

# Verified Security of Redundancy-Free Encryption from Rabin and RSA

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## ABSTRACT

Verified security provides a firm foundation for cryptographic proofs by means of rigorous programming language techniques and verification methods. **EasyCrypt** is a framework that realizes the verified security paradigm and supports the machine-checked construction and verification of cryptographic proofs using state-of-the-art SMT solvers, automated theorem provers and interactive proof assistants. Previous experiments have shown that **EasyCrypt** is effective for a posteriori validation of cryptographic systems. In this paper, we report on the first application of verified security to a novel cryptographic construction, with strong security properties and interesting practical features. Specifically, we use **EasyCrypt** to prove in the Random Oracle Model the IND-CCA security of a redundancy-free public-key encryption scheme based on trapdoor one-way permutations. Somewhat surprisingly, we show that even with a zero-length redundancy, Boneh's SAEP scheme (an OAEP-like construction with a single-round Feistel network rather than two) converts a trapdoor one-way permutation into an IND-CCA-secure scheme, provided the permutation satisfies two additional properties. We then prove that the Rabin function and RSA with short exponent enjoy these properties, and thus can be used to instantiate the construction we propose to obtain efficient encryption schemes. The reduction that justifies the security of our construction is tight enough to achieve practical security with reasonable key sizes.

## Categories and Subject Descriptors

E.3 [Data encryption]: Public key cryptosystems; F.3.1 [Logics and Meanings of Programs]: Specifying and Verifying and Reasoning about Programs

## General Terms

Security, Verification, Languages

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## Keywords

Provable security, machine-checked proofs, public-key encryption

## 1. INTRODUCTION

More than three decades after its inception by Rivest, Shamir and Adleman, the RSA algorithm [40] has become a recommendation of several international standards for public-key cryptography and is widely used in practical cryptosystems. In order to achieve the level of security mandated by modern cryptography, RSA is used for instantiating cryptographic systems based on trapdoor one-way functions, rather than as a standalone primitive. The prevailing definition of security for public-key encryption schemes is the notion of ciphertext indistinguishability against chosen-ciphertext attacks (IND-CCA) [39], which requires that no efficient adversary with access to a decryption oracle be able to distinguish between the ciphertexts resulting from encrypting two messages of its choice. Since IND-CCA security cannot be achieved by deterministic encryption algorithms like RSA, encryption systems adopt the *encode-then-encrypt* paradigm, in which a message is pre-processed and randomized before encryption. For instance, the PKCS standard recommends that the RSA algorithm be used together with the Optimal Asymmetric Encryption Padding [11] scheme (OAEP), a two-round Feistel construction due to Bellare and Rogaway. In OAEP, redundancy is added during the encoding phase with the goal of achieving plaintext-awareness, that is, of making infeasible for an adversary to obtain a valid ciphertext other than by encrypting a known plaintext. Although the formalization of plaintext-awareness has unveiled subtleties (see Section 6 for a brief discussion), it is an appealing notion satisfied by many prominent encryption schemes. Furthermore, plaintext-awareness is achieved by cryptographic transformations [26, 27, 36] that convert encryption schemes that are just semantically secure under chosen-plaintext attacks [29] into IND-CCA-secure schemes. As a consequence, it was a widespread belief that plaintext-awareness was necessary to achieve IND-CCA security. In 2003, Phan and Pointcheval [37] proved this intuition wrong, by proposing the first IND-CCA-secure encryption schemes without redundancy, both in the ideal-cipher model and the random oracle model. They showed that a trapdoor one-way permutation combined with a full-domain random permutation, in a similar way to the FDH signature scheme [12], suffice to build a redundancy-free IND-CCA-secure scheme. In addition, Phan and Pointcheval showed that a 3-round version of OAEP together with a partial-domain one-way

permutation would not require redundancy, as in the classical OAEP construction [11, 28]. This result was later improved when it was shown that (full-domain) one-wayness on its own is actually enough to eliminate redundancy in a 3-round version of OAEP [38]. Abe et al. [2] construct a redundancy-free scheme based on a 4-round Feistel network that achieves optimal ciphertext overhead (but that imposes a minimal message size). This line of work was further developed in a series of papers, including [20, 33], in the context of identity-based encryption and DL-based cryptosystems.

In this paper, we revisit the problem of designing redundancy-free IND-CCA-secure schemes based on trapdoor one-way functions. Our starting point is the SAEP and SAEP+ padding schemes, put forward by Boneh [19] in 2001. SAEP and SAEP+ are basically one-round OAEP-like paddings, that when combined with the Rabin function or RSA with exponent 3, yield encryption schemes with efficient security reductions. We generalize Boneh’s construction to an arbitrary trapdoor one-way function and we show that SAEP padding without redundancy, which we call ZAEP (Zero-Redundancy Asymmetric Encryption Padding), achieves IND-CCA security in the Random Oracle Model for a class of trapdoor one-way functions that satisfy two novel properties: Common Input Extractability (CIE), and Second Input Extractability (SIE). Informally, CIE allows us to efficiently extract the plaintexts and randomness from two different ciphertexts that share the same randomness, whereas SIE allows us to efficiently extract the plaintext from a ciphertext and its randomness—in both cases, without knowing the trapdoor to the underlying one-way function. Using Coppersmith algorithm [21], we then show that the original Rabin function and RSA with short exponent satisfy these two properties. We thus obtain two efficient encryption algorithms, that are well-suited to encapsulate AES keys at a very low cost, with classical RSA moduli, either under the integer factoring assumption or the RSA assumption with exponent 3.

Our result is remarkable in two respects. First, ZAEP is surprisingly simple in comparison to the previous redundancy-free 3-round variant of OAEP that was shown to achieve IND-CCA security. Second, it constitutes the first application of verified security to a novel cryptographic construction. Specifically, we formally verify the security reduction (and the exact probability bound) of ZAEP using the EasyCrypt framework [4], which aims to make machine-checkable security proofs accessible to the working cryptographer by leveraging state-of-the-art methods and tools for program verification. Quite pleasingly, the functionalities and expressive power of EasyCrypt proved adequate for converting an incomplete and intuitive argument into a machine-checked proof. In less than a week, we were able to flesh out the details of the proof, including the new security assumptions, concrete security bound, and sequence of games, and to build a machine-checked proof. As further developed in Section 7, our work contributes to evidencing that, as anticipated by Halevi [30], computer-aided security proofs may become commonplace in the near future.

*Organization of the paper.* Section 2 describes the ZAEP redundancy-free encryption scheme; Section 3 presents some background on verified security and the EasyCrypt framework; Section 4 overviews the machine-checked reduction of the security of ZAEP to the one-wayness of the underlying

trapdoor permutation, while Section 5 discusses possible instantiations. We conclude with a discussion on related work in Section 6, and an analysis of the significance of our results in Section 7. The EasyCrypt input file corresponding to the proof presented in Section 4 appears in an extended version [7]; all the infrastructure needed to machine-check this proof is available on request.

## 2. REDUNDANCY-FREE ENCRYPTION

In 1994, Bellare and Rogaway [11] proposed the padding scheme OAEP (see Fig. 1(a)), that in combination with a trapdoor permutation (e.g. RSA) yields an efficient encryption scheme. When encrypting using OAEP, a random value  $r$  is first expanded by a hash function  $G$  and then xor-ed with the redundancy-padded input message. The resulting value  $s$  is then hashed under an independent function  $H$  and the result xor-ed with  $r$  to obtain  $t$ . The ciphertext is computed by applying the permutation to the concatenation of  $s$  and  $t$ . OAEP was proved IND-CCA-secure by Fujisaki et al. [28] under the assumption that the underlying trapdoor permutation is partial-domain one-way. This is in general a stronger assumption than just one-wayness, but fortunately both assumptions are equivalent in particular for RSA. The reduction from the security of OAEP to the RSA problem is not tight for two reasons: (1) the generic reduction from OAEP security to the partial-domain one-wayness of the underlying permutation is itself not tight, and (2) the reduction from RSA partial-domain one-wayness to the RSA problem introduces an extra security gap. In order to obtain a direct reduction to the RSA problem (or the one-wayness of the underlying permutation), one needs to add a third round to the Feistel network used in OAEP [38]. Although this latter reduction is still not tight, the redundancy resulting from padding the input message can be removed without breaking the proof.

Boneh [19] showed that by exploiting Coppersmith algorithm [21], it is possible to shave off one round of OAEP without compromising security. Encryption in the resulting scheme, SAEP (see Fig. 1(c)), works by choosing a random value  $r$ , hashing it under a function  $G$  and xor-ing it with the message padded with a zero-bitstring of length  $k_0$ . The resulting value  $s$  is then concatenated with the random value  $r$  and fed to the RSA function. However, an efficient reduction is possible only if a small RSA public exponent is used, or if the Rabin function is used instead. The security reduction of SAEP is quite tight, but the redundancy introduced when padding the input message is essential and cannot be removed—as a by-product, SAEP achieves plaintext-awareness. We revisit SAEP with zero-length redundancy (i.e., letting  $k_0 = 0$ ) and show that a reduction to the one-wayness of the underlying trapdoor permutation is still possible under additional (but achievable) assumptions.

