

# Security of BIOS/UEFI System Firmware from Attacker and Defender Perspectives

## 6. Mitigations

Yuriy Bulygin \*  
Alex Bazhaniuk \*  
Andrew Furtak \*  
John Loucaides \*\*

\* Advanced Threat Research, McAfee

\*\* Intel

# License

Training materials are shared under Creative Commons “Attribution” license [CC BY 4.0](#)

Provide the following attribution:

Derived from “Security of BIOS/UEFI System Firmware from Attacker and Defender Perspective” training by Yuriy Bulygin, Alex Bazhaniuk, Andrew Furtak and John Loucaides available at <https://github.com/advanced-threat-research/firmware-security-training>

## **Section 6. Mitigations**

## 6.1 UEFI Security Mechanisms

# UEFI Security Mechanisms in SecurityPkg

1. UEFI Secure Boot (DxeVerificationLib, Chapter 27.2 of UEFI 2.4 Spec)
2. Authenticated UEFI Variables (Chapter 7 of UEFI 2.4 Spec)
3. Random Number Generator (UEFI driver implementing the EFI\_RNG\_PROTOCOL from the UEFI2.4 specification)
4. User Identification (DXE drivers that support multi-factor user authentication), Chapter 31 of UEFI 2.4 spec
5. TCG Measured Boot (PEI Modules & DXE drivers implementing Trusted Computing Group measured boot EFI\_TCG\_PROTOCOL and EFI\_TREE\_PROTOCOL from the TCG and Microsoft MSDN websites, respectively)

Reference implementation in UDK SecurityPkg:

<https://svn.code.sf.net/p/edk2/code/trunk/edk2/SecurityPkg>

# Other UEFI Security Mechanisms

1. UEFI Secure “Capsule” Update

<http://comments.gmane.org/gmane.comp.bios.tianocore.devel/8402>

2. Variable Lock Protocol (Read-Only Variables)

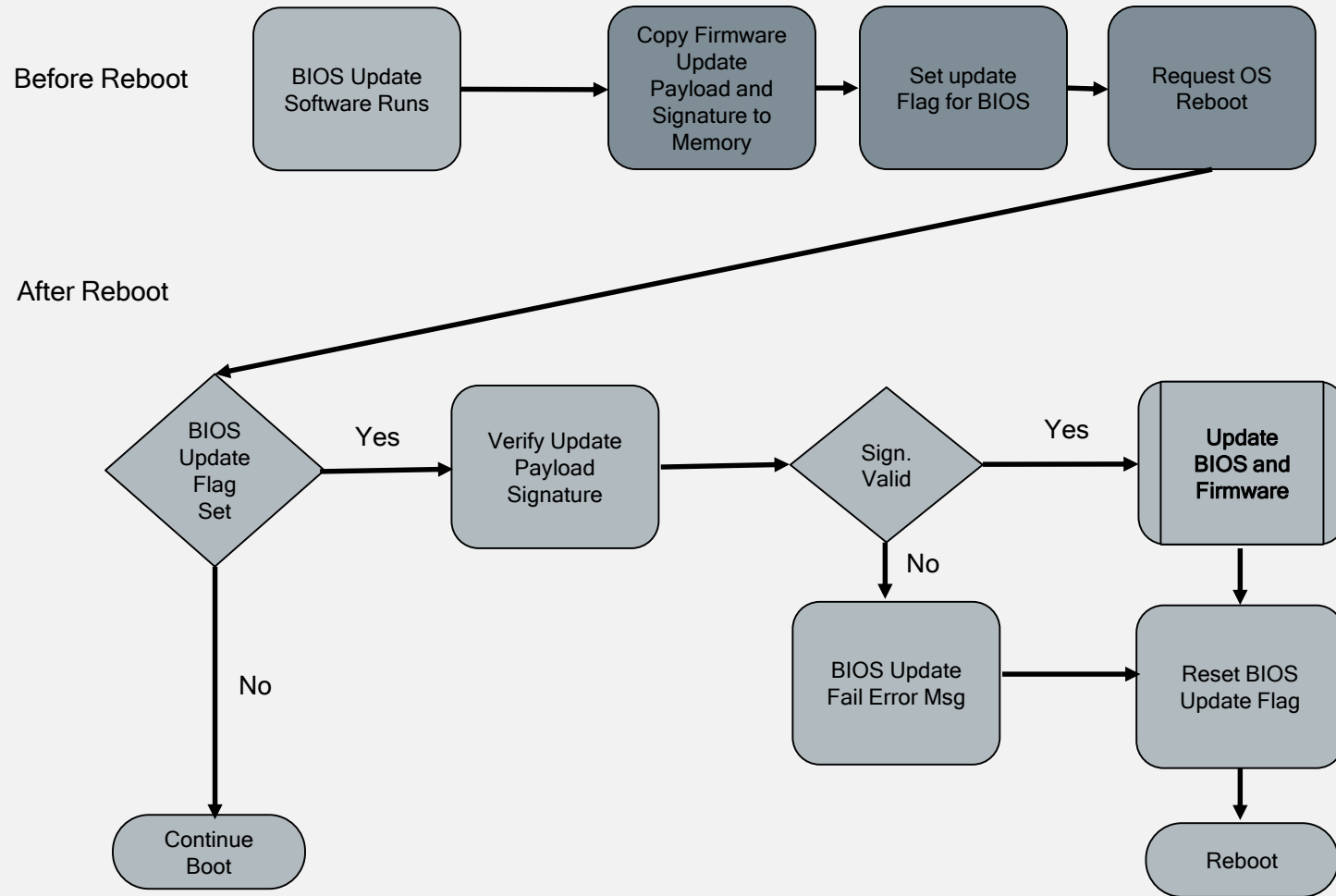
<https://github.com/tianocore/edk2/blob/master/MdeModulePkg/Include/Protocol/VariableLock.h>

3. Lock Box (protects memory contents across S3 sleep state):

<https://github.com/tianocore/edk2-MdeModulePkg/blob/master/Include/Protocol/LockBox.h>

# Signed UEFI “Capsule” Update

# Signed Firmware Update



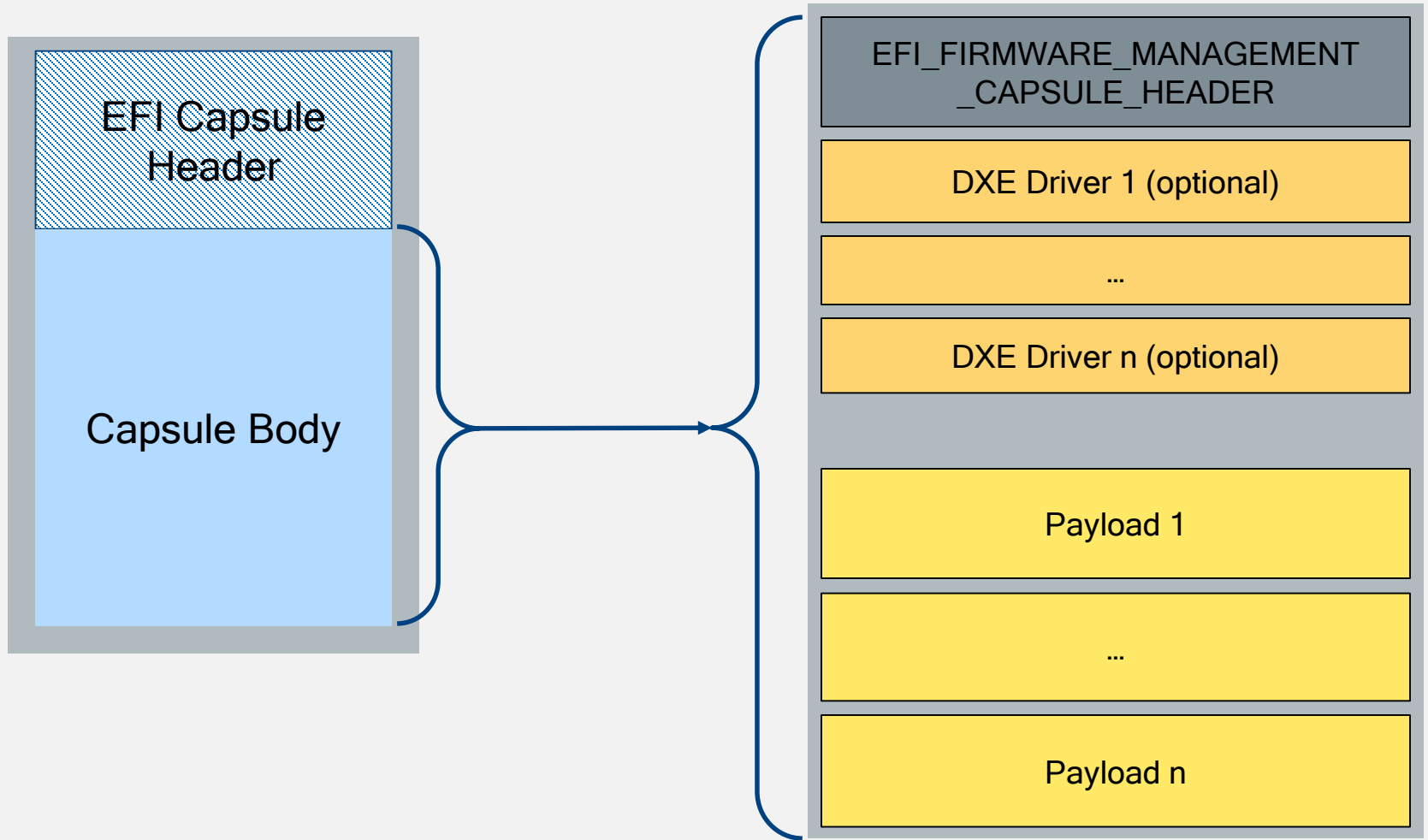
Source: Dell Signed Firmware Update (NIST 800-147)



# Firmware Update Methods

1. UEFI Signed *Capsule* Update (update on reboot/S3)
2. Run-time SMM based update (by an SMI handler)
3. Update during BIOS Setup or from UEFI Shell
4. Remote BMC/IPMI Based Update
5. Update with physical switch

