

## Appendix A: PCI ASV Compliance Test Agreement

THIS AGREEMENT (the "Agreement") is entered into between PCI Security Standards Council, LLC, a Delaware limited liability company, having its principal place of business at 401 Edgewater Place, Suite 600, Wakefield, Massachusetts 01880 ("PCI SSC") and the entity identified on the signature page below ("Vendor"), effective as of the date executed by PCI SSC as set forth on the signature page hereto (the "Effective Date").

PCI SSC and Vendor are hereinafter collectively referred to as the "Parties."

### RECITALS

- A. PCI SSC is an international consortium of payment systems companies, established by its founding Members to maintain, develop and support the implementation of standards relating to payment account security.
- B. PCI SSC offers a cost-effective, global security solution called the PCI Approved Scanning Vendor Compliance Test Program ("ASV Program"), which provides security compliance solution vendors with the ability to deploy security compliance programs to assist their Vendor Clients to better protect against illegitimate network intrusions and account data compromises (collectively, "Vendor Services").
- C. As part of the ASV Program, PCI SSC publishes the PCI Standard.
- D. Vendor is the provider of a Security Solution or Security Solutions that it believes are compliant with the PCI Standard.
- E. PCI SSC is willing to assist and to check whether such Security Solutions are compliant with the PCI Standard and Vendor meets the requirements for PCI SSC-approved scanning vendors ("ASVs"). In case a Security Solution is deemed compliant with the PCI Standard and Vendor meets such requirements, Vendor will be entitled to present itself to Vendor Clients as an ASV with respect to such Security Solution in the framework of the ASV Program, as provided in this Agreement.
- F. Vendor has submitted an online application form requesting participation in the ASV Program and PCI SSC has considered Vendor as eligible to move to the initial approval Testing phase of the ASV Program.

NOW THEREFORE, in consideration of the mutual promises herein, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties hereby agree as follows:

## 1 Definitions

- 1.1 In addition to the definitions established elsewhere in this Agreement, the following terms, when capitalized in this Agreement, shall have the following meanings ascribed to them:

**"Compliance Notification"** shall mean the letter in the form attached as Schedule 2, which is hereby incorporated into this Agreement;

**"Confidential Information"** shall mean (i) all terms of this Agreement; (ii) any and all information designated in this Agreement as Confidential Information; (iii) any and all originals or copies of, any information that either Party has identified in writing as confidential at the time of disclosure; and (iv) any and all Personal Information, proprietary information, merchant information, technical information or data, scan reports, trade secrets or know-how, information concerning either Party's past, current, or planned products, services, fees, finances, member institutions, Issuers, Acquirers, concepts, methodologies, research, experiments, inventions, processes, formulas, designs, drawings, business activities, markets, plans, customers, equipment, card plastics or plates, software, source code, hardware configurations or other information disclosed by either Party or any Member, or their respective directors, officers, employees, agents, representatives, independent contractors or attorneys, in each case, in whatever form embodied (e.g., oral, written, electronic, on tape or disk, or by drawings or inspection of parts or equipment or otherwise), including without limitation, any and all other information that reasonably should be understood to be confidential. "Personal Information" means any and all Member payment card account numbers, Member transaction information, IP addresses or other PCI SSC, Member or third-party information relating to a natural person, where the natural person could be identified from such information. Without limiting the foregoing, Personal Information further includes any information related to any Member accountholder that is associated with or organized or retrievable by an identifier unique to that accountholder, including accountholder names, addresses, or account numbers.

**"Intellectual Property Rights"** shall mean all present and future patents, trade marks, service marks, design rights, database rights (whether registrable or unregistrable, and whether registered or not), applications for any of the foregoing, copyright, know-how, trade secrets, and all other industrial or intellectual property rights or obligations whether registrable or unregistrable and whether registered or not in any country;

**"Member"** means an entity then legally admitted as a member of PCI Security Standards Council, LLC in accordance with the Delaware Limited Liability Company Act (such Members, as of the Effective Date, being American Express Travel Related Services Company, Inc., DFS Services LLC, JCB Advanced Technologies, Inc., MasterCard International Incorporated and Visa Holdings, Inc.).

**"PCI Standard"** means the then-current version of the PCI Data Security Standard, the current version of which is accessible on the PCI SSC web site at <http://www.pcisecuritystandards.org> (the "Website");

**"Related Company"** shall mean each entity that directly or indirectly, controls, is controlled by, or is under common control with Vendor, and any entity in which Vendor holds any investment in excess of 5%.

**"Security Solution"** means a solution (consisting of the applicable administration process, scanning tools and reporting system for such solution) that Vendor believes is compliant with the PCI Standard and which is to be assessed during the Testing phase of the ASV

Program. Each Security Solution is identified and referred to in the applicable Compliance Notification (as further described in clause 5.1(b)).

**"Testing"** means evaluating a Security Solution to determine whether or not it complies with the PCI Standard; "Test" and "Tested" will be interpreted accordingly;

**"Vendor Client"** means any member financial institution of a Member (each a "Financial Institution"), issuer of Member payment cards (each an "Issuer"), merchant authorized to accept any Member payment cards (each a "Merchant"), acquirer of Merchant accounts ("Acquirer") or data processing entity performing services for any Financial Institution, Issuer, Merchant or Acquirer ("Processor").

**1.2** In this Agreement and unless the context otherwise requires, words importing the singular include the plural and vice versa, words importing the masculine gender include the feminine and neuter and vice versa. References to clauses and schedules are, unless otherwise stated, references to clauses of, and schedules to this Agreement. Headings are for convenience only and are not to affect the interpretation of this Agreement.

**1.3** This Agreement is comprised of the following:

- Clauses 1 to 14
- Schedule 1: Fees
- Schedule 2: Compliance Notification (sample)

## **2 Vendor obligations**

**2.1** Vendor shall provide all reasonable assistance as well as accurate information and documentation to PCI SSC and its agents as may be needed for the purpose of Testing.

**2.2** Vendor shall disclose the result of the Test or any other technical information exchanged in the scope of Testing only in accordance with the provisions of clause 6.

**2.3** Vendor acknowledges and agrees that it may only advertise, offer or use a Security Solution as Tested and deemed compliant by PCI SSC, and in accordance with clause 5.1(b). Consequently, Vendor shall immediately inform PCI SSC of any significant change in any Security Solution as provided in clause 3.1.