### 2.1 A Novel Redundancy-Free Scheme

We recall the SAEP construction [19] with zero-length redundancy (see Fig. 1(d)). We use  $k$  to denote the length of the random value used during encryption and  $\ell$  to denote the length of input messages. Let  $(\mathcal{KG}_f, f, f^{-1})$  be a family of trapdoor one-way permutations on  $\{0, 1\}^n$ , where  $n = k + \ell$ . For any pair of keys  $(pk, sk)$  output by the key generation algorithm  $\mathcal{KG}_f$ ,  $f_{pk}(\cdot)$  and  $f_{sk}^{-1}(\cdot)$  are permutations on  $\{0, 1\}^n$  and inverses of each other. We model  $f_{pk}$  and  $f_{sk}^{-1}$  as two-input functions from  $\{0, 1\}^k \times \{0, 1\}^\ell$  onto

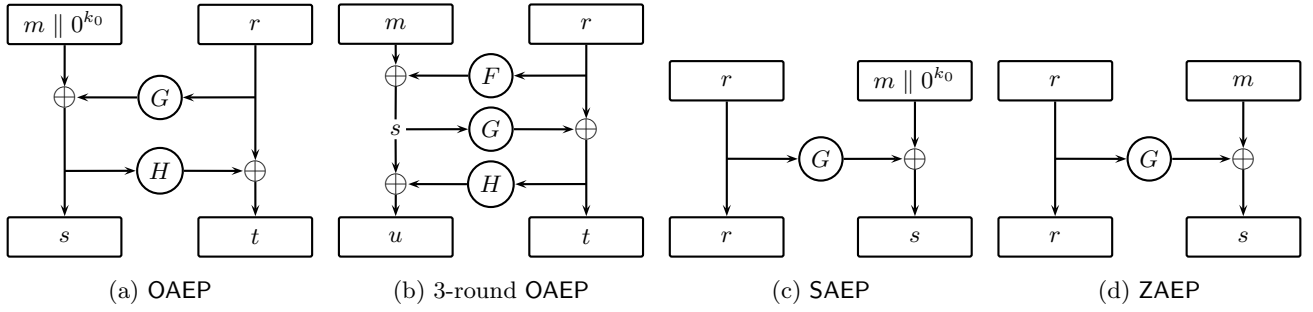


Figure 1: Asymmetric Encryption Paddings

$\{0,1\}^n$ . Let in addition  $G : \{0,1\}^k \rightarrow \{0,1\}^\ell$  be a hash function, which we model as a random oracle in the reduction [10]. The ZAEP encryption scheme is composed of the triple of algorithms  $(\mathcal{KG}, \mathcal{E}, \mathcal{D})$  defined as follows:

**Key Generation**  $\mathcal{KG}$  is the same as the key generation algorithm  $\mathcal{KG}_f$  of the underlying trapdoor permutation;

**Encryption** Given a public key  $pk$  and an input message  $m \in \{0,1\}^\ell$ , the encryption algorithm  $\mathcal{E}_{pk}(m)$  chooses uniformly at random a value  $r \in \{0,1\}^k$  and outputs the ciphertext  $c = f_{pk}(r, G(r) \oplus m)$ ;

**Decryption** Given a secret key  $sk$  and a ciphertext  $c$ , the decryption algorithm  $\mathcal{D}_{sk}(c)$  computes  $(r, s) = f_{sk}^{-1}(c)$  and outputs  $m = s \oplus G(r)$ . No additional check is required because all ciphertexts are valid.

## 2.2 Adaptive Security of ZAEP

We recall the usual definitions of trapdoor one-way function and IND-CCA security for public-key encryption schemes.

**Definition 1 (Trapdoor one-way function)** Consider a family of trapdoor functions  $(\mathcal{KG}, f, f^{-1})$  on  $\{0,1\}^n$ . The success probability  $\text{Succ}_f^{\text{OW}}(\mathcal{I})$  of an algorithm  $\mathcal{I}$  in inverting  $f_{pk}$  on a freshly generated public-key  $pk$  and a uniformly chosen input is defined as follows:

$$\Pr \left[ \begin{array}{l} (pk, sk) \leftarrow \mathcal{KG}(1^\eta); \\ x \xleftarrow{\$} \{0,1\}^n; x' \leftarrow \mathcal{A}(f_{pk}(x)) : f_{pk}(x) = f_{pk}(x') \end{array} \right]$$

In an asymptotic setting, a family of trapdoor functions is one-way if this probability is negligible on the security parameter  $\eta$  for any efficient (probabilistic polynomial-time) algorithm  $\mathcal{I}$ .

**Definition 2 (IND-CCA security)** The advantage of an adversary  $\mathcal{A} = (\mathcal{A}_1, \mathcal{A}_2)$  against the IND-CCA security of an asymmetric encryption scheme  $\Pi = (\mathcal{KG}, \mathcal{E}, \mathcal{D})$ ,  $\text{Adv}_{\Pi}^{\text{CCA}}(\mathcal{A})$ , is defined as follows:

$$\left| \Pr \left[ \begin{array}{l} (pk, sk) \leftarrow \mathcal{KG}(1^\eta); \\ (m_0, m_1, \sigma) \leftarrow \mathcal{A}_1^{\mathcal{D}_{sk}(\cdot)}(pk); \\ b \xleftarrow{\$} \{0,1\}; c^* \leftarrow \mathcal{E}_{pk}(m_b); \\ b' \leftarrow \mathcal{A}_2^{\mathcal{D}_{sk}(\cdot \neq c^*)}(c^*, \sigma) \end{array} : b = b' \right] - \frac{1}{2} \right|$$

In both stages of the experiment the adversary has access to a decryption oracle, but in the second stage  $\mathcal{A}_2$  cannot query for the decryption of the challenge ciphertext  $c^*$ . In

an asymptotic setting,  $\Pi$  is IND-CCA-secure if all efficient adversaries have a negligible advantage.

In order to prove the IND-CCA security of ZAEP, we require that the underlying trapdoor function satisfy the two properties defined below.

**Definition 3 (Second-Input Extractability)** A family of trapdoor functions  $(\mathcal{KG}, f, f^{-1})$  satisfies SIE if there exists an efficient algorithm  $\text{sie}$  that given a public key  $pk$ ,  $c \in \{0,1\}^n$  and  $r \in \{0,1\}^k$ , outputs  $s$  if  $c = f_{pk}(r, s)$  or  $\perp$  otherwise.

Observe that Second-Input Extractability collapses the distinction between one-wayness and partial one-wayness. If a family of one-way functions satisfies Second-Input Extractability, then it is also partial-domain one-way over its first input.

**Definition 4 (Common-Input Extractability)** A family of trapdoor functions  $(\mathcal{KG}, f, f^{-1})$  satisfies CIE if there exists an efficient algorithm  $\text{cie}$  that given a public key  $pk$  and  $c_1, c_2 \in \{0,1\}^n$ , outputs  $(r, s_1, s_2)$  if  $c_1 = f_{pk}(r, s_1)$ ,  $c_2 = f_{pk}(r, s_2)$  and  $s_1 \neq s_2$ , or  $\perp$  otherwise.

Since we conduct our proof in a concrete security setting rather than in an asymptotic setting, and we prove exact probability and time bounds, we fix the security parameter and omit it in the remainder. We prove the following security result for ZAEP.

**Theorem 1** Let  $(\mathcal{KG}, f, f^{-1})$  be a family of trapdoor permutations satisfying both SIE and CIE properties. Let  $\mathcal{A}$  be an adversary against the IND-CCA security of ZAEP instantiated with  $(\mathcal{KG}, f, f^{-1})$  that runs within time  $t_{\mathcal{A}}$  and makes at most  $q_G$  queries to the random oracle  $G$  and at most  $q_D$  queries to the decryption oracle. Then, there exists an algorithm  $\mathcal{I}$  running within time  $t_{\mathcal{I}}$  such that

$$t_{\mathcal{I}} \leq t_{\mathcal{A}} + 2q_G q_D t_{\text{sie}} + q_D^2 t_{\text{cie}} \\ \text{Succ}_f^{\text{OW}}(\mathcal{I}) \geq \text{Adv}_{\text{ZAEP}}^{\text{CCA}}(\mathcal{A}) - \frac{q_D}{2^n}$$

where  $t_{\text{cie}}$  (resp.  $t_{\text{sie}}$ ) is an upper bound on the execution time of the algorithm  $\text{cie}$  (resp.  $\text{sie}$ ) for  $(\mathcal{KG}, f, f^{-1})$ .

In Section 4 we give an overview of a machine-checked reductionist proof of the above theorem in EasyCrypt. We observe that while ZAEP can be cast as an instance of SAEP by setting the length of the padding  $k_0 = 0$ , our reduction

is different from Boneh's reduction for SAEP [19]; in fact, Boneh's exact security bounds are meaningless as soon as  $k_0$  is of the order of  $\log(q\mathcal{D})$ .

### 3. A PRIMER ON VERIFIED SECURITY

Verified security [4, 6] is an emerging approach to cryptographic proofs. While adhering to the principles and the methods of provable security, verified security takes the view that cryptographic proofs should be treated in a manner similar to high-integrity software, so that confidence in the design of a cryptographic system is no lower than confidence in the software systems that use it. Thus, verified security mandates that security proofs are built and validated using state-of-the-art technology in programming languages and verification.

**EasyCrypt** [4] is a recent realization of the verified security paradigm. As its predecessor **CertiCrypt** [6], it adopts a code-centric view of cryptography. Under this view, security assumptions and goals are formalized using probabilistic programs, also called *games*. Each game is a probabilistic imperative program composed of a main command and a collection of concrete procedures and adversaries. Moreover, the statements of the language include deterministic and probabilistic assignments, conditional statements and loops, as given by the following grammar:

$C ::=$	<b>skip</b>	nop
	$\mathcal{V} \leftarrow \mathcal{E}$	deterministic assignment
	$\mathcal{V} \xleftarrow{\mathcal{DE}}$	probabilistic assignment
	<b>if</b> $\mathcal{E}$ <b>then</b> $C$ <b>else</b> $C$	conditional
	<b>while</b> $\mathcal{E}$ <b>do</b> $C$	while loop
	$\mathcal{V} \leftarrow \mathcal{P}(\mathcal{E}, \dots, \mathcal{E})$	procedure call
	$C; C$	sequence

where  $\mathcal{V}$  is a set of variable identifiers,  $\mathcal{P}$  a set of procedure names with a distinguished class of abstract procedures used to model adversaries,  $\mathcal{E}$  is a set of expressions, and  $\mathcal{DE}$  is a set of distribution expressions. The latter are expressions that evaluate to distributions from where values can be sampled; for the purpose of this paper, we only need to consider uniform distributions over bitstrings.

