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# Integer Factorization

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A COMPARISON OF TWO MODERN TECHNIQUES:  
THE GENERAL NUMBER FIELD SIEVE AND  
SHOR'S QUANTUM ALGORITHM

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PREPARED IN FULFILLMENT OF THE FINAL COURSE PROJECT FOR  
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BY

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TODO;

1. (Done Fiday - Kevin) Formart all references, it's easiest to use the IEEE standard
2. Conclusion: Saad, just right your conclusion in the introduction.gdoc of google docs and KEvin will put it in the pdf
3. (Done wednesday - Kevin) GNFS: Finish description in section 2.3.1.
4. (Done wednesday - Kevin)GNFS: Input sudo code in section 2.3.2, we might put the actual python if it looks ill
5. (Done wendesnday - KEivn )SHORS: Format algorithm to match algorithm in GNFS
6. (DONE WEDENSDAY) Input definitions for Shors

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For the readers convenience, the letter  $N$  will always be used to signify the integer desired to be factored. For brevity, a theorems and definitions section (5) has been included. If a term appears in *italics*, then the reader may consult section 5 for further information.

## 1 Factoring

Integer factorization is the decomposition of a composite number into a product of prime powers. This means that the number can be represented as the multiplication of prime numbers to certain powers. This factorization is also unique. Given a large integer, finding this factorization into prime powers in a tractable manner (in polynomial time) is still an open problem. The decision form of this problem is: does the integer  $N$  have a prime number factor less than  $M$ , whereas the regular problem is in function form (i.e. finding all the factors). That means there is a single output for each input to the problem, but it is more complicated than a yes or no. In this case, the output is the set of factors for  $N$ . That sets it apart from  $NP$ -Complete problems, putting the problem in a different complexity class. This problem is in the  $FNP$  complexity class. It is not known however whether the problem is in  $FP$  (meaning it is polynomial) or not, similar to how we are not sure if  $P = NP$ . The problem is not currently known to have a polynomial runtime algorithm, which is why it is not in  $FP$ .

This report covers information about the problem, its applications and two algorithms for the problem. This report will explain why this problem is important in the computing field, primarily in cryptography. The algorithms covered are the General Number Field Sieve (GNFS) and Shors algorithm. Analysis will be performed on GNFS, with respect to time and runtime complexity. With Shors algorithm, because it requires quantum computing, only a theoretical analysis will be done.

### 1.1 Brute Force Attempts

To understand the time complexity of the brute force algorithm, we first need to explain how a brute force algorithm would proceed. To be brute force, we need to check all possible numbers that could be factors. This is all the numbers from 2 to  $\sqrt{N}$ . We stop at  $\sqrt{N}$  because  $N$  can only have one factor that is larger than  $\sqrt{N}$ . Any two numbers larger than  $\sqrt{N}$  multiplied together would produce a number larger than  $N$ . We would repeat this process until the remaining number to be factored is 1, meaning we have found all the factors of  $N$ .

When determining the complexity of the algorithm it is standard to use the bit length of  $N$  as the size of the input. So the complexity does not depend on the number  $N$  itself as we mentioned earlier. The size of  $N$  is really the number of bits it takes to represent  $N$ , or  $\lg(N) = k$  bits. The number that we can represent with  $k$  bits is  $2^k$ . It is evident by looking at that, that the number we can represent when we increase  $k$  rises exponentially. Additionally, the number of factors we have is also the same as the number of bits, because in the worse case, all our factors can be 2, and so the factorization of our number would

just look like  $2^k$ . So if for the moment, we think of  $N$  being the size of the input, that is to say, the number we are factoring takes  $N$  bits to represent, our complexity is  $O(2^n)$ .