**2.4** Vendor acknowledges that even though a Security Solution receives a Compliance Notification, such Security Solution shall be subject to an annual Testing maintenance process. Such annual Testing maintenance process shall ensure that such Security Solution remains capable of identifying newly reported public domain vulnerabilities. Consequently, Vendor shall submit each Security Solution for annual maintenance Testing within three (3) months upon request from PCI SSC.

**2.5** Vendor shall make nonrefundable payment to PCI SSC of the applicable fees in accordance with the payment terms set forth in Schedule 1, which is hereby incorporated into this Agreement. Vendor acknowledges that PCI SSC may review and modify the fees specified in Schedule 1 at any time and from time to time. Whenever a change in such fees occurs, PCI SSC shall notify Vendor in accordance with the terms of clause 12. Such change(s) will be effective for any new Testing submission and annual Testing maintenance after the date of PCI SSC's notification of such changes. However, should Vendor not agree with such change(s), Vendor shall have the right to terminate this Agreement in accordance with the provisions of clause 6.2(iii) (A) at any time within thirty (30) days of delivery of the aforementioned notice.

- 2.6** Vendor shall comply with all requirements as set forth in the then-current versions of the Program Guide and the "Validation Requirements for Approved Scanning Vendors" (the "Validation Requirements"; and collectively with the Program Guide, the "ASV Requirements") as each is set forth on the Website. Additionally, Vendor agrees to monitor the Website at least weekly for changes to the ASV Requirements and to comply with all such changes within 15 days of the effective dates thereof.

### **3 Terms and conditions of Testing**

- 3.1** In accordance with the terms of clause 2.3 where Vendor shall inform PCI SSC of any significant change in each Security Solution, PCI SSC may decide in its sole discretion (i) that such Security Solution is deemed to remain compliant by sending a new Compliance Notification or (ii) to request Vendor to resubmit a modified Security Solution for a new Testing within one (1) month upon receipt by PCI SSC of said information given pursuant to clause 2.3 and subject to payment of the related new Testing fee (and Additional Testing fee if applicable) as specified in Schedule 1.
- 3.2** Notwithstanding clause 3.1, if at any time PCI SSC believes that a Security Solution is no longer compliant with the PCI Standard, PCI SSC shall be entitled to require Vendor to resubmit such Security Solution for a new Testing within three (3) months of such request from PCI SSC and subject to payment of the related new Testing fee (and Additional Testing fee) as specified in Schedule 1.
- 3.3** Vendor shall have no "right of access" to any data associated with the ASV Program or Testing, except as allowed by PCI SSC under this Agreement.
- 3.4** PCI SSC shall have no obligation with respect to Vendor having not successfully completed Testing other than informing Vendor that Vendor is not compliant with the PCI Standard by sending a non-compliance notification to Vendor.
- 3.5** PCI SSC may amend, remove, add to or suspend any provision of the ASV Program, or cease to operate the ASV Program, whether with or without replacing it with any other program, in its discretion. Additionally, PCI SSC may from time to time require Vendor to provide a representative to attend any mandatory training programs in connection with the ASV Program, which may require the payment of attendance and other fees.
- 3.6** Notwithstanding anything to the contrary in clause 6 of this Agreement, in order to assist in ensuring the reliability and accuracy of Vendor's testing and assessment procedures for Vendor Clients, within 15 days of any written request by PCI SSC or any Member (each a "Requesting Organization"), Vendor agrees to provide to such Requesting Organization such Vendor Client testing and assessment results (including without limitation, scan reports and all working papers, notes and other materials and information generated in connection with such testing and assessment) as such Requesting Organization may reasonably request with respect to: (i) if the Requesting Organization is a Member, any Vendor Client for which Vendor has performed Vendor Client testing and/or assessment as part of the ASV Program and that is a Financial Institution of such Member, Issuer of such Member, Merchant authorized to accept such Member's payment cards, Acquirer of accounts of Merchants authorized to accept such Member's payment cards or Processor performing services for such Member's Financial Institutions, Issuers, Merchants or Acquirers or (ii) if the Requesting Organization is PCI SSC, any Vendor Client for which Vendor has performed Vendor Client testing and/or assessment as part of the ASV Program. Each agreement between Vendor and its Vendor Clients shall include such provisions as may be necessary to ensure that Vendor has all necessary rights, licenses and other permissions for Vendor to comply with its obligations and requirements pursuant to this Agreement, with no conditions, qualifications or other terms (whether in such

agreement between Vendor and the applicable Vendor Client or otherwise) that might tend to nullify, impair or render unenforceable Vendor's right to disclose the information and materials required hereby or to otherwise comply with Vendor's obligations pursuant to this Agreement. Any failure of Vendor to comply with this clause 3.6 shall be deemed a material breach of this Agreement for purposes of clause 7.3(b) (i), and upon any such breach, PCI SSC may remove Vendor's name from the ASV List and/or terminate this Agreement in its sole discretion. Additionally, Vendor agrees that all ASV quality assurance procedures established by PCI SSC from time to time shall apply, including without limitation, those relating to probation, fines and penalties, remediation, suspension and revocation.

- 3.7** Vendor shall allow PCI SSC or its designated agents access during normal business hours during the Term (as defined in clause 7.1) and for a period of six (6) months thereafter to perform audits of Vendor's facilities, operations and records on Vendor Services to determine whether Vendor has complied with this Agreement. Vendor shall provide PCI SSC or its designated agents during normal business hours with books, records and supporting documentation adequate to evaluate Vendor's performance hereunder. Upon request, Vendor shall provide PCI SSC or its designated agents with a copy of its most recent audited financial statements or those of its parent company which include financial results of Vendor, a letter from Vendor's certified public accountant or other documentation acceptable to PCI SSC setting out Vendor's current financial status and warranted by Vendor to be complete and accurate. Any failure of Vendor to comply with this clause 3.7 shall be deemed a material breach of this Agreement for purposes of clause 7.3(b) (i), and upon any such breach, PCI SSC may remove Vendor's name from the ASV List and/or terminate this Agreement in its sole discretion.

