Exercises for Chapter 1

Exercise 1 – [MODULAR INVERSION]

- **1.** Write an algorithm to compute $n^k \mod m$ $(n, m, k \text{ integers}, n, m > 0, <math>k \ge 0)$ with the square and multiply method (recursive or iterative version).
- **2.** Let p be a prime number. Using Fermat's little theorem and the previous algorithm, write an algorithm of inversion mod p, i.e. which gives n^{-1} mod p if 0 < n < p.
- **3.** Compute the arithmetic complexity of this algorithm.

Exercise 2 – [FAST FIBONACCI]

We recall that the Fibonacci's sequence is defined by

$$F_0 = 0$$
, $F_1 = 1$, et $F_{n+2} = F_{n+1} + F_n$ for every $n \ge 0$.

- **1.** Design an algorithm which computes F_n in O(n) additions in $\mathbb{Z}_{>0}$.
- 2. Give an estimation of the word complexity of this algorithm¹.
- **3.** Show that for every $k, n \in \mathbb{Z}_{>0}$, we have

$$F_{n+k+1} = F_n F_k + F_{n+1} F_{k+1}.$$

- **4.** Deduce from this an algorithm ² which computes F_n in $O(\log n)$ operations in $\mathbb{Z}_{>0}$.
- **5.** Give an estimation of the word complexity of this new algorithm.

Exercise 3 – [STRASSEN]

Let A and B be two matrices $n \times n$. We want to compute C = AB in an

¹We recall that $F_n = \frac{1}{\sqrt{5}} \left(\Phi^n - (-\Phi)^{-n} \right)$ where $\Phi = (1 + \sqrt{5})/2 \approx 1.618$ is the gold number.

²You can search for a procedure which computes (F_n, F_{n+1}) from $(F_{n/2}, F_{n/2+1})$ if n is even and $(F_{(n-1)/2}, F_{(n+1)/2})$ if n is odd, procedure which will be used recursively.

economic way. We suppose first that n is a power of 2. We divide A, B and C in 4 matrices $n/2 \times n/2$.

$$A = \begin{pmatrix} A_1 & A_2 \\ A_3 & A_4 \end{pmatrix}, \quad B = \begin{pmatrix} B_1 & B_2 \\ B_3 & B_4 \end{pmatrix} \quad \text{and} \quad C = \begin{pmatrix} C_1 & C_2 \\ C_3 & C_4 \end{pmatrix}.$$

We put

$$\begin{cases}
P_1 &= A_1(B_2 - B_4) \\
P_2 &= (A_1 + A_2)B_4 \\
P_3 &= (A_3 + A_4)B_1 \\
P_4 &= A_4(B_3 - B_1) \\
P_5 &= (A_1 + A_4)(B_1 + B_4) \\
P_6 &= (A_2 - A_4)(B_3 + B_4) \\
P_7 &= (A_1 - A_3)(B_1 + B_2)
\end{cases}$$

- **1.** Write C_2 in function of P_1 and P_2 , C_3 in function of P_3 and P_4 , C_1 in function of $P_4 + P_5$ and $P_2 P_6$ and C_4 in function of $P_1 + P_5$ and $P_3 + P_7$.
- **2.** Compute the number of additions and of multiplications of matrices $n/2 \times n/2$ which are sufficient to obtain C with this approach.
- **3.** Compute the total number of mutiplications that we are led to make with the algorithm defined by iteration of the process.
- **4.** Find an induction formula for c_n where c_n is the arithmetic complexity of the algorithm for matrices $n \times n$ (total number of operations).
- 5. Suppose now that n is not necessarily a power of 2. Generalize the previous algorithm to this general case and prove that the algorithm that is obtained has a complexity in

$$O\left(n^{\log 7/\log 2}\right).$$

6. Compare with the complexity of the naive algorithm making use of the formulae

$$c_{ij} = \sum_{k=1}^{n} a_{ik} b_{kj}.$$

Exercise 4 - [TOOM-COOK]

Here we study a generalization of Karatsuba's algorithm. Karatsuba's idea is to reduce the computation of the product of two polynomials of degree(s)

< 2N to the computation of 3 products of polynomials of degree(s) < N. But if instead of cutting in two, we cut in three and more generally in k parts, what can we obtain ?

Let us begin with cutting in three parts and suppose that we want to multiply two polynomials P and Q of degree(s) < n = 3N. Decompose them in the following way:

$$\begin{cases}
P = P_2 X^{2N} + P_1 X^N + P_0 \\
Q = Q_2 X^{2N} + Q_1 X^N + Q_0
\end{cases}$$

where the P_i and Q_i are polynomials of degree(s) < N. Let Π be the product of P and Q and put

$$\begin{cases}
\Pi_0 &= P_0 Q_0 \\
\Pi_1 &= (P_2 + P_1 + P_0)(Q_2 + Q_1 + Q_0) \\
\Pi_{-1} &= (P_2 - P_1 + P_0)(Q_2 - Q_1 + Q_0) \\
\Pi_2 &= (4P_2 + 2P_1 + P_0)(4Q_2 + 2Q_1 + Q_0) \\
\Pi_{\infty} &= P_2 Q_2
\end{cases}$$

1. Show that there exists 5 polynomials of degree(s) < 2N - 1 denoted by R_i ($0 \le i \le 4$) such that

$$\begin{cases}
\Pi = \sum_{i=0}^{4} R_i X^{iN} \\
\Pi_{\alpha} = \sum_{i=0}^{4} R_i \alpha^i & \text{if } \alpha \in \{0, 1, -1, 2\} \\
\Pi_{\infty} = R_4
\end{cases}$$

- **2.** Write the R_i $(0 \le i \le 4)$ as functions of the Π_{α} $(\alpha \in \{0, 1, -1, 2, \infty\})$.
- **3.** Deduce from above questions that the computation of Π can be reduced to the computation of 5 products of 2 polynomials of degree < N.
- **4.** Compute the arithmetic complexity (in terms of number of multiplications as a function of n) of the algorithm obtained by using this method (suppose first that n is a power of 3) and compare with Karatsuba.
- **5.** See in what the idea is the same as in Karatsuba (evaluation-interpolation) and generalize.