## 1.2 Some Applications

Integer factorization is the basis of most modern forms of cryptography and therefore is of huge importance in cyber security. The concept is used in encryption techniques such as RSA, to come up with secure numbers. Part of the process is multiplying two very large prime numbers together to come up with your RSA key. The whole idea works on the assumption that it would be very difficult to factor an incredibly large number (in the order of 1000 bits) if it only had two prime factors, because the problem is non-polynomial (to our knowledge). Another stipulation to the system is that the factors have to both be approximately the same bit length, one can't be very small, and the other very large, or the brute force algorithm may be able to crack it in a reasonable amount of time. So on that basis, if someone were to alternatively find an efficient way to do the factorization, the setup would no longer be secure. This would be a huge issue, because many computing systems rely on that property for security, not just RSA, so they would all become insecure unless an alternate cryptographic system was built.

Consequently, if the solution was in  $FP$ , it could also help lead to proving  $P = NP$  [2]. Another application of integer factorization is in calculating Fast Fourier Transforms (referred to as FFT). The FFT is an algorithm used to calculate the Discrete Fourier Transform (DFT). The classic algorithm for calculating the DFT is polynomial time, but quite inefficient, the FFT algorithms can calculate the same results but much faster using integer factorization. The DFT is used in the field of image processing to perform spectral analysis on images [3], and image convolution[4]. The aforementioned applications are basically used for extracting data from images or for image manipulation. DFT has applications in other fields, such as data compression[5].

## 2 The General Number Field Sieve

Let  $N$  be a positive composite integer that is not a prime power. The general number field sieve is the result of 30 years of evolution of same general idea: To factor  $N$ , one may find integers  $x, y$  such that  $x \not\equiv y \pmod{N}$  but  $x^2 \equiv y^2 \pmod{N}$ . Once these integers are found, we will know  $N$  divides  $(x - y)(x + y)$ . Since  $N$  is not prime by assumption, it is likely (about half the time) that one of  $\gcd(x - y, N)$  or  $\gcd(x + y, N)$  is non-trivial. The first algorithm to leverage this idea was designed by John Dixon at Carleton University in [1]. His key insight was that producing congruences of squares modulo  $N$  could be done using a factor base of primes. His technique seeked integers with squares mod  $N$  are *smooth* over those primes. This simple idea has been extrapolated into what is now known to be the fastest factoring algorithm ever implemented, the general number field sieve.

### 2.1 Dixon's Method

Let  $F = \{2, 3, \dots, p_t\}$  be a set of consecutive prime numbers. An integer  $z$  is  $F$ -smooth if  $z = 2^{e_1} \dots p_t^{e_t}$  where  $e_1, \dots, e_t \in \mathbb{Z}^+$ . Dixon's big idea was to collect a set of integers  $A = \{x \in \mathbb{Z} \mid x^2 \text{ is } F\text{-smooth mod } N\}$  with  $s = |A|$ . Then write these squares in the form  $x_i^2 = 2^{e_{1i}} \dots p_t^{e_{ti}} \pmod{N}$  for  $i = 1, \dots, s$  and place them in a matrix as

$$\begin{pmatrix} e_{11} \bmod 2 & e_{21} \bmod 2 & \dots & e_{t1} \bmod 2 \\ e_{12} \bmod 2 & e_{22} \bmod 2 & \dots & e_{t2} \bmod 2 \\ \vdots & \vdots & \ddots & \vdots \\ e_{1s} \bmod 2 & e_{2s} \bmod 2 & \dots & e_{ts} \bmod 2 \end{pmatrix}$$

If  $s > t$  then there are more rows than columns. So there exists a subset  $U \subset A$  whose corresponding rows are linearly dependent, which means that they sum to the zero vector or equivalently all the prime exponents are 0 mod 2. This means that

$$\left( \prod_{x_i \in U} x_i \right)^2 \equiv \prod_{x_i \in U} x_i^2 \equiv 2^{2f_1} \dots p_t^{2f_t} \equiv (2^{f_1} \dots p_t^{f_t})^2 \pmod{N} \text{ where } f_j = \frac{\sum_{x_i \in U} e_{ji}}{2}$$

Let  $x = \prod_{x_i \in U} x_i$  and  $y = 2^{f_1} \dots p_t^{f_t}$ , then  $x^2 \equiv y^2 \pmod{N}$ .