## **4 Intellectual Property Rights**

- 4.1** All Intellectual Property Rights, title and interest in and to the ASV Program, the PCI DSS, the PCI Materials (defined in clause 10.1 below), all materials Vendor receives from PCI SSC, and each portion, future version, revision, extension, and improvement of any of the foregoing, are and at all times shall remain solely and exclusively the property of PCI SSC or its licensors, as applicable. Subject to the foregoing and to the restrictions set forth in clause 6, so long as Vendor is in Good Standing, Vendor may, on a non-exclusive, non-transferable, worldwide, revocable basis, use the PCI Materials (and any portion thereof), provided that such use is solely for Vendor's internal review purposes or as otherwise expressly permitted in this Agreement or pursuant to a separate written agreement between PCI SSC and Vendor.
- 4.2** All Intellectual Property Rights, title and interest in all assessment results performed by PCI SSC are and at all times shall remain the property of PCI SSC. Vendor may use and disclose, subject to the provisions of clause 6, the assessment results only for the purposes of this Agreement. Vendor shall not revise, abridge, modify or alter such assessment results. Vendor shall not assert or imply that assessment results other than those upon which a Compliance Notification was issued by PCI SSC are connected or related to such Compliance Notification. Vendor shall have the right to make copies of a given Compliance Notification to inform PCI SSC and its Members' members that the Security Solution described therein is in compliance with the PCI Standard and that Vendor has been approved as an ASV.
- 4.3** Vendor shall not during or at any time after the completion, expiry or termination of this Agreement in any way question or dispute PCI SSC's or its licensors' (as applicable) Intellectual Property Rights in the ASV Program.



- 4.4 All Intellectual Property Rights, title and interest in material submitted by Vendor to PCI SSC for assessment and Testing purposes are and at all times shall remain vested in Vendor.

## 5 Advertising and Promotion

### 5.1 ASV List and Use of ASV Marks.

- (a) As long as Vendor is in Good Standing (as defined below) as an ASV, PCI SSC may, at its sole discretion, display the identification of Vendor and each Security Solution that complies with the PCI Standard, together with information as to such compliance, in such publicly available list of ASVs as PCI SSC may maintain and/or distribute from time to time, whether on the Website or otherwise (the "ASV List"). Vendor shall provide all requested information necessary to ensure to PCI SSC's satisfaction that the identification and information provided on the ASV List are accurate. Vendor shall be deemed to be in "Good Standing" as an ASV as long as this Agreement is in force, Vendor has been approved as an ASV and such approval has not been revoked, a Vendor Security Solution has successfully completed the Testing phase of the ASV Program and is in compliance with the PCI Standard, and Vendor is not in breach of any of the terms and conditions of this Agreement (including without limitation, all provisions regarding compliance with the ASV Requirements and payment).
- (b) If Vendor is in Good Standing and PCI SSC issues a Compliance Notification (in the form set out in Schedule 2) confirming that a given Security Solution is deemed compliant with the PCI Standard and that PCI SSC has approved Vendor as an ASV, Vendor may disclose and advertise the same and the existence of such Compliance Notification, in accordance with the terms of such Compliance Notification. In the event that Vendor is no longer in Good Standing as an ASV, Vendor's rights pursuant to the preceding sentence shall immediately cease and the Security Solution and related Vendor's information shall be removed from the ASV List. In the event that Vendor is otherwise in Good Standing as an ASV, but a given Security Solution of Vendor's is no longer deemed compliant with the PCI Standard, Vendor's rights pursuant to the first sentence of this clause 5.1(b) with respect to such noncompliant Security Solution shall immediately cease and such noncompliant Security Solution shall be removed from the ASV List. While Vendor is in good standing as an ASV and Vendor is listed in the ASV List, Vendor may also make reference to the fact that it is so listed in its advertising materials.
- (c) Vendor shall make no use of PCI SSC or Member marks without the prior written consent of PCI SSC or the applicable Member that owns such marks, as the case may be. Without limitation of the foregoing, Vendor shall have no authority and consequently shall not make any statement that would constitute any implied or express endorsement, recommendation or warranty by PCI SSC regarding Vendor, the Vendor Services or products (including but not limited to Vendor's Security Solution(s)) or the functionality, quality or performance of any aspect of any of the foregoing. Except with respect to (A) factual references to the ASV Program or to PCI Materials that Vendor includes from time to time in its contracts with Vendor Clients and that are required or appropriate in order for Vendor to accurately describe the nature of the Vendor Services that Vendor will provide pursuant to such contracts, (B) references permitted pursuant to clause 5.1(b) above and (C) references that PCI SSC has expressly authorized pursuant to a separate written agreement with Vendor, Vendor may not publish, disseminate or otherwise make available any statements, materials or products (in any form) that refer to the PCI DSS, the PCI Materials or any portion of the foregoing, Vendor's listing on the ASV List, PCI SSC, any Member, or any PCI SSC or

Member mark, unless such statement, material or product has been reviewed and approved in writing by PCI SSC and, to the extent applicable, such Member, prior to publication or other dissemination, in each instance.. Prior review and/or approval of such statements, materials or products by PCI SSC and/or any applicable Member does not relieve Vendor of any responsibility for the accuracy and completeness of such statements, materials or products or for Vendor's compliance with this Agreement or any applicable law. Except as otherwise expressly agreed by PCI SSC in writing, any dissemination of promotional or other materials or publicity in violation of this clause 5 shall be deemed a material breach of this Agreement and upon any such violation, PCI SSC may remove Vendor's name from the ASV List and/or terminate this Agreement in its sole discretion. To the extent that Vendor either uses or makes reference to any Member mark or makes any statement relating to any Member in violation of this clause, then such Member shall be an express third party beneficiary of this clause and shall have available to it all rights, whether at law or in equity, to enforce the provisions hereof on its own behalf and in its own right directly against Vendor.

- 5.2** Uses of ASV Name and Designated Marks. ASV grants PCI SSC and each Member the right to use ASV's name and trademarks, as designated in writing by ASV, to list ASV on the ASV List and to include reference to ASV in publications to Financial Institutions, Issuers, Merchants, Acquirers, Processors, and the public regarding the ASV Program. Neither PCI SSC nor any Member shall be required to include any such reference in any materials or publicity regarding the ASV Program. ASV warrants and represents that it has authority to grant to PCI SSC and its Members the right to use its name and designated marks as contemplated herein.
- 5.3** No Other Rights Granted. Except as expressly stated in this clause 5, no rights to use any Party's or Member's marks or other intellectual property are granted and each Party respectively reserves all rights therein. Without limitation of the foregoing, except as expressly stated herein, no rights are granted to Vendor to any intellectual property in the PCI Standard, any PCI Materials or otherwise.