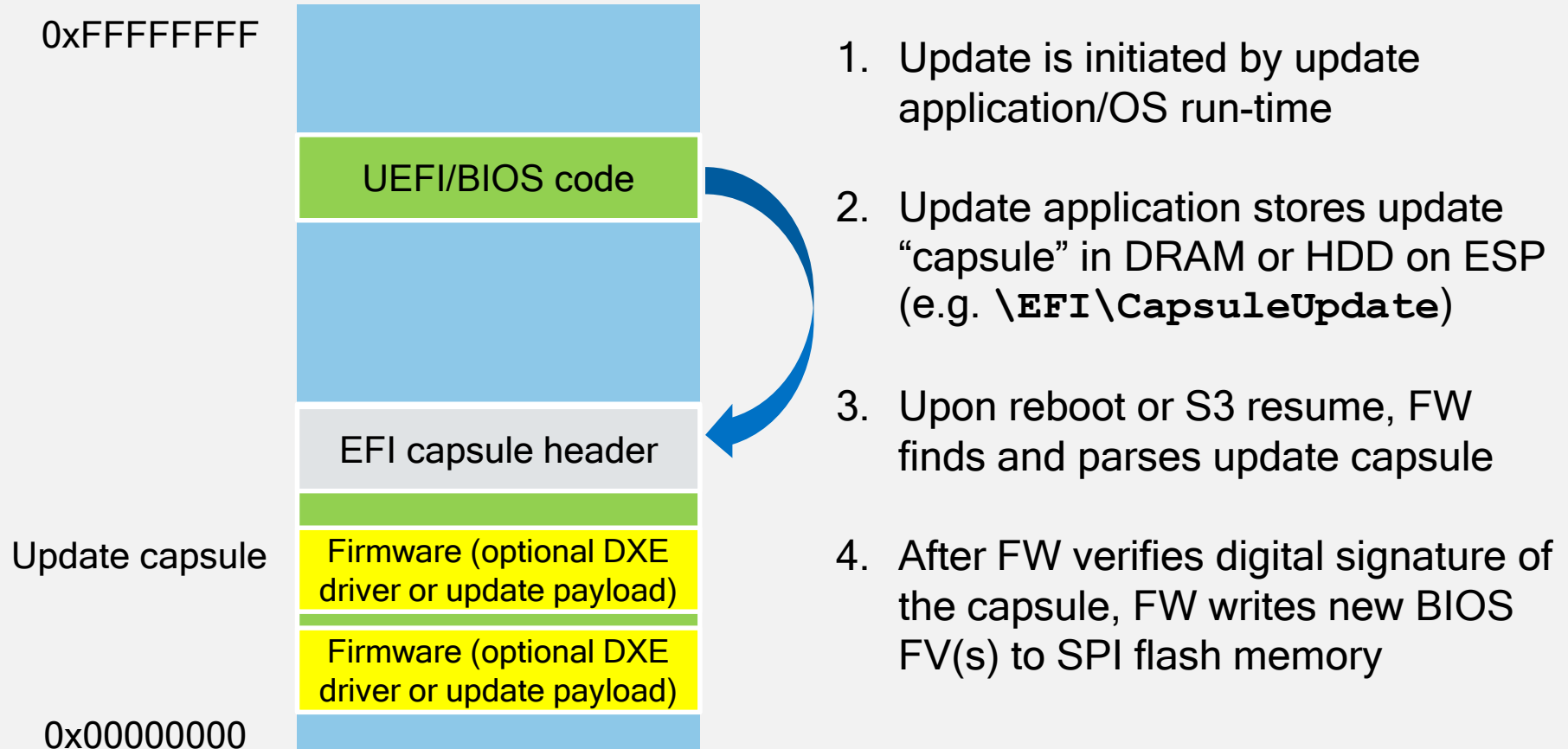
# UEFI “Capsules”



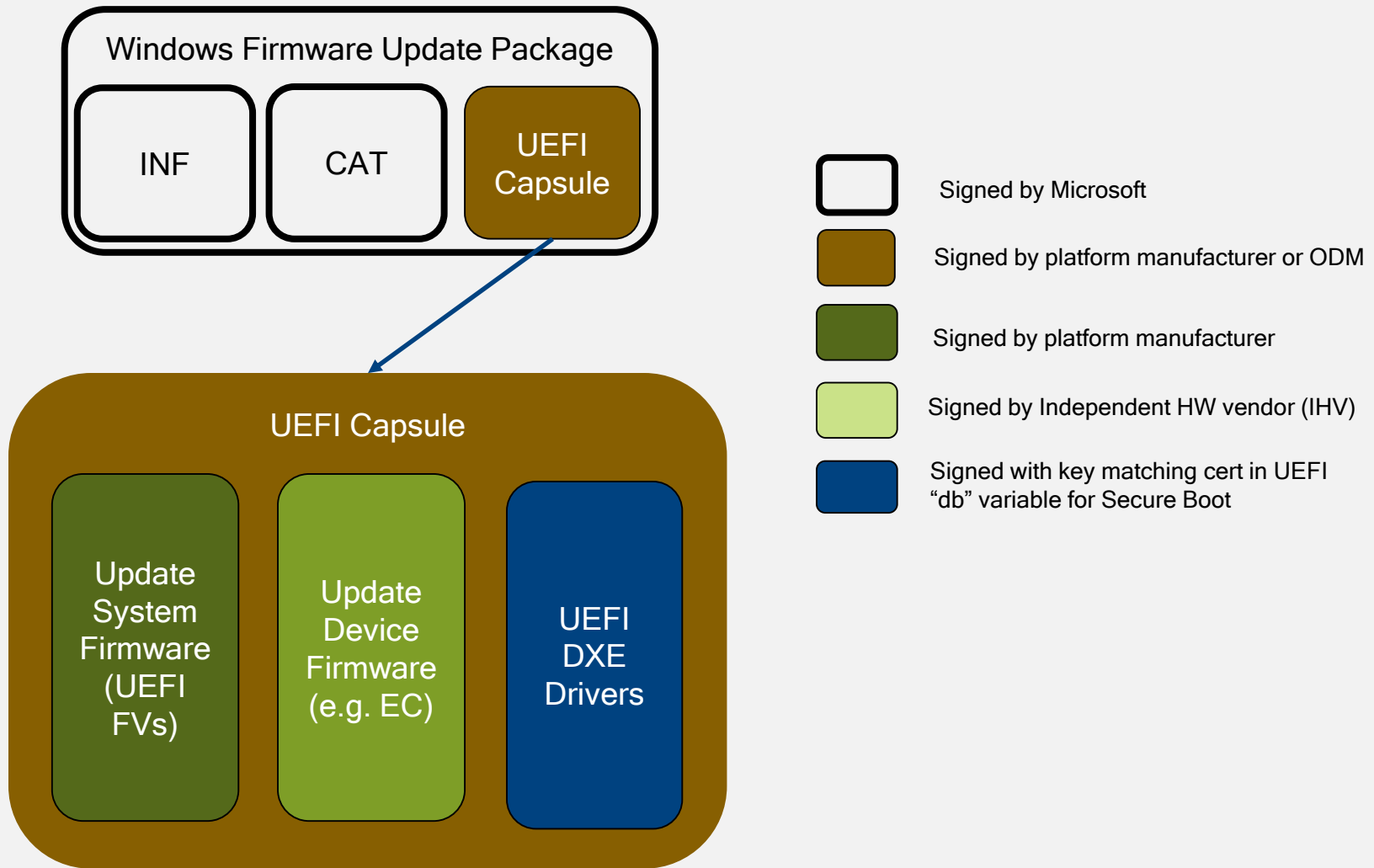
Source: UEFI Spec 2.4 Facilitates Secure Update by Jeff Bobzin (Insyde Software)

# UEFI Firmware Secure “Capsule” Update

Capsule update is a runtime service used to update UEFI FW

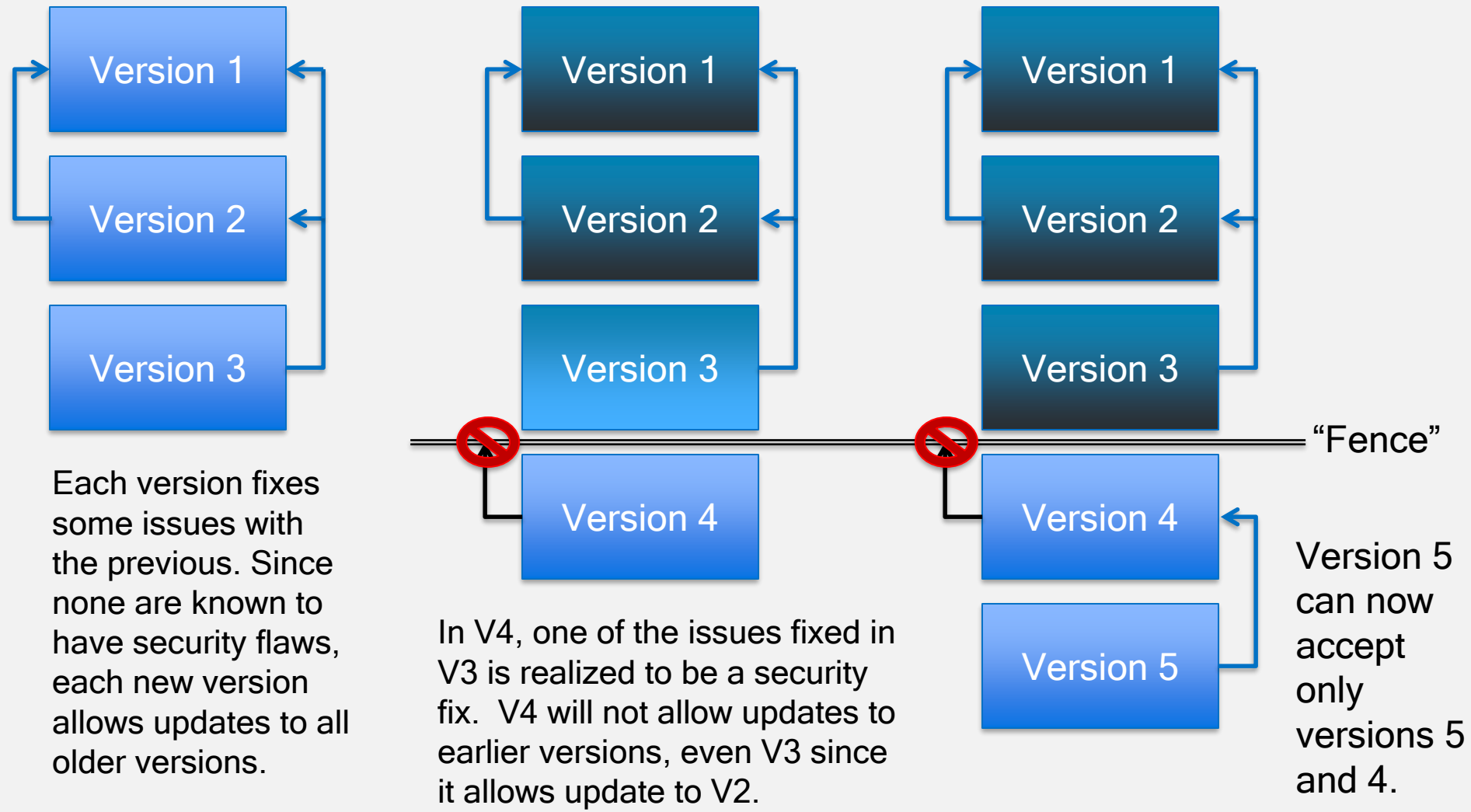


# Windows Firmware Update Package



Source : [Windows UEFI Firmware Update Platform](#)