Exercise 5 – [MERGESORT]

We want to sort n numbers in increasing order using only comparisons. We denote by f(n) the number of comparisons required. The main idea is the

following one: first, sort the "first half", then sort the "second half" and finally merge. Show that $f(n) = O(n \log n)$.

Exercise 6 – [p-adic inversion by Newton's method]

Let $p, l \in \mathbb{Z}_{>0}$ and $f, g_0 \in \mathbb{Z}$ such that $fg_0 \equiv 1 \mod p$. In particular f is inversible modulo p. We want to construct from g_0 an element $g \in \mathbb{Z}$ such that $fg \equiv 1 \mod p^l$. We consider the sequence defined by the induction formula

$$g_{i+1} = 2g_i - fg_i^2 \mod p^{2^{i+1}}$$
.

- **1.** Show that for every i we have $fg_i \equiv 1 \mod p^{2^i}$ and that if $r = \lceil \log l / \log 2 \rceil$, the number g_r is an answer to our problem.
- 2. Analyze in detail the differents steps of the algorithm. Compute its arithmetic and its word complexities.

Exercise 7 – [Fast Euclidean division by Newton's method]

Let $S, T \in A[X]$ where A is a commutative ring with unity 1, and where $\deg(S) = n$, $\deg(T) = m$, $n \ge m$ and T is monic.

- 1. Show that the classical Euclidean division algorithm of S by T has $O(n^2)$ arithmetic complexity.
- **2.** If $P \in A[X]$ and $k \ge \deg(P)$, we put $Rec_k(P(X)) = X^kP(1/X)$. Show that

$$Rec_{n-m}(Q) = Rec_n(S).Rec_m(T)^{-1} \mod X^{n-m+1}$$

where Q is the quotient in the Euclidean division of S by T.

3. Let $F \in A[X]$ with F(0) = 1 and let $l \geq 1$. Let define a sequence of polynomials $G_i \in A[X]$ by $G_0 = 1$ and

$$G_{i+1} = 2G_i - F.G_i^2 \mod X^{2^{i+1}}$$

for $i \geq 0$. Show that for every $i \geq 0$ we have

$$F.G_i \equiv 1 \mod X^{2^i}$$
.

- **4.** Deduce from previous questions an algorithm to compute Q and the rest R of the Euclidean division of S by T.
- **5.** Show that the arithmetic complexity of this new algorithm applied to Euclidean division of polynomials of degree < n is in O(M(n)) where M(n)

is the arithmetic complexity for the computation of the product of 2 polynomials with degree < n.

6. Show that we can compute the Euclidean division of 2 integers of length < n with $O(n \log n \log \log n)$ word complexity.

Exercise 8 – [Around FFT]

Let $n=2^k$ where $k\in\mathbb{Z}_{\geq 0}$. Let R be a commutative ring having as an element a primitive n-th root of unity ω , and in which 2 is invertible (we shall denote its inverse by 1/2). Let P and Q be two polynomials in R[X] with degrees < n. We have seen an algorithm (FFT) which computes $P \star Q = PQ \mod (X^n - 1)$ and thus PQ if $\deg P + \deg Q < n$, which uses the evaluation of P and Q at the ω^i , $0 \le i \le n - 1$. Here we want to study a variant of this algorithm.

Algorithm 1. Fast Convolution

Require: $P, Q \in R[X]$ with degrees $< n = 2^k$ and the powers $\omega, \omega^2, \ldots, \omega^{n/2-1}$ of a n-th primitive root of unity.

Ensure: $P \star Q = PQ \mod (X^n - 1)$.

- 1: **if** k = 0 **then**
- 2: Return PQ
- 3: $P_0 \leftarrow P \mod (X^{n/2} 1), P_1 \leftarrow P \mod (X^{n/2} + 1), Q_0 \leftarrow Q \mod (X^{n/2} 1), Q_1 \leftarrow Q \mod (X^{n/2} + 1).$
- 4: Call the algorithm **recursively** to compute R_0 , $R_1 \in R[X]$ with degrees < n/2 such that

$$R_0 \equiv P_0 Q_0 \mod (X^{n/2} - 1), \ R_1(\omega X) \equiv P_1(\omega X) Q_1(\omega X) \mod (X^{n/2} - 1).$$

5: Return

$$\frac{1}{2}\Big((R_0-R_1)X^{n/2}+R_0+R_1\Big).$$

- 1. Show that $R_0 \equiv PQ \mod (X^{n/2} 1)$ and $R_1 \equiv PQ \mod (X^{n/2} + 1)$.
- 2. Deduce from this that the algorithm works correctly.
- **3.** What is its algebraic complexity (number of operations in R)?