### 2.2 Quadratic Sieve

The bottleneck of Dixon's Method is constructing the set  $A = \{x \in \mathbb{Z} \mid x^2 \text{ is } F\text{-smooth mod } N\}$ . Initially, this was done by randomly choosing integers less than  $N$  and squaring them. This bottleneck was radically circumvented in 1981 by Carl Pomerance with his idea to instead use the factor base to find smooth integers mod  $N$ . His idea was the combination of two key observations: When trying to find smooth squares mod  $N$ , if  $x$  is an integer in the range  $\sqrt{N} < x < \sqrt{N} + N^\delta$  (for some small positive delta), then  $x^2 - N \approx N^{1/2+\delta}$ . This means that if we let  $f(x) = x^2 - N$ , then as  $x$  takes values starting from  $\sqrt{N}$ , then the values of  $f(x)$  are small squares mod  $N$ . Secondly, if  $x_1, x_2$  are

a roots of  $f(x) = x^2 - N$ , then so are  $x_1 + kp, x_2 + lp$  for every integer  $k, l$ . Keeping these two facts in mind the idea of the quadratic sieve is to initialize two arrays

$$R = [\lceil \sqrt{N} \rceil, \lceil \sqrt{N} \rceil + 1, \dots, \lceil \sqrt{N} \rceil + N^\delta]$$

$$\text{LogR} = [\log(f(\lceil \sqrt{N} \rceil) \bmod N), \log(f(\lceil \sqrt{N} \rceil + 1) \bmod N), \dots, \log(f(\lceil \sqrt{N} \rceil + N^\delta) \bmod N)]$$

where  $\text{LogR}[i] = \log(f(R[i]))$  for all  $i$ . Then for each  $p_j$  in the factor base  $F = \{2, 3, \dots, p_t\}$ , iterate through  $R$  checking if  $p_j$  divides  $f(R[i]) \bmod N$ , if it does subtract  $\log(p_j)$  from  $\text{LogR}[i + kp_j]$  for all  $0 \leq k, l < \lfloor \frac{N}{p_j} \rfloor$  and skip to the next prime  $p_{j+1}$ . Once this is done for all primes in the factor base, scan through the array  $\text{LogR}$  and look for values which are approximately 0. These values are  $F$ -smooth mod  $N$ . This is because if a value satisfies  $f(R[i]) = 2^{e_1} \dots p_t^{e_t}$  then

$$\log(f(R[i])) - e_1 \log(2) - \dots - e_t \log(p_t) = \log\left(\frac{f(R[i])}{2^{e_1} \dots p_t^{e_t}}\right) = \log(1) = 0$$

Once these  $F$ -smooth integers  $f(R[i])$  are found, they are placed in a matrix the same way as in Dixon's algorithm. Although the quadratic sieve offered significant speed up, the slowest step was still finding smooth squares mod  $N$ . There just aren't enough smooth elements in the integers mod  $N$ !

## 2.3 The Number Field Sieve

Following the success of the Quadratic Sieve, researchers experimented with various elements of the algorithm. One insight was that the polynomial  $f(x) = x^2 - N$  used in the quadratic sieve doesn't necessarily have to be quadratic for the algorithm to work! The other key insight was that the integers are just a *ring* with the usual operations of addition and multiplication and there are other rings which have the similar notions of smoothness. Perhaps these rings may have "more" smooth elements than the ring of regular integers. The following section describes such a ring. If the reader is comfortable losing some of the intuition behind the algorithm, they may choose to skip to section 2.3.2

### 2.3.1 Algebraic Intuition

Let  $f(x)$  be a monic (leading coefficient is 1) irreducible polynomial of degree  $d$  in  $\mathbb{Z}[x]$ . By the *fundamental theorem of algebra*,  $f(x)$  has exactly  $d$  complex roots  $\theta_1, \dots, \theta_d$ . Choose one of these roots and call it  $\theta$ , then one may consider the set  $\mathbb{Z}[\theta]$  of all  $\mathbb{Z}$ -linear combinations of the elements  $\{1, \theta, \theta^2, \dots, \theta^{d-1}\}$ . Given elements  $g = a_0 + a_1\theta + \dots + a_n\theta^n$  and  $h = b_0 + b_1\theta + \dots + b_m\theta^m$  in  $\mathbb{Z}[\theta]$  with  $m < n < d$ , let  $G(x), H(x) \in \mathbb{Z}[x]$  such that  $G(\theta) = g, H(\theta) = h$ . Note that we may use the polynomial division algorithm to write  $G(x)H(x) = C(x)f(x) + D(x)$  where  $C(x), D(x) \in \mathbb{Z}[x]$  and  $\deg(f(x)) > \deg(D(x))$ . Therefore  $G(\theta)H(\theta) = C(\theta)f(\theta) + D(\theta) = 0 + D(\theta) = D(\theta)$ . If we let  $d = D(\theta)$ , then the operations