# Firmware Update Rollback Protection



# Protecting UEFI Variables

# Protecting UEFI Variables

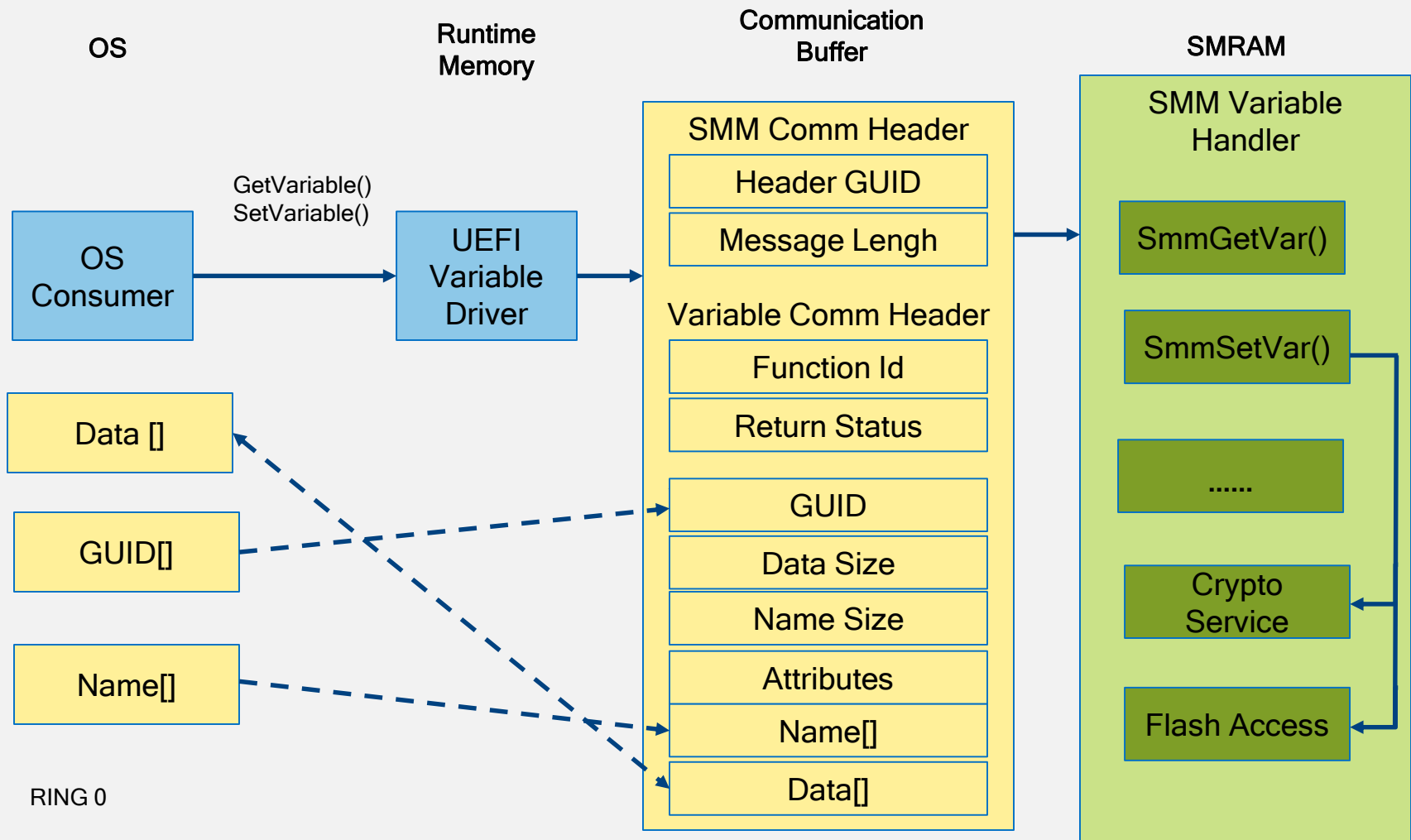
1. Separate critical settings from other and stored in different variables
2. If a variable is only used by the FW then it shouldn't be Runtime
3. Make variables, not updateable by the OS, “read-only” (*Variable Lock*)
4. Use authenticated variables or Pcd for security settings
5. Don't store debug/validation config. (e.g. HW locks) in variables
6. Whenever possible, allow only predefined values written to the variables
7. Validate contents of the variables (e.g. check pointers)
8. Have default config to allow system to boot if variable is corrupted
9. No sensitive data like BIOS passwords in variables in clear
10. Update of some variables may require physically present user
11. Other integrity checks on the contents of critical variables

# Why Authenticating Variables?

1. Secure Boot stores Platform Key (PK), Key Exchange Keys (KEK), white-list and black-list (db, dbx) in UEFI Variables
2. These need to be updateable yet protected from unauthorized software changing them
3. UEFI Authenticated Variable allows update/removal of authenticated variables only if new variable is signed and corresponding public cert already present in NVRAM (e.g. in KEK)
4. Counter or Time Based Authenticated Variable to prevent from replay attacks



# UEFI Variable Update



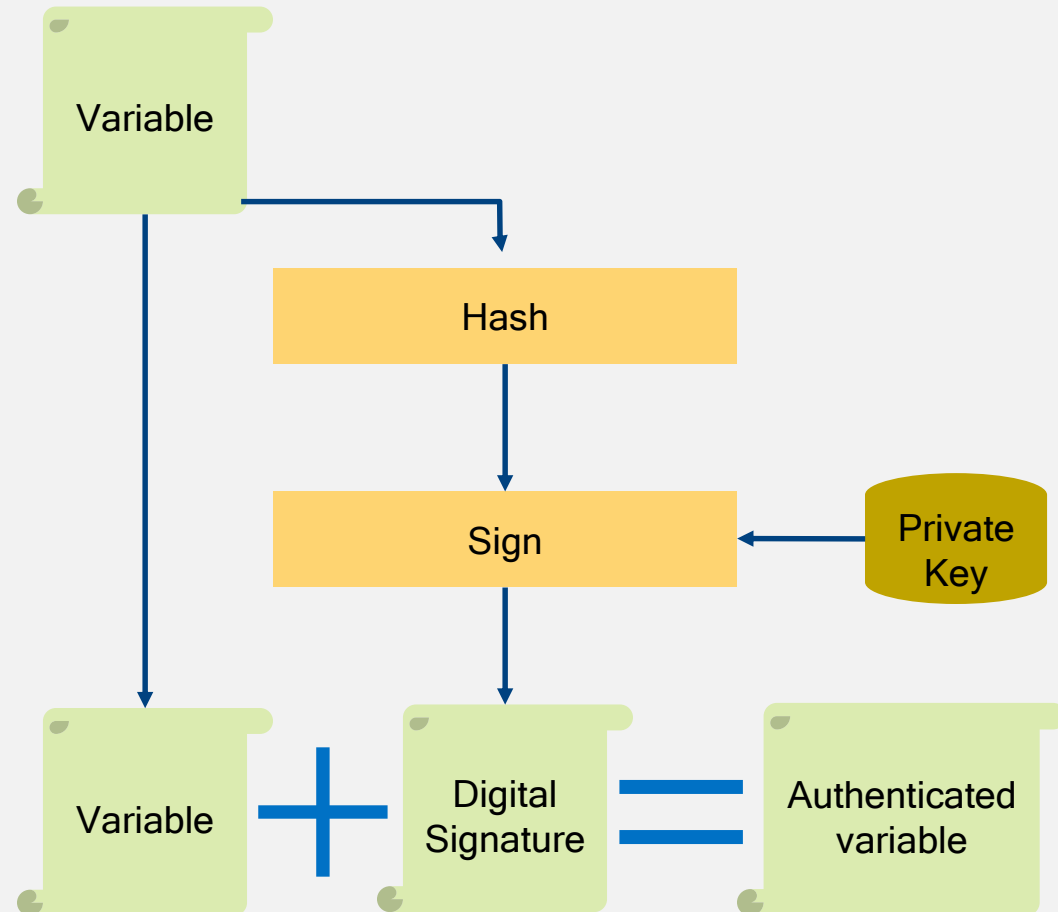
# UEFI Variable Authentication

## *Counter-based authenticated variable*

- Monotonic counter against replay
- SHA256 and RSA-2048

## *Time-based authenticated variable*

- EFI\_TIME as rollback protection
- SHA256 and X.509 certificate chains
  - Intermediate certificate support (non-root certificate as trusted certificate)



# Variables Protection Attributes

## Boot Service (BS)

- Accessible to DXE drivers / Boot Loaders at boot time
- No longer accessible at run-time (after `ExitBootServices`)