Programs in **EasyCrypt** are given a denotational semantics, that maps initial memories to sub-distributions over final memories, where a memory is a (well-typed) mapping from variables to values. We let  $\Pr[c, m : A]$  denote the probability of an event  $A$  in the sub-distribution induced by executing the program  $c$  with initial memory  $m$ , which we omit when it is not relevant. For additional details on the semantics, we refer the reader to [6].

As envisioned by Halevi [30] and Bellare and Rogaway [13], this code-centric view of cryptographic proofs leads to statements that are amenable to verification using programming language techniques. **EasyCrypt** captures common reasoning patterns in cryptographic proofs by means of a probabilistic relational Hoare Logic (pRHL). Judgments in pRHL are of the form

$$\models c_1 \sim c_2 : \Psi \Rightarrow \Phi$$

where  $c_1$  and  $c_2$  are probabilistic programs, and  $\Psi$  and  $\Phi$ , respectively called the pre-condition and the post-condition, are relations over program states. We represent these relations as first-order formulae defined by the grammar:

$$\Psi, \Phi ::= e \mid \neg\Phi \mid \Psi \wedge \Phi \mid \Psi \vee \Phi \mid \Psi \rightarrow \Phi \mid \forall x. \Phi \mid \exists x. \Phi$$

where  $e$  stands for a Boolean expression over logical variables and program variables tagged with either  $\langle 1 \rangle$  or  $\langle 2 \rangle$  to denote their interpretation in the left or right-hand side program, respectively. We write  $e\langle i \rangle$  for the expression  $e$  in which all program variables are tagged with  $\langle i \rangle$ . A relational formula is interpreted as a relation on program memories. For example, the formula  $x\langle 1 \rangle + 1 \leq y\langle 2 \rangle$  is interpreted as the relation

$$R = \{(m_1, m_2) \mid m_1(x) + 1 \leq m_2(y)\}$$

There are two complementary means to establish the validity of a pRHL judgment. Firstly, the user can apply interactively atomic rules and semantics-preserving program transformations. Secondly, the user can invoke an automated procedure that given a logical judgment involving loop-free closed programs, computes a set of sufficient conditions for its validity, known as verification conditions. In the presence of loops or adversarial code, **EasyCrypt** requires the user to provide the necessary annotations. The outstanding feature of this procedure, and the key to its effectiveness, is that verification conditions are expressed as first-order formulae, without any mention of probability, and thus can be discharged automatically using off-the-shelf SMT solvers and theorem provers.

As security properties are typically expressed in terms of probability of events, and not as pRHL judgments, **EasyCrypt** provides mechanisms to derive from a valid judgment

$$\models c_1 \sim c_2 : \Psi \Rightarrow \Phi$$

inequalities of the form

$$\Pr[c_1, m_1 : A] \leq \Pr[c_2, m_2 : B] + (\Pr[c_2, m_2 : F])$$

for events  $A, B$  and  $F$  that are suitably related to the post-condition  $\Phi$ . The mechanisms are described more precisely by the next two lemmas.

**Lemma 2 (Probability Lemma)** *Let  $c_1$  and  $c_2$  be two games, and  $A$  and  $B$  be events such that*

$$\models c_1 \sim c_2 : \Psi \Rightarrow A\langle 1 \rangle \rightarrow B\langle 2 \rangle$$

*For every pair of memories  $m_1, m_2$  such that  $m_1 \Psi m_2$ , we have*

$$\Pr[c_1, m_1 : A] \leq \Pr[c_2, m_2 : B]$$

**Lemma 3 (Shoup's Fundamental Lemma)** *Let  $c_1$  and  $c_2$  be two games and  $A, B$ , and  $F$  be events such that*

$$\models c_1 \sim c_2 : \Psi \Rightarrow (F\langle 1 \rangle \leftrightarrow F\langle 2 \rangle) \wedge (\neg F\langle 1 \rangle \rightarrow A\langle 1 \rangle \rightarrow B\langle 2 \rangle)$$

*Then, for every pair of memories  $m_1, m_2$  such that  $m_1 \Psi m_2$ , we have*

$$\Pr[c_1, m_1 : A] \leq \Pr[c_2, m_2 : B] + \Pr[c_2, m_2 : F]$$

Moreover, **EasyCrypt** includes support for applying probability laws (e.g. the union bound) and computing the probability of simple events. The proof of ZAEF relies on two main rules. The first one states that an adversary has probability  $\frac{1}{2}$  of guessing a bit  $b$  independent from its view; independence is captured by proving that sampling the bit  $b$  after the adversary returns its guess does not change the semantics of the game. The second rule allows to upper bound the probability that a uniformly sampled value belongs to a list

of bounded length. For instance, if  $L$  is a list of values in  $A$  of length at most  $q$  and  $x$  is a value sampled independently and uniformly over  $A$ , the probability that  $x$  belongs to  $L$  is upper bounded by  $q/|A|$ .

### 3.1 User Perspective

Building a cryptographic proof in **EasyCrypt** is a process that involves the following tasks:

- Defining a logical context, including declarations of types, constants and operators, axioms and derived lemmas. Declarations allow users to extend the core language, while axioms allow to give the extension a meaning. Derived lemmas are intermediary results proved from axioms, and are used to drive SMT solvers and automated provers.
- Defining games, including the initial experiment encoding the security property to be proved, intermediate games, and a number of final games, which either correspond to a security assumption or allow to directly compute a bound on the probability of some event.
- Proving logical judgments that establish equivalences between games. This may be done fully automatically, with the help of hints from the user in the form of relational invariants, or interactively using basic tactics and automated strategies. In order to benefit from existing technology and target multiple verification tools, verification conditions are generated in the intermediate language of the **Why3** Software Verification Platform [18] and then translated to individual provers to check their validity.
- Deriving inequalities between probabilities of events in games, either by using previously proven logical judgments or by direct computation.

Although the above tasks can be carried out strictly in the order described, one can conveniently interleave them as in informal game-based proofs. To ease this process, **EasyCrypt** provides an interactive user-interface as an instance of **ProofGeneral**, a generic Emacs-based frontend for proof-assistants. Figure 2 gives an overview of the workflow in the framework.

## 4. SECURITY PROOF

We overview the proof of Theorem 1 in **EasyCrypt**. The proof is organized as a sequence of games starting from game **CCA**, that encodes an adaptive chosen-ciphertext attack against **ZAEP** for an arbitrary adversary  $\mathcal{A}$ , and ending in game **OW**, that encodes the reduction to the one-wayness of the underlying trapdoor permutation. These two games are shown in Figure 3; the rest of the games are shown in Figure 4. Games are shown alongside the oracles made available to adversary  $\mathcal{A}$  and global variables are typeset in boldface.

Formalizing the security proof of **ZAEP** in **EasyCrypt** required providing an appropriate axiomatization of the underlying trapdoor permutation and the **SIE** and **CIE** properties. We extended the expression language with the following operators corresponding to the permutation  $f$ , its

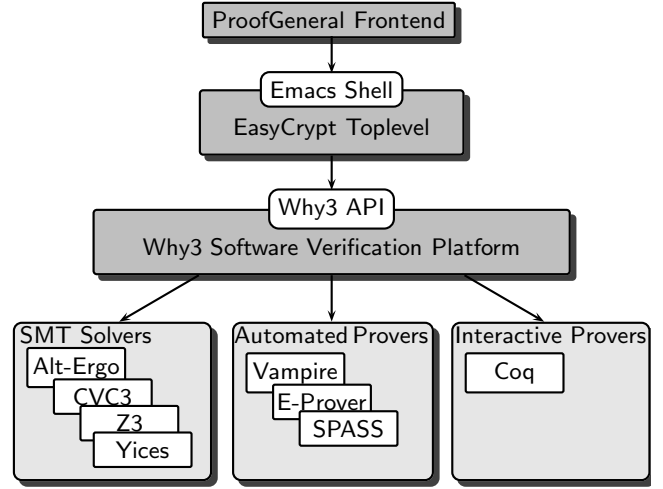


Figure 2: Overview of workflow in **EasyCrypt**

inverse, and algorithms **sie** and **cie**:

$\text{op } f : (\text{pkey}, \{0, 1\}^k \times \{0, 1\}^l) \rightarrow \{0, 1\}^k \times \{0, 1\}^l$   
 $\text{op } \text{finv} : (\text{pkey}, \{0, 1\}^k \times \{0, 1\}^l) \rightarrow \{0, 1\}^k \times \{0, 1\}^l$   
 $\text{op } \text{sie} : (\text{pkey}, \{0, 1\}^k \times \{0, 1\}^l, \{0, 1\}^k) \rightarrow \{0, 1\}^\ell \text{ option}$   
 $\text{op } \text{cie} : (\text{pkey}, \{0, 1\}^k \times \{0, 1\}^l, \{0, 1\}^k \times \{0, 1\}^l) \rightarrow \{0, 1\}^k \times \{0, 1\}^\ell \times \{0, 1\}^\ell \text{ option}$

We gave these operators a meaning by introducing their specifications as axioms; for instance, the operator **sie** is specified as follows:

$\text{axiom } \text{sie\_spec} :$   
 $\text{forall } (\text{pk} : \text{pkey}, \text{sk} : \text{skey}), \text{key\_pair}(\text{pk}, \text{sk}) \implies$   
 $\text{forall } (\text{c} : \{0, 1\}^k \times \{0, 1\}^\ell, \text{r} : \{0, 1\}^k, \text{s} : \{0, 1\}^\ell),$   
 $\text{sie}(\text{pk}, \text{c}, \text{r}) = \text{Some}(\text{s}) \iff \text{c} = f(\text{pk}, (\text{r}, \text{s}))$

Verification conditions generated during the proof are first-order formulae over a mixture of theories: e.g. finite maps, integer arithmetic, exclusive-or, and the above axiomatization of the **SIE** and **CIE** solvers. All verification conditions are discharged automatically using the **CVC3** and **Alt-Ergo** SMT solvers.