## **6 Confidentiality**

### **6.1 General Restrictions**

- (a) Each Party (the "Receiving Party") agrees that all Confidential Information received from the other Party (the "Disclosing Party") shall: (i) be treated as confidential; (ii) be disclosed only to those Members, officers, employees, legal advisers and accountants of the Receiving Party who have a need to know and be used thereby solely as required in connection with (A) the performance of this Agreement or (B) the operation of such Party's respective payment card data security compliance programs (if applicable) and (iii) not be disclosed to any third party except as expressly permitted in this Agreement or in writing by the Disclosing Party, and only if such third party is bound by confidentiality obligations applicable to such Confidential Information that are in form and substance similar to the provisions of this clause 6.
- (b) Except with regard to Personal Information, such confidentiality obligation shall not apply to information which: (i) is in the public domain or is publicly available or becomes publicly available otherwise than through a breach of this Agreement; (ii) has been lawfully obtained by the Receiving Party from a third party; (iii) is known to the Receiving Party prior to disclosure by the Disclosing Party without confidentiality restriction; or (iv) is independently developed by a member of the Receiving Party's staff to whom no Confidential Information was disclosed or communicated. If the Receiving Party is required to disclose Confidential Information of the Disclosing Party

in order to comply with any applicable law, regulation, court order or other legal, regulatory or administrative requirement, the Receiving Party shall promptly notify the Disclosing Party of the requirement for such disclosure and co-operate through all reasonable and legal means, at the Disclosing Party's expense, in any attempts by the Disclosing Party to prevent or otherwise restrict disclosure of such information.

## **6.2 Vendor Client Data**

To the extent any data or other information obtained by Vendor relating to any Vendor Client in the course of providing Vendor Services is subject to any confidentiality restriction between Vendor and such Vendor Client, the applicable agreement containing such restriction (and in the absence of any such agreement, a separate written agreement between Vendor and such Vendor) must (i) permit Vendor to disclose such information to PCI SSC and/or its Members, as requested by the Vendor Client, (ii) to the extent any Member obtains such information in accordance with preceding clause 6.2(a)(i), permit each Member to disclose such information on an as needed basis to other Members and to such Members' respective member Financial Institutions and Issuers and to relevant governmental, regulatory and law enforcement inspectors, regulators and agencies and (iii) permit Vendor to disclose any such information as necessary to comply with its obligations and requirements pursuant to clause 3.6 above. Accordingly, notwithstanding anything to the contrary in clause 6.1(a), to the extent requested by a Vendor Client, PCI SSC may disclose Confidential Information relating to such Vendor Client and obtained by PCI SSC in connection with this Agreement to Members in accordance with this clause 6.2, and such Members may in turn disclose such information to their respective member Financial Institutions and other Members. Vendor hereby consents to such disclosure by PCI SSC and its Members. The confidentiality of any information provided to Members by Vendor or any Vendor Client is outside the scope of this Agreement and may be subject to such confidentiality arrangements as may be established from time to time between such Member, on the one hand, and Vendor or such Vendor Client (as applicable), on the other hand.

## **6.3 Personal Information**

In the event that Vendor receives Personal Information from PCI SSC or any Member or Vendor Client in the course of providing Vendor Services or otherwise in connection with this Agreement, in addition to the obligations set forth elsewhere in this Agreement, Vendor will at all times during the Term maintain such data protection handling practices as may be required by PCI SSC from time to time, including without limitation, as a minimum, physical, electronic and procedural safeguards designed: (a) to maintain the security and confidentiality of such Personal Information (including, without limitation, encrypting such Personal Information in accordance with applicable Member guidelines); (b) to protect against any anticipated threats or hazards to the security or integrity of such information; and (c) to protect against unauthorized access to or use of such information that could result in substantial harm or inconvenience to such cardholders. Vendor will make available to PCI SSC and its Members, and will require in its agreements with Vendor Clients that Vendor Clients will make so available, such appropriate reviews and reports to monitor Vendor's compliance with the foregoing commitments as PCI SSC or its Members may reasonably request from time to time. Without limitation of the foregoing, Vendor acknowledges and agrees that if it performs any services for PCI SSC, its Members or any Vendor Client in a manner that will result in the storage, processing or transmission of data to which the PCI DSS applies, Vendor shall be required to be certified as compliant with the PCI Standard as such may be modified by PCI SSC from time to time. If compliance with the PCI Standard is required, Vendor, at its sole cost and expense, shall: (i) conduct or have conducted the audits required for such compliance; and (ii) take all actions required for Vendor to maintain such compliance. If required to be compliant with the PCI Standard,



Vendor acknowledges that it further has the obligation to keep up to date on any changes to the PCI Standard and implement any required changes.

#### **6.4 Return**

Upon termination of this Agreement or upon demand, Vendor promptly shall return to PCI SSC all property and Confidential Information of PCI SSC and of third parties provided by PCI SSC. If agreed by PCI SSC, a certificate of destruction may be provided instead, with sufficient detail regarding the items destroyed, destruction date, and assurance that all copies also were destroyed.

#### **6.5 Remedies**

In the event of a breach of this clause 6 by the Receiving Party, the Receiving Party acknowledges that the Disclosing Party will likely suffer irreparable damage that cannot be fully remedied by monetary damages. Therefore, in addition to any other remedy that the Disclosing Party may possess pursuant to applicable law, the Disclosing Party retains the right to seek and obtain injunctive relief against any such breach in any court of competent jurisdiction. In the event any such breach results in a claim by any third party, the Receiving Party shall indemnify, defend and hold harmless the Disclosing Party from any claims, damages, interest, attorney's fees, penalties, costs and expenses arising out of such third-party claim(s).