$$g + h = (a_0 + b_0) + (a_1 + b_1)\theta + \dots + (a_n + b_n)\theta^n$$

$$g \cdot h = d$$

defines the structure of a ring on the set  $\mathbb{Z}[\theta]$ . This ring is actually a subring of the field  $\mathbb{Q}(\theta)$  which is isomorphic to  $\mathbb{Q}[x]/(f)$  and thus the two operations described above are inherited from the field  $\mathbb{Q}(\theta)$ . Besides making the following definition of a "norm" function on  $\mathbb{Q}(\theta)$ , this fact will generally be ignored for the purposes of this report. Recall that  $f$  has precisely  $d$  roots  $\theta_1, \dots, \theta_d$  over  $\mathbb{C}$  and we chose one of them and called it  $\theta$ . For  $i = 1, \dots, d$  let  $\sigma_i : \mathbb{Q}(\theta) \rightarrow \mathbb{Q}(\theta_i)$  be a set map defined by the action;  $\sigma_i(\mathbb{Q}) = \mathbb{Q}$  and  $\sigma_i(\theta) = \theta_i$ . It turns out that these  $\sigma_i$  are precisely all the embedding of  $\mathbb{Q}(\theta)$  into  $\mathbb{C}$ , but again this can be ignored. Define the "norm" function  $N$  on  $\mathbb{Q}(\theta)$  to be a set map  $\mathbb{Q}(\theta) \xrightarrow{N} \mathbb{C}$  with action  $\alpha \mapsto \sigma_1(\alpha)\sigma_2(\alpha), \dots, \sigma_d(\alpha)$ . It is a standard result from algebra that  $N$  is a multiplicative function which maps  $\mathbb{Q}(\theta)$  to  $\mathbb{Z}$  and thus likewise for  $\mathbb{Z}[\theta]$ . This will norm function  $N$  will be a very important link between the two rings  $\mathbb{Z}$  and  $\mathbb{Z}[\theta]$ .

Since  $\mathbb{Z}[\theta]$  is a ring, we may consider *ideals* in  $\mathbb{Z}[\theta]$ . Furthermore, since  $\mathbb{Z}[\theta]$  is in fact a *Dedekind domain*, an ideal  $I$  of  $\mathbb{Z}[\theta]$  may be uniquely factored, up to order, as the product  $I = \mathfrak{p}_1^{e_1} \mathfrak{p}_2^{e_2} \dots \mathfrak{p}_t^{e_t}$  of *prime ideals*  $\mathfrak{p}_1, \mathfrak{p}_2, \dots, \mathfrak{p}_t \in \mathbb{Z}[\theta]$ . Two more facts are necessary before it will become apparent why all this algebra is required. We may also define the norm  $N$  on an ideal of  $I \in \mathbb{Z}[\theta]$  as  $N(I) = [\mathbb{Z}[\theta] : I]$ . That is, the number of cosets of  $I$  in  $\mathbb{Z}[\theta]$ . It turns out that this definition agrees with our earlier definition in the sense that  $|N(\alpha)| = N(\langle \alpha \rangle)$ . Secondly, if  $\mathfrak{p}$  is an ideal of  $\mathbb{Z}[\theta]$  such that  $N(\mathfrak{p}) = p$  for some prime  $p$ , then  $\mathfrak{p}$  is a prime ideal. Conversely, if  $\mathfrak{p}$  is a prime ideal of  $\mathbb{Z}[\theta]$ , then  $N(\mathfrak{p}) = p^e$  for prime  $p \in \mathbb{Z}$  and possitive exponent  $e$ . The point is of all this is, for  $\alpha \in \mathbb{Z}[\theta]$  with factorization  $\alpha = \mathfrak{p}_1^{e_1} \mathfrak{p}_2^{e_2} \dots \mathfrak{p}_t^{e_t}$ , then