The proof itself begins by transforming the initial **CCA** game into game  $G_1$ , where we inline the encryption of the challenge ciphertext and eagerly sample the random value  $r^*$  used. We also introduce a Boolean flag **bad** that is set to true whenever  $r^*$  would be appear as a query to  $G$  in the **CCA** experiment. All these changes are semantics-preserving w.r.t. to the event  $b = b'$  and thus we have

$$\Pr[\text{CCA} : b = b'] = \Pr[G_1 : b = b']$$

Game  $G_2$  behaves identically to game  $G_1$  except that the value of  $G(r^*)$  used to mask the plaintext of the challenge ciphertext is always chosen at random, regardless of whether it has been queried by the adversary during the first stage of the experiment. Subsequent queries to  $G(r^*)$  are also answered with a fresh random value. This only makes a difference if the flag **bad** is set, and applying Lemma 3, we obtain:

$$|\Pr[G_1 : b = b'] - \Pr[G_2 : b = b']| \leq \Pr[G_2 : \text{bad}]$$

<b>Game CCA :</b> $L_G \leftarrow \text{nil}; c_{\text{def}}^* \leftarrow \text{false}; q \leftarrow 0;$ $(pk, sk) \leftarrow \mathcal{KG}();$ $(m_0, m_1, \sigma) \leftarrow \mathcal{A}_1(pk);$ $b \xleftarrow{\$} \{0, 1\};$ $c^* \leftarrow \mathcal{E}_{pk}(m_b);$ $c_{\text{def}}^* \leftarrow \text{true};$ $b' \leftarrow \mathcal{A}_2(c^*, \sigma);$ <b>return</b> $(b = b')$	<b>Oracle <math>G(x)</math> :</b> <b>if</b> $x \notin \text{dom}(L_G)$ <b>then</b> $L_G[x] \xleftarrow{\$} \{0, 1\}^\ell;$ <b>return</b> $L_G[x]$	<b>Oracle <math>\mathcal{D}(c)</math> :</b> <b>if</b> $q < q_{\mathcal{D}} \wedge \neg(c_{\text{def}}^* \wedge c = c^*)$ <b>then</b> $q \leftarrow q + 1;$ $(r, s) \leftarrow f_{sk}^{-1}(c);$ $g \leftarrow G(r);$ <b>return</b> $g \oplus s$ <b>else return</b> $\perp$
<b>Game OW :</b> $(pk, sk) \leftarrow \mathcal{KG}();$ $z \xleftarrow{\$} \{0, 1\}^{k+\ell};$ $(x, y) \leftarrow \mathcal{I}(pk, f_{pk}(z));$ <b>return</b> $(f_{pk}(x, y) = f_{pk}(z))$ <b>Adversary <math>\mathcal{I}(pk, z)</math> :</b> $L_G, L_D \leftarrow \text{nil}; c_{\text{def}}^* \leftarrow \text{false}; q \leftarrow 0;$ $c^* \leftarrow z; pk \leftarrow pk;$ $(m_0, m_1, \sigma) \leftarrow \mathcal{A}_1(pk);$ $c_{\text{def}}^* \leftarrow \text{true};$ $b' \leftarrow \mathcal{A}_2(c^*, \sigma);$ $r \leftarrow \text{find } r \in \text{dom}(L_G). \text{sie}_{pk}(c^*, r) \neq \perp;$ <b>if</b> $r \neq \perp$ <b>then return</b> $(r, \text{sie}_{pk}(c^*, r));$ <b>else</b> $c \leftarrow \text{find } c \in \text{dom}(L_D). \text{cie}_{pk}(c^*, c) \neq \perp;$ <b>if</b> $c \neq \perp$ <b>then</b> $(r, s, t) \leftarrow \text{cie}_{pk}(c^*, c);$ <b>return</b> $(r, s)$ <b>else return</b> $\perp$	<b>Oracle <math>G(x)</math> :</b> <b>if</b> $x \notin \text{dom}(L_G)$ <b>then</b> $c \leftarrow \text{find } c \in \text{dom}(L_D). \text{sie}_{pk}(c, x) \neq \perp;$ <b>if</b> $c \neq \perp$ <b>then</b> $L_G[x] \leftarrow L_D[c] \oplus \text{sie}_{pk}(c, x);$ <b>else</b> $L_G[x] \xleftarrow{\$} \{0, 1\}^\ell;$ <b>return</b> $L_G[x]$	<b>Oracle <math>\mathcal{D}(c)</math> :</b> <b>if</b> $q < q_{\mathcal{D}} \wedge \neg(c_{\text{def}}^* \wedge c = c^*)$ <b>then</b> $q \leftarrow q + 1;$ $r \leftarrow \text{find } r \in \text{dom}(L_G). \text{sie}_{pk}(c, r) \neq \perp;$ <b>if</b> $r \neq \perp$ <b>then return</b> $L_G[r] \oplus \text{sie}_{pk}(c, r)$ <b>else</b> <b>if</b> $c \in \text{dom}(L_D)$ <b>then return</b> $L_D[c]$ <b>else</b> $c' \leftarrow \text{find } c' \in \text{dom}(L_D). \text{cie}_{pk}(c, c') \neq \perp;$ <b>if</b> $c' \neq \perp$ <b>then</b> $(r, s, t) \leftarrow \text{cie}_{pk}(c, c');$ <b>return</b> $L_D[c'] \oplus s \oplus t;$ <b>else</b> <b>if</b> $c_{\text{def}}^* \wedge \text{cie}_{pk}(c, c^*) \neq \perp$ <b>then</b> $(r, s, t) \leftarrow \text{cie}_{pk}(c, c^*);$ $L_G[r] \xleftarrow{\$} \{0, 1\}^\ell;$ <b>return</b> $L_G[r] \oplus s;$ <b>else</b> $L_D[c] \xleftarrow{\$} \{0, 1\}^\ell;$ <b>return</b> $L_D[c]$ <b>else return</b> $\perp$

Figure 3: Initial IND-CCA game and reduction to the problem of inverting the underlying permutation

In game  $\mathbf{G}_3$  we remove the dependency of the adversary's output on the hidden bit  $b$  by applying a semantics-preserving transformation known as *optimistic sampling*. Instead of sampling  $g^*$  at random and computing the challenge ciphertext  $c^*$  as  $f_{pk}(r^*, g^* \oplus m_b)$ , we sample directly a value  $s^*$  at random and compute  $c^*$  as  $f_{pk}(r^*, s^*)$ , defining  $g^*$  as  $s^* \oplus m_b$ . Once this is done, and since  $g^*$  is no longer used elsewhere in the game, we can drop its definition as dead-code and postpone sampling  $b$  to the end of the game, making it trivially independent of  $b'$ . We have

$$\Pr[\mathbf{G}_2 : b = b'] = \Pr[\mathbf{G}_3 : b = b'] = \frac{1}{2}$$

$$\Pr[\mathbf{G}_2 : \text{bad}] = \Pr[\mathbf{G}_3 : \text{bad}]$$

In game  $\mathbf{G}_4$ , instead of always using  $f^{-1}$  to compute the pre-image  $(r, s)$  of an input  $c$  in the decryption oracle, we use the  $\text{sie}$  and  $\text{cie}$  algorithms to compute it when possible from previous queries made by the adversary. We can do this in two cases:

1. when  $r$  appeared before in a query to oracle  $G$ , using algorithm  $\text{sie}$  to obtain the second input  $s$ ;
2. when  $r = r^*$ , using algorithm  $\text{cie}$  to compute  $s$  from  $c^*$ .

