separately. For example, different jobs may have different ratios between the size of the intermediate data and the size of the input data. Meanwhile, we may need to consider more job information to set the priority order for resource usage. The additional job information could include each job's priority, each job's execution progress and each job's resource demand, etc..

In summary, in order to support the multi-job mode, the core methodologies developed in this paper can still be applied, but the additional work may need to be conducted to either integrate Mammoth with the job-wise resource management systems if the first approach is taken, or if taking the second approach, set the above parameter values so that the performance can be optimized when the developed framework is run in the multi-job mode. We plan to tackle these issues in our future work.

Second, Mammoth is essentially a memory-intensive framework, which manages as much memory as possible in the application level, following the similar philosophy as Spark [42] and PACMan [8]. A new research angle along this research direction is that we still manage the memory in the application level, but try to manage the memory opportunistically instead of carefully crafting the memory usage as we did in Mammoth. In the further, we plan to design and implement the opportunistic approach to utilize the memory in Mammoth.

Third, Mammoth only focuses on the disk I/O currently. We plan to integrate the support of the network I/O into Mammoth in the future.

Finally, we plan to investigate hybrid scheduling algorithms, for example, scheduling both CPU-intensive and data-intensive applications, to balance the CPU and I/O processing on the HPC clusters.

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