$$\begin{aligned} \|N(\alpha)\| &= N(\langle \alpha \rangle) \\ &= N(\mathfrak{p}_1^{e_1} \mathfrak{p}_2^{e_2} \dots \mathfrak{p}_t^{e_t}) \\ &= N(\mathfrak{p}_1)^{e_1} N(\mathfrak{p}_2)^{e_2} \dots N(\mathfrak{p}_t)^{e_t} \\ &= (p_1^{f_1})^{e_1} (p_2^{f_2})^{e_2} \dots (p_t^{f_t})^{e_t} \\ &= p_1^{f_1 + e_1} p_2^{f_2 + e_2} \dots p_t^{f_t + e_t} \end{aligned}$$

for some (not necessarily distinct) primes  $p_1, p_2, \dots, p_t$  and possitive exponents  $f_1, f_2, \dots, f_t$ . Essentially, we have been able to relate questions about factorization in  $\mathbb{Z}[\theta]$  to questions of factorization in  $\mathbb{Z}$ . The high level idea is to choose a set  $\mathcal{A}$  of prime ideals in  $\mathbb{Z}[\theta]$ , called an "algebraic factor base" which we will use analogously to our factor base in the integers. The idea is to try and find pairs  $(a, b)$  for which the element  $a + b\theta$  has a principal ideal  $\langle a + b\theta \rangle$  that factors as the product of prime ideals which are in  $\mathcal{A}$ . We call this being smooth over  $\mathcal{A}$ .

Two technical problems remains - storing representations of prime ideals in  $\mathbb{Z}[\theta]$  on a computer and determining whether an algebraic element of  $\mathbb{Z}[\theta]$  is a square.

The former can be overcome by only comprising one's factor base of prime ideals  $\mathfrak{p}$  which satisfy  $N(\mathfrak{p}) = p$  for some prime  $p$ . One can show that these ideals, called "first degree prime ideals", are the only prime ideals appearing in the prime ideal factorization of a principal ideal  $\langle a + b\theta \rangle$  for coprime integers  $a, b \in \mathbb{Z}$  in  $\mathbb{Z}[\theta]$ . What makes these types of ideals perfect for computing is that they are in

natural bijection with the set of all pairs  $(r, p)$  where  $p$  is a prime and  $r$  satisfying  $f(r) \equiv 0 \pmod{p}$ . Furthermore, there is a easy to check condition for determining whether a first degree prime ideal occurs in the ideal factorization of  $\langle a + b\theta \rangle$ . Specifically, a first degree prime ideal with representation  $(r, p)$  occurs in the ideal factorization of an element  $\langle a + b\theta \rangle$  if and only if  $a \equiv -br \pmod{p}$ . To summarize, finding an element  $\langle a + b\theta \rangle$  that is smooth over an algebraic factor base of first degree prime ideals of  $\mathbb{Z}[\theta]$  amounts to finding an element  $a + b\theta$  such that the integer  $N(a + b\theta)$  factors completely over the primes occurring in the  $(r, p)$  pairs corresponding to the first degree prime ideals in the algebraic factor base.

To determine whether an element  $\beta$  is a square in  $\mathbb{Z}[\theta]$ , Let

### 2.3.2 Algorithm

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**Algorithm 1** The general number field sieve to factorize an integer  $N$

---

1: **return**  $N$

---

### 2.3.3 Implementation

---

```

1  /*
2  Here will be the python code.
3  use xelatex -shell-escape report.tex to compile
4  */

```

---



## 3 Shor's Quantum Algorithm

As of now, the GNFS is the best currently known algorithm for factoring integers. However, it is still an exponential time algorithm. We can see that our current method of computations with bits isn't working very well. So the idea of Shor's algorithm is to use quantum computations using quantum bits, also known as qubits. Shor's Algorithm was developed by Peter Shor in 1994.