When neither of these two cases occur, we use  $f^{-1}$  and the secret key to invert  $c$  and obtain  $(r, s)$ . Rather than sampling a fresh value for  $G(r)$ , we apply once more the optimistic sampling transformation to sample a response  $m$  at random and define  $G(r)$  as  $m \oplus s$ . We store values of  $G(r)$  computed in this fashion in a different map  $L'_G$ . We prove the following relational invariant between  $\mathbf{G}_3$  and  $\mathbf{G}_4$ , which allows to characterize the event **bad** of  $\mathbf{G}_3$  in terms of the

variables of  $\mathbf{G}_4$ :

$$\text{bad}\langle 1 \rangle \iff (r^* \in \text{dom}(L_G) \vee r^* \in \text{dom}(L'_G))\langle 2 \rangle$$

To prove this, we have to first show that the simulation of the decryption oracle using algorithms  $\text{cie}$  and  $\text{sie}$  in  $\mathbf{G}_4$  is consistent with the view of the adversary in  $\mathbf{G}_3$ . We do this by establishing that the following is a relational invariant between the implementations of  $\mathcal{D}$  in games  $\mathbf{G}_3$  and  $\mathbf{G}_4$ :

$$\begin{aligned} (r^*, s^*, c_{\text{def}}^*, q)\langle 1 \rangle &= (r^*, s^*, c_{\text{def}}^*, q)\langle 2 \rangle \wedge \\ (c^* &= f_{pk}(r^*, s^*))\langle 2 \rangle \wedge \\ \text{bad}\langle 1 \rangle &\iff (r^* \in \text{dom}(L_G) \vee r^* \in \text{dom}(L'_G))\langle 2 \rangle \wedge \\ (\forall x \in \text{dom}(L_G)\langle 2 \rangle). & \\ x \in \text{dom}(L_G\langle 1 \rangle) \wedge L_G\langle 1 \rangle[x] &= L_G\langle 2 \rangle[x] \wedge \\ (\forall x \in \text{dom}(L_G\langle 1 \rangle). & \\ x \notin \text{dom}(L_G\langle 2 \rangle) \rightarrow L_G\langle 1 \rangle[x] &= L'_G\langle 2 \rangle[x]) \wedge \\ (\forall x. x \in \text{dom}(L_G\langle 1 \rangle) \leftrightarrow (x \in \text{dom}(L_G) &\vee x \in \text{dom}(L'_G)))\langle 2 \rangle \end{aligned}$$

We have hence that

$$\Pr[\mathbf{G}_3 : \text{bad}] = \Pr[\mathbf{G}_4 : r^* \in \text{dom}(L_G) \vee r^* \in \text{dom}(L'_G)]$$

In game  $\mathbf{G}_5$  we finally eliminate every reference to  $f^{-1}$  from the decryption oracle. We do this by replacing the map  $L'_G$  with a map  $L_D$  in where we store ciphertexts that implicitly define values of  $G(r)$ . We reformulate the simulation of the decryption oracle using this map instead of  $L'_G$ , by proving the following invariant between the implementations of  $\mathcal{D}$  in

<p><b>Game <math>\boxed{\overline{G_1}} \boxed{\overline{G_2}}</math> :</b>  <math>L_G \leftarrow \text{nil}; c_{\text{def}}^* \leftarrow \text{false}; q \leftarrow 0;</math>  <math>\text{bad} \leftarrow \text{false}; r^* \xleftarrow{\\$} \{0, 1\}^k;</math>  <math>(pk, sk) \leftarrow \mathcal{KG}();</math>  <math>(m_0, m_1, \sigma) \leftarrow \mathcal{A}_1(pk); b \xleftarrow{\\$} \{0, 1\};</math>          if <math>r^* \notin \text{dom}(L_G)</math> then            <math>g^* \xleftarrow{\\$} \{0, 1\}^\ell; \boxed{L_G[r^*] \leftarrow g^*};</math>          else            <math>\text{bad} \leftarrow \text{true};</math>            <math>\boxed{g^* \leftarrow L_G[r^*]}; \boxed{g^* \xleftarrow{\\$} \{0, 1\}^\ell};</math>  <math>c^* \leftarrow f_{pk}(r^*, g^* \oplus m_b); c_{\text{def}}^* \leftarrow \text{true};</math>  <math>b' \leftarrow \mathcal{A}_2(c^*, \sigma);</math>          return <math>(b = b')</math></p>	<p><b>Oracle <math>G(x)</math> :</b>          if <math>x = r^*</math> then <math>\text{bad} \leftarrow \text{true};</math>          if <math>x \notin \text{dom}(L_G)</math> then            <math>L_G[x] \xleftarrow{\\$} \{0, 1\}^\ell;</math>          return <math>L_G[x]</math></p>	<p><b>Oracle <math>\mathcal{D}(c)</math> :</b>          if <math>q &lt; q_D \wedge \neg(c_{\text{def}}^* \wedge c = c^*)</math> then            <math>q \leftarrow q + 1;</math>            <math>(r, s) \leftarrow f_{sk}^{-1}(c);</math>            <math>g \leftarrow G(r);</math>            return <math>g \oplus s</math>          else return <math>\perp</math></p>
<p><b>Game <math>G_3</math> :</b>  <math>L_G \leftarrow \text{nil}; c_{\text{def}}^* \leftarrow \text{false}; q \leftarrow 0;</math>  <math>\text{bad} \leftarrow \text{false}; r^* \xleftarrow{\\$} \{0, 1\}^k;</math>  <math>(pk, sk) \leftarrow \mathcal{KG}();</math>  <math>(m_0, m_1, \sigma) \leftarrow \mathcal{A}_1(pk);</math>          if <math>r^* \in \text{dom}(L_G)</math> then <math>\text{bad} \leftarrow \text{true};</math>  <math>s^* \xleftarrow{\\$} \{0, 1\}^\ell;</math>  <math>c^* \leftarrow f_{pk}(r^*, s^*); c_{\text{def}}^* \leftarrow \text{true};</math>  <math>b' \leftarrow \mathcal{A}_2(c^*, \sigma);</math>  <math>b \xleftarrow{\\$} \{0, 1\};</math>          return <math>(b = b')</math></p>	<p><b>Oracle <math>G(x)</math> :</b>          if <math>x = r^*</math> then <math>\text{bad} \leftarrow \text{true};</math>          if <math>x \notin \text{dom}(L_G)</math> then            <math>L_G[x] \xleftarrow{\\$} \{0, 1\}^\ell;</math>          return <math>L_G[x]</math></p>	<p><b>Oracle <math>\mathcal{D}(c)</math> :</b>          if <math>q &lt; q_D \wedge \neg(c_{\text{def}}^* \wedge c = c^*)</math> then            <math>q \leftarrow q + 1;</math>            <math>(r, s) \leftarrow f_{sk}^{-1}(c);</math>            <math>g \leftarrow G(r);</math>            return <math>g \oplus s</math>          else return <math>\perp</math></p>
<p><b>Game <math>G_4</math> :</b>  <math>L_G, L'_G \leftarrow \text{nil}; c_{\text{def}}^* \leftarrow \text{false}; q \leftarrow 0;</math>  <math>r^* \xleftarrow{\\$} \{0, 1\}^k;</math>  <math>s^* \xleftarrow{\\$} \{0, 1\}^\ell;</math>  <math>c^* \leftarrow f_{pk}(r^*, s^*);</math>  <math>(pk, sk) \leftarrow \mathcal{KG}();</math>  <math>(m_0, m_1, \sigma) \leftarrow \mathcal{A}_1(pk);</math>  <math>c_{\text{def}}^* \leftarrow \text{true};</math>  <math>b' \leftarrow \mathcal{A}_2(c^*, \sigma);</math>          return true</p>	<p><b>Oracle <math>G(x)</math> :</b>          if <math>x \notin \text{dom}(L_G)</math> then            if <math>x \notin \text{dom}(L'_G)</math> then              <math>L_G[x] \xleftarrow{\\$} \{0, 1\}^\ell;</math>            else              <math>L_G[x] \leftarrow L'_G[x];</math>          return <math>L_G[x]</math></p>	<p><b>Oracle <math>\mathcal{D}(c)</math> :</b>          if <math>q &lt; q_D \wedge \neg(c_{\text{def}}^* \wedge c = c^*)</math> then            <math>q \leftarrow q + 1;</math>            <math>r \leftarrow \text{find } r \in \text{dom}(L_G). \text{sie}_{pk}(c, r) \neq \perp;</math>            if <math>r \neq \perp</math> then return <math>L_G[r] \oplus \text{sie}_{pk}(c, r)</math>            else              <math>r \leftarrow \text{find } r \in \text{dom}(L'_G). \text{sie}_{pk}(c, r) \neq \perp;</math>              if <math>r \neq \perp</math> then return <math>L'_G[r] \oplus \text{sie}_{pk}(c, r)</math>              else                if <math>c_{\text{def}}^* \wedge \text{cie}_{pk}(c, c^*) \neq \perp</math> then                  <math>(r, s, t) \leftarrow \text{cie}_{pk}(c, c^*);</math>                  <math>L_G[r] \xleftarrow{\\$} \{0, 1\}^\ell; \text{return } L_G[r] \oplus s</math>                else                  <math>(r, s) \leftarrow f_{sk}^{-1}(c);</math>                  <math>m \xleftarrow{\\$} \{0, 1\}^\ell; L'_G[r] \leftarrow m \oplus s;</math>                  return <math>m;</math>              return <math>\perp</math>          else return <math>\perp</math></p>
<p><b>Game <math>G_5</math> :</b>  <math>L_G, L_D \leftarrow \text{nil}; c_{\text{def}}^* \leftarrow \text{false}; q \leftarrow 0;</math>  <math>r^* \xleftarrow{\\$} \{0, 1\}^k;</math>  <math>s^* \xleftarrow{\\$} \{0, 1\}^\ell;</math>  <math>c^* \leftarrow f_{pk}(r^*, s^*);</math>  <math>(pk, sk) \leftarrow \mathcal{KG}();</math>  <math>(m_0, m_1, \sigma) \leftarrow \mathcal{A}_1(pk);</math>  <math>c_{\text{def}}^* \leftarrow \text{true};</math>  <math>b' \leftarrow \mathcal{A}_2(c^*, \sigma);</math>          return true</p>	<p><b>Oracle <math>G(x)</math> :</b>          if <math>x \notin \text{dom}(L_G)</math> then            <math>c \leftarrow \text{find } c \in \text{dom}(L_D). \text{sie}_{pk}(c, x) \neq \perp;</math>            if <math>c \neq \perp</math> then              <math>L_G[x] \leftarrow L_D[c] \oplus \text{sie}_{pk}(c, x);</math>            else              <math>L_G[x] \xleftarrow{\\$} \{0, 1\}^\ell;</math>          return <math>L_G[x]</math></p>	<p><b>Oracle <math>\mathcal{D}(c)</math> :</b>          if <math>q &lt; q_D \wedge \neg(c_{\text{def}}^* \wedge c = c^*)</math> then            <math>q \leftarrow q + 1;</math>            <math>r \leftarrow \text{find } r \in \text{dom}(L_G). \text{sie}_{pk}(c, r) \neq \perp;</math>            if <math>r \neq \perp</math> then return <math>L_G[r] \oplus \text{sie}_{pk}(c, r)</math>            else              if <math>c \in \text{dom}(L_D)</math> then return <math>L_D[c]</math>              else                <math>c' \leftarrow \text{find } c' \in \text{dom}(L_D). \text{cie}_{pk}(c, c') \neq \perp;</math>                if <math>c' \neq \perp</math> then                  <math>(r, s, t) \leftarrow \text{cie}_{pk}(c, c');</math>                  return <math>L_D[c'] \oplus s \oplus t;</math>                else                  if <math>c_{\text{def}}^* \wedge \text{cie}_{pk}(c, c^*) \neq \perp</math> then                    <math>(r, s, t) \leftarrow \text{cie}_{pk}(c, c^*);</math>                    <math>L_G[r] \xleftarrow{\\$} \{0, 1\}^\ell; \text{return } L_G[r] \oplus s;</math>                  else                    <math>L_D[c] \xleftarrow{\\$} \{0, 1\}^\ell; \text{return } L_D[c]</math>              return <math>\perp</math>          else return <math>\perp</math></p>