### **7 Term and Termination**

- 7.1** This Agreement shall enter into force upon the Effective Date and, unless earlier terminated in accordance with this clause 7, shall remain in force for an initial term of one (1) year (the "Initial Term") and automatically renew thereafter for successive additional periods of one (1) year (each a "Renewal Term", and collectively with the Initial Term, the "Term").
- 7.2** PCI SSC shall have the right, without prejudice to its other rights or remedies, to terminate this Agreement immediately by written notice to Vendor if: (a) Vendor shall have failed to pay in accordance with the terms of this Agreement any fee due and that fee remains unpaid for fifteen (15) days after receiving written notice from PCI SSC that it has not been paid; (b) PCI SSC determines, at PCI SSC's discretion, that Vendor has failed to comply with any of Vendor's obligations pursuant to clause 2.6 of this Agreement; (c) PCI SSC determines, in its sole discretion, that Vendor has failed to achieve successful results in connection with (i) the initial Testing, (ii) the annual maintenance Testing performed pursuant to clause 2.4 and/or (iii) any Testing performed pursuant to clause 3.1 or 3.2; (d) Vendor fails to resubmit a given Security Solution within the timelines provided in clauses 2.4, 3.1 and 3.2; (e) in accordance with clause 7.5 below (f) PCI SSC ceases to operate the ASV Program, whether with or without replacing it with any other program; provided, however, that if PCI SSC has terminated pursuant to clause 7.2(a), (b), (c) or (d) above, and Vendor thereafter determines that it has satisfied each of the requirements set forth in the said clauses 7.2(a) through (d), Vendor may request a new compliance review by PCI SSC in accordance with clause 3.2. PCI SSC may undertake such review, at PCI SSC's sole discretion, and if PCI SSC determines that Vendor is in compliance with all applicable requirements, then PCI SSC may reinstate this Agreement and Vendor's status as an ASV effective as of the date of such determination, subject to payment of all outstanding fees (if any), the related new Testing fee and the applicable Additional Testing fee as required by clause 3.2. Upon termination of this Agreement in accordance with this clause 7.2 or clause 7.3(b)(iii)(B), PCI SSC shall reimburse Vendor on a pro rata temporis basis the fees already paid by Vendor up to the date of such termination.
- 7.3** Either Party shall have the right to terminate this Agreement (a) effective as of the last day of the then-current Term, for any or no reason, upon at least thirty (30) days' notice to the

other Party or (b) at any time by giving thirty (30) days prior written notice to the other Party: (i) if the other Party (the "Defaulting Party") is in material breach of any of its obligations under this Agreement and either that breach is incapable of remedy or the Defaulting Party shall have failed to remedy that breach within thirty (30) days after receiving written notice from the other Party requiring it to remedy that breach on the understanding that consent to extend the remedy period shall not be unreasonably withheld, so long as the Defaulting Party has commenced remedy during the said thirty (30) days and pursues remedy of the breach on a best efforts basis; (ii) upon the other Party's insolvency, receivership, or voluntary or involuntary bankruptcy (or the institution of any proceeding therefore), or any assignment for the benefit of the other Party's creditors or on the occurrence of any event which is analogous to any of the above under the laws of the jurisdiction in which such Party is incorporated; or (iii) in the event that Vendor does not agree with (A) modified fees as provided in clause 2.5 or (B) any unilateral modification, alteration or amendment of this Agreement as provided in clause 14.2.

**7.4** Termination of this Agreement shall automatically imply termination of Vendor's compliance with the ASV Program. Consequently, (a) identification of each Vendor Security Solution and (b) Vendor's related information shall be removed from the ASV List and Vendor shall return or destroy (as PCI SSC shall instruct), on its own account, to PCI SSC any such material supplied by PCI SSC and any copies made thereof, no later than fourteen (14) days after termination. Vendor shall furnish PCI SSC with a certificate, certifying that the same has been done. Upon any termination or expiration of this Agreement: (i) Vendor shall immediately cease all advertising and promotion of its status as an ASV and all references to the PCI Standard and other PCI Materials; (ii) Vendor shall immediately cease soliciting for any further Vendor Services and shall only complete Vendor Services contracted with Vendor Clients prior to the notice of termination; (iii) Vendor will comply with all outstanding information requests within the time contracted with its Vendor Clients and shall remain responsible after termination for all of the obligations, representations and warranties hereunder with respect to Vendor Services provided prior to or after termination; and (iv) PCI SSC may notify any of its Members and/or acquirers. The provisions of clauses 1, 4, 5.1(c), 5.3, 6, the last sentence of clause 7.2, 7.4, 9, 10 and 12 through 14 of this Agreement shall survive the expiration or termination of this Agreement for any or no reason.

**7.5** Revocation.

(a) Without limiting the rights of PCI SSC as set forth elsewhere in this Agreement, in the event that PCI SSC determines that Vendor meets any condition for revocation of ASV qualification as established by PCI SSC from time to time, including without limitation, the conditions described in the Validation Requirements (each such condition a "Violation"), PCI SSC may, effective immediately upon notice of such Violation to Vendor, revoke Vendor's qualification as an Approved Scanning Vendor, subject to reinstatement pending a successful appeal in accordance with clause 7.5(b) below ("Revocation"). In the event of any Revocation: (i) Vendor will be removed from the ASV List, (ii) Vendor shall comply with clauses 7.4(i), 7.4(ii) and 7.4(iii) above in the manner otherwise required if this Agreement had been terminated, (iii) Vendor shall promptly inform all Vendor Clients with which it is then engaged to perform testing, scanning or assessment services as part of the ASV Program of such Revocation and (iv) Vendor will have a period of thirty (30) days from the date Vendor is given notice of the corresponding Violation to submit a written request for appeal to the PCI SSC General Manager. In the event Vendor fails to submit such a request within the allotted 30-day period, PCI SSC will deliberate without an appeal and may terminate this Agreement effective immediately as of the end of such period.

- (b) All Revocation appeal proceedings will be conducted in accordance with such procedures as PCI SSC may establish from time to time, PCI SSC will review all relevant evidence submitted by Vendor and each complainant (if any) in connection with therewith, and PCI SSC shall determine whether termination of Vendor's qualification as an Approved Scanning Vendor is warranted or, in the alternative, no action, or specified remedial actions shall be required of Vendor. All determinations of PCI SSC regarding Revocation and any related appeals shall be final and binding upon Vendor. If PCI SSC determines that termination is warranted, this Agreement shall terminate effective immediately upon such determination. If PCI SSC determines that no action is required of Vendor, the Revocation shall be lifted and Vendor shall be reinstated on the ASV List. If PCI SSC determines that remedial action is required, PCI SSC may establish a date by which such remedial actions must be completed, provided that the Revocation shall not be lifted, and Vendor shall not be reinstated on the ASV List, unless and until such time as Vendor has completed such remedial actions; provided that if Vendor fails to complete any required remedial actions by the date (if any) established by PCI SSC for completion thereof, PCI SSC may terminate this Agreement effective immediately as of such date.