Shor's algorithm has three components: phase estimation, order finding and factoring. The algorithm is based on the following: phase estimation  $\Rightarrow$  order finding  $\Rightarrow$  factoring. In other words, if you can do phase estimation, you can do order finding and hence can do factoring.

### 3.1 Order Finding

The order finding problem is defined as follows: We are given a positive integer  $N > 2$  and another positive integer  $x$  with  $x < N$  and  $x$  co-prime to  $N$  (they share no common factors). The order finding problem is to find the smallest positive integer  $r$  such that  $x^r \bmod N = 1$ . We say that  $r$  is the order of  $x$  in  $N$ . Currently, this problem is hard to do using the normal computing power that everyday computers use. So, we must use quantum computing with phase estimation to try and solve this problem.

### 3.2 Phase Estimation

The phase estimation problem is defined as follows: We are given a quantum circuit  $Q$  that performs a unitary operation  $U$  and given a quantum state  $|\psi\rangle$  that is promised to be an eigenvector of  $U$ , i.e.  $U|\psi\rangle = e^{2\pi i\theta}|\psi\rangle$ . The phase estimation problem is to find an approximation of  $\theta$  in  $[0, 1)$ . Note that no specific requirements have been placed on the accuracy to which  $\theta$  must be approximated. This problem is solved by using entanglement with quantum circuits and the quantum Fourier transform. Therefore, we can now do phase estimation and hence order finding and factoring.

### 3.3 Algorithm

Shor's Algorithm is described as follows: We have a positive odd integer  $N$  that is not a prime power that we want to factor.

1. Pick a random integer  $a$  such that  $2 \leq a < N$ .
2. Find  $\gcd(a, N)$  using the Euclidean algorithm.
3. If the  $\gcd(a, N)$  is not 1, then the  $\gcd(a, N)$  is a nontrivial factor of  $N$  and stop.
4. Otherwise, we find the order  $r$  of the element  $a$  using the order finding algorithm (i.e. using phase estimation to do order finding)
5. If  $r$  is odd, stop.

6. Otherwise, find  $x = a^{(r/2)} - 1$  and then the  $\gcd(x, N)$
7. If  $\gcd(x, N)$  is not 1, then  $d$  is a nontrivial factor of  $N$  and stop.

Keep repeating steps 1–7 with different  $a$  values until you have factored  $N$  as a product of prime powers, or until you are satisfied with the algorithm's current result.

### 3.4 Complexity

If  $N$  is the number to be factored, Shor's algorithm takes  $O(\log(N)^3)$  time. More precisely, it is  $O((\log N)^2(\log \log N)(\log \log \log N))$  when you are using fast exponentiation to compute the powers of the numbers. So the algorithm is in polynomial time. At this point in time, you may be thinking that since we now have a polynomial time algorithm for the Integer Factorization problem, we can now say the  $FP = FNP$  and hence  $P = NP$ . Unfortunately, Shor's algorithm only proves that the problem is in the complexity class  $BQP$ , which is the class of problems solvable by a quantum computer in polynomial time. Currently, we know that  $P$  is a subset of  $BQP$ , but we do not know whether or not  $BQP$  is a subset of  $P$ . If it was, then we could then come to the final conclusion that  $P = NP$ .

### 3.5 Downside

Another problem we currently face with Shor's algorithm is that we currently do not have an efficient quantum computer, so this algorithm is still impossible to properly run. Currently, the largest integer that has been factored by quantum computers is  $56153 = 233 \cdot 241$ . This was done by Nike Dattani at Kyoto University and Oxford University, along with Nathaniel Bryans at Microsoft (who is now at the University of Calgary) in November 2014. Previously, the largest integer that had been factored by a quantum computer using Shor's algorithm was  $143 = 11 \cdot 13$ . This was done by physicists at the University of Science and Technology of China in Hefei, China in 2012.