Figure 4: Sequence of games in the proof of ZAEF. Fragments of code displayed inside a box appear only in the game whose name is surrounded by the matching box.

games  $G_4$  and  $G_5$ :

$$\begin{aligned}
& (L_G, c^*, c_{\text{def}}^*, q)\langle 1 \rangle = (L_G, c^*, c_{\text{def}}^*, q)\langle 2 \rangle \wedge \\
& (\forall c. (\forall r \in \text{dom}(L'_G). \text{sie}_{pk}(c, r) = \perp)\langle 1 \rangle \leftrightarrow \\
& \quad (\forall c' \in \text{dom}(L_D). \text{cie}_{pk}(c, c') = \perp \wedge c \notin \text{dom}(L_D))\langle 2 \rangle) \wedge \\
& (\forall r. r \notin \text{dom}(L'_G)\langle 1 \rangle) \leftrightarrow (\forall c \in \text{dom}(L_D). \text{sie}_{pk}(c, r) = \perp)\langle 2 \rangle \wedge \\
& (\forall c. \text{let } (r, s) = f_{sk}^{-1}(c) \text{ in} \\
& \quad c \in \text{dom}(L_D)\rangle\langle 2 \rangle \rightarrow \\
& \quad r \in \text{dom}(L'_G\langle 1 \rangle) \wedge L'_G\langle 1 \rangle[r] = s \oplus L_D\langle 2 \rangle[c])
\end{aligned}$$

We then prove the following relational invariant between games  $G_4$  and  $G_5$ :

$$\begin{aligned}
& (r^* \in \text{dom}(L_G) \vee r^* \in \text{dom}(L'_G))\langle 1 \rangle \rightarrow \\
& (r^* \in \text{dom}(L_G) \vee \exists c \in \text{dom}(L_D). \text{cie}_{pk}(c, c^*) \neq \perp)\langle 2 \rangle
\end{aligned}$$

From which we obtain

$$\begin{aligned}
& \Pr[G_4 : r^* \in \text{dom}(L_G) \vee r^* \in \text{dom}(L'_G)] \leq \\
& \Pr[G_5 : r^* \in \text{dom}(L_G) \vee \exists c \in \text{dom}(L_D). \text{cie}_{pk}(c, c^*) \neq \perp]
\end{aligned}$$

We can finally write an inverter  $\mathcal{I}$  against the one-wayness of the underlying trapdoor permutation that uses the map  $L_D$  in the previous game to perfectly simulate the decryption oracle for the IND-CCA adversary  $\mathcal{A}$ . However, the inverter  $\mathcal{I}$  only succeeds if  $r^* \in \text{dom}(L_G)$ :

$$\begin{aligned}
& \Pr[G_5 : r^* \in \text{dom}(L_G) \vee \exists c \in \text{dom}(L_D). \text{cie}_{pk}(c, c^*) \neq \perp] \leq \\
& \Pr[\text{OW} : f_{pk}(x, y) = f_{pk}(z)] + \Pr[\text{OW} : c^* \in \text{dom}(L_D)]
\end{aligned}$$

We bound the second term on the right-hand side of the above inequality by  $q_D/2^n$  using a short sequence of games that we omit. Putting all the above results together, we conclude:

$$\left| \Pr[\text{CCA} : b = b'] - \frac{1}{2} \right| \leq \Pr[\text{OW} : f_{pk}(x, y) = f_{pk}(z)] + \frac{q_D}{2^n}$$

The execution time of  $t_{\mathcal{I}}$  can be bound by inspecting the formulation of the inverter  $\mathcal{I}$  in game OW:

- Each simulated query to  $G$  requires at most  $q_D$  evaluations of algorithm  $\text{sie}$ ;
- Each simulated query to  $\mathcal{D}$  requires at most  $q_G$  evaluations of algorithm  $\text{sie}$  and at most  $q_D$  evaluations of algorithm  $\text{cie}$ ;
- When the simulation finishes, the inverter  $\mathcal{I}$  requires at most  $q_G$  evaluations of algorithm  $\text{sie}$  and at most  $q_D + 1$  evaluations of algorithm  $\text{cie}$  to find the inverse of its challenge.

Thus

$$t_{\mathcal{I}} \leq t_{\mathcal{A}} + 2q_G q_D t_{\text{sie}} + q_D^2 t_{\text{cie}} + q_G t_{\text{sie}} + (q_D + 1) t_{\text{cie}}$$

The last two terms are negligible w.r.t. the rest and can be safely ignored.

## 5. INSTANTIATIONS

In this section, we show that both the Rabin function and RSA with small exponent satisfy the properties required for the security reduction of ZAEF. Moreover, we provide a practical evaluation of both instantiations of ZAEF and a comparison to 3-round OAEF. Our proofs are inspired by [19] and rely on Coppersmith algorithm to find small integer roots of polynomials [21]:

**Theorem 4 (Coppersmith method)** *Let  $p(X)$  be a monic integer polynomial of degree  $d$  and  $N$  a positive integer of unknown factorization. In time polynomial in  $\log(N)$  and  $d$ , using Coppersmith algorithm one can find all integer solutions  $x_0$  to  $p(x_0) = 0 \bmod N$  with  $|x_0| < N^{1/d}$ .*

We denote by  $t_{C(N,d)}$  an upper bound on the running time of the above method for finding all roots modulo  $N$  of a polynomial of degree  $d$ .

### 5.1 Short Exponent RSA

For an  $n$ -bit RSA modulus  $N = pq$ , the function

$$\text{RSA}[N, e] : x \mapsto x^e \bmod N$$

is a well-known trapdoor one-way permutation on  $\mathbb{Z}_N^*$  for any exponent  $e$  coprime to  $\varphi(N)$ . For any non-negative  $\ell \leq n$ , an element  $x \in \mathbb{Z}_N^*$  can be uniquely represented as  $r \times 2^\ell + s$ , where  $s \in \{0, 1\}^\ell$  and  $r \in \{0, 1\}^{n-\ell}$ . We can thus express the RSA function as a function of two arguments:

$$\text{RSA}[N, e] : (r, s) \mapsto (r \times 2^\ell + s)^e \bmod N$$

We denote by RSA-ZAEP the encryption scheme resulting from instantiating ZAEF with this function.

**Second-Input Extractability.** Given an output  $c$  of  $\text{RSA}[N, e]$  and a tentative value  $r$ , the Second-Input Extraction problem boils down to solving  $p(X) = 0 \bmod N$  for  $p(X) = c - (r \times 2^\ell + X)^e \bmod N$  with the additional constraint  $|X| < 2^\ell$ . The Coppersmith method finds the root  $s$  (the second input to the function when  $r$  is the correct first input) when  $2^\ell < N^{1/e}$ , or equivalently, when  $\ell < n/e$ . We thus have an efficient  $\text{sie}$  algorithm that executes within time  $t_{\text{sie}} \leq t_{C(N,e)}$ .

**Common-Input Extractability.** Given two different outputs  $c_1$  and  $c_2$  of  $\text{RSA}[N, e]$ , the Common-Input Extraction problem for  $\text{RSA}[N, e]$  consists in finding  $r$ ,  $s_1$  and  $s_2$  such that  $c_1 = (r \times 2^\ell + s_1)^e \bmod N$  and  $c_2 = (r \times 2^\ell + s_2)^e \bmod N$ , if they exist. Let us consider the two polynomials

$$\begin{aligned}
p_1(X, \Delta) &= c_1 - X^e \bmod N \\
p_2(X, \Delta) &= c_2 - (X + \Delta)^e \bmod N
\end{aligned}$$

These polynomials should be equal to zero for the correct values  $x = r \times 2^\ell + s_1 \bmod N$  for  $X$  and  $\delta = s_2 - s_1 \bmod N$  for  $\Delta$ . Therefore, the resultant polynomial  $R(\Delta)$  of  $p_1$  and  $p_2$  in  $X$ , which is the determinant of the  $2e \times 2e$  Sylvester Matrix associated to the polynomials  $p_1$  and  $p_2$  in the variable  $X$ , and thus with coefficients that are polynomials in  $\Delta$  (of degree 0 for the coefficients of  $p_1$ , but of degree up to  $e$  for the coefficients of  $p_2$ ), is a polynomial with  $\delta = s_2 - s_1$  as a root. Due to the specific form of the matrix,  $R(\Delta)$  is of degree at most  $e^2$  modulo  $N$ , and the Coppersmith method finds the root  $\delta$  provided  $2^\ell < N^{1/e^2}$  or equivalently, when  $\ell < n/e^2$ . Once this root is known, we can focus on the monic polynomials  $p_1(X) = c_1 - X^e \bmod N$  and  $p_2(X) = c_2 - (X + \delta)^e \bmod N$ , for which  $x$  is a common (and unique) root. These two polynomials are distinct, but are both divisible by  $X - x$ , which can be found by computing their GCD. We thus have an efficient  $\text{cie}$  algorithm that executes within time  $t_{\text{cie}}$  bounded by the running time of Coppersmith method for finding  $\delta$ ,  $t_{C(N,e^2)}$ , plus the time needed to compute the GCD of two polynomials of degree  $e$ , which we denote  $t_{\text{GCD}(e)}$ .