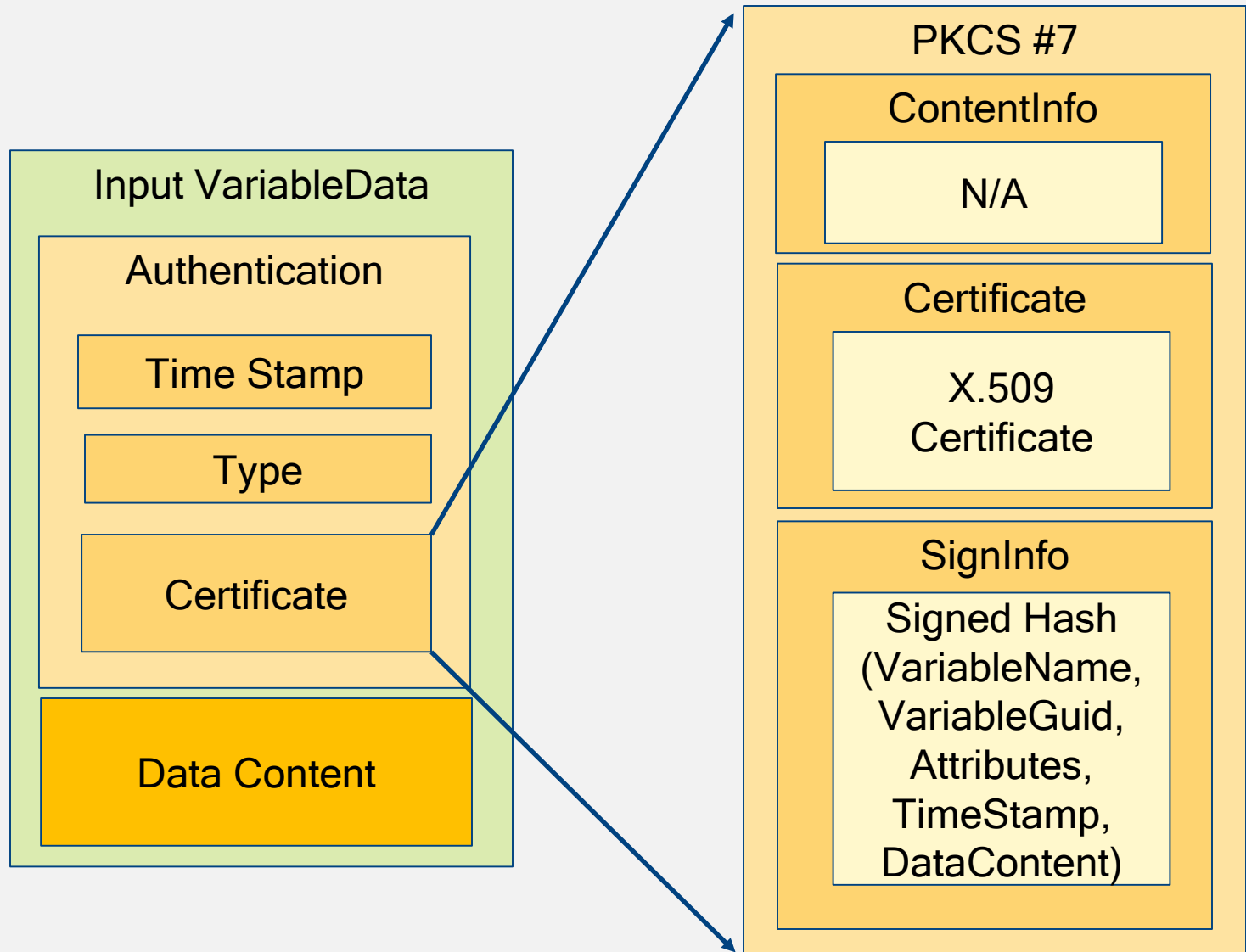
## Authenticated Write Access

- Digitally signed with *MonotonicCount* incrementing each successive variable update to protect from replay attacks
- List of signatures supported by the firmware is stored in `SignatureSupport` variable

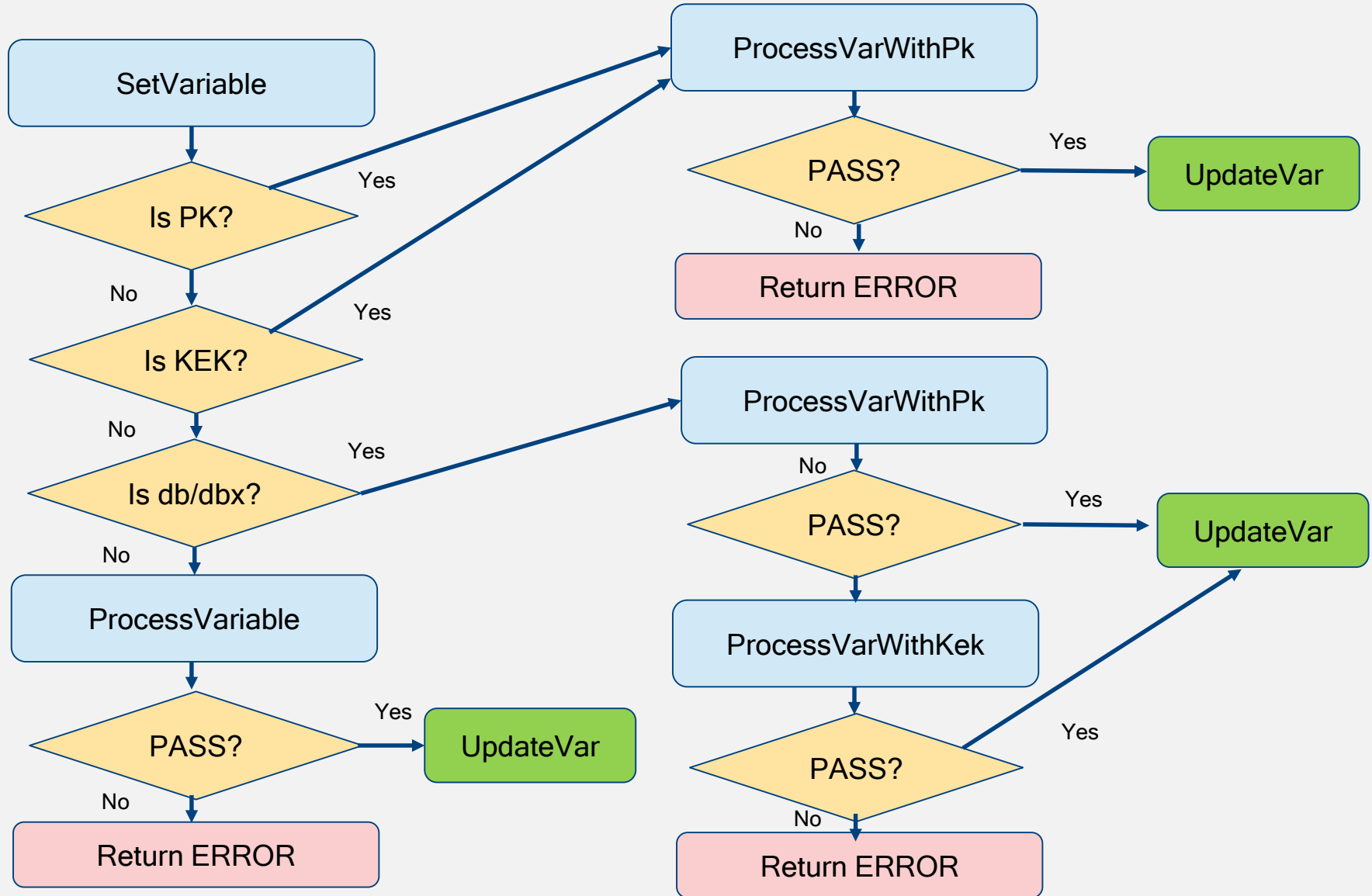
## Time Based Authenticated Write Access

- Signed with `TimeStamp` (time at signing) to protect from replay attacks
- `TimeStamp` should be greater than `TimeStamp` in existing variable
- Used by Secure Boot: PK verifies PK/KEK update, KEK verifies db/dbx update
- `certdb` variable stores certificates to verify non PK/KEK/db(x) variables

# Time Based Authenticated Variables



# Authenticated Variable Update Flow

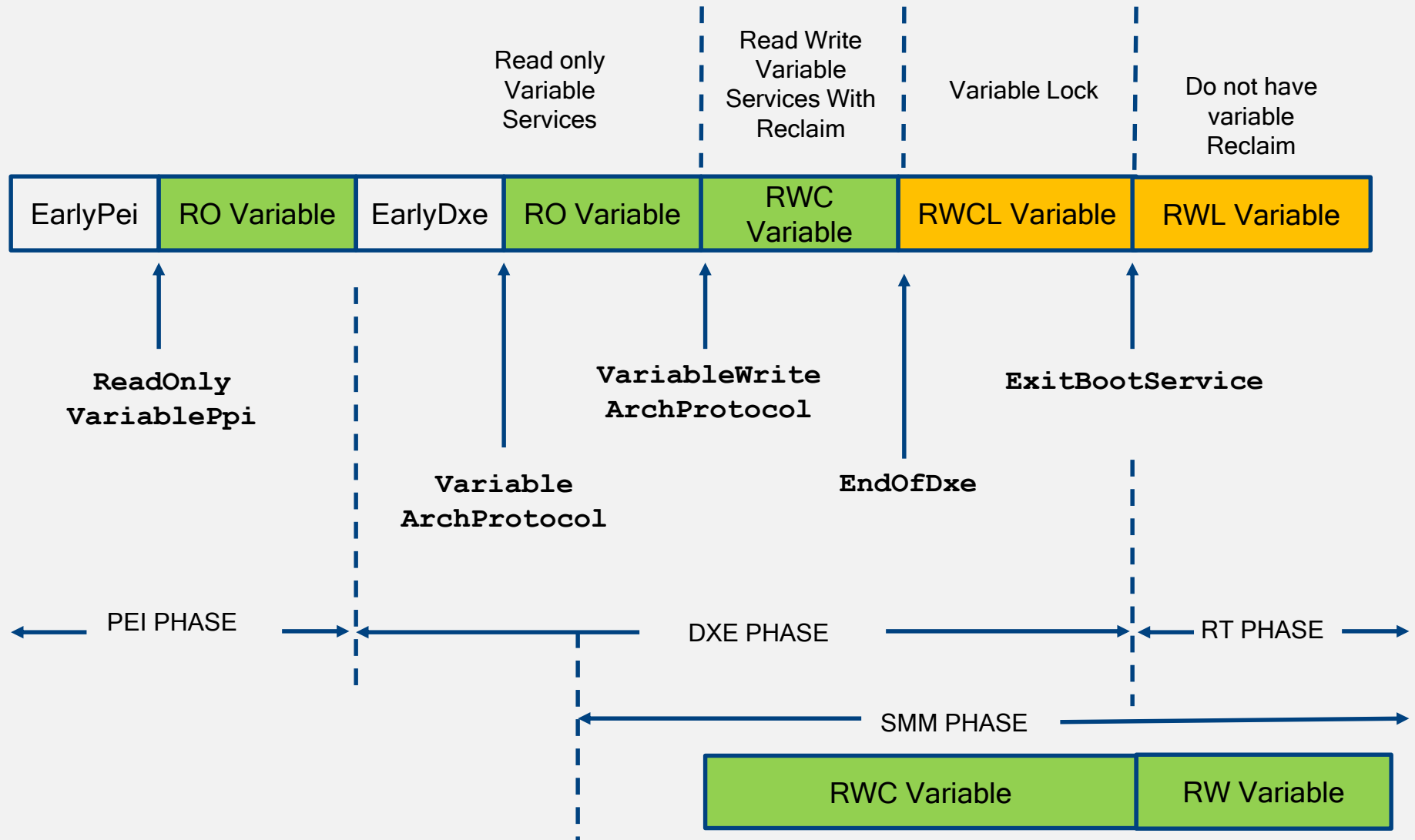


# UEFI Variable Lock Protocol (Read-Only Variables)

# UEFI Read-Only Variables

- EDKII implements **VARIABLE\_LOCK\_PROTOCOL** which provides a mechanism to make some variables “**Read-Only**” during Run-time OS
- DXE drivers make UEFI variables **Read-Only** using **RequestToLock ()** API before **EndOfDxe** event
- After **EndOfDxe** event (e.g. during OS runtime), all registered variables cannot be updated or removed (enforced by **SetVariable** API)
- Lock is transient, firmware has to request locking variables every boot. Before **EndOfDxe** variables are not locked

# Variable Lock Flow



Source: A Tour Beyond Implementing UEFI Auth Variables in SMM with EDKII (Jiewen Yao, Vincent Zimmer)