## 4 Conclusion

From what we have seen factoring is hard to do. Unfortunately our best realistic algorithm (GNFS) is too slow to factor numbers in an acceptable time frame. Shor's algorithm is not feasible because there are a lot of hurdles to building a computer that could run the algorithm. What this all means is that factoring is not easily doable, leaving modern computer security intact. GNFS is so inefficient that changing 1000 bit keys even annually is enough to keep your system secure. Additionally, these algorithms work only on non even numbers that are not power of primes. Those things also happen to be part of the restrictions set on cryptographic numbers, so these work perfectly on such factoring problems. There are algorithms that work on even or power of prime numbers. Those are algorithms like AKS or Miller Rabin. Those algorithms are designed for primality testing (is  $s$  a prime?) which happens to be polynomial time. Those algorithms can also tell you what the factors of these specific types of numbers

are, so those special cases of factoring fall into polynomial time. Although factoring may ultimately not be completely secure, it is proving at the least to be hard enough to tide us over until a new breakthrough is made. If it is cracked, it will also be beneficial in other tasks such as the Fourier transform mentioned in the applications section above.

## 5 Theorems and Definitions

**Definition 5.1.** A Dedekind domain  $D$  is a ring that is not a field satisfying:

1. If  $x, y \in D$ , then  $x \cdot y = y \cdot x$
2. For all  $x, y \in D$ , if  $x \cdot y = 0$ , then  $x = 0$  or  $y = 0$
3. Every nonzero proper ideal factors into prime ideals

**Definition 5.2.** A *field*  $F$  is a ring satisfying the following extra conditions

1. If  $0, 1$  are the respective additive and multiplicative identities of  $F$ , then  $1 \neq 0$
2. If  $a, b \in F$ , then  $a \cdot b = b \cdot a$
3. For all  $a \in F \setminus \{0\}$  there exists  $b \in F \setminus \{0\}$  such that  $ab = ba = 1$

**Theorem 5.3.** (*Fundamental Theorem of Algebra*) Let  $f \in \mathbb{C}[Z]$  be a non-constant polynomial. Then there is a  $z \in \mathbb{C}$  with  $f(z) = 0$ .

*Proof.* Let  $C$  be the finite set of critical points of  $f$ .  $C$  is finite by elementary algebra. Remove from the codomain  $f(C)$  (and call the resulting open set  $B$ ) and from the domain its inverse image (again finite) (and call the resulting open set  $A$ ). Now you get an open map from  $A$  to  $B$ , which is also closed, because any polynomial is proper (inverse images of compact sets are compact). But  $B$  is connected and so  $f$  is surjective.  $\square$

**Definition 5.4.** An *ideal* of a ring  $R$  is non-empty subset satisfying:

1. If  $x, y \in I$ , then  $x - y \in I$
2. For all  $r \in R$  and  $x \in I$ , both  $rx, xr \in I$

**Definition 5.5.** An ideal  $\mathfrak{p}$  of a ring  $R$  is *prime* if:

1. For all  $a, b \in R$ , if  $ab \in \mathfrak{p}$ , then  $a \in \mathfrak{p}$  or  $b \in \mathfrak{p}$
2.  $\mathfrak{p}$  does not equal the whole ring  $R$

**Definition 5.6.** A *ring* is a set  $R$  together with two binary operations, denoted

$$+ : R \times R \longrightarrow R$$

$$\cdot : R \times R \longrightarrow R$$

such that

1.  $(a+b)+c = a+(b+c)$  and  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$  for all  $a, b, c \in R$  (associative law)

2.  $a + b = b + a$  for all  $a, b \in R$  (commutative law)
3. There exists elements  $0, 1 \in R$  such that  $a+0 = 0+a = a$  and  $a \cdot 1 = 1 \cdot a = a$  for all  $a \in R$  (additive and multiplicative identities)
4. For all  $a \in R$ , there exists  $b \in R$  such that  $a + b = 0$  (additive inverse)
5.  $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$  and  $(a + b) \cdot c = (a \cdot c) + (b \cdot c)$  for all  $a, b, c \in R$  (distributive law)

## 6 References

- 1 John Dixon Asymptotically Fast Factorization of Integers

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