## **8 Representations and warranties**

- 8.1** Vendor represents and warrants that by entering into this Agreement and performing any Testing under this Agreement, Vendor will not breach any obligation to any third party.
- 8.2** Vendor represents and warrants that it will comply with all applicable laws, ordinances, rules, and regulations in any way pertaining to this Agreement, the Vendor Services or to the Testing performed under this Agreement.
- 8.3** Vendor agrees to comply with the ASV Requirements, including without limitation, all requirements regarding independence, and hereby warrants and represents that Vendor is now, and shall at all times during the Term, remain in compliance with the ASV Requirements.

## **9 Indemnification**

- 9.1** Vendor hereby agrees to indemnify and hold harmless PCI SSC, its Members, officers, employees, agents, representatives and contractors (each, an "Indemnified Party") from and against any and all losses, liabilities, damages, claims, suits, actions, government proceedings, taxes, penalties or interest, associated auditing and legal expenses and other costs (including without limitation, reasonable attorney's fees and related costs) arising out of or related to (a) Vendor's breach of its agreements, representations and warranties contained in this Agreement, (b) Vendor's use of the ASV Program or related information (i) in violation of this Agreement, or (ii) in violation of any applicable law, rule or regulation, (c) Vendor's non-performance of Vendor Services for any Vendor Client or (d) Vendor's negligence or willful misconduct, except to the extent arising out of the negligence or willful misconduct of an Indemnified Party. All indemnities provided for under this clause shall be paid as incurred by the Indemnified Party. This indemnification shall be binding upon Vendor and its executors, heirs, successors and assigns. Nothing in this Agreement shall be construed to impose any indemnification obligation on Vendor to the extent any claim or liability arises solely from a defect in the PCI Standard or other materials provided by an Indemnified Party and used by Vendor without modification and in accordance with this Agreement.
- 9.2** Vendor's indemnity obligations are contingent on PCI SSC's providing notice of the claim or liability to Vendor; provided that the failure to provide any such notice shall not relieve

Vendor of such indemnity obligations except and to the extent such failure has materially and adversely affected Vendor's ability to defend against such claim or liability. Upon receipt of such notice, Vendor will be entitled to control, and will assume full responsibility for, the defense of such matter. PCI SSC will cooperate in all reasonable respects with Vendor, at Vendor's expense, in the investigation, trial and defense of such claim or liability and any appeal arising there from; provided, however, that PCI SSC and its Members may, at their own cost and expense, participate in such investigation, trial and defense and any appeal arising there from or assume the defense of any Indemnified Party. In any event, PCI SSC will have the right to approve counsel engaged by Vendor to represent any Indemnified Party, which approval shall not be unreasonably withheld. Vendor will not enter into any settlement of a claim that imposes any obligation or liability on PCI SSC or any other Indemnified Party without such Indemnified Party's prior written consent.

## **10 No warranties – Limitation of liability**

- 10.1** PCI SSC PROVIDES THE PCI DSS, ASV PROGRAM, PROGRAM GUIDE, VALIDATION REQUIREMENTS, WEBSITE AND ALL RELATED AND OTHER MATERIALS PROVIDED OR OTHERWISE MADE ACCESSIBLE IN CONNECTION WITH THE ASV PROGRAM (THE FOREGOING, COLLECTIVELY, THE "PCI MATERIALS") ON AN "AS IS" BASIS WITHOUT WARRANTY OF ANY KIND.. VENDOR ASSUMES THE ENTIRE RISK AS TO RESULTS AND PERFORMANCE ARISING OUT OF ITS USE OF THE PCI MATERIALS.
- 10.2** PCI SSC MAKES NO REPRESENTATIONS OR WARRANTIES OF ANY KIND, EXPRESS OR IMPLIED, WITH RESPECT TO THE SUBJECT MATTER OF THIS AGREEMENT, INCLUDING WITHOUT LIMITATION, THE PCI MATERIALS OR ANY MATERIALS OR SERVICES PROVIDED UNDER OR IN CONNECTION WITH THIS AGREEMENT OR THE ASV PROGRAM. PCI SSC SPECIFICALLY DISCLAIMS, AND VENDOR EXPRESSLY WAIVES, ALL REPRESENTATIONS AND WARRANTIES WITH RESPECT TO THIS AGREEMENT, THE PCI MATERIALS, ANY MATERIALS OR SERVICES PROVIDED UNDER OR IN CONNECTION WITH THIS AGREEMENT OR THE ASV PROGRAM, OR OTHERWISE, INCLUDING BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE. WITHOUT LIMITATION OF THE FOREGOING, PCI SSC SPECIFICALLY DISCLAIMS, AND VENDOR EXPRESSLY WAIVES, ALL REPRESENTATIONS AND WARRANTIES WITH RESPECT TO THE PCI MATERIALS AND ANY INTELLECTUAL PROPERTY RIGHTS SUBSISTING THEREIN OR IN ANY PART THEREOF, INCLUDING BUT NOT LIMITED TO ANY AND ALL EXPRESS OR IMPLIED WARRANTIES OF TITLE, NON-INFRINGEMENT, OR SUITABILITY FOR ANY PURPOSE (WHETHER OR NOT PCI SSC HAS BEEN ADVISED, HAS REASON TO KNOW, OR IS OTHERWISE IN FACT AWARE OF ANY INFORMATION). THE FOREGOING DISCLAIMER IS MADE BY PCI SSC FOR ITSELF AND, WITH RESPECT TO EACH SUCH DISCLAIMER, ON BEHALF OF ITS LICENSORS AND MEMBERS.
- 10.3** In particular, without limiting the foregoing, the accuracy, completeness, sequence or timeliness of the ASV Program cannot be guaranteed. In addition, PCI SSC makes no representations or warranties whatsoever, expressed or implied, and assumes no liability, and shall not be liable in any respect to Vendor regarding (i) any delay or loss of use of the ASV Program, or (ii) system performance and effects on or damages to software and hardware in connection with any use of the ASV Program.
- 10.4** PCI SSC shall be liable vis-à-vis Vendor only for any direct damage incurred by Vendor as a result of PCI SSC's gross negligence (contractual or extra-contractual) under this Agreement provided PCI SSC's aggregate liability for such direct damage under and for the

duration of this Agreement will never exceed the fees paid by Vendor to PCI SSC under clause 2.5.