## 5.2 Rabin Function

The Rabin function is unfortunately not a permutation. However, for particular moduli we can limit its domain and co-domain to convert it into a bijection. More precisely, if  $p$  and  $q$  are Blum integers, then  $-1$  a non-quadratic residue modulo  $p$  and  $q$ , and hence is a false square modulo  $N = pq$ . Put otherwise,  $J_N(-1) = +1$  where  $J_N(\cdot)$  denotes the Jacobi symbol modulo  $N$ . In addition, any square  $x$  in  $\mathbb{Z}_N^*$  admits four square roots in  $\mathbb{Z}_N^*$ , derived from the two pairs of square roots of  $x$  in  $\mathbb{Z}_p^*$  and  $\mathbb{Z}_q^*$  using the Chinese Remainder Theorem. As a consequence, one and only one is also a quadratic residue modulo  $N$ , which we denote  $\alpha$ . Then,  $\alpha$  and  $-\alpha$  are the two square roots of  $x$  with Jacobi symbol  $+1$ . We will ignore the other two square roots of  $x$  that have Jacobi symbol  $-1$ . Let  $\mathcal{J}_N$  denote the subgroup of the multiplicative subgroup of  $\mathbb{Z}_N$  whose elements have Jacobi symbol  $+1$  (membership can be efficiently decided). We additionally restrict  $\mathcal{J}_N$  to the elements smaller than  $N/2$ , and we denote this subset  $\mathcal{J}_N^<$ . We now consider the function

$$\text{SQ}[N] : \mathcal{J}_N^< \times \{0, 1\} \rightarrow \mathcal{J}_N$$

$$\text{SQ}[N] : (x, b) \mapsto (-1)^b x^2 \bmod N$$

The inverse function takes an element  $y \in \mathcal{J}_N$ , which may be a true quadratic residue or a false one. In the former case, one extracts the unique square root  $\alpha$  that is also a quadratic residue and sets  $x$  to be the smallest value in  $\{\alpha, N - \alpha\}$  that is less than  $N/2$ ; the inverse of  $y$  is  $(x, 0)$ . In the latter case, one does as before to compute  $x$ , but from  $-y$ , which is a true quadratic residue; the inverse of  $y$  is  $(x, 1)$ . The function  $\text{SQ}[N]$  thus defined is a bijection from  $\mathcal{J}_N^< \times \{0, 1\}$  onto  $\mathcal{J}_N$ .

**One-wayness.** Let us assume that an algorithm  $\mathcal{A}$  can invert  $\text{SQ}[N]$  with non-negligible probability. Then one can first choose a random  $z \in \mathbb{Z}_N^* \setminus \mathcal{J}_N$  (instead of  $\mathcal{J}_N^<$ ) and a random bit  $b$ , and submit  $y = (-1)^b \times z^2 \bmod N$  to  $\mathcal{A}$ . This element  $y$  is uniformly distributed in  $\mathcal{J}_N$ , and thus with non-negligible probability  $\mathcal{A}$  outputs  $(x, b') \in \mathcal{J}_N^< \times \{0, 1\}$  such that  $y = (-1)^{b'} \times x^2 = (-1)^b \times z^2 \bmod N$ . Since  $-1$  is a false quadratic residue, necessarily  $b' = b$  and  $x^2 = z^2 \bmod N$ , with  $x \in \mathcal{J}_N$  and  $z \notin \mathcal{J}_N$ . The GCD of  $x - z$  and  $N$  is either  $p$  or  $q$ , from which  $N$  can be factored. This function is thus one-way under the integer factoring problem.

As above, in order to be used with ZAEP, we have to consider the function  $\text{SQ}[N]$  as a function of two bitstrings. Given an input  $(x, b) \in \mathcal{J}_N^< \times \{0, 1\}$ , for any  $0 \leq \ell \leq n-1$  we can uniquely write  $x \in \mathbb{Z}_N^*$  as  $x = r \times 2^\ell + s$ , with  $s \in \{0, 1\}^\ell$  and  $r \in \{0, 1\}^{n-1-\ell}$ . We consider thus the function:

$$\text{SQ}[N] : \{0, 1\}^{n-\ell} \times \{0, 1\}^\ell \rightarrow \{0, 1\}^n$$

$$\text{SQ}[N] : (b||r, s) \mapsto (-1)^b \times (r \times 2^\ell + s)^2 \bmod N$$

**Second-Input Extractability.** Given an output  $c$  of  $\text{SQ}[N]$  and a pair of values  $b, r$ , the Second-Input Extraction problem consists in solving the equation  $p(X) = 0 \bmod N$  for  $p(X) = c - (-1)^b \times (r \times 2^\ell + X)^2 \bmod N$  with the additional constraint  $|X| < 2^\ell$ . The above Coppersmith method finds the root  $s$  (the second input to  $\text{SQ}[N]$  used to compute  $c$  if  $b||r$  is the correct first input) provided  $2^\ell < N^{1/2}$ , or equivalently when  $\ell < n/2$ . We thus have an efficient sie algorithm that runs within time  $t_{\text{sie}} \leq t_{C(N, 2)}$ .

**Common-Input Extractability.** The Common-Input Extraction problem can be solved as in the case of RSA, provided  $\ell < n/4$ . We thus have an efficient cie algorithm whose running time  $t_{\text{cie}}$  is bounded by  $t_{C(N, 4)} + t_{\text{GCD}(2)}$ .

We denote by Rabin-ZAEP the encryption scheme resulting from instantiating ZAEP with the function  $\text{SQ}[N]$ . Since this function operates only on elements in  $\mathcal{J}_N^<$ , the encryption algorithm may have to iterate:

**Key Generation** The algorithm  $\mathcal{KG}$  generates two Blum integers  $p$  and  $q$  of length  $n/2$ , and outputs  $(pk, sk)$ , where  $pk = N = pq$  and  $sk = (p, q)$ ;

**Encryption** Given a public key  $N$  and a message  $m \in \{0, 1\}^\ell$ , the encryption algorithm iteratively samples a random value  $r \in \{0, 1\}^{k-1}$  and a bit  $b$  and sets  $s = m \oplus G(b||r)$ , stopping when  $x = r \times 2^\ell + s \in \mathcal{J}_N^<$ . This requires on average one iteration only. The ciphertext  $c$  is computed as

$$\text{SQ}[N](b||r, s) = (-1)^b \times (r \times 2^\ell + s)^2 \bmod N;$$

**Decryption** Given a secret key  $(p, q)$  and a ciphertext  $c$ ,  $\mathcal{D}$  first inverts  $\text{SQ}[N]$  using the prime factors  $(p, q)$  of  $N$  and gets  $(x, b)$ . It then parses  $x$  as  $r \times 2^\ell + s \bmod N$  and outputs  $m = s \oplus G(b||r)$ .

## 5.3 Practical Considerations

For RSA-ZAEP, all the required properties to achieve IND-CCA-security hold as long as  $e < \sqrt{n/\ell}$ . For a practical message size  $\ell$ ,  $e$  has to be small (e.g.  $e = 3$ ). But for a small exponent  $e$ , both sie and cie algorithms are efficient operations on small polynomials, and thus the reduction is efficient: from an adversary that achieves an IND-CCA advantage  $\varepsilon$  within time  $t$ , one can invert RSA with small exponent with success probability essentially  $\varepsilon$ , within time close to  $t$ . As a consequence, one can use classical RSA moduli: for  $e = 3$ , a 1024-bit modulus allows to encrypt 112-bit messages, whereas a 1536-bit modulus allows to securely encrypt messages of up to 170-bits.

For Rabin-ZAEP, encryption is reasonably efficient (an evaluation of  $\mathcal{J}(\cdot)$  on average plus one modular square). The IND-CCA-security of the scheme can be reduced to the integer factoring problem in the random oracle model, with an efficient reduction (even better than for RSA exponent 3). As a consequence, for  $n = 1024$ , one can securely encrypt messages of up to 256-bits. This suffices, for instance, to encrypt AES keys of all standard sizes.

## 5.4 Other Redundancy-Free Schemes

We compare our security result of Theorem 1 to the security results for 3-round OAEP (see Fig. 1(b)) and the 4-round scheme of Abe et al. [2], the only other two redundancy-free schemes based on the integer factoring assumption.