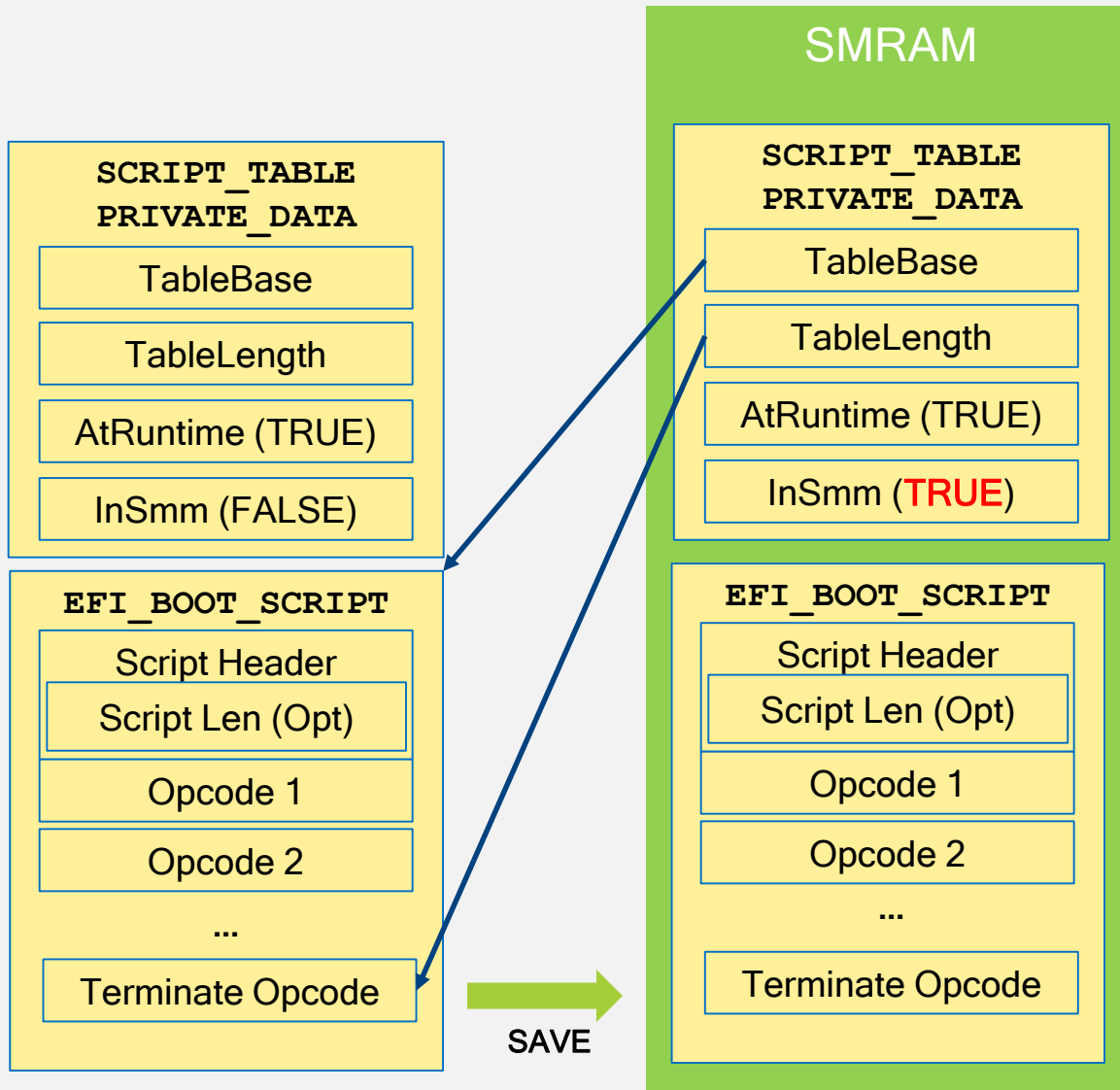
# Protecting S3 Resume Boot Script (LockBox)

# EDK2 LockBox Overview

- LockBox is a protected storage inaccessible to OS or DMA even across S3 sleep state
- LockBox can be backed by anything (e.g. ReadOnly NV UEFI variable or encrypted media)
- Current implementation uses SMRAM as LockBox
- Firmware copies data it needs to protect from the OS and DMA to SMRAM via **SaveLockBox()** API
- SMM LockBox is used in EDKII reference implementation to protect the S3 Resume Boot Script across S3 transition

UEFI LockBox details: [A Tour Beyond BIOS Implementing S3 Resume with EDKII](#)

# Saving S3 Boot Script to LockBox



# Saving S3 Boot Script to LockBox

**SaveBootScriptDataToLockBox()** :

...

//

// mS3BootScriptTablePtr->TableLength does not include  
EFI\_BOOT\_SCRIPT\_TERMINATE, because we need add entry at runtime.  
// Save all info here, just in case that no one will add boot  
script entry in SMM.

//

```
Status = SaveLockBox (  
    &mBootScriptDataGuid,  
    (VOID *)mS3BootScriptTablePtr->TableBase,  
    mS3BootScriptTablePtr->TableLength +  
    sizeof(EFI_BOOT_SCRIPT_TERMINATE)  
);
```

```
ASSERT_EFI_ERROR (Status);
```

```
Status = SetLockBoxAttributes (&mBootScriptDataGuid,  
    LOCK_BOX_ATTRIBUTE_RESTORE_IN_PLACE);
```

<https://svn.code.sf.net/p/edk2/code/trunk/edk2/MdeModulePkg/Library/PiDxeS3BootScriptLib/BootScriptSave.c>

## 6.2 Hardware Based Firmware Protections

# Protection From SMM Cache Attacks

# System Management Range Registers (SMRR)

- 2 MSRs: **SMRR\_PHYSBASE**, **SMRR\_PHYSMASK**
- Physical address PA hits SMRR range when:  
$$PA \ \& \ SMRR\_PHYSMASK == SMRR\_PHYSBASE \ \& \ SMRR\_PHYSMASK$$
- Force region of memory defined by SMRR as un-cacheable (UC) for non-SMM software access (e.g. from OS) to prevent cache fills in the range
- Non-SMM memory writes are dropped, non-SMM memory reads return all F's
- If CPU is in SMM, SMRR define memory type (typically **WB**)