- 10.5** Except as otherwise expressly provided in this Agreement, PCI SSC shall not be liable vis-à-vis Vendor for any other damage incurred by Vendor under this Agreement, including but not limited to, loss of business, revenue, goodwill, anticipated savings or other commercial or economic loss of any kind arising in any way out of the use of the ASV Program (regardless of whether such damages are reasonably foreseeable or PCI SSC has been advised of the possibility of such damages), or for any loss that results from force majeure.

## **11 Insurance**

At all times while this Agreement is in effect, Vendor shall maintain insurance in such amounts, with such insurers, coverages, exclusions and deductibles which, at a minimum, meet the applicable insurance requirements for U.S. or European Union ASVs, as applicable, as may be required by PCI SSC, including without limitation the requirements set forth in Appendix D of the Validation Requirements for Approved Scanning Vendors. Vendor acknowledges and agrees that if it is a non-U.S. and non-European Union ASV, unless otherwise expressly agreed by PCI SSC in writing, at all times while this Agreement is in effect, Vendor shall maintain insurance in such amounts, with such insurers, coverages, exclusions and deductibles that PCI SSC determines, in its sole discretion, is substantially equivalent to the insurance required by PCI SSC for U.S. and European Union ASVs. Vendor hereby represents and warrants that it meets all applicable insurance requirements as provided for in this clause 11 and that such insurance shall not be cancelled or modified without giving PCI SSC at least thirty (30) days prior written notice. PCI SSC may modify its insurance requirements from time to time based on parameters affecting risk and financial capability that are specific to Vendor, provided that PCI SSC is under no obligation to review and does not undertake to advise Vendor on the adequacy of Vendor's insurance coverage.

## **12 Notices**

All notices required under this Agreement shall be in writing and shall be deemed given when delivered personally, by overnight delivery upon written verification of receipt, by facsimile transmission upon electronic acknowledgment of receipt, or by certified or registered mail, return receipt requested, five (5) days after the date of mailing. Notices from PCI SSC to Vendor shall be sent to the Principal Contact and at the location set forth on the signature page of this Agreement. Notices from Vendor to PCI SSC shall be sent to the attention of General Manager at the address set forth on the first page of this Agreement. A Party may change its addressee and address for notices by giving notice to the other Party pursuant to this clause 12.

## **13 Consent to receive records electronically**

- 13.1** Notwithstanding clause 12, either Party consents to receive electronically any documentation, notices, reports, documents, communications, or other records related to the ASV Program (hereinafter referred to individually or collectively as "Records") to be sent by the other Party. Either Party consents to receive such Records by electronic mail.
- 13.2** All Records provided to either Party electronically will be deemed to be "in writing." Either Party reserves the right to provide records in paper format at any time. The receiving Party agrees, however, that the Party sending such Records is not required to provide the receiving Party with Records in paper format. If the receiving Party wishes to retain a paper copy of any Records provided electronically, the receiving Party may print a copy from its computer.



## 14 Miscellaneous

- 14.1 *Entire Agreement.*** The Parties agree that this Agreement, including documents incorporated herein by reference, is the exclusive statement of the agreement between the Parties with respect to the ASV Program, which supersedes and merges all prior proposals, understandings and all other agreements, oral or written, between the Parties with respect to such subject matter.
- 14.2 *Amendment.*** This Agreement may be modified, altered or amended only (i) by written instrument duly executed by both Parties or (ii) by PCI SSC upon thirty (30) days written notice to Vendor, provided, however, that if Vendor does not agree with such unilateral modification, alteration or amendment, Vendor shall have the right, exercisable at any time within the aforementioned thirty (30) day period, to terminate this Agreement in accordance with the provisions of clause 7.3(b)(iii)(B) upon written notice of its intention to so terminate to PCI SSC (regardless of the notice requirements set forth in clause 7.3(b)). Any such unilateral modification, alteration or amendment will be effective as of the end of such thirty (30) day period.
- 14.3 *Waiver.*** The waiver or failure of either Party to exercise in any respect any right provided for in this Agreement shall not be deemed a waiver of any further right under this Agreement.
- 14.4 *Assignment.*** This Agreement is a personal services Agreement and the Vendor may not assign or delegate this Agreement or any of its rights or obligations under this Agreement, including by subcontracting, without the prior written consent of PCI SSC, which consent PCI SSC may grant or withhold in its absolute discretion.
- 14.5 *Severability.*** Should any individual provision of this Agreement be or become void, invalid or unenforceable, the validity of the remainder of this Agreement shall not be affected thereby and shall remain in full force and effect, in so far as the primary purpose of this Agreement is not frustrated.
- 14.6 *Relationship.*** The Parties to this Agreement are independent contractors and neither Party shall hold itself out to be, nor shall anything in this Agreement be construed to constitute either Party as the agent, representative, employee, partner, or joint venture of the other. Neither Party may bind or obligate the other without the other Party's prior written consent.
- 14.7 *Remedies.*** All remedies in this Agreement are cumulative, in addition to and not in lieu of any other remedies available to either Party at law or in equity, subject only to the express limitations on liabilities and remedies set forth herein.
- 14.8 *Dispute Settlement- Jurisdiction- Governing Law.*** Any dispute in any way arising out of or in connection with the interpretation or performance of this Agreement, which cannot be amicably settled within thirty (30) days of the written notice of the dispute given to the other Party by exercising the best efforts and good faith of the Parties, shall be finally settled by the courts of Delaware (United States of America) in accordance with Delaware law without resort to its conflict of laws provisions. Each of the Parties irrevocably submits to the nonexclusive jurisdiction of the United States District Courts for the State of Delaware and the local courts of the State of Delaware and waives any objection to venue in said courts.
- 14.9 *Counterparts.*** This Agreement may be signed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.
- 14.10 *Conflict.*** In the event of a conflict between this Agreement and the Validation Requirements, this Agreement shall control.