The original result about the IND-CCA security of 3-round RSA-OAEP [37] relies on an intermediate reduction to the partial-domain one-wayness of RSA. Phan and Pointcheval [38] improved on this result by showing a direct reduction to the (full-domain) one-wayness of RSA, which avoids the additional cost of reducing partial-domain one-wayness to one-wayness. They show that given an adversary  $\mathcal{A}$  against the IND-CCA-security of 3-round OAEP that executes within time  $t_{\mathcal{A}}$  and makes at most  $q_G$  queries to its 3 hash oracles and  $q_D$  queries to its decryption oracle, it is possible to construct an inverter  $\mathcal{I}$  for RSA that executes within time  $t_{\mathcal{I}}$ ,

such that

$$t_{\mathcal{I}} \leq t_{\mathcal{A}} + t_{\text{RSA}} \times ((q_{\mathcal{D}} + 1)q_{\mathcal{G}}^2 + q_{\mathcal{D}}^2)$$

$$\text{Succ}_f^{\text{OW}}(\mathcal{I}) \geq \text{Adv}_{\text{OAEP3R}}^{\text{CCA}}(\mathcal{A}) - \frac{5q_{\mathcal{D}}q_{\mathcal{G}} + q_{\mathcal{D}}^2 + q_{\mathcal{D}} + q_{\mathcal{G}}}{2^k}$$

The probability loss in the above reduction can be made negligibly small with an appropriate choice of  $k$ , the length of the random value used during encryption. However, even while  $t_{\text{RSA}}$  is small, the  $q_{\mathcal{D}}q_{\mathcal{G}}^2$  factor in the time bound makes the reduction for 3-round OAEP inefficient, because  $q_{\mathcal{G}} \gg q_{\mathcal{D}}$  can be large. This quadratic contribution in the number of hash queries also appears in the OAEP security bound and is the major reason for requiring larger moduli.

The 4-round scheme of Abe et al. [2] improves on the efficiency of 3-round OAEP at the cost of one extra Feistel round. Given an adversary  $\mathcal{A}$  against the IND-CCA-security of the scheme that executes within time  $t_{\mathcal{A}}$  and makes at most  $q_{\mathcal{G}}$  hash oracle queries and  $q_{\mathcal{D}}$  decryption queries, it is possible to construct an inverter  $\mathcal{I}$  for the underlying permutation, say RSA, that executes within time  $t_{\mathcal{I}}$ , such that

$$t_{\mathcal{I}} \leq t_{\mathcal{A}} + t_{\text{RSA}} \times q_{\mathcal{G}}^2$$

$$\text{Succ}_f^{\text{OW}}(\mathcal{I}) \geq \text{Adv}_{\text{OAEP4R}}^{\text{CCA}}(\mathcal{A}) - \frac{4q_{\mathcal{G}}}{2^k} - \frac{2q_{\mathcal{D}}^2}{2^{2k}} - \frac{2q_{\mathcal{G}}(q_{\mathcal{D}} + 1)}{2^{3k}}$$

In contrast to 3-round OAEP, the leading term in the probability loss is  $O((q_{\mathcal{G}} + q_{\mathcal{D}})/2^k)$  because  $q_{\mathcal{G}}, q_{\mathcal{D}}$  must be bounded by  $2^k$  to achieve semantic security. This allows to use smaller moduli and to get an optimal ciphertext overhead for sufficiently large messages.

In comparison to the above schemes, we show the following bounds for ZAEF in Theorem 1:

$$t_{\mathcal{I}} \leq t_{\mathcal{A}} + 2q_{\mathcal{G}}q_{\mathcal{D}} t_{\text{sie}} + q_{\mathcal{D}}^2 t_{\text{cie}}$$

$$\text{Succ}_f^{\text{OW}}(\mathcal{I}) \geq \text{Adv}_{\text{ZAEF}}^{\text{CCA}}(\mathcal{A}) - \frac{q_{\mathcal{D}}}{2^n}$$

The probability loss in our reduction is negligible and the leading term in the time bound is linear in  $q_{\mathcal{G}}$ , allowing the use of standard RSA moduli.

## 6. RELATED WORK

**Plaintext-awareness and Non-Redundancy.** Plaintext awareness is an intuitive concept, that has proved difficult to formalize. The concept was introduced by Bellare and Rogaway for proving security of OAEP [11]. However, their work only dealt with a weak notion of plaintext-awareness that provides a weaker, non-adaptive, notion of chosen-ciphertext security [34] rather than the adaptive notion of IND-CCA security considered in this paper. Subsequently, Bellare et al. [8] enhanced the plaintext-awareness notion to guarantee IND-CCA security. In an effort to accommodate it to the standard model, the definition was further refined by Herzog, Liskov and Micali [31], Bellare and Palacio [9], Dent [24], and Birket and Dent [14]. As noted in the introduction, plaintext-awareness is an appealing concept: it is satisfied by most IND-CCA encryption schemes, and the common way to transform an IND-CPA scheme into an IND-CCA scheme is to introduce redundancy that ensures plaintext-awareness. In fact, it has been observed that existing schemes, such as OAEP, cease to guarantee IND-CCA security—but still retain IND-CPA security—whenever the redundancy is omitted. Nevertheless, sev-

eral works have shown that redundancy and plaintext-awareness are not required to achieve chosen-ciphertext security. The initial results in this direction are due to Phan and Pointcheval [37,38]; earlier work by Desai [25] achieves a similar goal, but in the setting of symmetric encryption. Libert and Quisquater [33] build a redundancy-free identity-based encryption scheme that achieves adaptive IND-CCA security. More recently, Boyen [20] proposes a compact redundancy-free encryption scheme based on the Gap-Diffie-Hellman problem [35]. Whereas Boyen’s scheme is definitely optimal from the point of view of bandwidth, with a 160-bit overhead only, it is not really efficient because many costly full exponentiations must be computed for encryption and decryption.

**Formal proofs of cryptographic schemes.** The application of formal methods to cryptography has a long and rich history. However, much of the the work in this area has focused on the formal verification of cryptographic protocols in the symbolic model, which assumes that the underlying primitives are perfectly secure. A seminal article by Abadi and Rogaway [1] shows, for the case of encryption, that symbolic methods are indeed sound for the computational model, and can thus be used to achieve cryptographically meaningful guarantees. The computational soundness result of Abadi and Rogaway has been extended in many directions; we refer the reader to [22] for a survey on computational soundness.

In contrast, the application of formal proofs to cryptographic schemes is more recent, and less developed. To our best knowledge, Impagliazzo and Kapron [32] were the first to propose a formal logic to reason about indistinguishability. Using this logic, they prove that next-bit unpredictability implies pseudo-randomness. However, the logic cannot handle adaptive adversaries with oracle access. Computational Indistinguishability Logic [3] is a more recent logic that overcomes these limitations. Both of these works provide logical foundations for reasoning about cryptographic systems, but lack tool support.

In an inspiring article, Halevi [30] advocates that cryptographic proofs should be computer-assisted, and outlines the design of an automated tool to support cryptographic proofs that follow the code-based game-playing approach. **CryptoVerif** [15] is among the first tools to have provided support for computer-aided cryptographic proofs. It allows users to conduct, automatically or interactively, game-based concrete security proofs of primitives or protocols. Games in **CryptoVerif** are modeled as processes in the applied  $\pi$ -calculus, and transitions are proved using a variety of methods, including process-algebraic (for instance bisimulations) or purpose-built (for instance failure events) tools. To date, **CryptoVerif** has been applied to prove the security of the Full-Domain Hash signature scheme [17] and several protocols; we refer to [16] for a more detailed account of the examples proved with **CryptoVerif**. The work we report in this paper uses **EasyCrypt** [4], a more recent tool that takes a programming language approach to cryptographic proofs. **EasyCrypt** and its predecessor **CertiCrypt** have been used to verify a number of emblematic cryptographic schemes, including OAEP [5]. As **CryptoVerif**, **EasyCrypt** and **CertiCrypt** aim to provide general frameworks that capture common reasoning patterns in cryptography. An alternative is to develop specialized logics, that are able to prove a particular

property for a given class of schemes. A relevant example is the Hoare logic of Courant et al. [23], which allows to prove automatically that an encryption scheme based on trapdoor one-way functions, random oracles, concatenation and exclusive-or is IND-CPA or IND-CCA secure. Their logic (or a suitable extension) uses a syntactic form of plaintext-awareness to conclude that an encryption scheme is IND-CCA secure; hence it cannot be applied to conclude IND-CCA security of ZAEP.

## 7. CONCLUSION

ZAEP is a surprisingly simple and efficient padding scheme that achieves adaptive chosen-ciphertext security without introducing any redundancy. Using the EasyCrypt tool, we have built a machine-checked proof that ZAEP yields IND-CCA security with a rather efficient reduction, whenever it is instantiated with trapdoor permutations satisfying two intuitive algebraic properties that hold for the Rabin function and small exponent RSA. The proof is significant beyond its intrinsic interest, as the first application of verified security to a novel construction. Pleasingly, starting from a high-level intuition, we were able to build with reasonable effort in less than a week and directly in EasyCrypt, the sequence of games for proving IND-CCA security. The time needed to complete the proof stands in sharp contrast with the six man-months that were reported needed to reproduce the proof of OAEP in CertiCrypt [5]. Thus, our work provides further evidence that, as stated in [4], “EasyCrypt makes a significant step towards the adoption of computer-aided proofs by working cryptographers”.

The ZAEP proof opens exciting perspectives for future work. On the one hand, it suggests that automation can be significantly improved through user-defined and built-in strategies that automatically generate a sequence of games. More speculatively, we are currently investigating whether strategies could provide an effective means to automate IND-CPA and IND-CCA proofs for encryption schemes obtained with methods of program synthesis. In a parallel thread of work, we have implemented a synthesis tool that generates encryption schemes based on trapdoor one-way permutations, random oracles, concatenation and exclusive-or. In order to limit the set of candidate schemes to examine, we have constrained the generation mechanism by Dolev-Yao filters that eliminate obviously insecure schemes. Thus, the synthesis algorithm generates a list of candidates that is exhaustive up to a given number of operations. Noticeably, there are only two candidates with a minimal number (four) of operations: the (redundant-free and IND-CPA) Bellare and Rogaway encryption scheme [10], which is known since 1993, and ZAEP, which has not been studied before. The case of ZAEP makes us hopeful that automated synthesis of cryptographic schemes may lead to surprising discoveries.

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