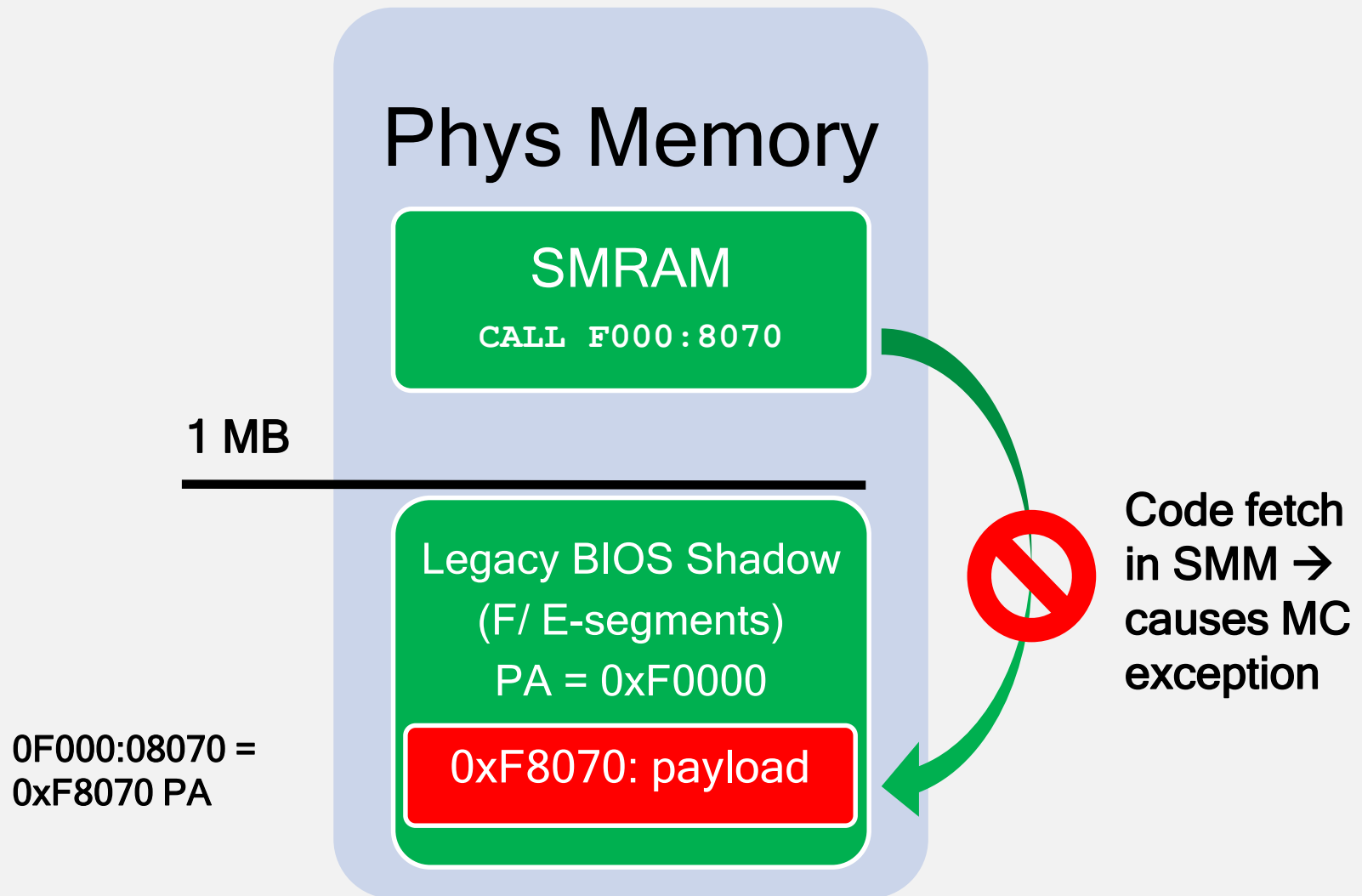
# SMM Code Access Check



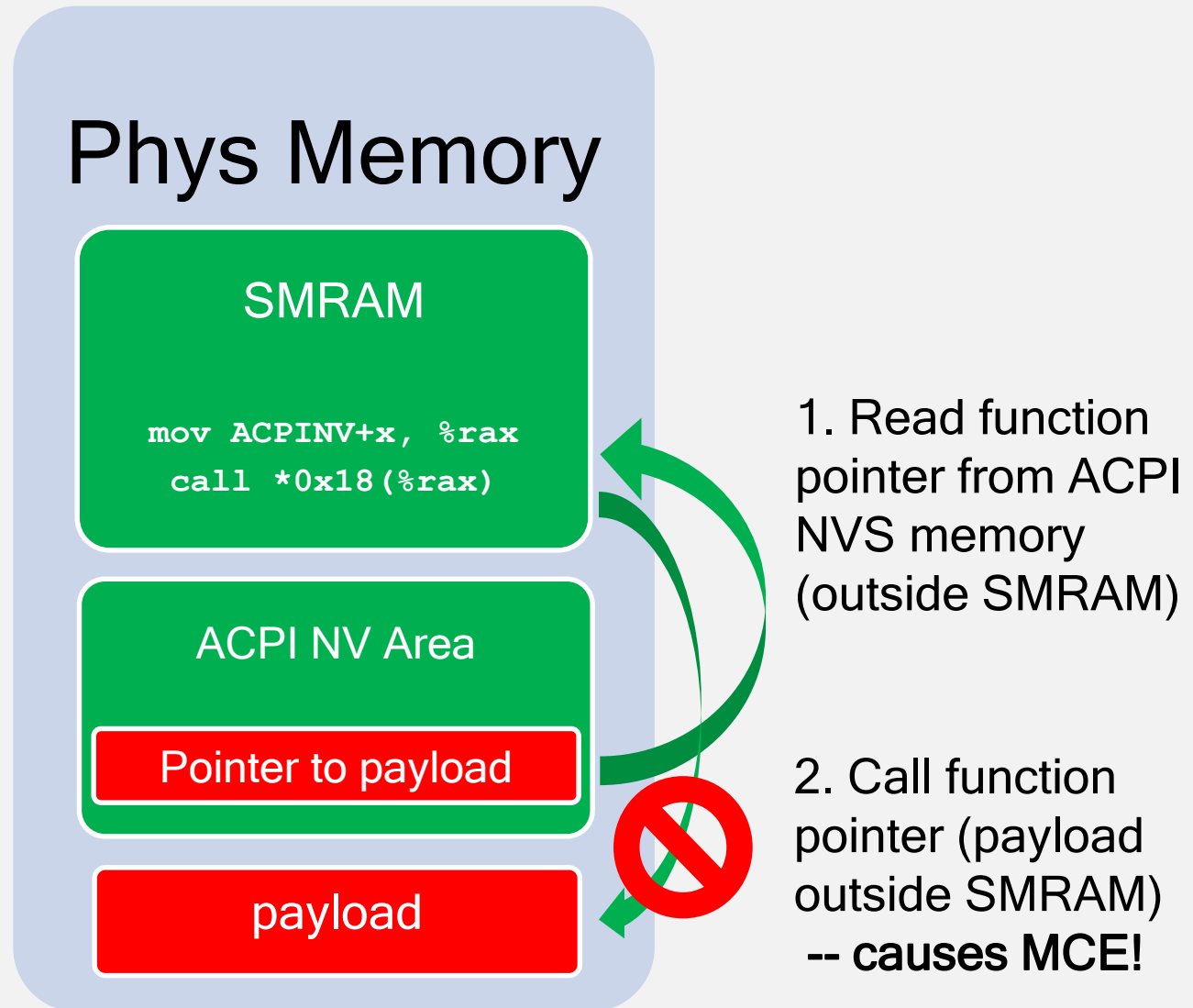
# Mitigating SMM Call-Outs

1. Don't call any function outside of protected SMRAM
  - Violates “No read down” rule of classical Biba integrity model
2. Enable SMM Code Access Check CPU protection
  - Available starting in Haswell based CPUs
  - Available if `MSR_SMM_MCA_CAP[58] == 1`
  - When enabled, attempts to execute code not within the ranges defined by the SMRR while inside SMM result in a Machine Check Exception

# Blocking Code Fetch Outside of SMRAM



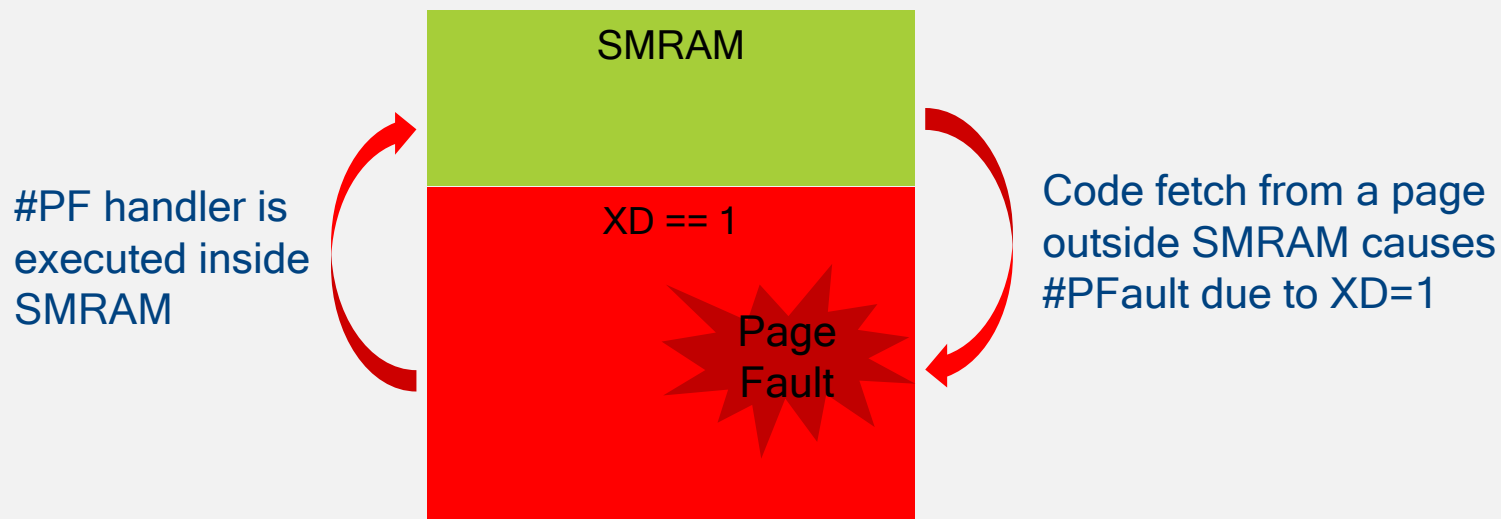
# Function Pointers Outside of SMRAM (DXE SMI)



# Paging based SMM code access check

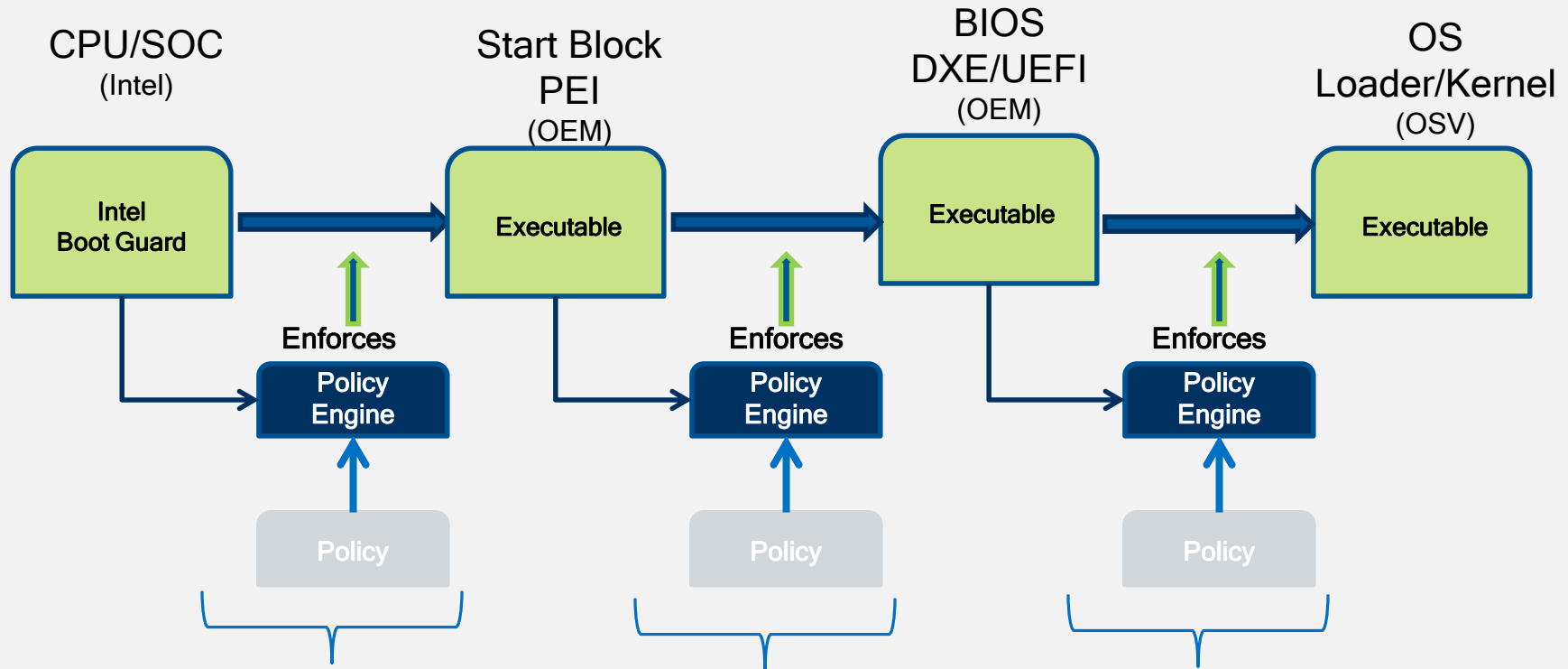
[NX based soft SMM Code Access Check](#) patches by Phoenix

- SMM paging/NX are enabled when CPU enters SMM
- PTEs outside of SMRAM have XD=1
- #PF is signaled when SMI handler attempts to fetch from any page outside of SMRAM



# Hardware Secure Boot

# Example: Intel® Boot Guard



<http://www.intel.com/content/dam/www/public/us/en/documents/product-briefs/4th-gen-core-family-mobile-brief.pdf>

OEM PI  
Verification  
Using PI Signed  
Firmware Volumes  
Vol 3, section 3.2.1.1  
of PI 1.3 Specification