**14.11 No Third-Party Beneficiaries.** Except as expressly provided herein, the provisions of this Agreement are for the benefit of the parties hereto only, no third party beneficiaries are intended and no third party may seek to enforce or benefit from the provisions hereof.

*[remainder of page intentionally left blank]*

IN WITNESS WHEREOF, the Parties have executed this Agreement in two (2) original copies by their duly authorized representatives. Each Party acknowledges having received one (1) original copy.

<b>Vendor</b>			
Vendor Name:			
Location/Address:			
City:		State/Province:	
Country:		Postal Code:	
<b>Principal Contact</b>			
Person's Name:			
Direct Telephone Number:		Fax:	
Location/Address:			
City:		State/Province:	
Country:		Postal Code:	
Applicable Fees (see Schedule 1):			
<b>Vendor's Signature</b>			
<i>Vendor's Officer Signature</i> ↑		<i>Date</i> ↑	
Applicant Officer Name:		Title:	
<b>For PCI SSC Use Only:</b>			
Effective Date:			
<b>PCI SECURITY STANDARDS COUNCIL, LLC</b>			
<i>PCI SSC Officer Signature</i> ↑			
PCI SSC Officer Name:		Title:	

## Schedule 1: Fees

Vendor acknowledges and agrees that PCI SSC reserves the right to change the following fees from time to time in accordance with clause 2.5.

Testing stage	Observation	Scope	Fee
Initial Testing	Includes one Testing session.	<ul style="list-style-type: none"> <li>Initial registration</li> <li>Administrative process</li> <li>Test infrastructure reservation and actual Test</li> <li>Assessment of scan results and scan report (English version)</li> </ul>	10,000 USD invoiced upon Effective Date.
Annual Maintenance Testing	Includes one Testing session.	<ul style="list-style-type: none"> <li>Administrative process</li> <li>Test infrastructure reservation and actual Test</li> <li>Assessment of scan results and scan report (English version)</li> </ul>	10,000 USD invoiced upon the date when Annual Maintenance Testing is performed.
New Testing as per clauses 3.1 and 3.2	<p>Testing of each Security Solution in case of significant changes as provided in clauses 3.1 and 3.2.</p> <p>Includes one Testing session .</p>	<ul style="list-style-type: none"> <li>Administrative process</li> <li>Test infrastructure reservation and actual Test</li> <li>Assessment of scan results and scan report (English version)</li> </ul>	10,000 USD invoiced upon the date when New Testing is performed.
Additional Testing	If during initial Testing, annual maintenance Testing and/or Testing pursuant to clauses 3.1 and 3.2, any Security Solution failed to reach satisfactory level of compliance, a maximum of two additional Testing sessions may be requested by vendor.	<ul style="list-style-type: none"> <li>Test infrastructure reservation and actual Test</li> <li>Assessment of scan results and scan report (English version)</li> </ul>	<p>10,000 USD invoiced upon the first additional testing request.</p> <p>10,000 USD invoiced upon the second and each subsequent additional testing.</p>

Any additional cost such as but not limited to translation (from English to other languages) costs should be agreed upon between the Parties.

**Payment terms:**

All invoices shall be payable by Vendor within thirty (30) days calculated as from the date of receipt of the invoice. Payment to PCI SSC should be made in US dollars (USD) by wire transfer to PCI SSC's bank account as mentioned on the PCI SSC invoice(s).

The amounts listed in this Schedule 1 do not include taxes, such as value added taxes (VAT), sales, excise, gross receipts and withholding taxes, universal service fund fee, and any similar tax or any government imposed fees or surcharges which may be applicable thereto and Vendor agrees to pay all such applicable taxes or fees, which will be invoiced to Vendor in accordance with local law. Vendor agrees to pay or reimburse PCI SSC for all such taxes or fees, excluding tax on PCI SSC's income. In respect of withholding tax, Vendor will pay such additional amounts as may be necessary, such that PCI SSC receives the amount it would have received had no withholding been imposed.

**Schedule 2: Compliance Notification – sample**

<<Date>>

<<Contact Name>>

<<Company Name>>

<<Company Address>>

Dear <<Contact Name>>,

We are pleased to notify you that in accordance with the PCI Scanning Vendor Compliance Test Agreement (the "Agreement") entered into between your company and PCI SSC, the Security Solution described below has successfully completed the Testing phase of the ASV Program and you have been certified as a PCI SSC-Approved Scanning Vendor ("ASV").

**Security Solution:**

<Name of the solution>

Successful completion of the abovementioned Testing at this date indicates that the abovementioned Security Solution (whose configuration is identified in the appendix below) complies with the current PCI Standard and that you have completed all applicable ASV requirements as of the date of this letter.

Even though you have been approved as an ASV and the abovementioned Security Solution has successfully completed PCI SSC Testing and is deemed to be compliant with the PCI Standard at this date, all rights and remedies resulting from your presenting yourself as an ASV or your sale, licensing, distribution or use of the abovementioned Security Solution shall be provided by your organization and not by PCI SSC.

Subject to your compliance with the terms and conditions of the Agreement, you are entitled to advertise your status as a "PCI SSC-Approved Scanning Vendor" and that the abovementioned Security Solution has "successfully completed PCI SSC ASV Compliance Testing" and/or that such Security Solution is "ASV Program compliant".

If you wish to provide for any other statements or announcements public or not, whether in writing or not, you must request PCI SSC's prior written approval.



The terms and conditions of the Agreement apply mutatis mutandis to this Compliance Notification.

Your ASV compliant status, and that of the abovementioned Security Solution, is effective upon dispatch of this Compliance Notification and shall remain valid as provided in the Agreement.

Because ASV compliant status is subject to various limitations, including certain events of termination, you and any third parties should confirm that such compliance status is current and has not been terminated by referring to the list of ASVs published on the PCI SSC web site at <http://www.pcisecuritystandards.org>.

Thank you for your support of the PCI Approved Scanning Vendor Compliance Test Program.

Yours Sincerely,

**\*\*\*\*Security Solution to be identified in an appendix to this Compliance Notification\*\*\*\***