OEM UEFI 2.4  
Secure Boot

Chapter 27.2 of  
The UEFI 2.4  
Specification

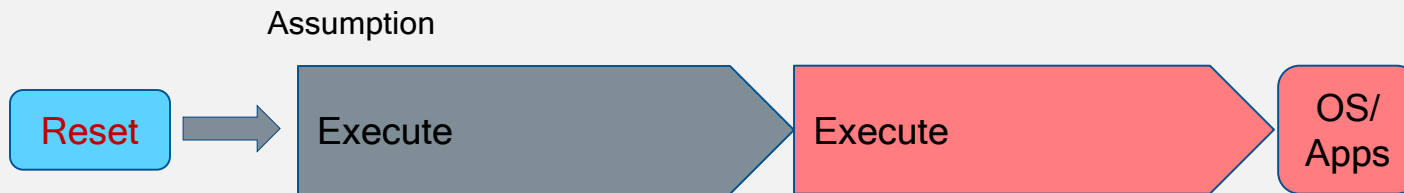
# Example: Intel® Boot Guard

1. CPU loads BootGuard specific Authenticated Code Module (ACM) to validate the initial firmware boot block (IBB)
2. IBB validation includes signature verification and/or measurement into the TPM PCR
3. Verified boot uses 2 manifests: OEM public key manifest and IBB manifest structures
4. OEM programs 256 bits of SHA-256 of RSA public key used by ACM to verify the IBB signature into one-time field programmable fuses at the manufacturing
5. OEM programs policies into the fuses
  - Verified and/or Measured Boot
  - ACM or IBB verification failure response

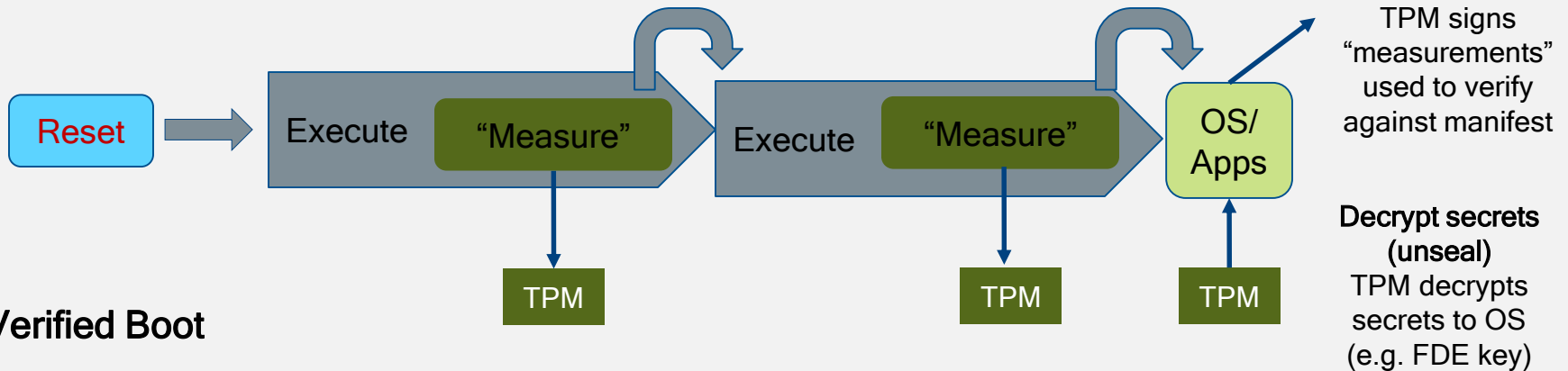
# Verified vs Measured Boot

## Legacy Boot

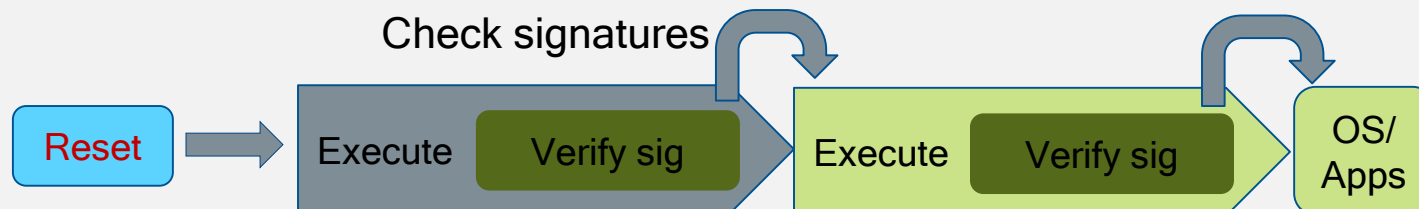
Credit: Monty Wiseman



## Measured Boot



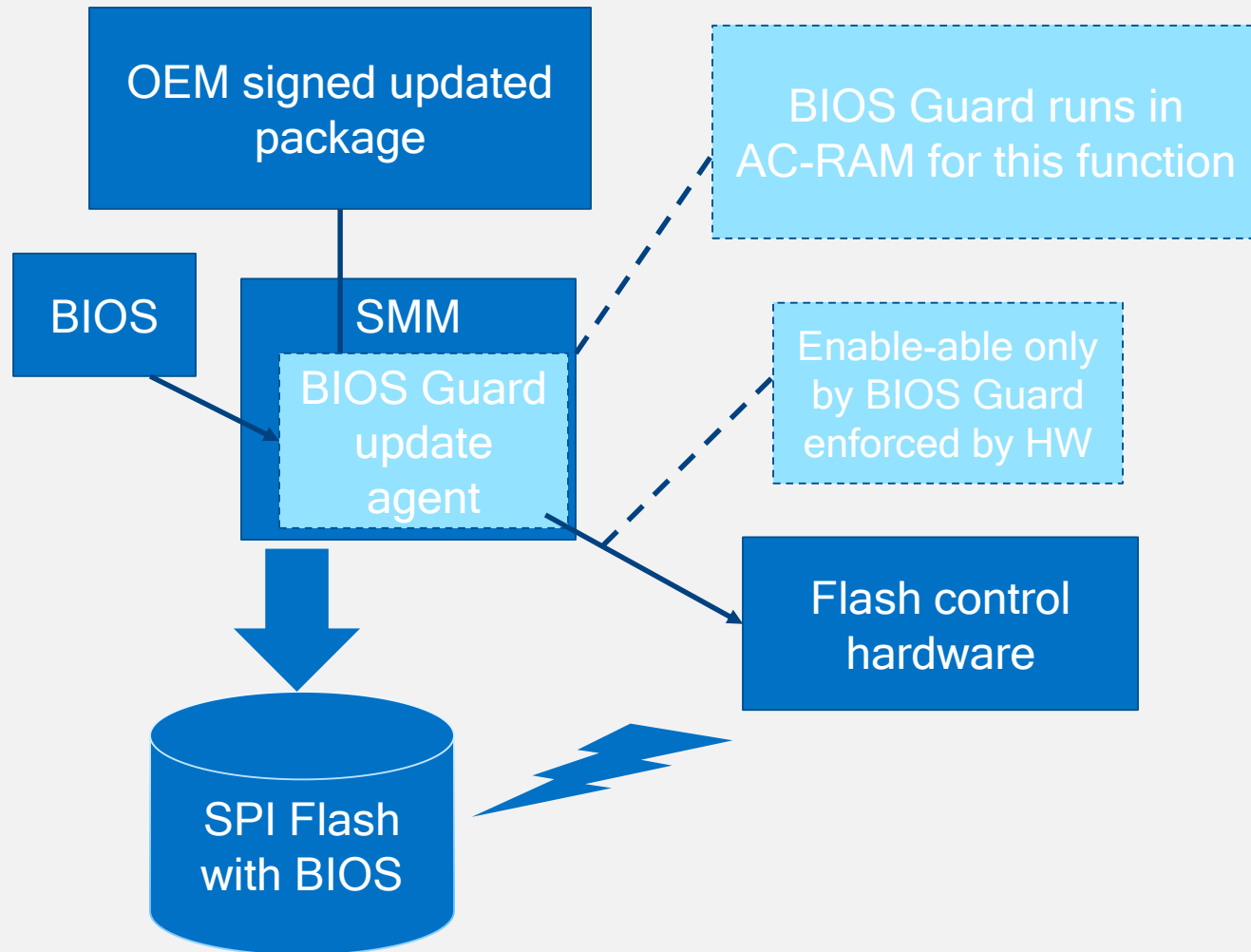
## Verified Boot





# Hardware Based System Firmware Update

# Example: Intel® BIOS Guard

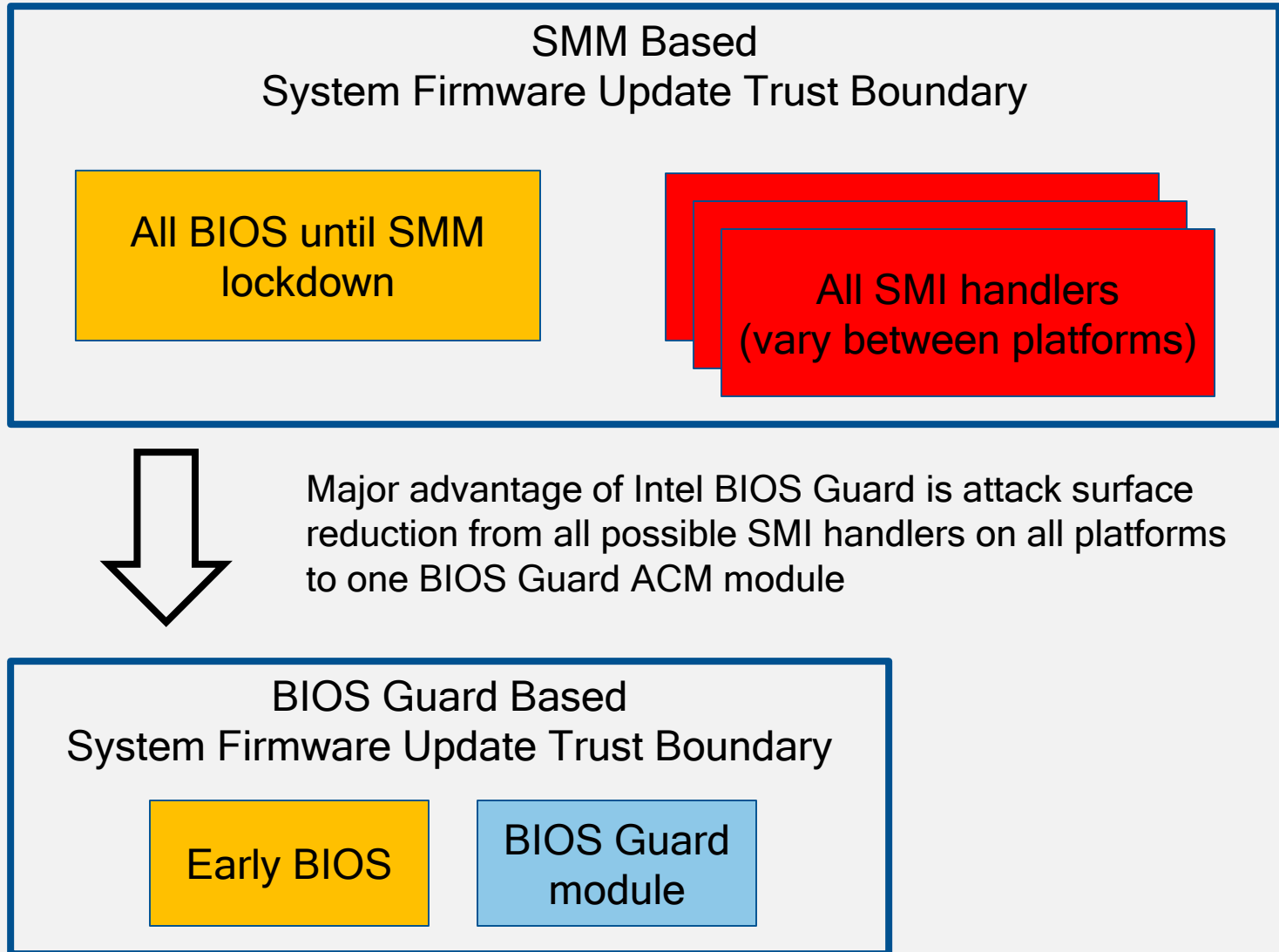


Source: <http://www.intel.com/content/dam/www/public/us/en/documents/white-papers/security-technologies-4th-gen-core-retail-paper.pdf>

# SMM BIOS Update Trust Boundary

- For runtime BIOS Update (e.g. on server platforms), all complex SMI handlers code is in the trust boundary of the firmware update
- Different systems have different SMI handlers which makes it difficult to ensure consistent security level of SMI code across all system and security level of firmware update
- BIOS Guard reduces SMI handler attack surface, using one signed BIOS Guard authenticated code module (ACM)
- Platforms enabling BIOS Guard only need to use one module for a given processor generation

# Trust Boundary with BIOS Guard



# BIOS Guard Based Firmware Update

- BIOS Guard can update contents of the BIOS region in system SPI flash and EC firmware on EC flash memory
- BIOS Guard module is authenticated code module (ACM) executing in internal processor AC RAM
- When BIOS Guard is enabled, only BIOS Guard module is able to write to system SPI flash memory
- BIOS Guard verifies the signature of a firmware update package signed by a platform manufacturer prior to writing to system SPI flash memory

## 6.3 Trusted (Measured) Boot with Trusted Platform Module

# Secure or Measured Boot?

How do you mitigate risk of a lost/stolen laptop?

- Example: Software based Full Disk Encryption like Microsoft BitLocker

How do you attack full disk encryption?

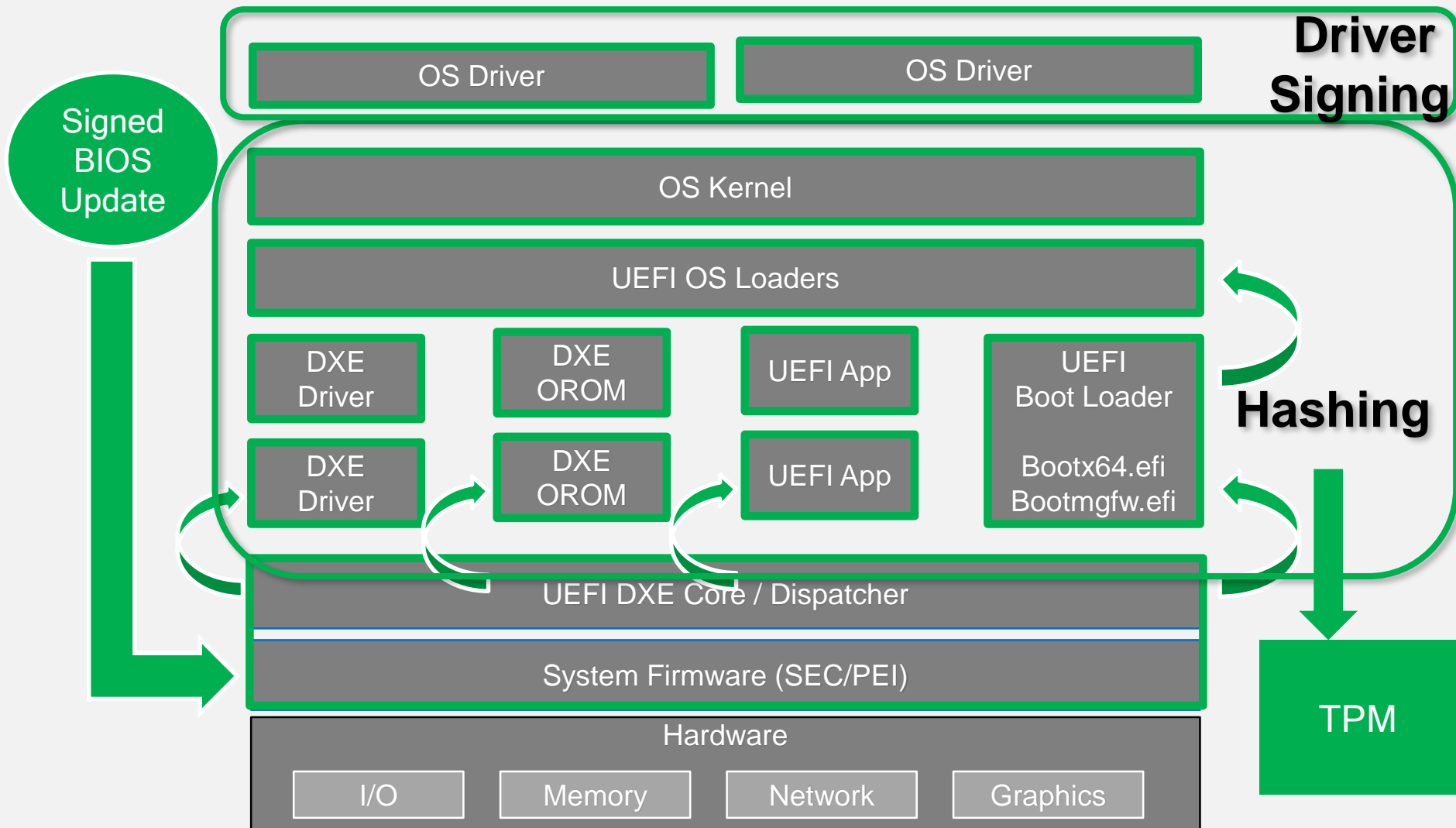
- Example: Evil Maid attack infecting the firmware or boot loaders to log/steal FDE PIN

# Protecting Against the Evil Maid Attack

- [Evil Maid Attack](#)
- Get a system with Trusted Platform Module (TPM)
- You trust TPM (including its firmware) & system firmware (BIOS)
  - Problem: [Angry Evil Maid Attack](#)
- During measured boot process, initial firmware creates hashes of the next firmware stages and boot loaders what is known as *measuring*
- Initial firmware is *Static Root of Trust for Measurement (STRM)*
- FDE enabled boot loader then *seals* volume encryption key(s) to these measurements in the PCRs
- Thus each boot, the keys can only be decrypted (*unsealed*) and the volume decrypted when all firmware and boot loaders have the same measurements (hashes)



# Measured Boot



Hash into TPM PCRs instead of signature check

# Measurements into TPM PCR<sub>s</sub>

⊗ Initial startup FW at CPU reset vector

**PCR[0]** ← CRTM, UEFI Firmware, PEI/DXE [BIOS], UEFI Boot and Runtime Services, Embedded EFI OROMs, SMI Handlers, Static ACPI Tables

**PCR[1]** ← SMBIOS, ACPI Tables, Platform Configuration Data

**PCR[2]** ← EFI Drivers from Expansion Cards [Option ROMs]

**PCR[3]** ← [Option ROM Data and Configuration]

**PCR[4]** ← UEFI OS Loader, UEFI Applications [MBR]

**PCR[5]** ← EFI Variables, GUID Partition Table [MBR Partition Table]

**PCR[6]** ← State Transitions and Wake Events

**PCR[7]** ← UEFI Secure Boot keys (PK/KEK) and variables (db, dbx..)

**PCR[8]** ← TPM Aware OS specific hashes [NTFS Boot Sector]

**PCR[9]** ← TPM Aware OS specific hashes [NTFS Boot Block]

**PCR[10]** ← [Boot Manager]

**PCR[11]** ← BitLocker Access Control

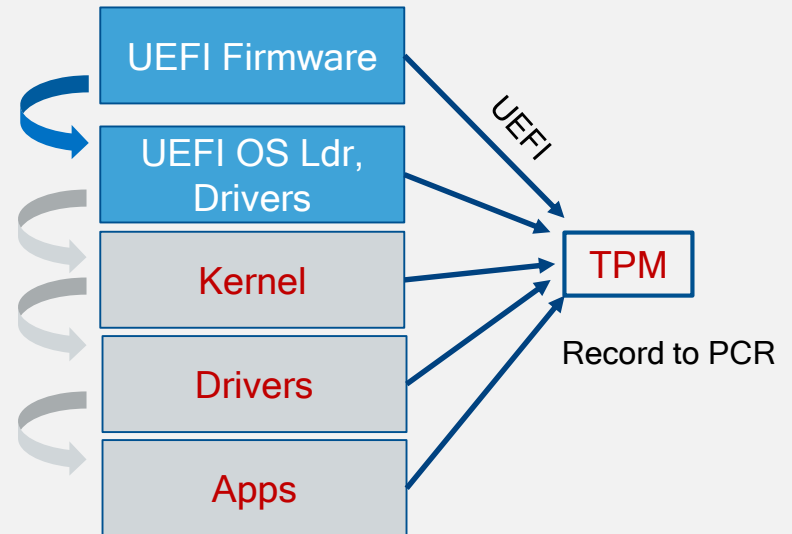
# UEFI Secure Boot vs TCG Trusted Boot

## UEFI Secure Boot

- UEFI authenticates OS loader (pub key and policy)
- Checks signature of before loading
- UEFI Secure boot will stop platform boot if signature is not valid
- UEFI requires remediation mechanisms if boot fails

## UEFI TCG Measured Boot

- UEFI PI measures OS loader & UEFI drivers into TPM PCRs
- TCG Trusted boot never fails to boot firmware/OS
- Incumbent upon other SW to make security decision using attestation and/or unsealing



Source: [Secure Boot Ecosystem Challenges](#) by Vincent Zimmer

# What does it all mean?

## Secure Boot

- System firmware looks for authorized signature before execution

## Measured Boot

- System firmware hashes images into PCRs before execution

## Both

- Trust system firmware to do checks (hash or sig check)
- Only do startup checks, not runtime

# Example with Measured Boot

## 1. Let's look at some PCRs

```
cat /sys/class/misc/tpm0/device/pcrs
```

## 2. Let's seal something using the `keyctl` [command](#):

- the “trusted” keys are encrypted and sealed in the TPM
- options include sealing to PCR values

```
keyctl add trusted name “new keylen [options]” ring
```

Training materials are available on Github

<https://github.com/advanced-threat-research/firmware-security-training>

Yuriy Bulygin

@c7zero

Alex Bazhaniuk

@ABazhaniuk

Andrew Furtak

@a\_furtak

John Loucaides

@JohnLoucaides